Engaging Subjective Knowledge: How Amar Singh's Diary Narratives of and by the Self Explain Identity Formation

By Lloyd I. Rudolph and Susanne Hoeber Rudolph

What should count as knowledge in political science? We have tried here to show that subjectivity is valid and useful, that firstperson accounts of experience—"telling what I know," narratives of and by the self, partial and contingent truths, and self-asother ethnography—contribute to knowledge. The move to subjective knowledge does not require the abandonment of objectivity. Self-consciousness and reflexivity simply make it possible to render the familiar unfamiliar, to gain a certain detachment, to achieve "objective subjectivity." Subjective knowledge helps to explain identity and category formation and the politics of recognition. Accessibility to the politics of those taken to be outside the public sphere, those whose behavior is not easily observed or counted by objective political science—colonized persons, subalterns, and marginalized minorities—depends on their ability to articulate their identities, purposes, and interests. Such forms of identity politics have become of increasing interest to political scientists concerned with subaltern agency, multiculturalism, and ethnic conflict and peace.

ur account of subjective knowledge as it is found in the first-person voice of Amar Singh's diary raises questions about what counts as knowledge in political science.¹ In daily entries, Amar Singh "tells what he knows." What he knows is not the whole truth, objective truth, or impartial truth—the kinds of knowledge that most political scientists recognize and use. It is a less familiar form of truth: subjective knowledge. In what follows, we hope to make room for subjective knowledge in political science by persuading our readers of its usefulness for explaining identity formation and the construction of categories such as race, gender, and class.

By telling what he knows in his diary, Amar Singh makes the personal political. His narratives of and by the self breach conventional liberal understandings about separating private and public realms. He wrote in his diary secretly, in private space, but much of what he wrote addressed public questions. He wrote about living as a colonial subject of the British Raj in India. He wrote about experiencing political domination and racial inferiority. He wrote about being a young man restrained by expectations of deference and obedience to one's elders. He wrote about the suffering and oppression his wife and mother endured under

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More broadly, subjective knowledge provides access to the political life of subalterns² hidden from public view by patriarchy, racism, colonialism, and class and status domination: natives under colonial masters, women ruled by men, blacks stigmatized as coolies, and marginalized ethnic, cultural, and religious minorities. As the oppressed found their voice and began to be heard in nationalist, postcolonial, and postmodern times, they cleared the way for a politics of difference and respect, a politics that involved issues of recognition,³ the construction of categories, and esteem and disesteem.⁴

But isn't a diary a singular representation? Don't we need many diaries, or at least a sample, before we can treat them as representative of a time and place? How can one person's diary stand for anything more than a single, perhaps idiosyncratic way of life? The answer lies in the elective affinity of what Ludwig Wittgenstein calls "following a rule."⁵ A few well-placed informants make it possible to discern that a rule is being followed. Like linguists identifying a language's grammar, anthropologists find culture in the rules that key informants follow in their speech and conduct.

What is meant by "following a rule"? A person can be said to follow a rule "if he acts the same way on the same occasion."⁶ To know if someone is following a rule, "one has to take into account not only the actions of the person whose behavior is in question ... but also the reactions of other people to what he does.... [It is only when] somebody else could in principle discover the rule I am following that I can intelligibly be said to follow a rule at all."⁷ The ethnography found in Amar Singh's diary—depicted not only in his own voice, but also in the voices of those on whom he reports—shows informants following a rule.

Many political scientists interpret political behavior by using structural explanations based on "objective" independent variables (such as income and education levels) to show variation in a dependent variable (for instance, voter choice). Using multipleregression techniques, such scholars rely on independent variables to explain as much of the variance as possible.⁸ But political behavior can also be understood in terms of agency—in other words, what individuals and collectivities intend by their speech and actions. Here, we intervene in the agency/structure debate,⁹ not to resolve it, but to suggest that actors' subjective knowledge can give us a handle on the politics of identity and category formation. This is how Amar Singh's diary narratives fit in: they provide access to key informants—Amar Singh and those whose voices he chronicles—who tell us about who they are or want to become, and about the rules they live by or contest.

In addition to examining culture¹⁰ by following a rule, political scientists can learn from the way anthropologists have rethought their participant/observer methodology by recognizing the tensions in its self/other duality. Political scientists typically finesse this problem by treating observation as transparent or unmediated, but they would do better to think reflexively. Because subjective knowledge originates with a self, political scientists interested in accessing and analyzing subjective knowledge can learn from anthropologists by using research methods and strategies that problematize the observer and take into account the duality of observer and observed.

Amar Singh's diary conveys discovery, enactment, and interpretation of rules relevant to several cultural contexts. It teaches us about identity formation in a colonial context. Located between the cultural norms and practices of both princely and British India, between black and white racial categories, between colonial rulers and colonial subjects, between male patriarchy and female oppression, Amar Singh adopts liminal and hybrid responses. British Raj interlocutors wonder whether they should read him as a Rajput ruler, an Edwardian officer and gentleman, or an impostor, a black native who doesn't know his station.¹¹ Inducting subjective knowledge sheds light on these questions.

Introducing Subjective Knowledge

We start our account of "engaging subjective knowledge" by locating ourselves as subjects.¹² We confess that we have been living in a ménage à trois for the past 30 years. This arrangement has been suspected by our children and a few close friends. The third member of our relationship has been Amar Singh. His presence has often disrupted our household, compelling us to travel frequently to distant places, diminishing the family exchequer, and affecting our family culture. Amar Singh has been our constant companion ever since that breathtaking moment in 1971 when Mohan Singh Kanota ushered us into his father's high-ceilinged room in Narain Niwas to show us his uncle's diary: 90 folio-sized, 800-page volumes bound in red leather. Written in English and kept regularly for 44 years-from September 1898 until November 1, 1942, the day Amar Singh died-it may be the world's longest continuous diary. The three decades spent selecting, editing, and interpreting Amar Singh's diary have led us to reflect on the subjective knowledge that his narratives make available.

