## **Original Article**



## Motion-capture system to assess intraoperative staff movements and door openings: Impact on surrogates of the infectious risk in surgery

Gabriel Birgand PhD<sup>1,2,3</sup>, Christine Azevedo PhD<sup>4,5</sup>, Stephane Rukly MSc<sup>1</sup>, Roger Pissard-Gibollet PhD<sup>5</sup>, Gaëlle Toupet MSc<sup>3</sup>, Jean-Francois Timsit MD<sup>1,2,6</sup>, Jean-Christophe Lucet MD<sup>1,2,3</sup> and the ARIBO Study Group<sup>a</sup>

<sup>1</sup>Infection Antimicrobials Modelling Evolution (IAME), French Institute for Medical Research (INSERM), Paris, France, <sup>2</sup>Infection Antimicrobials Modelling Evolution (IAME), University Paris Diderot, Paris, France, <sup>3</sup>Infection Control Unit, Hôpital Bichat, l'Assistance publique - Hôpitaux de Paris (AP-HP), Paris, France, <sup>4</sup>Laboratoire d'Informatique de Robotique et de Microélectronique de Montpellier (LIRMM), INRIA Research Center, Montpellier France, <sup>5</sup>Institut National de Recherche en Informatique et en Automatique, Montbonnot, France and <sup>6</sup>Medical Intensive Care Unit, Hôpital Bichat, l'Assistance publique - Hôpitaux de Paris (AP-HP), Paris, France

## Abstract

Objectives: We longitudinally observed and assessed the impact of the operating room (OR) staff movements and door openings on surrogates of the exogenous infectious risk using a new technology system.

Design and setting: This multicenter observational study included 13 ORs from 10 hospitals, performing planned cardiac and orthopedic surgery (total hip or knee replacement). Door openings during the surgical procedure were obtained from data collected by inertial sensors fixed on the doors. Intraoperative staff movements were captured by a network of 8 infrared cameras. For each surgical procedure, 3 microbiological air counts, longitudinal particles counts, and 1 bacteriological sample of the wound before skin closure were performed. Statistics were performed using a linear mixed model for longitudinal data.

Results: We included 34 orthopedic and 25 cardiac procedures. The median frequency of door openings from incision to closure was independently associated with an increased  $\log_{10} 0.3 \,\mu\text{m}$  particle (ß, 0.03; standard deviation [SD], 0.01; P = .01) and air microbial count (ß, 0.07; SD, 0.03; P = .03) but was not significantly correlated with the wound contamination before closure ( $\mathbf{r} = 0.13$ ; P = .32). The number of persons (ß, -0.08; SD, 0.03; P < .01), and the cumulated movements by the surgical team (ß, 0.0004; SD, 0.0005; P < .01) were associated with  $\log_{10} 0.3 \,\mu\text{m}$  particle counts.

Conclusions: This study has demonstrated a previously missing association between intraoperative staff movements and surrogates of the exogenous risk of surgical site infection. Restriction of staff movements and door openings should be considered for the control of the intraoperative exogenous infectious risk.

(Received 3 September 2018; accepted 6 February 2019)

Surgical site infections (SSIs) are the most common hospitalacquired infections among surgical patients and the leading cause of hospital readmission after surgery; they impose a major financial

Author for correspondence: Gabriel Birgand, Email: gbirgand@gmail.com

<sup>a</sup>Members of the Applied Robotics for Installation and Base Operations (ARIBO) study group: Pierre Squara, Corinne de Diesbach, Alain Brusset, Marie-Françoise Vogel, François Gouin, Sophie Touchais, Jacqueline Lepennec, Gérard BABATASI, Emmanuel de ThomasSon, Mathieu Debauchez, Christian Mazel, Pascal Bizot, Philippe Rosset, Patrick Nataf, Philippe Massin, Agnès Jue-Denis, Gilles Antoniotti, Philippe Rosset, Patrick Nataf, Philippe Massin, Agnès Jue-Denis, Gilles Antoniotti, Philippe Rosset, Patrick Richomme, Marie-Noëlle Deschamps, Didier Lepelletier, Florence Legallou, Nathalie Ferronnière, Audrey Mouet, Xavier Lecoutour, Véronique Aguelon, Claire LESTEVEN, Carole PORNET, Jean Baptiste Stern, Jacques-Yves Nizou, Yves-Marie Vandamme, Maurice Tanguy, Marie-Laure Joly-Guillou, Nathalie van der Mée - Marquet, Aurélie Thomas-Hervieux

PREVIOUS PRESENTATION: These data were presented in part at the 26th European Congress of Clinical Microbiology and Infectious Diseases on April 10, 2016, in Copenhagen, Denmark.

Cite this article: Birgand G, et al. (2019). Motion-capture system to assess intraoperative staff movements and door openings: Impact on surrogates of the infectious risk in surgery. Infection Control & Hospital Epidemiology, 40: 566–573, https://doi.org/10.1017/ice.2019.35

© 2019 by The Society for Healthcare Epidemiology of America. All rights reserved.

burden.<sup>1,2</sup> It is generally accepted that the contamination of the surgical wound mainly occurs at the time of surgical procedure in the operating room (OR), eventually leading to SSI. Main routes of microbial entry into an open, clean, surgical wound include from the patient's skin, from the surgical staff, by airborne microbes, or by contaminated surgical instruments.<sup>3</sup>

The literature suggests an impact of surgical team behavior on the air microbial contamination and the SSI risk.<sup>4</sup> Door openings have been demonstrated to adversely affect air exchange, air quality, and positive pressure in the OR, affecting the air microbial contamination in the OR.<sup>5</sup> Current guidelines do not include specific recommendations regarding the best OR staff behavior (except for clothing rules and hand hygiene) to decrease the exogenous risk of SSI.<sup>6,7</sup> New technologies using motion-capture systems present an opportunity to objectively and continuously assess the global OR staff dynamics and behavior during surgical intervention in OR.<sup>8</sup> In this study, we aimed to objectively describe and assess staff behaviors in the OR and their variability by recording staff movements using a motion tracking system and door-openings detection system. We also assessed correlations between movements of the OR personnel and the SSI risk, as approximated by surrogates of the exogenous infectious risk, in a panel of ORs from 2 clean surgical specialties.

