

## AUTOMORPHISMS OF METABELIAN PRIME POWER ORDER GROUPS OF MAXIMAL CLASS

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### Abstract

Let  $G$  be a  $p$ -group of maximal class of order  $p^n$ . It is shown that the order of the group of all automorphisms of  $G$  centralizing the Frattini quotient takes the maximum value  $p^{2n-4}$  if and only if  $G$  is metabelian. A structure theorem is proved for the Sylow  $p$ -subgroup,  $\text{Aut}_p(G)$ , of the automorphism group of  $G$  when  $G$  is metabelian. For  $p = 2$ ,  $\text{Aut}_2(G)$  is the full automorphism group of  $G$ . For  $p = 3$ , we prove a structure theorem for the full automorphism group of  $G$ .

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### 1. Introduction

There have been a number of studies of the automorphism groups of  $p$ -groups of maximal class (see for example, Baartmans and Woeppel [2], Juhász [8], Malinowska [10], Caranti and Mattarei [4], Caranti and Scoppola [5]). These concentrate mostly on small automorphism groups. In this paper we consider large automorphism groups.

Let  $G$  be a  $p$ -group of maximal class of order  $p^n$  and let  $\Phi = \Phi(G)$  be the Frattini subgroup of  $G$ . It is well known [7, Satz III.3.17] that the order of  $\text{Aut}^\Phi(G)$ , the group of all automorphisms of  $G$  centralizing  $G/\Phi$ , divides  $p^{2n-4}$ . Moreover, the order of  $\text{Aut}_p(G)$ , the Sylow  $p$ -subgroup of the automorphism group of  $G$ , divides  $p^{2n-3}$ . In Section 4 we prove that  $|\text{Aut}^\Phi(G)|$ , the order of  $\text{Aut}^\Phi(G)$ , is  $p^{2n-4}$  if and only if  $G$  is metabelian (Theorem 4.3).

Juhász [8, Theorem 2.3] proved that if  $G$  is a  $p$ -group of maximal class then  $\text{Aut}^\Phi(G)$  is a split extension of  $\text{Inn}(G)$ , the inner automorphism group of  $G$ . In Section 3 we prove that when  $G$  is metabelian, a complement of  $\text{Inn}(G)$  in  $\text{Aut}^\Phi(G)$  is *almost homocyclic of rank  $p - 1$* ; that is, it is a direct product of exactly  $p - 1$  cyclic groups of order  $p^r$  or  $p^{r+1}$  for some nonnegative integer  $r$  (Theorem 3.3). Also we give conditions on  $G$  for  $|\text{Aut}_p(G)| = p^{2n-3}$  (Corollary 3.8). In this case  $\text{Aut}_p(G)$

is a split extension of  $\text{Aut}^\Phi(G)$  by a cyclic group of order  $p$  (Theorem 3.10). For  $p = 2$ , the automorphism group is a 2-group. In Section 5 we give a simple proof for the structure theorem in this case (Theorem 5.9). It is straightforward to see that when  $p$  is odd, the (full) automorphism group  $\text{Aut}(G)$  of  $G$  is a split extension of  $\text{Aut}_p(G)$  by a subgroup of the direct product of two cyclic groups of order  $p - 1$ , see [2, Section 1]. By using this result we prove a structure theorem for  $\text{Aut}(G)$  when  $p = 3$  (Theorem 5.8).

Throughout this paper the following notation is used. The terms of the lower and the upper central series of  $G$  are denoted by  $\gamma_i(G)$  and  $\zeta_i(G)$ , respectively. The centre of  $G$  is denoted by  $Z = Z(G)$ . The nilpotency class of a group  $G$  is denoted by  $\text{cl}(G)$ . If  $\alpha$  is an automorphism of  $G$  and  $x$  is an element of  $G$ , we write  $x^\alpha$  for the image of  $x$  under  $\alpha$ . The inner automorphism induced by the element  $g$  is denoted by  $\sigma_g$ . For a normal subgroup  $N$  of  $G$ , we let  $\text{Aut}^N(G)$  denote the group of all automorphisms of  $G$  centralizing  $G/N$ . Also  $C_n$  denotes the cyclic group of order  $n$ . All unexplained notation is standard and follows that of [9].

## 2. Some basic results

In this section we give some basic results needed for the main results of the paper.

Let  $G$  be a  $p$ -group of maximal class and order  $p^n$  ( $n \geq 4$ ), where  $p$  is a prime. Following [9], we define the 2-step centralizer  $K_i$  in  $G$  to be the centralizer in  $G$  of  $\gamma_i(G)/\gamma_{i+2}(G)$  for  $2 \leq i \leq n - 2$  and define  $P_i = P_i(G)$  by  $P_0 = G$ ,  $P_1 = K_2$ ,  $P_i = \gamma_i(G)$  for  $2 \leq i \leq n$ . The degree of commutativity  $l = l(G)$  of  $G$  is defined to be the maximum integer such that  $[P_i, P_j] \leq P_{i+j+l}$  for all  $i, j \geq 1$  if  $P_1$  is not Abelian and  $l = n - 3$  if  $P_1$  is Abelian.

Take  $s \in G - \bigcup_{i=2}^{n-2} K_i$ ,  $s_1 \in P_1 - P_2$  and  $s_i = [s_{i-1}, s]$  for  $2 \leq i \leq n - 1$ . It is easily seen that  $\{s, s_1\}$  is a generating set for  $G$  and  $P_i(G) = \langle s_i, \dots, s_{n-1} \rangle$  for  $1 \leq i \leq n - 1$ .

For the rest of the section we fix the above notation and assume that  $n \geq 4$ .

**LEMMA 2.1** [9, Corollary 3.2.7]. *Let  $G$  be a  $p$ -group of maximal class. The degree of commutativity of  $G$  is positive if and only if the 2-step centralizers of  $G$  are all equal.*

**LEMMA 2.2** [7, Hilfssatz III. 14.13]. *If  $G$  is a  $p$ -group of maximal class of order  $p^n$  and  $s \notin K_i$  for  $2 \leq i \leq n - 2$ , then  $C_G(s) = \langle s \rangle P_{n-1}(G)$  and  $s^p \in P_{n-1}$ .*

**LEMMA 2.3.** *Let  $G$  be a  $p$ -group of maximal class of order  $p^n$ .*

- (i) *If  $G$  has positive degree of commutativity, then  $s_i^p s_{i+p-1} \in P_{i+p}$  for  $i > 1$ .*
- (ii) *If  $n > p + 1$  then  $s_1^p s_p \in P_{p+1}$ .*
- (iii) *If  $y \in P_2$  then  $(sy)^p = s^p$ .*
- (iv) *If  $G$  is metabelian then  $G$  has positive degree of commutativity.*
- (v) *If  $G$  is metabelian then  $s_i^p s_{i+1}^{\binom{p}{2}} \cdots s_{i+p-1} = 1$  for  $2 \leq i \leq n - 1$ .*

**PROOF.** Conclusions (i)–(iv) follow by [9, Propositions 3.3.8, 3.3.3, Lemma 3.3.7] and [3, Corollary p. 59]. Conclusion (v) is obvious since  $(s_i)^p = s_i^p s_{i+1}^{\binom{p}{2}} \cdots s_{i+p-1}$ . □

**LEMMA 2.4** [3, Theorem 3.10]. *If  $G$  is a metabelian  $p$ -group of maximal class of order  $p^n$  ( $n \geq p + 1$ ), then  $[P_1(G), P_i(G)] \leq P_{n-p+i}(G)$ .*

**LEMMA 2.5.** *Let  $G$  be a metabelian  $p$ -group of maximal class of order  $p^n$ .*

- (i)  $s_i^{p^t} = s_{i+(p-1)t}^{(-1)^t} x$ , where  $x \in P_{i+(p-1)t+1}$  for  $i \geq 2$ ; so if  $s_i^{p^t} \neq 1$  then  $s_i^{p^t} \in P_{i+(p-1)t} - P_{i+(p-1)t+1}$ .
- (ii)  $P_i^{p^t} \leq P_{i+(p-1)t}$  for  $i \geq 2$ .

**PROOF.**

- (i) We use induction on  $t$  and Lemma 2.3(i).
- (ii) This follows from (i). □

**LEMMA 2.6.** *Let  $G$  be a metabelian  $p$ -group of maximal class of order  $p^n$  and  $m$  be a positive integer.*

- (i)  $[s_1, s^m] = s_2^m s_3^{\binom{m}{2}} \cdots s_{m+1}^{\binom{m}{m}}$ .
- (ii)  $[s_i^m, s_1] = [s_i, s_1]^m$  for  $i \geq 2$ .
- (iii)  $[s_i^m, s] = [s_i, s]^m$  for  $i \geq 2$ .
- (iv)  $|s_2| \geq |s_3| \geq \cdots \geq |s_{n-1}|$  and so  $\exp(P_i) = |s_i|$  for  $i \geq 2$ .
- (v)  $(s_1 s_i)^m = s_1^m s_i^m [s_i, s_1] [s_i, s_1^2] \cdots [s_i, s_1^{m-1}]$  for  $i \geq 2$ .

