

## RESEARCH PAPER

# InAlN/GaN HEMTs based L-band high-power packaged amplifiers

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*This paper presents power results of L-band packaged hybrid amplifiers using InAlN/GaN/SiC HEMT power dies. The high-power densities achieved both in pulsed and continuous wave (cw) modes confirm the interest of such technology for high-frequency, high-power, and high-temperature operation. We present here record RF power measurements for different versions of amplifiers. Up to 260 W, i.e. 3.6 W/mm, in pulsed (10  $\mu$ s/10%) conditions, and 105 W, i.e. 2.9 W/mm, in cw conditions were achieved. Such results are made possible thanks to the impressive performances of InAlN/GaN transistors, even when operating at high temperatures. Unit cell transistors deliver output powers of 4.3 W/mm at  $V_{ds} = 40$  V in the cw mode of operation at the frequency of 2 GHz. The transistor process is described here, as well as the amplifiers design and measurements, with a particular focus to the thermal management aspects.*

**Keywords:** Technologies and devices (III–V, nano, quantum, opto), Power amplifiers and linearizers

Received 8 October 2013; Revised 8 January 2014; first published online 25 February 2014

## I. INTRODUCTION

GaN HEMTs have proven to be of great interest for the realization of high-power solid-state amplifiers, thanks to their high breakdown field, high electron densities, and high electron saturation velocity. Many results were published showing impressive output powers higher than several hundreds of watts, mostly in the frequency bands L to C [1–9]. Today, such amplifiers based on AlGaIn/GaN HEMT technology are already commercialized. However, many developments and researches are being conducted in order to improve the performances, the reliability and the cost of such amplifiers [10–13].

The InAlN/GaN HEMT technology is more recent and remains at the research level, in several laboratories. [Sentence displaced ] Thanks to its higher spontaneous polarization charges, InAlN/GaN HEMTs can achieve higher sheet carrier densities, i.e. higher output currents compared to AlGaIn/GaN HEMTs. This technology also shows advantages in terms of maximum operating temperature [14, 15] and may offer good reliability thanks to the lattice match between InAlN and GaN layers [16, 17]. However, some work remains to assess this latter point more in depth, which can be done only after stabilization of the technological processes. Nevertheless, very high-power densities have already been

demonstrated with InAlN/GaN HEMTs and particularly at high frequencies (X to Ka bands) where they can have advantage over AlGaIn/GaN HEMTs, thanks to thinner barrier layers leading to a reduction of the short channel effects [18–20].

The aim of the study presented here is to evaluate the interest of this technology at lower frequency bands, and particularly in the case of very high-power amplifiers, where thermal management is critical. InAlN/GaN transistors could then have assets, considering their capability to sustain high temperatures. Thus, we present power results obtained on L-band packaged amplifiers using this transistor technology.

First measurements results presented in [21] demonstrated a record output power of 105 W in the cw mode and 140 W in the pulsed mode at  $V_{ds} = 30$  V on a single die amplifier. Additional results obtained at higher bias voltages on the single-die amplifier and new results based on a double die version are presented here. In cw mode, these results were obtained thanks to an improved thermal management, which includes improvements at the chip level, at the interface between the chip and the package with the use of the diamond-based heat spreader, as well as at the package and carrier levels. This will be detailed in the measurements section.

## II. EPITAXIAL GROWTH AND TECHNOLOGICAL PROCESS

The HEMT structure was grown using a metal-organic chemical vapor deposition reactor (MOCVD) on a 4H–SiC

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substrate. A 1.7  $\mu\text{m}$  thick GaN buffer layer, an AlN thin spacing layer of 1  $\mu\text{m}$  and an undoped  $\text{In}_{0.21}\text{Al}_{0.79}\text{N}$  layer of 9 nm constitute the structure. Contactless resistance measurements gave a sheet resistance of 320  $\Omega/\text{square}$  and a sheet carrier density of  $1.3 \times 10^{13}/\text{cm}^2$ . At an early step of processing, an oxidation of the semiconductor surface was realized to form a controlled oxide. This oxide layer resulted in the creation of Metal Oxide Semiconductor (MOS)-gates, preferred to Schottky gates for improvement of gate contact stability in terms of leakage current, as observed in [22]. Ohmic contacts were realized by rapid thermal annealing of a Ti/Al/Ni/Au multilayer at 900°C under nitrogen atmosphere. Devices were isolated using argon ion implantation and 0.7  $\mu\text{m}$  length Ni/Pt/Au MOS-gates were formed after electron beam lithography. The passivation of the devices was realized thanks to a 250 nm thick  $\text{Si}_3\text{N}_4$  layer deposited by plasma-enhanced chemical vapor deposition. Source-connected field plates were used to reduce drain lag effects. A Ti/Pt/Au multilayer was used as interconnection of the power dies. The wafer was finally thinned down to 100  $\mu\text{m}$  in order to improve the thermal dissipation of the large total gate width power die during cw operation.

