

## SOME RESULTS ON SYMMETRICALLY-PRESENTED GROUPS

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Necessary and sufficient conditions are found on an ideal  $\alpha \triangleleft \mathbb{Z}[x]$  for the additive group  $[\alpha]_+$  of  $\mathbb{Z}[x]/\alpha$  to be finite and cyclic. As a consequence, the abelianizations of certain cyclically-presented groups are computed explicitly.

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### 0. Introduction

Let  $n \in \mathbb{N}$  and let  $F_n$  be the free group on  $n$  symbols  $x_1, \dots, x_n$ . Let  $S_n$  be the symmetric group on  $\{1, \dots, n\}$  and, for  $\sigma \in S_n$ , consider the automorphism of  $F_n$  given by  $x_i \mapsto x_{\sigma(i)}$ ,  $1 \leq i \leq n$ , which we again denote by  $\sigma$ . Now for any word  $w \in F_n$  and any subgroup  $\Gamma \leq S_n$ , we define a group  $G_n(w, \Gamma)$  by the presentation

$$G_n(w, \Gamma) = \langle x_1, \dots, x_n \mid \sigma(w), \sigma \in \Gamma \rangle = F_n/N, \quad (0.1)$$

where  $N$  is the normal closure of  $\{\sigma(w) : \sigma \in \Gamma\}$  in  $F_n$ , and call groups of this type *symmetrically presented*.

The chief aims of this paper are to provide criteria for the abelianization  $G_n(w, \Gamma)^{ab}$  of  $G_n(w, \Gamma)$  to be finite and finite cyclic, respectively. We remark that special cases of  $G_n(w, \Gamma)$  have been discussed by numerous authors, and cite as examples (all with  $\Gamma = \langle (1\ 2 \dots n) \rangle$ ):

(i) the 3-manifold groups [9]

$$K_n, \quad w = x_1 x_3 x_2^{-1}, \quad (0.2)$$

(ii) the Fibonacci groups of Conway [3, 4, 8]

$$F(r, n), \quad w = x_1 x_2 \dots x_r x_{r+1}^{-1}, \quad (0.3)$$

(iii) and their generalizations

$$F(r, s, c, n), \quad w = x_1 x_2 \dots x_r x_{s+1}^{-c}, \quad (0.4)$$

where all subscripts are reduced modulo  $n$  to lie in the set  $\{1, 2, \dots, n\}$ . (For the special case  $c = 1$  see [2]).

For  $u \in F_n$ , let  $\bar{u}$  denote the image of  $u$  in  $F_n^{ab}$ , so that  $F_n^{ab}$  is the free abelian group on  $\bar{x}_1, \dots, \bar{x}_n$ . Denoting the binary operation in  $F_n^{ab}$  by  $+$ , we have that  $F_n^{ab} \cong \mathbb{Z}^n$  as a  $\mathbb{Z}$ -module. Moreover,  $F_n^{ab}$  has an obvious (left)  $\mathbb{Z}\Gamma$ -module structure induced by the permutation action of  $\Gamma$  on  $x_1, \dots, x_n$ , and then

$$G_n(w, \Gamma)^{ab} \cong_{\mathbb{Z}} F_n^{ab} / \mathbb{Z}\Gamma \cdot \bar{w}. \tag{0.5}$$

We give in Section 1 some general criteria for the finiteness of  $G_n(w, \Gamma)^{ab}$  based on the isomorphism (0.5). Roughly speaking, there is a sharp dichotomy between the cases  $\Gamma$  transitive and  $\Gamma$  intransitive. Specifically, we show that when  $\Gamma$  is intransitive  $G_n(w, \Gamma)^{ab}$  is infinite for every  $w \in F_n$ , while in the transitive case  $G_n(w, \Gamma)^{ab}$  is finite for ‘‘almost all’’  $w \in F_n$ . In Section 2, we consider the case where  $\Gamma$  is transitive abelian, and show that the structure of  $G_n(w, \Gamma)^{ab}$  is that of the additive group  $[\mathfrak{a}]_+$  of  $\mathbb{Z}[t_1, \dots, t_k]/\mathfrak{a}$  for some ideal  $\mathfrak{a}$  in the polynomial ring  $\mathbb{Z}[t_1, \dots, t_k]$  in  $k$  (commuting) independent variables  $t_1, \dots, t_k$  over  $\mathbb{Z}$ . In Section 3 we give a simple necessary and sufficient condition for  $[\mathfrak{a}]_+$  to be finite cyclic, while in Section 4 we illustrate our results in the case where  $\Gamma$  is cyclic with specific calculations for examples (0.2) and (0.4) above.

