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# **Original Research**

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Author for correspondence: \*Roger S. McIntyre Email: roger.mcintyre@uhn.ca Does body mass index predict response to intravenous ketamine treatment in adults with major depressive and bipolar disorder? Results from the Canadian Rapid Treatment Center of Excellence

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### Abstract

**Background.** Higher body mass index (BMI) has been found to predict greater antidepressant response to intravenous (IV) ketamine treatment. We evaluated the association between BMI and response to repeat-dose IV ketamine in patients with treatment-resistant depression (TRD). **Methods.** Adults (N = 230) with TRD received four infusions of IV ketamine at a community-based clinic. Changes in symptoms of depression (ie, Quick Inventory for Depressive Symptomatology-Self-Report 16; QIDS-SR<sub>16</sub>), suicidal ideation (SI; ie, QIDS-SR<sub>16</sub> SI item), anxiety (ie, Generalized Anxiety Disorder-7 Scale), anhedonic severity (ie, Snaith–Hamilton Pleasure Scale), and functioning (ie, Sheehan Disability Scale) following infusions were evaluated. Participants were stratified by BMI as normal (18.0-24.9 kg/m<sup>2</sup>; n = 72), overweight (25-29.9 kg/m<sup>2</sup>; n = 76), obese I (30-34.9 kg/m<sup>2</sup>; n = 47), or obese II ( $\geq$ 35.0 kg/m<sup>2</sup>; n = 35).

**Results.** Similar antidepressant effects with repeat-dose ketamine were reported between BMI groups (P = .261). In addition, categorical partial response (P = .149), response (P = .526), and remission (P = .232) rates were similar between the four BMI groups.

**Conclusions.** The findings are limited by the observational, open-label design of this retrospective analysis. Pretreatment BMI did not predict response to IV ketamine, which was effective regardless of BMI.

## Introduction

Obesity and mood disorders are highly prevalent conditions, affecting an estimated 500 million and 350 million individuals worldwide, respectively.<sup>1,2</sup> Furthermore, they are highly comorbid, as mood disorders are associated with a 1.5 to 2.0 times greater risk of obesity, with similarly increased risk of mood disorders in obese individuals.<sup>3-5</sup> Obesity and depression are associated with adverse long-term outcomes in both psychosocial and physical health, such as low selfesteem, decreased quality of life, increased risk for cardiovascular events, and early mortality.<sup>6-9</sup> In addition, there is a high economic burden of disease associated with both obesity and depression due to increased use of services and loss of productivity.<sup>10,11</sup>

Despite the common co-occurrence of obesity and depression, it remains unclear whether obese individuals are more (or less) likely to improve with monoamine-based antidepressant therapy relative to nonobese individuals. For example, Papakostas and colleagues<sup>5</sup> found that relative body weight, and not BMI, predicted nonresponse to an 8-week trial of fluoxetine. A separate study reported that higher baseline body weight and body mass index (BMI) predicted less favorable improvement in functional and symptom measures in adults with major depressive disorder (MDD) after a 6-week trial of fluoxetine.<sup>12</sup> Individuals with higher BMI may also exhibit significantly slower time to response, as suggested by a preliminary study showing slower time to response (but not attenuated response) in adults with MDD who were categorically obese.<sup>13</sup>

Conversely, Jha and colleagues<sup>14</sup> found that individuals with class II obesity (ie, BMI  $\geq$  35 kg/m<sup>2</sup>) were more likely to experience remission of depressive symptoms with combination bupropion-selective serotonin reuptake inhibitor (SSRI) therapy when compared to overweight or normal-weight individuals. It was also observed in this study that overweight/normal-weight individuals preferentially responded to SSRI monotherapy and venlafaxine-mirtazapine combination therapy when compared to individuals with class II obesity.<sup>14</sup> The observation that

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adjunctive anti-inflammatory treatments may be effective in adults with MDD and obesity provides mechanistic hints that inflammatory processes associated with obesity may be moderating antide-pressant response.<sup>15</sup>