A schematized InAlN/GaN device cross-section is presented in Fig. 1.

### III. UNIT CELL ELECTRICAL PERFORMANCES

Pulsed-IV characteristics measurements (pulse length 900 ns, period 1 ms) were conducted on a  $6 \times 400 \times 0.7 \mu\text{m}$  device, which corresponds to the unit cell of the power bars used in the amplifiers. Different quiescent bias points were chosen, in order to evaluate the gate- and drain-lag-induced current dispersions. Measurements are presented in Fig. 2. The output current, which could be obtained at an optimum radio frequency (RF) load cycle is approximately 1.85 A (0.8 A/mm), and the knee voltage 7.5 V, for a quiescent bias point ( $V_{gsq} = -3 \text{ V}$ ,  $V_{dsq} = 40 \text{ V}$ ). The RF power slump due to gate-lag effects is estimated at around 11%, and the RF power slump due to drain-lag effects at  $V_{dsq} = 40 \text{ V}$  is estimated at around 7%.

Small-signal measurements were performed on  $2 \times 100 \times 0.7$  and  $6 \times 400 \times 0.7 \mu\text{m}$  transistors. Biased at  $V_{ds} = 20 \text{ V}$  and  $I_{ds} = 40 \text{ mA}$  (200 mA/mm),  $2 \times 100 \times 0.7 \mu\text{m}$  devices have a maximum stable gain (MSG) of 23 dB at 2 GHz, a maximum oscillation frequency of 38 GHz, and a transition

frequency of 13 GHz. Biased at  $V_{ds} = 20 \text{ V}$  and  $I_{ds} = 200 \text{ mA/mm}$ ,  $6 \times 400 \times 0.7 \mu\text{m}$  devices have a maximum available gain (MAG) of 20 dB at 2 GHz, the MSG/MAG transition occurring at 1.5 GHz, as shown in Fig. 3.

Cw load/pull characterizations were performed on  $6 \times 400 \times 0.7 \mu\text{m}$  transistors at a fundamental frequency of 2 GHz, harmonic 2 and 3 load impedances being 50  $\Omega$ . The bias point was  $V_{ds} = 40 \text{ V}$  and  $I_{ds} = 100 \text{ mA/mm}$ . The devices delivered, on the optimum fundamental load found ( $|\Gamma_{load}| = 0.52$ ;  $\varphi = 88^\circ$ ), an output power of 10.5 W (4.3 W/mm) with an associated power gain of 16.2 dB, and a Power Added Efficiency (PAE) of 53%, as shown in Fig. 4.

Dc life tests have also been performed for 3000 h on eight  $2 \times 250 \times 0.7 \mu\text{m}$  transistors, at three different room temperatures: 50, 100, and 150°C. Devices were biased at  $V_{ds} = 25 \text{ V}$  and  $I_{ds} = 417 \text{ mA/mm}$  corresponding to junction temperatures, calculated from three-dimensional (3D) thermal simulations, of 220, 290, and 370°C, respectively. The variations of the current  $I_{ds}$  at  $V_{gs} = +2 \text{ V}$ ,  $V_{ds} = 8 \text{ V}$ , noted  $I_{ds}^+$ , were monitored versus time, as shown in Fig. 5. In the three cases, the decrease of approximately 15% of  $I_{ds}^+$  in the first hours can be attributed to both the “burn-in” of these virgin devices and to trapping effects. This first decrease of drain current can be clearly seen on the inset graph in Fig. 5, where the  $I$ - $V$  characteristic evolutions of a sample tested at 370°C are displayed. These  $I$ - $V$  characteristics were measured at  $V_{gs} = 2 \text{ V}$  and at different read-out in the test: the main change of the characteristics, especially the drain current and the ON-state resistance occurred mainly during the first half of an hour step of the test.

Then, the drain current decrease is limited in a 5% range until 500 h for the highest temperature ( $T_j = 370^\circ\text{C}$ ) and at least 3000 h for the two other conditions, showing the very low thermal activation of the degradation process in this range of temperatures. However, devices tested at a junction temperature of 370°C experience a faster degradation mechanism after 200 h. This let us conclude on the good capability of such devices to work safely at temperatures as high as 290°C.