Here, it seems appropriate to recall a story familiar to anthropologists: A Cree hunter is asked by a Canadian court to tell the truth, the whole truth, and nothing but the truth about his people's way of life. "I'm not sure I can tell the truth," he says, "but I can tell what I know."13 Amar Singh says something similar about his diary. After completing the last entry for 1898-the year he converted his copybook into "the diary"-the 19-year-old turns over the fledgling volume to his much admired and respected teacher Ram Nathji, tutor at the Jodhpur court of the young maharaja Sardar Singh. He does so in the hope and expectation that Ram Nathji will comment on what he has written. The teacher pencils mostly approving observations and comments throughout the diary's pages but comes down hard on Amar Singh at the end of the last page for writing so much about the "butchery" of hunting boar, tigers, and birds, but writing nothing about Jodhpur's worst famine of the century. Amar Singh's response to Ram Nathji is reminiscent of the Cree hunter's response to the Canadian court: "I ought to have written about the famine, but you must bear in mind that no opportunities were given me to study or watch it and consequently I could not write anything. . . . What I have written is [that] of which I am an eve witness or have heard from very reliable sources."14

Amar Singh, like the Cree hunter, takes a position on the epistemology of subjective knowledge; he tells what he knows about what he has experienced. His knowledge is situated and contextual; his voice is located in a time, place, and circumstance. The epistemology of subjective knowledge stands counter to that of objective knowledge-i.e., knowledge based on a view from nowhere; unmediated, transparent observation generated by unmarked and unencumbered observers.¹⁵ James Clifford describes the Cree hunter's concept of truth as "rigorous partiality." Clifford reverses the conventional valuation of partiality and impartiality, treating the former as the more desirable state. Rigorous partiality recognizes and validates the situated, inflected nature of truth. Rather than denying or repressing the sociology of knowledge, rigorous partiality self-consciously acknowledges that context shapes why and how knowledge is acquired and what it is taken to mean. Clifford's claim for rigorous partiality is consistent with Hans-Georg Gadamer's hermeneutic stance, in Truth and Method,¹⁶ that the scientific ideal of objectivity is compromised by personal experience, cultural tradition, and prior understandings.¹⁷ According to Clifford, partiality also signifies that which is not whole, complete, or capable of being carried to completion. "Rigorous partiality" makes the epistemological claim that knowing the whole truth is a capacity not given to mortals. The best they can do is to strive for partial truths.

Working with Amar Singh's diary, we have considered the relationship between a personal document written daily in the first person and subjective knowledge. We began to ask ourselves, What kind of knowledge can be found in a diary? And how does such knowledge differ from other forms of knowledge? Monopoly claims have been made for objective knowledge, particularly knowledge based on stereotypical views of science and scientific method. Influential, powerful voices have asserted that only science can ask and answer questions. If it isn't scientific, it can't be true. Subjective knowledge poses a challenge to such Figure 1 "A Rajput who reads will never ride a horse"? Amar Singh reading.



claims. We are not arguing that subjective knowledge is the only form of knowledge or even that it is the best or a better form of knowledge. But there is room at the roundtable of knowledge for the imaginative truths found in literature, myth, and memory; for the archival truths of history; for the spiritual truths of religions and religious experience; and for the aesthetic truths of the visual and performing arts.¹⁸

Max Weber embraced a similar commitment to pluralism in ways of knowing and forms of knowledge on the last page of *The Protestant Ethic and the Spirit of Capitalism:*

It is not our aim to substitute for a one-sided materialistic an equally one-sided spiritualistic causal interpretation of culture and history. Each is equally possible, but each, if it does not serve as the preparation, but as the conclusion of an investigation, accomplishes equally little in the interest of historical truth.¹⁹

Weber's advocacy of multiple epistemes and the diverse forms of knowledge that result from their use stands in marked contrast to the single-truth claims of objectivist social science.

Subjective Knowledge and Identity Formation

How does a diary written daily, in private and in the first person, clarify the relationship between subjective knowledge and identity formation? We "read" Amar Singh's identity formation not only through his words but also through his photographic selfrepresentations. Preserved in 35 albums in his ancestral *haveli*, Amar Singh's photographs constitute an important dimension of his narrative. We begin with a picture that represents his construction of a liminal self.

Figure 1 displays the multivalent identity he assembles:²⁰ a Rajput cavalry officer whose boots signify a life spent in the saddle, and a seated reader whose book signals a taste for literature. The image reminds us that Amar Singh is challenging the stereotype of North India's warrior-ruler caste ("A Rajput who reads will never ride a horse") by suggesting the presence of a reflexive literary self. His diary makes clear that this Rajput managed to ride, read, and write a lot. The image also displays intimations of his liminal location-the Indian elements being the Jodhpur-style sapha (turban), the Rajasthani decorative plasterwork, and the jodhpurs; the English elements being the cavalry boots, the wellcut Norfolk jacket, the fine shirt and tie, and the upholstered chair. Amar Singh is simultaneously a Rajput warrior-ruler and an Edwardian officer and gentleman. He lives on the limes, the border, straddling and participating in two forms of life, the English culture of British India and the Rajput culture of princely India.

Given Amar Singh's location in fin de siècle imperial India, we prefer the term *liminal* over the related term *hybrid* to characterize his identity.²¹ We see liminal identities as fluid, subject to changing contexts, and hybrid identities as continuous and self-perpetuating. As we use the term, *liminality* invokes a contingent location on one side or another of a border that separates two forms of life, or a location in the culturally ambiguous no-man's-land that lies between them. *Hybridity* differs from liminality by invoking a created but durable and self-perpetuating combination of qualities. We find the term *liminality* appropriate for navigating the shoals of end-of-the-century cultural expectations characteristic of the imperial era, when cultural border-crossing was suspect. We find *hybridity* to be more appropriate for describing the multicultural perspective of "postcolonial" thinking and practice at the close of the twentieth century.²²

Amar Singh captures his sense of living liminally—sometimes on one side of the border between two cultures, sometimes on the other—in a remark about what makes Indian and English food taste good. Indian food tastes best, he writes, when it is eaten from a *thali* with the hand; English food tastes best when eaten from a plate with knives and forks. Like the photo in Figure 1, the food metaphor suggests that Amar Singh most of the time is comfortable with his interstitial location.

