Dynamics of spatially localized states in transitional plane Couette flow

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Unsteady spatially localized states such as puffs, slugs or spots play an important role in transition to turbulence. In plane Couette flow, steady versions of these states are found on two intertwined solution branches describing homoclinic snaking (Schneider et al., Phys. Rev. Lett., vol. 104, 2010, 104501). These branches can be used to generate a number of spatially localized initial conditions whose transition can be investigated. From the low Reynolds numbers where homoclinic snaking is first observed (Re < 175) to transitional ones ($Re \approx 325$), these spatially localized states traverse various regimes where their relaminarization time and dynamics are affected by the dynamical structure of phase space. These regimes are reported and characterized in this paper for a 4π -periodic domain in the streamwise direction as a function of the two remaining variables: the Reynolds number and the width of the localized pattern. Close to the snaking, localized states are attracted by spatially localized periodic orbits before relaminarizing. At larger values of the Reynolds number, the flow enters a chaotic transient of variable duration before relaminarizing. Very long chaotic transients $(t > 10^4)$ can be observed without difficulty for relatively low values of the Reynolds number ($Re \approx 250$).

Key words: chaos, pattern formation, transition to turbulence

1. Introduction

Transition to turbulence is often studied in simple subcritical flows where turbulence is found for a range of parameter values for which the laminar flow is linearly stable (Orszag 1971; Romanov 1973; Meseguer & Trefethen 2003). Owing to the subcritical nature of these flows, methods based on weakly nonlinear theory are of no use and alternative methods have to be employed.

Plane Couette flow, the viscous three-dimensional flow between two oppositely moving parallel plates, was experimentally found to transition to turbulence via the spreading of turbulent spots, which resulted in the estimation of a critical Reynolds number for transition: $Re_c = 325 \pm 5$ (Dauchot & Daviaud 1995). More recently, intensive numerical investigations of localized turbulence in shear flows using statistical methods were undertaken to locate regime changes. Important results came first in pipe flow through the characterization of turbulent lifetimes

(Eckhardt *et al.* 2007; Willis & Kerswell 2007; Avila, Willis & Hof 2010). This effort culminated with the identification of a statistical critical Reynolds number based on the comparison between the mean lifetime of a decaying turbulent puff and the time scale corresponding to its splitting (Avila *et al.* 2011). The same technique was utilized for plane Couette flow: the statistical threshold, where turbulent stripe splitting and decay lifetimes intersect, was determined to be $Re_c \approx 325$ (Shi, Avila & Hof 2013), in remarkable agreement with the experiment by Dauchot & Daviaud (1995). The observable bistability between laminar and turbulent flows motivated another type of research based on front propagation (Pomeau 1986; Duguet & Schlatter 2013). Recent studies highlight the similarities between transition to turbulence and directed percolation (Lemoult *et al.* 2016; Sano & Tamai 2016; Chantry, Tuckerman & Barkley 2017). Even though these statistical approaches can be used to locate the transition threshold, they only provide a limited understanding of the circumstances under which transition occurs, which is necessary for the design of control strategies.

Other research has focused on the boundary between the basins of attraction of the laminar and of the turbulent flows known as the edge of chaos (Skufca, Yorke & Eckhardt 2006) for both pipe flow (Schneider, Eckhardt & Yorke 2007) and plane Couette flow (Schneider et al. 2008; Duguet, Schlatter & Henningson 2009). In the latter case, it was found that, while the trajectories on the edge in a small periodic domain converge to solutions closely resembling the lower branch of spatially periodic Nagata solutions (Nagata 1990; Schneider et al. 2008), those in large domains appear to be spatially localized (Duguet et al. 2009; Schneider, Marinc & Eckhardt 2010b). When the domain is not extended in the streamwise direction, edge states take the form of exact spatially (spanwise) localized solutions comprised of streamwise-oriented streaks and rolls surrounded by laminar flow. These are either equilibria or travelling waves in the streamwise direction depending on their parity. These states, which have recently been related to maximum transient growth perturbations (Olvera & Kerswell 2017), can be continued down in Reynolds number to unveil a so-called homoclinic snaking bifurcation scenario (Schneider, Gibson & Burke 2010a; Gibson & Schneider 2016). In this scenario, the branches of localized states oscillate in a bounded region in parameter space where each oscillation corresponds to an increase of the localized pattern by two rolls, one on either side of the pattern. Homoclinic snaking has been thoroughly studied using the Swift-Hohenberg equation (see Knobloch (2015) and references therein) but also in a wide variety of physical systems where forcing is balanced by a dissipative mechanism (Woods & Champneys 1999; Mercader et al. 2011; Beaume, Bergeon & Knobloch 2013; Lloyd et al. 2015). In particular, in doubly diffusive convection, the analysis of the homoclinic snaking and the stability of its solutions proved helpful to understand the complex regime that arises directly above criticality (Beaume, Bergeon & Knobloch 2018).

Homoclinic snaking is often associated with depinning whereby successive wavelength nucleations at the edge of the localized structure result in the propagation of the front connecting the pattern to the quiescent background. The speed of the front is found to be proportional to the square root of the distance to the snaking (Burke & Knobloch 2006; Knobloch 2015). The depinning instability is particularly interesting in the case of transition to turbulence since it would provide a potential mechanism by which a non-laminar state could invade the domain. Although depinning has been observed in two-dimensional doubly diffusive convection (Bergeon & Knobloch 2008), the study of the same system in three dimensions has revealed the presence of another instability associated with shorter time scales, preventing depinning from

being observed (Beaume *et al.* 2018). Using localized turbulent initial conditions in plane Couette flow, Duguet, Le Maître & Schlatter (2011) observed a small depinning region to the right of the snaking region, which disappears in favour of stochastic evolution.

In this work, we compute exact localized solutions of plane Couette flow and investigate their dynamics when perturbed in Reynolds number to the right of the snaking region, in order to understand better the mechanisms of transition and relaminarization. We use a domain with a width twice that utilized by Schneider *et al.* (2010*a*), comparable with the domain used by Duguet *et al.* (2011). In the next section, we detail the flow configuration studied, followed by a description of the spatially localized solutions that we used as initial conditions. The results are reported in §4, with details for the dynamical mechanisms observed in our simulations and the various regions found in parameter space. Section 5 concludes this paper.

2. Problem set-up

We consider plane Couette flow, which is a three-dimensional flow confined between two parallel walls moving in opposite directions as shown in figure 1. The dynamics of this flow is governed by the Navier–Stokes equation:

$$\partial_t \boldsymbol{u} + (\boldsymbol{u} \cdot \boldsymbol{\nabla})\boldsymbol{u} = -\boldsymbol{\nabla}p + \frac{1}{Re} \boldsymbol{\nabla}^2 \boldsymbol{u},$$
(2.1)

where u is the velocity field with components u, v and w in the streamwise (x), wallnormal (y) and spanwise (z) directions respectively, p is the pressure and t is the time. The Navier–Stokes equation is accompanied with the incompressibility condition:

$$\nabla \cdot \boldsymbol{u} = 0. \tag{2.2}$$

These equations have been non-dimensionalized using the speed of the walls, U, and half the gap between them, h, as units of velocity and distance. The Reynolds number in (2.1) is

$$Re = \frac{Uh}{v},\tag{2.3}$$

where ν is the kinematic viscosity of fluid. We consider periodic boundary conditions in the streamwise and spanwise directions: $u(x, y, z) = u(x + \Gamma_x, y, z + \Gamma_z)$, where $\Gamma_x = L_x/h$ and $\Gamma_z = L_z/h$ are the non-dimensional spatial periodicities in the streamwise and spanwise directions. No-slip boundary conditions are used in the remaining, wallnormal direction: $u|_{y=\pm 1} = (\pm 1, 0, 0)$.