### IV. AMPLIFIERS REALIZATIONS AND MEASUREMENTS

#### A) Design and realization

A non-linear model of the  $6 \times 400 \times 0.7 \mu\text{m}$  transistor was extracted using the model described in [23]. The power die

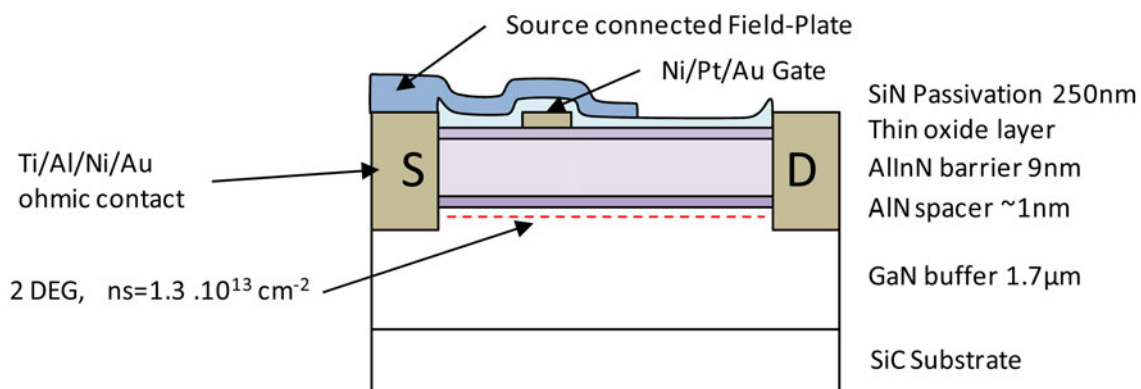


Fig. 1. Schematized cross-section of an InAlN/GaN device processed as detailed in Section II.

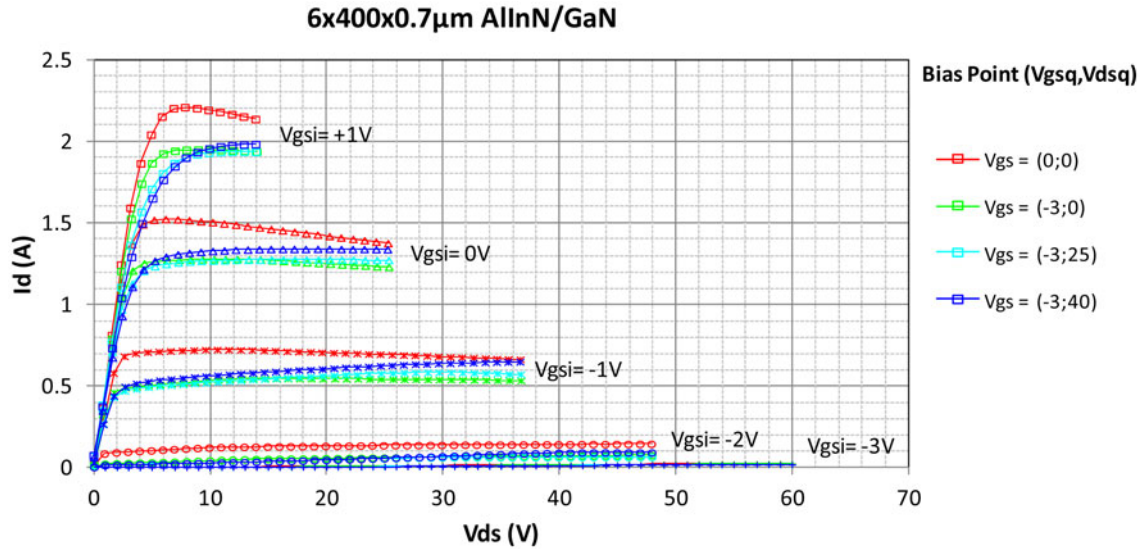


Fig. 2. Pulsed-IV characteristics of an InAlN/GaN  $6 \times 400 \mu\text{m}$  HEMT, for different quiescent bias points. In red ( $V_{gsq} = 0 \text{ V}$ ,  $V_{dsq} = 0 \text{ V}$ ), in green ( $V_{gsq} = -3 \text{ V}$ ,  $V_{dsq} = 0 \text{ V}$ ), in light blue ( $V_{gsq} = -3 \text{ V}$ ,  $V_{dsq} = 25 \text{ V}$ ); in dark blue ( $V_{gsq} = -3 \text{ V}$ ,  $V_{dsq} = 40 \text{ V}$ ).  $V_{gsi}$  is stepped from  $-3$  to  $+1 \text{ V}$ .