While BMI is a poor predictor of response to typical monoaminebased antidepressants, converging lines of evidence suggest that BMI may be a positive predictor of response to ketamine, an N-methyl-Daspartate receptor antagonist. Ketamine differs from conventional antidepressants insofar as it is hypothesized to primarily target the glutamate system as opposed to the monoaminergic system. Intravenous (IV) ketamine has been shown to have rapid antidepressant effects in double-blind placebo-controlled trials and in real-world clinical settings in patients with depression.<sup>16-20</sup> Unlike monoaminebased antidepressants, individuals with higher BMI experience greater depressive symptom relief from ketamine treatment than individuals with lower BMIs.<sup>21-23</sup>

For example, an analysis of four separate studies by Niciu and colleagues<sup>22</sup> found that at both 230 minutes (n = 108; 50% male)

and 1 day (n = 82) following a single 0.5 mg/kg IV dose of ketamine, participants with treatment-resistant depression (TRD; MDD or bipolar disorder [BD]) with a higher BMI experienced a significantly greater reduction in depressive symptoms (mean BMI =  $30.5 \text{ kg/m}^2$ , SD = 6.9). Notwithstanding, BMI was not a predictor of sustained ketamine response at 7 days after infusion (n = 71). Machado-Vieira and colleagues<sup>21</sup> observed an inverse relationship between baseline adiponectin levels and rapid response to a single ketamine infusion (N = 80) in individuals with MDD (n = 49) or BD (n = 31) with an average BMI of 29.2 kg/m<sup>2</sup> (SD = 5.8). Adiponectin is an anti-inflammatory cytokine secreted by the adipose tissue, and is typically low in both individuals with high BMI and with depression.<sup>24</sup> Furthermore, participants who received IV ketamine experienced a significant decrease in resistin, a proinflammatory adipokine associated with both high BMI and depression.<sup>21,7</sup>

Mechanistically, it has been hypothesized that ketamine's efficacy in adults with either obesity and/or laboratory evidence of

fable 1.	Baseline Demographics of	Included Participants,	vith Two-Tailed Kruskal-Wallis or	Chi-Square Tests to	Compare Groups
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	Normal BMI	Overweight	Obese I	Obese II	
Characteristic	n=72	n=76	n=47	n=35	Р
Age in years, M (SD)	43.94 (16.64)	47.95 (13.83)	47.35 (13.25)	45.66 (11.97)	.268
Min	18.10	21.74	26.84	22.94	
Max	82.38	76.64	78.77	64.79	
Sex, n (%)					
Male	24 (33.33)	45 (59.21)	26 (55.32)	9 (25.71)	<.001
Female	48 (66.67)	31 (40.79)	21 (44.68)	26 (74.29)	
BMI, M (SD)	21.97 (1.85)	27.18 (1.35)	32.50 (1.27)	40.89 (4.72)	<.001
Primary diagnosis, n (%)					
MDD	62 (86.11)	65 (85.53)	41 (87.23)	30 (85.71)	.947
BD	6 (8.33)	8 (10.53)	5 (10.64)	4 (11.43)	
PTSD	1 (1.39)	2 (2.63)	1 (2.13)	1 (2.86)	
OCD	2 (2.78)	1 (1.32)	0 (0.00)	0 (0.00)	
BPD	1 (1.39)	0 (0.00)	0 (0.00)	0 (0.00)	
Secondary diagnosis, n (%) <sup>a</sup>					
MDD	3 (4.17)	2 (2.63)	2 (4.26)	1 (2.86)	.395
BD	1 (1.39)	0	2 (4.26)	0	
PTSD	8 (11.11)	4 (5.26)	1 (2.13)	5 (14.29)	
OCD	2 (2.78)	1 (1.32)	0	2 (5.71)	
PD	3 (4.17)	1 (1.32)	2 (4.26)	1 (2.86)	
SAD	5 (6.94)	6 (7.89)	2 (4.26)	1 (2.86)	
GAD	22 (30.56)	21 (27.63)	13 (27.66)	5 (14.29)	
ADHD	0	1 (1.32)	0	0	
Other	2 (2.78)	8 (10.52)	2 (4.26)	1 (2.86)	
None	26 (36.11)	26 (34.21)	22 (46.81)	18 (51.43)	
Baseline depressive symptom severity, M (SD)	18.06 (4.93)	17.14 (4.74)	18.42 (4.15)	19.94 (3.63)	.030
Number of previous antidepressant trials, <i>M</i> (SD)	5.81 (3.54)	7.08 (4.14)	7.34 (4.22)	7.35 (4.74)	.199
Number of concomitant antidepressants during ketamine treatment, M (SD)	1.36 (1.13)	1.60 (1.71)	1.41 (1.21)	2.08 (2.87)	.831

<sup>a</sup>Secondary diagnosis was missing for some participants.

