

## RINGS OF POLYNOMIALS WITH ARTINIAN COEFFICIENTS

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*Abstract* We study the extent to which the *weak Euclidean* and *stably free cancellation* properties hold for rings of Laurent polynomials  $A[t_1, t_1^{-1}, \dots, t_n, t_n^{-1}]$  with coefficients in an Artinian ring  $A$ .

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### Introduction

Recall that a module  $S$  over a ring  $\Lambda$  is said to be *stably free* when  $S \oplus \Lambda^a \cong \Lambda^b$  for some positive integers  $a, b$ . We say that  $\Lambda$  has *stably free cancellation* (SFC) when any stably free  $\Lambda$ -module is free. Elementary duality considerations show that this property is left-right symmetric. We show that Artinian rings have the SFC property. More generally, we study the extent to which the SFC property holds for the rings

$$L_n(A) = A[t_1, t_1^{-1}, \dots, t_n, t_n^{-1}]$$

of Laurent polynomials in  $n$  variables  $t_1, \dots, t_n$  with coefficients in an Artinian ring  $A$ . Here we do not assume that  $A$  is commutative but we do require that the variables  $t_i$  commute both among themselves and with the coefficients in  $A$ . When  $A$  is Artinian the Jacobson radical  $\text{rad}(A)$  is nilpotent [9, p. 81] and the quotient  $A/\text{rad}(A)$  is isomorphic to a product of matrix rings:

$$A/\text{rad}(A) \cong M_{d_1}(D_1) \times \cdots \times M_{d_m}(D_m), \quad (*)$$

where  $D_1, \dots, D_m$  are division rings and  $d_1, \dots, d_m$  are positive integers. The Artinian ring  $A$  is said to satisfy the *Eichler condition* (see [11, pp. 174–175]) when, in the decomposition (\*),  $D_i$  is commutative whenever  $d_i = 1$ . We strengthen this condition as follows: say that  $A$  is *strongly Eichler* when in (\*) each division algebra  $D_i$  is commutative. We then have the following.

**Theorem I.** *If the Artinian ring  $A$  is strongly Eichler, then  $L_n(A)$  has the SFC property for all  $n \geq 1$ .*

There is a corresponding property that has strong stability implications for automorphisms of free modules. A ring  $A$  is *weakly Euclidean\** (see [6, Chapter 1]) when, for all  $d \geq 2$ , any  $X \in \text{GL}_d(A)$  can be written as a product

$$X = E_1 \cdots E_n \cdot \Delta_d(\lambda),$$

where each  $E_i$  is an elementary transvection and  $\Delta_d(\lambda)$  is an elementary diagonal matrix with  $\lambda \in A^*$ . Here  $A^*$  denotes the group of invertible elements in the ring  $A$ . We say that the Artinian ring  $A$  is *very strongly Eichler* when in the decomposition (\*) each  $D_i$  is commutative and, in addition, each  $d_i \geq 2$ .

**Theorem II.** *If the Artinian ring  $A$  is very strongly Eichler, then  $L_n(A)$  is weakly Euclidean for all  $n \geq 1$ .*

Both Theorem I and Theorem II would seem to be best possible. In relation to Theorem I, a result of Ojanguran and Sridharan [8] shows that, for  $n \geq 2$ ,  $L_n(D)$  fails to have the SFC property whenever the division ring  $D$  is non-commutative. Regarding Theorem II, when  $n \geq 2$  the so-called Cohn matrix (see [2, p. 26])

$$\begin{pmatrix} 1 + t_1 t_2 & -t_2^2 \\ t_1^2 & 1 - t_1 t_2 \end{pmatrix} \in \text{GL}_2(L_2(\mathbf{F}))$$

fails to decompose as a product of elementary matrices over any field  $\mathbf{F}$ . A direct proof of this result may be found on p. 54 of Lam's book [7]. When  $n = 1$  we nevertheless obtain the following useful result.

**Theorem III.** *If the ring  $A$  is Artinian, then  $L_1(A)$  is weakly Euclidean; furthermore, if  $A$  also satisfies the Eichler condition, then  $L_1(A)$  has the SFC property.*

Finite rings are Artinian and strongly Eichler; thus we have the following.

**Theorem IV.** *If the ring  $A$  is finite, then*

- (i)  $L_n(A)$  has the SFC property for all  $n \geq 1$ ; and, moreover,
- (ii)  $L_1(A)$  is weakly Euclidean.