### **Methods**

## Population and location of the study

This observational multicenter study was conducted at 10 facilities (5 university hospitals and 5 private hospitals) in France in a convenience sample of 13 ORs (6 in cardiac surgery and 7 in orthopedic surgery).<sup>9</sup> Procedures requiring full median sternotomy and total hip replacement and knee replacement were included. The population observed comprised OR personnel and any other person likely to enter the OR during a surgical procedure. At the preoperative stage, patients were informed orally by surgeons, anesthetists, or infection control specialists of the ongoing study, and an information letter was systematically given. The ethics committee approved this study and granted a waiver of informed consent patients. Consent forms were obtained from the OR members.

### System of motion capture

A technology of motion capture based on a video-tracking system was adapted for the objective, continued, and prolonged detection and characterization of movements in the OR. A network of 8 video cameras (VICON-Bonita, Vicon, Los Angeles, CA)<sup>10</sup> was fixed upright to the wall using suction-cup supports. Markers placed on the surgical caps/hoods of each person entering the OR were located in 3 dimensions (3D) using the Vicon Tracker software using spatial triangulation.<sup>11</sup> The 68 LEDs situated on each camera produced an infrared light reflected by hemispherical markers and acquired by the optic sensors. The detection of the same marker by different cameras allows its 3D positioning. The motion capture was performed by continuous tracking of reflective markers placed on the surgical caps/hoods of each person entering the OR. Different markers distinguished different professional categories: surgeons, anesthesiologists (doctors, nurse and extracorporeal circulation personal), OR nurses, and others (including visitors). A study coordinator holding a marker stayed in the OR during the procedures, moving only for the sampling and to provide technical assistance.

Two autonomous wireless inertial sensors (HiKoB FOX, HiKoB, Villeurbanne, France) were fixed on each door of the OR and synchronized with the motion-tracking system. Door openings were determined offline based on data collected by the inertial sensors.

The motion-tracking system remained in place for 1 week in the same OR to allow people to acclimate to its presence and to take into account potential behavioral modifications due to the Hawthorne effect. Data acquisition started at skin incision and continued until wound closure. Door-opening sensors remained in some ORs for 1 additional week after the removal of the video cameras. The OR staff were not informed of the persistence of doors sensors. Thus, the comparison of the frequency of door openings during and after removal of the motion-tracking system allowed us to estimate the impact of the Hawthorne effect.

#### Surrogates of the infectious risk

Microbiological air counts were measured using an impactor air sampler (Air-test Omega, LCB, La Salle, France) at a flow rate of 100 L per minute for 5 minutes (500 L) on trypticase soy agar (BioMerieux, Marcy-l'Étoile, France), which was then incubated for 4 days at 30°C. Air counts were expressed as colony-forming units (CFU) per cubic meter. Samples were taken at the time of skin incision, 15 minutes after bone was cut (sternum or femur) and at wound closure.

Particle count was performed using a photodetection device (HandiLaz Mini, Boulder, CO) continuously from incision to wound closure.<sup>12</sup> The particle analyzer sampled for 1 minute every 5 minutes from the patient entry into through exit from the OR at a rate of 28.3 L per minute (1.0 ft<sup>3</sup>/min). Particles were classified by diameter into 3 sizes: 0.3  $\mu$ m, 0.5  $\mu$ m, and 5  $\mu$ m. Both particle and microbiological air counts were performed near the patient's head.

A sample from the operated wound was performed before closure and prior to antiseptic aspersion. We used the sampling method described previously<sup>13</sup> using sterile pads of polyamidepolyester-viscose placed on subcutaneous tissue for 1 minute. Microorganisms were extracted by vortexing the pads in phosphate buffer (PBS) with Tween 80 at 2% and lecithin at 0.3% (Hyphen BioMed, Neuville sur Oise, France). For each pad, an aliquot of 0.5 mL phosphate buffer was cultured on blood agar after 48 hours of aerobic and anaerobic incubation, and colonies were counted without further identification.

## Data collection

The following information was collected: (1) the surgical procedure, including the surgical specialty, procedure and technique used, incision time, preselected procedure periods described above and closure time; (2) surgical environment characteristics, including type of air filtration, either laminar airflow or turbulent, air changes per hour, positive pressure and the class of air cleanliness for airborne particulate level (ISO 14644). The architecture of the OR was also collected, including size and volume.

#### Statistical analysis

The results of particle counts were  $\log_{10}$  transformed. Numbers of colony-forming units cultured from wounds in aerobic and anaerobic media were added up and computed to obtain the number of colony-forming units per square centimeter of wounds. Results of the wound culture were categorized into 3 classes: (1) negative culture, (2) 1–10 CFU/100 cm<sup>2</sup>, and (3) >10 CFU/100 cm<sup>2</sup>. Microbiologic air counts were also categorized into the following 3 different classes: negative, 1–10 CFU/m<sup>3</sup>, and >10 CFU/m<sup>3</sup>. These stratifications were performed using the 25<sup>th</sup> and the 75<sup>th</sup> percentile distributions. Continuous variables were compared using Mann–Whitney *U* and proportion using  $\chi^2$  tests, as appropriate.

