

THE TREE PROPERTY AT \aleph_{ω^2+1} AND \aleph_{ω^2+2}

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Abstract. We show that from large cardinals it is consistent to have the tree property simultaneously at \aleph_{ω^2+1} and \aleph_{ω^2+2} with \aleph_{ω^2} strong limit.

§1. Introduction. The study of the tree property is motivated by the König infinity lemma [7] which states that every infinite finitely branching tree has an infinite path. It is an instance of compactness for countable objects. The tree property at a cardinal κ states that every tree of height κ with levels of size less than κ has a cofinal branch. In particular, the König infinity lemma is just the tree property for \aleph_0 . A counterexample to the tree property at κ is called a κ -Aronszajn tree after Aronszajn who constructed an \aleph_1 -Aronszajn tree [8]. An Aronszajn tree is a canonical example of an incompact object. It is natural to ask: “Is it possible to construct a κ -Aronszajn tree for some regular $\kappa > \aleph_1$ in ZFC?” This is an important special case of an the area of modern set theory which studies the extent of incompactness in ZFC. In this paper, we make a step towards proving that the answer to the above question is no.

Partial progress towards this answer is measured by producing models where some regular cardinals have the tree property. An important constraint on such models comes from a theorem of Specker [15]. If $\kappa^{<\kappa} = \kappa$, then there is a κ^+ -Aronszajn tree. In particular if κ^{++} has the tree property, then we must have $2^\kappa > \kappa^+$.

There is a large body of research on this problem. We refer the reader to the introductions of [18] and [14] for a review of previous results. Results thus far can be broken into two categories. The first is a ground up approach where one forces the tree property on longer and longer initial segments of the regular cardinals. The second involves forcing the tree property at the successors of a singular strong limit cardinal. Note that by Specker’s theorem, if the tree property holds at κ^{++} for a singular strong limit cardinal, then singular cardinals hypothesis (SCH) fails at κ . Models for the failure of SCH by itself require the consistency of large cardinals and are typically obtained by Prikry type forcing.

The techniques from the first approach can only produce models where the initial segment of regular cardinals with the tree property is bounded by the first singular strong limit cardinal. Extending this initial segment through the first singular strong

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limit will require some ideas from the second approach and in particular Prikry type forcing.

In this paper, we improve the best known result in the second approach. In particular we prove:

THEOREM 1.1. *If there is an increasing ω -sequence of supercompact cardinals with a measurable cardinal above, then there is a forcing extension in which \aleph_{ω^2} is strong limit and both \aleph_{ω^2+1} and \aleph_{ω^2+2} have the tree property.*

We use a variation of a Prikry forcing due to Gitik and Sharon [6] where we have prepared in advance that the cardinal which will become \aleph_{ω^2+2} has the tree property. This preparation uses a variation of a forcing due to Mitchell [10].

Recently, the first author [14] showed that it is consistent to have κ singular strong limit where κ^+ and κ^{++} have the tree property by improving a result of the second author [16]. In this paper, we show that it is possible to make κ into \aleph_{ω^2} . By necessity the construction is different from the one in [16] and [14].

The reader should be advised that this paper uses the ideas of many previous papers in a new more technical setting. We make use of the following:

- (1) the argument from the first author's paper [13] on the tree property at \aleph_{ω^2+1} ,
- (2) Mitchell's poset [10] as presented in [1], and
- (3) the proof of Lemma 1.3 in the second author's paper [17].

The paper is outlined as follows. In Section 2, we fix some notation, construct the main forcing and show that the extension has the desired cardinal structure. In Section 3 we prove that \aleph_{ω^2+1} has the tree property in the extension by repeating an argument from [14] in a new context. In Section 4, we show that \aleph_{ω^2+2} has the tree property in the extension by proving a new preservation lemma, which is of independent interest. In Section 5, we make some concluding remarks and ask some open questions.

§2. The main poset. We start in a model V of GCH. Let $\langle \kappa_n \mid n < \omega \rangle$ be an increasing sequence of supercompact cardinals and λ be the least measurable cardinal greater than $\sup_{n < \omega} \kappa_n$. For ease of notation we set $\kappa_0 = \kappa$, $\nu = \sup_{n < \omega} \kappa_n$ and $\mu = \nu^+$. Using a Laver function F we can find an embedding $j : V \rightarrow M$ witnessing that κ is λ -supercompact such that $j(F)(\kappa) = \langle \lambda, \langle \kappa_n \mid n < \omega \rangle \rangle$. A standard reflection argument shows that there is a measure one set Z in the normal measure derived from j such that for every α in Z , α is closed under F and if $F(\alpha) = \langle \lambda_\alpha, \langle \alpha_n \mid n < \omega \rangle \rangle$, then λ_α is measurable and each α_n is $< \lambda_\alpha$ -supercompact. We retain the notation λ_α and α_n for the output $F(\alpha)$ and drop the use of F .

We define an iteration of Mitchell-like posets and Levy collapses which form the preparation for our construction.

DEFINITION 2.1. Let $\rho < \sigma < \tau$ be cardinals. Let $\mathbb{P}(\rho, \tau)$ be $\text{Add}(\rho, \tau)$ and define $\mathbb{M}(\rho, \sigma, \tau)$ to be the collection of pairs (p, f) such that $p \in \mathbb{P}(\rho, \tau)$ and f is a partial function with $\text{dom}(f) \subset \tau \setminus \sigma$ a set of successor ordinals, $|\text{dom}(f)| < \sigma$ and for all $\gamma \in \text{dom}(f)$, $f(\gamma)$ is a $\mathbb{P}(\rho, \tau) \upharpoonright \gamma$ -name for an element of $\text{Add}(\sigma, 1)$. We set $(p_1, f_1) \leq (p_0, f_0)$ if $p_1 \leq p_0$ in $\mathbb{P}(\rho, \tau)$, $\text{dom}(f_1) \supseteq \text{dom}(f_0)$ and for all $\gamma \in \text{dom}(f_0)$, $p_1 \upharpoonright \gamma \Vdash f_1(\gamma) \leq f_0(\gamma)$.

Note that $\mathbb{M}(\omega, \omega_1, \tau)$ where τ is weakly compact is Mitchell’s original poset as described in [1].

DEFINITION 2.2. Let $\rho < \sigma < \tau$ be cardinals. Define

$$\mathbb{Q}(\rho, \sigma, \tau) := \{f \mid (1_{\mathbb{P}}, f) \in \mathbb{M}(\rho, \sigma, \tau)\}.$$

For the order, we say that $f' \leq_{\mathbb{Q}} f$ if $(1_{\mathbb{P}}, f') \leq_{\mathbb{M}} (1_{\mathbb{P}}, f)$.