Abbreviations: ADHD, attention deficit hyperactivity disorder; BD, bipolar disorder; BMI, body mass index; BPD, borderline personality disorder; GAD, generalized anxiety disorder; Max, maximum; Min, minimum; MDD, major depressive disorder; OCD, obsessive-compulsive disorder; PD, personality disorder; PTSD, post-traumatic stress disorder; SAD, social anxiety disorder.



Figure 1. Changes in depressive symptoms (A) and suicidal ideation (B) (ie, measured by the Quick Inventory for Depressive Symptomatology-Self-Report 16 total score and suicidal ideation score) with repeated IV ketamine infusions, adjusted for baseline symptom severity, age, sex, and level of treatment resistance.



Figure 2. Changes in symptoms of anxiety (A; measured by Generalized Anxiety Disorder-7 scale) and anhedonic severity (B; measured by the Snaith–Hamilton Pleasure Scale) with repeated intravenous ketamine infusions, by BMI category, adjusted for baseline depression severity, baseline symptom severity, age, sex, and level of treatment resistance.

pro-inflammatory balance is a consequence of the interplay between glutamate and central inflammatory processes.<sup>26</sup> Reduction in suicidal ideation (SI) with a single dose of IV ketamine treatment (N = 128) has not been found to be associated with BMI.<sup>27</sup> A synthesis of data from two open-label repeat-dose 0.5 mg/kg IV ketamine studies (N=22) found that patients with a higher BMI ( $M = 30.7 \text{ kg/m}^2$ , SD = 6.6) were significantly more likely to experience remission of depressive symptoms, regardless of whether BMI was analyzed as a continuous measure or as a categorical construct.<sup>28</sup> However, the association between categorical BMI and response to IV ketamine was only present when categorical obesity was split into obese I and obese II categories, and not when obesity categories were not differentiated. In addition, when controlling for baseline depression symptom severity, percent change in depressive symptoms following treatment was not significantly associated with log (BMI). Most recently, in a post hoc analysis of a randomized, double-blind, placebo-controlled

trial (N = 80) of single-dose ketamine (0.1, 0.2, 0.5, or 1.0 mg/kg) or placebo, both categorical and continuous BMI were positively associated with greater antidepressant response. However, only 12 study participants were obese, limiting the reliability of these findings.<sup>29</sup> Conversely, a recent study<sup>30</sup> reported that BMI as a categorical measure did not significantly predict response to repeat-dose IV ketamine (3-6 infusions, every other day, followed by maintenance infusions) at 0.5 mg/kg (N = 150). However, the presence of metabolic syndrome negatively predicted remission probability of depressive symptoms.<sup>30</sup> Notably, Dale and colleagues<sup>30</sup> did not differentiate between obesity I and obesity II categories.

The extant literature has conventionally defined treatment response as a reduction in overall depressive symptomatology or SI, rather than improvement in functioning, anxiety, or anhedonic severity. Herein, we sought to determine whether categorical BMI moderates response to repeat-dose IV ketamine in a large, wellTable 2. Model Effects of All Outcome Measures, Controlling for Baseline Symptom Severity, Baseline Depression Severity, Age, Sex, and Level of TreatmentResistance (ie, Number of Past Antidepressant Trials)