To determine potential risk factors for an increase of particles and air microbial counts, univariate linear mixed models for longitudinal data with a random intercept for each intervention and each OR and a random slope for time were used. The unstructured covariance matrix were used for the random-effects model. The Satterthwaite method was used to compute the denominator degrees of freedom for the tests of fixed effects models. Behaviors observed (ie, numbers of door openings, number of persons, or the total movements by persons) during the 5 minutes before the particle count (corresponding to the period between 2 particle counts)

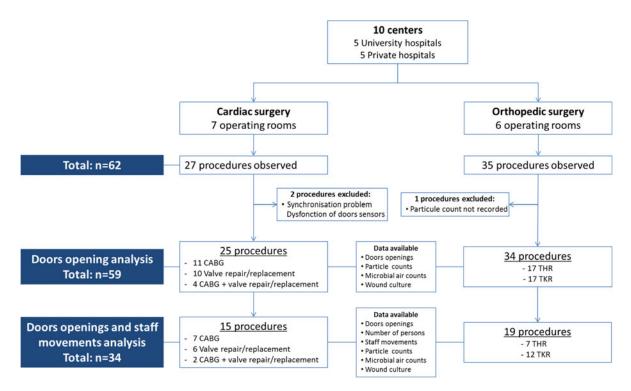


Fig. 1. Flow chart of procedures included in the analysis and data collected during orthopedic and cardiac surgeries.

were considered to estimate associations. This period was pragmatically selected to consider the quasi-instantaneous<sup>26</sup> impact of door openings on the positive pressure and airflow in the OR and to leave enough time to obtain explicative events (eg, door openings).

Significant variables at 0.1 were selected for the multivariate model. A backward selection was used on the multivariate model. Conditional studentized residuals were checked. A subanalysis was performed on interventions with video data to precisely evaluate the effect of number of persons and staff movements on increase of particles.<sup>14</sup>

The same method was applied to determine potential risk factors for an increase of air microbial count. Unlike the previous model, only 3 measures of air microbial count were done. Behaviors observed between the patient's arrival and the first measure, the first and the second measure, and the second and the third measure were considered to estimate associations (Appendix Fig. A1). SAS version 9.3 statistical software (SAS Institute, Cary, NC) was used to perform all statistical analyses.

## Results

## General data

A total of 62 surgical procedures were observed from the May 14 through December 20, 2013. Three procedures were excluded due to incomplete data collection, for a total of 59 procedures (25 in cardiac and 34 in orthopedic surgery) included in the door-opening assessment. Data on intraoperative staff movements were comprehensively collected during 34 of the 59 procedures (Fig. 1).

The architecture of the 13 participating ORs was characterized by a median surface of 42 (interquartile range [IQR], 36–47)  $m^2$ , including a median of 2 doors (IQR, 1–5). The air ventilation system was turbulent in 8 of 13 ORs (6 of 7 in cardiac surgery and 2 of 6 in orthopedic surgery). The median baseline air renewal was 53 (IQR, 45–64) changes per hour, with a median positive pressure of 19 Pa (IQR, 12–33).

In cardiac surgery, only the first procedure of the day in the OR was included. In orthopedic surgery, 19 procedures were in first position, 11 were in second position, and 4 were in third position during the same day. In orthopedic surgery, the median duration from patient entry to exit and from incision to closure was 2.5 hours (IQR, 2–3.1) and 1.3 hours (IQR, 1–1.8), respectively. In cardiac surgery, the same duration measures were 5.1 hours (IQR, 4.7–6.2) and 3.5 hours (IQR, 3–4.3), respectively (Table 1).

### Surrogates of the infectious risk

The median  $\log_{10}$  of 0.3 µm,  $\log_{10}$  of 0.5 µm, and  $\log_{10}$  of 5 µm of the 1,747 particle counts performed measured during the 59 procedures are displayed in supplementary Table S1 and Fig. 2A. The counts of  $\log_{10}$  of 0.3 µm particles varied according to ORs and procedures. The  $\log_{10}$  of 0.3 µm varied according to ORs and procedures, with a mean in ORs with laminar airflow of 6.8 (standard deviation [SD], 1) and 6.8 (SD, 0.9) during orthopedic procedures. These values were consistently below those observed in ORs with turbulent ventilation systems (mean, 7.2; SD, 0.9) and during cardiac surgery (mean, 7.3; SD, 0.9) (P < .01) (Appendix Fig. A2 online).

The median air microbial count at 3 moments in all 59 procedures was 3 CFU/m<sup>3</sup> (IQR, 0–8). Among the 177 air samples, 50 (28%) were sterile, 90 (51%) carried 1–10 CFU/m<sup>3</sup>, and 37 (21%) >10 CFU/m<sup>3</sup>. For this last category, the median CFU value in air sampling was 21 CFU/m<sup>3</sup> (IQR, 14–29, range 11–47), and 33 of 37 were in cardiac surgery and 35 of 37 were in OR with a turbulent ventilation system.

Among the 59 cultures of wound samples, 33 (56% of patients) were sterile, 18 (30%) had 1–10 CFU/100 cm<sup>2</sup>, and 8 (14%) had >10 CFU/100 cm<sup>2</sup>. Wounds in orthopedic surgery were significantly less contaminated at closure than in cardiac surgery: 24

Table 1. Descriptive Analysis on Door Openings From Cutaneous Incision to Closure During Orthopedic and Cardiac Surgery