We list some standard claims about these posets assuming that ρ and σ are regular. Proofs of these facts can be found in [1]. For ease of notation we drop the cardinal parameters ρ, σ and τ .

- (1) \mathbb{M} is ρ -closed and τ -cc assuming τ is inaccessible.
- (2) \mathbb{Q} is σ -closed and τ -cc assuming τ is inaccessible.
- (3) There is a projection map from $\mathbb{P} \times \mathbb{Q}$ to \mathbb{M} given by $(p, f) \mapsto (p, f)$.
- (4) The natural restriction map from \mathbb{M} to $\mathbb{M} \upharpoonright \alpha$ is a projection map.
- (5) For all regular $\alpha < \tau$ in the extension by $\mathbb{M} \upharpoonright \alpha$, there are posets \mathbb{M}', \mathbb{P}' , and \mathbb{Q}' such that \mathbb{M}' is isomorphic to a dense subset of $\mathbb{M}/\mathbb{M} \upharpoonright \alpha$ and \mathbb{M}' is the projection of $\mathbb{P}' \times \mathbb{Q}'$ as in item (3). Moreover, in the extension by $\mathbb{M} \upharpoonright \alpha$, \mathbb{Q}' has the properties of \mathbb{Q} in item (2) and \mathbb{P}' is isomorphic to the Cohen forcing \mathbb{P} .

The final item is a combination of the discussion below Definition 2.7 and Lemma 2.12 of [1].

We define an iteration \mathbb{A}_κ with reverse Easton support where we do nontrivial forcing at $\alpha \in Z$. For α in Z we force with the full support iteration $\mathbb{L}(\alpha)$ of Levy Collapses to make α_n into α^{+n} and in the extension we force with $\mathbb{M}(\alpha) \times \text{Add}(\alpha, \lambda^+ \setminus \lambda_\alpha)$ where $\mathbb{M}(\alpha) = \mathbb{M}(\alpha, \alpha^{+\omega+1}, \lambda_\alpha)$.

Let G be \mathbb{A}_κ -generic and let $H = H_0 * H_1 * H_2$ be generic for $\mathbb{L}(\kappa) * \mathbb{M}(\kappa) \times \text{Add}(\kappa, \lambda^+ \setminus \lambda)$. It is not difficult to see that in $V[G * H]$, $\kappa_n = \kappa^{+n}$ for all $n < \omega$ (hence $\nu = \kappa^{+\omega}$), μ is preserved and $\lambda = \mu^+ = \kappa^{+\omega+2}$.

For ease of notation we drop the parameter κ from \mathbb{L} and \mathbb{M} . Recall that $j : V \rightarrow M$ is an elementary embedding witnessing that κ is λ -supercompact. We need a careful lifting of j to the model $V[G * H]$.

LEMMA 2.3. In $V[G * H]$, there are generics $G^* * H^*$ for $j(\mathbb{A}_\kappa * (\mathbb{L} * \mathbb{M} \times \text{Add}(\kappa, \lambda^+ \setminus \lambda)))$ such that j extends to $j : V[G * H] \rightarrow M[G^* * H^*]$ witnessing that κ is λ -supercompact and for all $\gamma < j(\kappa)$, there is a function $f : \kappa \rightarrow \kappa$ such that $j(f)(\kappa) = \gamma$.

PROOF. By the closure of M , $j(\mathbb{A}_\kappa)/G * H$ is λ^+ -closed in $V[G * H]$. Moreover since $j(\kappa)$ is inaccessible and $j(\mathbb{A}_\kappa)$ is $j(\kappa)$ -cc in M , the poset $j(\mathbb{A}_\kappa)/G * H$ has just $|j(\kappa)| = \lambda^+$ antichains in $M[G * H]$. So in $V[G * H]$, we can find a generic I for $j(\mathbb{A}_\kappa)/G * H$ over $M[G * H]$. We let G^* be the $j(\mathbb{A}_\kappa)$ -generic obtained from $G * H * I$. From the work so far we can lift to $j : V[G] \rightarrow M[G^*]$.

Next we consider $j(\mathbb{L} * (\mathbb{M} \times \text{Add}(\kappa, \lambda^+ \setminus \lambda)))$ as computed in $M[G^*]$. First we construct a master condition for $j^{\text{``}}H_0$, which is a member of $M[G^*]$ by the closure of M . Note that $j^{\text{``}}H_0$ is a directed set of cardinality μ in the poset $j(\mathbb{L})$ which is $j(\kappa)$ -directed closed. So we can take a lower bound l^* for $j^{\text{``}}H_0$. There are λ^+ -many antichains, and $j(\mathbb{L})$ is λ^+ -closed, so we build a generic H_0^* in $V[G * H]$ for $j(\mathbb{L})$ which contains l^* .

Next, we construct a master condition for $j^{\ast}H_1$, which again is in $M[G^{\ast}]$ by the closure of M . We let p^{\ast} be the union of the first coordinates of $j^{\ast}H_1$ and Y be the union of the domains of the second coordinates of $j^{\ast}H_1$. Since $j(\kappa) > \lambda$, p^{\ast} is a potential first coordinate in $j(\mathbb{M})$. Moreover since H_1 is a filter, we have that for all $\gamma \in Y$, $p^{\ast} \upharpoonright \gamma$ forces that $\{f(\gamma) \mid f \text{ is a second coordinate of } j^{\ast}H_1\}$ is a directed set in $j(\text{Add}(\kappa^{+\omega+1}, 1))$. We let $f^{\ast}(\gamma)$ be a $j(\mathbb{P}) \upharpoonright \gamma$ -name for a condition forced by $p^{\ast} \upharpoonright \gamma$ to be a lower bound for this set. As before, $j(\mathbb{M})$ is λ^+ -closed and the number of antichains for this poset in $M[G^{\ast}][H_0^{\ast}]$ is λ^+ . So we build a generic H_1^{\ast} in $V[G^{\ast}H]$ for $j(\mathbb{M})$ containing (p^{\ast}, f^{\ast}) . This allows us to lift the embedding further to $j : V[G^{\ast}H_0^{\ast}H_1^{\ast}] \rightarrow M[G^{\ast}H_0^{\ast}H_1^{\ast}]$.

Next, we find a generic object for $\text{Add}(j(\kappa), j(\lambda^+ \setminus \lambda))$ following an argument from Gitik-Sharon [6]. In preparation let $\langle \eta_\alpha \mid \alpha \in \lambda^+ \setminus \lambda \rangle$ be an enumeration of $j(\kappa)$. Note that j is continuous at λ^+ and hence $\text{Add}(j(\kappa), j(\lambda^+ \setminus \lambda)) = \bigcup_{\alpha < \lambda^+} \text{Add}(j(\kappa), j(\alpha) \setminus j(\lambda))$. Moreover if we have an increasing sequence of generics for $\langle H^\alpha \mid \alpha \in (\lambda^+ \setminus \lambda) \cap \text{cof}(\lambda) \rangle$ such that for each α , H^α is generic for $\text{Add}(j(\kappa), j(\alpha) \setminus j(\lambda))$ over $M[G^{\ast}H_0^{\ast}H_1^{\ast}]$, then $\bigcup_\alpha H^\alpha$ is generic for $\text{Add}(j(\kappa), j(\lambda^+ \setminus \lambda))$ over the same model.