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### 1. Finiteness criteria

Throughout this section, ‘‘ $R$ -module’’ will mean ‘‘left  $R$ -module’’. Various standard results from representation theory will be quoted; for these [5] is a convenient reference.

We start from the isomorphism (0.5), and for convenience identify  $\bar{x}_i$  with the row vector  $(0, \dots, 0, 1, 0, \dots, 0)$ , having 1 in the  $i$ th column, in  $\mathbb{Z}^n$ . Let  $E = \sum_{i=1}^n \mathbb{Z}\bar{x}_i$ , and for subrings  $R$  of  $\mathbb{C}$  let  $R \cdot E = \sum_{i=1}^n R\bar{x}_i$ ; this has a natural  $R\Gamma$ -module structure induced by the action of  $\Gamma$  on  $\{x_1, \dots, x_n\}$ .

- Lemma 1.1.** (i)  $G_n(w, \Gamma)^{ab}$  is finite if and only if  $\mathbb{Q} \cdot E = \mathbb{Q}\Gamma \cdot \bar{w}$ .  
 (ii)  $\mathbb{Q} \cdot E = \mathbb{Q}\Gamma \cdot \bar{w}$  implies that  $\mathbb{C} \cdot E = \mathbb{C}\Gamma \cdot \bar{w}$ .

**Proof.** Of these, only (i) requires proof. Suppose first that  $G_n(w, \Gamma)^{ab}$  is finite, of order  $k$  say. Then  $k\bar{x}_i \in \mathbb{Z}\Gamma \cdot \bar{w}$  for  $i \leq n$ , so that  $\bar{x}_1, \dots, \bar{x}_n \in \mathbb{Q}\Gamma \cdot \bar{w}$ , whence  $\mathbb{Q} \cdot E = \mathbb{Q}\Gamma \cdot \bar{w}$ . For the converse, if  $\mathbb{Q} \cdot E = \mathbb{Q}\Gamma \cdot \bar{w}$ , then  $\bar{x}_i = \lambda_i \bar{w}$  with  $\lambda_i \in \mathbb{Q}\Gamma$ ,  $1 \leq i \leq n$ . Choosing  $d \in \mathbb{N}$  such that  $d\lambda_1, \dots, d\lambda_n \in \mathbb{Z}\Gamma$ , we see that  $G_n(w, \Gamma)^{ab}$  is finite, of order dividing  $d^n$ .  $\square$

**Lemma 1.2.** If  $\Gamma$  is intransitive, then  $G_n(w, \Gamma)^{ab}$  is infinite for all  $w \in F_n$ .

**Proof.** In view of Lemma 1.1, it is enough to show that  $\mathbb{C} \cdot E$  is not a cyclic  $\mathbb{C}\Gamma$ -module, that is, not of the form  $\mathbb{C}\Gamma \cdot \xi$  for  $\xi \in \mathbb{C} \cdot E$ .

Suppose for a contradiction that  $\Gamma$  is intransitive but  $\mathbb{C} \cdot E$  is cyclic, so that there is a  $\mathbb{C}\Gamma$ -epimorphism  $\pi: \mathbb{C}\Gamma \rightarrow \mathbb{C} \cdot E$ . It follows that

$$1 = (\chi_1, \chi_r) \geq (\chi_1, \chi_e),$$

where  $\chi_r, \chi_e, \chi_1$  are the characters of  $\mathbb{C}\Gamma, \mathbb{C} \cdot E$ , and the trivial  $\mathbb{C}\Gamma$ -module, respectively. But  $(\chi_1, \chi_e)$  is just the number of orbits of  $\Gamma$  on  $\{1, 2, \dots, n\}$ , and this is greater than 1 by hypothesis. □

We assume henceforth that  $\Gamma$  is transitive and fix the following notation:

$$H = \text{stab}(x_1), \quad \Gamma = \bigcup_{i=1}^n \gamma_i H,$$

$$e_H = |H|^{-1} \sum_{h \in H} h \in \mathbb{Q}\Gamma, \quad (e_H^2 = e_H).$$

**Lemma 1.3.** *For transitive  $\Gamma$ ,  $\mathbb{Q} \cdot E \cong \mathbb{Q}\Gamma \cdot e_H$  and so  $\mathbb{Q} \cdot E$  is a cyclic  $\mathbb{Q}\Gamma$ -module.*