Plane Couette flow possesses a laminar solution: the parallel, unidirectional flow U = (y, 0, 0) associated with constant pressure. To characterize the flow, we track the dynamics of the departures \tilde{u} from this state by writing $u = U + \tilde{u}$ (Schmid & Henningson 2001) and thus solve the system

$$\partial_t \tilde{\boldsymbol{u}} + \tilde{\boldsymbol{v}} \boldsymbol{e}_{\boldsymbol{x}} + y \partial_{\boldsymbol{x}} \tilde{\boldsymbol{u}} + (\tilde{\boldsymbol{u}} \cdot \boldsymbol{\nabla}) \tilde{\boldsymbol{u}} = -\boldsymbol{\nabla} \tilde{\boldsymbol{p}} + \frac{1}{Re} \boldsymbol{\nabla}^2 \tilde{\boldsymbol{u}}, \qquad (2.4a)$$

$$\nabla \cdot \tilde{\boldsymbol{u}} = 0, \tag{2.4b}$$

where e_x is the unit vector in the x-direction. For notational simplicity, we hereafter drop the tildes.

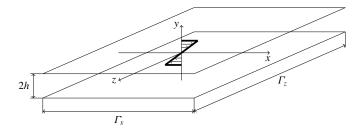


FIGURE 1. Sketch of the plane Couette flow configuration and its laminar solution (thick black line). The $y = \pm 1$ walls are parallel, separated by 2h and move along the x-direction with velocity $\pm U$. The domain is considered periodic in both x and z with periods Γ_x and Γ_z respectively.

Our numerical simulations are carried out using Channelflow which relies upon a Fourier–Chebyshev–Fourier spatial discretization and provides a variety of temporal schemes as well as a set of tools for numerical continuation and stability analysis (Gibson 2014). Localized states in the spanwise direction are the simplest family of spatially localized states in plane Couette flow, so we restrict ourselves to large spanwise domains: $\Gamma_x \times \Gamma_y \times \Gamma_z = 4\pi \times 2 \times 32\pi$. The domain is meshed using $N_x = 32$ and $N_z = 512$ Fourier points in the streamwise and spanwise directions and $N_y = 33$ Chebyshev points in the wall-normal direction, a similar discretization to that found in the literature (Schneider *et al.* 2010*a*). To time integrate, we use Channelflow's third-order semi-implicit backward differentiation with time step $\Delta t = 1/Re$. All the time integration results shown here have been obtained without the imposition of any symmetry.

3. Initial conditions

There are two families of simple spatially localized states in plane Couette flow: equilibria (hereafter EQ), which are symmetric with respect to the reflection $[u, v, w](x, y, z) \rightarrow -[u, v, w](-x, -y, -z)$; and streamwise travelling waves (hereafter TW), which are shift-reflect-symmetric $([u, v, w](x, y, z) \rightarrow [u, v, -w](x + \Gamma_x/2, y, -z))$). These two solutions define branches on the bifurcation diagram in figure 2 that exhibit homoclinic snaking (Woods & Champneys 1999; Burke & Knobloch 2006; Schneider *et al.* 2010*a*; Knobloch 2015). In this figure, solutions are characterized using the enstrophy:

$$D = \frac{1}{\Gamma_x \Gamma_y \Gamma_z} \iiint_{\Omega} |\nabla \times \boldsymbol{u}|^2 \,\mathrm{d}x \,\mathrm{d}y \,\mathrm{d}z, \qquad (3.1)$$

where Ω is the computational domain and where D = 1 for the laminar solution. Localized states dissipate more energy than the laminar solution (D > 1) and, for large enough Re, are comprised of three (respectively two) streamwise-oriented rolls for EQ (respectively TW) as shown in figure 3. As the branches undergo one backand-forth oscillation in Reynolds number in the direction of increasing energy, the size of structure is increased by the nucleation of one roll on each side of the localized structure. As a result, the localized states grow as one moves up the snakes while still preserving their symmetry and parity: EQ always displays an odd number of rolls while TW always displays an even number of rolls. At the top of the snakes, the

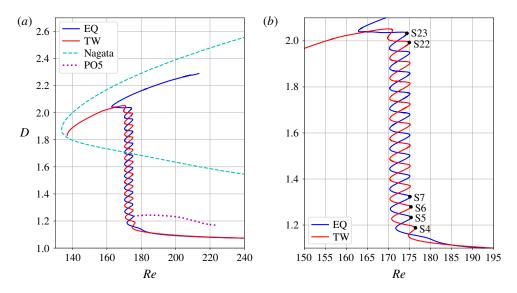


FIGURE 2. (Colour online) Bifurcation diagram of the homoclinic snaking described by the localized EQ and TW (blue and red lines respectively) of plane Couette flow. The solutions are represented using their enstrophy D (defined in the text) plotted against the Reynolds number *Re*. The Nagata solutions (dashed line) and the localized periodic orbits PO5 (dotted line) are shown in the left-hand panel (PO5 will be discussed in the following sections). The right-hand panel is an enlargement of the snakes that identifies some of the initial conditions (S4, S5, S6, S7, S22 and S23) used in this paper.

solutions are domain-filling and TW continues to lower values of Re to reconnect the unstable spatially periodic Nagata solution (Gibson, Halcrow & Cvitanović 2009) close to its saddle node (see figure 2). The branch EQ differs in behaviour: it instead moves to higher values of Re while the solution resembles the Nagata solution except for a defect due to the lack of available room for the state to nucleate an additional roll and fill the domain (Bergeon & Knobloch 2008). At the bottom of the branch EQ, a subcritical Hopf bifurcation occurring slightly above the lowest saddle node gives rise to a branch of spatially localized reflection-symmetric periodic orbits, namely PO5. Oscillatory instabilities near the lowest saddle node of a snaking branch were predicted by Burke & Dawes (2012) in non-variational and non-conservative systems.

We select the set comprised of the right saddle-node states of both snakes as our initial conditions for time integration. For clarity, we name these according to their roll count: the right saddle-node states of EQ are thus named S5, S7, etc., and those of TW are named S4, S6, etc. Note that all the localized states computed here are unstable (Schneider *et al.* 2010*b*) and that the larger the localized pattern, the more unstable it is (Gibson & Schneider 2016). This is the case in another three-dimensional symmetric fluid system (Beaume *et al.* 2018).