Using the typical argument of counting antichains, we can find a generic I for $\text{Add}(j(\kappa), j(\lambda^+ \setminus \lambda))$ over $M[G^{\ast}H_0^{\ast}H_1^{\ast}]$. We then modify I to be compatible with j . For each $\alpha \in (\lambda^+ \setminus \lambda) \cap \text{cf}(\lambda)$, let I^α be the restriction of I to a generic for $\text{Add}(j(\kappa), j(\alpha) \setminus j(\lambda))$. Let H^α be the natural modification of I^α to include the condition $(\bigcup j^{\ast}H_2 \upharpoonright \alpha) \cup \{((j(\beta), \kappa), \eta_\beta) \mid \beta < \alpha)\}$. Since we have only changed a small part of I^α , H^α remains generic. Moreover, the sequence of H^α is increasing and hence $H_2^{\ast} = \bigcup_\alpha H^\alpha$ is a generic for $\text{Add}(j(\kappa), j(\lambda^+ \setminus \lambda))$ which allows us to lift the embedding to $j : V[G^{\ast}H_0^{\ast}H_1^{\ast}H_2^{\ast}] \rightarrow M[G^{\ast}H_0^{\ast}H_1^{\ast}H_2^{\ast}]$.

Letting $H^{\ast} = H_0^{\ast}H_1^{\ast}H_2^{\ast}$, it is easy to see that we have the desired lifting of j to $j : V[G^{\ast}H] \rightarrow M[G^{\ast}H^{\ast}]$. ⊔

REMARK 2.4. The reason we forced with $\text{Add}(\lambda^+ \setminus \lambda, \kappa)$ after the Mitchell poset is precisely to get functions from κ to κ to represent ordinals below $j(\kappa)$. This is used in the argument below to get guiding generics for the interleaved collapses in the Prikry forcing.

Working in $V[G^{\ast}H]$ for $n < \omega$ we define the following:

- (1) Let U_n be the supercompactness measure on $\mathcal{P}_\kappa(\kappa^{+n})$ derived from j .
- (2) Let $j_n : V[G^{\ast}H] \rightarrow \text{Ult}(V[G^{\ast}H], U_n) \simeq M_n$.
- (3) Let k_n be the factor map from M_n to $M[G^{\ast}H^{\ast}]$ defined by $j_n(F)(j_n^{\ast}\kappa^{+n}) \mapsto j(F)(j^{\ast}\kappa^{+n})$. Then $j = k_n \circ j_n$.

The following sequence of claims are standard consequences of the previous lemma, and we only sketch the proofs. For a more detailed presentation in a similar context, see [6].

CLAIM 2.5. *The critical point of k_n is greater than $j(\kappa)$.*

PROOF. We arranged that for every $\gamma < j(\kappa)$, there is $f : \kappa \rightarrow \kappa$ with $j(f)(\kappa) = \gamma$. It follows that $j(\kappa) + 1 \subset \text{ran } k_n$. ⊔

CLAIM 2.6. *In $V[G^{\ast}H]$, there is a generic K for $\text{Coll}(\kappa^{+\omega+3}, < j(\kappa))_{M[G^{\ast}H^{\ast}]}$ over $M[G^{\ast}H^{\ast}]$.*

PROOF. This is by a standard counting argument, using that there are $\kappa^{+\omega+3}$ -many antichains to meet and the poset is $\kappa^{+\omega+3}$ -closed. \dashv

We set $K_n = \{c \in \text{Coll}(\kappa^{+\omega+3}, < j_n(\kappa))_{M_n} \mid k_n(c) \in K\}$.

CLAIM 2.7. K_n is $\text{Coll}(\kappa^{+\omega+3}, < j_n(\kappa))$ -generic over M_n .

PROOF. K_n is clearly a filter. Now, if $A \in M_n$ is a maximal antichain in $\text{Coll}(\kappa^{+\omega+3}, < j_n(\kappa))$, then by the chain condition, $|A| < j_n(\kappa)$, and so $k_n(A) = k_n \text{``} A$ is a maximal antichain in $\text{Coll}(\kappa^{+\omega+3}, < j(\kappa))_{M[G * H]}$. \dashv

Using the generics K_n and ultrafilters U_n we define a Prikry forcing with interleaved collapses \mathbb{R} as in the first author's [13]. More precisely, for each i , let $X_i = \{x \in \mathcal{P}_\kappa(\kappa^{+i}) \mid \kappa_x \text{ is inaccessible, o.t.}(x) = \kappa_x^{+i}\}$. Conditions are of the form $r = \langle d, x_0, c_0, \dots, x_{n-1}, c_{n-1}, A_n, C_n, \dots \rangle$, where

- for $i < n$, $x_i \in X_i$, and for $i \geq n$, $A_i \in U_i$ and $A_i \subset X_i$,
- for $i < n - 1$, $x_i \subseteq x_{i+1}$, $|x_i| < \kappa_{x_{i+1}}$ and $c_i \in \text{Coll}(\kappa_{x_i}^{+\omega+3}, < \kappa_{x_{i+1}})$,
- $c_{n-1} \in \text{Coll}(\kappa_{x_{n-1}}^{+\omega+3}, < \kappa)$,
- if $n > 0$, then $d \in \text{Coll}(\omega, \kappa_{x_0}^{+\omega})$, otherwise $d \in \text{Coll}(\omega, \kappa)$,
- for $i \geq n$, $\text{dom}(C_i) = A_i$, $[C_i]_{U_i} \in K_i$ and for all $x \in A_i$, $C_i(y) \in \text{Coll}(\kappa_y^{+\omega+3}, < \kappa)$.

For a condition as above, the stem of r is $\langle d, x_0, c_0, \dots, x_{n-1}, c_{n-1} \rangle$ and we denote it by $s(r)$. The natural number n is called the length of the condition r . We sometimes refer to the length of a stem with the obvious interpretation.