At the end of the nineteenth century, when Amar Singh began to write his diary, most Englishmen didn't accept liminal practices, much less hybrid identities. Both were rejected as fake. A "black Englishman" was at best an anomaly, at worst an abomination. He either had assimilated imperfectly and become a bad copy, or had assimilated perfectly and become deracinated, an inauthentic self, a phony. It was a time when imperial narratives conflated culture and biology. Cultural traits were seen as inbred, like blue eyes and blond hair. Ethnic and racial identities were everywhere essentialized;²³ a Jat was a Jat, and Jats were good cultivators. Rudyard Kipling mocked the claims of English-educated nationalist *babus*—deracinated, inauthentic men whose liminal

Figure 2

Zorawar Singh constructs a self from Rajput, Mughal, French, Victorian, and ancient Greek accoutrements.



condition contradicted their claim to speak for the people of India.²⁴ When Dr. Aziz in E. M. Forster's novel *A Passage to India* fails to insert correctly the button needed to attach a starched collar to his shirt, he fails the test for passing as English.²⁵

Upon graduating in 1905 from Lord Curzon's "dear child," the Imperial Cadet Corps, Amar Singh and his classmates didn't pass the "Englishness" test either. Although the cadets as princes or noblemen were regarded as superior persons, they were not deemed worthy of being made King's Commissioned Officers (KCOs) in the British Indian army, an honor the viceroy, Lord Curzon, had led them to expect. Racial inferiority and segregation remained the order of the day. Rebuffed at the highest levels of the empire, Curzon accepted his defeat by recognizing that making Indians KCOs would have meant "a black man commanding a white man," which "no one will look at."²⁶ Amar Singh's identity as an Indian nobleman, a status that appealed to class-conscious Englishmen, was trumped by racial disdain.

Three years earlier, while serving with the Jodhpur Lancers in the Allied Expeditionary Force in China during the Boxer Rebellion, Amar Singh had experienced how the British construction of race affected the way Indians were perceived by other Europeans:

Here is another proof of the slight treatment that the Indian officers receive. . . . Jasjee and Bhabootjee, who are both a major and a captain respectively, are kept down in a wretched hole in the second class with six others. The four British sergeants are there in a separate cabin . . . but on better footing. Major Turner and Capt Hudson had a greater anxiety for these four sergeants than they had for the others whom they put down as merely native officers, which means nothing worth bothering. . . .

Again there is another example. British sergeants and soldiers never salute Indian officers. . . . They look as if they expect the others to salute them. . . . [I]t is a mark of great favour on the part of the sergeant or soldier if he even condescends to say good morning. . . . I do not blame the French soldiers for calling the Indians coolies, considering the way the British treat them. They of course know what they see or hear. If a foreign soldier sees a British soldier not saluting an Indian officer, they naturally come to the conclusion that the latter is a coolie and so they call him. The British make a great row when they hear the foreigners calling Indian soldiers and officers coolies, though they do not mind treating them as such themselves. Aboard S. S. *Itria*, Sunday, July 14, 1901.²⁷

Nevertheless, despite the hazards of liminality, we find Amar Singh navigating its turbulent waters with considerable ease and success. It is an old skill on the subcontinent. From at least Mughal times, reciprocal cultural adaptation and borrowing was common. Rajput kings and courts adopted Mughal architecture, art, dress, and food. Mughal emperors learned from Rajput rulers. "[T]he greatest of Indian social and political leaders," Ashis Nandy argues, "built their self-definitions as Indians over the last two centuries" on liminality.²⁸ We see Amar Singh wearing jodhpurs, an anglicized version of an Indian garment. The British adapt in the opposite direction: they wear khakis and live in bungalows.

In the next picture, Figure 2, Amar Singh's grandfather, Zorawar Singh, enacts a liminality that encompasses subcontinental and transcontinental cultural differences.²⁹ You see him here circa 1880 as a 10-village lord, minister in the government of Maharaja Ram Singh of Jaipur, and a leading member of his court. The very genre of the image, a photographic portrait, speaks of liminality; it tells us that the periphery, the down-country town of Jaipur located at a far edge of the empire, emulated the latest practice in the empire's cosmopolitan center in London.³⁰ From 1876, visiting rulers had photographic portraits prepared in anticipation of an audience with the queen empress, Victoria. Zorawar Singh's dress reflects a variety of cultural adaptations: the epaulets fashionable since Napoléon's time for European regimental dress; the pearls at the throat and the silk sword scarf that emulate Mughal court dress; the angarkhi, a local shirtlike garment featuring a rounded cutout at the neck; the recently acquired gold anklets marking his rise in the Jaipur court to the rank of tazimi sardar. He rests his hand on a table bearing the literary accoutrements of a Victorian gentleman-book, pen, inkwell-and poses in front of a de rigueur portraiture stage prop, in this case a "Parthenon" backdrop (the outline of the roof is partly visible on the far right of the image) symbolizing British recognition of Greece as the cradle of Western civilization. Zorawar Singh's liminality naturalizes why and how his grandson and heir, Amar Singh, easily fell into a similar mode of identity formation.

Two more photos of the young Amar Singh—as a staff officer serving in the Indian army—display the environment that enabled and limited his identity choices. From 1905 until the outbreak of World War I in 1914, he was the only Indian in a

Figure 3 The only turban at the Ormerod-Westcott wedding.



white British officers' mess and cantonment society at Mhow in central India. Figure 3, a portrait of the Ormerod-Westcott wedding, is one of many photos in Amar Singh's albums in which his is the only Indian *sapha* in an English sea of ladies' garden hats and men's straw boaters. On the far right side, Amar Singh's dark face and white *sapha* appear just behind a clergyman in a black suit. The picture shows Amar Singh's ethnic and cultural liminality. Figure 4 displays the Edwardian drawing room of the officer's bungalow he occupied in the Mhow cantonment. He has surrounded himself with objets d'art, paintings, and elegant fin de siècle furniture. While his code switching between cultural contexts—eating from *thalis*, eating from plates—suggests the fluidity of his liminal condition, his lifestyle and dress suggest the durability of the hybrid identity that he has begun to construct.

