

Effects of dielectric discontinuity on the dispersion characteristics of the tape helix slow-wave structure with two metal shields

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Abstract

In the tape helix slow-wave system, discontinuous dielectrics have great effects on the dispersion characteristics. In this paper, the tape helix slow-wave system, including an inner and an outer metal shield, tape helix, nylon support and de-ionized water as filling dielectric, was analyzed. Effects of dielectric discontinuity caused by the support dielectric and filling dielectric on the dispersion characteristics were studied in detail. The dispersion relations, phase velocities, slow-wave coefficients and electric lengths of the spatial harmonics in the system were calculated. Results showed that, if the permittivity of support dielectric was smaller than that of the filling dielectric, frequencies of the spatial harmonics in the system rose, phase velocities and slow-wave coefficients increased, the slow-wave effect of the system was weakened so that the previous electric length was shortened. The reverse condition corresponded to the reverse results, and the electromagnetic simulation also proved it. By use of the helical pulse forming line of accelerator based on the studied tape helix slow-wave system, the electric lengths of the system were tested as 188.5 ns and 200 ns in experiment when the thicknesses of nylon support were 6 mm and 3 mm, respectively. The theoretical calculation results 198 ns and 211 ns basically corresponded to experimental results, which only had relative errors as 5 and 5.5%, respectively.

Keywords: Accelerator; Dielectric discontinuity; Dispersion relation; Electric length; Pulse forming line; Spatial harmonics; Tape helix slow-wave structure

INTRODUCTION

As an ideal slow-wave structure with good dispersion characteristics and broad transmission band (Johnson *et al.*, 1956), the tape helix was first used in the helical-type traveling wave tubes (TWT) in the late 1940s (Pierce, 1950; Kompfner & Willanms, 1947). Later, it was also employed in relativistic backward-wave oscillators for beam-wave interaction to excite microwave radiation (Kompfner *et al.*, 1953; Tien, 1954; Watkins & Ash, 1954). In the 1980s, the tape helix was introduced in the field of pulsed power technology to construct electron accelerator based on helical pulse forming line (HPFL), so that the pulse duration of accelerator was scaled up to several hundred ns range while the size of PFL decreased (Friedman *et al.*, 1988; Shidara *et al.*, 1991;

Korovin *et al.*, 2001; Liu *et al.*, 2006, 2007a, 2007b, 2009; Cheng *et al.*, 2009).

Usually, the thin tape helix has deformation, and the tape helix can not be concentric with its metal shields, so that a strong dielectric support for the tape helix with enough thickness should be introduced (Swift-Hook, 1958; Ghosh *et al.*, 1997; Agostino *et al.*, 1998; Chernin *et al.*, 1999; Kartikeyan *et al.*, 1999). In the tape helix slow-wave system, filling dielectric is also introduced to fill in the space inside the system for the purpose of good insulation (Swift-Hook, 1958; Ghosh *et al.*, 1997; Agostino *et al.*, 1998; Chernin *et al.*, 1999; Kartikeyan *et al.*, 1999; Lopes & Motta, 2005). However, the support dielectric and filling dielectric are completely two different kinds of dielectrics, so that the discontinuity of dielectrics can cause obvious effects on the dispersion characteristics. Swift-Hook (1958) studied the dispersion characteristics of a thick tape helix in a glass tube, and different regions with dielectric characteristics were considered. However, no metal shield condition was considered

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in glass tube. Agostino *et al.* (1998), Chernin *et al.* (1999), and Kartikeyan *et al.* (1999) analyzed the effects of vane-loaded and bar-type support dielectrics on the tape helix system with an outer metal shield, but the vane-loaded approximation had an obvious error with experimental results (Kartikeyan *et al.*, 1999). Dialetis *et al.* (2009), Datta and Kumar (2009), and Datta *et al.* (2010) analyzed the effects of multi-layer dielectrics in radial direction on the dispersion characteristics of the tape helix system, which only had an outer metal shield, and experimental results were in line with theoretical calculations. Furthermore, in order to improve the dispersion characteristics and obtain advantages in geometric size, a concentric inner metal shield should be introduced inside the tape helix in many situations (Ge *et al.*, 2010), which causes more complicated boundary conditions. Ge *et al.* (2010) improved dispersion characteristics and accomplished a more miniaturized relativistic backward-wave oscillator in L band, by introducing an air-core inner metal shield. And the extensively used helical Blumlein pulse forming line (HBPL) also has an inner metal shield (Liu *et al.*, 2006; Chen *et al.*, 2009, 2010). By far, however, researches which are involved in the effects of radial dielectric discontinuity on the dispersion characteristics of the tape helix slow-wave system including two metal shields are still not adequate.

In this paper, the electromagnetic field distribution of the tape helix slow-wave system of the electron accelerator with radial dielectric discontinuity and two metal shields was analyzed by accurate electromagnetic theory. The effects of dielectric discontinuity on the dispersion characteristics were studied in detail. Phase velocities, slow-wave coefficients, and electric lengths were calculated. The simulation and experimental results attested the theoretical analyses and many valuable conclusions were obtained for the first time, which showed great value on further study of tape helix slow-wave structure in HPFL and long pulse accelerator.

ELECTROMAGNETIC FIELDS AND DISPERSION EQUATION OF THE TAPE HELIX SLOW-WAVE SYSTEM

Figure 1 shows geometric structure of the tape helix slow-wave system, which consists of an outer shield, tape helix, an inner shield, air-core cylindrical nylon support dielectric, and filling dielectric. The “infinitesimally thin” tape helix approximation is adopted in this paper, as it’s accurate if the work frequency is not high enough (Sensiper, 1951, 1955). Cylindrical coordinates (r, θ, z) are established along the axial direction (z) as Figure 1a shows that r and θ represent the radial and azimuthal direction, and r_1 , r_2 , and r_3 represent the radii of the inner shield, tape helix, and the outer shield, respectively. The inner and outer radii of nylon support are a and r_2 . ψ is the pitch angle of tape helix, while the pitch and tape width are p and δ , respectively. l_0 is the length of the infinite

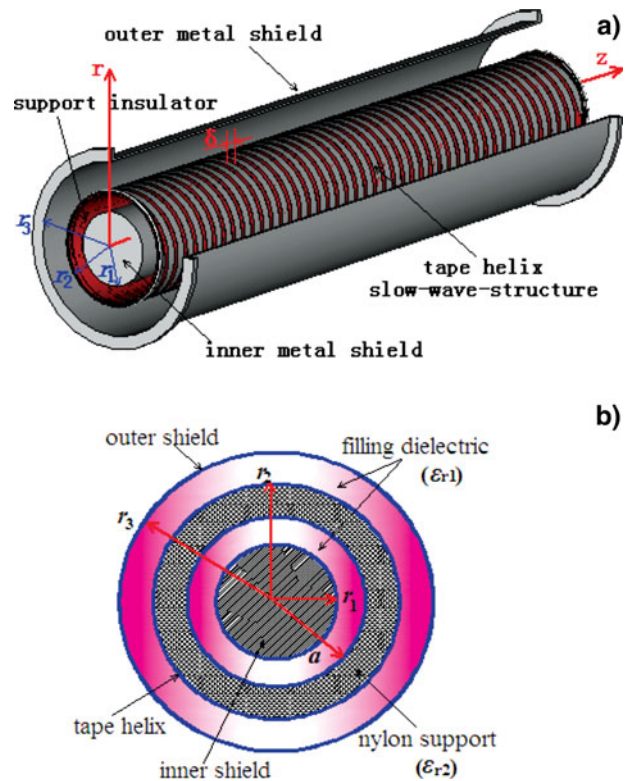


Fig. 1. (Color online) The tape helix slow-wave system with a cylindrical support insulator, filling dielectric and two metal shields. (a) Geometric structure of the tape helix slow-wave system. (b) Cross section of the slow-wave system.

thin tape in the axial direction. As Figure 1b shows the filling dielectric and the nylon support have different relative permittivities as ϵ_{r1} and ϵ_{r2} , dielectric discontinuity phenomenon in the radial direction occurs.