1 , 1 0			0 1	0	5					
	All Int	erventions	Orthopedic	Surgery (N = 34)	Cardiac	Surgery (N = 25)				
Door Openings (N = 59 Procedures)	Mean (SD)	Median (IQR)	Mean (SD)	Median (IQR)	Mean (SD)	Median (IQR)				
Duration of procedure, h	2.3 (1.3)	1.9 (1.3–3.4)	1.4 (0.5)	1.4 (1-1.8)	3.6 (1)	3.5 (2.9–4.3)				
Cumulated no. of doors openings	49.5 (39.2)	35 (20–72)	24.4 (15.5)	22 (14–32)	83.6 (35.7)	75 (64–91)				
Cumulated duration of doors opening, min	13.3 (17.2)	10 (4-13.3)	9 (18.3)	4.2 (2.6–10.8)	19.2 (13.9)	13.1 (10.7–21.3)				
% Duration of door opened/total duration	9.4 (12.8)	6.9 (3.8–10.5)	9.8 (16.4)	6 (3.1–10.4)	8.8 (5.2)	7.3 (5.3–10.6)				
Frequency of door opening, per hour	20.2 (10)	19.4 (13.9–25.5)	16.9 (8.9)	14.8 (12.2–21.2)	24.6 (9.9)	23.4 (19.7–30)				
Frequency by door categories										
Material store room (n = 12 OR)	15.5 (6.3)	13.8 (12.2–17)	16.7 (6.1)	14.6 (13-18.4)	9.2 (2.6)	9.2 (7.4–11.1)				
Surgical team aseptic preparation $(n = 37 \text{ OR})$	16.6 (9.6)	15.7 (9.8–22.6)	12.6 (7.3)	13.2 (7.2–19.1)	22 (9.8)	20.5 (16.9–28.9)				
Decontamination room (n = 4 OR)	1.4 (0.9)	1.2 (0.8–1.9)			1.4 (0.9)	1.2 (0.8–1.9)				
Single door (n = 18 OR)	14.7 (9.4)	13 (7.5–21.6)	12.1 (11.7)	7.5 (5.2–14.3)	17.2 (6.3)	17.9 (12.2–21.6)				
Pre-operative patient preparation ( $n = 19 \text{ OR}$ )	6.3 (4.4)	5.3 (2.7–9.4)	11.8 (3.2)	12.2 (8.4–14.9)	5.3 (3.8)	4.4 (2.1–9.2)				
Frequency by hospital categories										
University hospitals ( $n = 7 \text{ ORs}$ )	20 (11.5)	18.4 (14.2–23.4)	16.7 (9.9)	15.2 (12.9–21.2)	28.9 (11.4)	26.4 (19.9–40.1)				
Private hospitals (n = 6 ORs)	20.3 (8.5)	19.9 (13.2–25.5)	17.4 (7.3)	14.7 (12.2–19.6)	22.6 (8.8)	23.4 (17.9–25.9)				
Frequency by staff categories										
Surgeons	2.5 (1.3)	2 (2–3)	2.2 (1.2)	2 (2–2)	3 (1.4)	3 (2–4)				
OR nurses	7.2 (6.8)	4.5 (2–12)	4.2 (4)	2 (1-6)	11 (7.8)	10 (3–20)				
Anesthesia team	9.4 (10.1)	7 (3–11)	3.6 (2.2)	3 (2–5)	16.6 (11.6)	12 (10–19)				
Others	8 (6.3)	5.5 (4–11)	4.2 (2)	4 (2–5)	12.8 (6.5)	12 (7–15)				
No. of persons and staff movements (n = 34 procedures) $3.5 (+ 11)$ $4.2 (2)$ $4 (2.5)$ $12.0 (0.5)$ $12 (+ 15)$										
No. of persons in the OR	10 (2.2)	10 (8–11)	9.4 (2.1)	9 (8–10)	10.9 (2.2)	10 (9–12)				
Surgeons	2.1 (0.9)	2 (2–3)	2 (0.7)	2 (2–2)	2.3 (1)	2 (1–3)				
OR nurses	2 (0.2)	2 (2–2)	1.9 (0.2)	2 (2–2)	2 (0)	2 (2–2)				
Anesthesia team	2.4 (0.9)	2 (2–3)	1.9 (0.7)	2 (1–2)	3.1 (0.8)	3 (2–4)				
Others	3.5 (1.5)	3 (2–4)	3.5 (1.8)	3 (2–4)	3.5 (1.1)	3 (3–4)				
Cumulated time spent by person in OR, h	1.9 (0.9)	1.7 (1.1–2.4)	1.2 (0.4)	1.1 (0.8–1.5)	2.7 (0.6)	2.7 (2.2–3.1)				
Surgeons	2.3 (1.3)	1.9 (1.2–3.4)	1.3 (0.4)	1.3 (0.9–1.7)	3.4 (0.9)	3.4 (2.5–4.3)				
OR nurses	2.4 (1.3)	2 (1.3–3.4)	1.4 (0.4)	1.4 (0.9–1.8)	3.6 (0.8)	3.4 (3.1–4.4)				
Anesthesia team	1.9 (1)	1.8 (1.1–2.5)	1.2 (0.5)	1.1 (0.9–1.4)	2.7 (0.7)	2.6 (2.1–3.2)				
Others	1.4 (0.8)	1.3 (0.7–2)	0.9 (0.6)	0.7 (0.4–1.4)	2 (0.7)	2 (1.5–2.4)				
Cumulated movements per person, m	562 (259)	526 (353–790)	373 (123)	373 (324–461)	801 (170.4)	832 (629–877)				
Surgeons	503 (251)	467.1 (336–678)	356 (176)	412 (229–467)	679 (212)	712 (581–790)				
OR nurses	1,065 (484)	977 (674–1407)	735 (290)	684 (512–893)	1,484 (328)	1,407 (1,317-1,595				
OR nurses Anesthesia team	1,065 (484) 539.8 (332)	977 (674–1407) 460 (282–819)	735 (290) 300 (122)	684 (512–893) 292 (219–406)	1,484 (328) 843 (252)	1,407 (1,317-1,595 821 (610-1,005)				

Note. SD, standard deviation; IQR, interquartile range; OR, operating room; CABG, Coronary artery bypass grafting; TKR, total knee replacement; THR, total hip replacement.