In order to compare the relaminarization dynamics of each initial condition at various values of the Reynolds number, we calculate the associated relaminarization time t_{relam} , i.e. the time it takes for the flow to reach a small (attracting) neighbourhood of the laminar fixed point where the flow dynamics is well described by the linearized Navier–Stokes equation. We denote the time-dependent maximum pointwise kinetic energy $E_{max}(t) = \max_x (1/2) |\mathbf{u}(\mathbf{x}, t)|^2$ and seek t_{relam} such that E_{max} decays exponentially for $t > t_{relam}$. To set a similar condition on E_{max} , we ran a number of

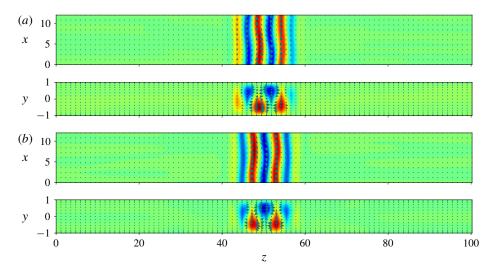


FIGURE 3. (Colour online) Localized (*a*) equilibrium lying on the lower branch of EQ at $Re \approx 200.055$ and (*b*) travelling wave lying on the lower branch of TW at $Re \approx 202.494$. Solutions are represented using the streamwise velocity in colour and the in-plane velocity with arrows on the *y*-averaged plane (top panels) and *x*-averaged plane (bottom panels).

23 175.375					S10 175.199	
2 S13 80 175.104						
(0 S21	0 S21 S22	180175.104175.165175.1050S21S22S23142175.088174.971174.414	0 S21 S22 S23	0 S21 S22 S23	

TABLE 1. Reynolds numbers corresponding to the various initial conditions considered.

preliminary simulations which all displayed chaotic oscillations around O(1) values before relaminarizing. We observed that, for $E_{max} < 0.1$, all our simulations decayed exponentially. Even though the basin of attraction of the laminar fixed point is wider than the mere region of exponential decay of $E_{max}(t)$, we acted out of caution and defined t_{relam} by solving $E_{max}(t_{relam}) = 0.1$.

4. Main results

We take the states S*i*, with i = 4, 5, ..., 23, as initial conditions and time-integrate for a range of Reynolds numbers $Re \in (Re_s(i); 350]$ where $Re_s(i)$ is the Reynolds number associated with S*i*, as shown in table 1. Before embarking on the study of the mechanism for relaminarization, we discuss the typical dynamics undergone by the flow.

4.1. Flow dynamics

The flows studied are of oscillatory nature, with oscillations typically replicating the dynamics found on the periodic orbit PO5. To illustrate the flow dynamics,

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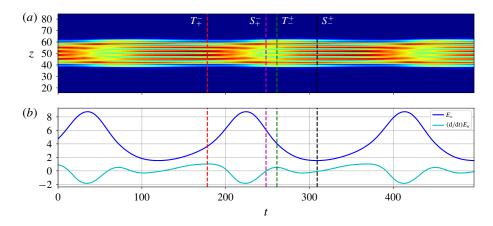


FIGURE 4. (Colour online) Dynamics of PO5 at Re = 200.41072 represented through a space-time plot of the streamwise-averaged and wall-normal-averaged kinetic energy E_{xy} (*a*) and through the temporal evolution of the roll kinetic energy E_v (dark blue) and of the growth rate associated with the streak kinetic energy E_u (light blue) (*b*). The panels are aligned so that they share the temporal scale (*x*-axis). All quantities are defined in the text and the time is arbitrarily set to 0 at the beginning of the displayed time interval. Vertical dashed lines correspond to special points as shown in figure 5. In the space-time plot, the blue region corresponds to the laminar flow ($E_{xy} = 0$) and red-coloured regions correspond to the largest values of E_{xy} .

we consider a localized periodic orbit with pattern wavelength $l_z \approx 6.7$ taken from PO5 at Re = 200.41072 and shown in figure 4. To characterize it, we use the streamwise-averaged and wall-normal-averaged kinetic energy:

$$E_{xy}(z) = \frac{1}{2} \int_0^{\Gamma_x} \int_{-\Gamma_y/2}^{\Gamma_y/2} |\boldsymbol{u}|^2 \, \mathrm{d}x \, \mathrm{d}y.$$
(4.1)

Figure 4(*a*) shows typical oscillations described by the flow, dominated by streaks whose amplitude oscillates in time while a nucleation event starts but never reaches completion. For the parameter values used, the oscillations have period $T \approx 189$. The period of oscillation is a function of the Reynolds number, but the description below remains qualitatively accurate for most of the values of the Reynolds number studied. To gain more insight, we decompose the flow field in Fourier series in the streamwise direction (Wang, Gibson & Waleffe 2007):

$$\boldsymbol{u} = \sum_{k=0}^{\infty} \boldsymbol{u}_k(y, z) \mathrm{e}^{\mathrm{i}\alpha kx} + \mathrm{c.c.}, \qquad (4.2)$$

where $\alpha = 2\pi/\Gamma_x$, k is a positive integer and c.c. stands for the complex conjugate expression. This decomposition allows the introduction of the following quantities:

$$E_{u} = \frac{1}{\Gamma_{y}l_{z}} \int_{-\Gamma_{y}/2}^{\Gamma_{y}/2} \int_{\Gamma_{z}/2}^{\Gamma_{z}/2+l_{z}} u_{0}(y, z)^{2} \, \mathrm{d}y \, \mathrm{d}z, \qquad (4.3a)$$

$$\widetilde{E}_{u} = \frac{1}{\Gamma_{y} l_{z}} \int_{-\Gamma_{y}/2}^{\Gamma_{y}/2} \int_{\Gamma_{z}/2}^{\Gamma_{z}/2+l_{z}} u_{1}(y, z)^{2} \, \mathrm{d}y \, \mathrm{d}z, \qquad (4.3b)$$

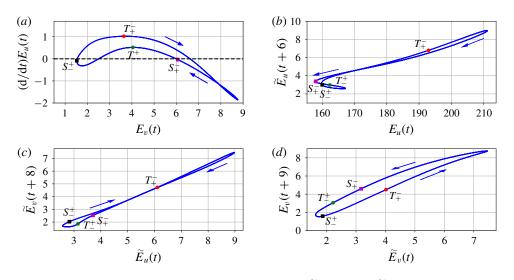


FIGURE 5. (Colour online) Correlations between E_u , \tilde{E}_u , E_v and \tilde{E}_v . The labelled circles and squares correspond to special points discussed in the text. The phase at these points is indicated in figure 4 using vertical dashed lines of the corresponding colour.

$$E_{v} = \frac{1}{\Gamma_{y}l_{z}} \int_{-\Gamma_{y}/2}^{\Gamma_{y}/2} \int_{\Gamma_{z}/2}^{\Gamma_{z}/2+l_{z}} \left[v_{0}(y,z)^{2} + w_{0}(y,z)^{2} \right] dy dz, \qquad (4.3c)$$

$$\widetilde{E}_{v} = \frac{1}{\Gamma_{y} l_{z}} \int_{-\Gamma_{y}/2}^{\Gamma_{y}/2} \int_{\Gamma_{z}/2}^{\Gamma_{z}/2+l_{z}} \left[v_{1}(y,z)^{2} + w_{1}(y,z)^{2} \right], \qquad (4.3d)$$