Considering the different boundary conditions of the slow-wave system, the inner and outer shields are ideal conductors. So, only three regions are separated to analyze the electromagnetic fields distribution, such as region I ($r_1 < r < a$), region II ($a < r < r_2$), and region III ($r_2 < r < r_3$). The permittivity and permeability of free space are ϵ_0 and μ_0 , respectively. The filling dielectric is the same in regions I and III, and its permittivity and permeability are ϵ_1 and μ_1 , while permittivity and permeability of nylon support in region II are ϵ_2 and μ_2 , respectively. Then $\epsilon_i = \epsilon_{ri}\epsilon_0$, $\mu_i = \mu_{ri}\mu_0$ ($i = 1, 2$).

The electromagnetic field and its excitation surface current density J are both in periodical distribution, due to the azimuthal periodicity and helical symmetry of the tape helix. If $l_0 \gg r_2$, the electromagnetic field and J both consist of their own infinite terms of spatial harmonic components, according to the Floquet theorem (Sensiper, 1951). In these spatial harmonics, the axial phase constant β_n of the n^{th} harmonic has relation with β_0 (phase constant of the 0^{th} harmonic) as $\beta_n = \beta_0 + 2\pi n/p$. By solving the Maxwell equations, the analytical solutions of electromagnetic field in the three specified regions are as follows.

Region I ($r_1 < r < a$):

$$\left\{ \begin{aligned}
 E_{1z} &= e^{-j(\beta_0 z - \omega t)} \sum_{n=-\infty}^{+\infty} [A_{1n} I_n(\gamma_n r) + A_{2n} K_n(\gamma_n r)] e^{-jn(2\pi z/p - \theta)} \\
 E_{1r} &= e^{-j(\beta_0 z - \omega t)} \sum_{n=-\infty}^{+\infty} \left[\frac{j\beta_n}{\gamma_n} (A_{1n} I'_n(\gamma_n r) + A_{2n} K'_n(\gamma_n r)) - \frac{\omega \mu_1 n}{\gamma_n^2 r} (A_{3n} I_n(\gamma_n r) + A_{4n} K_n(\gamma_n r)) \right] e^{-jn(2\pi z/p - \theta)} \\
 E_{1\theta} &= e^{-j(\beta_0 z - \omega t)} \sum_{n=-\infty}^{+\infty} \left[\frac{-n\beta_n}{\gamma_n^2 r} (A_{1n} I_n(\gamma_n r) + A_{2n} K_n(\gamma_n r)) - \frac{j\omega \mu_1}{\gamma_n} (A_{3n} I'_n(\gamma_n r) + A_{4n} K'_n(\gamma_n r)) \right] e^{-jn(2\pi z/p - \theta)} \\
 H_{1z} &= e^{-j(\beta_0 z - \omega t)} \sum_{n=-\infty}^{+\infty} [A_{3n} I_n(\gamma_n r) + A_{4n} K_n(\gamma_n r)] e^{-jn(2\pi z/p - \theta)} \\
 H_{1r} &= e^{-j(\beta_0 z - \omega t)} \sum_{n=-\infty}^{+\infty} \left[\frac{\omega \varepsilon_1 n}{\gamma_n^2 r} (A_{1n} I_n(\gamma_n r) + A_{2n} K_n(\gamma_n r)) + \frac{j\beta_n}{\gamma_n} (A_{3n} I'_n(\gamma_n r) + A_{4n} K'_n(\gamma_n r)) \right] e^{-jn(2\pi z/p - \theta)} \\
 H_{1\theta} &= e^{-j(\beta_0 z - \omega t)} \sum_{n=-\infty}^{+\infty} \left[\frac{j\omega \varepsilon_1}{\gamma_n} (A_{1n} I'_n(\gamma_n r) + A_{2n} K'_n(\gamma_n r)) - \frac{\beta_n n}{\gamma_n^2 r} (A_{3n} I_n(\gamma_n r) + A_{4n} K_n(\gamma_n r)) \right] e^{-jn(2\pi z/p - \theta)}.
 \end{aligned} \right. \tag{1}$$

Region II ($a < r < r_2$):

$$\left\{ \begin{aligned}
 E_{2z} &= e^{-j(\beta_0 z - \omega t)} \sum_{n=-\infty}^{+\infty} [G_{1n} I_n(\gamma_n r) + G_{2n} K_n(\gamma_n r)] e^{-jn(2\pi z/p - \theta)} \\
 E_{2r} &= e^{-j(\beta_0 z - \omega t)} \sum_{n=-\infty}^{+\infty} \left[\frac{j\beta_n}{\gamma_n} (G_{1n} I'_n(\gamma_n r) + G_{2n} K'_n(\gamma_n r)) - \frac{\omega \mu_2 n}{\gamma_n^2 r} (G_{3n} I_n(\gamma_n r) + G_{4n} K_n(\gamma_n r)) \right] e^{-jn(2\pi z/p - \theta)} \\
 E_{2\theta} &= e^{-j(\beta_0 z - \omega t)} \sum_{n=-\infty}^{+\infty} \left[\frac{-n\beta_n}{\gamma_n^2 r} (G_{1n} I_n(\gamma_n r) + G_{2n} K_n(\gamma_n r)) - \frac{j\omega \mu_2}{\gamma_n} (G_{3n} I'_n(\gamma_n r) + G_{4n} K'_n(\gamma_n r)) \right] e^{-jn(2\pi z/p - \theta)} \\
 H_{2z} &= e^{-j(\beta_0 z - \omega t)} \sum_{n=-\infty}^{+\infty} [G_{3n} I_n(\gamma_n r) + G_{4n} K_n(\gamma_n r)] e^{-jn(2\pi z/p - \theta)} \\
 H_{2r} &= e^{-j(\beta_0 z - \omega t)} \sum_{n=-\infty}^{+\infty} \left[\frac{\omega \varepsilon_2 n}{\gamma_n^2 r} (G_{1n} I_n(\gamma_n r) + G_{2n} K_n(\gamma_n r)) + \frac{j\beta_n}{\gamma_n} (G_{3n} I'_n(\gamma_n r) + G_{4n} K'_n(\gamma_n r)) \right] e^{-jn(2\pi z/p - \theta)} \\
 H_{2\theta} &= e^{-j(\beta_0 z - \omega t)} \sum_{n=-\infty}^{+\infty} \left[\frac{j\omega \varepsilon_2}{\gamma_n} (G_{1n} I'_n(\gamma_n r) + G_{2n} K'_n(\gamma_n r)) - \frac{\beta_n n}{\gamma_n^2 r} (G_{3n} I_n(\gamma_n r) + G_{4n} K_n(\gamma_n r)) \right] e^{-jn(2\pi z/p - \theta)}.
 \end{aligned} \right. \tag{2}$$

Region III \boxtimes ($r_2 < r < r_3$):

$$\left\{ \begin{aligned}
 E_{2z} &= e^{-j(\beta_0 z - \omega t)} \sum_{n=-\infty}^{+\infty} [B_{1n} I_n(\gamma_n r) + B_{2n} K_n(\gamma_n r)] e^{-jn(2\pi z/p - \theta)} \\
 E_{2r} &= e^{-j(\beta_0 z - \omega t)} \sum_{n=-\infty}^{+\infty} \left[\frac{j\beta_n}{\gamma_n} (B_{1n} I'_n(\gamma_n r) + B_{2n} K'_n(\gamma_n r)) - \frac{\omega \mu_1 n}{\gamma_n^2 r} (B_{3n} I_n(\gamma_n r) + B_{4n} K_n(\gamma_n r)) \right] e^{-jn(2\pi z/p - \theta)} \\
 E_{2\theta} &= e^{-j(\beta_0 z - \omega t)} \sum_{n=-\infty}^{+\infty} \left[\frac{-n\beta_n}{\gamma_n^2 r} (B_{1n} I_n(\gamma_n r) + B_{2n} K_n(\gamma_n r)) - \frac{j\omega \mu_1}{\gamma_n} (B_{3n} I'_n(\gamma_n r) + B_{4n} K'_n(\gamma_n r)) \right] e^{-jn(2\pi z/p - \theta)} \\
 H_{2z} &= e^{-j(\beta_0 z - \omega t)} \sum_{n=-\infty}^{+\infty} [B_{3n} I_n(\gamma_n r) + B_{4n} K_n(\gamma_n r)] e^{-jn(2\pi z/p - \theta)} \\
 H_{2r} &= e^{-j(\beta_0 z - \omega t)} \sum_{n=-\infty}^{+\infty} \left[\frac{\omega \varepsilon_1 n}{\gamma_n^2 r} (B_{1n} I_n(\gamma_n r) + B_{2n} K_n(\gamma_n r)) + \frac{j\beta_n}{\gamma_n} (B_{3n} I'_n(\gamma_n r) + B_{4n} K'_n(\gamma_n r)) \right] e^{-jn(2\pi z/p - \theta)} \\
 H_{2\theta} &= e^{-j(\beta_0 z - \omega t)} \sum_{n=-\infty}^{+\infty} \left[\frac{j\omega \varepsilon_1}{\gamma_n} (B_{1n} I'_n(\gamma_n r) + B_{2n} K'_n(\gamma_n r)) - \frac{\beta_n n}{\gamma_n^2 r} (B_{3n} I_n(\gamma_n r) + B_{4n} K_n(\gamma_n r)) \right] e^{-jn(2\pi z/p - \theta)}.
 \end{aligned} \right. \tag{3}$$