Model Effect	df	F	<i>P</i> value	$\eta_{\rm P}^2$		
Model 1: depressive symptoms (QIDS-SR <sub>16</sub> total score)						
Number of infusions	4, 535	59.88	<.001	0.306		
BMI category	3, 162	0.68	.567	0.012		
Number of infusions * BMI category	12, 535	1.23	.261	0.027		
Model 2: suicidality (QIDS-SR <sub>16</sub> suicidality item)						
Number of infusions	4, 535	26.83	<.001	0.167		
BMI category	3, 161	2.22	.088	0.040		
Number of infusions * BMI category	12, 535	2.32	.007	0.049		
Model 3: anxiety symptoms (GAD-7 total score)						
Number of infusions	2, 256	47.77	<.001	0.272		
BMI category	3, 179	2.43	.067	0.039		
Number of infusions * BMI category	6, 256	0.70	.653	0.016		
Model 4: anhedonic severity (SHAPS total score)						
Number of infusions	2, 299	39.05	<.001	0.207		
BMI category	3, 111	2.14	.100	0.055		
Number of infusions * BMI category	6, 299	1.03	.407	0.020		
Model 5: workplace function (SDS workplace function item)						
Number of infusions	2, 236	18.82	<.001	0.138		
BMI category	3, 122	0.54	.653	0.013		
Number of infusions * BMI category	6, 236	1.69	.124	0.041		
Model 6: social function (SDS social function item)						
Number of infusions	2, 262	30.05	<.001	0.187		
BMI category	3, 164	2.92	.036	0.051		
Number of infusions * BMI category	6, 261	1.73	.114	0.038		
Model 7: family function (SDS family function item)	Model 7: family function (SDS family function item)					
Number of infusions	2, 261	29.73	<.001	0.186		
BMI category	3, 169	1.01	.390	0.018		
Number of infusions * BMI category	6, 260	1.17	.325	0.026		

Abbreviations: BMI, body mass index; df, degrees of freedom; GAD-7, generalized anxiety disorder-7; QIDS-SR<sub>16</sub>, Quick Inventory of Depressive Symptomatology-Self-Report 16; SDS, Sheehan Disability Scale; SHAPS, Snaith–Hamilton Pleasure Scale.

Notes. Significant main effects and interaction effects, at a P<.05 threshold, are denoted in bold typeface.

characterized sample of adults with MDD or BD receiving care at a community-based treatment center. We defined response not only as improvement in depressive symptom severity, but we also separately evaluated the association between BMI and change scores of SI, anxiety, anhedonia, and general functioning.

#### Method

This study was registered at clinicaltrials.gov under the identifier NCT04209296 and was approved by a community institutional review board (IRB#00000971). The methods of this study as well as safety and tolerability of IV ketamine have been previously described in detail.<sup>31,32</sup>

In brief, adults (18+) with TRD received four infusions of IV ketamine over 1 to 2 weeks at a community-based outpatient clinic, the Canadian Rapid Treatment Center of Excellence (CRTCE). In

the initial two infusions, all patients received a dose of 0.5 mg/kg of ketamine hydrochloride diluted in 0.9% saline solution. The total dose of ketamine was determined at each infusion based on the patient's actual body weight. If a patient had a BMI greater than 35 kg/m<sup>2</sup>, ideal body weight (IBW) was used to calculate their dose (males: IBW = 50 + 2.3 kg/inch over 5 feet; females: IBW = 45.5 +2.3 kg/inch over 5 feet). Following the second ketamine infusion, patients who experienced insufficient response (ie, ≤20% reduction in total score on the Quick Inventory for Depressive Symptomatology-Self-Report 16  $[QIDS-SR_{16}]^{33}$ ) were eligible for a dose optimization to 0.75 mg/kg for subsequent infusions. Approximately 2 days after each infusion and 1 week after the fourth infusion, participants completed the QIDS-SR<sub>16</sub>. At baseline, after infusion 3, and at the post-initiation treatment visit, patients completed the General Anxiety Disorder 7-Item Scale (GAD-7),<sup>34</sup> the Snaith-Hamilton Pleasure Scale (SHAPS),<sup>35</sup> and the Sheehan Disability Scale (SDS).<sup>36</sup>



Figure 3. Changes in work (A) and psychosocial function (B and C; measured by Sheehan Disability Scale) with repeated intravenous ketamine infusions, by body mass index category, adjusted for baseline depression severity, baseline symptom severity, age, sex, and level of treatment resistance.