The results proved here all continue to hold if the rings  $L_n(A)$  are replaced by the standard polynomial rings  $P_m(A) = A[s_1, \dots, s_m]$  or even by rings of mixed type  $A[s_1, \dots, s_m, t_1, t_1^{-1}, \dots, t_n, t_n^{-1}]$ . However, as rings of the form  $L_n(A)$  occur naturally as group rings  $\mathbf{F}[\Phi \times C_\infty^n]$  when  $\Phi$  is finite, the construction  $L_n(A)$  seems more relevant to applications in non-simply connected homotopy theory (see [6, Chapter 11]).

\* The terminology arises from the classical theorem of Smith [10], which we may state as saying that an integral domain with a Euclidean algorithm is weakly Euclidean.

### 1. The weak Euclidean property for $L_1(A)$

Given a ring  $A$  and an integer  $d \geq 2$ , there is a canonical  $A$ -basis  $\{\varepsilon^{(d)}(r, s)\}_{1 \leq r, s \leq d}$  for the ring of  $d \times d$  matrices  $M_d(A)$  given by

$$\varepsilon^{(d)}(r, s)_{tu} = \delta_{rt}\delta_{su};$$

that is,  $\varepsilon^{(d)}(r, s)$  is the  $d \times d$  matrix with 1 in the  $(r, s)$ th position and 0 elsewhere. By an *elementary matrix of type I* in  $M_d(A)$  we mean one of the form

$$E(r, s; \lambda) = I_d + \lambda\varepsilon^{(d)}(r, s) \quad (r \neq s, \lambda \in A).$$

By an *elementary matrix of type II* in  $M_d(A)$  we mean one of the form

$$\Delta_d(\lambda) = \begin{pmatrix} \lambda & 0 & \cdots & 0 & 0 \\ 0 & 1 & \cdots & 0 & 0 \\ & & \ddots & & \\ & & & \ddots & \\ 0 & 0 & \cdots & 0 & 1 \end{pmatrix} \quad (\lambda \in A^*).$$

Formally, we have  $\Delta_d(\lambda) = I_d + (\lambda - 1)\varepsilon^{(d)}(1, 1)$ , where  $\lambda \in A^*$ . We say that  $A$  is *weakly Euclidean* when for  $d \geq 2$  each invertible matrix  $X \in \text{GL}_d(A)$  can be written in the form

$$X = E \cdot \Delta_d(\lambda),$$

where  $E$  is a product of elementary matrices of type I over  $A$  and  $\lambda \in A^*$ . A ring homomorphism  $\varphi: A \rightarrow B$  has the *lifting property for units* when the induced map  $\varphi_*: A^* \rightarrow B^*$  is surjective. We say  $\varphi$  has the *strong lifting property for units\** when, in addition, the following holds for  $\alpha \in A$ :

$$\alpha \in A^* \iff \varphi(\alpha) \in B^*.$$

It is straightforward to see the following.

**Proposition 1.1.** *Let  $\varphi: A \rightarrow B$  be a surjective ring homomorphism; if  $\text{Ker}(\varphi)$  is nilpotent, then  $\varphi$  has the strong lifting property for units.*

Elsewhere [6, Proposition 2.43, p. 21] we have shown the following.

**Proposition 1.2.** *Let  $\varphi: A \rightarrow B$  be a surjective ring homomorphism where  $B$  is weakly Euclidean; if  $\varphi$  has the strong lifting property for units, then  $A$  is also weakly Euclidean.*

\* The referee pointed out that the strong lifting property for  $\varphi$  may be restated as saying that  $\varphi$  has the lifting property and is a local morphism in the sense of Camps and Dicks [1].

Thus we have the following.

**Proposition 1.3.** *Let  $\varphi: A \rightarrow B$  be a surjective ring homomorphism with nilpotent kernel; if  $B$  is weakly Euclidean, then  $A$  is also weakly Euclidean.*

**Proposition 1.4.** *Let  $D_1, \dots, D_m$  be (possibly non-commutative) division rings; then  $M_{d_1}(D_1[t, t^{-1}]) \times \dots \times M_{d_m}(D_m[t, t^{-1}])$  is weakly Euclidean for any positive integers  $d_1, \dots, d_m$ .*

**Proof.** If  $D_i$  is a division ring, then  $D_i[t, t^{-1}]$  is a (possibly non-commutative) integral domain that admits a Euclidean algorithm (see [3]). It is now straightforward to see that matrix rings  $M_{d_i}(D_i[t, t^{-1}])$  are also weakly Euclidean (see [6, p. 22]). The required conclusion now follows as the class of weakly Euclidean rings is closed under finite direct products. □