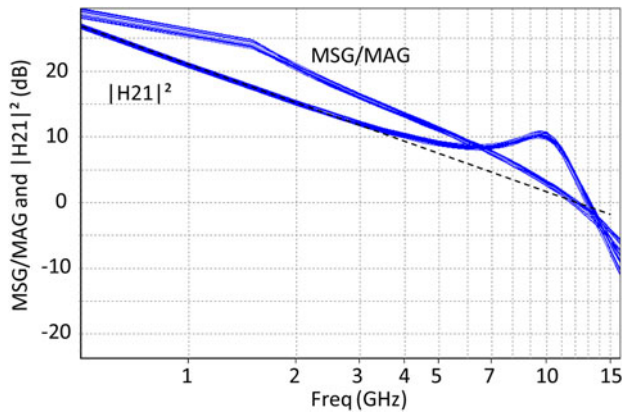


Fig. 3. Maximum oscillation frequency ( $F_{max}$ ) and transition frequency ( $F_t$ ) of a  $6 \times 400 \times 0.7 \mu\text{m}$  device measured at  $V_{ds} = 20 \text{ V}$ ,  $I_{ds} = 200 \text{ mA/mm}$ .

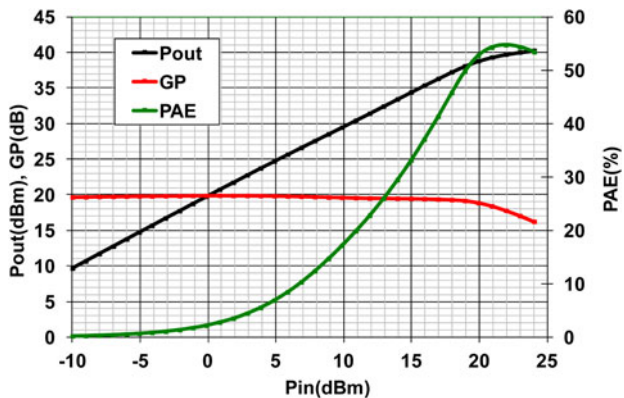


Fig. 4. Power performances of a  $6 \times 400 \times 0.7 \mu\text{m}$  transistor measured in cw RF at a dc bias  $V_{ds} = 40 \text{ V}$ ,  $I_{ds} = 100 \text{ mA/mm}$ , on the optimum fundamental load  $|\Gamma_{load}| = 0.52$ ;  $\varphi = 88^\circ$ , harmonic frequencies 2 and 3 being loaded at  $50 \Omega$ .

( $15 \times 6 \times 400 \times 0.7 \mu\text{m}$ ) model consists of 15 transistors models wired in parallel with lines, following the geometrical aspects of its layout.  $10 \Omega$  balancing resistors, – this value has

been determined from previous run experiences –, placed between each drain access pad to prevent odd-mode oscillations, are also taken into account as they may have an influence on the stability simulation results.

The aim of this study was to realize L-band packaged hybrid amplifiers, for operation at  $2 \text{ GHz}$ , both in the cw- and in pulsed modes. The power dies matching circuits were realized using metalized high dielectric constant ceramics ( $k = 36$  and  $80$ ) and controlled bond wire lengths, in order to obtain  $50\text{--}50 \Omega$  packaged amplifiers. Owing to the impossibility we had to realize line drawings on the high- $K$  ceramics at that time, ceramics pieces with fully metalized surfaces, with length and width chosen for matching purposes, had to be considered. This was not convenient and prevented us to perform harmonic matching. Therefore, conventional class AB or B amplifiers are designed, which could be optimized

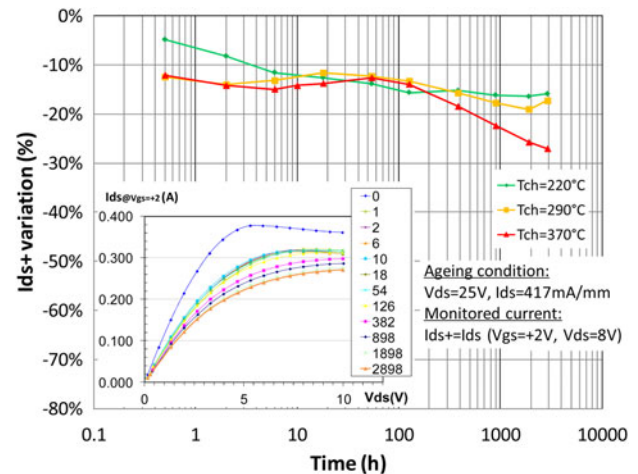


Fig. 5. Results of the ageing tests of eight samples at three different conditions of junction temperatures:  $220$ ,  $290$ , and  $370^\circ\text{C}$ . The main graph shows the evolution of  $I_{ds+}$  (i.e.  $I_{ds}$  at  $V_{gs} = +2 \text{ V}$ ,  $V_{ds} = 8 \text{ V}$ ) versus time. The inset graph shows the evolution versus time (in hours) of the  $I$ - $V$  characteristic at  $V_{gs} = +2 \text{ V}$  of a sample aged in the most constraining condition, i.e.  $T_j = 370^\circ\text{C}$ .

in PAE if care was taken to harmonic matching. Prior to amplifier design and in order to calibrate our simulations, dummy circuits were realized with such high- $K$  ceramics, wire bonds and  $6 \times 400 \times 0.7 \mu\text{m}$  transistors for the active circuits. The package, having internal dimensions of  $1.3 \times 1.5 \text{ cm}^2$ , was simply modeled considering two independent accesses consisting in lead frames on alumina. The air gap between the package and the external substrate was also considered.