**LEMMA 2.7.** *Let  $G$  be a metabelian  $p$ -group of maximal class of order  $p^n$ . Then*

$$|s_i| = \begin{cases} p^{((n-i)/(p-1))}, & p - 1 \mid n - i, \\ p^{[(n-i)/(p-1)+1]}, & p - 1 \nmid n - i, \end{cases}$$

for  $i \geq 2$ .

**PROOF.** It is enough to prove that  $|s_{n-i(p-1)-j}| = p^{i+1}$  for all  $i \geq 0$  and all  $1 \leq j \leq p - 1$ . We use induction on  $i$ . It is easy to show that  $|s_{n-j}| = p$  for  $1 \leq j \leq p - 1$ , by Lemma 2.3(i). Now the result follows from Lemmas 2.3(i), 2.6(iv) and 2.5. □

**LEMMA 2.8.** *Let  $G$  be a metabelian  $p$ -group of maximal class of order  $p^n$ . Then*

$$s_{ri-(r-1)}^{\binom{p^t}{r}} \in P_{i+2+t(p-1)} \text{ when } t \geq 0, r \geq 2 \text{ and } i \geq 3.$$

**PROOF.** Suppose that  $r = p^w m$ , where  $(m, p) = 1$  and  $w \geq 0$ . So  $\binom{p^t}{r} = p^{t-w} k$ , where  $(k, p) = 1$ . Therefore  $s_{ri-(r-1)}^{\binom{p^t}{r}} \in P_{ri-(r-1)+(t-w)(p-1)}$  by Lemma 2.5(i). We have the equality  $p^w > w(p - 1)$  for  $w \geq 0$  and  $p \geq 3$ . Hence,  $(i - 1)(r - 1) \geq w(i - 1)(p - 1)$ . Moreover,  $w(p - 1)(i - 1) \geq 2w(p - 1) \geq w(p - 1) + 2$  when  $w > 0$ , and  $(i - 1)(r - 1) \geq 2$  when  $w = 0$ , completing the proof. □

**LEMMA 2.9.** *Let  $G$  be a metabelian  $p$ -group of maximal class of order  $p^n$ .*

- (i) *Suppose that  $i \geq 2$  and  $1 \leq k \leq p - 2$ . If  $s_i^{p^t} = s_{i+1}^{p^{m_1}u_1} \cdots s_{i+k}^{p^{m_k}u_k}$ , where  $(u_j, p) = 1$  and  $m_j \geq t - 1$  for  $1 \leq j \leq k$ , then  $s_i^{p^t} = 1$ .*
- (ii)  *$\langle s_i \rangle \cap \langle s_{i+1}, \dots, s_{i+k} \rangle = 1$  for  $i \geq 2$  and  $1 \leq k \leq p - 2$ .*
- (iii)  *$P_i = \langle s_i \rangle \times \langle s_{i+1} \rangle \times \cdots \times \langle s_{i+p-2} \rangle$  for  $i \geq 2$ .*
- (iv) *Suppose that  $n - r = (p - 1)k + j$  for  $0 \leq j \leq p - 2$ ,  $r \geq 2$ . If  $j \neq 1$ , then  $|Zs_r| = |s_r|$  and  $|Zs_r| = |s_r|/p$  if  $j = 1$ .*
- (v)  *$P_i/Z \cong \langle Zs_i \rangle \times \cdots \times \langle Zs_{i+p-2} \rangle$  for  $i \geq 2$ .*

**PROOF.** (i) We first note that if  $m_j \geq t$  for all  $j$ ,  $1 \leq j \leq k$ , then by Lemma 2.5(i)  $s_{i+j}^{p^{m_j}u_j} \in P_{i+t(p-1)+1}$  and so  $s_i^{p^t} = 1$ . Now suppose that  $j$  ( $1 \leq j \leq k$ ) is the least positive integer such that  $m_j = t - 1$ , so  $m_1, m_2, \dots, m_{j-1} \geq t$ . We claim that  $s_{i+j}^{p^{m_j}u_j} = 1$ . Suppose that this is false; then by Lemma 2.5(i)  $s_{i+j}^{p^{m_j}u_j} \in P_{i+j+(t-1)(p-1)} - P_{i+j+(t-1)(p-1)+1}$ . On the other hand, we see that  $s_{r+i}^{p^{m_r}u_r} \in P_{i+j+(t-1)(p-1)+1}$  for  $1 \leq r \leq k$ ,  $r \neq j$ . Since  $j \leq p - 2$ , we have  $s_i^{p^t} \in P_{i+j+(t-1)(p-1)+1}$ , which is impossible. Therefore, by the above note, the proof is established.

(ii) We use induction on  $k$ . By Lemma 2.7,  $|s_{i+j}| \geq |s_i|/p$  for  $1 \leq j \leq p - 2$ . For  $k = 1$ , we suppose that  $s_i^{p^t} \in \langle s_i \rangle \cap \langle s_{i+1} \rangle$ . We may write  $s_i^{p^t} = s_{i+1}^{p^m u}$ , where  $(u, p) = 1$ . By considering the order of both sides we conclude that  $m \geq t - 1$  so that  $s_i^{p^t} = 1$  by (i). Now suppose that  $i \geq 2$  and the equality holds for all positive integers less than  $k$ . If  $\langle s_i \rangle \cap \langle s_{i+1}, \dots, s_{i+k} \rangle \neq 1$  then we may write  $s_i^{p^t} = s_{i+1}^{p^{m_1}u_1} \cdots s_{i+k}^{p^{m_k}u_k}$ , where  $(u_j, p) = 1$  for  $1 \leq j \leq k$ . Again by considering the order of both sides and using the induction hypothesis we deduce that  $|s_i^{p^t}| = \max \{ |s_{i+1}|/p^{m_1}, \dots, |s_{i+k}|/p^{m_k} \}$ . Hence  $m_j \geq t - 1$  for  $1 \leq j \leq k$  and therefore the proof is completed by applying (i).

(iii) On setting  $H_i = \langle s_i, \dots, s_{i+p-2} \rangle$  we see that  $H_i \leq P_i$ ; also by (ii),  $H_i \cong \langle s_i \rangle \times \cdots \times \langle s_{i+p-2} \rangle$ . Now by Lemma 2.7 we deduce that  $|H_i| = p^{n-i}$  and hence  $H_i = P_i$ , as required.

(iv) This is proved by Lemma 2.5(i).

(v) We may proceed as in (iii) above. □

**COROLLARY 2.10.**  *$P_i$  is an almost homocyclic  $p$ -group of rank  $p - 1$  for  $i \geq 2$ .*

**PROOF.** This follows from Lemma 2.9(iii) and the fact that  $|P_i| = p^{n-i}$ . Also we note that elementary Abelian groups of order  $p, p^2, \dots, p^{p-1}$  are almost homocyclic of rank  $p - 1$  with  $r = 0$ , by our definition in the introduction and for each  $n, d$  there is exactly one almost homocyclic group of order  $p^n$  and rank  $d$ . □

**LEMMA 2.11.** *Let  $G$  be a group and  $x, y$  be elements of  $G$ . If  $[[x, y], x^{-1}] = 1$  then  $[x, y] = [y, x^{-1}]$ .*

**LEMMA 2.12.** *Let  $G$  be a metabelian  $p$ -group of maximal class of order  $p^n$ . If  $n \geq 2p - 3$ ,  $p \geq 3$  and  $m$  is a positive integer, then:*

- (i)  $[[s_2, s_1], s_1] = z \in [P_{p-1}, P_1]$  and  $[[s_i, s_1], s_1] = 1$  for  $i \geq 3$ , moreover  $z = 1$  if  $n \geq 2p - 2$ ;
- (ii)  $[[s_i, s_1]^m, s] = [s_{i+1}, s_1]^m$  for  $i \geq 2$ ;
- (iii)  $(s_1 s_2)^m = s_1^m s_2^m [s_2, s_1]^{(m)} z^{(3)}$ ;
- (iv)  $[s_1^m, s] = s_2^m [s_2, s_1]^{(m)} z^{(3)}$ ;
- (v)  $[s_i, s_1]^p = 1$  for  $i \geq 2$ .

**PROOF.** (i) This follows from Lemma 2.4.

(ii) We use induction on  $m$ , the Witt identity, Lemma 2.11 and (i).

