



# Decaying capillary wave turbulence under broad-scale dissipation

Yulin Pan<sup>1</sup> and Dick K. P. Yue<sup>1,†</sup>

<sup>1</sup>Department of Mechanical Engineering, Massachusetts Institute of Technology, Cambridge, MA 02139, USA

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We study the freely decaying weak turbulence of capillary waves by direct numerical solution of the primitive Euler equations. By introducing a small amount of wave dissipation, measured by the viscosity magnitude  $\gamma_0$ , we are able to recover phenomena observed in experiments that are not described by weak-turbulence theory (WTT), including the exponential modal decay and time variation of the width and power-law spectral slope  $\alpha$  of the inertial range. In contrast to WTT, this problem also involves non-constant inter-modal energy transfer across the inertial range, which imposes a difficulty in quantifying and measuring the energy flux  $P$  associated with a certain power-law spectrum. We propose an effective and novel way to evaluate  $P$  in such cases by physically considering the unsteady effects of the spectrum and variation of the inter-modal energy transfer. Our results show the fundamental difference between the energy flux  $P$  and the total energy dissipation rate  $\Gamma$ , which is due to significant energy dissipation within the inertial range. This settles the previous debate on the measurement of  $P$  which assumes the equivalence of the two. Based on our numerical data, we obtain a general form of the time-evolving inertial-range spectrum, where the parameters involved are functions of  $\gamma_0$  only. The value of the spectral slope  $\alpha$  at each time moment in the decay, however, is found to be uniquely related to the spectral magnitude at that time and irrespective of  $\gamma_0$ , in the range we consider. This physically reveals the dominant effect of nonlinear wave interaction in forming the power-law spectrum within the inertial range. The evolutions of the inertial-range energy are shown to be predicted by analytical integration of the evolving spectra for different values of  $\gamma_0$ .

**Key words:** capillary waves, turbulence theory, waves/free-surface flows

## 1. Introduction

First developed by Zakharov (e.g. Zakharov, L'vov & Falkovich 1992), weak-turbulence theory (WTT) aims at describing the steady-state statistical property of an ensemble of waves in weakly nonlinear interactions (see also Newell & Rumpf 2011).

<sup>†</sup> Email address for correspondence: [yue@mit.edu](mailto:yue@mit.edu)

In the wavenumber  $k$  domain, the WTT steady-state analytical solution yields a power-law inertial-range spectrum  $I_\eta \sim k^\alpha$  with a constant energy flux  $P$  from large forcing scales to small dissipative scales. Over the years, this result has found applications in various physical contexts including plasma physics (e.g. Galtier *et al.* 2002), optics (e.g. Dyachenko *et al.* 1992), internal waves (e.g. Lvov, Polzin & Tabak 2004), surface gravity and capillary waves (e.g. Zakharov & Filonenko 1966, 1967). As a representative physical system with three-wave resonant interactions, capillary wave turbulence has been the subject of many investigations. In addition to the fundamental interest of this problem, an accurate representation of capillary waves on water surface is also important in understanding the air–sea interaction (e.g. Csanady 2001) and remote sensing of the ocean (e.g. Martin 2014).

Under stationary state, the inertial range of a capillary wave spectrum can be obtained by solving the kinetic equation (Zakharov & Filonenko 1967; Stiassnie, Agnon & Shemer 1991; Pushkarev & Zakharov 2000). Two of the inherent assumptions in these works are that nonlinear wave interactions are not suppressed by discreteness in wavenumber, i.e. the finite-box effect (e.g. Pushkarev & Zakharov 2000) is neglected, and, to make the equation solvable, the assumption that dissipation does not exist within the inertial range. This yields a closed-form WTT solution:

$$I_\eta(k) = 2\pi C \frac{P^{1/2} \rho^{1/4}}{\sigma^{3/4}} k^{-19/4}, \quad (1.1)$$

where  $\sigma$  is the surface tension coefficient,  $\rho$  is the fluid density,  $C$  is a proportional constant and  $P$  measures the (constant) energy flux from large to small scales. Here,  $I_\eta(k)$  is defined as (e.g. Zakharov & Filonenko 1967)

$$\langle \hat{\eta}_k \hat{\eta}_{k'}^* \rangle = I_\eta(k) \delta(\mathbf{k} - \mathbf{k}'), \quad (1.2)$$