The first version of power amplifier contains one matched power bar; the second one contains two of them. The input and output combiners on the latter, located in the package, are realized on alumina substrates. A photograph of each mounting is shown in Fig. 6.

From harmonic balance power simulations, the one-die amplifier is expected to deliver, at  $V_{ds} = 30 \text{ V}$  and in class-AB ( $I_{ds} = 1.5 \text{ A}$ ), an output power of 85 W, a PAE of 43%, and a power gain of 10 dB, at the point corresponding to the maximum of PAE. In the same bias conditions ( $V_{ds} = 30 \text{ V}$ ,  $I_{ds} = 2 \times 1.5 \text{ A}$ ), the two-die in package amplifier is expected to deliver an output power of 180 W, a PAE of 42%, and a power gain of 10.1 dB, at the point corresponding to the maximum of PAE. However, the precision of such simulation results may suffer from the fact that transistor models are not electrothermal, even if they have been extracted in order to fit the device power performances obtained in cw conditions. This approximation may result in lower performances of the amplifiers measured in cw conditions, particularly concerning the output power and the PAE.

In order to optimize the thermal management, the power dies were thinned down to  $100 \mu\text{m}$  to avoid the heat flux to reach the edges of the die. Moreover, each die was soldered on a synthetic diamond heat spreader. The material and dimension of the heat spreader ( $2.6 \times 6 \times 0.254 \text{ mm}$ ) were optimized using 3D-finite-element thermal software to maximize the heat transfer between the chip and the package. A very high thermal conductivity ( $1800 \text{ W/m/K}$ ) CVD diamond was selected to spread the heat before being transferred to the power package. To maximize the heat transfer at the most critical interface, the top side of the heat spreader is plated with a very thin gold-tin (AuSn) layer ( $3 \mu\text{m}$ ) allowing a soldering of the power die at the eutectic temperature near  $280^\circ\text{C}$ . X-ray imaging was realized to check the quality

### Total thermal resistance (without SiC Rth) Impact of the Heat Spreader Width

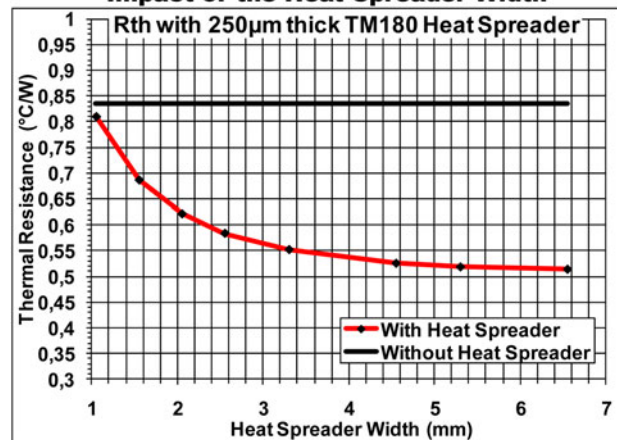


Fig. 7. Evaluation of the total thermal resistance in function of the diamond heat spreader width. The black line corresponds to the thermal resistance without this heat spreader.

of the attachment and the absence of void below the active region of the devices. To attach the bottom side to the package, a lower temperature solder was more conventionally used ( $25 \mu\text{m} - \text{InPb}$ ). The package thermal conductivity is also high ( $400 \text{ W/m/K}$ ) in order to improve the thermal management of the whole stack-up.

The diamond heat spreader, placed underneath the power bar, allows, thanks to its very high thermal conductivity, to improve the thermal dissipation. Simulations using a 3D-finite-element thermal simulator showed that the insertion of the diamond layer and the additional  $3 \mu\text{m}$  AuSn interface in the whole stack-up contributes to increase its thermal resistance by about 4%. Nevertheless, the large dimensions of this diamond allow a very good spreading of the heat and finally induces a decrease of its total value. Figure 7 shows the total thermal resistance of the package that steps down from  $0.84^\circ\text{C/W}$  without heat spreader to  $0.56^\circ\text{C/W}$  with a 2.6 mm large diamond heat spreader.