**Lemma 1.4.** *Let  $\Gamma$  be transitive and put  $M = \mathbb{Q}\Gamma \cdot e_H$ . Then (i) the elements  $v_i = \gamma_i e_H$  form a  $\mathbb{Q}$ -basis for  $M$ , and (ii) there is a non-zero homogeneous polynomial  $P_\Gamma = P_\Gamma(t_1, \dots, t_n) \in \mathbb{Q}[t_1, \dots, t_n]$  of degree  $n$  in  $n$  commuting indeterminates such that, for  $q_i \in \mathbb{Q}$ ,  $1 \leq i \leq n$ ,  $\mathbb{Q}\Gamma \cdot \sum_{i=1}^n q_i v_i = M$  whenever  $P_\Gamma(q_1, \dots, q_n) \neq 0$ .*

**Proof.** Part (i) is clear. For (ii), let  $L(\mu): M \rightarrow M$  be the  $\mathbb{Q}$ -linear map sending  $v_i$  to  $v_i \mu$ ,  $1 \leq i \leq n$ ,  $\mu \in M$ . For  $q_1, \dots, q_n \in \mathbb{Q}$ , we have

$$L\left(\sum_{i=1}^n q_i v_i\right) = \sum_{i=1}^n q_i L(v_i).$$

Now define

$$P_\Gamma(t_1, \dots, t_n) = \det\left(\sum_{i=1}^n t_i L(v_i)\right) \in \mathbb{Q}[t_1, \dots, t_n].$$

Then

$$\det\left(\sum_{i=1}^n q_i L(v_i)\right) = \det\left(L\left(\sum_{i=1}^n q_i v_i\right)\right) = P_\Gamma(q_1, \dots, q_n)$$

whenever  $q_1, \dots, q_n \in \mathbb{Q}$ . Clearly  $\det(L(e_H)) = 1$ , so that  $P_\Gamma \neq 0$  in  $\mathbb{Q}[t_1, \dots, t_n]$ . Let

$q_1, \dots, q_n \in \mathbb{Q}$  with  $P_\Gamma(q_1, \dots, q_n) \neq 0$  and set  $\mu = \sum_{i=1}^n q_i v_i$ . Then  $\det(L(\mu)) \neq 0$  so that  $L(\mu)$  is surjective and  $M = M\mu$ . Hence

$$\mathbb{Q}\Gamma \cdot \mu \subseteq M = M\mu \subseteq \mathbb{Q}\Gamma \cdot \mu,$$

that is,  $M = \mathbb{Q}\Gamma \cdot \mu$  as required. That  $P_\Gamma$  is homogeneous of degree  $n$  is clear from the definition. □

**Corollary 1.5.** *For transitive  $\Gamma$ , there is a non-zero homogeneous polynomial  $P_\Gamma^*$  of degree  $n$  in  $n$  variables such that  $G_n(w, \Gamma)^{ab}$  is finite whenever  $P_\Gamma^*(r_1, \dots, r_n) \neq 0$ , where  $\bar{w} = \sum_{i=1}^n r_i \bar{x}_i$ .*

We remark that, in any given case of interest, there is in principle no difficulty in calculating the polynomial  $P_\Gamma^*$ . Indeed, taking  $\bar{x}_i$  as  $\gamma_i e_H$  in  $\mathbb{Q}\Gamma \cdot e_H$ , where  $\gamma_i x_1 = x_i$ ,  $P_\Gamma^*$  is just the  $P_\Gamma$  of Lemma 1.4.

**2. Transitive abelian  $\Gamma$**

The results of the previous section can be greatly refined and simplified in the favourable special case when  $\Gamma$  is transitive and *abelian*, and we make this assumption now.

In this case, it is clear that  $H = \{1\}$ ,  $|\Gamma| = n$ , and the action of  $\Gamma$  on  $\{x_1, \dots, x_n\}$  is equivalent to the (left) regular representation of  $\Gamma$  on itself. We can thus index the  $x$ 's by the elements of  $\Gamma$ , so that

$$\gamma x_\delta = x_{\gamma\delta}, \text{ for all } \gamma, \delta \in \Gamma$$

and  $E \cong \mathbb{Z}\Gamma$  as  $\mathbb{Z}\Gamma$ -modules. Now write

$$\bar{w} = \sum_{\gamma \in \Gamma} r(\gamma) \bar{x}_\gamma \in G_n(w, \Gamma)^{ab}$$

and associate with  $w$  the element

$$w^* = \sum_{\gamma \in \Gamma} r(\gamma) \gamma \in \mathbb{Z}\Gamma.$$

As  $E \cong \mathbb{Z}\Gamma$ , our isomorphism (0.5) can in this case be expressed as

$$G_n(w, \Gamma)^{ab} \cong \frac{\mathbb{Z}\Gamma}{\mathbb{Z}} / \mathbb{Z}\Gamma \cdot w^*. \tag{2.1}$$