with the angle brackets denoting ensemble average and  $\hat{\eta}_k = 1/(2\pi) \int \int_{-\infty}^{\infty} \eta_r e^{-ik \cdot r} \mathbf{d}r$  being the Fourier transform of surface elevation  $\eta_r \equiv \eta(x, y)$ . The term  $I_\eta(k)$ , as defined in (1.2), is shown in Pan & Yue (2014) to be (proportional to) the energy density spectrum of  $\eta$  (e.g. Phillips 1985). For sufficiently high nonlinearity, (1.1) has been confirmed experimentally in terms of the scalings  $I_\eta \sim k^{-19/4}$  (e.g. Falcon, Laroche & Fauve 2007; Xia, Shats & Punzmann 2010) and  $I_\eta \sim P^{1/2}$  (Deike, Berhanu & Falcon 2014a). Numerically, the scaling of  $I_\eta \sim k^{-19/4}$  is recovered in a number of independent studies (e.g. Pushkarev & Zakharov 1996, 2000; Deike *et al.* 2014b; Pan & Yue 2014). Pan & Yue (2014) provide a detailed investigation of (1.1), extending the verification of the  $I_\eta \sim P^{1/2}$  scaling over a broad range of  $P$ .

While there are many investigations of the weak turbulence in the stationary regime where energy input is balanced by energy dissipation, freely decaying capillary wave turbulence is much less studied. A notable exception is the theoretical work of Falkovich, Shapiro & Shtilman (1995), where the decaying spectrum is considered in the framework of the kinetic equation. Under the WTT assumptions, the unsteady solution of the kinetic equation yields a time-varying spectral amplitude inversely proportional to time, and a power-law spectrum within an inertial range of fixed width that decays with constant spectral slope  $\alpha = -19/4$ :

$$I_\eta(k, t) \sim k^{-19/4} t^{-1}, \quad \text{for } t > t^0, \quad (1.3)$$

where  $t^0$  is the initial time of the evolution of the power-law spectrum. The total energy is obtained in Falkovich *et al.* (1995) by analytical integration of (1.3) in  $k$ , to yield  $E(t) \sim t^{-1}$ .

In physically realistic situations, finite-box effect is always present, and dissipation exists over broad scales. The validity of (1.3) under these effects must be checked by experimental and numerical studies. While there is no numerical investigation of this problem, experimental investigations show that, during the decay, the inertial range varies, with the cutoff wavenumber  $k_c$  moving towards lower  $k$  as time increases (Kolmakov *et al.* 2004; Deike, Berhanu & Falcon 2012; Deike, Bacri & Falcon 2013). The spectral slope  $\alpha$  is, in general, found to be time-varying (e.g. Miquel & Mordant 2011; Deike *et al.* 2013, 2014a), depending on the nonlinearity level and viscosity of the fluid. The time decay of the modal amplitudes obtained in all experiments is exponential, rather than  $t^{-1}$ . In terms of the total energy  $E(t)$ , the only direct measurement is that of Deike *et al.* (2012), which also shows an exponential decay, in disagreement with the theory (notwithstanding possible effects of gravity waves on the energy measurement which may affect the direct comparison). It is postulated that at least some of these apparent discrepancies can be attributed to the inherent assumptions underlying (1.3) (e.g. Kolmakov *et al.* 2004; Miquel & Mordant 2011; Deike *et al.* 2013). This leaves the modification of (1.3) under finite-box effect and broad-scale dissipation an open issue.

The broad-scale dissipation present in the actual physics is especially important for decaying turbulence, as it enhances unsteadiness by allowing a faster spectral energy variation at broad scales. Yet it introduces an extra dynamics into the weak-turbulence problem. Deike *et al.* (2014a) show, for stationary turbulence, that dissipation within the inertial range results in a non-constant inter-modal energy transfer. This is in contrast to WTT which postulates and obtains a constant energy flux  $P$  transferring energy across  $k$  from large to small scales. The variation of energy transfer in  $k$  affects the experimental (or numerical) quantification of  $P$ . For the case of stationary-state forced turbulence, earlier measurements of  $P$  (e.g. Falcon *et al.* 2007; Xia *et al.* 2010) rely on the assumption that  $P$  is equal to the total energy input rate, or equivalently the total rate of dissipation  $\Gamma$ . The obtained results are in apparent disagreement with the WTT scaling of  $I_\eta \sim P^{1/2}$ . This controversy is later shown to be resolvable (Deike *et al.* 2014a), in a limited range of nonlinearity, by defining  $P$  as the average of the inter-modal energy transfer over the entire inertial range. In decaying turbulence with broad-scale dissipation, the inter-modal energy transfer is further affected by the unsteadiness of the spectrum, and an effective way to evaluate  $P$  is not available.