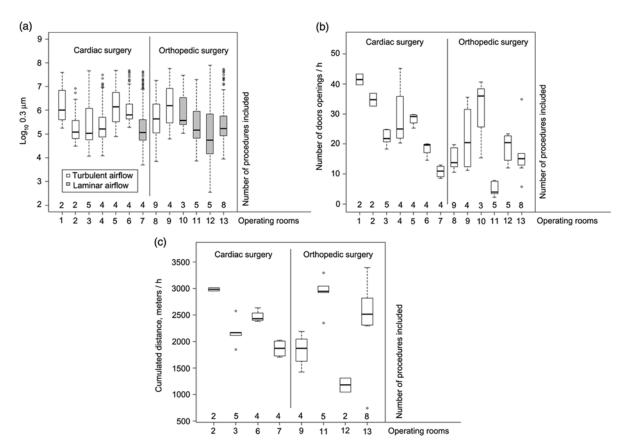
versus 9 sterile cultures; 9 versus 11 cultures with 1–10 CFU/100 cm<sup>2</sup>, and 0 versus 6 cultures with >10 CFU/100 cm<sup>2</sup> (P = .002).

## Door openings

Among the 59 procedures observed, the median frequency of 19.4 openings per hour (IQR, 13.9–25.5), with large variation across ORs (Table 1 and Fig. 2B). Doors of aseptic preparation rooms were the most frequently opened, and door openings were mainly generated

by the anesthetics team and persons not directly involved in the procedure (ie, assistant nurse or visitors).

During the 34 orthopedic procedures, the median frequency was 14.8 openings per hour (IQR, 12.2–21.2) from incision to skin closure. Doors stayed opened a cumulated duration of 4.2 minutes (IQR, 2.6–10.8), corresponding to 6% (IQR, 3.1%–10.4%) of the incision-to-closure period. During the 25 cardiac procedures, the median frequency of openings was 23.4 (IQR, 19.7–30) per hour from incision to closure. The cumulated duration of openings



**Fig. 2.** Boxplots describing the variability of (A) log<sub>10</sub> 0.3 μm particle counts (n = 59 procedures), (B) the frequency of door openings per hour (n = 59 procedures), and (C) cumulated movements by the team per hour (n = 34 procedures), according to the surgical specialty, the operating rooms and the type of ventilation system.

was 13.1 minutes (IQR, 10.7-21.3), corresponding to 7.3% of the operating time (IQR, 5.3%-10.6%).

The median frequency of door openings observed after the removal of the video-tracking system was 36.6 per hour (IQR, 33.3–42.6) from patient entry to exit versus 34.5 per hour (IQR, 23.6–48.8) in the presence of cameras in the OR (P = .50) (Appendix Table A2 online).

## Number of persons and staff movements

Among the 34 procedures (19 orthopedic and 15 cardiac) with the recording of intraoperative staff movements, the median number of persons present from incision to skin closure was 10 (IQR, 8–11) (Table 1). The median cumulated time spent by individuals in the OR during a single procedure was 1.7 h (IQR, 1.1–2.4). Figure 2C displays the disparities of movements by specialty and OR. The cumulated movements by the entire team from incision to skin closure for 1 surgical procedure represented 12.1 km (IQR, 11.5–14). Each member of the team walked a median of 373 m (IQR, 324–461) from incision to skin closure in orthopedic surgery and 832 m (IQR, 629–877) in cardiac surgery.

# Impact of behaviors on the surrogates of the exogenous infectious risk

The multivariate linear model performed on door openings collected during the 59 procedures revealed a significant positive link between the log<sub>10</sub> 0.3 µm particle counts and the number of door openings per period of 5 minutes ( $\pounds$ , 0.03; SD, 0.01; *P* = .01). In other words, 1 door opening during the 5 minutes preceding the particle sampling raised the log<sub>10</sub> 0.3 µm particles by 0.03. The turbulent airflow was associated with an increased air microbial count ( $\beta$ , 8.57; SD, 3.74; P = .04), as was the number of door openings per period ( $\beta$ , 0.07; SD, 0.03; P = .03) (Table 2).

The frequency of door openings and the mean of air bacterial counts from the incision to skin closure period were positively but not significantly correlated with the wound contamination before closure (r = 0.13; P = .32 and r = 0.15; P = .22, respectively).

The multivariate analysis performed on the 34 procedures with data on staff movements showed a significant association between the cumulated movements by the surgical team ( $\beta$ , 0.003; SD, 0.0004; P < .01) and the log<sub>10</sub> 0.3 µm particle counts (Table 3, model 1). A subanalysis was performed to assess the relationship between the number of persons and their cumulated movements on the log<sub>10</sub> 0.3 µm particle counts. The inverse correlation found between both variables indicates the greater impact of staff movements on the log<sub>10</sub> 0.3 µm particle counts compared to the number of persons (Table 3, model 2).

The univariate analysis of  $\log_{10} 0.5 \ \mu m$  (ß, 0.003; SD, 0.0004; P < .001) and  $\log_{10} 5 \ \mu m$  particle counts (ß, 0.003; SD, 0.0005; P < .001) were significantly associated with the cumulated movements by the surgical team during the 5-minute period but not with the number of persons. The nonvalidation of statistical assumptions (residuals not normally distributed) did not allow an interpretation of the multivariate analysis.