In Regions I–III, j is unit of imaginary number, n is the order number of the spatial harmonic, and ω is the angular frequency of the n^{th} harmonic. Usually, condition $\beta_n \gg \omega$ is satisfied in the low frequency band, so that the phase constant γ_n of the transverse direction in different regions can be considered as the same. A_{1n} – A_{4n} , G_{1n} – G_{4n} , and B_{1n} – B_{4n} are 12 field coefficients, which need to be calculated. I_n and K_n are the modified Bessel functions of the first and second kind, respectively. k_i is the angular wave number (subscript $i = 1, 2, 3$), which corresponds to the three specified regions, and $k_i^2 = \omega^2 \varepsilon_i \mu_i$, $\gamma_n^2 = \beta_n^2 - k_i^2$.

In Figure 1a, if $\delta \ll p$, the surface current that flows through the tape helix almost has the same distribution in the direction along the tape width (Sensiper, 1951, 1955). As the source of the electromagnetic field, the excitation surface current on the tape helix has many distribution models. In this paper, the current model in reference (Sensiper, 1951, 1955) is adopted. That is to say, (1) the amplitude of surface current density (J_0) keeps the same on the tape helix; (2) in the gaps between the tape turns, $J_0 = 0$; (3) the phase constant plane of the helical surface current density is normal to the axial direction; (4) no current flows normal to the helical direction. The surface current density consists of two

components, of which one is in parallel with the helical direction (J_{\parallel}) and the other is normal to the helical direction ($J_{\perp} = 0$). Then, J_{\parallel} can also be calculated by Floquet theorem as (Sensiper, 1951)

$$\begin{cases} J_{\parallel} = e^{-j(\beta_0 z - \omega t)} \sum_{n=-\infty}^{+\infty} J_{\parallel n} e^{-jn(2\pi z/p - \theta)} \\ J_{\parallel n} = \frac{\delta J_0}{p} e^{j(2\pi n \delta/p)} \frac{\sin(n\pi \delta/p)}{n\pi \delta/p} \end{cases} \quad (4)$$

If the inner and outer shields are ideal conductors, and the nylon support and filling dielectric are both ideal dielectrics (no losses need to be considered), the boundary conditions of the tape helix slow-wave system are as

$$\begin{cases} \begin{cases} E_{1z} = 0, E_{1\theta} = 0 \quad (r = r_1) \\ E_{2z} = 0, E_{2\theta} = 0 \quad (r = r_3) \end{cases} \\ \begin{cases} E_{1z}(a) = E_{2z}(a), E_{1\theta}(a) = E_{2\theta}(a) \\ H_{1z}(a) = H_{2z}(a), H_{1\theta}(a) = H_{2\theta}(a) \end{cases} \quad (r = a) \\ \begin{cases} E_{1\theta} = E_{2\theta}, E_{1z} = E_{2z} \\ H_{2z} - H_{1z} = -J_{\parallel} \sin(\psi) \\ H_{2\theta} - H_{1\theta} = J_{\parallel} \cos(\psi) \quad (r = r_2) \\ \int_S \vec{E}_{\parallel} \cdot \vec{J}_{\parallel}^* dS = 0 \end{cases} \end{cases} \quad (5)$$

By use of (1)–(3) and the first 12 conditions in (5), the 12 field coefficients can be calculated as

$$\begin{cases} G_{1n} = \frac{j\gamma_n J_{\parallel n} [\cos(\psi) - \beta_n n \sin(\psi)/(\gamma_n^2 r_2^2)]}{\omega \varepsilon_2 (I_{n2}' + a_1 K_{n2}') (I_{n2} K_{n3} - I_{n3} K_{n2}) - \varepsilon_1 (I_{n2} + a_1 K_{n2}) (I_{n2}' K_{n3} - I_{n3}' K_{n2}')} \\ G_{3n} = \frac{J_{\parallel n} \sin(\psi) (I_{n2}' K_{n3}' - I_{n3}' K_{n2}')}{(I_{n2} + a_2 K_{n2}) (I_{n2}' K_{n3}' - I_{n3}' K_{n2}') - \frac{\mu_2}{\mu_1} (I_{n2}' + a_2 K_{n2}') (I_{n2} K_{n3}' - I_{n3}' K_{n2}')} \\ G_{2n} = a_1 G_{1n}, G_{4n} = a_2 G_{3n} \\ A_{1n} = \frac{G_{1n} I_{na} + G_{2n} K_{na}}{I_{na} - I_{n1} K_{na}/K_{n1}}, \\ A_{3n} = \frac{\mu_2 (G_{3n} I_{na}' + G_{4n} K_{na}')}{\mu_1 (I_{na}' - I_{n1}' K_{na}'/K_{n1}')} \\ A_{2n} = -A_{1n} I_{n1}/K_{n1}, A_{4n} = -A_{3n} I_{n1}'/K_{n1}' \\ B_{1n} = \frac{I_{n2} + a_1 K_{n2}}{I_{n2} - I_{n3} K_{n2}/K_{n3}} G_{1n}, \\ B_{3n} = \frac{\mu_2 (I_{n2}' + a_2 K_{n2}')}{\mu_1 (I_{n2}' - I_{n3}' K_{n2}'/K_{n3}')} G_{3n} \\ B_{2n} = -\frac{I_{n3}}{K_{n3}} B_{1n}, B_{4n} = -\frac{I_{n3}'}{K_{n3}'} B_{3n} \end{cases} \quad (6)$$

In (6), I_{n1} , I_{n2} , I_{na} , and I_{n3} are the simplified forms of $I_n(\gamma_n r_1)$, $I_n(\gamma_n r_2)$, $I_n(\gamma_n a)$, and $I_n(\gamma_n r_3)$, respectively, so do K_{n1} , K_{n2} , K_{na} , and K_{n3} . I_{n2}' represents the derivative of $I_n(\gamma_n r)$ to $\gamma_n r$ when $r = r_2$, so does K_{n2}' . At last, the transmission slow waves in the slow-wave system are determined by the electromagnetic fields shown as (1)–(3). In (6), a_1 and

a_2 are parameters for simplification and their forms are as

$$\begin{cases} a_1 = \frac{\frac{I_{na}}{I_{na} - I_{n1} K_{na}/K_{n1}} - \frac{\varepsilon_2}{\varepsilon_1} \frac{I_{na}'}{I_{na}' - K_{na}' I_{n1}/K_{n1}}}{\frac{\varepsilon_2}{\varepsilon_1} \frac{I_{na}'}{I_{na}' - K_{na}' I_{n1}/K_{n1}} - \frac{I_{na}}{I_{na} - I_{n1} K_{na}/K_{n1}}} \\ a_2 = \frac{\frac{I_{na}}{I_{na} - K_{na} I_{n1}'/K_{n1}'} - \frac{\mu_2}{\mu_1} \frac{I_{na}'}{I_{na}' - K_{na}' I_{n1}'/K_{n1}'}}{\frac{\mu_2}{\mu_1} \frac{I_{na}'}{I_{na}' - K_{na}' I_{n1}'/K_{n1}'} - \frac{I_{na}}{I_{na} - K_{na} I_{n1}'/K_{n1}'}} \end{cases} \quad (7)$$