The complexities associated with the unsteadiness in the decaying turbulence, broad-scale dissipation and finite-box effect, inevitably present in realistic physical experiments, are closely coupled. The general problem is difficult, and there is still not a clear elucidation, especially in the context of direct numerical investigation, of the underlying dynamics. In particular, it would be desirable to obtain a modified form of (1.3) (or (1.1)) for the spectral evolution, as well as that for  $E(t)$ , applicable to the general physical problem of decaying capillary wave turbulence. Physically, the role of unsteadiness in the spectrum evolution and dynamics, the time dependence of the spectral slope  $\alpha(t)$ , as well as its inherent connection to the wave field and dissipation magnitude, remain unknown. These are the focus of the present work.

We perform direct numerical simulation of decaying capillary wave turbulence implementing the nonlinear primitive Euler equations. We consider low Bond number such that the influence of gravity is neglected. The problem we solve is a substantial generalization of Pan & Yue (2014), where realistic broad-scale dissipation is included in the context of decaying turbulence. In contrast to Pan & Yue (2014), we also simulate the evolving spectrum for a long enough time scale to investigate the time-varying dynamics. Our results replicate those from experiments on a power-law

spectrum with exponential modal decay, as well as monochromatic decrease of  $k_c$  and variation of  $\alpha$  during the decay. Along with the evolution of the spectrum ( $\partial I_\eta / \partial t \neq 0$ ), the broad-scale dissipation results in variation of the energy transfer,  $\mathcal{J}(k, t)$ , along  $k$ . This substantially complicates the evaluation of the energy flux  $P$ . We propose a novel and effective way to obtain  $P$ , by integrating the modal energy balance equation along  $k$ , thus incorporating both effects of unsteadiness and non-constant inter-modal energy transfer  $\mathcal{J}$ . The obtained results on  $P$  are shown to be consistent with the framework of Pushkarev & Zakharov (2000) and Pan & Yue (2014) in terms of the scaling  $I_\eta \sim P^{1/2}$ . By considering energy dissipated at broad scales, we also show that the total energy dissipation rate  $\Gamma$  can be significantly higher than  $P$ , which settles the previous debate on the measurement of  $P$  by assuming the equivalence of the two (Falcon *et al.* 2007; Xia *et al.* 2010).

Based on our simulations, we are able to describe the time-dependent power-law spectrum within the inertial range  $[k_b, k_c(t)]$  in an explicit general form:

$$I_\eta(k, t) = I^0 k^{\alpha^0 - A(t-t^0)} e^{-B(t-t^0)}, \quad \text{for } k_b < k < k_c(t) \text{ and } t > t^0, \quad (1.4)$$

where  $I^0$  and  $\alpha^0$  are respectively the spectral amplitude and slope at  $t = t^0$ ,  $k_b$  is the (almost) constant wavenumber above which the power-law spectrum is established and  $k_c(t)$  is the spectral location where the spectrum departs from the power law (1.4);  $A$  and  $B$  are functions of  $\gamma_0$  only.

Equation (1.4) is shown to fit our numerical data obtained over the ranges of dissipation magnitude, spectral amplitude (nonlinearity) and evolution time that can be obtained by our simulation. For sufficiently high initial nonlinearity,  $\alpha^0 \approx -19/4$ . While  $A(\gamma_0)$  represents the time-varying rate of the spectral slope  $\alpha(t) = \alpha^0 - A(t - t^0)$ , we show that the value of  $\alpha$  at a given  $t$  can be solely related to the nonlinearity level of the spectrum at that time, irrespective of  $\gamma_0$ . The evolution of energy  $E_t(t)$  within the inertial range is shown to be well predicted by the analytical integration of (1.4).

## 2. Numerical formulation

We consider isotropic decaying capillary wave turbulence in the context of potential flow (velocity potential  $\phi(x, y, z, t)$ ), in terms of the primitive Euler evolution equations (e.g. Zakharov 1968) for the surface elevation  $\eta(x, y, t)$  and surface velocity potential  $\psi(x, y, t) \equiv \phi(x, y, \eta, t)$ :

$$\eta_t = -\nabla \eta \cdot \nabla \psi + (1 + \nabla \eta \cdot \nabla \eta) \phi_z|_\eta + F^{-1}[\gamma_k \eta_k], \quad (2.1)$$

$$\psi_t = -\frac{1}{2} \nabla \psi \cdot \nabla \psi + \frac{1}{2} (1 + \nabla \eta \cdot \nabla \eta) \phi_z|_\eta^2 + \frac{\sigma}{\rho} \nabla \cdot \frac{\nabla \eta}{\sqrt{1 + |\nabla \eta|^2}} + F^{-1}[\gamma_k \psi_k], \quad (2.2)$$

where  $F^{-1}$  is the inverse Fourier transform and  $\gamma_k \equiv \gamma(k) = -\gamma_0 k^2$  is applied on all  $k$ , modelling the broad-scale viscous dissipation at the free surface (e.g. Deike *et al.* 2014a).