In the first place, (2.1) yields a simple formula for the order of  $G_n(w, \Gamma)^{ab}$ . For  $\alpha \in \mathbb{Q}\Gamma$ , let  $L_\alpha$  be the  $\mathbb{Q}$ -linear map from  $\mathbb{Q}\Gamma$  to itself sending  $\gamma \in \Gamma$  to  $\alpha\gamma$ , and let  $N(\alpha)$  be the determinant of  $L_\alpha$ . It is clear that  $N(\alpha) \in \mathbb{Q}$  and is non-zero if and only if  $\alpha$  is a unit in

$\mathbb{Q}\Gamma$ . We can evaluate  $N(\alpha)$  using the characters of  $\Gamma$ , as follows. Let  $\Gamma^* = \text{Hom}(\Gamma, \mathbb{C}^*)$  be the character group of  $\Gamma$ . For  $\chi \in \Gamma^*$ , we extend  $\chi$  to a  $\mathbb{Q}$ -algebra homomorphism from  $\mathbb{Q}\Gamma$  to  $\mathbb{C}$  by defining

$$\chi\left(\sum_{\gamma \in \Gamma} a_\gamma \gamma\right) = \sum_{\gamma \in \Gamma} a_\gamma \chi(\gamma), \quad \text{all } a_\gamma \in \mathbb{Q}.$$

Then it is easily checked that

$$N(\alpha) = \prod_{\chi \in \Gamma^*} \chi(\alpha). \tag{2.2}$$

Now consider (2.1). If  $N(w^*) = 0$ , then  $\mathbb{Q}\Gamma \cdot w^* \neq \mathbb{Q}\Gamma = E$ , and so  $G_n(w, \Gamma)^{ab}$  is infinite; otherwise,  $N(w^*)$  is a non-zero integer (since it is an algebraic integer in  $\mathbb{Q}$ ), and  $|N(w^*)|$  is the order of the (finite) group  $G_n(w, \Gamma)^{ab}$ , which can thus be evaluated using (2.2).

These calculations do not, of course, say anything about the *structure* of  $G_n(w, \Gamma)^{ab}$ . For this purpose, it is generally more convenient to present  $\mathbb{Z}\Gamma$  as a quotient of a polynomial ring over  $\mathbb{Z}$ . To do this, suppose that  $\Gamma$  is the direct product of  $k$  finite cyclic groups of orders  $n_1, \dots, n_k$ , so that  $n = \prod_{i=1}^k n_i$ . Let  $t_1, \dots, t_k$  be independent (commuting) variables over  $\mathbb{Z}$ . Then we clearly have a ring epimorphism

$$\pi: \mathbb{Z}[t_1, \dots, t_k] \rightarrow \mathbb{Z}\Gamma$$

with  $\text{Ker } \pi = (t_1^{n_1} - 1, \dots, t_k^{n_k} - 1)$ . Choosing  $f \in \mathbb{Z}[t_1, \dots, t_k]$  such that  $\pi(f) = w^*$ , it follows from (2.1) that

$$G_n(w, \Gamma)^{ab} \cong_{\mathbb{Z}} \mathbb{Z}[t_1, \dots, t_k] / \mathfrak{a}, \tag{2.3}$$

where

$$\mathfrak{a} = (t_1^{n_1} - 1, \dots, t_k^{n_k} - 1, f). \tag{2.4}$$

This leads to the following general problem: if  $R = \mathbb{Z}[t_1, \dots, t_k]$  and  $\mathfrak{a} \triangleleft R$ , then what is the structure of  $[\mathfrak{a}]_+$ , the additive group of  $R/\mathfrak{a}$ ? In the next section, we find simple necessary and sufficient conditions for  $[\mathfrak{a}]_+$  to be finite cyclic.

### 3. Cyclic quotients of $\mathbb{Z}[t_1, \dots, t_k]$

This section will be devoted to proving the following theorem, which is the main result of this paper.

**Theorem 3.1.** *Let  $k \in \mathbb{N}$ ,  $R = \mathbb{Z}[t_1, \dots, t_k]$ , and  $\mathfrak{a} \triangleleft R$ . Then the additive group  $[\mathfrak{a}]_+$  of  $R/\mathfrak{a}$  is finite and cyclic if and only if the following two conditions hold.*

- (i)  $a \cap \mathbb{Z} \neq 0$ , and
- (ii) for all primes  $p \in \mathbb{N}$ , either  $a + pR = R$  or  $a + pR = (p, t_1 - a_1, \dots, t_k - a_k)$  for some  $(a_1, \dots, a_k) \in \mathbb{Z}^k$  depending on  $p$ .