where we have integrated over one spanwise wavelength located in the centre of the localized structure to minimize the influence of the fronts. These quantities relate to the internal dynamics of the state: E_u is associated with the streak kinetic energy, E_v with that of the rolls while \widetilde{E}_u and \widetilde{E}_v are associated with those of the streak and roll fluctuations respectively. To unravel dynamical mechanisms, we studied the correlations between E_u , \tilde{E}_u , E_v , \tilde{E}_v and their time derivatives and report the most useful results in figure 5. The solution displays low-amplitude rolls at $t \approx 120$ in figure 4 corresponding to the point S_{-}^{+} in figure 5. For roll energies $E_{v} \lesssim 3.63$, the growth rate of the streaks dE_u/dt is positively correlated with the roll energy and positive, leading to streak growth (figure 5a). The growth of the streaks leads to the growth of the streak fluctuations, approximately 6 time units later, as indicated in figure 5(b). This step is followed, again with a time lag of about 8 units, by the growth of the roll fluctuations (figure 5c) and then, 9 units of time later, by that of the rolls (figure 5d). This overall growth of the pattern continues beyond the turning point T_{+}^{-} at $E_{v} \approx 3.63$, where the roll energy and the streak growth become anti-correlated. The shift in dynamics past this turning point is illustrated in figure 4(b). The reciprocal shift, where roll energy and streak growth become positively correlated, occurs at T^+_{-} when $E_v \approx 4.05$. When the roll energy reaches $E_v \approx 6.61$, the roll overgrowth leads to the decay of the streaks and, successively, to the delayed decay of the streak fluctuations, roll fluctuations and eventually rolls, as shown by all the positive correlations in figure 5. Before closing the loop, the flow readjusts at low amplitude through an event where the streaks grow and then decay again between S_{+}^{-} and S_{-}^{+} and have a negative correlation with their fluctuations. These

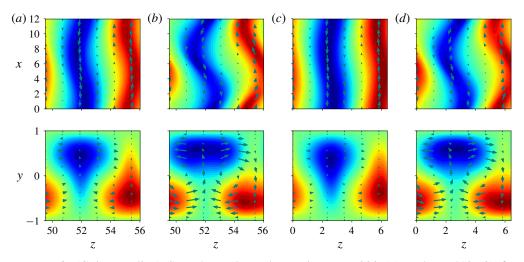


FIGURE 6. (Colour online) Snapshots along the cycle at $t \approx 300$ (a) and $t \approx 410$ (b) for Re = 200.41072 accompanied by the lower (c) and upper (d) Nagata branch states at $Re \approx 202.3$.

cycles orchestrate the dynamics within the localized pattern at most of the Reynolds numbers we investigated and only quantitative changes of the energy thresholds have been noticed, without any impact on the qualitative behaviour analysed in this section.

Figure 6 shows the velocity field along the cycle at $t \approx 300$ as E_v reaches its minimum and at $t \approx 410$ as E_v reaches its maximum for Re = 200.41072, and compares it to the Nagata solutions (lower- and upper-branch states) at a similar value of the parameter. When the rolls are at their weakest during the cycle, the flow closely resembles the lower-branch Nagata solution and as the rolls are at their strongest, the flow looks similar to the upper-branch Nagata solution. As such, this cyclic dynamics can be seen as the signature of a heteroclinic connection between the two Nagata solutions: the manifold connecting the lower to the upper branch is associated with the re-energizing of the streaks by the rolls (together with the delayed growth of the other quantities) and the manifold connecting the upper to the lower branch is associated with the suppression of the streaks due to overgrown rolls.

4.2. Parameter space map

Figure 7 shows the relaminarization time t_{relam} as a function of Re for all our initial conditions. We used a discrete sequence of $Re \in (Re_s; 280]$ whose spacing was manually adjusted and refined in more sensitive regions. Our results unveil a complex scenario with a very clear macroscopic organization in which the size of the initial condition bears more importance than its type, as shown in figure 7 where the initial conditions are sorted by the number of rolls in the localized state, thereby alternating EQ and TW initial conditions. We thus concentrate on EQ only.

Figure 7 also highlights the presence of plateaux of nearly constant relaminarization times around $t_{relam} = 400$ interspersed with relatively smaller regions of longer-lived transients. The presence of these plateaux and regions does not appear to be a function of the size of the localized initial conditions; however, the location of these regions is a monotonic function of this quantity. At low Reynolds numbers, a series of peaks exists in the vicinity of Re_s where the relaminarization time tends to infinity

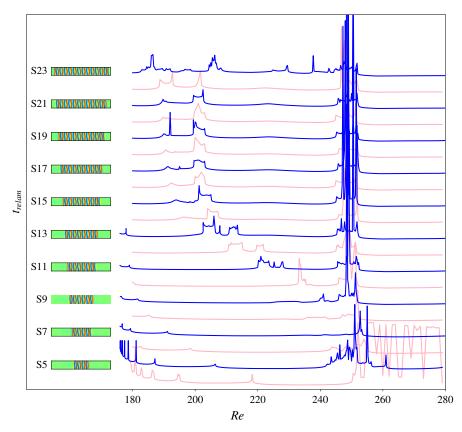


FIGURE 7. (Colour online) Relaminarization times for EQ initial conditions (blue) and TW initial conditions (light pink) at $Re \in [180; 280]$. The top view of the streamwise velocity of each EQ initial condition is shown on the left. For clarity, each relaminarization time curve has been displaced upwards by 1000 time units compared to the previous one: $t_{relam} \leftarrow t_{relam} + 1000(i - 4)$ for initial condition Si. Corresponding profiles for the initial conditions used (S5–S23) are shown on the left, next to the corresponding curve. As a reference, the plateaux observed on all the curves for Re < 240 correspond to $t_{relam} \sim 400$.

owing to the fact that the initial condition is a fixed point at Re_s . We shall denote the corresponding region of accumulating peaks as R1. Increasing in Reynolds numbers, we refer to the next region of increased relaminarization time as R2. The boundaries of R2 move to larger values of the Reynolds number and get closer to each other as the size of the localized pattern decreases and collide between initial conditions S8 and S9. At even higher Reynolds numbers, between $Re \approx 245$ and $Re \approx 252$, one encounters another region with long-lived transients: R3. This region is not sensitive to the size of the initial condition and only exists for Si, $i \ge 8$. For Re > 280, most simulations are long-lasting. We denote this region R4. The plateaux, surrounding two of the above regions and denoted P, are the localized states typically undergo one or two oscillations before decaying and the wider initial conditions split, giving rise to two spots which, in turn, oscillate before decaying. This splitting event is a crucial phenomenon for regions R2 and R3 and will be discussed in \S 4.4 and 4.4.2.

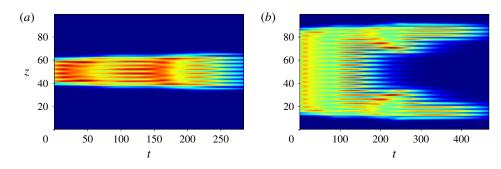


FIGURE 8. (Colour online) Dynamics observed on the plateaux P and exemplified by the spatiotemporal evolution of the averaged kinetic energy E_{xy} for S5 (*a*) and S19 (*b*) time-integrated at Re = 220.

The localized initial conditions at the top and bottom of the snaking do not conform to the above general scenario. The smallest initial conditions develop a different kind of behaviour above Re = 240. In particular, S4 enters R4 at $Re \approx 250$ whereas S5 exhibits a region of longer transient between Re = 242 and Re = 261.25. The initial condition S6 displays a similar region to S5, albeit of smaller extent. This region is referred to as R3a. The wider initial condition S7 displays little dynamic change for $Re \gtrsim 190$ except for the presence of one peak at $Re \approx 253$. This peak is a signature of the region R3 which is broader for S8 and reaches its full size for S9. The largest initial condition, S23, does not follow the above picture either as it is a near domainfilling state.

The above observations can be used to provide a schematic of parameter space shown in figure 9. In the next sections, we characterize the various regions identified above.