### Discussion

Door openings and staff movements were highly heterogeneous, varying  $\sim$ 4-fold according to ORs and procedures in each specialty. Both had a significant impact on the air contamination

**Table 2.** Results of the Univariate and Multivariate Linear Mixed Models for the Particles  $Log_{10}$  0.3  $\mu$ m (n = 1,747 Samples) and the Air Microbial Count (n = 177 Samples) During the 59 Included Interventions

	Particle Sizes Log10 0.3 µm			Particle Sizes Log10 0.5 μm		Particle Sizes Log10 5 μm		Air Microbial Count				
Variable	Univariate Analysis			Multivariate Analysis		ate is <sup>b</sup>	Univariate Analysis <sup>b</sup>		Univariate Analysis		Multivariate Analysis	
	Estimates (SD) <sup>a</sup>	<i>P</i> Value	Estimates (SD) <sup>a</sup>	<i>P</i> Value	Estimates (SD) <sup>a</sup>	<i>P</i> Value	Estimates (SD) <sup>a</sup>	<i>P</i> Value	Estimates (SD) <sup>a</sup>	<i>P</i> Value	Estimates (SD) <sup>a</sup>	<i>P</i> Value
Surgical specialty												
Cardiac surgery	0.45 (0.25)	.11			0.58 (0.23)	.03	0.39 (0.29)	.21	8.74 (3.75)	.04		
Procedure type												
Total knee replacement	-0.02 (0.28)	.93			-0.20 (0.25)	.43	-0.5 (0.31)	.13	—7.59 (3.99)	.07		
Total hip replacement	-0.24 (0.29)	.41			-0.42 (0.26)	.43	-0.40 (0.31)	.22	-9.23 (4.00)	.03		
CABG	0.50 (0.20)	.01			0.39 (0.17)	.03	-0.16 (0.12)	.20	2.51 (1.94)	.20		
CABG + valve replacement	0.26 (0.26)	.32			0.25 (0.23)	.27	-0.02 (0.21)	<.001	—2.78 (2.56)	.28		
Valve replacement	Ref.				Ref.		Ref.		Ref.			
Ventilation system and OR architecture												
Conventional airflow	0.52 (0.24)	.05			0.57 (0.23)	.03	0.62 (0.26)	.04	9.28 (3.78)	.03	8.57 (3.74)	.04
Volume of the OR, m <sup>3</sup>	0.0005 (0.003)	.85			0.0003 (0.002)	.89	-0.00009 (0.003)	.98	-0.01 (0.05)	.79		
Behaviors per period												
No. of door openings	0.03 (0.01)	.01	0.03 (0.01)	.01	0.01 (0.009)	.10	0.007 (0.009)	.47	0.07 (0.03)	.02	0.07 (0.03)	.03
Duration of door openings	0.06 (0.03)	.05			0.04 (0.03)	.18	0.01 (0.03)	.55	0.11 (0.06)	.06		
Time as a fixed effect			-0.04 (0.005)	<.001							0.26 (0.65)	.69

Note. OR, operating room; SD, standard deviation; CABG, coronary artery bypass grafting; Ref., reference.

<sup>a</sup>The estimates represent the proportionality coefficient linking the explicative (eg, behaviors) and dependent variables (particle or air microbial count) during the prior time period considered (eg, 1 door openings during the 5 minutes preceding the particle sampling will raise the log<sub>10</sub> 0.3 µm particles by 0.03).

<sup>b</sup>These results were not confirmed in the multivariate analysis due to the nonvalidation of statistical assumptions.

by particles and microorganisms during procedures. The cumulated movements of the surgical team significantly affected the  $\log_{10} 0.3 \ \mu\text{m}$ ,  $\log_{10} 0.5 \ \mu\text{m}$ , and  $\log_{10} 5 \ \mu\text{m}$  particle counts. This association was confirmed in the multivariate analysis for  $\log_{10} 0.3 \ \mu\text{m}$  particle counts. The results of the multivariate model for  $\log_{10} 0.5 \ \mu\text{m}$  and  $\log_{10} 5 \ \mu\text{m}$  particle counts were not interpretable due to the nonnormal distribution of the residuals.

The variability of behaviors observed, despite comparable procedures, may be explained either by the case mix, a lapse in the discipline of individuals or teams, or by the OR architecture and organization. In the present study, doors were mainly opened by nurses and visitors during orthopedic surgery. In cardiac surgery, anesthetists and external participants performed the most door openings. In the literature, most entries and exits occurring during procedures are explained by the frequent need for supplies or social activities. However, a substantial number of openings were unexplained, which suggests room for improvement.<sup>4</sup>

The results confirm the findings of previous studies suggesting that door openings may affect the air sterility of the OR.<sup>15–20</sup> Door movements are known to alter the efficacy of ventilation systems by a disruption of the positive pressures<sup>5</sup> and the air flow.<sup>21</sup> Our

data suggest that controlling the movements of staff members inside the OR may be more efficient than restricting their number to prevent air particle contamination (Table 3, model 2). The number of airborne particles produced per person has been estimated at  $10^4$  per minute at rest and up to  $3 \times 10^7$  during exertion.<sup>22</sup> Thus, a high number of static persons in the OR will consistently generate less airborne particles and bacteria than a restricted number of persons with unregulated movements.

The quantity of microorganisms cultured from the wound before closure was influenced by the cumulated movements by the team but not by the number of door openings. These results must be considered with caution. A large number of surgical wounds (89% in cardiac surgery) are contaminated at closure.<sup>23,24</sup> The combination of endogenous and exogenous organisms can confound the relationship between the quantitative presence of organisms in the air and those colonizing the wound during surgery. In addition, the rather low number of wound samplings might not suffice for attaining a statistical association.