**Proof.** We first prove necessity. Suppose that  $[a]_+$  is cyclic of order  $v \in \mathbb{N}$ . Then  $vR \subseteq a$ , so that  $0 \neq v\mathbb{Z} \subseteq a \cap \mathbb{Z}$  and (i) holds. Now let  $p \in \mathbb{N}$  be prime. Then  $[a + pR]_+$  is a quotient of  $[a]_+$  and is thus finite and cyclic. Suppose that  $a = (f_1, \dots, f_s)$  and let  $\vec{f}_i$  be the mod  $p$ -reduction of  $f_i$ ,  $1 \leq i \leq s$ . There is a ring isomorphism

$$R/a + pR \cong \mathbb{F}_p[t]/(\vec{f}_1, \dots, \vec{f}_s), \tag{3.1}$$

where  $\mathbb{F}_p$  is the field  $\mathbb{Z}/p\mathbb{Z}$  and  $t = (t_1, \dots, t_k)$ , induced by reduction modulo  $p$ . Since the right-hand side of (3.1) is an  $\mathbb{F}_p$ -algebra, its additive group has exponent  $p$  or 1. Thus,  $R/a + pR$  is either 0 or  $\mathbb{F}_p$ , that is,  $a + pR$  is equal either to  $R$  or to  $(p, t_1 - a_1, \dots, t_k - a_k)$  for some  $(a_1, \dots, a_k) \in \mathbb{Z}^k$ . Thus, (ii) holds.

The proof of sufficiency is rather harder. We assume that (i) and (ii) both hold, with  $a \cap \mathbb{Z} = m\mathbb{Z}$  say,  $m \in \mathbb{N}$ , so that  $mR \subseteq a$ . We factorise  $m = \prod_j p_j^{e_j}$  with distinct primes  $p_j \in \mathbb{N}$ , and all  $e_j \in \mathbb{N}$ , and put  $b_j = a + p_j^{e_j}R$ . Then  $b_i + b_j = R$  for  $i \neq j$ , while

$$a \subseteq \bigcap_j b_j = \prod_j b_j \subseteq a + mR = a.$$

Hence,  $a = \prod_j b_j$ . The Chinese remainder theorem now gives

$$R/a \cong \prod_j R/b_j, \tag{3.2}$$

and

$$[a]_+ = \bigoplus_j [b_j]_+. \tag{3.3}$$

Since  $p_j^{e_j}R \subseteq b_j$ ,  $[b_j]_+$  is a  $p_j$ -torsion group (possibly trivial), so that  $[a]_+$  is finite cyclic if and only if each  $[b_j]_+$  is. We now show that condition (ii) guarantees the latter.

Let  $p$  be any one of the  $p_j$ , and let  $e = e_j \geq 1$  and  $b = a + p^eR = b_j$ . First of all we must have  $a + pR \neq R$ . For otherwise we can solve  $1 = a + pr$ ,  $a \in a$ ,  $r \in R$ , and then  $p^{-1}m = p^{-1}ma + mr \in a$  since  $m \in a$ , which contradicts  $a \cap \mathbb{Z} = m\mathbb{Z}$ . We thus have

$$a + pR = (p, t_1 - a_1, \dots, t_k - a_k)$$

for some  $a = (a_1, \dots, a_k) \in \mathbb{Z}^k$ . We now approach the core of the proof, which is embodied in the following assertion.

- (\*) For each  $n \in \mathbb{N}$ , there is a corresponding  $b = b(n) \equiv a \pmod{p}$  in  $\mathbb{Z}^k$  such that  $(p^n, t_1 - b_1, \dots, t_k - b_k) \subseteq a + p^nR$ .

Let us first see how this yields the result. Letting  $c=(p^n, t_1-b_1, \dots, t_k-b_k)$ , we see that  $[a+p^nR]_+$  is a quotient of  $[c]_+$ , and since  $R/c \cong \mathbb{Z}/p^n\mathbb{Z}$ , it follows that  $[c]_+$  is cyclic of order  $p^n$ . Taking  $n=e=e_j$ ,  $p=p_j$ , we deduce that  $[b_j]_+$  is cyclic of order dividing  $p_j^{e_j}$ , and then the result follows from (3.3).