The order is typical for Prikry type forcings. Let r be as above and suppose that $r' = \langle d', x'_0, c'_0, \dots, x'_{m-1}, c'_{m-1}, A'_m, C'_m, \dots \rangle$ is another condition. Then $r \leq r'$ if $n \geq m$ and

- (1) $d \leq d'$,
- (2) for $i < m$, $x_i = x'_i$ and $c_i \leq c'_i$,
- (3) for i in $[m, n)$, $x_i \in A'_i$ and $c_i \leq C'_i(x_i)$,
- (4) for $i \geq n$, $A_i \subseteq A'_i$ and for all $y \in A_i$, $C_i(y) \leq C'_i(y)$.

We write $r \leq^* r'$ if $r \leq r'$ and $n = m$ and say that r is a direct extension of r' . Note that two conditions with the same stem are compatible. We denote the weakest common extension (also with the same stem) of two such conditions by $r_1 \wedge r_2$.

Standard arguments show the following (for more details, see [6]):

PROPOSITION 2.8.

- (1) After forcing with \mathbb{R} , for each $n \geq 0$, the cofinality of $(\kappa^{+n})^{V[G * H]}$ becomes ω .
- (2) \mathbb{R} has the $\kappa^{+\omega+1}$ chain condition.
- (3) \mathbb{P} has the Prikry property: if p is a condition with length at least 1 and ϕ is a formula, then there is a direct extension $p' \leq^* p$ which decides ϕ .

From this it is straightforward to show that the extension by \mathbb{R} has the desired cardinal structure.

CLAIM 2.9. In the extension of $V[G * H]$ by \mathbb{R} , $\kappa = \aleph_{\omega^2}$, $(\kappa^{+\omega+1})^{V[G * H]} = \aleph_{\omega^2+1}$, $\lambda = (\kappa^{+\omega+2})^{V[G * H]} = \aleph_{\omega^2+2}$ and cardinals above λ are preserved.

Note that $2^{\aleph_{\omega^2}} = \aleph_{\omega^2+3}$. It remains to show that the tree property holds at \aleph_{ω^2+1} and \aleph_{ω^2+2} .

§3. The tree property at \aleph_{ω^2+1} . In this section we show that the tree property holds at \aleph_{ω^2+1} in the extension of $V[G * H]$ by \mathbb{R} . We fix an \mathbb{R} -name $\dot{T} \in V[G * H]$ forced to be a \aleph_{ω^2+1} -tree and we show that it has a branch. We will work with the name \dot{T} throughout the section and apply arguments from [13] directly to it. We can assume the α^{th} level of \dot{T} is $\{\alpha\} \times \kappa$. Hence we are forcing the relation $<_T$ on the set $v^+ \times \kappa$. Recall that $v = \sup_{n < \omega} \kappa_n$ and v^+ becomes \aleph_{ω^2+1} .

Recall that H_1 is generic for $\mathbb{M}(\kappa, \kappa^{+\omega+1}, \lambda)$ over the model $V[G * H_0]$. For simplicity we denote this forcing \mathbb{M} . Recall from the discussion below Definition 2.2 that \mathbb{M} is the projection of a product, which we denote $\mathbb{P} \times \mathbb{Q}$, where \mathbb{P} is the Cohen forcing $\text{Add}(\kappa, \lambda)$ and \mathbb{Q} is as in Definition 2.2.

Let \mathbb{S} be the quotient forcing $\mathbb{P} \times \mathbb{Q}/H_1$ as defined in $V[G * H]$. Let $H_T = H_0 * (H_1^{\mathbb{P}} \times H_1^{\mathbb{Q}}) \times H_2$ be the generic for $\mathbb{L} * (\mathbb{P} \times \mathbb{Q}) \times \text{Add}(\kappa, \lambda^+ \setminus \lambda)$ obtained by forcing with \mathbb{S} . It is not hard to see that \mathbb{S} is $< \kappa^{+\omega+1}$ -distributive over $V[G * H]$. It follows that each U_n is still an ultrafilter in $V[G * H_T]$ and $\text{Coll}(\kappa^{+\omega+3}, < j(\kappa))$ is the same when computed in either $\text{Ult}(V[G * H], U_n)$ or $\text{Ult}(V[G * H_T], U_n)$. Moreover, a maximal antichain in $\text{Coll}(\kappa^{+\omega+3}, < j(\kappa))$ is represented (in either ultrapower) by a function on $\mathcal{P}_\kappa(\kappa_n)$, which at each x returns a maximal antichain in $\text{Coll}(\kappa_x^{+\omega+3}, < \kappa)$. The distributivity of \mathbb{S} ensures that there are no new such functions in $V[G * H_T]$. It follows that K_n remains generic for $\text{Coll}(\kappa^{+\omega+3}, < j(\kappa))$ as computed in $\text{Ult}(V[G * H_T], U_n)$.

So in particular \mathbb{R} is a reasonable diagonal Prikry forcing in $V[G * H_T]$. We will show that we have the prerequisites to run the argument from [13] in order to first get a branch in $V[G * H_T]^{\mathbb{R}}$. Then we will use a branch preservation argument to pull the branch back to $V[G * H]^{\mathbb{R}}$.

LEMMA 3.1. *In $V[G * H]$ there is an unbounded set $I \subseteq \mu$ and a natural number n^* such that for all $\alpha < \beta$ from I there are a condition r of length n^* and ordinals $\xi, \zeta < \kappa$ such that r forces $\langle \alpha, \xi \rangle <_T \langle \beta, \zeta \rangle$.*

This is exactly Lemma 13 of [13]. For the proof we only need an embedding witnessing that κ is $\kappa^{+\omega+1}$ supercompact so that κ is a potential 0^{th} Prikry point in $j(\mathbb{R})$. For this we use the embedding j from Lemma 2.3. Since this is upwards absolute to $V[G * H_T]$, we have the same conclusion in $V[G * H_T]$.

REMARK 3.2. We actually have the analog of Remark 14 of [13]. In particular the set of conditions in \mathbb{R} which force the above is dense.

Next we need lifted supercompact embeddings with critical point κ_n for $n < \omega$ that are added by a reasonable forcing.

LEMMA 3.3. *For all $n \geq 1$, there is a λ -supercompact embedding j_n^* with $\text{crit}(j_n^*) = \kappa_n$ with domain $V[G * H_T]$ added by the product of κ_{n-1} -closed forcing and $\text{Add}(\kappa, \theta)$ for some θ .*

This is standard. Note that \mathbb{Q} is $\kappa^{+\omega+1}$ -directed closed of size λ in $V[G][H_0]$ and hence can be absorbed in the iteration of Levy collapses. This lemma allows us to carry out the arguments from Lemmas 15 and 16 of [13] in $V[G * H_T]$. So we have the following consequence.