The subjective knowledge of the diary provides us with an account of how and why liminal and hybrid identities are constructed. To evaluate the significance of this process, we reach forward in time to two postcolonial theorists of identity questions, Ashis Nandy and Partha Chatterjee.³¹ Contrary to the

expectations of many nationalists, independence from British rule in 1947 didn't put an end to liminal and hybrid identities or provide an answer to the question of what constitutes an authentic Indian. Hybridity is seen by some cultural theorists and cultural nationalists as an identity failure. British sovereignty ended, but Britain's cultural presence lingered in the English language and in the categories of thought among independent India's educated classes. Should their colonial liminality or hybridity count as Indian? Nandy and Chatterjee say no. For these scholars, the realization of a postindependence, authentic Indianness was radically compromised by the cumulative and insidious effects of Thomas Babington Macaulay's 1835 essay "Minute on Indian Education." Proudly ignorant of "Oriental" languages and learning, and convinced of the superiority of European civilization and the English language, Macaulay aimed to create "a class of persons Indian in blood and colour, but English in taste, in opinions, in morals, and in intellect."32 Nandy and Chatterjee find that the Macaulayinspired colonial project succeeded only too well.

Figure 4 Amar Singh's Victorian drawing room at Mhow



oto from Amar Singh's albums, courtesy of Mohan Singh Kanota

Nandy indicts an "intimate enemy," the internalization of the former colonial master's mentality, for blocking the realization of an authentic Indian self. For Chatterjee, "derivative discourse," the assimilation of the colonial master's conceptual vocabulary, handicaps postindependence thought and action. It can, perhaps, be overcome by resorting to an inner space where an uncompromised Indian identity is said somehow to survive.³³

But for others, pre-independence hybridity anticipated India's transnational future. In today's universe of postcolonial discourse and practice, hybridity is celebrated by many as an authentic Indian identity. The diasporic experience so common to educated Indians has helped legitimate hybridity, making it more an object of admiration than of derision. Novelist Salman Rushdie exemplifies the arrival of hybrid identity on the postcolonial, postmodern scene in what he writes and how he lives—in Bombay, Karachi, London, and New York. So too do the information technology engineers straddling Silicon Valley and Bangalore. As we enter the twenty-first century, global processes have intensified rather than resolved the search for and debate over an authentic Indian identity.

Self-as-Other Ethnography

Now that we have introduced Amar Singh, the subject, and examined how his narratives of and by the self clarify colonial identity formation, we want to make space for subjective knowledge in political science. We turn to the voices of anthropologists who, as ethnographers, observed the other in the colonial relationship. Our story of the diary as a form of subjective knowledge begins and, in a sense, ends with the thoughts of the late M. N. Srinivas, an anthropologist and sociologist whose work on culture and social change transformed the way social scientists understand caste and modernization in India. In texts written and published just before his death in Bangalore in November 1999, Srinivas provided warrants for the approach we take here. By the late 1990s, he had gone beyond explanations based on social structure and social function, which characterized his major works, to an appreciation of the importance of subjective knowledge and human agency in the making and shaping of culture:

Every life mirrors to some extent the culture and the changes it undergoes. The life of every individual can be regarded as a "case study," and who is better qualified than the individual himself to study [it].... Anthropology started as the study of "the other," an exotic other.... [T]he culmination of the movement from the study of the other to studying...one's own culture is surely the study of one's own life.... The latter can be looked at as a field, with the anthropologist being both the observer and the observed, ending for once the duality which inheres in all traditional fieldwork.³⁴

Figure 5 The chief and the anthropologist: whose gaze?



As we read and reread Amar Singh's diary, it gradually dawned on us that it provided not only an account of a self in formation, but also an ethnography, a cultural account of a way of life. We combined the two by thinking of the diary's narratives as a selfconstructing culture, what we subsequently came to call "self-asother ethnography."

The claim that Amar Singh was an ethnographer, of whatever stripe, runs counter to what anthropologists claimed they did from the time when, at the beginning of World War I, Bronislaw Malinowski invented anthropology as a "science" based on "fieldwork" and participant/observer methodology. In the beginning, there was the self and the other. European anthropologists initially went to study the alien, exotic, and distant "other" in colonial places such as the Trobriand Islands or an Indian village, places where the natives could be observed enacting their culture, fulfilling cultural "obligations," behaving in culturally appropriate ways. Anthropologists from the metropole formulated a culture for the natives and told the Western world and the natives about it in their scholarly monographs.

One of James Clifford's stories about a graduate student ethnographer and an African chief captures the process of defining the natives' culture for them. (To put you in the proper frame of mind and to illustrate the ambiguity of the relationship that Clifford examines, we ask you to look at Figure 5, from the cover of the January 12, 2000, *Times Literary Supplement*, which featured Tanya Luhrmann's review of books about and by Clifford Geertz. Who is the self, and who is the other?) The story goes like this: A graduate student of African ethnohistory prepares for his fieldwork in Gabon among the Mpongwe by consulting an earlytwentieth-century work of a pioneering ethnographer, André Raponda-Walker. When he reaches the field, the student's interview with a Mpongwe chief proceeds well until the chief has trouble with a particular word: "'Just a moment,' he says cheerfully, and disappears into his house to return with a copy of Raponda-Walker's compendium. For the rest of the interview the book lies open on his lap."³⁵

The "us" in the early days of ethnography referred to "Europeans" from imperial metropoles; the "them," natives living under colonial domination in what were deemed cultural isolates—denizens of remote islands, villagers living behind mud walls, tribals hidden away in the bush. Natives were objects to be studied, subjects of alien rulers, peoples that administrators had to control and civilize—the white man's burden, in Kipling's unintendedly ironic phrase.