### Data analysis

Data were retrospectively analyzed using IBM SPSS Version 23 for Mac (SPSS, Inc., Chicago, Illinois) and GraphPad Prism 8.0. The primary aim of this analysis was to identify if there were differences in response to IV ketamine treatment across four infusions between individuals with obesity and without obesity. To accommodate for missing data, we fit the data to a mixed model using a compound symmetry covariance matrix and restricted maximum likelihood with the alpha level set to 0.05. Follow-up pairwise comparisons were conducted for significant main effects and interactions, and Bonferroni corrections were applied to adjust for multiple comparisons.

Data were stratified by BMI category as follows: normal (18.5-24.9 kg/m<sup>2</sup>; n = 72), overweight, (25.0-29.9 kg/m<sup>2</sup>; n = 76), obese I (30.0-24.9 kg/m<sup>2</sup>; n = 47), or obese II ( $\geq 25.0$  kg/m<sup>2</sup>; n = 35). Participants who were categorically underweight were removed from the analyses, since the sample size was small (n = 11).

Seven repeated measures hierarchical models were conducted to evaluate changes in each of the outcome measures across infusions. The model terms were group, infusion, and a group by infusion interaction. The dependent variables were total QIDS-SR<sub>16</sub>, QIDS-SR<sub>16</sub>, SI, GAD-7, SDS work/social/family, and SHAPS scores. Sex, age, level of treatment resistance (ie, number of past antidepressant trials), and baseline depressive symptom severity were included as covariates in all models. Baseline severity of the symptom of interest was also included in each model, respectively (eg, baseline anxiety severity was included in the anxiety model).

Categorical partial response, response, remission, and clinically significant improvement were calculated for each group. Partial response was operationalized as a 20% to 50% decrease in depressive symptoms (QIDS-SR<sub>16</sub> score) from baseline compared to post-infusion 4, response was operationalized as a 50% or greater decrease in symptoms, and remission was defined as a QIDS-SR<sub>16</sub> score of 5 or lower following four infusions. Clinically significant improvement was defined as a 20% or greater improvement in symptoms following four infusions, compared to pretreatment. Response and remission rates were compared using a Chi-square test for trend in GraphPad Prism 8.0, in order to determine if rates of response differed between groups.

### Results

A total of 290 participants received IV ketamine infusions at the CRTCE between July 2018 and July 2020. Twenty-four participants were missing baseline BMI data. Twenty-five participants were excluded due to missing data from four or more timepoints excluded. Eighteen visits across 11 participants were removed due to assessments being completed more than 4 days after the infusion (for infusions 1-3), or due to the post-infusion 4 assessment being completed more than 14 days after the fourth infusion. In total, 230 participants were included in this study. Demographic information for the included sample is described in Table 1.

**Table 3.** Pairwise Comparisons of Main Effect of BMI Category on Social

 Function Scores, and Interaction Effect Between BMI Category and Ketamine

 Infusion Number on Suicidal Ideation

Infusion Number	BMI Category	P <sup>a</sup>
Social function (main effect of BM	41)	
	Normal BMI	.274
	Overweight	n/a
	Obese I	.021
	Obese II+	>.999
Suicidal ideation (BMI $\times$ infusion	number)	
Post-infusion 1	Normal BMI	.216
	Overweight	n/a
	Obese I	>.999
	Obese II+	>.999
Post-infusion 2	Normal BMI	.594
Post-infusion 2	Overweight	n/a
	Obese I	>.999
Suicidal ideation (BMI × infusion num Post-infusion 1 Post-infusion 2 Post-infusion 3 Post-infusion 4	Obese II+	.992
Post-infusion 3	Normal BMI	>.999
	Overweight	n/a
	Obese I	>.999
	Obese II+	.384
Post-infusion 4	Normal BMI	>.999
	Overweight	n/a
	Obese I	>.999
	Obese II+	>.999

Abbreviation: BMI, body mass index.

Note. All pairwise comparisons were two-tailed, and Bonferroni corrections were applied to all P values to correct for multiple comparisons.

<sup>a</sup>Compared to the overweight group, when adjusting for covariates.