Thermal infrared spectroscopy measurements [24] were realized on single die amplifiers to confirm the simulations

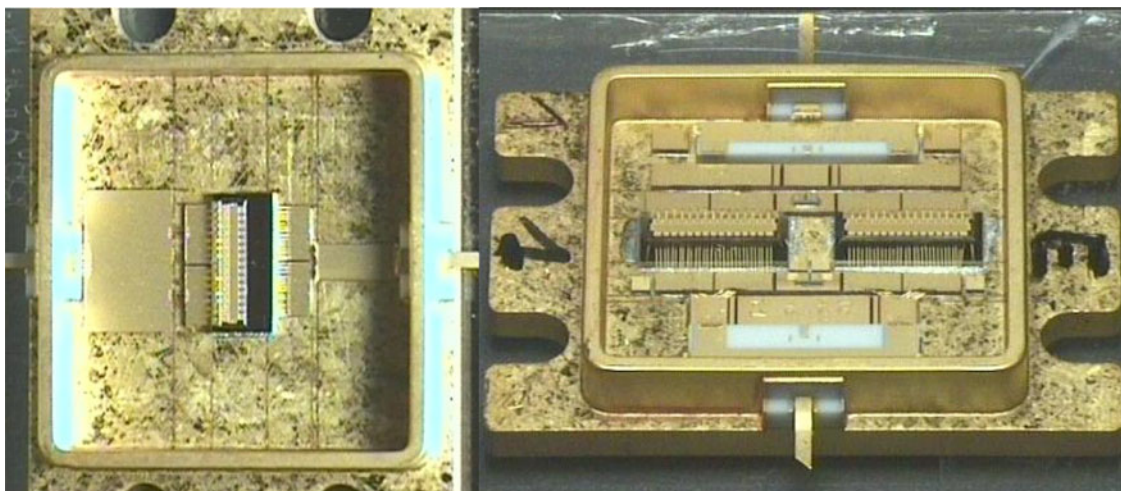
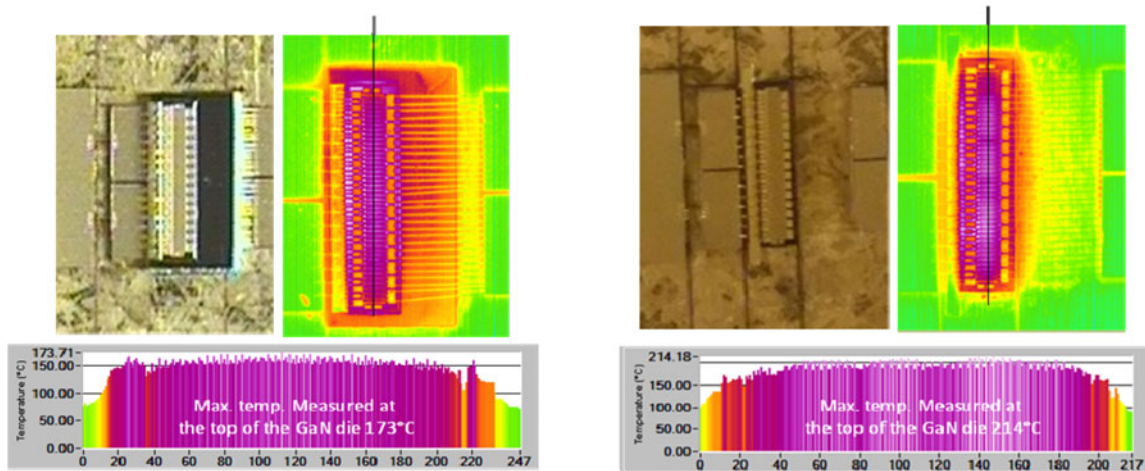
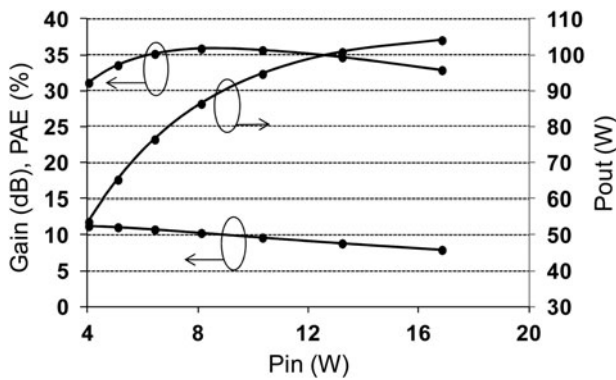


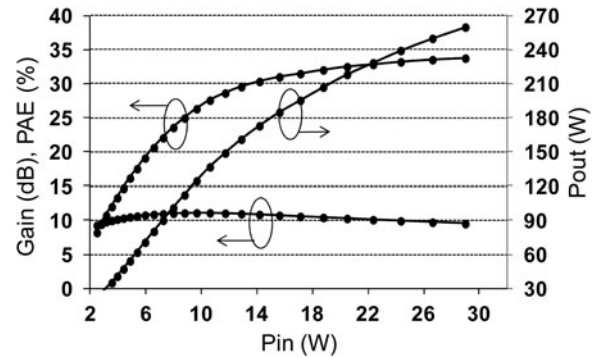
Fig. 6. Photograph of both version of amplifiers. On the left, with one 36 mm gate development power bar; on the right, with two 36 mm power bars. The diamond heat spreaders can be seen underneath the power dies.