(iii)–(iv) We use induction on  $m$ , (i) and Lemma 2.6(ii).

(v) We have  $[s_i, s_1] \in P_{n-p+i}$  by Lemma 2.4 and  $\exp(P_{n-p+i})|p$  by Lemma 2.5(ii). □

**LEMMA 2.13.** *We have  $\sum_{k=u}^m k \binom{k}{u} = m \binom{m+1}{u+1} - \binom{m+1}{u+2}$  for all positive integers  $u$  and  $m$ .*

**LEMMA 2.14.** *Let  $G$  be a metabelian  $p$ -group of maximal class of order  $p^n$ . If  $n \geq 2p - 3$  and  $p \geq 3$ , then  $(s s_1)^p = s^p s_1^{\binom{p}{1}} \cdots s_p^{\binom{p}{p}} [s_1, s_{p-1}]$ .*

**PROOF.** By using induction on  $m$ , Lemmas 2.13 and 2.12 we see that

$$(s s_1)^m = s^m s_1^{\binom{m}{1}} \cdots s_m^{\binom{m}{m}} [s_2, s_1]^{b_2} \cdots [s_i, s_1]^{b_i} \cdots [s_m, s_1]^{b_m} z^{b_3},$$

where  $b_i = \sum_{k=i-1}^{m-1} k \binom{k}{i-1}$  for  $i \geq 2$ . Now we take  $m = p$  and observe that  $[s_p, s_1] = 1$  by Lemma 2.4. We have  $b_i = (p - 1) \binom{p}{i} - \binom{p}{i+1}$  by Lemma 2.13, so  $p|b_i$  when  $i < p - 1$  and  $b_{p-1} = p(p - 1) - 1$ . Therefore  $[s_i, s_1]^{b_i} = 1$  for  $i < p - 1$  and  $[s_{p-1}, s_1]^{b_{p-1}} = [s_1, s_{p-1}]$  by Lemma 2.12(v). Also if  $p = 3$ , then by Lemma 2.12(i),  $z = 1$  since  $n \geq 4$ ; and if  $p > 3$ , then  $p|b_3$ . Hence,  $z^{b_3} = 1$  since  $z \in Z(G)$ . □

In what follows we give a presentation for a metabelian  $p$ -group  $G$  of maximal class of order  $p^n$  ( $n \geq 2p - 3$ ). Suppose that  $k$  is the largest positive integer such that  $[P_1, P_2] = P_k$ , so  $k \geq n - p + 2$  by Lemma 2.4. Therefore we may write  $[s_1, s_2] = s_k^{a_1} s_{k+1}^{a_2} \cdots s_{n-1}^{a_{n-k}}$ , where  $a_1 \neq 0$  and  $0 \leq a_i < p$ . Also, by Lemma 2.2,  $s^p = s_{n-1}^w$  ( $0 \leq w < p$ ), and, by Lemma 2.3(v),  $s_i^p s_{i+1}^{\binom{p}{2}} \cdots s_{i+p-1} = 1$  for  $i \geq 2$ . Now by Lemmas 2.14 and 2.4 we see that  $s_1^{\binom{p}{1}} \cdots s_p^{\binom{p}{p}} = s_{n-1}^z$  ( $0 \leq z < p$ ). So we have proved the following theorem.

**THEOREM 2.15.** *Let  $G$  be a metabelian  $p$ -group of maximal class of order  $p^n$ ,  $p \geq 3$  and  $n \geq 2p - 3$ . Then*

$$G \cong \langle s, s_1, \dots, s_{n-1} \mid s_i = [s_{i-1}, s], [s_{n-1}, s] = 1, [s_1, s_2] = s_k^{a_1} s_{k+1}^{a_2} \cdots s_{n-1}^{a_{n-k}} \\ [s_i, s_j] = 1, s^p = s_{n-1}^w, s_1^{\binom{p}{1}} \cdots s_p^{\binom{p}{p}} = s_{n-1}^z, s_i^p s_{i+1}^{\binom{p}{2}} \cdots s_{i+p-1} = 1 \rangle,$$

where  $2 \leq i \leq j \leq n - 1$ ,  $a_1 \neq 0$ ,  $0 \leq a_1, \dots, a_{n-k} < p$ ,  $0 \leq z < p$  and  $0 \leq w < p$ .

### 3. $\text{Aut}^{P_i}(G)$ and $\text{Aut}_p(G)$

In this section we prove a structure theorem for  $\text{Aut}^{P_i}(G)$  ( $i \geq 2$ ) and  $\text{Aut}_p(G)$  when  $G$  is a metabelian  $p$ -group of maximal class of order  $p^n$ . We note that if  $n \leq 3$  and  $G$  is not cyclic then  $\text{Aut}^\Phi(G) = \text{Inn}(G)$  and  $\text{Aut}_p(G) \cong \text{Aut}^\Phi(G) \rtimes C_p$ . Moreover, when  $G$  is cyclic then  $\text{Aut}_p(G) = \text{Aut}^\Phi(G) \cong C_p$ . Therefore, in the rest of this section we assume that  $n \geq 4$ .

**THEOREM 3.1** [6, Theorem 3.2]. *Let  $G = \langle a, b \rangle$  be a two-generated metabelian group. Then the following are equivalent:*

- (i) for all  $u, v \in G'$  there is an automorphism of  $G$  that maps  $a$  to  $au$  and  $b$  to  $bv$ ;
- (ii)  $G$  is nilpotent.

By the above theorem we see that if  $G$  is a noncyclic metabelian  $p$ -group of maximal class of order  $p^n$ , then for any elements  $x, y \in G'$  there is an automorphism that maps  $s$  to  $sx$  and  $s_1$  to  $s_1y$ , so  $|\text{Aut}^\Phi(G)| = p^{2n-4}$ . Now we define  $\alpha_i$ ,  $2 \leq i \leq n - 1$ , by  $s^{\alpha_i} = s$  and  $s_1^{\alpha_i} = s_1s_i$ . Clearly,  $[\alpha_i, \alpha_j] = 1$ . Also  $\alpha_2 = \sigma_s$  has order  $p$ .

**LEMMA 3.2.** *Let  $G$  be a metabelian  $p$ -group of maximal class of order  $p^n$ . Then  $|\alpha_i| = |s_i|$  for  $i \geq 3$ .*

**PROOF.** We observe that  $(s_1)^{\alpha_i^m} = s_1s_i^m s_{2i-1}^{\binom{m}{2}} \cdots s_{ri-(r-1)}^{\binom{m}{r}} \cdots s_{mi-(m-1)}^{\binom{m}{m}}$  for every positive integer  $m$ . On setting  $m = |s_i| = p^t$ , we see that  $t \geq ((n - i)/(p - 1))$  by Lemma 2.7, so  $\alpha_i^m = 1$  by Lemma 2.8. Also  $\alpha_i^{p^k} \neq 1$  for  $p^k < |s_i|$ ; otherwise  $s_i^{p^k} s_{2i-1}^{\binom{p^k}{2}} \cdots s_{ri-(r-1)}^{\binom{p^k}{r}} \cdots = 1$ . However,  $s_i^{p^k} \in P_{i+k(p-1)} - P_{i+k(p-1)+1}$  by Lemma 2.5(i) and  $s_{ri-(r-1)}^{\binom{p^k}{r}} \in P_{i+k(p-1)+2}$  for  $2 \leq r \leq m$  by Lemma 2.8, which is impossible.  $\square$

**THEOREM 3.3.** *Let  $G$  be a metabelian  $p$ -group of maximal class of order  $p^n$ . We set  $A_i = \langle \alpha_i, \dots, \alpha_{n-1} \rangle$  and  $I_i = \{\sigma_g \mid g \in P_{i-1}\}$  for  $2 \leq i \leq n - 1$ .*

- (i)  $\text{Aut}^\Phi(G) = \text{Inn}(G) \rtimes A_3$ , where  $A_3$  is an almost homocyclic  $p$ -group of rank  $p - 1$ .
- (ii)  $\text{Aut}^{P_i}(G) = I_i \rtimes A_i$  for  $2 \leq i \leq n - 1$ .
- (iii)  $A_i \cong I_i \cong P_i$  is an almost homocyclic  $p$ -group of rank  $p - 1$ , having order  $p^{n-i}$  for  $i \geq 3$  and  $A_2 \not\cong P_2$  when  $n > p + 1$ .

**PROOF.** (i) By [8, Theorems 2.3, 4.3],  $\text{Aut}^\Phi(G) = \text{Inn}(G) \rtimes A_3$  and  $A_3 \cong P_3$ , so  $A_3$  is almost homocyclic by Corollary 2.10.