4.3. Dynamics in the vicinity of the snaking

In the vicinity of the snaking, our initial conditions do not display depinning but rather a well-structured behaviour within region R1 characterized by a series of peaks accumulating at Re_s and corresponding to sudden increases of the relaminarization time as a function of Re. As the width of the initial condition increases, this region becomes smaller and the density of peaks increases. As this behaviour is similar for all initial conditions, we focus our attention on the two localized EQ initial conditions displaying the largest R1 regions: S5 and S7.

Figure 10(*a*) shows an enlargement of R1 for S5 where all but the rightmost peak are represented. Since the initial condition is a (stationary) solution at $Re = Re_s(5)$, the relaminarization time tends to infinity at this value. As we move away from $Re_s(5)$, the relaminarization time decreases but does not do so monotonically. Instead, it undergoes a succession of peaks where the relaminarization time becomes infinite and that accumulates at $Re_s(5)$. The last two peaks of S5 are somewhat different. These peaks, located at $Re \approx 187$ and at $Re \approx 208$ (not shown), display intricate variations and may not lead to infinite time scales due to the more complex dynamics at these higher values of the Reynolds number.

The diverging relaminarization times at the peaks are associated with the presence of a periodic orbit. This phenomenon is shown in figure 11. On the left of the peak located at $Re \approx 181$, the flow dynamics describes five oscillations which are

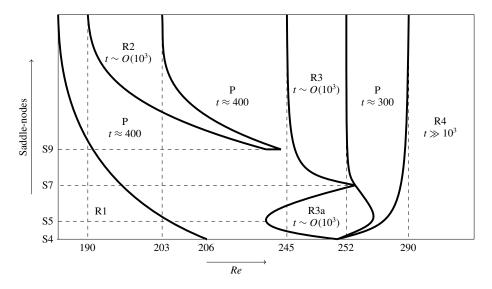


FIGURE 9. Schematic of the parameter space investigated. Regions R1, R2, R3 and R4 are further studied in §§ 4.3 and 4.4 and are interspersed with plateaux P. In most of these cases, an estimate of the typical observed relaminarization time is given. Note the variable scale of the horizontal axis, used for readability.

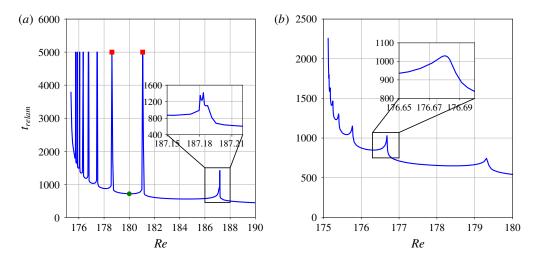


FIGURE 10. (Colour online) Enlargements of figure 7 for the region R1 of initial conditions S5 (*a*) and S7 (*b*). The circle denotes the local minimum of t_{relam} located in the region between two neighbouring peaks, marked by squares. All peaks shown in (*a*), except for the one shown in the inset, are associated with infinite relaminarization times but are here represented with $t_{relam} = 5000$ for clarity. Note that we excluded the rightmost peak of the region, located at $Re \approx 208$, for clarity as well. Unlike in (*a*), the peaks shown in (*b*) have finite t_{relam} .

clearly visible with suitably chosen variables such as the L2-norms of v and w in the appropriately rotated frame of reference. These oscillations correspond to the non-monotonic departure from the location of the initial condition until the basin of

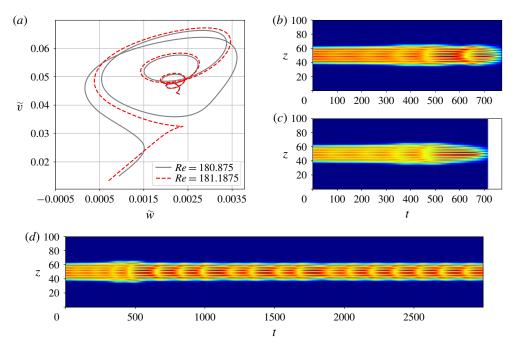


FIGURE 11. (Colour online) (a) Trajectories emanating from S5 in R1 and represented through the L2-norms of v and w in the rotated frame of reference ($\tilde{w} = ||w|| \cos 70^{\circ} - ||v|| \sin 70^{\circ}$ and $\tilde{v} = ||w|| \sin 70^{\circ} + ||v|| \cos 70^{\circ}$) for Reynolds numbers chosen right before (Re = 180.875) and after (Re = 181.1875) the peak at $Re \approx 181.0625$. Corresponding time evolution of the averaged kinetic energy E_{xy} before the peak (b) and after the peak (c). (d) Time evolution of E_{xy} at the peak.

attraction of the laminar solution is reached (figure 11b). As the Reynolds number is increased past the threshold value for the peak, one such oscillation is lost due to the influence of the stable manifold of the laminar solution at shorter time (see the differences between the dashed red and the grey trajectories around $\tilde{v} = 0.035$ and $\tilde{w} = 0.0025$). As a consequence, it only takes four oscillations for the flow to decay on the right of this peak, as shown in figure 11(c). At the peak (figure 11d), the flow follows the stable manifold of the periodic orbit PO5 whose neighbourhood is reached after about 500 units of time and where the dynamics shadows that described in § 4.1. This approach to an unstable periodic orbit suggests the existence of heteroclinic connections between steady localized states and periodic orbits in plane Couette flow. Figure 12 shows such a connection between the lower branch of EQ and PO5 at $Re \approx 186.17105$ which was obtained by perturbing along the most unstable eigendirection of the lower EQ branch state and time-integrating. After the initial sequence of roll nucleation events, the oscillations become a prominent feature of the flow which readjusts and converges to PO5.

The behaviour observed above extends to all the peaks in the region R1: every time a peak is crossed in the direction of decreasing values of the Reynolds number, the flow undergoes one more oscillation before relaminarizing. This, together with the peak accumulation at Re_s , accounts for the gradual increase of the relaminarization time. Conversely, as Re in increased past the rightmost peak of R1, the flow only displays two oscillations before eventual decay.

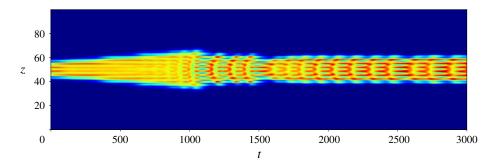


FIGURE 12. (Colour online) Heteroclinic connection between the lower branch of EQ and PO5 illustrated by the spatiotemporal evolution of E_{xy} for the exact equilibrium found at the lower branch of EQ at Re = 186.17105 and perturbed along its most unstable eigendirection.

The other initial conditions led to qualitatively similar results with the difference that the peaks obtained in R1 for S*i*, i > 5, are not associated with diverging relaminarization times. Figure 10(*b*) shows the behaviour of the relaminarization time in the region R1 for S7 and exemplifies the smooth behaviour of the relaminarization times at the peaks. These peaks correspond to the approach of a periodic orbit constituted of seven rolls and analogous to PO5 in dynamics. The periodic orbit PO5 has only one unstable eigenmode for most of the Reynolds numbers within R1 and, thus, changing the Reynolds number acts as a projection shift of the initial condition onto the unstable manifold of PO5. At the peak, S5 has exactly no projection onto the unstable manifold of PO5. Unlike PO5, PO7 has more than one unstable eigendirection and, as a consequence, the projection of S7 onto the unstable manifold of PO7 does not go to zero by simply changing the Reynolds number and the relaminarization time never diverges. A similar reasoning holds for the peaks of initial conditions wider than S5.