So how did we get from "self and other" to "self as other"? How did the natives lose culture and gain voice? The transformation

did not occur overnight or even recently. An important move in the direction of "self as other" took place when Srinivas's friend and younger colleague, Triloki Nath Madan (like Srinivas, an Indian ethnographer of India), wrote "On Living Intimately with Strangers."36 Madan was one of the earliest reflexive "others" among Indian anthropologists. He did not, as others would do later, make an exclusivist claim in the name of "authenticity" to knowledge of his own culture. Instead, he saw himself as an anomaly when he remarked that "social anthropology took a very long time to realize the potential of studying one's own society." He cited two of Malinowski's students-Jomo Kenyatta, "an African tribal chief," and Fei Hsiao-Tung, "a Chinese Mandarin" whose studies were published in 1938 and 1939-as earlier examples of reflexive "natives" writing their own ethnographies. Madan also cited Malinowski's observation in the foreword to Fei's Peasant Life in China that writing anthropologies "of one's own people . . . [is] the most arduous, but also the most valuable achievement of a fieldworker."37

Arguing that an anthropologist can go home again if he or she can "render the familiar unfamiliar," Madan went home again to study his own Kashmiri Pandit community. He recognized that "detachment" distinguished his way of studying his own community from the "empathy" called for by participant observation of an "other." What he did, he said, was closer to "objective subjectivity" than it was to the "subjective objectivity" of participant/observer ethnography.³⁸ Studying his culture in his own country and, more decisively, his own community led him in time to the view that anthropologists should "not divide humankind into 'ourselves' and 'others."³⁹

The "other" of participant/observer anthropology is not, it seems, barred from self-understanding—the capacity, in Srinivas's words, of making himself or herself "a case study," if he or she can render the familiar unfamiliar. "Critical self-awareness," Madan says, is available to ethnographers who can access "distance," a "sense of surprise," and "anthropological doubt." This kind of selfconsciousness and reflexivity can, according to Srinivas, remove the epistemological divide between self and other and open the way to ending "the duality which inheres in all traditional fieldwork."⁴⁰

Amar Singh's self-*as*-other ethnography helps him to avoid some of the obfuscating mediations associated with self-*and*other ethnography: the subjectivity and the projections that affect observation and knowing, the fortuitous or calculated resistance and/or compliance of the native subject, and the objectivist fictions of scientific narration and authorial rhetoric. Geertz tells us how anthropologists try to persuade us to believe them despite such difficulties:

The ability of anthropologists to get us to take what they say seriously has less to do with either a factual look or an air of conceptual elegance than it has to do with their capacity to convince us that what they say is a result of having actually penetrated (or, if you prefer, been penetrated by) another form of life, of having, one way or another, truly "been there". . . . Persuading us that his offstage miracle has occurred . . . is where the writing comes in."⁴¹

The erosion of the self-and-other trope and of the accompanying asymmetry between observer and observed began after

World War II, when decolonization abroad and the rise of minorities at home started to erase the line between "them" and "us." Renato Rosaldo captured what was happening when he wrote, "The more power one has, the less culture one enjoys, and the more culture one has, the less power one wields."42 "Culture" is what natives and minorities had and what social scientists studied. Power is what the people of the metropole had; novelists, not social scientists, wrote about their lives. But the situation changed. Abroad, the natives became citizens of sovereign nation-states, and at home voting and civil rights made citizens of minorities. When Indians gained sovereignty in 1947, they lost "culture." Since independence, we have learned more about life in India from the pens of novelists-R. K. Narayan, Anita Desai, Salman Rushdie, Arundhati Roy-than from the field notes of anthropologists. WASPs gained culture as they lost power; Digby Baltzell's post-World War II sociology of Philadelphia's fading WASPs replaced Edith Wharton's pre-World War I novels about New York high society.43 Having written eight volumes of "subaltern studies" about the powerless, Indian intellectuals were brought up short in the early 1990s by the realization that they had been speaking for the powerless, and asked, "Can the subaltern speak?"

In some ways, it was a strange question to ask. Yes, the subaltern can speak. Natives and minorities began to do so in the name of authenticity. Then they went further and claimed that only they could represent themselves. French ethnographers looking at Madagascar, MIT economists observing Pakistan, and white men from the National Opinion Research Center observing the black ghetto could not speak for the natives or minorities they claimed to know and represent. As our daughter Amelia learned as a student in the Graduate Theological Union in Berkeley, authenticity makes an epistemological claim. When she set out to study a witch sect in California, the witches told her that you have to be a witch to study witches.⁴⁴ Authenticity became a claim to intellectual property. Trespassers were warned to keep out. Was the warning legitimate? Who and what is authentic? Does authenticity reside in the qualities of the text or object or in the identity of the producer? Does a Navajo blanket have to be made by a Navajo? Does a sociology of Jat Sikhs of the Punjab have to be written by a Jat Sikh of the Punjab? Alison Lurie satirized authenticity by narrating how two sociologists from a fictionalized Cornell studied a community of persons in upstate New York who believed in the existence and presence of extraterrestrial beings. One of them found that he couldn't understand and represent his subject's beliefs without himself becoming a believer. Here, authenticity required that the self be or become the other.⁴⁵

Paradoxically, Henry Louis Gates, Jr.—who presides over an enterprise that sometimes trades on authenticity claims, Harvard's Department of Afro-American Studies—has mounted a serious challenge to essentialist versions of authenticity.⁴⁶ He tells the story of the initially celebrated book *The Education of Little Tree.*⁴⁷ The book's author, Forrest Carter, wrote "autobiographically," as if he were a Cherokee. At first, he was praised for providing a brilliant, deeply moving account of Cherokee life. A critic said that Carter uniquely captured the meaning of the Native American experience. Soon after his triumphant reception

as an authentic Cherokee voice, Carter was unmasked. He turned out to be not an authentic Cherokee, but a "Ku Klux Klan terrorist and homegrown American fascist," an impostor with a criminal record. But Gates tells us: "Like it or not, all writers are 'cultural impersonators."⁴⁸