LEMMA 3.4. *In $V[G * H_T]$ every stem can be extended to a stem h such that there are an unbounded set $J \subseteq \mu$, $\xi < \kappa$, and conditions $r_\alpha \in \mathbb{R}$ for $\alpha \in J$, such that, setting $u_\alpha = \langle \alpha, \xi \rangle$ for $\alpha \in J$, we have:*

- (1) each r_α has stem h ,
- (2) for all $\alpha < \beta$ from J , $r_\alpha \wedge r_\beta \Vdash u_\alpha <_{\dot{T}} u_\beta$.

A version of this lemma in a context without collapses was first obtained by Neeman in [11].

Fix a stem h for which the above lemma holds. Note that the μ -cc of \mathbb{R} ensures that there are generics \mathcal{R} which contain r_α for unboundedly many α . For such \mathcal{R} , $V[G * H_T][\mathcal{R}]$ contains a branch generated by the u_α for which the associated $r_\alpha \in \mathcal{R}$. Let \dot{b} be a name for the branch generated in this way. For a stem h' extending h , we say that $\dot{\dagger}_{h'}$ holds if there are $J, \xi < \kappa$ and $\langle r_\alpha \mid \alpha \in J \rangle$ as above with the additional property that r_α forces $u_\alpha \in \dot{b}$.

Working in $V[G * H]$ we can view \dot{b} as an $\mathbb{S} \times \mathbb{R}$ name for the branch. We have the following coherence between stems. Suppose s forces that Lemma 3.4 holds with respect to h , as witnessed by $\dot{J}, \xi, \dot{r}_\alpha, \alpha \in \dot{J}$ and $r \in \mathbb{R}$ has stem h and forces that \dot{b} as above is a cofinal branch. Then for every stem h' which is the stem of some extension of r , $s \Vdash \dot{\dagger}_{h'}$.

We now want to pull back the existence of the branch from $V[G * H_T]^\mathbb{R}$ to $V[G * H]^\mathbb{R}$. To do so we follow [14]. Note that forcing with the poset \mathbb{S} takes us from the inner to the outer model above. Unfortunately \mathbb{S} is not particularly nice forcing in the extension by \mathbb{R} . In fact it is not even countably closed there. We make up for this by doing our splitting arguments for a fixed stem h and using the fact that \mathbb{S} is κ -closed in $V[G * H]$.

We work in the model $V[G * H]$ and give the following definition.

DEFINITION 3.5. We say that there is an h -splitting at some $\gamma < \mu$ if there are a condition $r \in \mathbb{R}$ with stem h , conditions s, s^0, s^1 in \mathbb{S} , and nodes u, u_0, u_1 such that

- (1) $(s, r) \Vdash u \in \dot{b} \cap T_\gamma$;
- (2) $s^0, s^1 \leq s$ and the levels of u_0, u_1 are above γ ;
- (3) for $k \in 2$, $(s^k, r) \Vdash u_k \in \dot{b}$;
- (4) r forces that u_0 and u_1 are incompatible in \dot{T} .

The idea is to capture the fact that \mathbb{S} can force different information about the branch relative to a fixed stem h . If \bar{s} forces that $\dot{\dagger}_h$ holds for some stem h , then we define $\dot{\alpha}_h$ to be an \mathbb{S} -name for the supremum over γ for which there is an h -splitting at γ with the witnessing s an element of the \mathbb{S} generic.

LEMMA 3.6 (Splitting). *Suppose that \bar{s} forces $\dot{\dagger}_h$ and $\dot{\alpha}_h = \mu$. There are sequences $\langle s_i \mid i < \nu \rangle, \langle r_i \mid i < \nu \rangle$, and $\langle v_i \mid i < \nu \rangle$, such that*

- 1. for all $i < \nu$, $s_i \leq \bar{s}$ and the stem of r_i is h ,
- 2. for all $i < \nu$, (s_i, r_i) forces that v_i is in \dot{b} , and
- 3. for $i < j$, $r_i \wedge r_j$ forces that v_j and v_i are incompatible in \dot{T} .

PROOF. Assume the hypotheses. Suppose also that \bar{s} forces $\dot{\dagger}_h$, as witnessed by $\xi, \dot{J}, \dot{r}_\alpha$. We pass to the generic extension of $V[G * H]$ by \mathbb{S} by a generic \mathcal{S} containing \bar{s} . First we need a finer version of h -splitting.

CLAIM 3.7. *Suppose $\gamma \in J$ and denote $u = \langle \gamma, \xi \rangle$. Then there is $\bar{r} \in \mathbb{R}$ with stem h , conditions s^0, s^1 in \mathbb{S} below \bar{s} and nodes v^0, v^1 , such that for $k \in \{0, 1\}$, we have $(s^k, \bar{r}) \Vdash v^k \in \dot{b}$, $\bar{r} \Vdash u <_{\dot{T}} v^k$ and $\bar{r} \Vdash v^0 \perp_{\dot{T}} v^1$.*

PROOF. Since $\alpha_h = \mu$, there is h -splitting at a level $\gamma' \geq \gamma$. So, let $s' \in \mathcal{S}$, $s' \leq \bar{s}$, $r \in \mathbb{R}$ with stem h , s^0, s^1 below s' , and nodes v, v^0, v^1 of levels higher than γ be such that:

- $(s', r) \Vdash v \in \dot{b} \cap T_{\gamma'}$,
- the levels of v^0, v^1 are above γ' ,
- for $k \in 2$, $(s^k, r) \Vdash v^k \in \dot{b}$, and
- r forces that v^0 and v^1 are incompatible in \dot{T} .

Let $s'' \leq s'$ in \mathcal{S} be such that for some q with stem h , $s'' \Vdash q = \dot{r}_\gamma$. Then since $(s'', q) \Vdash u \in \dot{b}$ and $(s'', r) \Vdash v \in \dot{b}$, it follows that $q \wedge r \Vdash u <_{\dot{T}} v$. Let $\bar{r} = r \wedge q$. Then $\bar{r}, s^0, s^1, v^0, v^1$ are as desired. \dashv

Choose a club $C \subseteq \mu$ such that for all $\beta \in C$ and all $\gamma < \beta$ if there is a splitting at γ as in the conclusion of the above claim, then the witnessing splitting nodes v^0, v^1 can be chosen to have levels below β .

We select increasing sequences γ_i and β_i for $i < \nu$ such that

- (1) $\beta_i \in C$,
- (2) $\gamma_i \in J$,
- (3) $\gamma_i < \beta_i \leq \gamma_{i+1}$.

Denote $u_i = \langle \gamma_i, \xi \rangle$ for $i < \nu$ and let $\bar{s}_i \in \mathcal{S}$ be such that $\bar{s}_i \Vdash \gamma_i \in J$ and for some condition q_i , $\bar{s}_i \Vdash q_i = \dot{r}_{\gamma_i}$. Then each (\bar{s}_i, q_i) forces that u_i is in the branch, and for $i < j$, $q_i \wedge q_j \Vdash u_i <_{\dot{T}} u_j$.