According to (2) and (5), the dispersion equation of the tape helix slow-wave system with dielectric discontinuity and two metal shields can be obtained as

$$\sum_{n=-\infty}^{+\infty} (J_{\parallel n} J_{\parallel n}^*) \left\{ \frac{\gamma_n [\cos(\psi) - \beta_n n \sin(\psi)/(\gamma_n^2 r_2^2)]^2}{\frac{\varepsilon_2 (I_{n2}' + a_1 K_{n2}')}{I_{n2} + a_1 K_{n2}} - \frac{\varepsilon_1 (I_{n2}' K_{n3}' - I_{n3}' K_{n2}')}{I_{n2} K_{n3} - I_{n3} K_{n2}}} \right. \\ \left. - \frac{\frac{\omega \mu_2 \sin^2(\psi)}{\gamma_n}}{\frac{I_{n2} + a_2 K_{n2}}{I_{n2}' + a_2 K_{n2}'} - \frac{\mu_2 (I_{n2}' K_{n3}' - I_{n3}' K_{n2}')}{\mu_1 (I_{n2}' K_{n3}' - I_{n3}' K_{n2}')}} \right\} = 0. \quad (8)$$

In (8), $J_{\parallel n} J_{\parallel n}^*$ can be substituted by $\sin^2(n\pi\delta/p)/(n\pi\delta/p)^2$ (Sensiper, 1951). The dispersion equation consists of infinite terms of Bessel functions.

DISPERSION RELATION AND SLOW-WAVE COEFFICIENT

Usually, the tape helix slow-wave structure is used for pulse forming. The helical Blumlein PFL has the same structure as shown in Figure 1, and it is a device that outputs square (or quasi-square) voltage pulses for the accelerator with pulse duration ranging from dozens of ns to several hundred ns. These square pulses correspond to a work band which is less than 10 MHz. Under this low-frequency condition, the 0th harmonic determines the dispersion characteristics of the helical Blumlein PFL. Select the 0th term in (8) and equate it to 0, and the dispersion equation of the 0th spatial harmonic can be obtained. Two different kinds of independent roots are obtained by solving this dispersion equation. And these roots just correspond to the dispersion relation

of the 0th harmonic as shown in (9).

$$\left\{ \begin{aligned} \omega_0(\beta_0 p) &= \pm \frac{|\beta_0 p| \cot(\psi)}{p \sqrt{\varepsilon_2 \mu_2}} T_0(\gamma_0)^{0.5}, \\ T_0(\gamma_0) &\triangleq \frac{I_{02} + a_{20} K_{02}}{I_{12} - a_{10} K_{12}} + \frac{\mu_2 I_{13} K_{02} + I_{02} K_{13}}{\mu_1 I_{13} K_{12} - I_{12} K_{13}} \\ &\quad - \frac{\varepsilon_1 (I_{12} K_{03} + I_{03} K_{12})}{I_{02} + a_{10} K_{02}} - \frac{\varepsilon_2 (I_{02} K_{03} - I_{03} K_{02})}{I_{0a}} - \frac{\varepsilon_2 I_{1a}}{I_{1a}}, \\ a_{10} &= \frac{I_{0a} K_{01} - I_{01} K_{0a}}{\varepsilon_2 K_{1a}} - \frac{\varepsilon_1 I_{1a} K_{01} + I_{01} K_{1a}}{K_{0a}}, \\ &\quad - \frac{I_{0a}}{\varepsilon_1 I_{1a} K_{01} + I_{01} K_{1a}} - \frac{I_{0a} K_{01} - I_{01} K_{0a}}{I_{0a}}, \\ a_{20} &= - \frac{I_{0a} K_{11} + I_{11} K_{0a}}{\mu_2 K_{1a}} + \frac{\mu_2 I_{1a}}{\mu_1 I_{11} K_{1a} - I_{1a} K_{11}} \\ &\quad - \frac{\mu_2}{\mu_1 I_{1a} K_{11} - I_{11} K_{1a}} + \frac{K_{0a}}{I_{0a} K_{11} + I_{11} K_{0a}}. \end{aligned} \right. \quad (9)$$

In (9), a_{10} and a_{20} are parameters for simplification, and $\gamma_0^2 = \beta_0^2(\gamma_0) - \omega_0^2(\gamma_0) \varepsilon_i \mu_i$. If the pitch angle ψ is large enough, the slow-wave coefficient $p_{li} = v_{ph}/c_i \ll 1$ (c_i is the light speed in different dielectric regions), and $\beta_n \gg k$, so that the approximation $\gamma_0^2 = \beta_0^2$ is accurate. As the periodical slow-wave structure has periodical dispersion curve, we employ ω - βp as the coordinates to explain the dispersion curve of the system. Actually, dispersion relation (9) shows the dispersion characteristics of the helical slow-wave system in the first ‘‘Brillouin zone’’ ($-\pi < \beta_0 p < \pi$). Because $\beta_n = \beta_0 + 2\pi n/p$, the periodical dispersion relation of the helical slow-wave system is as

$$\omega(\beta p) = \omega_0(\beta_0 p + 2\pi n), \quad n = 0, \pm 1, \pm 2, \pm 3, \dots \quad (10)$$

As the dispersion characteristics are almost determined by the 0th harmonic at low frequency band, the parameters of the 0th spatial harmonic such as phase velocity v_{ph0} , slow-wave coefficient p_{10} , group velocity v_{g0} and electric length τ_0 , are shown as (11) according to (9).

$$\left\{ \begin{aligned} v_{ph0}(\gamma_0) &= \frac{\omega_0(\gamma_0)}{\beta_0(\gamma_0)} = \frac{\cot(\psi)}{\sqrt{\varepsilon_i \mu_i}} \left[\frac{g}{T_0(\gamma_0)} + g^2 \cot^2(\psi) \right]^{1/2} \\ v_{g0}(\gamma_0) &= \frac{d\omega_0(\gamma_0)}{d\beta_0(\gamma_0)}, \quad \tau_0 = l_0/v_{ph0}(\gamma_0) \\ p_{10}(\gamma_0) &= v_{ph0}(\gamma_0) \sqrt{\varepsilon_i \mu_i}, \quad (i = 1, 2, 3). \end{aligned} \right. \quad (11)$$

In (11), factor $g = \varepsilon_i \mu_i / \varepsilon_2 \mu_2$. Obviously, v_{ph0} , p_{10} , and τ_0 are functions to γ_0 in the first Brillouin zone of the dispersion curve, which show the effects of dispersion of helical slow-wave system on these important parameters. If the dielectric inside the system is continuous, the homogeneous dielectric corresponds to $g = 1$, otherwise, $g \neq 1$. Factor g shows the effects of dielectric discontinuity on the phase velocity and dispersion characteristics of the tape helix slow-wave system.

EFFECTS OF SUPPORT DIELECTRIC ON THE DISPERSION CHARACTERISTICS OF THE TAPE HELIX SLOW-WAVE SYSTEM

In order to study the effects of dielectric discontinuity on the dispersion characteristics, a tape helix slow-wave system was produced, according to the geometric structure in Figure 1. The unifilar tape helix and its nylon support are shown as Figure 2. The Helix was formed by a copper tape (thickness at 0.2 mm) winding around the cylindrical nylon support. The geometric parameters of the slow-wave system including two metal shields are shown in Table 1. De-ionized water filled in the PFL as filling dielectric, and its relative permittivity and permeability were $\varepsilon_{r1} = 81.5$ and $\mu_{r1} = 1$, respectively. The relative permittivity and permeability of nylon were $\varepsilon_{r2} = 4.5$ and $\mu_{r2} = 1$. The thickness of the support dielectric $d = r_2 - a$.