It remains to prove assertion (\*). This is done by induction on  $n$ , taking  $\mathbf{b}(1)=\mathbf{a} \in \mathbb{Z}^k$  when  $n=1$ . Now let  $n \geq 1$  and suppose we have some  $\mathbf{b} \equiv \mathbf{a} \pmod{p}$  in  $\mathbb{Z}^k$  such that  $(p^n, t_1-b_1, \dots, t_k-b_k) \subseteq a+p^nR$ . By applying a suitable  $\mathbb{Z}$ -automorphism of  $R$ , we see that without loss of generality we may take  $\mathbf{b} = 0 \equiv \mathbf{a} \pmod{p}$ , in which case,

$$a+pR=(p, t_1, \dots, t_k) \supseteq a+p^nR \supseteq (p^n, t_1, \dots, t_k).$$

Now let  $\mathbf{a}=(f_1, \dots, f_s)$ ,  $f_i \in R$ , and let  $\mathbf{b}$  be the ideal  $(t_1, \dots, t_k)$  in  $R$ . Then there exist  $h_i, g_{ij} \in R$  such that

$$f_i = ph_i + \sum_{j=1}^k g_{ij}t_j, \quad 1 \leq i \leq s. \tag{3.4}$$

In particular,

$$f_i \equiv \phi_i \pmod{(p, \mathbf{b}^2)}, \quad 1 \leq i \leq s, \tag{3.5}$$

where  $\phi_i = \sum_{j \leq k} g_{ij}(\mathbf{0})t_j$ ; here  $\theta(\mathbf{0}) \in \mathbb{Z}$  means the evaluation of  $\theta \in R$  at  $\mathbf{t} = \mathbf{0}$ . Clearly,

$$a+pR=(p, \mathbf{b})=(p, \phi_1, \dots, \phi_s, \mathbf{b}^2). \tag{3.6}$$

A simple calculation involving the comparison of polynomials of given total degree now shows that

$$t_q \equiv \sum_{i=1}^s e_{qi}\phi_i \pmod{p}, \quad 1 \leq q \leq k, \tag{3.7}$$

for some  $e_{qi} \in \mathbb{Z}$ . Let  $\mathbf{E}$  be the  $k \times s$  matrix  $(e_{qi})$  and  $\mathbf{G}$  the  $s \times k$  matrix  $(g_{ij}(\mathbf{0}))$ . Then (3.7) implies that

$$\mathbf{EG} = \mathbf{I} + p\mathbf{V}, \tag{3.8}$$

where  $\mathbf{I}$  is the  $k \times k$  identity matrix and  $\mathbf{V}$  is some  $k \times k$  matrix over  $\mathbb{Z}$ , since the  $t_q$  are (algebraically) independent modulo  $p$ .

Now define, for  $1 \leq q \leq k$ ,  $\psi_q = \sum_{i=1}^s e_{qi}f_i \in a$ . It follows from (3.4) that

$$\psi_q = p \sum_{i=1}^s e_{qi}h_i + \sum_{i=1}^s \sum_{j=1}^k e_{qi}g_{ij}(\mathbf{0})t_j + \rho_q, \tag{3.9}$$

where  $\rho_q \in b^2$ . Now, by hypothesis,  $b \subseteq a + p^n R$ , so that  $a, pb, b^2 \subseteq a + p^{n+1} R$ . These facts, together with (3.8) and (3.9), imply that

$$t_q + p \sum_{i=1}^s e_{qi} h_i(0) \in a + p^{n+1} R, \quad 1 \leq q \leq k.$$

We now take  $b'_q = -p \sum_{i=1}^s e_{qi} h_i(0) \in p\mathbb{Z}$ ,  $1 \leq q \leq k$ , and deduce that  $p^{n+1}, t_1 - b'_1, \dots, t_k - b'_k \in a + p^{n+1} R$ , where  $b' \equiv a \equiv 0 \pmod{p}$  in  $\mathbb{Z}^k$ . This completes the proof of assertion (\*). □

**4. Cyclic  $\Gamma$ : two examples**

In the special case of cyclic  $\Gamma$ , which is the most frequently encountered in practice, it seems natural to call  $G_n(w, \Gamma)$  *cyclically presented*. For the examples mentioned in the introduction, we shall find necessary and sufficient conditions on the parameters for  $F(r, s, c, n)^{ab}$  to be finite, and describe the structure of  $K_n^{ab}$  precisely. The  $F(r, n)$  have been extensively studied, and their abelianizations form the subject of [6], [1], [7]; indeed the first of these contains a proof of part (i) of the following result for these groups.