(ii) We have  $|I_i| = p^{n-i}$  for  $2 \leq i \leq n-1$  and, by Theorem 3.1,  $|\text{Aut}^{P_i}(G)| = p^{2(n-i)}$  for  $2 \leq i \leq n-1$ . Also  $A_{n-1} < \dots < A_3 < A_2$  implies that  $|A_i| \geq p^{n-i}$ . Therefore by (i),  $|A_i| = p^{n-i}$  and  $I_i \cap A_i = 1$  for  $3 \leq i \leq n-1$ . So it remains to prove that  $I_2 \cap A_2 = 1$ . Otherwise there exists an element  $g \in P_1 - Z(G)$  such that  $\sigma_g \in A_2$  and so  $[s, g] = 1$ . Hence by Lemma 2.2,  $g = s^i z$  for some  $0 < i < p$  and some  $z \in Z(G)$ . Thus  $[s_1, g] = [s_1, s^i] = s_2^j s_3^{(i)} \cdots s_{i+1}^{(i)}$ , by Lemma 2.6(i). It follows that  $[s_1, g] \in P_2 - P_3$ . Since  $g \in P_1$  and  $G$  has positive degree of commutativity, we find that  $[s_1, g] \in P_3$ , which is a contradiction. Therefore,  $I_2 \cap A_2 = 1$ .

(iii) We have  $|A_i| = p^{n-i}$  by (ii). By [8, Theorem 4.3],  $A_i \cong P_i$  for  $i \geq 3$  and so, by Corollary 2.10,  $A_i$  is almost homocyclic. Now we prove that  $I_i \cong P_i$  for  $i \geq 3$ . To see this we note that  $I_i \cong P_{i-1}/Z$ . Also  $P_{i-1}/Z \cong P_i$  by Lemma 2.9(v), (iv) and Corollary 2.10 we have  $I_i \cong P_i \cong A_i$  for  $i \geq 3$ . Finally,  $A_2 = \langle \alpha_2 \rangle A_3$  and  $\alpha_2 = \sigma_s$ . Therefore  $A_2 = \langle \alpha_2 \rangle \times A_3$  since  $\sigma_s$  has order  $p$ . So for  $n > p + 1$  the minimal number of generators of  $P_2$  and  $A_2$  are different.  $\square$

**LEMMA 3.4.** *With the notation and assumption of Theorem 3.3, the following inequalities hold for all positive integers  $m$ .*

- (i)  $[\sigma_{s_t}, \alpha_k] = \sigma_{s_{t+k-1}}$  for  $2 \leq k \leq n-1$  and  $1 \leq t \leq n-1$ .
- (ii)  $[A_k, I_t] = I_{t+k-1}$  for  $2 \leq k, t \leq n-1$ .
- (iii)  $[\sigma_{s_1^m}, \alpha_k] = \sigma_y$ , where  $y = s_k^m x$  and  $x \in P_{k+1}$  for  $k \geq 2$ . Furthermore,  $[\sigma_{s_i^m}, \alpha_k] = [\sigma_{s_i}, \alpha_k]^m$  for  $i, k \geq 2$ .
- (iv)  $[\sigma_{s_i}, \alpha_j^m] = \sigma_y$ , where  $y = s_{i+j-1}^m x$  and  $x \in P_{i+j}$  for  $i \geq 1$  and  $j \geq 2$ .
- (v) If  $i, j \geq 2$ ,  $(p, m_{j-1}) = 1$ ,  $m_{j-1} \neq 0$  and  $[\sigma_{s_{j-1}^{m_{j-1}}} s_j^{m_j} \dots, \alpha_i] = 1$ , then  $j \geq n - (i - 1)$ .
- (vi) If  $i, j \geq 2$ ,  $m_j \neq 0$ ,  $(p, m_j) = 1$  and  $[\alpha_{n-1}^{m_{n-1}} \alpha_{n-2}^{m_{n-2}} \cdots \alpha_j^{m_j}, \sigma_{s_{i-1}}] = 1$ , then  $j \geq n - (i - 1)$ .

**PROOF.**

- (i) This is clear by  $\sigma_{s_t}^{\alpha_k} = \sigma_{s_t}^{\alpha_k}$ .
- (ii) This is obvious by (i).
- (iii) We have  $[\sigma_{s_1^m}, \alpha_k] = \sigma_{s_1^{-m}(s_1 s_k)^m}$ . The proof is completed by Lemma 2.6(v).
- (iv) We use induction on  $m$  and (i).
- (v) By (iii), (i) and the fact that  $G'$  is Abelian,  $s_{i+j-2}^{m_{j-1}} s_{i+j-1}^{m_j} \cdots \in Z(G)$  for  $j \geq 3$ , so  $i + j - 2 \geq n - 1$ . If  $j = 2$  then  $s_i^{m_1} x s_{i+1}^{m_2} s_{i+2}^{m_3} \cdots \in Z(G)$ , where  $x \in P_{i+1}$  therefore  $i \geq n - 1$ , completing the proof.
- (vi) We use (iv) and then proceed as in (v).  $\square$

**THEOREM 3.5.** *With the notation and assumption of Theorem 3.3, the following results hold:*

- (i)  $\gamma_j(\text{Aut}^{P_i}(G)) = I_{(i-1)j+1}$  for  $i, j \geq 2$ ;
- (ii)  $\text{cl}(\text{Aut}^{P_2}(G)) = n - 2$ ;

(iii) if  $i \geq 3$  and  $n = (i - 1)c + r$  ( $0 \leq r \leq i - 2$ ), then

$$\text{cl}(\text{Aut}^{P_i}(G)) = \begin{cases} c - 1, & 0 \leq r \leq 1, \\ c, & 2 \leq r \leq i - 2; \end{cases}$$

- (iv)  $\text{Aut}^{P_i}(G)$  is Abelian if and only if  $i \geq ((n + 1)/2)$ ;
- (v)  $Z(\text{Aut}^{P_i}(G)) = \text{Aut}^{P_{n-(i-1)}}(G)$  for  $2 \leq i \leq ((n + 1)/2)$ ;
- (vi)  $\zeta_j(\text{Aut}^{P_i}(G)) = \text{Aut}^{P_{n-(i-1)j}}(G)$  for  $2 \leq i \leq ((n + 1)/2)$  and  $1 \leq j \leq ((n - i)/(i - 1))$ .

**PROOF.**

- (i) We see that  $I_i = \langle \sigma_{s_{i-1}}, \dots, \sigma_{s_{n-2}} \rangle$  and  $[I_i, \sigma_{s_1}] \leq I_{i+1}$  for  $i \geq 2$ . Then Lemma 3.4(ii) implies that  $[A_i, I_i] = I_{2i-1}$ . Also by Theorem 3.3(ii), we deduce that  $\gamma_2(\text{Aut}^{P_i}(G)) = I_{2(i-1)+1}$ . Now by using induction on  $j$  and Lemma 3.4(ii) the result is proved.
- (ii) We have  $\gamma_j(\text{Aut}^{P_2}(G)) = I_{j+1}$  by (i). The result is immediate since  $I_{n-1} \neq 1$  and  $I_n = 1$ .
- (iii) This is evident from (i).
- (iv) This is easily proved by considering (iii) and (i).
- (v) It is obvious that  $\text{Aut}^{P_{n-(i-1)}}(G) \leq Z(\text{Aut}^{P_i}(G))$  by Theorem 3.3(ii) and 3.4(ii). If  $\alpha\sigma_g \in Z(\text{Aut}^{P_i}(G))$  for  $\alpha \in A_i$  and  $g \in P_{i-1}$ , then we may write  $g = s_{j-1}^{m_{j-1}} \cdots s_{n-1}^{m_{n-1}}$ , where  $j \geq i$ ,  $m_{j-1} \neq 0$  and  $(m_{j-1}, p) = 1$ . Also since  $|A_r : A_{r+1}| = p$  for  $2 \leq r \leq n - 2$ , we may write  $\alpha = \alpha_r^{m_r} \cdots \alpha_{n-1}^{m_{n-1}}$ , where  $r \geq i$ ,  $m_r \neq 0$  and  $(m_r, p) = 1$ . Consequently  $[\alpha\sigma_g, \alpha_i] = 1$  so  $[\alpha_i, \sigma_g] = 1$ . Hence,  $j \geq n - (i - 1)$  by Lemma 3.4(v). Therefore,  $\sigma_g \in Z(\text{Aut}^{P_i}(G))$ , which implies that  $[\alpha, \sigma_{s_{i-1}}] = 1$ . This shows that  $r \geq n - (i - 1)$  by Lemma 3.4(vi), completing the proof.
- (vi) By (v) and Theorem 3.3(iii),  $|Z(\text{Aut}^{P_i}(G))| = p^{2i-2}$  for any metabelian  $p$ -group  $G$  of maximal class and order  $p^n$  with  $i \leq ((n + 1)/2)$ . In what follows we prove, by induction on  $j$ , that  $\zeta_j(\text{Aut}^{P_i}(G)) = \text{Aut}^{P_{n-(i-1)j}}(G)$ . Suppose that the equality holds for all positive integers less than  $j$ . We set  $H = P_{n-(i-1)(j-1)}$  and observe that

$$\zeta_j(\text{Aut}^{P_i}(G))/\zeta_{j-1}(\text{Aut}^{P_i}(G)) = Z(\text{Aut}^{P_i}(G)/\text{Aut}^H(G)).$$