Now, for each γ_i , there is a splitting as in the above claim with splitting nodes of levels below β_i . We record the witnesses to this splitting as \bar{r}_i, s_i^k, v_i^k . In particular, we have that $(s_i^k, \bar{r}_i) \Vdash v_i^k \in \dot{b}$ and $\bar{r}_i \Vdash u_i <_{\dot{T}} v_i^k$.

Let r be $\bar{r}_i \wedge q_i \wedge q_{i+1}$. Then r forces $u_i <_{\dot{T}} u_{i+1}$ and $u_i <_{\dot{T}} v_i^k$ for $k \in 2$. We take a direct extension r_i of r to decide the statements “ $v_i^k <_{\dot{T}} u_{i+1}$ ” for $k \in 2$. Since T is a tree it must decide one of the statements negatively. If it does so for k , then we set $v_i = v_i^k$ and $s_i = s_i^k$.

By the distributivity of \mathbb{S} , the sequence $\langle s_i, r_i, v_i \mid i < \nu \rangle$ is in $V[G * H]$. It is straightforward to see that these sequences satisfy the lemma. \dashv

LEMMA 3.8. *If \bar{s} forces $\dot{\dagger}_h$, then \bar{s} forces $\dot{\alpha}_h < \mu$.*

PROOF. Suppose otherwise. Using the splitting lemma we build a tree of conditions similar to Lemma 2.3 in Magidor and Shelah [9]. More precisely, apply the previous lemma to construct conditions $\langle (s_\sigma, r_\sigma) \mid \sigma \in \nu^{<\omega} \rangle$ in $\mathbb{S} \times \mathbb{R}$ and nodes $\langle v_\sigma \mid \sigma \in \nu^{<\omega} \rangle$, such that:

- (1) for all σ , r_σ has stem h and if $\sigma' \supset \sigma$, then $(s_{\sigma'}, r_{\sigma'}) \leq (s_\sigma, r_\sigma)$,
- (2) for all σ , $s_\sigma \Vdash \text{level}(v_\sigma) \in J$, and $(s_\sigma, r_\sigma) \Vdash v_\sigma \in \dot{b}$,
- (3) for all σ and $i \neq j$ in ν , $r_{\sigma \frown i} \wedge r_{\sigma \frown j}$ forces that $v_{\sigma \frown i}$ and $v_{\sigma \frown j}$ are incompatible in \dot{T} .

Using the fact that \mathbb{S} is countably closed and the fact that each r_σ has stem h , for each $g \in \nu^\omega$ we can find $s_g \leq s_{g \upharpoonright n}$ and $r_g \leq r_{g \upharpoonright n}$ for all $n < \omega$. Let γ^* be the supremum of the ordinals γ appearing as levels of nodes in the construction. Let $s_g^* \leq s_g$ and $r_g^* \leq r_g$ be such that (s_g^*, r_g^*) decides the value of the branch at level γ^* to be v_g .

Since the number of possible stems is ν , let $g, g' \in \nu^\omega$ be distinct, such that r_g^* and $r_{g'}^*$ have the same stem. Then r_g^* and $r_{g'}^*$ are compatible and by construction $r_g^* \wedge r_{g'}^*$

forces that v_g is incompatible with $v_{g'}$. This is a contradiction since we can take a generic for \mathbb{R} containing $r_g^* \wedge r_{g'}^*$. \dashv

Since there are less than μ many stems, passing to an extension of $V[G * H]$ by \mathbb{S} , we get that $\sup_h \alpha_h < \mu$. Let \bar{s} be a condition forcing that $\alpha = \sup_h \dot{\alpha}_h < \mu$. Extending if necessary, suppose also that for some stem \bar{h} , \bar{s} forces that $\dot{\dagger}_{\bar{h}}$ holds, and for some $\bar{r} \in \mathbb{R}$ with stem \bar{h} , (\bar{s}, \bar{r}) forces that \dot{b} is a cofinal branch. Note that this means (\bar{s}, \bar{r}) forces that \dot{b} is generated by $\dot{\dagger}_{\bar{h}}$.

Let $\gamma > \alpha$ and let $s \leq \bar{s}$ and $r \leq \bar{r}$ be such that for some node u of level γ , $(s, r) \Vdash u \in \dot{b}$. Let \mathcal{R} be \mathbb{R} -generic containing r . In $V[G * H * \mathcal{R}]$, we view \dot{b} as its partial interpretation by the generic \mathcal{R} . Clearly s forces that u is in \dot{b} over $V[G * H * \mathcal{R}]$.

Now we define $d = \{v \succ_T u \mid \exists s^* \leq s, s^* \Vdash v \in \dot{b}\}$.

CLAIM 3.9. d generates a branch through T .

PROOF. Clearly d meets every level above γ . Next we show that it meets every level exactly once. Suppose that there are distinct v_0 and v_1 in d on level $\bar{\gamma} > \gamma$. Let s_0 and s_1 force v_0 and v_1 , respectively, to be in \dot{b} on level $\bar{\gamma}$. Let r_0, r_1 in \mathcal{R} be such that for $k \in 2$, $(s_k, r_k) \Vdash v_k \in \dot{b}$ and there is a stem h for which $h = s(r_0) = s(r_1)$ extends \bar{h} .

Let $r' = r_0 \wedge r_1$. Since $r' \in \mathcal{R}$ and h extends \bar{h} , it must be that s also forces $\dot{\dagger}_h$. But then s_0, s_1, r', v_0, v_1 witness an h -splitting at γ , a contradiction since $\gamma > \alpha$. \dashv

Working in $V[G * H]$ it follows that r forces that d is a cofinal branch through \dot{T} .

§4. The tree property at \aleph_{ω^2+2} . In this section we prove that the tree property holds at \aleph_{ω^2+2} in the extension of $V[G * H]$ by \mathbb{R} . Recall that λ is measurable in V and hence in $V[G * H_0]$. Working in $V[G * H_0]$ let \dot{T} be an $\mathbb{M} \times \text{Add}(\kappa, \lambda^+ \setminus \lambda) * \mathbb{R}$ -name for a λ -tree where in this section we assume it has underlying set λ . Fix an elementary embedding $k : V[G * H_0] \rightarrow N^*$ with critical point λ where N^* is transitive and ${}^\lambda N^* \subseteq N^*$.

For ease of notation we define $\mathbb{A} = \text{Add}(\kappa, \lambda^+ \setminus \lambda)$ and $\bar{H} = H_1 \times H_2$. Let \mathcal{R} be \mathbb{R} -generic over $V[G * H]$. Since $(\mathbb{M} \times \mathbb{A}) * \mathbb{R}$ has the λ -cc, we can lift k to this extension by forcing over $V[G * H][\mathcal{R}]$ with $k((\mathbb{M} \times \mathbb{A}) * \mathbb{R}) / (\bar{H} * \mathcal{R})$.