**Theorem 1.5.** *Let  $A$  be an Artinian ring; then  $A[t, t^{-1}]$  is weakly Euclidean.*

**Proof.** The radical  $\text{rad}(A)$  of the Artinian ring  $A$  is nilpotent (see [9, p. 81]). Consequently,  $\text{rad}(A)[t, t^{-1}]$  is a nilpotent ideal in  $A[t, t^{-1}]$ . Moreover,

$$A/\text{rad}(A) \cong M_{d_1}(D_1) \times \dots \times M_{d_m}(D_m)$$

for some division rings  $D_1, \dots, D_m$  so that

$$A[t, t^{-1}]/\text{rad}(A)[t, t^{-1}] \cong M_{d_1}(D_1[t, t^{-1}]) \times \dots \times M_{d_m}(D_m[t, t^{-1}]).$$

The desired conclusion now follows from Remark 1.3 and Proposition 1.4. □

## 2. Suslin’s theorem and proof of Theorem II

We shall use the following theorem of Suslin [7, 12].

**Theorem 2.1.** *Let  $\mathbf{F}$  be a field and let  $k \geq 3$ ; then any  $X \in \text{GL}_k(L_n(\mathbf{F}))$  can be written in the form*

$$X = E_1 \cdots E_m \cdot \Delta_k(\lambda),$$

where  $\lambda \in L_n(\mathbf{F})^*$  and each  $E_i \in \text{GL}_k(L_n(\mathbf{F}))$  is an elementary matrix of type I.

We note that the unit group  $L_n(\mathbf{F})^*$  consists simply of elements of the form  $\alpha \cdot t_i^{e_i}$ , where  $\alpha \in \mathbf{F}^*$  and  $e_i$  is an integer [6, Appendix C].

Fixing a ring  $A$  and an integer  $q \geq 2$ , we study elementary matrices over the rings  $\Omega = M_d(M_q(A))$ . Write

$$\mathcal{E}(i, j)_{kl} = \delta_{ik}\delta_{jl}I_q,$$

where  $I_q$  is the identity matrix in  $M_q(A)$ ; then  $\{\mathcal{E}(i, j)\}_{1 \leq i, j \leq d}$  is a basis for  $M_d(M_q(A))$  over  $M_q(A)$ . When  $M_q(A)$  is considered as the base ring, we write  $\bullet$  for a matrix product over  $M_q(A)$ . Elementary matrices of type I in  $\text{GL}_d(M_q(A))$  then take the form

$$\bar{E}(i, j; Z) = \tilde{I} + Z \bullet \mathcal{E}(i, j),$$

where  $\tilde{I}$  denotes the identity matrix in  $M_d(M_q(A))$  and  $Z \in M_q(A)$ . Likewise, elementary matrices of type II in  $GL_d(M_q(A))$  take the form

$$\Delta_d(Z) = \begin{pmatrix} Z & 0 & \cdots & 0 & 0 \\ 0 & 1 & \cdots & 0 & 0 \\ & & \ddots & & \\ & & & \ddots & \\ 0 & 0 & \cdots & 0 & 1 \end{pmatrix},$$

where  $Z \in GL_q(A) = M_q(A)^*$ . In the special case where  $Z \in GL_q(A)$  is itself an elementary matrix of type II over  $A$ ,

$$Z = \Delta_q(\lambda) = \begin{pmatrix} \lambda & 0 & \cdots & 0 & 0 \\ 0 & 1 & \cdots & 0 & 0 \\ & & \ddots & & \\ & & & \ddots & \\ 0 & 0 & \cdots & 0 & 1 \end{pmatrix}$$

with  $\lambda \in A^*$ , we write  $\bar{\Delta}_{d,q}(\lambda) = \Delta_d(\Delta_q(\lambda)) \in GL_d(M_q(A))$ .

When  $d \geq 2$  there is a mapping, ‘block decomposition’,  $\nu: M_{dq}(A) \rightarrow M_d(M_q(A))$  defined as follows: if  $X = (x_{rs})_{1 \leq r, s \leq dq} \in M_{dq}(A)$  and  $1 \leq i, j \leq d$ , then

$$\nu(X) = (X(i, j))_{1 \leq i, j \leq d},$$

where  $X(i, j) \in M_q(A)$  is given by  $X(i, j)_{kl} = x_{q(i-1)+k, q(j-1)+l}$ ; moreover, we have the following.