We numerically integrate (2.1) and (2.2) in time with the high-order spectral (HOS) method (refer to Pan & Yue (2014) for a validation of the method). The simulation starts from an initial isotropic wave field with arbitrary spectral energy distribution. After sufficient time, an inertial-range power-law spectrum forms due to nonlinear wave interactions. Our objective is to study the decay of this spectrum until the physics reaches a purely dissipative regime, i.e. we focus on the spectrum with an

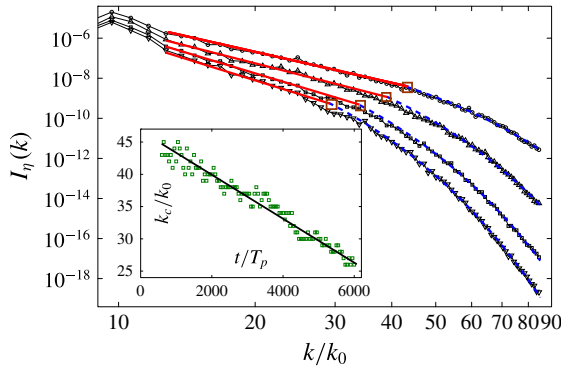


FIGURE 1. A typical decay of the power-law spectrum for  $\widehat{\gamma}_0 = 1.6 \times 10^{-5}$ . The spectra from top to bottom are realized at  $t/T_p = 600$  ( $\ominus$ ) with  $\alpha = -4.8$ ,  $t/T_p = 2100$  ( $\blacktriangle$ ) with  $\alpha = -5.7$ ,  $t/T_p = 3600$  ( $\blacksquare$ ) with  $\alpha = -6.7$  and  $t/T_p = 5100$  ( $\blacktriangledown$ ) with  $\alpha = -7.5$ , where  $T_p = 2\pi/\omega_p$ . For reference, the power-law (—) and exponential (---) fits of the spectra within  $[k_b, k_c]$  and  $[k_c, k_{max}]$  respectively, as well as values of  $k_c$  ( $\square$ ) are indicated. Inset: variation of  $k_c$  ( $\square$ ) with  $t$ , and the linear fit (—) with  $R^2 = 0.96$ .

inertial range longer than a critical value (in practice,  $\gtrsim 0.3$  decade). To obtain a broad range of energy variation, we choose an initial state specified by a JONSWAP spectrum (the inertial-range results are not sensitive to the specific choice of the initial spectrum), with effective steepness  $\beta = k_p H_s/2 = 0.25$  (with  $k_p$  being the peak wavenumber and  $H_s$  the significant wave height), which is the highest nonlinearity that can be modelled by the HOS method.

Simulations are carried out on a periodic domain with  $256 \times 256$  grid points ( $k_{max} = 256$ ) with a  $2/3$  dealiasing rule. The peak wavenumber  $k_p = 10k_0$ , with  $k_0$  being the fundamental wavenumber of the domain. Up to third-order nonlinearity is included to allow interactions of both three and four waves (cf. Pushkarev & Zakharov 1996; Pan & Yue 2014). We define the normalized dissipation coefficient  $\widehat{\gamma}_0 \equiv \gamma_0 k_p^2 / \omega_p$ , where  $\omega_p = \sqrt{\sigma k_p^3 / \rho}$  is the angular frequency corresponding to  $k_p$ . A power-law spectrum can be obtained in our simulation for  $\widehat{\gamma}_0 \in (0.5 \times 10^{-5}, 3.0 \times 10^{-5})$ . This range is limited above by the dominance of dissipation over nonlinear interaction and below by the inherent numerical instability associated with the growth of short waves. Results for selected values of  $\widehat{\gamma}_0 = 0.8 \times 10^{-5}$ ,  $1.6 \times 10^{-5}$  and  $2.4 \times 10^{-5}$  are presented.

### 3. Results

Figure 1 shows a typical decay of the spectrum  $I_\eta$  after the power-law inertial range is established. This plot is a representative of all our results, where the evolving spectrum features a power-law range within  $[k_b, k_c(t)]$  (with  $k_b \approx 1.5k_p$ ) and an exponential range within  $[k_c(t), k_{max}]$ . In practice (with sufficiently wide  $[k_b, k_c]$ ),  $k_c$  is obtained from the intersection of the power-law  $I_\eta \sim k^\alpha$  and exponential  $I_\eta \sim \exp(\beta k)$  fits of the numerical data. Physically,  $k_c$  corresponds to the spectral location at which the time scales of nonlinear interaction and viscous dissipation are balanced (Kolmakov *et al.* 2004; Deike *et al.* 2014b). As the spectrum decays,  $k_c$  decreases monotonically (cf. Kolmakov *et al.* 2004). This decrease is found to be approximately linear with  $t$  for all our cases (see figure 1 inset). Within the power-law range, it is clear that  $I_\eta \sim k^\alpha$  where  $\alpha = \alpha(t)$ . As the spectrum evolves,