Dispersion Characteristics of the Spatial Harmonics

The periodical helical Blumlein type slow-wave system has periodical dispersion curve. Eq. (9) shows the dispersion relation in the first Brillouin zone when $-\pi < \beta_0 p < \pi$. By periodical displacements of the abscissa $\beta_0 p$, (10) presents the dispersion relation ω - βp of all the spatial harmonics as Figure 3 shows. When $-\pi < \beta_0 p < \pi$, the dispersion curve of the 0th harmonic consists of two symmetric lines that pass through the cross point of the coordinates. When the abscissa βp reaches $\pm \pi$, ω reaches its upper limit 2.263×10^8 rad/s. So, the pass frequency band of the designed helical Blumlein PFL is about [0, 36 MHz]. When $(2n-1)\pi < \beta p < (2n+1)\pi$, the dispersion curve in Figure 3 corresponds to the n th spatial harmonic, which has the same geometric characteristics as which of the 0th harmonic.

In order to analyze the effect of the dielectric discontinuity on the dispersion relation, the dispersion curve ω_1 - βp when $d = 0$ is also presented in Figure 3 for comparison. Geometric structure of the curve ω_1 - βp is almost the same as ω - βp . However, the slope of curve ω_1 - βp is smaller than which of the curve ω - βp . Pass band of the helical Blumlein PFL with no support dielectric ($d = 0$) is about [0, 27.6 MHz]. So, the conclusion is that dielectric discontinuity increases the frequency of the electromagnetic wave, and the pass band of the helical Blumlein PFL with dielectric

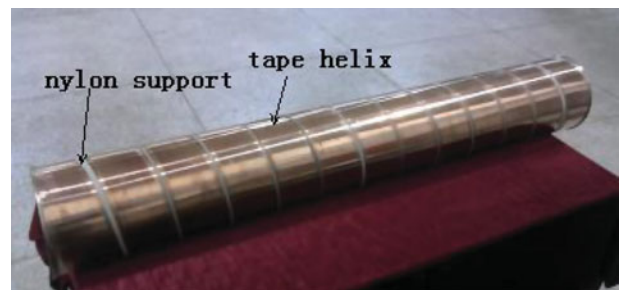


Fig. 2. (Color online) Helical slow-wave structure based on tape helix.

Table 1. Geometric parameters of the produced tape helix slow-wave system with nylon support

r_1 (m)	r_2 (mm)	r_3 (mm)	l_0 (m)	ψ (°)	p (mm)	δ (mm)	a (mm)
65	100	152	1.4	80.5	106	100	94

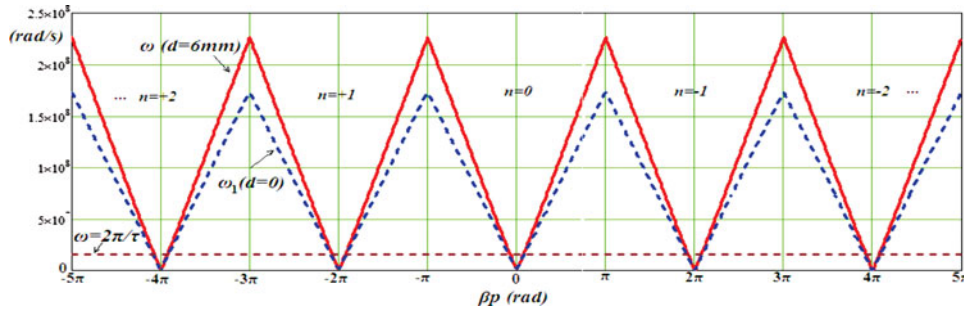


Fig. 3. (Color online) Effects of nylon support on the dispersion relation of the spatial harmonics in the slow-wave system.

discontinuity was also increased by a large extent. The effect of the dielectric discontinuity on dispersion relation of the helical Blumlein PFL is too obvious to be neglected.

For a 400 ns range PFL, the work band of ω is about $[0, 5\pi \times 10^6 \text{ rad/s}]$. The upper limit of the work band $2\pi/\tau = 5\pi \times 10^6 \text{ rad/s}$ is plotted in Figure 3 for comparison. Obviously, $2\pi/\tau$ is much less than the upper limit (36 MHz) of pass band of the PFL with 6 mm-thickness nylon support. As curve $\omega = 2\pi/\tau$ intersects with the dispersion curve $\omega - \beta p$ in every Brillouin zone, these spatial harmonics may contribute to the electromagnetic field in the PFL. However, the contributions of these harmonics are different from one another. Field coefficient G_{1n} in (6) can be selected as spatial harmonic coefficient to measure the proportion of the n^{th} harmonic in the electromagnetic field. By normalizing G_{1n} to G_{10} (coefficient of the 0^{th} harmonic), the normalized coefficient of the n^{th} harmonic is defined as $k_c(n) = G_{1n}/G_{10}$. Especially, $k_c(0) = 1$ corresponds to the 0^{th} harmonic. By comparison of these normalized coefficients of spatial harmonics, the largest ones can be selected out for analysis.

Actually, by calculating the normalized coefficient $k_c(n)$, $|k_c(n)| < 5 \times 10^{-4} \ll k_c(0) = 1$ when $n \neq 0$ in the work band of the PFL. It shows that the electromagnetic wave almost only consists of the 0^{th} harmonic. So the conclusion is that, the dispersion characteristics of the PFL are almost determined by the 0^{th} harmonic in the first Brillouin zone.

Figure 4 shows the phase velocities of spatial harmonics in the tape helix slow-wave system when $d = 0$ and $d = 6$ mm, respectively. The phase velocities of the 0^{th} harmonic almost keep constant when abscissa βp increases in the first Brillouin Zone ($-\pi < \beta p < \pi$). The phase velocities of the higher order harmonic are far smaller than which of the 0^{th} harmonic. In the first Brillouin Zone, phase velocity of the helical Blumlein PFL with 6 mm-thickness nylon support is much larger than the situation when there is no support dielectric ($d = 0$). When the 6 mm-thickness nylon support is

added to the system, phase velocity of the 0^{th} harmonic increases from $5.796 \times 10^6 \text{ m/s}$ to $7.573 \times 10^6 \text{ m/s}$ ($\beta p = \pi$).

So the conclusions are as follows (1) When the support dielectric with smaller permittivity ($\epsilon_{r2} < \epsilon_{r1}$) is introduced to the tape helix slow-wave system, the angular frequencies and phase velocities of spatial harmonics become much larger than the situation without a support, the “slow” wave effect of the system is weakened, and the electric length of the system is cut down. (2) When $\epsilon_{r2} > \epsilon_{r1}$, the angular frequencies and phase velocities decrease, the “slow” wave effect of the system is strengthened, and the electric length of the system increases.

Effects of Dielectric Discontinuity on the 0^{th} Harmonic

As the dispersion characteristics of the designed tape helix slow-wave system are completely determined by 0^{th} harmonic at the low frequency band in the first “Brillouin zone,”

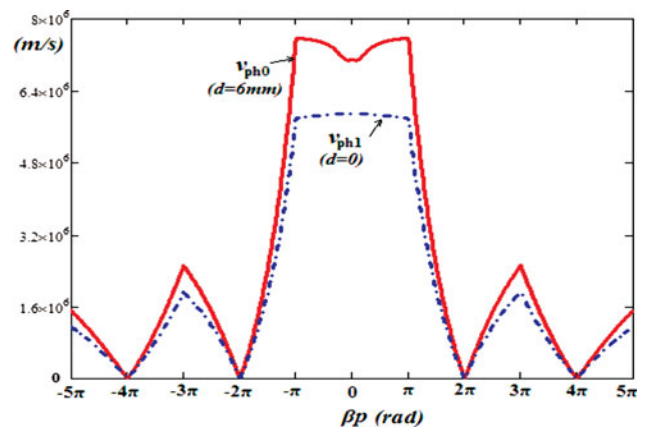


Fig. 4. (Color online) Effects of nylon support on the phase velocity of the spatial harmonics in the slow-wave system.

the dispersion characteristics of the 0th harmonic should be analyzed in detail. In order to describe the dispersion characteristics of the 0th harmonic in the first “Brillouin zone” more conveniently, ω - γ_0 coordinates are employed. By use of (9) and the parameters in Table 1, the dispersion curves of the 0th harmonic are shown in Figure 5 when d ranges from 0 to 6 mm. All of the ω - γ_0 curves pass through the cross point of the coordinates. Obviously, when d increases, the angular frequency ω and the slope of ω - γ_0 curves also increase. It proves that the dispersion characteristics of the 0th harmonic are sensitive to the thickness of nylon support. When $f < 10$ MHz ($\omega < 62.8$ rad/s), γ_0 ranges from -10 to 10 in Figure 5. And this low frequency band just corresponds to the work band of the square pulse accelerator (100 ns range) based on tape helix slow-wave system.