**Proposition 2.2.** *For any ring  $A$ ,  $\nu: M_{dq}(A) \rightarrow M_d(M_q(A))$  is a ring isomorphism.*

To record the relationship between the various elementary matrices under block decomposition we first observe that there are unique functions

$$\nu: \{1, \dots, dq\} \rightarrow \{1, \dots, d\}, \quad \rho: \{1, \dots, dq\} \rightarrow \{1, \dots, q\}$$

defined by the requirement  $t + q = q\nu(t) + \rho(t)$  for  $1 \leq t \leq dq$ . It is straightforward to verify that

$$\nu(\varepsilon^{(dq)}(r, s)) = \varepsilon^{(q)}(\rho(r), \rho(s)) \bullet \mathcal{E}(\nu(r), \nu(s)). \tag{2.1}$$

The inverse relation is perhaps clearer: namely,

$$\nu^{-1}(\varepsilon^{(q)}(a, b) \bullet \mathcal{E}(i, j)) = \varepsilon^{(dq)}(q(i - 1) + a, q(j - 1) + b). \tag{2.2}$$

From (2.1) we note that

$$\nu(E(r, s; \lambda)) = \bar{E}(\nu(r), \nu(s); \lambda \varepsilon(\rho(r), \rho(s))) \quad (\lambda \in A). \tag{2.3}$$

Likewise, we have

$$\nu(\Delta_{dq}(\lambda)) = \bar{\Delta}_{d,q}(\lambda) \quad (\lambda \in A^*). \tag{2.4}$$

We first consider the rings  $L_n(\mathbf{F}) = \mathbf{F}[t_1, t_1^{-1}, \dots, t_n, t_n^{-1}]$ , where  $\mathbf{F}$  is a field.

**Theorem 2.3.** *Let  $d, q \geq 1$  be integers such that  $dq \geq 3$ . If  $X \in \text{GL}_d(M_q(L_n(\mathbf{F})))$ , then  $X$  can be expressed as a product*

$$X = \bar{E}_1 \bullet \cdots \bullet \bar{E}_m \bullet \bar{\Delta}_{d,q}(\delta),$$

where  $\bar{E}_1, \dots, \bar{E}_m \in \text{GL}_d(M_q(L_n(\mathbf{F})))$  are elementary of type I and  $\delta \in L_n(\mathbf{F})^*$ .

**Proof.** Put  $A = L_n(\mathbf{F})$ . If  $X \in \text{GL}_d(M_q(A))$ , put  $\hat{X} = \nu^{-1}(X) \in \text{GL}_{dq}(A)$ . By Suslin's theorem,  $\hat{X}$  can be expressed as a product

$$\hat{X} = E_1 \cdots E_m \cdot \Delta(\lambda),$$

where  $\lambda \in L_n(\mathbf{F})^*$  and each  $E_i \in \text{GL}_{dq}(L_n(\mathbf{F}))$  is an elementary matrix of type I. Thus

$$\nu(\hat{X}) = \nu(E_1) \bullet \cdots \bullet \nu(E_m) \bullet \nu(\Delta(\lambda))$$

so that, writing  $\bar{E}_i = \nu(E_i)$  we have  $X = \bar{E}_1 \bullet \cdots \bullet \bar{E}_m \bullet \bar{\Delta}_{d,q}(\delta)$ . □

**Corollary 2.4.** *If  $\mathbf{F}$  is a field, then  $M_q(L_n(\mathbf{F}))$  is weakly Euclidean for each  $q \geq 2$ .*

The weak Euclidean property is preserved under finite direct products. Moreover, the construction  $L_n$  commutes with both direct products and with the functor  $A \mapsto M_q(A)$ ; hence we have the following.

**Corollary 2.5.**  *$L_n[M_{q_1}(\mathbf{F}_1) \times \cdots \times M_{q_m}(\mathbf{F}_m)]$  is weakly Euclidean whenever  $\mathbf{F}_1, \dots, \mathbf{F}_m$  are fields and  $q_1, \dots, q_m$  are integers greater than or equal to 2.*

**Theorem 2.6.** *If the Artinian ring  $A$  is very strongly Eichler, then  $L_n(A)$  is weakly Euclidean for  $n \geq 2$ .*

**Proof.** Write  $A/\text{rad}(A) \cong M_{q_1}(\mathbf{F}_1) \times \cdots \times M_{q_m}(\mathbf{F}_m)$  for some fields  $\mathbf{F}_1, \dots, \mathbf{F}_m$  and integers  $q_1, \dots, q_m \geq 2$ . Then  $L_n(\text{rad}(A))$  is a nilpotent ideal in  $L_n(A)$  and

$$L_n(A)/L_n(\text{rad}(A)) \cong L_n[M_{q_1}(\mathbf{F}_1) \times \cdots \times M_{q_m}(\mathbf{F}_m)].$$

The desired conclusion now follows from Remark 1.3 and Corollary 2.5. □

Theorem II is now the conjunction of Theorem 1.5 and Theorem 2.6.