Peak accumulation is a generic feature of R1 and is characterized by a geometrical convergence law:

$$Re_{n+1} - Re_s(i) = \alpha_i [Re_n - Re_s(i)], \qquad (4.4)$$

where Re_n is the Reynolds number corresponding to the *n*th peak (*n* is counted from right to left) and α_i is the convergence coefficient that is a function of the initial condition S*i*. Figure 13(*a*) exemplifies this on S7 and shows very good accuracy between the data and law (4.4) for $\alpha_7 = 0.45$. The same law applies successfully to other initial conditions, albeit with different convergence ratios α_i . The corresponding convergence ratios are shown for S5, S7, S9, S11 and S13 in figure 13(*b*) and indicate a decreasing trend as the number of rolls *i* increases, potentially converging to $\alpha_{\infty} \approx 0.2$. This signifies that as the initial condition increases in size, the accumulation of peaks becomes faster and faster and the width of the region R1 decreases, a fact already observed in figure 7 and sketched in figure 9.

The overall decreasing trend of the relaminarization time with the Reynolds number can be quantified by a difference between two successive local minima of t_{relam} . This quantity, which we denote β , only has a weak dependence on the initial condition so we will use its value for S5 regardless of the initial condition: $\beta \approx 155$. We can thus infer the following approximation for the relaminarization time at the local minima:

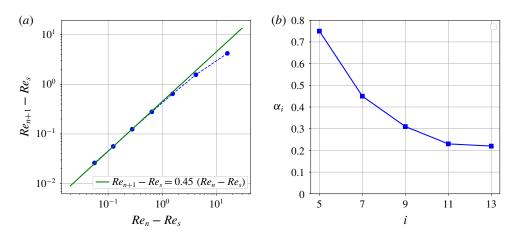


FIGURE 13. (Colour online) (a) Peak location for S7 (circles) and the corresponding geometric law $Re_{n+1} - Re_s = 0.45(Re_n - Re_s)$ (solid line). (b) Convergence rates α_i for the five most localized initial conditions.

 $t_n = t_0 + \beta n$, where t_n is the value of the local minimum of t_{relam} located to the left of the peak at Re_n and t_0 is the relaminarization time observed at the local minimum located to the right of the first (highest Re) peak. In order to obtain a relationship between t_n and Re, we approximate the location of the local minimum Re'_n to be exactly between the left and right peaks: $Re'_n = (1/2)(Re_{n+1} + Re_n)$. Since the solution of (4.4) is $Re_n - Re_s = [Re_0 - Re_s]\alpha_n^n$, we obtain

$$t_n(Re'_n) = \frac{\beta}{\ln \alpha_i} \ln \frac{2(Re'_n - Re_s)}{(Re_0 - Re_s)(1 + \alpha_i)} + t_0.$$
(4.5)

Extending this law to continuous values of the Reynolds number, we can observe that, close enough to Re_s , the envelope of the relaminarization time grows with rate $dt_{relam}/dRe = O((\ln \alpha_i (Re - Re_s))^{-1})$. The right-hand panel in figure 14 confirms that this law is a good first-order approximation to the relaminarization time for S5 close to the saddle node.

4.4. Onset of chaotic transients

Further from the snaking, our initial conditions display intermittent chaotic transients. This non-trivial dynamics is partitioned in parameter space into three regions separated by the plateaux described previously. The first such region is R2 and the solutions, although displaying weak sensitivity to the parameter value, do not typically have relaminarization times larger than $t_{relam} = 2000$. Long-lasting chaotic transients can be found in region R3 between Re = 245 and Re = 252, with relaminarization times up to $t_{relam} = O(10^4)$, and display much more sensitivity to the parameter value. For Re > 290, we enter region R4 where only rare and isolated initial conditions relaminarize within the time periods of interest here.

4.4.1. Splitting

The boundaries of the chaotic regions are identified with the splitting of the initial state into two pulses which then undergo oscillations before decaying. This

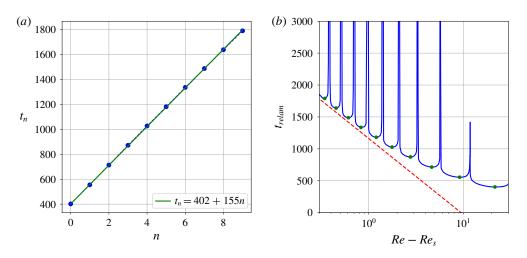


FIGURE 14. (Colour online) (a) Relaminarization times against the peak number *n* at the local minima of the curve in figure 10 for S5 (full circles) and an approximation law (solid line). (b) The relaminarization time for S5 (solid line) together with the first-order approximation law for its local minima (circles): equation (4.5) (dashed line) for $t_0 = 402$, $\beta = 155$, $\alpha_i = \alpha_5 = 0.74$, $Re_s = Re_s(5) = 175.375$ and $Re_0 = 180.375$.

is best illustrated for the simplest of these regions: R2, which exists for initial conditions wider than S8. Region R2 is small and close to R3 for the narrower initial conditions (see figure 7). It moves to smaller Reynolds numbers and expands for wider initial conditions. Figure 15 shows the relaminarization time within R2 for S13 and space-time plots of E_{yy} for characteristic simulations in R2. At the beginning of R2 ($Re \approx 202.5$), the central part of the localized pattern undergoes decay at $t \approx 300$, forming two dormant spots that survive until $t \approx 550$, as shown in figure 15(a1). As the Reynolds number is increased, these spots survive for a longer time and become active, undergoing such oscillations as those described in § 4.1 and resulting in relaminarization times of the order of 10^3 as shown in figure 15(a2). As the Reynolds number is increased within this region, the number of core rolls that decay during the splitting event decreases until it is temporarily suppressed (see the evolution of the pattern at $t \approx 350$ in figure $15a_{1,a_{2,a_{3,b_{1}}}$). This does not rule out the splitting event completely but rather postpones it: the initial pattern can be observed to oscillate twice before splitting until $Re \approx 206.5$, but then to oscillate three times before splitting (see figure 15(b2) for instance). This behaviour is observed until $Re \approx 211$ where the evolution mirrors that for Re < 206.5: splitting occurs after two oscillations and manifests itself by the decay of the two central rolls, the number of these decaying rolls increasing as Re increases until the edge of R2 (see figure 15c1,c2,c3).

The region R2 is structured as described above until S14 where the gain of one oscillation of the initial pattern is obtained via a sharp peak similar to the peaks observed in R1, instead of an extended region where the inner dynamics changes. We attribute this to the fact that the Reynolds number is too low to sustain spot dynamics. The left boundary of R2 thus becomes less well defined and does not approach $Re_s(i)$ as fast for Si, $i \ge 14$, as for i < 14 as i increases.