Note that the lifted embedding k fixes the Prikry sequence and the sequence of collapse generics between the Prikry points. Viewed as a generic for $k(\mathbb{R})$ the Prikry sequence must be generic over the extension by $k(\mathbb{M} \times \mathbb{A})$. In terms of the quotient forcing, $k((\mathbb{M} \times \mathbb{A}) * \mathbb{R}) / (\bar{H} * \mathcal{R})$ in $V[G * H][\mathcal{R}]$ this means that we already have the object which will be generic for $k(\mathbb{R})$ and the quotient must force a generic for $k(\mathbb{M} \times \mathbb{A}) / \bar{H}$ which gives the Prikry sequence this extra genericity.

The lifted embedding determines a branch through the interpretation of \dot{T} . It is enough to show that the forcing to add the embedding cannot add the branch. This will finish the proof of Theorem 1.1. Recall that a poset has the μ -approximation property if it does not add a new set of ordinals x , such that for all $< \mu$ -size subsets y in the ground model, $x \cap y$ is in the ground model. Since the underlying set of T is λ , a new branch through a λ -tree satisfies the hypotheses of the μ -approximation property. So it is enough to prove the following lemma.

LEMMA 4.1. *In $V[G * H][\mathcal{R}]$, $k((\mathbb{M} \times \mathbb{A}) * \mathbb{R})/(\bar{H} * \mathcal{R})$ has the μ -approximation property.*

To begin we give an abstract definition about Prikry forcing.

DEFINITION 4.2. Suppose that s and s' are stems where s' extends s . If r is a condition with stem s , then we say that points in s' above s are *constrained by r* if there is a condition r' with stem s' such that $r' \leq r$.

We have the following characterization of when a condition is forced out of the quotient which comes from Cummings and Foreman [3].

PROPOSITION 4.3. *Work in $V[G * H]$. Let $\bar{r} \in \mathbb{R}$, $m \in k(\mathbb{M} \times \mathbb{A})$ and \dot{r} be a $k(\mathbb{M} \times \mathbb{A})/\bar{H}$ -name for an element of $k(\mathbb{R})$. We assume that m decides the value of $s(\dot{r})$. \bar{r} forces $(m, \dot{r}) \notin k(\mathbb{M} \times \mathbb{A} * \mathbb{R})/(\bar{H} * \dot{\mathcal{R}})$ if and only if one of the following holds.*

- (1) $m \notin k(\mathbb{M} \times \mathbb{A})/\bar{H}$.
- (2) Neither one of $s(\bar{r})$ or $s(\dot{r})$ extends the other.
- (3) $s(\dot{r})$ extends $s(\bar{r})$ and points in $s(\dot{r})$ above $s(\bar{r})$ are not constrained by \bar{r} .
- (4) $s(\bar{r})$ extends $s(\dot{r})$ and m forces that points in $s(\bar{r})$ above $s(\dot{r})$ are not constrained by \dot{r} .

A key point in the proof is that Prikry conditions with the same stem are compatible. From this proposition we have the following sufficient condition for forcing conditions into the quotient.

CLAIM 4.4. *Work in $V[G * H]$. If \bar{r} is in \mathbb{R} , $m \in k(\mathbb{M} \times \mathbb{A})/\bar{H}$ and \dot{r} is a $k(\mathbb{M} \times \mathbb{A})/\bar{H}$ -name for a condition in $k(\mathbb{R})$ such that*

- (1) m decides the value of $s(\dot{r})$,
- (2) $s(\bar{r})$ extends $s(\dot{r})$, and
- (3) m forces that points in $s(\bar{r})$ above $s(\dot{r})$ are constrained by \dot{r} ,

*then there is a direct extension of \bar{r} which forces that $(m, \dot{r}) \in k((\mathbb{M} \times \mathbb{A}) * \mathbb{R})/(\bar{H} * \dot{\mathcal{R}})$.*

PROOF. Let \bar{r}_0 be a direct extension of \bar{r} which decides the statement $(m, \dot{r}) \in k((\mathbb{M} \times \mathbb{A}) * \mathbb{R})/(\bar{H} * \dot{\mathcal{R}})$. It is easy to see that we are not in any of the cases in the previous proposition, so it is not true that $\bar{r}_0 \Vdash (m, \dot{r}) \notin k((\mathbb{M} \times \mathbb{A}) * \mathbb{R})/(\bar{H} * \dot{\mathcal{R}})$. However this means it must force (m, \dot{r}) into the quotient. \dashv

We will use the claim above to reproduce the argument of Lemma 1.3 in [17] in the presence of the Prikry forcing. For ease of notation we let \mathbb{N} be the quotient $k((\mathbb{M} \times \mathbb{A}) * \mathbb{R})/(\bar{H} * \mathcal{R})$. We will write conditions in \mathbb{N} in the form (p, f, \dot{r}) , where $p \in k(\mathbb{P} \times \mathbb{A})$, $f \in k(\mathbb{Q})$ and \dot{r} is a $k(\mathbb{M} \times \mathbb{A})$ -name for a condition in $k(\mathbb{R})$. We will say “term ordering” to refer to $\leq_{k(\mathbb{Q})}$.

To simplify the notation of the proof we will prove that there are no new functions τ from μ to 2 added by \mathbb{N} all of whose initial segments are in the ground model, $V[G * H][\mathcal{R}]$. The argument giving μ -approximation is essentially the same. In $V[G * H][\mathcal{R}]$ let $\dot{\tau}$ be an \mathbb{N} -name for a function from μ to 2 such that for all $\alpha < \mu$, $\dot{\tau} \restriction \alpha$ is forced to be in $V[G * H][\mathcal{R}]$.

CLAIM 4.5. *In $V[G * H][\mathcal{R}]$, there is a condition $(p, f, \dot{r}) \in \mathbb{N}$ such that for all $p' \leq p$, $x, \alpha < \mu$, $f' \leq_{k(\mathbb{Q})} f$ and all $k(\mathbb{M} \times \mathbb{A})/\bar{H}$ -names \dot{r}' for a condition in $k(\mathbb{R})$ if $(p', f', \dot{r}') \in \mathbb{N}$ is below (p, f, \dot{r}) and forces $\dot{\tau} \restriction \alpha = x$, then (p, f', \dot{r}') forces $\dot{\tau} \restriction \alpha = x$.*

PROOF. Suppose not. Then working in $V[G * H_0 * H_1]$ there is a condition $(a, \bar{r}) \in \mathbb{A} * \mathbb{R}$ forcing the failure of the claim. The following set is dense below (a, \bar{r}) for every name (p, f, \dot{r}) for an element in the quotient. We set (a', \bar{r}') in D if and only if there are $p_0, p_1 \in k(\mathbb{P} \times \mathbb{A})$, $f^* \leq_{k(\mathbb{Q})} f$, $k(\mathbb{M} \times \mathbb{A})/\dot{H}$ -names r_0, r_1 for elements of $k(\mathbb{R})$, $\alpha < \mu$ and $\mathbb{A} * \mathbb{R}$ -names x_0, x_1 for functions from α to 2 which are forced to be distinct, such that (a', \bar{r}') forces that:

- each (p_i, f^*, \dot{r}_i) is in \mathbb{N} below (p, f, \dot{r}) , and
- each (p_i, f^*, \dot{r}_i) forces that $\dot{\tau} \upharpoonright \alpha = x_i$.