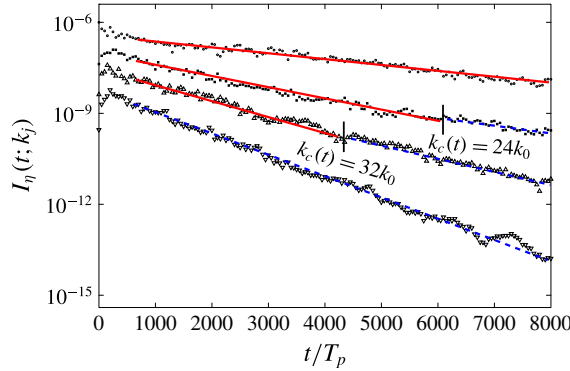


FIGURE 2. Evolution of  $I_\eta(t; k_j)$  for four select modes  $k_j/k_0 = 16$  ( $\circ$ ),  $24$  ( $\square$ ),  $32$  ( $\triangle$ ) and  $45$  ( $\nabla$ ). For reference, linear curve fits for  $k_j$  less (—) or greater (---) than  $k_c(t)$  are plotted.

$\alpha$  decreases as the negative power-law spectral slope steepens. This phenomenon, also observed in the simulation without dissipation in the inertial range (Pan & Yue 2014), is concluded to be due to the finite-box effect. This is a phenomenon where nonlinear wave interactions are suppressed due to the discreteness in  $k$  (and nonlinear resonance broadening is insufficient to overcome it) (e.g. Pushkarev & Zakharov 2000). It results in a steeper spectrum at lower nonlinearity, as evidenced also from gravity wave turbulence (e.g. Denissenko, Lukaschuk & Nazarenko 2007).

Figure 2 plots  $I_\eta(k_j, t)$  as a function of time for different values of  $k_j \in [k_b, k_{max}]$ . Regardless of whether  $k_j$  is in the power-law range,  $I_\eta(t; k_j)$  decays exponentially (cf. Deike *et al.* 2012, 2013) with  $I_\eta(k, t) \sim \exp(-\xi t)$ , where  $\xi = \xi(k)$ . As a fixed  $k_j$  goes from below to above  $k_c(t)$  (due to the time variation of  $k_c(t)$ ),  $\xi$  decreases slightly, as evidenced by the change of slope of  $I_\eta(t; k_j)$  beyond  $t$  when  $k_c(t)$  becomes smaller than  $k_j$  (for example the  $k_j/k_0 = 24, 32$  curves in the figure, while  $\xi$  is constant for  $k_j = 16k_0 < k_c(t)$  and  $k_j = 45k_0 > k_c(t)$  for the time range plotted).

The modal decay rate  $\xi(k)$  as a function of  $k$  is plotted in figure 3. For comparison, the modal dissipation rate  $\gamma_v(k) = 2|\gamma(k)|$  is also shown. In general,  $\xi(k) \neq \gamma_v(k)$  due to the inter-modal energy transfer. For a given  $\gamma_0$ , there is a wavenumber  $k_\gamma$  above which  $\xi(k) < \gamma_v(k)$ , indicating a transition to a regime where more energy is dissipated by  $\gamma_0$  than can be explained by the decrease in  $I_\eta$ . This can be elucidated by considering the modal energy balance:

$$\frac{\partial \mathcal{E}}{\partial t} + \frac{\partial \mathcal{J}}{\partial k} = -\gamma_v \mathcal{E}, \tag{3.1}$$

where  $\mathcal{E}(k, t) = \sigma k^3 I_\eta(k) / (2\pi)$  is the modal energy density. As  $\partial \mathcal{E} / \partial t = -\xi \mathcal{E}$ ,  $\partial \mathcal{J} / \partial k$  can be explicitly evaluated as  $\partial \mathcal{J} / \partial k = (\xi - \gamma_v) \mathcal{E}$ . In the subregime  $k < k_\gamma$ , we have  $\xi \approx \gamma_v$  and  $\partial \mathcal{J} / \partial k \approx 0$  (see figure 3), and the energy flux can be approximated by a constant, say,  $P_\gamma \equiv \mathcal{J}|_{k=k_\gamma}$ . In this wavenumber regime, the framework of WTT is recovered with (constant) energy flux  $P = P_\gamma$ . For  $k > k_\gamma$ ,  $\xi < \gamma_v$  and  $\partial \mathcal{J} / \partial k < 0$ , showing that the energy transferred by  $P_\gamma$  is absorbed in this regime. Using this physical argument,  $P_\gamma$  can be evaluated by

$$P_\gamma = \int_{k_\gamma}^{k_{max}} (\gamma_v - \xi) \mathcal{E}(k) dk. \tag{3.2}$$

