

Review of: Estrogen receptor β expression is associated with tamoxifen response in ER α -negative breast carcinoma

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Abstract of the original article:

Purpose: Endocrine therapies, such as tamoxifen, are commonly given to most patients with estrogen receptor (ER α)-positive breast carcinoma but are not indicated for persons with ER α -negative cancer. The factors responsible for response to tamoxifen in 5% to 10% of patients with ER α -negative tumors are not clear. The aim of the present study was to elucidate the biology and prognostic role of the second ER, ER β , in patients treated with adjuvant tamoxifen. **Experimental design:** We investigated ER β by immunohistochemistry in 353 stage II primary breast tumors from patients treated with 2 years adjuvant tamoxifen, and generated gene expression profiles for a representative subset of 88 tumors. **Results:** ER β was associated with increased survival (distant disease-free survival, $P = 0.01$; overall survival, $P = 0.22$), and in particular within ER α -negative patients ($P = 0.003$; $P = 0.04$), but not in the ER α -positive subgroup ($P = 0.49$; $P = 0.88$). Lack of ER β conferred early relapse (hazards ratio, 14; 95% confidence interval, 1.8–106; $P = 0.01$) within the ER α -negative subgroup even after adjustment for other markers. ER α was an independent marker only within the ER β -negative tumors (hazards ratio, 0.44; 95% confidence interval, 0.21–0.89; $P = 0.02$). An ER β gene expression profile was identified and was markedly different from the ER α signature. **Conclusion:** Expression of ER β is an independent marker for favorable prognosis after adjuvant tamoxifen treatment in ER α -negative breast cancer patients and involves a gene expression program distinct from ER α . These results may be highly clinically significant, because in the United States alone, approximately 10 000 women are diagnosed annually with ER α -negative/ER β -positive breast carcinoma and may benefit from adjuvant tamoxifen.

Review

For many years it has been appreciated that patients whose breast tumors are ER α negative in general do not benefit from tamoxifen or other

endocrine therapies. However, multiple studies have reported the presence of a small cohort of patients whose tumors are ER α negative but do respond to tamoxifen therapy [1]. The size of this cohort has been estimated as being less than 10% of patients with ER α -negative tumors. The reasons for an effect of tamoxifen in ER α -negative tumors have been unclear although some suggestions include false negative assays due to technical issues, or tamoxifen effects via an ER-independent mechanism(s) [2]. Results presented in this paper

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suggest that tamoxifen may have beneficial effects in ER α -negative but ER β -expressing breast cancer.

The role of ER β in human breast cancer is unclear. Previous studies aimed at gaining insight into the role of ER β in breast cancer by determining associations of ER β with clinical–pathological markers and responsiveness to adjuvant tamoxifen therapy, predominantly studied patients whose breast tumors were ER α positive [3]. Although a small number of patients in the previously investigated cohorts had ER α -negative tumors, the numbers were likely never high enough to allow stratification by ER α status. Furthermore, adequate evidence is now available that 15–17% of primary breast tumors are ER α negative but express detectable levels of ER β -like proteins [4]. The current study however was able to investigate 353 patients with stage II breast cancer who had been treated uniformly with 2 years of tamoxifen monotherapy without selection for ER status. Therefore, the cohort consisted of the usual unselected distribution of ER-positive and ER-negative breast tumors, i.e. 70% ER positive and 30% ER negative. This patient cohort was selected from two earlier trials of tamoxifen monotherapy: (1) one that compared 2- and 5-year tamoxifen treatment duration in postmenopausal women with stage II disease [5] and (2) one that compared 2 years of tamoxifen treatment with untreated premenopausal women with stage II disease [6]. The specific aims of the study were (1) to investigate ER β protein levels as a predictor of therapy response in both ER α -positive and ER α -negative breast cancer patients, uniformly treated for 2 years with adjuvant tamoxifen; (2) to identify a gene expression signature for ER β status compared with an ER α -associated expression signature.

ER β expression was determined immunohistochemically (IHC) using a cocktail of 14C8 (total ER β -like) and PPG5/10 (ER β 1) monoclonal antibodies. Both these antibodies have been extensively validated and used previously by multiple laboratories to determine ER β -like proteins by IHC in breast cancer [7]. While the rationale for using the mix was not given, all known ER β isoforms would be detected using this cocktail and no discrimination among isoforms can be made. The results therefore have to be interpreted in the context of total ER β -like protein determination. However, this distinction and its likely impact on the interpretation of the data are not discussed. ER β negativity was defined as no to weak staining (over background) in <20% of carcinoma cells. Whether nuclear or cytoplasmic staining or both were scored was not stated. Gene expression profiling of a representative set of 88 breast tumors was undertaken using cDNA microarrays with 27 648 spots produced in the SWEGENE Microarray Facility, Department of Oncology, Lund University.