Also  $\text{Aut}^{P_i}(G)/\text{Aut}^H(G) \hookrightarrow \text{Aut}^{P_i/H}(G/H)$ . Since  $G/H$  is a metabelian  $p$ -group of maximal class and  $P_i(G/H) = P_i(G)/H$ , we find that  $|Z(\text{Aut}^{P_i/H}(G/H))| = p^{2i-2}$ . Then  $|\text{Aut}^{P_i/H}(G/H)| = |\text{Aut}^{P_i}(G)/\text{Aut}^H(G)|$ . Hence  $|\zeta_j(\text{Aut}^{P_i}(G))| = p^{2(i-1)j}$  and  $\text{Aut}^{P_{n-(i-1)j}}(G) \leq \zeta_j(\text{Aut}^{P_i}(G))$ , completing the proof. □

In the rest of this section, we find a necessary and sufficient condition on  $G$  for  $|\text{Aut}_p(G) : \text{Aut}^\Phi(G)| = p$ . We also give a structure theorem for  $\text{Aut}_p(G)$ .



**THEOREM 3.6.** *Suppose that  $G$  is a metabelian  $p$ -group of maximal class of order  $p^n$ , where  $p \geq 3$  and  $n \geq 2p - 3$ . Define the map  $\gamma$  by  $s^\gamma = ss_1$ ,  $s_1^\gamma = s_1$  and  $s_i^\gamma = [s_{i-1}^\gamma, s^\gamma]$ . Then  $\gamma$  extends to an automorphism of  $G$  if and only if  $s^p = (ss_1)^p$  and  $[P_1, P_{p-1}] = 1$ .*

**PROOF.** This is obvious when  $(p, n) = (3, 4)$  so for the rest of the proof suppose that  $(p, n) \neq (3, 4)$ . We first note that if  $n \geq 2p - 2$ , then  $s_i^\gamma = s_i[s_i, s_1]^{i-1}[s_{i-1}, s_1]^{i-2}$  ( $2 \leq i \leq p - 1$ ),  $s_p^\gamma = s_p[s_{p-1}, s_1]^{p-2}$  and  $s_j^\gamma = s_j$  for  $j \geq p + 1$ , by Lemmas 2.12 and 2.4. Now suppose that  $\gamma$  is an automorphism. According to Lemma 2.3(ii), we have  $s_1^p s_p \in P_{p+1}$  so that  $[s_{p-1}, s_1] = 1$  since  $(s_1^p s_p)^\gamma = s_1^p s_p$ . Therefore,  $[P_1, P_{p-1}] = 1$ . On the other hand,  $s^p = s_{n-1}^w$  by Theorem 2.15 and hence  $(s^p)^\gamma = (s_{n-1}^w)^\gamma$ , which implies that  $s^p = (ss_1)^p$ . Now suppose that  $s^p = (ss_1)^p$  and  $[P_1, P_{p-1}] = 1$ . By using induction on  $i$  we may see that  $[P_1, P_{p-i}] \leq P_{n-(i-1)}$  ( $1 \leq i \leq p - 2$ ). So by considering the presentation of  $G$  given in Theorem 2.15,  $k \geq n - p + 3$  or equivalently  $k \geq p + 1$ . Finally, we see that  $\gamma$  is an automorphism of  $G$  by Lemma 2.12(i), (v). Now if  $n = 2p - 3$ , then clearly  $p \geq 5$  and so  $s_i^\gamma = s_i[s_i, s_1]^{i-1}[s_{i-1}, s_1]^{i-2}z$ , where  $z = [[s_2, s_1], s_1]$  and  $i \in \{3, 4\}$ . The value of  $\gamma$  on  $s_i$  for  $i \neq 3, 4$  is the same as above. Hence, by the same argument we may conclude the result.  $\square$

**THEOREM 3.7.** *Let  $G$  be a metabelian  $p$ -group of maximal class of order  $p^n$ . Then  $|\text{Aut}_p(G) : \text{Aut}^\Phi(G)| = p$  if and only if there exists an automorphism of  $G$  that maps  $s$  to  $ss_1$  and  $s_1$  to  $s_1$ .*

**PROOF.** If there exists an automorphism of  $G$  that maps  $s$  to  $ss_1$  and  $s_1$  to  $s_1$ , then  $|\text{Aut}_p(G) : \text{Aut}^\Phi(G)| = p$ . Assume that  $|\text{Aut}_p(G) : \text{Aut}^\Phi(G)| = p$ , so there exists an automorphism  $\alpha$  such that  $\alpha \notin \text{Aut}^\Phi(G)$ . We have  $\alpha \in \text{Aut}_p^{P_1}(G)$  since  $\text{Aut}(G)/\text{Aut}^{P_1}(G) \hookrightarrow \text{Aut}(G/P_1)$ . Hence we may write  $s^\alpha = ss_1^i x$  and  $s_1^\alpha = s_1^{j+1} y$ , where  $0 \leq i, j < p$  and  $x, y \in \Phi(G)$ . We choose  $u$  and  $w$  in  $\Phi(G)$  such that  $u^\alpha = x$  and  $w^\alpha = y$ . Then by Theorem 3.1 the map  $\beta$  defined by  $s^\beta = su^{-1}$ ,  $s_1^\beta = s_1 w^{-1}$  is an automorphism of  $G$  lying in  $\text{Aut}^\Phi(G)$ . On setting  $\delta := \beta\alpha$ , we have  $\delta \in \text{Aut}_p(G) - \text{Aut}^\Phi(G)$  and  $s^\delta = ss_1^i$ ,  $s_1^\delta = s_1^{j+1}$ . Now by considering the order of  $s_1$  and  $s_1^\delta$ , we see that  $1 \leq j + 1 < p$  which implies that  $(s)^\delta = s s_1^t$ ,  $(s_1)^\delta = s_1 x_1$ , where  $t \neq 0$  and  $(t, p) = 1$ , since  $\delta^{p-1} \notin \text{Aut}^\Phi(G)$  and  $x_1 \in \Phi(G)$ . Now by the same argument as above we obtain an automorphism  $\tau$  such that  $s^\tau = s s_1^t$ ,  $s_1^\tau = s_1$  and  $\tau \in \text{Aut}_p(G) - \text{Aut}^\Phi(G)$ . So  $(s)^\tau = ss_1$  and  $(s_1)^\tau = s_1$ , where  $m$  is a positive integer satisfying  $tm \equiv 1 \pmod{|s_1|}$ .  $\square$

**COROLLARY 3.8.** *Let  $G$  be a metabelian  $p$ -group of maximal class of order  $p^n$ , where  $p \geq 3$  and  $n \geq 2p - 3$ . Then  $|\text{Aut}_p(G) : \text{Aut}^\Phi(G)| = p$  if and only if  $[P_1, P_{p-1}] = 1$  and  $s^p = (ss_1)^p$ .*

**LEMMA 3.9.** *Let  $G$  be a metabelian  $p$ -group of maximal class of order  $p^n$ , where  $p \geq 3$  and  $n \geq 2p - 3$ . If there exists an automorphism  $\gamma$  that maps  $s$  to  $ss_1$  and  $s_1$  to  $s_1$ , then  $\gamma^p \in \text{Inn}(G)$  and  $\gamma \notin \text{Inn}(G)$ .*

**PROOF.** We have  $[P_1, P_{p-1}] = 1$  by Theorem 3.6 so  $z = [[s_2, s_1], s_1] = 1$ , by Lemma 2.12(i). On setting  $g = s_1^{\binom{p}{2}} s_2^{\binom{p}{3}} \cdots s_{p-1}^{\binom{p}{p}}$ , we have  $[g, s] = s_2^{\binom{p}{2}} [s_2, s_1]^{\binom{p}{2}} s_3^{\binom{p}{3}} \cdots s_p^{\binom{p}{p}}$ , where  $m = \binom{p}{2}$  since  $G'$  is Abelian, and by Lemmas 2.12(iv) and 2.6(iii). Also  $[s_2, s_1]^{\binom{p}{2}} = 1$  by Lemma 2.12(v). According to Lemma 2.14 and Theorem 3.6,  $s_1^{\binom{p}{1}} \cdots s_p^{\binom{p}{p}} = 1$  and hence  $[s, g] = s_1^p$ . Moreover,  $[g, s_1] = 1$  by Lemmas 2.6(ii), 2.12(v) and Theorem 3.6. Therefore,  $\gamma^p = \sigma_g$  and obviously  $\gamma$  is not an inner automorphism.  $\square$