**Fig. 8.** Infrared measurements results for two versions of die mounting. On the left, the 36 mm die is soldered on a diamond heat spreader; on the right, it is directly attached on the package. In both cases, the dc bias applied induces a dissipated power of 3.5 W/mm. The thermally optimized version with the diamond heat spreader enables a temperature decrease of 40°C at the hottest spot.



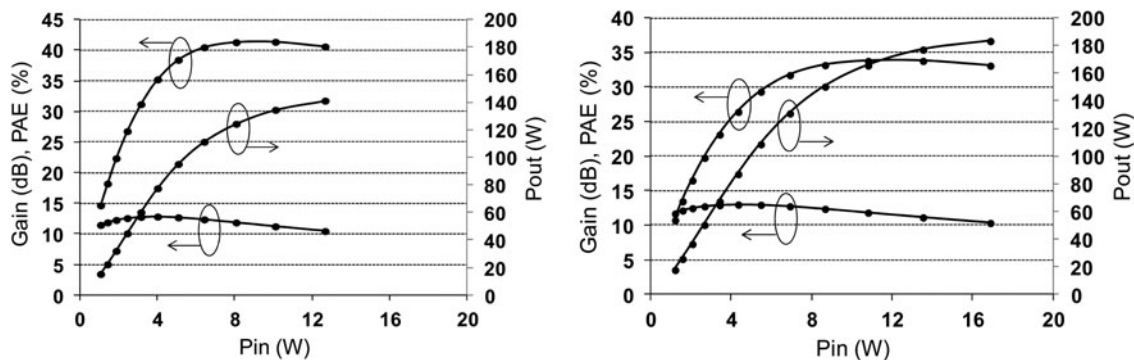
**Fig. 9.** Power measurements results (Pout, Gain, PAE) of a single-die amplifier measured at 2 GHz, in cw conditions at  $V_{ds} = 30$  V in class-B.



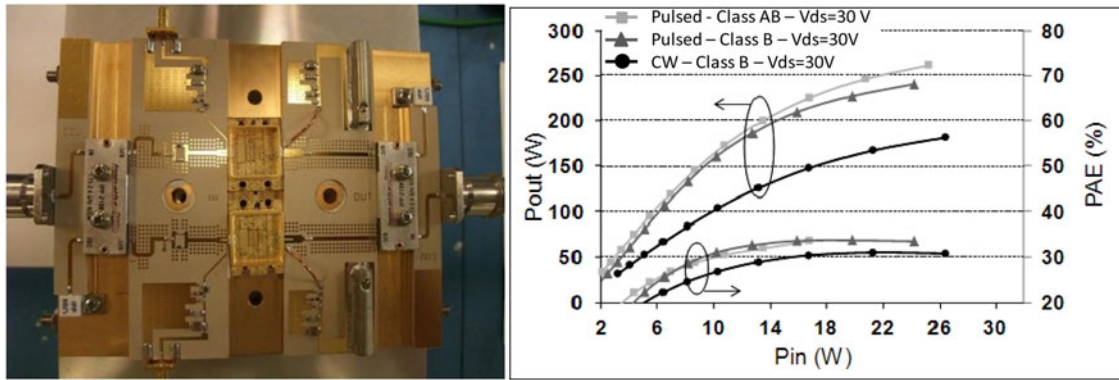
**Fig. 11.** Power measurements results (Pout, Gain, PAE) of a two-die amplifier measured at 2 GHz, for pulsed RF conditions (10  $\mu$ s, 10%) at a dc drain bias  $V_{ds} = 35$  V, in class-B. Cw measurements were not possible on this version, for thermal management considerations.

and the interest of the diamond heat spreader. Two amplifiers were compared. The first one represents the nominal version: thinned SiC down to 100  $\mu$ m and heat spreader, whereas the other version consists in using an un-thinned power die of 400  $\mu$ m without heat spreader. The amplifiers were biased in dc, in order to dissipate a power of 3.5 W/mm, i.e. 126 W. In the nominal case, the maximum temperature reaches 173°C in the active area (at a room temperature of 25°C), in the second case, it reaches 214°C, as shown in

**Fig. 8.** This difference of 40°C shows the interest of our assembly scheme. The use of an un-thinned power die was necessary for assembly constraints in the amplifier version without heat spreader, due to differences in height. This also contributes to the increase of the temperature. Indeed, 3D thermal simulations showed that on the 40°C improvement, 25°C are related to the use of the diamond and 15°C are related to the thinning of the SiC die.