An interesting feature of the splitting event that characterizes R2 is that the spanwise width of the spots immediately after splitting at the boundary of R2 is the

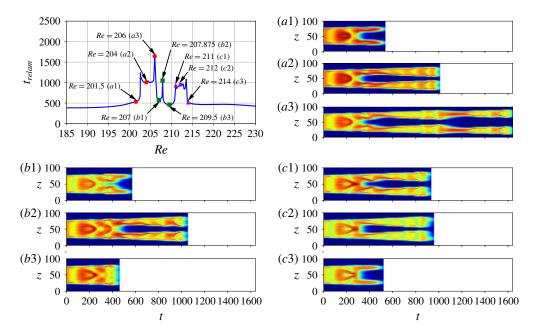


FIGURE 15. (Colour online) The upper left-hand plot shows relaminarization times in R2 for S13. Each of the other nine panels contains a space–time plot of the *xy*-averaged kinetic energy E_{xy} corresponding to simulations grouped into three sets showing (*a*) the narrowing of the gap between the two pulses, (*b*) simulations with an extra oscillation of the original pattern and (*c*) the widening of the gap between the two pulses. The corresponding Reynolds numbers are located in the upper left-hand plot.

same for all initial solutions and coincides with that of the lower part of the branch EQ: the resulting structures are constituted of three rolls. This indicates that the boundaries of R2 correspond to the stable manifold of a fixed point that is a bound state of localized structures similar to the lower part of the branch EQ and that are unevenly spaced out. This was confirmed by the successful convergence of several solutions taken right after splitting to the above steady state via a Newton–Krylov search. Figure 16 exemplifies one such steady two-pulse state converged from the flow snapshot taken from the time integration of S13 at Re = 202.546875. Convergence from other initial conditions can be achieved and would yield a similar state but with different inner/outer spacing.

4.4.2. Onset of long-lived chaotic transients

The first occurrence of long chaotic transients is found in region R3 which exists for all initial conditions wider than S7 and spans 245 < Re < 252 with little dependence on the initial condition. In a similar way to R2, its beginning and end are characterized by the splitting of the initial pattern into two spots. Figure 17 shows the dependence of the relaminarization time on the Reynolds number for initial condition S15 between Re = 244 and Re = 254. For this initial condition, R3 starts at $Re \approx 245$ and finishes at $Re \approx 251.5$. Simulations within R3 typically display $700 < t_{relam} < 850$ with most values around 750 and are only weakly sensitive to the value of the Reynolds number. Exceptions to this are observed in the form of parameter windows where the relaminarization time takes much larger values and where the flow becomes

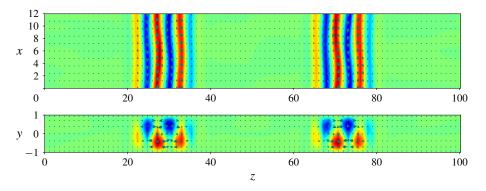


FIGURE 16. (Colour online) Example of two-pulse localized equilibrium converged by a Newton–Krylov hookstep search. The initial guess for the search was obtained from the snapshot of the time integration of S13 at Re = 202.546875 at time t = 600 where the spots formed by the splitting are well separated by the laminar flow.

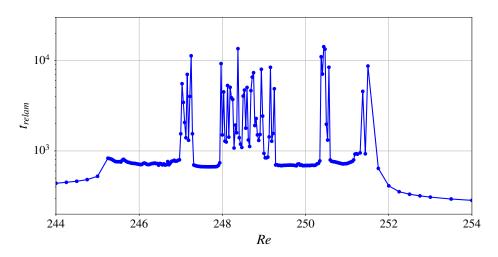


FIGURE 17. (Colour online) Relaminarization time t_{relam} in R3 as a function of the Reynolds number *Re* for initial condition S15. These results were obtained for Reynolds number increments of 0.03125.

strongly sensitive to the Reynolds number. These windows have various sizes and different initial conditions produce a different number of such windows within R3: e.g. S11 and S15 have four such windows each while S9 only has two. Inside these windows, the sensitivity to the Reynolds number allows the presence of extremely long chaotic transients, the longest of those we obtained being for S9 at Re = 251.375 where $t_{relam} \approx 21$ 320. We show in figure 18 such a long simulation for S9, taken at Re = 248.5 and yielding $t_{relam} \approx 12505$. This simulation starts in the usual way: the initial pattern splits into two spots at around t = 200 which then oscillate and grow spatially by nucleating rolls on either side. This process continues until about t = 550 where both spots collide. Shortly after, the central part of the structure collapses in an event that typically leads to the complete relaminarization of the flow. In this specific instance, the 'outer' parts of the structure manage to survive and continue their independent evolution. The flow dynamics that ensues is long lived and likely similar

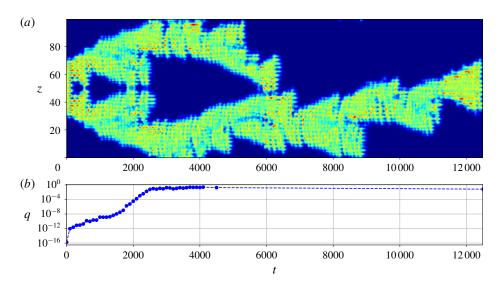


FIGURE 18. (Colour online) Space-time plot of the xy-averaged kinetic energy E_{xy} for initial condition S9 at Re = 248.75 in R3 (a) and time evolution of the reflection symmetry indicator q as explained in the text (b).

to that observed in percolation dynamics (Pomeau 1986; Barkley 2016; Lemoult *et al.* 2016). Chaotic transients have also been observed at such low Reynolds numbers by Schmiegel & Eckhardt (2000) and Barkley & Tuckerman (2005) using different methods.

Lastly, at $Re \approx 290$, a brutal transition takes place: immediately below the threshold value, all simulations relaminarize in about 300 units of time whereas we only observed rare cases where relaminarization occurs in less than 2000 time units above the threshold as can be seen from figure 19. This transitional region, R4, exhibits front propagation and long time scales and suggests transition to turbulence, as it is understood in the statistical sense (Avila et al. 2011; Shi et al. 2013; Barkley 2016). In spite of the long time scales, the dynamics in R4 is different from that observed in R3, where we observe a competition between the growth of the structure via front propagation and the sudden decay of roll clusters (see figure 18). Here, front propagation overwhelms cluster decay and leads to domain-filling states. Figure 20 shows a typical R4 simulation, observed for S13 at Re = 300. The presence of relatively large number of long-lived simulations in R4 allows the quantification of the front speed. We did not find any significant difference in front speed between initial conditions. Additionally, there was no apparent variation in the front speed in the interval $Re \in [280; 350]$; the average front speed value is $\langle c \rangle \approx 0.02$. These results compare very well with those of Duguet et al. (2011).

Since the equilibrium initial conditions under consideration are all reflection symmetric, it is important to understand how the flow departs from the symmetry subspace. We quantify the deviation from the reflection symmetry by

$$q(t) = \left(\frac{1}{\Gamma_x \Gamma_y \Gamma_z} \iiint_{\Omega} [u(x, y, z, t) + u(-x, -y, -z, t)]^2 \, \mathrm{d}x \, \mathrm{d}y \, \mathrm{d}z\right)^{1/2}.$$
 (4.6)

A typical time evolution for this quantity is shown in figure 18(b). The deviation from the reflection symmetry grows until it reaches O(1) values at $t \approx 2500$. Similar

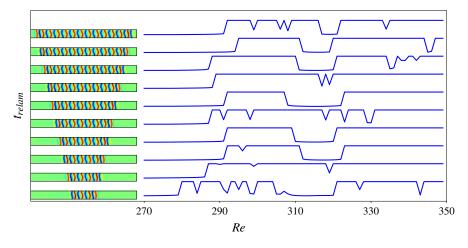


FIGURE 19. (Colour online) Relaminarization times in R4 for the right saddle-node states of EQ time-integrated for $Re \in [270; 350]$. Relaminarization times were cut off at $t_{relam} = 2000$ to avoid overlapping and save computation time. As a consequence, the large plateaux where $t_{relam} = 2000$ correspond to long-lasting chaotic transients that extend beyond t = 2000.