**THEOREM 3.10.** *Let  $G$  be a metabelian  $p$ -group of maximal class of order  $p^n$ , where  $p \geq 3$  and  $n \geq 2p - 3$ . If  $|\text{Aut}_p(G) : \text{Aut}^\Phi(G)| = p$  then  $\text{Aut}_p(G) = \text{Aut}^\Phi(G) \rtimes C_p$ .*

**PROOF.** By Theorem 3.7,  $\gamma$  is an automorphism; and by Lemma 3.9,  $\gamma^p \in \text{Inn}(G)$ . Now following the proof of [8, Proposition 4.1],  $G$  can be embedded in a  $p$ -group  $H$  of maximal class of order  $p^{n+1}$  and  $P_i(H) = P_{i-1}(G)$  for  $3 \leq i \leq n$ . We now choose  $t \in H$  such that  $t \notin P_1(H) \cup G$ . But  $H$  has positive degree of commutativity by [9, Theorem 3.3.5] and so we deduce that  $t^p \in P_n(H)$ , by Lemmas 2.1 and 2.2. Let  $\alpha$  be the restriction of  $\sigma_t$  to  $G$ ; then  $\alpha$  has order  $p$  since  $Z(G) = P_n(H)$ . We have  $\alpha \notin \text{Aut}^\Phi(G)$ , for otherwise  $[G, t] \leq \Phi(G) = P_2(G) = P_3(H)$ . However,  $H = \langle G, t \rangle$  would imply that  $[H, t] \leq P_3(H)$  or equivalently  $t \in P_2(H)$ , which is impossible. Therefore  $\text{Aut}_p(G) = \text{Aut}^\Phi(G) \rtimes \langle \alpha \rangle$ , which yields the proof.  $\square$

**REMARK.** Let  $G$  be a  $p$ -group of maximal class having order  $p^n$ . In [8, Theorem 4.2] Juhász proves that if  $G$  can be embedded in a  $p$ -group of maximal class, then  $|\text{Aut}_p(G) : \text{Aut}^\Phi(G)| = p$ . We note that the converse of this result is also true when  $G$  is metabelian with  $n \geq 2p - 3$ . Consider the map  $\gamma$  defined by  $s^\gamma = ss_1$  and  $s_1^\gamma = s_1$ . Then  $\gamma$  is an automorphism with  $\gamma^p \in \text{Inn}(G)$  by Theorem 3.7 and Lemma 3.9. Now since  $\gamma$  satisfies the conditions of [8, Proposition 4.1],  $G$  can be embedded in a  $p$ -group of maximal class. Notice that our Corollary 3.8 gives another necessary and sufficient condition on  $G$  for  $|\text{Aut}_p(G) : \text{Aut}^\Phi(G)| = p$ . Juhász also proves that if  $G/P_{p+1}(G)$  cannot be embedded in a  $p$ -group of maximal class and  $G$  has positive degree of commutativity, then  $\text{Aut}_p(G) = \text{Aut}^\Phi(G)$ . In particular, if  $G$  is metabelian, then  $|\text{Aut}_p(G)| = p^{2n-4}$ . Finally, we note that the above embedding conditions given by Juhász do not cover the whole class of  $p$ -groups of maximal class. The following example provides an infinite class of metabelian  $p$ -groups of maximal class which do not satisfy these conditions.

**EXAMPLE.** Suppose that

$$G \cong \langle s, s_1, \dots, s_{n-1} \mid s_i = [s_{i-1}, s], [s_{n-1}, s] = 1, [s_1, s_2] = 1, [s_i, s_j] = 1 \\ s^p = 1, s_1^{\binom{p}{1}} \cdots s_p^{\binom{p}{p}} = s_{n-1}, s_i^p s_{i+1}^{\binom{p}{2}} \cdots s_{i+p-1} = 1 \rangle,$$

where  $2 \leq i \leq j \leq n - 1$ ,  $n \geq 2p - 3$  and  $p \geq 3$ . Then  $G$  is a  $p$ -group of maximal class and order  $p^n$ , which cannot be embedded in a  $p$ -group of maximal class. However,  $G/P_{p+1}(G)$  can be embedded in a  $p$ -group of maximal class.

To prove this, we see that any element  $g$  of  $G$  may be written as  $g = s^r s_1^{r_1} \cdots s_{n-1}^{r_{n-1}}$ , where  $0 \leq r, r_1, \dots, r_{n-1} < p$ . Also  $\gamma_i(G) = \langle s_i, \dots, s_{n-1} \rangle$ ,  $2 \leq i \leq n - 1$ , implies that  $\text{cl}(G) = n - 1$  and  $|G| = p^n$ . By Corollary 3.8,  $|\text{Aut}_p(G) : \text{Aut}^\Phi(G)| \neq p$  since  $s^p \neq (ss_1)^p$ . Therefore, by [8, Theorem 4.2],  $G$  cannot be embedded in a  $p$ -group of maximal class. Now by adding the relations  $s_{p+1} = \cdots = s_{n-1} = 1$  to those of  $G$ , we find a presentation for  $G/P_{p+1}(G)$  in which the relation  $s_1^{\binom{p}{1}} \cdots s_p^{\binom{p}{p}} = 1$  holds. On setting  $H = G/P_{p+1}(G)$ , we see that the map  $\gamma$  defined by  $s^\gamma = ss_1$  and  $s_i^\gamma = s_1$  is an automorphism of  $H$  fixing  $s_i$ ,  $2 \leq i \leq p$ . By considering the presentation of  $H$ , it is easily seen that  $\gamma^p = \sigma_g$ , where  $g = s_1^{\binom{p}{2}} \cdots s_{p-1}^{\binom{p}{p}}$ . Hence, by [8, Proposition 4.1],  $H$  can be embedded in a  $p$ -group of maximal class.

#### 4. The order of $\text{Aut}^\Phi(G)$

In this section we prove that for a noncyclic  $p$ -group  $G$  of maximal class of order  $p^n$ ,  $|\text{Aut}^\Phi(G)| = p^{2n-4}$  if and only if  $G$  is metabelian. This is evident for the case where  $p = 2$ . Therefore, for the rest of the section we assume that  $p$  is an odd prime and  $n \geq 4$ .

We first give some elementary lemmas.

**LEMMA 4.1.** *Let  $G$  be a  $p$ -group of maximal class and order  $p^n$ . If  $[P_2(G), P_2(G)] \leq Z(G)$ , then  $[s_i^{-1}, s] = s_{i+1}^{-1}[s_{i+1}, s_i]$  ( $i \geq 2$ ).*

**PROOF.** This is immediate by  $[s_i s_i^{-1}, s] = 1$ . □

**LEMMA 4.2.** *Let  $G$  be a  $p$ -group of maximal class and order  $p^n$ ,  $[P_r, P_{r+1}] = 1$  and  $[s_r, s_{r+1}] = z \in Z(G)$  for some  $r \geq 2$ . Then:*

- (i)  $[s_r, s_i] = 1$  for  $i \geq r + 2$ ;
- (ii)  $[s_{r+1}, s_{r-1}] = [s_{r-1}, s_{r+1}^{-1}]$ ;
- (iii)  $[[s_{r-1}, s_r], s] = [s, [s_r, s_{r-1}]]$ ;
- (iv) if  $[s_{r-1}, s_r] = s_k^{a_k} s_{k+1}^{a_{k+1}} \cdots s_{n-1}^{a_{n-1}}$  for  $k \geq r + 2$  then  $[s_{r-1}, s_{r+1}] = s_{k+1}^{a_k} \cdots s_{n-1}^{a_{n-2}} z^{-1}$ .

**PROOF.**

- (i) We use induction on  $i$  ( $i \geq r + 2$ ) and the Witt identity.
- (ii) This follows from Lemma 2.11.