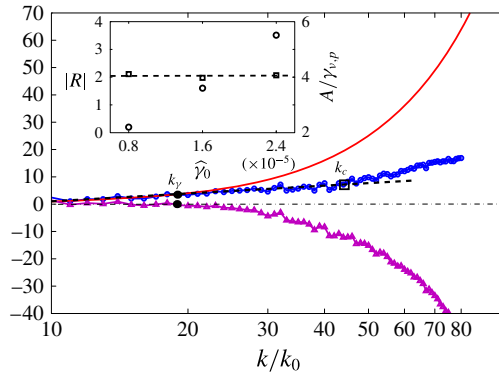


FIGURE 3. Normalized modal decay rate  $\hat{\xi}(k) \equiv \xi(k)/\gamma_{v,p}$  ( $\ominus$ ), modal dissipation rate  $\hat{\gamma}_v(k) = 2|\gamma(k)|/\gamma_{v,p}$  (—) and variation of energy transfer  $(\partial\mathcal{J}/\partial k)/(\mathcal{E}\gamma_{v,p})$  ( $\blacktriangle$ ) as functions of  $k$  at a certain time  $t/T_p = 2100$ , where  $\gamma_{v,p} \equiv \gamma_v(k_p)$ . The linear fit within the inertial range  $\xi = A \ln k + B$  (---), and locations of  $k_\gamma$  ( $\bullet$ ) and  $k_c$  ( $\square$ ) are indicated. Inset: values of  $|R| = |B/A|$  ( $\square$ ) and  $A/\gamma_{v,p}$  ( $\circ$ ) for different values of  $\hat{\gamma}_0$ .

Figure 4 shows the variation of  $P_\gamma^{1/2}$  as a function of the spectral evolution characterized by the spectral amplitude at the reference wavenumber  $k_b$ ,  $I_\eta(t; k_b)/I_\eta(t^0; k_b)$ . We observe that the dependence of  $I_\eta \sim P_\gamma^{1/2}$  resembles closely that obtained in Pan & Yue (2014) for  $P$  in the context of WTT. The deviations from the WTT theoretical scaling for decreasing amplitude in both cases reflect the presence of the finite-box effect. Since  $I_\eta(t; k_b) \sim \exp(-\xi t)$ , we obtain  $P_\gamma \sim \exp(-2\xi t)$ . The total energy dissipation rate, calculated as

$$\Gamma = \int_0^{k_{max}} \gamma_v \mathcal{E} dk, \tag{3.3}$$

is also plotted in figure 4, showing that in general  $\Gamma > P_\gamma$ . This explains the significant overprediction of  $P$  using  $\Gamma$  (or equivalently by using energy input in forcing turbulence) in previous work (Falcon *et al.* 2007; Xia *et al.* 2010).

The impact of the unsteady effect on the evaluation of  $P_\gamma$  can be seen from (3.2); it tends to reduce  $P_\gamma$ . The relative importance of the unsteady effect can be characterized by a parameter  $\mathcal{Z}$ :

$$\mathcal{Z} \equiv \frac{\int_{k>k_\gamma} \xi \mathcal{E} dk}{\int_{k>k_\gamma} \gamma_v \mathcal{E} dk}. \tag{3.4}$$

The parameter  $\mathcal{Z}$  is plotted in figure 4, showing that  $\mathcal{Z} = 0.6\text{--}0.7$  (in general, also a function of  $\gamma_0$ ). This illustrates the significant unsteady effect for this problem, in contrast to our previous work for quasi-stationary turbulence (Pan & Yue 2014) where, in theory,  $\mathcal{Z} = 0$  (in the actual numerics,  $\mathcal{Z} = 0.1\text{--}0.2$  (Pan & Yue 2014)).