### 3. Proof of Theorems I, III and IV

The following is a straightforward deduction from Nakayama's lemma (see [6, pp. 170–171]).

**Proposition 3.1.** *Let  $\varphi: A \rightarrow \Omega$  be a surjective ring homomorphism such that  $\text{Ker}(\varphi)$  is nilpotent; if  $\Omega$  satisfies SFC, then so too does  $A$ .*

Suppose that  $A$  is an Artinian ring such that

$$A/\text{rad}(A) \cong M_{d_1}(D_1) \times \cdots \times M_{d_m}(D_m),$$

where  $D_1, \dots, D_m$  are division rings. We shall apply Proposition 3.1 in the case when  $\Lambda = L_n(A)$ ,  $\Omega = L_n(A)/L_n(\text{rad}(A))$  and  $\varphi$  is the natural mapping. Then

$$\Omega \cong M_{d_1}(L_n(D_1)) \times \cdots \times M_{d_m}(L_n(D_m)).$$

We showed in [5] that  $\Omega$  has the SFC property provided each  $D_i$  is commutative; that is, provided  $A$  is strongly Eichler. Thus from Proposition 3.1 we obtain the following.

**Proposition 3.2.** *If the ring  $A$  is Artinian and strongly Eichler, then  $L_n(A)$  has the SFC property.*

As we observed in the introduction, Ojanguran and Sridharan proved in [8] that  $L_n(D)$  fails the SFC property whenever  $n \geq 2$  and the division ring  $D$  is non-commutative. However, in the case  $n = 1$  one may show that  $L_1(\mathcal{D}) = \mathcal{D}[t, t^{-1}]$  has SFC regardless of whether the division ring  $D$  is commutative or not. Indeed, in that case,  $\mathcal{D}[t, t^{-1}]$  is projective free (see [4] or [5, Proposition 2.9]). The SFC property is now preserved under finite direct products and passage to matrix rings [6, pp. 171–173]. Thus  $M_{d_1}(L_1(D_1)) \times \cdots \times M_{d_m}(L_1(D_m))$  has the SFC property. From Proposition 3.1 we get the following.

**Proposition 3.3.** *If the ring  $A$  is Artinian, then  $L_1(A)$  has the SFC property.*

The conjunction of Proposition 3.2 and Proposition 3.3 is Theorem I of the introduction.

Any finite ring  $A$  is trivially Artinian so that  $A/\text{rad}(A) \cong M_{d_1}(D_1) \times \cdots \times M_{d_m}(D_m)$ , where  $D_1, \dots, D_m$  are finite division rings. However, a celebrated theorem of Wedderburn (see [13, p. 1]) now shows that each  $D_i$  is commutative; that is, we have the following.

**Corollary 3.4.** *Any finite ring is Artinian and strongly Eichler.*

Thus from Theorem 1.5, Proposition 3.2 and Remark 3.4 we have the following.

**Corollary 3.5.** *Let  $A$  be a finite ring; then*

- (i)  $L_n(A)$  has the SFC property for all  $n \geq 1$ ;
- (ii)  $L_1(A)$  is weakly Euclidean.

We may regard the coefficient ring  $A$  as a degenerate case  $A = L_0(A)$ . Thus, suppose that  $A$  is Artinian and write  $A/\text{rad}(A) \cong M_{d_1}(D_1) \times \cdots \times M_{d_m}(D_m)$ , where  $D_1, \dots, D_m$  are division rings. Then each  $M_{d_i}(D_i)$  is weakly Euclidean and has the SFC property. As both these properties are closed under finite direct products,  $A/\text{rad}(A)$  is weakly Euclidean and has the SFC property. However,  $\text{rad}(A)$  is nilpotent so that, from Remark 1.3 and Proposition 3.1, we conclude the following, which should be well known but is difficult to locate explicitly in the literature.

**Corollary 3.6.** *Any Artinian ring is weakly Euclidean and has the SFC property.*

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