A recent meta-analysis concluded that laminar airflow may not be efficient in reducing the risk of SSIs in total hip and knee arthroplasties, or in abdominal surgery.<sup>25</sup> After adjustment, our results **Table 3.** Results of the Univariate and Multivariate Linear Mixed Models to Evaluate the Effect of the Number of Persons and Staff Movements on the Particles Log<sub>10</sub> 0.3 μm During the 34 Interventions With Video Data and 1.072 Particle Counts

	Particle Sizes Log <sub>10</sub> 0.3 μm									
	Univariate A	nalysis	Multivariate An	alysis 1ª	Multivariate Analysis 2 <sup>b</sup>					
Variable	Estimates (SD)	P Value	Estimates (SD)	P Value	Estimates (SD)	P Value				
Surgical specialty										
Cardiac surgery	0.51 (0.33)	.19								
Procedure type										
Total knee replacement	-0.25 (0.35)	.49								
Total hip replacement	-0.34 (0.238)	.39								
CABG	0.36 (0.24)	.14								
CABG + valve replacement	0.06 (0.35)	.86								
Valve replacement	Ref.									
Ventilation system and OR architecture										
Conventional airflow	0.68 (0.26)	.05								
Volume of the OR, m <sup>3</sup>	-0.01 (0.005)	0.12								
Behaviors per period										
No. of persons	-0.02 (0.02)	.39			-0.08 (0.03)	.003				
Cumulated movements	0.003 (0.0004)	<.001	0.003 (0.0004)	<.001	0.004 (0.0005)	<.0001				
Time as a fixed effect			-0.05 (0.007)	<.001	-0.05 (0.007)	<.001				

Note. SD, standard deviation; OR, operating room; CABG, coronary artery bypass grafting.

 $^a$  Multivariate linear mixed models assessing variables associated with the particles  $\log_{10}$  0.3  $\mu m.$ 

<sup>b</sup>Subanalysis performed to evaluate the combined effect of number of persons and staff movements on increase of log<sub>10</sub> 0.3 µm particles. The number of persons present in the OR appears negatively associated with particle counts after adjustment on cumulative movements. This model suggests that a high number of static persons in the OR will consistently generate fewer airborne particles and bacteria than a restricted number of persons with unregulated movements.

<sup>c</sup>These results were not confirmed in the multivariate analysis due to the nonvalidation of statistical assumptions.

showed a significant and independent increase air microbial contamination in ORs with a conventional airflow system in comparison to a laminar airflow system. Moreover, the airborne particle concentration was consistently lower at incision in ORs with laminar airflow versus conventional airflow and decreased faster during the procedures (Appendix Fig. A2 online). These findings support the current low-quality evidence on the advantage of laminar airflow to prevent SSI in clean surgery.

Our study has several strengths. This is the first multicenter study using motion-tracking systems to precisely and continuous assessment of the intraoperative staff behaviors, including critical movements inside ORs. The absence of a Hawthorne effect due to the presence of video camera, compared to a period with dooropening collection (hidden to staff) but without video cameras, suggests the reliability of our results. The cutaneous incision of a sterile site in cardiac and orthopedic surgeries increased the potential impact of environmental contamination on subsequent SSI. The high reproducibility of procedures and techniques improves the generalizability of these results. Finally, the statistical method allowed adjustment of the analysis with a random intercept for each intervention and each operating room and a random slope for time, preventing bias due to important confounding factors.

We acknowledge several limitations of our study. First, the end points were surrogates of the environmental infectious risk and not SSI. The SSI rate would have been an ideal but unreachable end point. Indeed, obtaining a benchmarked SSI rate in these surgical units would have required a long duration of surveillance, and many confounding factors should have been collected. Second, air samples were not strictly performed at the sterile site. This bias was minimized by positioning the counters near the patient's head, under the laminar air flow when present, and at a height above sterile drapes separating the sterile site and the anesthesia area. The impact of door openings on the positive pressure and airflow in the OR is quasi-instantaneous.<sup>26</sup> The 5-minute period chosen to analyze the impact of behaviors on the air particle contamination appeared to be the best compromise between a period enough large to include events (eg, door openings) and their proximity to the counts. The longer periods used for the air microbial contamination may relate more to the long-term effect of intraoperative behaviors. Longitudinal modeling focused on the log<sub>10</sub> 0.3 µm particle and the air microbial counts. A previous study suggested that the 3 ranges of particle size were strongly correlated with airborne bacterial counts and likely represent a surrogate of overall air contamination during the surgical procedure.<sup>27</sup> The variability and large values obtained for 0.3  $\mu m$  particles offered the possibility to satisfy the statistical assumptions and precisely model and to assess the relationship between the traffic flow and environmental contamination. Finally, 42% of surgical procedures were excluded from the analysis of staff movement due to noncomprehensive collection of staff positions by the motion-tracking system. Moreover, due to the typical duration of cardiac procedures and the amount of time required for study-specific setup, we only included the first scheduled cardiac procedure, which is potentially not representative of full-day behaviors.

This study highlights the importance of the intraoperative discipline of staff, suggesting that a restriction of staff movements and door openings may prevent airborne contamination and the associated SSI risk. The awareness of surgical staff in this field may improve behaviors and quality of care. **Supplementary material.** To view supplementary material for this article, please visit https://doi.org/10.1017/ice.2019.35.

Acknowledgements. We thank the bacteriology laboratories that performed bacterial cultures and all the people who participated in the study. We thank Sebastien Bailly for his help in reviewing the statistical method. The following contributors provided and cared for study patients: Pierre Squara, Corinne De Diesbach, Alain Brusset, Marie-Françoise Vogel, François Gouin, Sophie Touchais, Jacqueline Lepennec, Gérard Babatasi, Emmanuel De Thomasson, Mathieu Debauchez, Christian Mazel, Pascal Bizot, Philippe Rosset, Patrick Nataf, Philippe Massin, Agnès Jue-Denis. The following contributors collected data: Gilles Antoniotti, Philippe Souchoix, Xavier Richomme, Marie-Noëlle Deschamps, Didier Lepelletier, Florence Legallou, Nathalie Ferronnière, Audrey Mouet, Xavier Lecoutour, Véronique Aguelon, Claire Lesteven, Carole Pornet, Jean Baptiste Stern, Jacques-Yves Nizou, Yves-Marie Vandamme, Maurice Tanguy, Marie-Laure Joly-Guillou, Nathalie Van Der Mée - Marquet, Aurélie Thomas-Hervieux. No preregistration exists for the reported studies reported in this article.