Figure 6 shows the v_{ph0} - γ_0 curves of the 0th harmonic in the slow-wave system with de-ionized water as filling dielectric. In Figure 6a, v_{ph0} is almost a constant at the low frequency band when γ_0 changes. That’s to say, the intrinsic dispersion of the system determines that v_{ph0} keeps constant to ω and β_0 at low frequency band, which corresponds to good dispersion characteristics. However, when d changes from 0 to 6 mm, v_{ph0} changes from 5.8×10^6 m/s to 7.08×10^6 m/s. Large increments of v_{ph0} shows that v_{ph0} and dispersion characteristics of the 0th harmonic are both sensitive to the thickness of nylon support. The conclusion is that support dielectric with larger thickness weaken the “slow” wave effect of the system more effectively.

In the designed tape helix slow-wave system with 6 mm thickness support, if the relative permittivity ϵ_{r2} of support dielectric changes, then factor g in (11) also changes, so that phase velocity of the 0th harmonic changes. Figure 6b shows the v_{ph0} - γ_0 curves when $\epsilon_{r2} = 1, 2.33, 4.5, 40, 81.5$, and 100 (or $g = 80, 35, 18, 2, 1$, and 0.8). Though v_{ph0} still almost keeps constant to γ_0 , it is sensitive to ϵ_{r2} (or the

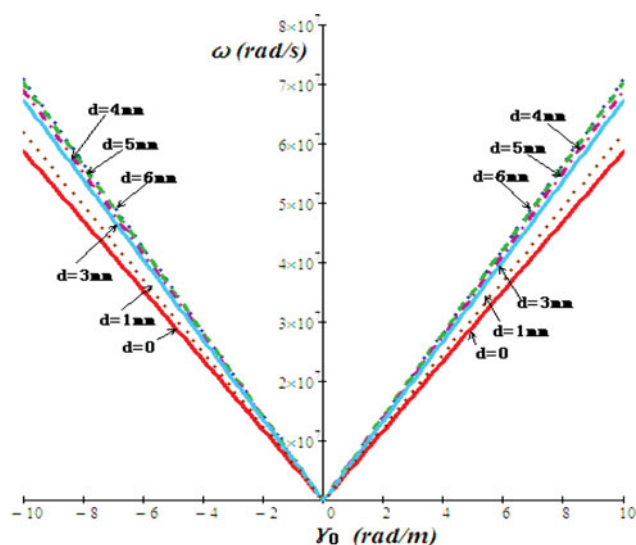


Fig. 5. (Color online) Dispersion curves of the 0th spatial harmonic when the thickness of the nylon support changes.

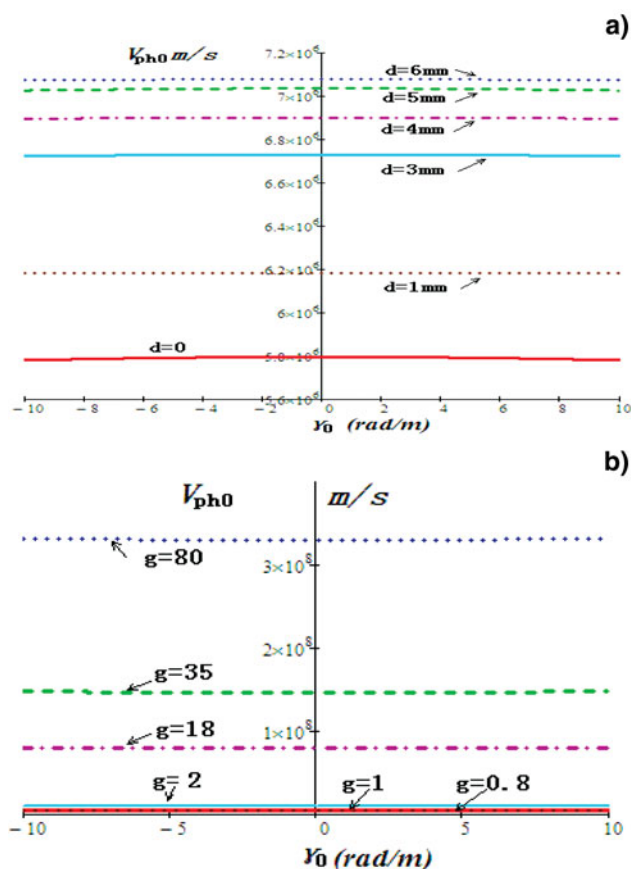


Fig. 6. (Color online) Effects of support dielectric on the phase velocity of the 0th spatial harmonic in the slow-wave system. (a) v_{ph0} vs. γ_0 when the thickness of the nylon support changes. (b) v_{ph0} vs. γ_0 when the factor g changes ($d = 6$ mm).

support dielectric categories). When $\epsilon_{r2} < \epsilon_{r1} = 81.5$, v_{ph0} is far larger than the situation without a support dielectric, and smaller ϵ_{r2} corresponds to larger v_{ph0} . On the other hand, v_{ph0} is smaller than the situation without a support dielectric when $\epsilon_{r2} > \epsilon_{r1} = 81.5$. So, the conclusion is that when the permittivity of support dielectric is smaller than that of the filling dielectric, phase velocity of the system is raised, and the “slow” wave effect is weakened. The reverse condition also corresponds to the reverse results.

Because the light speed c_i is different in different dielectrics, the slow-wave coefficient p_{10} ($k_{10} = v_{ph0}/c_i$) of the 0th harmonic is also different in the three specified regions of the system. Figure 7a shows the p_{10} - γ_0 curves in different regions when the thickness of nylon support is 6mm. p_{10} also keeps constant to γ_0 at low frequency band, which proves the dispersion has few effects on p_{10} . In the de-ionized water (regions I and III), $p_{10} = 0.215$; in nylon support (region II), $p_{10} = 0.05$; and when there is no support dielectric ($d = 0$), $p_{10} = 0.174$. Figure 7b shows the effects of dielectric thickness of nylon on p_{10} . Obviously, p_{10} in regions I and III increases from 0.174 ($d = 0$) to 0.211 ($d = 6$ mm) when d increases from 0 to 6 mm. The “slow” wave effect can be weakened by a large extent, though the increment of d is only