Equation (1.4) is equivalent to

$$I_\eta(k, t) = I^0 k^{\alpha^0} \exp(-\xi(k)(t - t^0)), \tag{3.5}$$

where  $\xi = A \ln k + B$ , which describes the exponential modal decay with rate  $\xi(k)$  from an initial power-law spectrum  $I^0 k^{\alpha^0}$ , as evidenced from figures 1 and 2. The

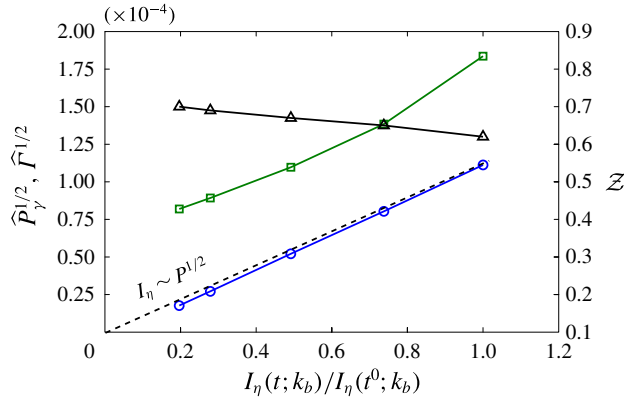


FIGURE 4. Normalized energy flux  $\hat{P}_\gamma \equiv P_\gamma/(\sigma\omega_p)$  ( $\text{---}\circ\text{---}$ ), total energy dissipation rate  $\hat{\Gamma} \equiv \Gamma/(\sigma\omega_p)$  ( $\text{---}\square\text{---}$ ) and unsteady parameter  $Z$  ( $\text{---}\triangle\text{---}$ ) as functions of spectral decay characterized by  $I_\eta(t; k_b)/I_\eta(t^0; k_b)$ . The WTT scaling  $I_\eta \sim P^{1/2}$  is indicated ( $\text{---}$ ).

linear dependence of  $\xi$  and  $\ln k$  is confirmed in figure 3. Indeed, this is an algebraic requirement for the spectrum to maintain power-law form in the evolution. Since  $\xi$  is not a function of time in the power-law range (cf. figure 2),  $A$  and  $B$  are constants (and functions of  $\gamma_0$  only).

The explicit time dependence of the spectral slope  $\alpha(t)$  can be factored out in (1.4) to obtain

$$\alpha = \frac{1}{\ln k + R} \left( \ln \frac{I_\eta(k)}{I^0} + R\alpha^0 \right), \quad R \equiv B/A. \quad (3.6)$$

While  $A$  and  $B$  are functions of  $\gamma_0$ , we find that the value of  $R$  (see figure 3 inset) remains almost constant over the range of  $\gamma_0$  we consider. Equation (3.6) thus shows that, even though the evolutionary rates of  $\alpha(t)$  and  $I_\eta(t; k)$  depend on the magnitude of dissipation, the instantaneous  $\alpha$  is uniquely related to the spectral magnitude (nonlinearity), independent of  $\gamma_0$ . Physically, this states that the development of the spectral slope within the inertial range is governed by nonlinear wave interactions (only), i.e. local effects of dissipation are removed by faster nonlinear interactions. To further validate this, we plot in figure 5 the spectral slope  $\alpha$  as a function of  $I_\eta(k_b)$  for all values of  $\gamma_0$  that we consider. Indeed, all of the data collapse to the curve described by (3.6). The value of  $\alpha \approx -19/4$ , corresponding to WTT (see (1.1)), is achieved at the highest nonlinearity ( $I_\eta(k_b)$ ) that can be modelled by the HOS method. In a recent experiment with a much broader range of dissipation magnitude (varying over a factor of 100) (Deike *et al.* 2014a),  $\alpha$  is found to be different between the regimes of high and low dissipation. The result may also depend on the specific form of dissipation considered (cf. Deike *et al.* 2012; Miquel, Alexakis & Mordant 2014). The underlying physics for broader ranges of dissipation magnitude and nonlinearity level requires further investigation.

If dissipation is absent in the power-law range (and  $Z \rightarrow 0$ ), the decay of the total energy  $E$  can be related to the (constant) nonlinear energy flux  $P$  to obtain  $E \sim t^{-1}$  (Falkovich *et al.* 1995). Indeed, this relation can be derived by direct integration of the equation  $dE/dt = -\Gamma = -P \sim -E^2$  (since  $I_\eta \sim P^{1/2}$ ), where the assumption of  $P = \Gamma$  is needed. In the present context of broad-scale dissipation,  $\Gamma > P_\gamma \sim P$  and



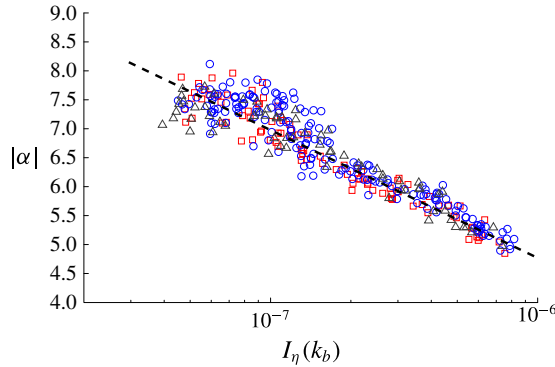


FIGURE 5. Spectral slope  $|\alpha|$  as a function of  $I_\eta(k_b)$  for  $\gamma_0 = 0.8 \times 10^{-5}$  ( $\circ$ ),  $1.6 \times 10^{-5}$  ( $\square$ ) and  $2.4 \times 10^{-5}$  ( $\triangle$ ). Scatter of the data is caused by the fluctuations of the spectra. Equation (3.6) is indicated (---).