**Proposition 4.1.** *Let  $\Gamma = \langle (12 \dots n) \rangle$ ,  $w \in F_n$ , and  $f(t)$  the exponent-sum polynomial of (2.4). Then*

- (i)  $G_n(w, \Gamma)^{ab}$  is finite if and only if the resultant  $\rho = f * g \neq 0$ , where  $g(t) = t^n - 1$ , and then its order is  $|\rho|$ , and
- (ii)  $G_n(w, \Gamma)^{ab}$  is finite cyclic if and only if in addition the highest common factor  $(\bar{f}, \bar{g})$  in  $\mathbb{F}_p[t]$  is linear for every prime  $p$  dividing  $|\rho|$ .

**Proof.** (i) A relation matrix for  $G_n(w, \Gamma)^{ab}$  is the circulant matrix  $C$  whose first row consists of the exponent sums  $e_i$  of  $x_i$  in  $w$ ,  $1 \leq i \leq n$ . Then the determinant of  $C$  is just the product of the values of  $f(x)$  on all  $n$ th roots of unity, that is,  $\pm \rho$ . Thus, the group is infinite if and only if  $\rho = 0$  and otherwise has order  $|\rho|$ .

(ii) We assume that  $\rho \neq 0$  and refer to the conditions of Theorem 3.1 with  $a = (f, g)$ . Since  $\rho \neq 0$ , (i) is automatic and (ii) splits into two cases.

- (a)  $p \nmid |\rho| \Leftrightarrow (\bar{f}, \bar{g}) = 1$  in  $\mathbb{F}_p \Leftrightarrow a + pR = R$ , where  $R = \mathbb{Z}[t]$ .
- (b)  $p \mid |\rho| \Leftrightarrow (\bar{f}, \bar{g}) = (t - a) \Leftrightarrow a + pR = (p, t - a)$ . □

For our first example, consider the group  $F(r, s, c, n)$  given by (0.4) with associated polynomial

$$f(t) = 1 + t + \dots + t^{r-1} - ct^s,$$

where  $r, s, c \in \mathbb{Z}$ ,  $r \geq 2$ . We seek necessary and sufficient conditions on the parameters for

this to vanish on an  $n$ th root of unity and consider first four cases depending on the value of  $c$ .

Case (i):  $c=r$ . Then  $f(1)=0$ .

Case (ii):  $c=0$  and  $(r,n)=h>1$ . Then  $f$  vanishes on a primitive  $h$ th root of unity.

Case (iii):  $c = \pm 1, \exists m|n, m > 1$ , with  $r \equiv 1 \pmod{m}$  and either  $s \equiv 0 \pmod{m}$  and  $c = 1$ , or  $s \equiv m/2 \pmod{m}$  and  $c = -1$ , with  $m$  even in the second case. Then  $f$  vanishes on a primitive  $m$ th root of unity.

Case (iv):  $c = (-1)^u, s = 1 + 3u, r \equiv 3 \pmod{6}$  and  $n \equiv 0 \pmod{6}$ . If  $\lambda$  is a primitive sixth root on unity, then

$$f(\lambda) = 1 + \lambda + \lambda^2 - (-1)^u \cdot 2 \cdot \lambda \cdot \lambda^{3u} = 1 - \lambda + \lambda^2 = 0.$$

**Proposition 4.2.** *The group  $F(r,s,c,n)^{ab}$  is infinite in the above four cases and finite otherwise.*

**Proof.** Only the second assertion requires proof, so we assume that  $c \neq r$  (case (i)). If  $c=0$  and  $r,n$  are coprime, then  $g_* f \neq 0$  and the group is finite. Thus, we may also assume that  $c \neq 0$  (case (ii)). Now let  $\lambda$  be a primitive  $m$ th root of unity with  $m|n$  and  $f(\lambda)=0$ . Then we claim that the parameters satisfy the conditions in case (iii) or case (iv). From  $f(\lambda)=0$  we obtain

$$\lambda^r - 1 = c\lambda^s(\lambda - 1), \tag{4.1}$$

whence,

$$c\lambda^s - 1 = \lambda^r(c\lambda^{s-r+1} - 1). \tag{4.2}$$

Since  $|\lambda|=1, |c\lambda^s - 1| = |c\lambda^{s-r+1} - 1|$ , and since  $c \neq 0$  we have

$$\lambda^{s-r+1} = \lambda^{\pm s}.$$

If  $\lambda^{s-r+1} = \lambda^s$ , then  $\lambda^{r-1} = 1$  and it follows from (4.2) that

$$(\lambda - 1)(c\lambda^s - 1) = 0.$$

But  $f(1) \neq 0$  so  $\lambda \neq 1$  and  $c\lambda^s = 1$ . This implies that  $c = \pm 1 = \lambda^s$ , which gives case (iii).