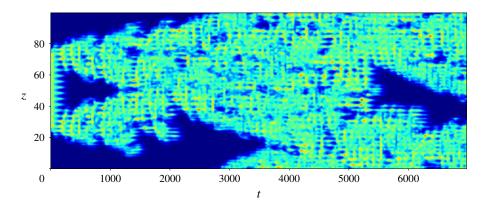


FIGURE 20. (Colour online) Space-time plot of the xy-averaged kinetic energy E_{xy} for initial condition S13 at Re = 300 in R4. Relaminarization is not shown.

evolutions of q are observed in other simulations and imply that asymmetry becomes important only for $t \gtrsim 2000$. All shorter simulations can be treated as fully symmetric ones. This was confirmed by the recalculation of one of the relaminarization curves from figure 7 with imposed reflection symmetry. The only simulations exhibiting significant asymmetry correspond to chaotic transients with long enough lifetime which occur only in R3 and R4.

5. Discussion

In this paper, we have studied plane Couette flow in a periodic domain with large spanwise and short streamwise periods. We used spatially localized states found on snaking branches as initial conditions and investigated their transitional dynamics beyond the snaking. We varied two parameters: the size of the localized pattern constituting the initial condition and the Reynolds number. By tracking the relaminarization time t_{relam} for each simulation, we identified plateaux in parameter space where the initial condition relaminarizes quickly and a hierarchy of regions where it evolves into a more or less long-lived chaotic transient. We find that the dynamics in the vicinity of the snaking is controlled by an oscillatory instability whose growth leads to the crossing of a manifold leading to relaminarization. The number of oscillations undergone by the flow before crossing this manifold is a function of the Reynolds number and the width of the initial localized pattern. It is well described by our *ad hoc* model. Farther away from the snaking, one encounters various regions of increasing complexity initiated by the splitting of the original pattern into two spots and characterized by increasingly long relaminarization times and an increasingly high sensitivity to the location in parameter space (sensitivity to the Reynolds number but also to the width of the patterns and to other small perturbations).

Contrary to Duguet et al. (2011), we did not find any signature of depinning, a behaviour associated with snaking and in which the localized state grows by successive roll nucleations and with a frequency that depends on the distance to the snaking. Although this instability occurs in other systems (Coullet, Riera & Tresser 2000; Saarloos 2003; Knobloch 2015), it is not expected in all systems that exhibit snaking; for example, three-dimensional doubly diffusive convection displays an instability whose growth rate is much larger than that of depinning and that is responsible for the decay of the localized patterns before any depinning event could take place (Beaume et al. 2018). The spatially localized snaking states we obtained in this paper are also unstable to additional oscillatory instabilities which dominate the dynamics: they lead to the direct relaminarization of the flow at low values of the Reynolds number and to splitting then relaminarization at larger Re. Figure 21 shows the unstable eigenvalues associated with the right saddle-node states of the branch EQ. The depinning mode is marginal at the saddle node but we observed the presence of unstable oscillatory eigenmodes for all initial conditions of width equal to or greater than that of S7. These instabilities grow on O(100) time scales and, as a result, prevent depinning from being observed. Another complication arises in plane Couette flow: snaking is sensitive to the imposed streamwise period of the flow (Gibson & Schneider 2016). Our choice $(L_x = 4\pi)$ yields snaking in 170 < Re < 175 while Duguet et al. (2011) chose $L_x = 10.417$ and observed snaking in 207.4 < Re < 213.2. This difference also affects the stability of the localized states: in the latter case, non-depinning modes are associated with longer time scales and allow for depinning to be observed.

Understanding the splitting instability is critical to gain further knowledge on longlived chaotic transients. This instability is the consequence of the crossing of the stable manifold of a two-pulse exact (either equilibrium or travelling wave) solution. One side of this stable manifold leads to relaminarization while the other leads to the activation of the newly formed spots. The most interesting of these two-pulse states is the one that has the smallest number of rolls, corresponding to the onset for the region dynamics. One step in understanding this instability further would be to use these two-pulse states as a proxy for initial conditions in a relaminarization study similar to that described here.

The cyclic dynamics described in § 4.1 supports most of the low-Reynolds-number dynamics observed in this paper. The oscillations described here likely relate to those found in Barkley & Tuckerman (2005) occurring at similar Re and with similar oscillation period. This cyclic dynamics is reminiscent of the self-sustaining process

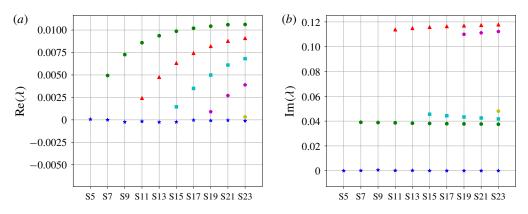


FIGURE 21. (Colour online) Unstable eigenvalues λ of the saddle-node states of the branch EQ. The left (respectively right) panel shows their real (respectively absolute imaginary) part. Blue stars denote the eigenvalue associated with the depinning mode. Green circles denote the eigenvalue associated with one-pulse oscillatory instabilities that trigger amplitude oscillations of the whole localized structure. Red triangles, cyan squares, purple pentagons and yellow hexagon denote the eigenvalues associated with oscillatory instabilities triggering two-, three-, four- and five-pulse oscillations.

(SSP) (Waleffe 1997, 2009) in which a three-step equilibrium loop was found to support the existence of exact solutions in shear flows. The observed cycles consist of heteroclinic connections between the lower- and upper-branch Nagata solutions and the mechanisms by which they are sustained seem more complex than the SSP. As such, the oscillatory dynamics observed here looks similar to the EQ1-EQ2 heteroclinic connection found in Halcrow et al. (2009). The lower-branch Nagata solution is known to be an SSP state (Wang et al. 2007), but the upper-branch one is known to not have the same scaling and thus to not obey the same balance. This cyclic process can then be thought of as related to the SSP and the regeneration cycle (Hamilton, Kim & Waleffe 1995; Kawahara & Kida 2001) whilst organizing the flow dynamics at low values of the Reynolds number and supporting the existence of some periodic orbits. It may also be relevant to the relative periodic orbits found by Viswanath (2007) (solutions P_2 to P_6) which seem linked to the recurrent bursting events observed experimentally at higher Reynolds numbers. Our periodic orbit PO5 might be thought of as a localized counterpart of these solutions where drifting in the spanwise direction is prevented by the pinned fronts.

Finally, the maps of relaminarization times for low Re (figure 7) and transitional Re (figure 19) together with the information about dynamical properties of the flow can be used as a framework for the design and benchmarking of control strategies aimed at controlling transition to turbulence. The statistics extracted from the relaminarization times and the Reynolds numbers of the identified regions may provide one of the possible sources of information for the quantification of the control efficiency. Owing to the oscillatory nature of the dynamics observed, it is natural to propose control strategies that interact with the flow frequencies exploiting resonance mechanisms. Given the spanwise localization of our initial conditions, the aforementioned results will remain valid for domains of sufficiently large spanwise extent and of streamwise periodicity 4π .

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