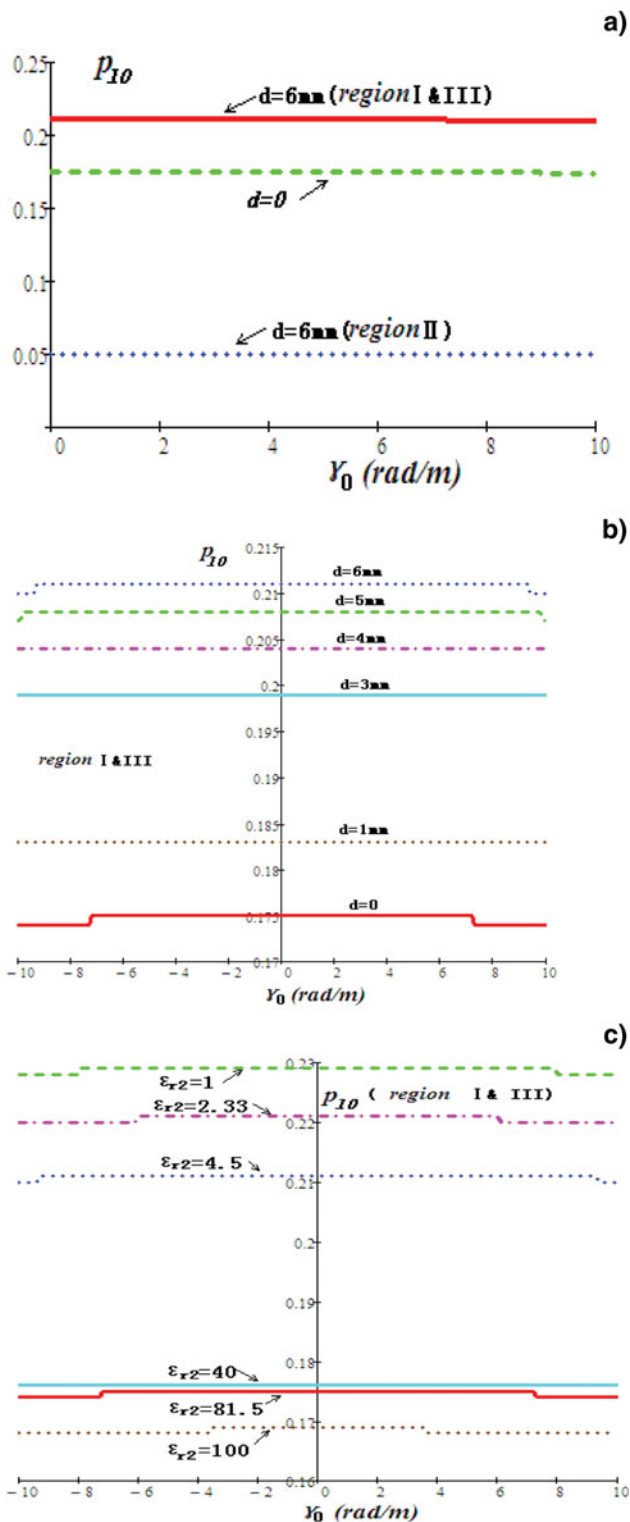


Fig. 7. (Color online) Effects of the support dielectric on the slow-wave coefficient p_{10} of the 0^{th} harmonic. (a) k_{10} in different regions of the slow-wave system when the thickness of nylon support $d = 6$ mm. (b) k_{10} in regions I and III when the thickness of the nylon support changes. (c) k_{10} in regions I and III when the support dielectric changes ($d = 6$ mm).

6 mm. If the category of support dielectric changes, p_{10} also changes obviously as shown in Figure 7c. When $\epsilon_{r2} = 1, 2.33, 4.5, 40, 81.5,$ and $100, p_{10}$ in regions I and III decreases

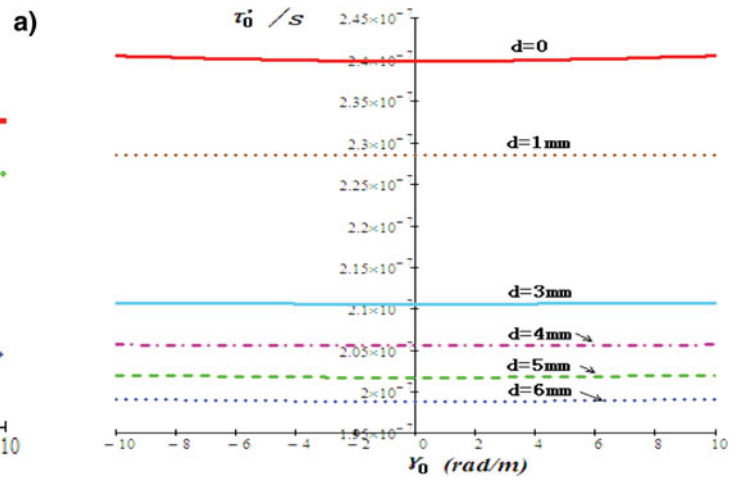


Fig. 8. (Color online) Electric length of the slow wave system based on the 0^{th} spatial harmonic when d changes.

from 0.229 to 0.169. It proves that when $\epsilon_{r2} > \epsilon_{r1} = 81.5,$ the “slow” wave effect of the tape helix system can be strengthened.

According to (14), the electric length of the 0^{th} harmonic in the system is shown in Figure 8 when d changes. In the low frequency band, electric length τ_0 which also describes the dispersion of the 0^{th} harmonic almost keeps the same when γ_0 increases. It proves that the intrinsic dispersion of the 0^{th} harmonic has few effects on τ_0 . However, the thickness of nylon support d does this job. τ_0 decreases from 240 ns to about 198 ns when d increases from 0 to 6 mm. When $d = 6$ mm, 3 mm, and 0, $\tau_0 = 198$ ns, 211 ns, and 240 ns, respectively. For the helical pulse forming line (several hundred ns range) based on the tape helix slow-wave system, its pulse duration $\tau_0 = 2\tau_0$. Then $\tau_0 = 396$ ns, 422 ns, and 480 ns when $d = 6$ mm, 3 mm, and 0, respectively. So the conclusion is that, nylon support in the tape helix system with filling dielectric as de-ionized water can bring in a similar “pulse shorting” effect to the helical pulse forming line.

ELECTROMAGNETIC SIMULATION AND EXPERIMENT

Electromagnetic Wave Simulation

Codes of CST microwave studio suite can be employed to simulate the electromagnetic waves travelling in the tape helix slow-wave system. According to the geometric structure in Figure 1 and parameters in Table 1, electromagnetic wave simulation model was set up as shown in Figure 9a to simulate voltage waves transmitting along the tape helix system. In order to calculate the electric lengths of the voltage waves in regions I and II ($r_1 < r < r_2$) and in region III ($r_2 < r < r_3$) simultaneously, port 1 ($r_2 < r < r_3, z = 0$) and port 2 ($r_1 < r < r_2, z = 0$) were set on one side of the tape helix system as two voltage input ports as shown in Figure 9a. On the other side of the system, port 3 ($r_2 < r <$

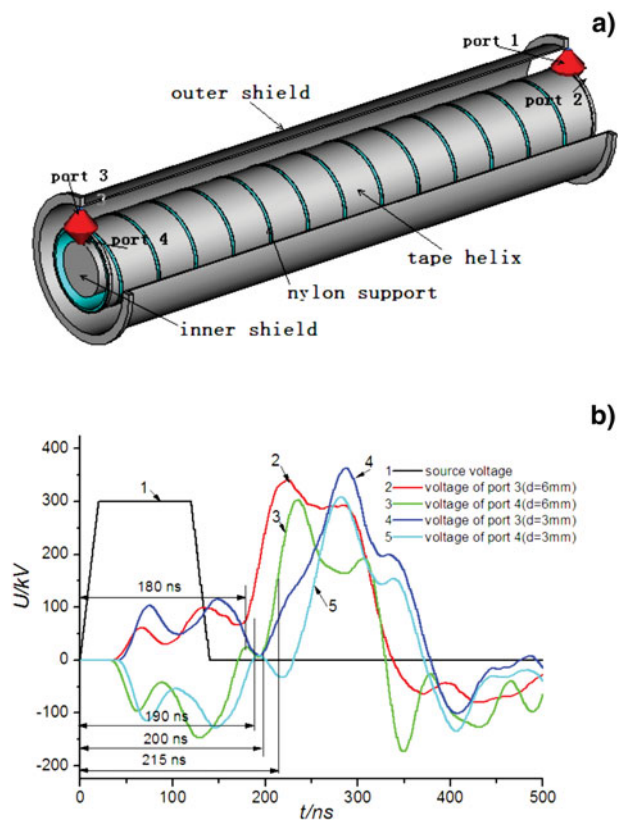


Fig. 9. (Color online) Microwave simulation model and its results of electric lengths. (a) Geometric model. (b) Output voltage signal of the two impedance ports.

$r_3, z = l_0$) and port 4 ($r_1 < r < r_2, z = l_0$) were set as two impedance ports to absorb the electric power. A trapezoid excitation voltage signal with rise time and fall time as 20 ns, flat top time as 100 ns and amplitude as 300 kV, was set to ports 1 and 2 to simulate the quasi-square pulse voltage wave. The time when the front edge of the excitation signal began was set as 0. The impedances of ports 3 and 4 were both set as 16 Ω . The relative permittivity of filling dielectric in the system (de-ionized water, $r_1 < r < a, r_2 < r < r_3$) is 81.5, and the nylon support thickness d was adjustable. The loss of conductors and dielectrics were neglected, for the PFL was short.