Gates challenges authenticity's epistemological and ontological claim that only a native can know a native—that, for instance, it takes an African American to know and to tell about an African American. He praises slave novels pseudonymously written by whites in the voice of slaves and other novels pseudonymously written by whites in the voice of blacks. If all writers are "cultur-al impersonators," though, some are better impersonators than others. Authenticity for Gates is in the quality of the telling, not in the provenance of the teller.⁴⁹

Before we follow Gates and throw out the baby of subjective knowledge with the bathwater of authenticity, let us consider a story from Amar Singh's diary that raises questions about Gates's claim that authenticity can be judged independent of the speaker. The entry for October 15, 1915, written on the Western front, includes an essay entitled "The Importance of Keeping Records." Amar Singh is concerned that, in the absence of "eye witness" accounts, the story of Indian soldiers' contribution to the Allies' victory in World War I might be lost from view. That contribution was considerable. The war began for England on August 4, 1914. By late September, an Indian expeditionary force was at the front in Flanders, where British forces were falling back. The German army's Schlieffen Plan to encircle Paris by invading through Belgium and penetrating to the Marne was moving toward success. Without the arrival of an Indian corps of twoplus divisions and their valiant and determined resistance, the German offensive might very well have succeeded.⁵⁰

Amar Singh feared that this story of the Indian soldiers' contribution to fighting and winning World War I would fall victim to India's colonial relationship to Britain:

To my mind it is a thing of the greatest importance to keep a nation's records. In this we are backward. . . . [W]e ought to have brought our own *charans*, who are our hereditary [bards]. . . . What we want is a man of learning and imagination who could and would write from personal experience. . . . The English historians will simply treat . . . the war in a very general way. . . . [W]hat we can expect is a mere mention.⁵¹

And a mere mention is what they received. This diary entry seems to resuscitate claims that being a witch provides a special vantage point for knowledge about witches and that power enhances the witch's ability to speak and to be heard. Impersonation does not always yield subjective knowledge. Sometimes knowing depends on direct experience and being heard depends on occupying a seat at the table.

Let us return to the theory and practice of self-as-other ethnography and see what light it casts on the standing of subjective knowledge in the social sciences. In recent decades, the dichotomies of self and other, participant and observer, ethnographer and native, even subjectivity and objectivity, have eroded. Among anthropologists, such dichotomies have given way to first-person fieldwork accounts of the theater of the other. In "polyphonic," "dialogic" textual production, both the ethnographer/writer and the subject/native are on stage. As Figure 5 makes clear, the asymmetries of power have faded; the observer and the observed engage each other in scripted conversation.

But the ethnographer and the native do not share in the crafting of the script. Despite the appearance on stage of reciprocity and mutual determination, the writing of the play, however literary and "partial" it may be, remains the task of the ethnographer, the self of the self/other duality. Politically, he or she retains authority over the text about the other. Amar Singh, a reflexive other writing in his diary about culture in the making as well as the doing, is located outside a participant/observer relationship. By conflating self and other, he constitutes himself, in Srinivas's words, as a "case study." He is "both the observer and the observed," a condition that ends "the duality which inheres in all traditional fieldwork."52 He tells what he knows as a reflexive self-as-other diarist, erasing the border between objective and subjective knowledge by being participant, observer, informant, narrator, and author, all rolled into one. Amar Singh sets the stage, writes the play, and speaks its lines.

So what should count as knowledge in political science? We have tried here to show that subjectivity is valid and useful, that firstperson accounts of experience—"telling what I know," narratives of and by the self, partial and contingent truths, and self-as-other ethnography—contribute to knowledge. The move to subjective knowledge does not require the abandonment of objectivity. Selfconsciousness and reflexivity simply make it possible to render the familiar unfamiliar, to gain a certain detachment, to achieve, in Madan's phrase, "objective subjectivity."

We have drawn the attention of political scientists to the anthropological writing of the postcolonial era because it directly confronted an epistemological challenge common to the social sciences: the duality of the observer and the observed, and the associated claim that observation can be unmediated or transparent. With the end of colonialism, anthropologists began to question the way they represented the other. They found that they had been obscuring the other's voice and self-representation—sometimes, however inadvertently, speaking for the other. After gaining political independence and empowerment in the postwar era, native subjects and marginalized minorities increasingly spoke for themselves. Self-knowledge and self-representation made subjective knowledge more visible and accessible.

Subjective knowledge helps to explain identity and category formation and the politics of recognition. Accessibility to the politics of those taken to be outside the public sphere, those whose behavior is not easily observed or counted by objective political science—colonized persons, subalterns, and marginalized minorities—depends on their ability to articulate their identities, purposes, and interests. Such forms of identity politics have become of increasing interest to political scientists concerned with subaltern agency, multiculturalism, and ethnic conflict and peace.

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Notes

- 1 This essay draws on our 2002 Nora and Edward Ryerson Lecture given at the University of Chicago on April 15, 2002. Rudolph and Rudolph 2002b.
- 2 Subaltern is a term of art launched in 1982 with volume 1 of Subaltern Studies, a series now in its 10th volume. Guha 1997 [1982]. Subaltern studies quickly became a "school" with worldwide reach. It liberated the mostly Bengali intellectuals from the confines of the Marxist category "proletariat," by encompassing the oppressed, dispossessed, and marginalized—not only workers but also peasants, *Dalits* (ex-Untouchables), tribals, and women. For a historical and conceptual overview, see Young 2001, section 7 of chapter 24.

James Scott was among the first scholars to attend to the subjective knowledge of those located below the radar screen of public discourse. See Scott 1985. In *Seeing Like a State* (Scott 1998), he inter alia juxtaposes the practical and local knowledge of peasants and pastoralists with the abstract, modernist, universal knowledge involved in state surveillance and control.