There remains the possibility that  $\lambda^{s-r+1} = \lambda^{-s}$ , which we have to reduce to case (iv) under the assumption that  $c \neq \pm 1$ . Thus,  $\lambda^{r-1} = \lambda^{2s}$  and

$$\frac{\lambda^{2s+1} - 1}{\lambda - 1} = c\lambda^s \tag{4.3}$$

using (4.1), with  $c \notin \{0, \pm 1, r\}$  and  $m = \text{ord } \lambda > 1$ . Let  $\text{ord } \lambda^{2s+1} = d|m$ . Taking norms from  $\mathbb{Q}(\lambda)$  to  $\mathbb{Q}$  in (4.3) we have

$$c^{\phi(m)}(-1)^{s\phi(m)} = (\Phi_d(1))^{\phi(m)/\phi(d)}/\Phi_m(1), \tag{4.4}$$

where  $\Phi_m$  is the  $m$ th cyclotomic polynomial and  $\phi$  is the Euler totient function.

Now let  $p$  be a prime dividing  $c$ ,  $p^t \parallel c$  say. Then  $p \mid \Phi_d(1)$  by (4.4) which implies that  $d = p^k$ ,  $k \geq 1$ , and  $\Phi_d(1) = p$ . Comparing powers of  $p$  in both sides of (4.4), we have

$$t\phi(m) \leq \phi(m)/\phi(d).$$

This forces  $t = 1$  and  $\phi(d) = 1$ . Since  $d = p^k$ , this means that  $p = 2$  and  $k = 1$ . Hence,  $c = \pm 2$  and  $\lambda^{2s+1} = -1$ . Substituting into (4.3), we obtain

$$2 = \pm 2\lambda^s(1 - \lambda). \tag{4.5}$$

Squaring this gives  $4 = -4\lambda^{-1}(1 - \lambda)^2$ , that is,  $\lambda^2 - \lambda + 1 = 0$ , so that  $m = \text{ord } \lambda = 6$ . It now follows from (4.5) that  $s \equiv 1 \pmod{3}$ ,  $s = 1 + 3u$  say, and that

$$\text{sgn } c = \lambda^{1+3u}(1 - \lambda) = \lambda(1 - \lambda)(-1)^u,$$

that is,  $\lambda(\lambda - 1) = (-1)^{u+1} \text{sgn } c$ . Thus  $(-1)^u = \text{sgn } c$ . Finally,  $\lambda^{2s} = \lambda^{r-1}$ , whence  $r - 1 \equiv 2s \equiv 2 \pmod{6}$ . This completes the verification of the conditions of case (iv).  $\square$

For our second example, we take the group  $K_n$  given by (0.2), with associated polynomial

$$f(t) = t^2 - t + 1 = \Phi_6(t),$$

the sixth cyclotomic polynomial; it is interesting to note that this same  $f$  is also associated with  $F(3, 1, 2, n)$  in the previous example. As above, let  $g(t) = t^n - 1$  and consider four cases.

- Case (i):  $n \equiv 0 \pmod{6}$ . Here  $\Phi_6 \mid g$ ,  $\rho = 0$  and  $K_n^{ab}$  is infinite.
- Case (ii):  $n \equiv \pm 1 \pmod{6}$ . Here,  $K_n^{ab}$  is trivial, and  $K_n$  is perfect.
- Case (iii):  $n \equiv 3 \pmod{6}$ . Here  $\rho = 4$  and  $\bar{f}$  is irreducible over  $\mathbb{F}_2$ . Its zeros thus belong to  $\mathbb{F}_4 \setminus \mathbb{F}_2$  and so have order 3. Thus they are both zeros of  $t^n - 1$  in this case. Hence, the highest common factor of  $\bar{f}$  and  $\bar{g}$  has degree 2 and  $K_n^{ab}$  is non-cyclic, namely  $C_2 \oplus C_2$ .
- Case (iv):  $n \equiv 2 \pmod{6}$ . Here  $\rho = 3$  and, modulo  $p = 3$ ,  $\bar{f} = (t + 1)^2$ . Since  $-1$  is a simple zero of  $\bar{g}$  in this case, it follows that  $(\bar{f}, \bar{g}) = t + 1$ , proving that  $K_n^{ab}$  is cyclic, namely  $C_3$ .

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