**Fig. 10.** Power measurements results (Pout, Gain, PAE) of a single-die amplifier measured at 2 GHz, in pulsed RF conditions (10  $\mu$ s, 10%) at a in class-B dc drain bias:  $V_{ds} = 30$  V on the left graph and  $V_{ds} = 40$  V on the right graph.



**Fig. 12.** Photograph of a single die balanced amplifier, on the left. On the right, power measurements results ( $P_{out}$ , Gain, PAE) at 2 GHz, for pulsed RF conditions ( $10 \mu s$ , 10%) at a dc drain bias  $V_{ds} = 30$  V, in class-B (triangles – medium gray), for pulsed RF conditions at a dc drain bias  $V_{ds} = 30$  V in class AB ( $I_{ds} = 2 \times 1.5$  A) (squares – light gray), and for cw RF conditions in class-B at a drain bias  $V_{ds} = 30$  V (circles – black).

## B) Amplifiers measurements

First single-power die amplifiers were measured. Measurement results are presented in Fig. 9. The measurements were performed at 2 GHz, in cw and for a class B bias point at  $V_{ds} = 30$  V. The amplifier delivered 85 W at the point where the PAE reaches its maximum (36%), with a power gain of 10.3 dB [21]. In this case, the dissipated power was about 140 W (3.9 W/mm), thus a junction temperature of  $200^\circ\text{C}$  was calculated (at a room temperature of  $30^\circ\text{C}$ ). The measurements were pushed up, without any observed fast device degradation, to an output power of 105 W, with an associated PAE of 33%, and a power gain of 8 dB. In this case, the dissipated power was about 175 W (4.9 W/mm), and the junction temperature was estimated to  $250^\circ\text{C}$ .

In the RF-pulsed mode ( $10 \mu s/10\%$ ) at  $V_{ds} = 30$  V in class-B, the amplifier delivered 140 W with 40% of PAE and an associated gain of 10.5 dB. Other measurements were performed at a drain bias voltage of 40 V, in class-B. In these conditions, the output power reached 183 W with 33% of PAE with an associated gain of 10.4 dB. Measurement results are presented in Fig. 10.

Amplifiers with two power dies (72 mm total gate width development) mounted in one package were also measured, but only in the pulsed mode, as cw conditions are non-viable for such amplifiers due to the impossibility to correctly manage their self-heating.

For the same reasons, the bias voltage was limited to  $V_{ds} = 35$  V, in class-B. In these conditions, the output power reached 260 W, with a PAE of 34% and a power gain of 9.6 dB (cf Fig. 11).

Quite similar performances were obtained in the pulsed mode by measuring a balanced amplifier composed by two single power die. An output power of 240 W was obtained, with a PAE of 34% and a power gain of 10 dB, for a class-B gate quiescent biasing point at  $V_{ds} = 30$  V. However, the better thermal management offered by using two single die packaged amplifiers compared to the previous solution with one double die packaged amplifier allowed us to perform measurements in class AB at  $V_{ds} = 30$  V and  $I_{ds} = 2 \times 1.5$  A, leading to an output power of 260 W, with a PAE of 37% and a power gain of 10.2 dB. Cw measurements were also carried out in class B at  $V_{ds} = 30$  V, showing an output power of 180 W, a PAE of 31% and a power gain of 8.4 dB (at 2 dB gain compression). No fast ageing of the amplifiers

was noticed after repetitive measurements in the conditions previously enounced. These results are presented in Fig. 12, beside a photograph of the amplifier.

## V. CONCLUSIONS

The realization of L-band power amplifiers using InAlN/GaN HEMT technology was presented. If the amplifiers deserve improvements concerning the PAE, for instance by harmonic matching, in order to decrease the temperature and make this technology more competitive with the more classical AlGaN/GaN HEMTs, different versions were presented and show the interest of such technology to deliver very high power and to maintain high-power densities on large periphery devices, in this frequency band. Besides, the single-die amplifier delivers an output power of 183 W in the pulsed mode biased at 40 V and the double-die amplifier allows us to reach output powers over 250 W, for the very first time using this new InAlN/GaN structure. Finally, the interest of diamond heat spreaders for better thermal management is also demonstrated, as well as the capability of the transistors to work without fast degradation at temperatures as high as  $290^\circ\text{C}$ .

## ACKNOWLEDGEMENT

This work was supported by the EU under project “MORGAN” FP7/2007-2011 no. 214610.

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