- 3 Taylor 1989; Taylor 1992. "Our identity," Taylor argues, "is shaped by recognition or its absence, often by the misrecognition of others." Taylor 1992, 34. An outstanding recent study of the politics of recognition in India is Jenkins 2003. For our use of the politics of recognition, see Rudolph and Rudolph 1993; Rudolph and Rudolph 2001; Rudolph and Rudolph 2002a.
- 4 Among the works we have found particularly helpful in the large literature on the politics of difference and multiculturalism are Mahajan 1998; Mahajan 2002; Young 1990; Benhabib 1996; Kymlicka 1996; Parekh 2000; Mayaram 1999.
- 5 Wittgenstein 1953.
- 6 Winch 1967, 28. See also Edmonds and Eidinow 2001.
- 7 Winch 1967, 30.
- 8 For recent overviews of political behavior methodology and research, see Carmines and Huckfeldt 1996 and Miller 1996. For a trenchant inner critique of political behavior methodology, see Dunleavy 1996.
- 9 Wendt 1987.
- 10 We distinguish the reified, essentialized understanding of culture that Lisa Wedeen found characterized the 1960s literature on political culture and national character from the use of culture by anthropologists such as Clifford Geertz, James Clifford, and Richard Shweder, a use we appreciate and critique below. See Wedeen 2002; Geertz 1973; Geertz 1995; Clifford 1986a; Clifford 1986b; Shweder 1991.
- 11 For example, he could be read in light of David Cannadine's trope of "ornamentalism" (i.e., colonies of the British Empire replicating and emulating the theater and deference of hierarchy). Rajput princes and noblemen could be esteemed for class reasons by socially inferior but socially ambitious British civilians and military officers. At the same time, they could be disesteemed by British civilians and military officers as racially and culturally inferior others. Thus, Lord Curzon, the viceroy, could imagine blue-blooded Indian princes and noblemen, perceived as loyal feudal vassals of the queen empress, joining the all-white Indian army officer corps as King's Commissioned Officers (KCOs) after graduating from the Imperial Cadet Corps (about which, more below). But he could also envision Raj elites recognizing-when blocked at the highest levels of the empire from exercising their "power"-that no one will "look at" a black man commanding a white man. Cannadine 2001.
- 12 See Rudolph and Rudolph 2002c, introduction.
- 13 Clifford 1986a, 8.
- 14 Rudolph and Rudolph 2002c, 55.
- 15 Donald (now Deirdre) McCloskey has discounted the objective-truth claims of social scientists because they mistakenly assume a disinterested and omniscient observer or clothe themselves in the authority of the gnomic present's general truth. McCloskey 1990.
- 16 Gadamer 1989. As Jean Grondin reminds us, Gadamer argued that "our prejudices are 'conditions of understanding." Grondin 2002, 44. A recent lightheartedly insightful

defense of this view can be found in McCloskey 2000, particularly the sections "Be Who You Are, Even If an Economist" and "Make Your Economics Courageously Part of Your Identity, and Vice Versa."

- 17 According to Brice Wachterhauser, Gadamer problematizes claims about objective knowledge by arguing that "every truth claim comes somehow laced with the values and interests of the researchers and the research community." All truth claims, Wachterhauser continues, "are in some sense 'relative' to the point of view or 'interpretation' of the researchers. . . . Gadamer's hermeneutics hopes to teach us . . . that all human understanding is 'finite'. . . . 'Finitude' points to a dependency of knowledge on conditions that the human knower can never fully know . . . [and] this challenges us to revise our understanding of the type of autonomous control we can hope to exercise over our own cognitive endeavors." Wachterhauser 2002, 52–3, 56–7.
- 18 We find that Stephen Toulmin's admonition in *Return to Reason* to "live with uncertainty," along with his historical and philosophical exposition of pluralism and pragmatism, comes closest to our epistemological outlook. See Toulmin 2001, particularly the chapters "The Invention of Disciplines" and "The Trouble with Disciplines."
- 19 Weber 1976, 183.
- 20 This is the photo used on the dust jacket of *Reversing the Gaze*. Rudolph and Rudolph 2002c.
- 21 *Liminality* has more than one meaning. One variant can be found in narratives of rites of passage, such as Turner 1967. As the text makes clear, Turner's is not the variant of liminality we have in mind. For Turner, liminality designates an indeterminate moment in the life cycle, a vertical process as boy becomes man; it is a destabilizing moment fraught with hazard. For us, liminality refers to cultural location and context, a horizontal process in which a person's identity and practices adjust to changing cultural settings.

For Robert Young's reading of liminality and hybridity and his account of Ashis Nandy's interpretation of Gandhi's liminality and hybridity—a reading and an account that bear a family resemblance to ours—see subsections 4 and 5 of chapter 24 in Young 2001.

Young first notes that Nandy speaks of Gandhi's colonialera construction of "cultural hybridity." He then goes on to characterize Nandy as arguing that "'liminality'... is not only the state of being of the postcolonial migrant, as [Homi] Bhabha has since suggested [see Bhabha 1994], but amounts to an authentic state of Indianness itself." Young 2001, 346.

- 22 For more on the conceptualization and location of hybridity at the end of the twentieth century, see Bhabha 1994.
- 23 When Amar Singh was experiencing the apartheid of the Indian army, American imperialists such as Theodore Roosevelt shared the British Raj's dichotomous, essentialized thinking about race and ethnicity. President Roosevelt

enthusiastically embraced Rudyard Kipling's phrase "the white man's burden" in his successful quest for empire.

- 24 Kipling 1989. 25 Forster 1978.
- 25 Forster 19/8.
- 26 Quoted in Dilks 1970, 240. Lord Curzon wrote these words in the early stages of a protracted but ultimately unsuccessful effort that reached up to the prime minister, Lord Salisbury, and the queen empress, Victoria. Salisbury supported Indian army opinion against the viceroy in opposing Imperial Cadet Corps graduates for KCOs, and he seems to have advised the queen empress along the same lines.