**Financial support.** This study was partly supported by the French Ministry of Health (grant no. PREQHOS 2011).

**Conflicts of interest.** All authors report no conflicts of interest relevant to this article.

### References

- Le Manach Y, Collins G, Bhandari M, et al. Outcomes after hip fracture surgery compared with elective total hip replacement. JAMA 2015;314:1159–1166.
- Zimlichman E, Henderson D, Tamir O, et al. Health care-associated infections: a meta-analysis of costs and financial impact on the US health care system. JAMA Intern Med 2013;173:2039–2046.
- Tammelin A, Hambraeus A, Ståhle E. Routes and sources of Staphylococcus aureus transmitted to the surgical wound during cardiothoracic surgery: possibility of preventing wound contamination by use of special scrub suits. Infect Control Hosp Epidemiol 2001;22:338–346.
- Birgand G, Saliou P, Lucet J-C. Influence of staff behavior on infectious risk in operating rooms: What is the evidence? *Infect Control Hosp Epidemiol* 2015;36:93–106.
- Mears SC, Blanding R, Belkoff SM. Door opening affects operating room pressure during joint arthroplasty. *Orthopedics* 2015;38:e991–e994.
- Allegranzi B, Zayed B, Bischoff P, et al. New WHO recommendations on intraoperative and postoperative measures for surgical site infection prevention: an evidence-based global perspective. Lancet Infect Dis 2016;16:e288–e303.
- Berríos-Torres SI, Umscheid CA, Bratzler DW, et al. Centers for Disease Control and Prevention guideline for the prevention of surgical site infection, 2017. JAMA Surg 2017;152:784–791.
- Lucet J-C, Laouenan C, Chelius G, et al. Electronic sensors for assessing interactions between healthcare workers and patients under airborne precautions. PloS One 2012;7(5):e37893.
- 9. Birgand G, Azevedo C, Toupet G, *et al.* Attitudes, risk of infection and behaviours in the operating room (the ARIBO Project): a prospective, cross-sectional study. *BMJ Open* 2014;4(1):e004274.

- Isableu B, Hansen C, Rezzoug N, Gorce P, Pagano CC. Velocity-dependent changes of rotational axes during the control of unconstrained 3D arm motions depend on initial instruction on limb position. *Hum Mov Sci* 2013;32:290–300.
- Birgand G, Azevedo C, Toupet G, *et al.* Attitudes, risk of infection and behaviours in the operating room (the ARIBO Project): a prospective, cross-sectional study. *BMJ Open* 2014;4(1):e004274.
- 12. Dharan S, Pittet D. Environmental controls in operating theatres. J Hosp Infect 2002;51:79–84.
- Hambraeus A, Hoborn J, Whyte W. Skin sampling validation of a pad method and comparison with commonly used methods. J Hosp Infect 1990;16:19–27.
- Littell RC Milliken GA, Stroup WW, Wolfinger RD, Schabenberger O. SAS for Mixed Models, 2nd edition. Cary, NC: SAS Intstitute; 2006.
- Andersson AE, Bergh I, Karlsson J, Eriksson BJ, Nilsson K. Traffic flow in the operating room: an explorative and descriptive study on air quality during orthopedic trauma implant surgery. *Am J Infect Control* 2012;40:750–755.
- Tjade OH, Gabor I. Evaluation of airborne operating room bacteria with a Biap slit sampler. J Hyg (Lond) 1980;84:37–40.
- Scaltriti S, Cencetti S, Rovesti S, Marchesi I, Bargellini A, Borella P. Risk factors for particulate and microbial contamination of air in operating theatres. J Hosp Infect 2007;66:320–326.
- Agodi A, Auxilia F, Barchitta M, et al. Operating theatre ventilation systems and microbial air contamination in total joint replacement surgery: results of the GISIO-ISChIA study. J Hosp Infect 2015;90:213–219.
- Erichsen Andersson A, Petzold M, Bergh I, Karlsson J, Eriksson BJ, Nilsson K. Comparison between mixed and laminar airflow systems in operating rooms and the influence of human factors: experiences from a Swedish orthopedic center. *Am J Infect Control* 2014;42:665–669.
- Mathijssen NMC, Hannink G, Sturm PDJ, et al. The effect of door openings on numbers of colony forming units in the operating room during hip revision surgery. Surg Infect 2016;17:535–540.
- Brohus H, Balling KD, Jeppesen D. Influence of movements on contaminant transport in an operating room. *Indoor Air* 2006;16:356–372.
- Hambraeus A. Aerobiology in the operating room—a review. J Hosp Infect 1988;11 Suppl A:68–76.
- Bernard L, Sadowski C, Monin D, et al. The value of bacterial culture during clean orthopedic surgery: a prospective study of 1, 036 patients. Infect Control Hosp Epidemiol 2004;25:512–514.
- 24. Tammelin A, Hambraeus A, Ståhle E. Source and route of methicillinresistant *Staphylococcus epidermidis* transmitted to the surgical wound during cardio-thoracic surgery. Possibility of preventing wound contamination by use of special scrub suits. *J Hosp Infect* 2001;47:266–276.
- Bischoff P, Kubilay NZ, Allegranzi B, Egger M, Gastmeier P. Effect of laminar airflow ventilation on surgical site infections: a systematic review and meta-analysis. *Lancet Infect Dis* 2017;17:553–561.
- Weiser MC, Shemesh S, Chen DD, Bronson MJ, Moucha CS. The effect of door opening on positive pressure and airflow in operating rooms. J Am Acad Orthop Surg 2018;26:e105–e113.
- Birgand G, Toupet G, Rukly S, *et al.* Air contamination for predicting wound contamination in clean surgery: a large multicenter study. *Am J Infect Control* 2015;43:516–521.