- (iii) This is easily seen from Lemma 2.11 and the fact that  $[s_r, s_{r-1}] \in P_{r+1}$ .
- (iv) We have  $[s_{r-1}, s_{r+1}]s_{r+1}^{-1} = s_{r+1}^{-s_{r-1}} = [s, s_r]^{s_{r-1}} = [s^{s_{r-1}}, s_r^{s_{r-1}}] = [s[s, s_{r-1}], s_r[s_r, s_{r-1}]] = [s s_r^{-1}, s_r[s_r, s_{r-1}]] = [s, s_r[s_r, s_{r-1}]]^{s_r^{-1}}$  by (i) and the fact that  $[s_r, s_{r-1}] \in P_{r+2}$ . So  $[s_{r-1}, s_{r+1}]s_{r+1}^{-1} = [s, [s_r, s_{r-1}]] [s, s_r]^{s_r^{-1}}$ . Now by (iii),  $[s_{r-1}, s_{r+1}] = [[s_{r-1}, s_r], s]z^{-1}$  since  $[s_r, s_{r+1}] = z$ , which completes the proof. □

**THEOREM 4.3.** *Let  $G$  be a  $p$ -group of maximal class of order  $p^n$ , where  $n$  is a positive integer. Then  $|\text{Aut}^\Phi(G)| = p^{2n-4}$  if and only if  $G$  is metabelian.*

**PROOF.** If  $G$  is metabelian, then by Theorem 3.1,  $|\text{Aut}^\Phi(G)| = p^{2n-4}$ . Now suppose that  $|\text{Aut}^\Phi(G)| = p^{2n-4}$ . By induction on  $|G|$  we prove that  $G$  is metabelian. If  $|G| = p^4$  then  $[P_2(G), P_2(G)] \leq P_4(G) = 1$  and obviously  $G$  is metabelian. Suppose that  $|G| \geq p^5$  and the theorem is true for each  $p$ -group of order less than  $|G|$ . We have  $|\text{Aut}^Z(G)| = p^2$  by [1, Theorem 1] and the fact that  $G$  has no nontrivial Abelian direct factor. Also we have

$$\text{Aut}^\Phi(G)/\text{Aut}^Z(G) \hookrightarrow \text{Aut}^{\Phi(G/Z)}(G/Z).$$

It follows that  $|\text{Aut}^{\Phi(G/Z)}(G/Z)| = p^{2(n-1)-4}$ . Now the group  $G/Z$  is metabelian by the induction hypothesis, so  $[P_2(G), P_2(G)] \leq Z(G)$ . By the way of contradiction suppose that  $P_2(G)$  is not Abelian. Let  $r$  be the largest positive integer such that  $[P_r(G), P_r(G)] \neq 1$ , so  $[P_{r+1}(G), P_{r+1}(G)] = 1$ . We may write  $[s_r, s_{r+1}] = z \in Z(G)$  and so, by Lemma 4.2(i),  $z \neq 1$ . Now the map  $\alpha$  defined by  $s^\alpha = s$  and  $s_1^\alpha = s_1 s_2^{-1}$  is an automorphism of  $G$  since  $|\text{Aut}^\Phi(G)| = p^{2n-4}$ . By Lemma 4.1 and using induction on  $i$ , we deduce that  $s_i^\alpha = s_i s_{i+1}^{-1} [s_{i+1}, s_i]$  ( $i \geq 2$ ). Therefore,  $s_r^\alpha = s_r s_{r+1}^{-1} z^{-1}$  and  $s_k^\alpha = s_k s_{k+1}^{-1}$  ( $k \geq r + 1$ ). Also  $[P_r(G), P_{r-1}(G)] \leq P_{2r}(G)$  when  $2r \leq n - 1$ , since  $G/Z$  has positive degree of commutativity. However,  $2r \leq n - 1$  always holds, for otherwise  $[P_r(G), P_{r+1}(G)] \leq P_{2r+1}(G) = 1$  would imply that  $[s_r, s_{r+1}] = 1$ , a contradiction. Hence,  $[P_r(G), P_{r-1}(G)] \leq P_{r+2}(G)$ . Therefore, we may write  $[s_{r-1}, s_r] = s_k^{a_k} s_{k+1}^{a_{k+1}} \cdots s_{n-1}^{a_{n-1}}$  when ( $k \geq r + 2$ ). Now since  $\alpha$  is an automorphism of  $G$ , we have  $[s_{r-1}^\alpha, s_r^\alpha] = (s_k^\alpha)^{a_k} \cdots (s_{n-1}^\alpha)^{a_{n-1}}$ . Moreover,  $[s_{r-1}^\alpha, s_r^\alpha] = [s_{r+1}, s_{r-1}] [s_{r-1}, s_r] z$  by Lemma 4.2(i) and (ii). Also

$$(s_k^\alpha)^{a_k} \cdots (s_{n-1}^\alpha)^{a_{n-1}} = [s_{r-1}, s_r] ([s_{r-1}, s_{r+1}] z)^{-1} = [s_{r-1}, s_r] [s_{r+1}, s_{r-1}] z^{-1},$$

by Lemma 4.2(iv), from which we conclude that  $z^2 = 1$ , which is impossible. □

### 5. A structure theorem for $\text{Aut}(G)$ when $p = 2, 3$

Let  $G$  be a 3-group of maximal class of order  $3^n$ . As in Section 3, it is an easy matter to find  $\text{Aut}(G)$  when  $n \leq 3$ . Therefore, for the rest of this section we assume that  $n \geq 4$ . When  $n = 4$ ,  $G$  is metabelian; and for  $n \geq 5$ ,  $G$  has degree of commutativity  $n - 4$  by [3, Theorem 3.13] and so is metabelian.

We deduce the following theorem from Blackburn’s observation [3, p. 88] which gives us a presentation for  $G$ .

**THEOREM 5.1.** *If  $G$  is a 3-group of maximal class of order  $3^n$ , then*

$$G \cong \langle s, s_1, \dots, s_{n-1} \mid s_i = [s_{i-1}, s], [s_{n-1}, s] = 1, [s_1, s_2] = s_{n-1}^a, s^3 = s_{n-1}^b, s_1^3 s_2^3 s_3 = s_{n-1}^c, s_i^3 s_{i+1}^3 s_{i+2} = 1 \rangle,$$

where  $a, b, c \in \{0, 1, 2\}$  and  $2 \leq i \leq n - 1$ . For  $n > 4$  there exist three groups which possess no Abelian maximal subgroup, given by  $c = 0, a = 1$  and  $b = 0, 1, 2$ . If  $n$  is even and  $n \geq 4$ , there exist four groups with an abelian maximal subgroup, given by  $a = b = 0, c = 1, 2$  or  $a = c = 0, b = 0, 1$ . If  $n$  is odd and  $n > 4$  then there exist three groups with an Abelian maximal subgroup, given by  $a = b = 0, c = 1$  or  $a = c = 0, b = 0, 1$ .

By Theorem 3.3 and Corollary 2.10 we obtain the following corollary.

**COROLLARY 5.2.** *If  $G$  is a 3-group of maximal of order  $3^n$ , then  $\text{Aut}^\Phi(G) = \text{Inn}(G) \rtimes A$ , where  $A$  is an Abelian subgroup of  $\text{Aut}(G)$ . Moreover,  $A \cong C_{3^m} \times C_{3^m}$  when  $n = 2m + 3$  ( $m \geq 1$ ) and  $A \cong C_{3^m} \times C_{3^{m+1}}$  when  $n = 2m + 4$  ( $m \geq 0$ ).*

**COROLLARY 5.3.** *Let  $G$  be a 3-group of maximal class of order  $3^n$ . Then  $|\text{Aut}_3(G) : \text{Aut}^\Phi(G)| = 3$  if and only if  $P_1$  is Abelian and  $(ss_1)^3 = s^3$ ; in this case  $\text{Aut}_3(G) \cong \text{Aut}^\Phi(G) \rtimes C_3$ .*

**PROOF.** This follows from Corollary 3.8 and Theorem 3.10. □

Now our aim is to find a structure theorem for  $\text{Aut}_2(G)$ , the Sylow 2-subgroup of  $\text{Aut}(G)$ . Since  $P_1(G)$  and  $P_2(G)$  are characteristic subgroups of  $G$ ,  $G/P_2$  and  $P_1/P_2$  are invariant under  $\text{Aut}_2(G)$ . So by Maschke’s theorem there exists  $s \in G - P_1$  such that  $G/P_2 = P_1/P_2 \oplus \langle P_2, s \rangle/P_2$  and  $\langle P_2, s \rangle/P_2$  is invariant under  $\text{Aut}_2(G)$ . In the rest of this section  $s$  will be as above. Therefore, if  $\alpha \in \text{Aut}_2(G)$  then  $s^\alpha = s^i x$  and  $s_1^\alpha = s_1^j y$ , where  $x, y \in P_2$  and  $i, j \in \{1, -1\}$ .

The next lemma follows at once from Theorem 5.1.