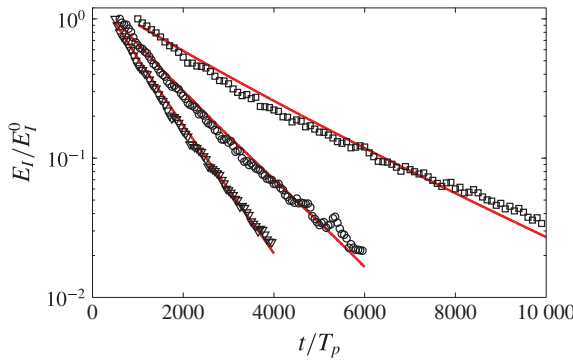


FIGURE 6. Variations of energy  $E_I/E_I^0$  (where  $E_I^0$  is the inertial-range spectral energy at  $t = t^0$ ) with time from predictions of (3.7) (—) and numerical data for  $\gamma_0 = 0.8 \times 10^{-5}$  ( $\square$ ),  $1.6 \times 10^{-5}$  ( $\circ$ ) and  $2.4 \times 10^{-5}$  ( $\nabla$ ).

the  $t^{-1}$  scaling does not hold. We focus on the power-law range and define  $E_I \sim \iint_{k_b}^{k_c} k^2 I_\eta(k) dk$ . Substitution of (1.4) (or equivalently (3.5)) gives

$$E_I(t) \sim \frac{\exp(-B(t - t^0))}{4 + \alpha(t)} (k_c(t)^{4+\alpha(t)} - k_b^{4+\alpha(t)}). \tag{3.7}$$

Figure 6 plots the evolution of  $E_I$  with time, comparing the numerical data with (3.7). Agreement is achieved over two decades of  $E_I$  for the range of  $\gamma_0$  we consider. This consolidates the effectiveness of (1.4) in representing the decaying spectrum, and provides a simple form in approximating  $E_I(t)$ .

#### 4. Conclusion

We present a direct numerical investigation of freely decaying capillary wave turbulence with broad-scale dissipation of magnitude  $\gamma_0$ . The problem we consider is an extension of WTT (Zakharov & Filonenko 1967; Falkovich *et al.* 1995) where the turbulence is allowed to evolve freely in the presence of physically realistic

dissipation and finite-box effect. Our simulation results are consistent with evidence from physical experiments, in terms of the shortening of the power-law range and the steepening of the spectral slope  $\alpha$  during the decay (e.g. Kolmakov *et al.* 2004; Miquel & Mordant 2011; Deike *et al.* 2012, 2013). Based on our numerical findings, we obtain a simple model, (1.4), describing the evolution of the power-law spectrum in the form of exponential modal decay from an initial spectrum. The rate of modal decrease in time,  $\xi(k)$ , is shown to be given by  $\xi(k) = A \ln k + B$ , with  $A$  and  $B$  depending only on  $\gamma_0$ . Over the range of dissipation magnitude that can be obtained using our direct simulation, the instantaneous spectral slope  $\alpha$  during the evolution is found to depend only on the nonlinearity of the spectrum at that time, irrespective of  $\gamma_0$ . The decay of energy within the inertial range obtained from (1.4) is also shown to approximate well those obtained from simulations. These findings are in contrast to the theoretical result (Falkovich *et al.* 1995) without broad-scale dissipation and finite-box effect, underscoring the importance of these effects in the actual physical problem. Relative to WTT, broad-scale dissipation and unsteadiness here result in a non-constant inter-modal energy transfer  $\mathcal{J}$  in the inertial range, which requires an alternative quantification of the energy flux  $P$ . Within a subrange  $k < k_\gamma$  of our inertial range, we find that  $\partial\mathcal{J}/\partial k \approx 0$ , so that the energy flux can be approximated by a constant  $P = P_\gamma = \mathcal{J}|_{k=k_\gamma}$ . In this subrange, the framework of (stationary) WTT is obtained, and we recover the WTT scaling  $I_\eta \sim P_\gamma^{1/2}$  (e.g. Pushkarev & Zakharov 2000; Pan & Yue 2014). The present results describing decaying capillary wave turbulence are expected to hold in other weak-turbulence systems with broad-scale dissipation.

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