No significant differences in response to IV ketamine were reported for overall depressive symptoms (Figure 1), anxiety, anhedonic severity (Figure 2), workplace, or family function (Table 2 and Figure 3). Participants with a BMI in the obese I category reported lower difficulty with social function overall (Table 3). While a significant interaction effect was observed between categorical BMI and number of IV ketamine infusions, follow-up pairwise comparisons did not show statistically significant differences based on BMI (Table 3 and Figure 2).

In addition, similar rates of categorical response, remission, and clinically significant symptomatic improvements were observed between BMI groups following four infusions (Table 4).

# Discussion

Individuals with TRD, regardless of baseline BMI, responded favorably to repeat-dose IV ketamine. Across BMI categories, between 55% and 66% of participants reported a clinically significant improvement in depressive symptoms following four infusions. Overall, a meaningful differential response to ketamine based on categorical BMI was not observed. This finding is in accordance with the results of Ballard and colleagues<sup>27</sup> from a smaller sample, wherein no association between obesity and improvement in SI was observed. While a significant interaction effect was observed in our study between categorical BMI and IV ketamine infusions on symptoms of SI, follow-up analyses did not reveal significant differences in SI between groups.

Contrary to our observations, Niciu and colleagues<sup>22</sup> reported a positive correlation between BMI and response to ketamine treatment. It has been conjectured that this preferential response may be confounded by dosing calculations, as patients with higher body weight receive a higher drug dose. A follow-up study by the same group suggests that baseline adiponectin, which is typically aberrant in individuals with depression and obesity, may be a predictor of ketamine response as opposed to BMI.<sup>21</sup> However, subsequent research reported that reduction in inflammatory markers with IV ketamine treatment was not associated with reductions in depressive symptomatology.<sup>37</sup> Our findings are also in contrast to those reported by Freeman and colleagues,<sup>29</sup> who reported a significantly greater antidepressant response in patients with a higher BMI. This may be attributed to the small sample size, with only 12 obese participants and 30 overweight participants, and no stratification between obese I and obese II categories. Furthermore, in this trial, 75% of participants who were obese received a dose of either 0.5 or 1.0 mg/kg (vs 0.1 or 0.2 mg/kg), whereas only 47% of overweight and 50% of participants with a BMI in the normal range received a ketamine dose of 0.5 mg/kg or higher. It is, therefore, possible that this pattern contributed to positively skewed findings in terms of drug effectiveness for the obese group.

<b>Fable 4.</b> Categorical Response Outcome	es to Repeat-Dose Intravenous Ketamine
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Response	Normal BMI n=72	Overweight n=76	Obese I n = 47	Obese II n=35	Р
Partial response rate (20-50% QIDS-SR $_{16}$ decrease), n (%)	22 (32.25)	22 (32.84)	14 (34.15)	7 (23.33)	.149
Response rate ( $\geq$ 50% QIDS-SR <sub>16</sub> decrease), n (%)	18 (26.47)	15 (22.39)	13 (31.71)	12 (40.00)	.526
Clinically significant improvement ( $\geq$ 20 QIDS-SR <sub>16</sub> decrease), n (%)	40 (54.72)	37 (54.23)	27 (65.86)	19 (63.33)	.906
Remission rate (QIDS-SR <sub>16</sub> $\leq$ 5), n (%)	11 (15.94)	11 (15.94)	6 (13.95)	2 (6.25)	.232

Abbreviations: BMI, body mass index; QIDS-SR<sub>16</sub>, Quick Inventory for Depressive Symptomatology-Self-Report 16.

Note. Participants who were missing baseline data were excluded from the categorical analyses. Where post-infusion 4 data were missing but post-infusion 3 data were available, post-infusion 3 data were used to calculate response and remission.