Key findings:

- (1) In the whole cohort, ER β was significantly associated with disease-free survival ($P = 0.01$) with a trend to association with overall survival ($P = 0.22$). In subgroup analysis stratified by ER α status, ER β was significantly associated with disease-free survival ($P = 0.003$) and overall survival ($P = 0.04$) only in the ER α -negative group.
- (2) ER α was only an independent marker of better disease-free survival in the ER β -negative group.
- (3) An ER β gene expression profile was identified, which was different from the ER α gene expression signature.

The implications of this article are potentially exciting. ER α -negative breast cancers usually have a more aggressive biology and treatments for patients with ER α -negative breast cancer are usually confined to the more toxic chemotherapies. The precise identification of a subgroup within this cohort that would benefit from less-toxic endocrine therapies would be a significant benefit to breast cancer patients. However, there are several issues in this study that raise many questions.

Why only the 2 years of tamoxifen treatment group was used in the current study but not the 5-year group is not explained. Especially since the maximum benefit from tamoxifen therapy has been shown multiple times to require 5 years of tamoxifen therapy [8]. Maybe this is why no significant benefit of tamoxifen therapy is seen in the ER α -positive cohort as a whole in this study, when multiple other studies and meta-analyses of the studies have clearly established the predictive role of ER α status in endocrine therapy response. A similar analysis to the one published in this article on the 5-year tamoxifen-treated cohort [5] would be an interesting comparison.

Another result from the current study, which stands out as different compared to those previously published in the literature, is the finding that ER α positivity was associated with a greater number of lymph nodes with metastases ($P = 0.006$). Such a relationship has not been found previously in much larger studies [9]. Perhaps this indicates a bias within the cohort studied in the above paper. As well the ER α -negative PR-positive category is 10% in the current study, which is somewhat high compared to other studies and may indicate a cohort bias or different cut-off points for defining ER α positivity.

With respect to the ER β results obtained in the current study, the percent defined as ER β positive is similar to those of other published studies [3,4]. But it must be emphasized that there are no standards or clinically relevant cut-off values associated with the definition of ER β positivity or negativity and the rationale for the cut-off used in this study is not given.

An interesting finding in this study is the association of increased ER β expression with high percent of S-phase fraction (SPF). Generally, high SPF is associated with poorer clinical outcome [10], but in this case despite the association of ER β with high SPF, higher ER β is associated with better clinical outcome, which seems counter-intuitive and needs discussion. However, the positive association of ER β with SPF is consistent with the positive association of ER β with the proliferation marker Ki67 in ER α -negative breast tumors, found in several studies to date [11]. The meaning of this is unclear since increased expression of ER β 1 in cancer cells in culture generally inhibits proliferation and cell cycling [12,13]. With regard to this issue, since total ER β -like proteins are measured, it is unclear what the predominant ER β isoform is in the tumors in this study or in breast tumors in vivo generally, or if the relative expression of ER β isoforms at the protein level changes between ER α -positive and ER α -negative tumors [14].

Overall, the current study is different from the majority of other published studies where an association of higher ER β -like protein expression with better clinical outcome with tamoxifen in general is found in breast cancer cohorts that are exclusively or predominantly ER α positive [3], and where ER α -positive status is the major predictor of treatment response to tamoxifen [1]. These apparent discrepancies require discussion.

This study is the first to identify an ER β gene expression profile in human breast tumors, and not surprisingly [14] it is distinct from the ER α gene expression signature. However, the lack of validation of any candidate ER β -associated gene markers in breast tumors identified in this study using other approaches, together with the lack of discussion of any common (or lack thereof) ER β -associated gene markers found in other systems [15,16] leaves the reader with little insight into the potential value of this expression profile. In addition, identification of differences between gene expression profiles for ER β -positive vs. ER β -negative tumors that are also ER α negative, if any, would have been relevant to the findings of the current study.

However exciting this study is, it requires replication in other cohorts by other groups retrospectively, as well as prospectively.

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