Ten years later, during World War I, there were highlevel efforts to end the Indian army's apartheid system. On November 18, 1915, with the shedding of Indian blood in Flanders on behalf of the British cause fresh in mind, the secretary of state for India, Austen Chamberlain, telegraphed the viceroy, Charles Hardinge, that "on imperial grounds early action [with respect to granting the status of KCO to Indian officers] seems to me desirable in order to mark the part played by Indian troops in the war and *refute the colour bar theory* [emphasis added]. . . . The following have, I understand, all proved their fitness for commissions in Indian regiments both as officers and comrades." Captain Amar Singh's name is the first of the six mentioned. See Telegram No. 2012.

It did not happen—at least not on January 1, 1916, when Chamberlain thought it could more easily appear as an "act of grace and not in response to agitation." On August 25, 1917, five days after the "momentous declaration" committing Britain to "responsible government" for India, it did happen, but only for a few years—roughly until Amar Singh's retirement from the Indian army in 1922. With Liberals member Edwin Montagu as secretary of state for India, and Lord Chelmsford the viceroy, and a "powerful and increasing demand for a greater Indian share in the administration of the country," the title of KCO was offered to Captain Amar Singh and eight other former Imperial Cadets.

For more on Amar Singh's Indian army career, the Imperial Cadet Corps, and early efforts to desegregate and thereby Indianize the Indian army, see Rudolph and Rudolph 2002c, part IV. We examine the Indian army's racial apartheid, Amar Singh's liminal positioning from 1905 through 1917, and the window of nominal racial equality he experiences as a KCO from 1917 through 1922. See also Mason 1974 and Sundaram 1996.

- 27 Rudolph and Rudolph 2002c, 159.
- 28 Nandy 1988, 104.
- 29 We follow Emma Tarlo in believing that "clothing matters." She demonstrates the semiotic richness of dress in Tarlo 1996; the introduction and chapter 3 are particularly compelling analyses of how clothes make meaning and identity.
- 30 Harris 2001.
- 31 Nandy 1988; Nandy 2001; Chatterjee 1986.

- 32 Macaulay 2001, 430.
- 33 Nandy 1988; Chatterjee 1986. For a Marx-flavored postcolonial theory reading of these two texts, see Young 2001, sections 2 and 3 of chapter 24. In the 1960s, the negative valuation assigned by colonial masters and triumphant nationalists to liminality and hybridity migrated to the modernization theory that social scientists, mostly American, used to explain "development" in the "new nations" of the postcolonial world. Modernization theory held that "new nations" would experience social change as a transition from the darkness of tradition to the light of modernity. No longer traditional but not yet modern, liminal and hybrid transitional personalities, like transitional societies, were viewed as unfinished, unstable, and inauthentic.

Three influential modernization theory books of the early 1960s illustrated the negative valuation of transitional personalities and societies: Almond and Verba 1963; Riggs 1964; Pye 1962. Gabriel Almond and Sidney Verba's concept of civic culture, which they expected would civilize the new nations of the third world, bore a suspicious resemblance to American democracy at its best. Fred Riggs envisioned tradition and modernity as "agraria" and "industria," respectively, and the transition between them in terms of a "prismatic" society suspended between the "fused" (traditional) and the "diffracted" (modern). Lucian Pye found that "transitional personalities are peculiarly prone . . . to essentially self-defeating practices; and . . . they lack the stable and more impersonal institutional forms which can harness man's more irrational purposes." Pye 1962, 36-7.

For a critique and an alternative to 1960s modernization theory, see the introduction of Rudolph and Rudolph 1967.

- 34 Srinivas 1996, 657.
- 35 Clifford 1986b, 116.
- 36 Madan 1975.
- 37 Ibid., 156.
- 38 "Subjective objectivity" is reminiscent of views expressed in Gadamer 1989 and Polanyi 1962.
- 39 Madan 1994, 159.
- 40 Srinivas 1996, 657.
- 41 Geertz 1988, 4–5. "It is clear," he says, "that in . . . [Foucauldian] terms anthropology is pretty much entirely on the side of 'literary' discourses rather than 'scientific' ones. . . Ethnographies tend to look at least as much like romances as they do lab reports." Geertz 1988, 8.
- 42 Rosaldo 1989, 201.
- 43 Our readers, however, are more likely to be familiar with the novels of Edith Wharton than with the sociological works of E. Digby Baltzell. The appearance of Baltzell 1958 made a case inter alia for the rise and fall of Anglo-Saxon–Protestant rule in America. Baltzell had been preceded in writing about the culture of fading WASPs by William Lloyd Warner in his *Yankee City Series* about Newburyport, Massachusetts, and by Robert S. and Helen

Merrell Lynd in their *Middletown* books about Muncie, Indiana.

- 44 Tanya Luhrmann reported a similar experience in a lecture about the writing of *Persuasions of the Witch's Craft*. See Luhrmann 1989; Luhrmann 2001.
- 45 Lurie 1967.
- 46 Gates 1991.
- 47 Carter 1976.
- 48 Gates 1991, 29-30.
- 49 Complicating the case for authenticity in the telling rather than the teller are the remarkable contributions to English literature of hybrid Indian authors—for example, Indian authors writing in English, such as Booker Prize

winners Salman Rushdie and Arundhati Roy. How much weight should be accorded to their hybrid identity and how much to the genius of their work? See Strongman 2002, which discusses the innovative English prose and cultural creativity of Rushdie, Roy, and other Indian authors. Also relevant is the *New Yorker's* Special Fiction Issue dated 23 and 30 June 1997, which celebrates the work of Indian authors writing in English.

- 50 As Philip Mason puts it, "It is hard to see how the Germans could have failed to pierce the line" if the Indians had not been there and held. Mason 1974, 414.
- 51 Amar Singh diary, entry for 15 October 1915.
- 52 Srinivas 1996, 657.