Toward the aim of affirming (or refuting) the previous findings, Dale and colleagues<sup>30</sup> conducted an open-label trial of repeat-dose IV ketamine (3-6 doses per patient) to explore the relationship between obesity, depression, and ketamine treatment response (N = 150). Although BMI did not predict acute or sustained treatment response, individuals with metabolic syndrome (ie, diagnosis of hypertension, hyperglycemia, or hyperlipidemia) were 50% less likely to respond to acute IV ketamine treatment compared to patients without metabolic syndrome, after adjusting for age, sex, and baseline depression severity. Metabolic syndrome did not significantly predict sustained treatment response.<sup>30</sup> Additional research is essential in order to further delineate the relationship between metabolic conditions (eg, obesity and metabolic syndrome), inflammation, and response to IV ketamine treatment. Methodologically, most of the foregoing studies did not clearly identify whether ideal body weight or actual body weight was used to calculate the dose of ketamine for each participant. It has been suggested that ketamine dosing based on ideal body weight may result in underdosing patients in higher BMI categories. As ketamine is a highly lipophilic drug that crosses the blood-brain barrier, along with efficacy considerations, there are also safety concerns that need to be considered when dosing ketamine in patients with excess weight.<sup>28,38</sup> Ketamine's lipid-soluble properties may also cause important differences in pharmacokinetics and pharmacodynamics for patients with a high percentage of body fat.

There are several methodological aspects to our study that may affect inferences and interpretations of our findings. Our results represent a retrospective analysis of data from patients receiving care at an outpatient treatment clinic, rather than a randomized controlled prospective study. The inherent bias associated with a retrospective study design limits the interpretation of the reported findings. Moreover, we allowed for the use of concomitant medications, which were heterogeneous and may have influenced our dependent measures. We also used a convenience sample (ie, datapoints reflected clinical visits), and therefore there was missing data and variance in timing between infusions. The missing data pose a limiting factor in the interpretation and generalizability of the findings. Furthermore, our study operationalized obesity according to BMI, which has been criticized as a poor measure of adiposity.<sup>39</sup> We also did not collect biomarkers of inflammatory cytokines, nor did we collect metabolic parameters. Invasive or time-consuming assessments were avoided in order to reduce the burden on patients receiving clinical care at the CRTCE. Moreover, we did not have information related to past childhood trauma, which is associated with not only higher rates of obesity, but also TRD.<sup>40</sup> In addition, IV ketamine doses were calculated based on actual body weight for most participants, and therefore individuals with greater body weight received a higher dose of ketamine.

Notwithstanding the foregoing limitations, the strengths of this analysis are its large sample size of adults with MDD or BD that would be typically encountered in a mood-disorder clinic. Permitting patients with comorbidities as well as various medication combinations also reflects the reality of clinical practice. We used validated measures of treatment outcome and IV ketamine was infused in accordance with best practices. We also found that 69% of patients in our sample were either overweight or obese, reflecting the staggering rate of medical comorbidity in this patient population, and further instantiating the representativeness of our patient population.<sup>41</sup>

The findings of this study also raise multiple further research questions. In addition to greater research on inflammatory biomarkers (eg, cytokines and adipokines), further analysis on features of metabolic syndrome such as waist circumference, lipid profile, and fat percentage is needed to understand how these factors may influence IV ketamine response. In addition, a randomized controlled trial is warranted evaluating the use of ideal body weight compared to total body weight and lean body weight in the dosing of IV ketamine. The role of weight as a factor of treatment response in specific diagnoses, such as BD vs MDD, for example, is also an important area of future research.

# Conclusion

Intravenous ketamine is a costly and somewhat invasive treatment compared to oral medications, and identifying predictors of response would allow healthcare providers to counsel patients on the likelihood of response before undergoing treatment. However, predictors of response to IV ketamine are not well characterized and research has reported mixed findings. While BMI, dissociation, a first degree relative with alcohol use disorder, and biomarkers (eg, adipokines and cytokines) have been preliminarily identified as predictors of response, many of these findings have not been reproducible.<sup>21,42-47</sup>

Identifying treatment response and tolerability markers in mood disorders is a priority research vista.<sup>48</sup> Hitherto, no single marker or signature is proven able to improve health outcomes and/or cost-effectiveness at the point of care in adults with mood disorders.<sup>49</sup> The results from our analysis do not support the predictive utility of BMI across most outcome measures. This null finding, however, does not militate against the possibility that intermediate measures of metabolic alteration (eg, cytokine profiles, and/or metabolic profiles—eg, insulin resistance) may moderate treatment response to IV ketamine in depression.

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**Data Availability Statement.** The data that support the findings of this study are available on request from the corresponding author. The data are not publicly available due to privacy or ethical restrictions.

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