**LEMMA 5.4.** *Let  $G$  be a 3-group of maximal class of order  $3^n$ . By considering the presentation of  $G$  we define the maps  $\beta_j, j \in \{1, 2, 3\}$ , by  $s^{\beta_1} = s, s_1^{\beta_1} = s_1^{-1}, s^{\beta_2} = s^{-1}, s_1^{\beta_2} = s_1, s^{\beta_3} = s^{-1}, s_1^{\beta_3} = s_1^{-1}$ . Then:*

- (i)  $\beta_1$  is an automorphism of  $G$  if and only if  $P_1$  is Abelian and  $s^3 = 1$ ;
- (ii)  $\beta_2$  is an automorphism of  $G$  if and only if either  $n$  is odd and  $s_1^3 s_2^3 s_3 = 1$ , or  $n$  is even,  $P_1$  is Abelian and  $s^3 = 1$ ;
- (iii)  $\beta_3$  is an automorphism of  $G$  if  $n$  is even.

**LEMMA 5.5.** *Let  $G$  be a  $p$ -group of maximal class of order  $p^n$  having positive degree of commutativity. If  $P_1$  is not Abelian then neither is any maximal subgroup of  $G$ .*

**PROOF.** We have  $n > 4$  by Theorem 5.1. By way of contradiction, let  $M$  be an Abelian maximal subgroup of  $G$ . Then  $M = \langle \Phi(G), y \rangle$ , where  $y \in G - P_1$ . So  $\mathcal{C}_G(y) = \langle y \rangle P_{n-1}$  and  $y^p \in P_{n-1}$  by Lemmas 2.2 and 2.1. However,  $\Phi(G) \leq \mathcal{C}_G(y)$  implies that  $|\mathcal{C}_G(y)| \geq p^3$ , which is impossible.  $\square$

Now by the fact that  $\text{Aut}(G) \cong \text{Aut}_3(G) \rtimes H$ , where  $H \leq C_2 \times C_2$ , we prove the following theorem.

**THEOREM 5.6.** *Let  $G$  be a 3-group of maximal class of order  $3^n$ .*

- (i) *If  $P_1$  is not Abelian, then  $\text{Aut}_2(G) \cong C_2$ .*
- (ii) *If  $P_1$  is Abelian and  $(ss_1)^3 = s^3$ , then  $\text{Aut}_2(G) \cong C_2 \times C_2$  when  $|s| = 3$  and  $\text{Aut}_2(G) \cong C_2$  when  $|s| = 9$ .*
- (iii) *If  $P_1$  is Abelian and  $(ss_1)^3 \neq s^3$ , then  $\text{Aut}_2(G) \cong C_2 \times C_2$  when  $n$  is even and  $\text{Aut}_2(G) \cong C_2$  when  $n$  is odd.*

**PROOF.** (i) According to Theorem 5.1 and Lemmas 5.4 and 5.5,  $\text{Aut}_2(G) = \langle \beta_2 \rangle$  if  $n$  is odd and  $\text{Aut}_2(G) = \langle \beta_3 \rangle$  if  $n$  is even.

(ii) By Lemma 5.4, we have  $\text{Aut}_2(G) = \langle \beta_1 \rangle \times \langle \beta_2 \rangle$  when  $|s| = 3$ . Now if  $|s| = 9$ , by Lemma 5.4, we have  $\text{Aut}_2(G) = \langle \beta_2 \rangle$  or  $\text{Aut}_2(G) = \langle \beta_3 \rangle$  depending on the parity of  $n$ .

(iii) By considering Theorem 5.1, we see that  $b = 0$  and so  $\text{Aut}_2(G) = \langle \beta_1 \rangle \times \langle \beta_2 \rangle$  by Lemma 5.4, when  $n$  is even. Now if  $n$  is odd, by Theorem 5.1 and Lemma 5.4, we have  $\text{Aut}_2(G) = \langle \beta_1 \rangle$ .  $\square$

**LEMMA 5.7.** *Let  $G$  be a 3-group of maximal class of order  $3^n$ . Then every element out of  $P_1$  has order 3 or 9. Furthermore, when  $P_1$  is Abelian, all elements out of  $P_1$  have the same order if and only if  $(ss_1)^3 = s^3$ .*

**PROOF.** According to Lemma 2.2, every element out of  $P_1$  has order 3 or 9. We have  $(ss_1)^3 = s^3 s_1^3 s_2^3 s_3^3$  when  $P_1$  is Abelian. If  $(ss_1)^3 = s^3$  then  $c = 0$  by Theorem 5.1. Also any element out of  $P_1$  has the form  $s^t s_1^{t_1} \cdots s_{n-1}^{t_{n-1}}$ , where  $0 < t < 3$  and  $0 \leq t_i < 3$ . Therefore by [3, Equation 40]  $(s^t s_1^{t_1} \cdots s_{n-1}^{t_{n-1}})^3 = s^{3t}$ , completing the proof. Now suppose that all elements out of  $P_1$  have the same order. If  $c = 0$  then  $(ss_1)^3 = s^3$ , and if  $c \neq 0$  then  $b = 0$  or equivalently  $s^3 = 1$  by Theorem 5.1. Hence  $(ss_1)^3 = 1$ , as desired.  $\square$

**THEOREM 5.8.** *Let  $G$  be a 3-group of maximal class of order  $3^n$ . If  $G$  has no Abelian maximal subgroup, then  $\text{Aut}(G) \cong \text{Aut}^\Phi(G) \rtimes C_2$ . If  $G$  has an Abelian maximal subgroup, then  $P_1$  is Abelian and every element out of  $P_1$  has order 3 or 9.*

- (i) *If all elements out of  $P_1$  have order 3 then*

$$\text{Aut}(G) \cong (\text{Aut}^\Phi(G) \rtimes C_3) \rtimes (C_2 \times C_2),$$

*and if all elements out of  $P_1$  have order 9 then*

$$\text{Aut}(G) \cong (\text{Aut}^\Phi(G) \rtimes C_3) \rtimes C_2.$$

- (ii) Suppose that elements out of  $P_1$  do not have the same order. If  $n$  is even then  $\text{Aut}(G) \cong \text{Aut}^\Phi(G) \rtimes (C_2 \times C_2)$ , and if  $n$  is odd then

$$\text{Aut}(G) \cong \text{Aut}^\Phi(G) \rtimes C_2.$$

**PROOF.** This is a straightforward consequence of the lemmas above. □

Now if  $G$  is a 2-group of maximal class, we may deduce some parts of the following theorem from the result of Section 3; however, there is also a simple proof as shown below.

**THEOREM 5.9.** *Let  $G$  be a 2-group of maximal class of order  $2^n$  ( $n \geq 3$ ). If  $G$  is the dihedral group of order  $2^n$  or the quaternion group of order  $2^n$ , then  $\text{Aut}^\Phi(G) \cong \text{Inn}(G) \rtimes C_{2^{n-3}}$  and  $\text{Aut}(G) \cong \text{Aut}^\Phi(G) \rtimes C_2$ . If  $G$  is the semi-dihedral group of order  $2^n$ , then  $\text{Aut}(G) = \text{Aut}^\Phi(G) \cong \text{Inn}(G) \rtimes C_{2^{n-3}}$ .*

**PROOF.** We know that

$$\begin{aligned} D_{2^n} &\cong \langle x, y \mid x^{2^{n-1}} = y^2 = (xy)^2 = 1 \rangle, \\ Q_{2^n} &\cong \langle x, y \mid x^{2^{n-2}} = y^2, y^{-1}xy = x^{-1}, x^{2^{n-1}} = 1 \rangle \quad \text{and} \\ SD_{2^n} &\cong \langle x, y \mid x^{2^{n-1}} = y^2 = 1, y^{-1}xy = x^{-1+2^{n-2}} \rangle. \end{aligned}$$

If  $G$  is  $D_{2^n}$  or  $Q_{2^n}$ , then there are automorphisms  $\alpha, \beta, \gamma$  and  $\delta$  defined by  $x^\alpha = x^{-1}, y^\alpha = y, x^\beta = x, y^\beta = x^2y, x^\gamma = x^5, y^\gamma = y$  and  $x^\delta = x^{-1}, y^\delta = x^{-1}y$ . It is then easy to check that  $\text{Inn}(G) = \langle \alpha, \beta \rangle, |\gamma| = 2^{n-3}, \delta \notin \text{Aut}^\Phi(G)$  and  $|\delta| = 2$ . If  $G = SD_{2^n}$ , there are automorphisms  $\alpha, \beta$  and  $\gamma$  defined by  $x^\alpha = x^{-1+2^{n-2}}, y^\alpha = y, x^\beta = x, y^\beta = x^{-2+2^{n-2}}y$  and  $x^\gamma = x^5, y^\gamma = y$ . Hence  $\text{Inn}(G) = \langle \alpha, \beta \rangle$  and  $|\gamma| = 2^{n-3}$  and the rest is clear. □

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