The electromagnetic waves excited by the voltage source in ports 1 and 2 transmitted through the tape helix system to ports 3 and 4, and then were absorbed completely if the impedances were matched. By calculating the intervals between the source voltage signal in ports 1 and 2 and output voltage signals in ports 3 and 4, the electric lengths of the pulse voltage waves in regions I and II and region III can be obtained. Electric length is the crucial parameter, which expresses the phase velocity and dispersion characteristics of the slow-wave system. So the theoretical analyses in this paper can be demonstrated by the demonstration of electric length.

In simulation, the thickness d of nylon support was set as 6 mm and 3 mm orderly, and the simulation results are

shown in Figure 9b. Curve 1 represents the excitation voltage signal in ports 1 and 2, curves 2 and 3 represent the output voltage signals in ports 3 and 4, respectively, when $d = 6$ mm. By contrast to curve 1, the times when the flat top started in curves 2 and 3 were about 180 ns and 190 ns later than the counterpart in curve 1, respectively. That's to say the electric lengths of the system were about 180 ns and 190 ns in region III and regions I and III, respectively. These results basically corresponded to the theoretical result 198 ns in Figure 8. When $d = 3$ mm, the electric lengths of the system were about 200 ns and 215 ns in region III and regions I and II, respectively, as shown in curves 4 and 5 in Figure 9b. These two results were also basically corresponded to theoretical result 211 ns in Figure 8.

Simulation results proved that thickness of the nylon support and the dielectric discontinuity do had obvious impacts on the electric length and dispersion characteristics of the tape helix slow-wave system.

Experiment

In order to testify the theoretical calculation and simulation result of the electric length that describes the dispersion characteristics of the tape helix slow-wave system at low frequency band, the experimental platform system of a pulse accelerator was set up, and its structure is shown in Figure 10a. The accelerator platform system consisted of a primary capacitor, a trigger, a pulse transformer, a spark gap, tape-helix Blumlein PFL, a dummy load and capacitive voltage dividers. Actually, the tape-helix Blumlein PFL was an electromagnetic wave transmission line based on the tape helix slow-wave system, which was very suitable for electric length tests.

The work principle of the accelerator platform system is as follows. The primary capacitor and pulse transformer with a closed amorphous core charged the tape helix slow-wave system to 10–20 kV, and the charge time was about 10–12 μ s. The self-breakdown spark gap with two spherical electrodes broke down when the charge voltage of the PFL reached its breakdown voltage. Then, the tape helix slow-wave system as an electromagnetic wave transmission line discharged to the dummy load through the spark gap, and the formed high-voltage quasi-square pulse voltage signal can be obtained on the dummy load.

In order to study the effects of support dielectric thickness on the electric length of the tape helix slow-wave system, two air-core cylindrical nylon supports with thickness of 6 mm and 3 mm were used in the experiments. When $d = 6$ mm, the voltage signal of the 32 Ω dummy load in experiment is shown in Figure 10b. The amplitude of the formed voltage pulse was 15 kV, flat top was 180–190 ns, and the pulse width at half maximum (PWHM) was 377 ns. The front edge of the formed pulse was long, due to the parasitic inductance and spark inductance in the platform system. Because the pulse duration of the tape-helix Blumlein PFL is twice as the electric length of the tape helix slow-wave system,

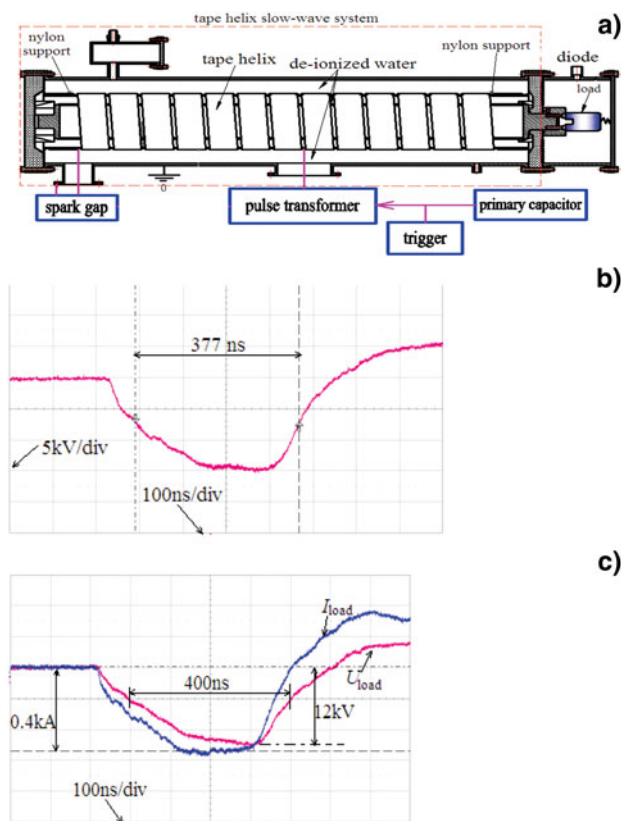


Fig. 10. (Color online) Experimental platform and results. (a) Experimental platform for the helical Blumlein PFL based on the tape helix slow-wave system. (b) Output voltage pulse signal of the 32 Ω dummy load formed by the tape helix slow-wave system ($d = 6$ mm). (c) Output voltage and current pulse signals of the 32 Ω dummy load formed by the tape helix slow-wave system ($d = 3$ mm).

the electric length was about 188.5 ns when $d = 6$ mm. When $d = 3$ mm, Figure 10c shows the voltage and current pulse signals of the 32 Ω dummy load formed by the tape-helix Blumlein PFL. Waveform of the 0.4 kA current pulse was in line with the 12 kV voltage pulse. The voltage pulse duration was about 400 ns (PWHM) in experiment, so the electric length was 200 ns when $d = 3$ mm. By Fourier transformation, the spectrum of the quasi-square voltage pulses shown in Figures 10b and 10c were in the band $f < 3$ MHz, which satisfied the low frequency band condition $f < 10$ MHz adopted in Figures 5–8.

When $d = 6$ mm and 3 mm, theoretical calculation of electric lengths of the tape helix slow-wave system was 198 ns and 211 ns, while the experimental results showed that the electric lengths were 188.5 ns and 200 ns, respectively. The theoretical calculation had relative errors as 5% and 5.5%, respectively.

Generally speaking, according to (11), the electric length is an important parameter which directly describes phase velocity and the dispersion characteristics (ω - γ_0) of the tape helix slow-wave system. The correspondence of experimental result and theoretical calculation of electric length demonstrated that, the dispersion analysis of the effects of

dielectric discontinuity on the slow-wave system was correct and reasonable. The nylon support in the tape helix slow-wave system with filling dielectric as de-ionized water did bring in a similar “pulse shorting” effect to the helical pulse forming line.

CONCLUSIONS

In this paper, the tape helix slow-wave system, including an inner and an outer metal shield, tape helix, nylon support and de-ionized water as filling dielectric, was studied. Effects of radial dielectric discontinuity caused by the support dielectric and filling dielectric on the dispersion characteristics were analyzed in detail for the first time. The dispersion relations, phase velocities, slow-wave coefficients and electric lengths of the spatial harmonics in the system were calculated. Results showed that, if the permittivity of support dielectric was smaller than that of filling dielectric, frequencies of the spatial harmonics in the system rose, phase velocities and slow-wave coefficients increased, the slow-wave effect of the system was weakened so that the previous electric length was shortened. The reverse condition corresponded to the reverse results, and the electromagnetic simulation also proved it. By use of the helical pulse forming line platform based on the studied tape helix slow-wave system, the electric lengths of the system were tested as 188.5 ns and 200 ns in experiment, when the thicknesses of nylon support were 6 mm and 3 mm, respectively. The theoretical calculation results 198 ns and 211 ns basically corresponded to experimental results, which only had relative errors as 5% and 5.5%. Experimental results demonstrated the similar “pulse shorting” effect in the tape helix slow-wave system caused by the dielectric discontinuity.

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