If (p_0, f_0, \dot{r}_0) below (p, f, \dot{r}) forces a value x_0 for $\tau \upharpoonright \alpha$, but (p, f_0, \dot{r}) does not, then we can find (p_1, f^*, \dot{r}_1) below (p, f_0, \dot{r}) which forces a value $x_1 \neq x_0$. Furthermore, we can arrange that f^* is below f_0 in the term ordering. We can now select a condition $(a', \bar{r}') \leq (a, \bar{r})$ forcing this situation. In particular, for $i \in 2$ it forces $(p_i, f^*, \dot{r}_i) \in \mathbb{N}$. Clearly, $(a', \bar{r}') \in D$, and this argument works below any condition stronger than (a, \bar{r}) . Hence D is dense.

We work in $V[G * H_0 * H_1]$, where we can view the f -parts of our conditions as coming from the poset \mathbb{Q}' from item (5) in our discussion properties of Mitchell's forcing applied with $k(\mathbb{M})$ in place of \mathbb{M} , λ in place of α and H_1 as the generic for $k(\mathbb{M}) \upharpoonright \lambda = \mathbb{M}$. Recall that \mathbb{Q}' is μ -closed.

By recursion for $\alpha < \mu$, we construct $p_\alpha^i, x_\alpha^i, x_\alpha, f_\alpha, \dot{r}_\alpha^i, a_\alpha, \bar{r}_\alpha$, and γ_α for $i \in 2$ as follows.

Suppose that we have all of the above for all β below some α . Let $\gamma^* = \sup_{\beta < \alpha} \gamma_\beta$. We choose an $\mathbb{A} * \mathbb{R}$ -name for a condition (p^*, f^*, \dot{r}^*) in the quotient which is forced to decide the value of $\dot{\tau} \upharpoonright \gamma^*$ to be x_α and where f^* is forced to be below f_β in the term ordering for $\beta < \alpha$. Using the dense set described above with (p^*, f^*, \dot{r}^*) , we can find $(a_\alpha, \bar{r}_\alpha)$ in the dense set and record witnesses $p_\alpha^i, \dot{r}_\alpha^i, x_\alpha^i, \gamma_\alpha$ and f_α . This completes the construction.

We can assume that $(a_\alpha, \bar{r}_\alpha)$ forces that each (p_α^i, f_α) decides the value of $s(\dot{r}_\alpha^i)$ and that $s(\bar{r}_\alpha)$ extends this value. By passing to an unbounded subset of μ we can assume that for all $\alpha, \alpha' < \mu$, $s(\bar{r}_\alpha) = s(\bar{r}_{\alpha'}) = h$ and $s(\dot{r}_\alpha^i) = s(\dot{r}_{\alpha'}^i) = h^i$ for $i \in 2$. Using the μ -cc of $(k(\mathbb{P} \times \mathbb{A}))^2$, we can find $\alpha < \alpha'$ such that a_α is compatible with $a_{\alpha'}$ and p_α^i is compatible with $p_{\alpha'}^i$ for $i \in 2$. For $i \in 2$ we let p^i be a greatest lower bound for p_α^i and $p_{\alpha'}^i$, and \dot{r}^i be a name for the weakest common extension of \dot{r}_α^i and $\dot{r}_{\alpha'}^i$ with the same stem.

We force with \mathbb{A} below $a_\alpha \cup a_{\alpha'}$ to obtain H_2' . By construction, in $V[G * H_0 * (H_1 * H_2')]$, for each i , (p_α^i, f_α) forces that points in h above h^i are constrained by \dot{r}_α^i , and similarly for $p_{\alpha'}^i, f_{\alpha'}$ and $\dot{r}_{\alpha'}^i$. It follows that for each i , $(p^i, f_{\alpha'})$ forces that points in h above h^i are constrained by both \dot{r}_α^i and $\dot{r}_{\alpha'}^i$. Consequently, since \dot{r}^i is forced to be the weakest common extension, $(p^i, f_{\alpha'})$ forces these points are constrained by \dot{r}^i as well.

Then applying Claim 4.4 in $V[G * H_0 * (H_1 * H_2')]$, we can find a direct extension r of \bar{r}_α and $\bar{r}_{\alpha'}$ such that r forces that each $(p^i, f_{\alpha'}, \dot{r}^i)$ is in \mathbb{N} . Let \mathcal{R}' be \mathbb{R} -generic with $r \in \mathcal{R}'$. Then in $V[G * H_0 * (H_1 * H_2')][\mathcal{R}']$, the fact that $(p^i, f_{\alpha'}, \dot{r}^i)$ is in \mathbb{N} implies that for $i \in 2$, $x_{\alpha'}^i \upharpoonright \gamma_\alpha = x_\alpha^i$. However, since $\bar{r}_{\alpha'} \in \mathcal{R}'$, we also have that $(p^i, f_{\alpha'}, \dot{r}^i) \in \mathbb{N}$, which decides the value of $\dot{\tau} \upharpoonright \gamma^*$ to be $x_{\alpha'}$, where $\gamma^* = \sup_{\beta < \alpha'} \gamma_\beta$. But then for $i \in 2$, $x_{\alpha'}^i \upharpoonright \gamma^* = x_{\alpha'}$ and this implies that $x_\alpha^0 = x_\alpha^1$, a contradiction. \dashv

Towards the proof of Lemma 4.1, we assume for a sake of contradiction that it is forced that $\dot{\tau}$ is not in $V[G * H][\mathcal{R}]$. By our assumption for a contradiction, the set of pairs (n, n') such that n and n' decide different values for some initial segment of $\dot{\tau}$ is dense in \mathbb{N}^2 . Working below a condition in \mathbb{N} satisfying the previous claim we can get a pair into this dense set by only extending the f -parts of the conditions. Again we fall back to $V[G * H_0 * H_1]$ where the term ordering on the f -parts is μ -closed and $\mathbb{A} * \mathbb{R}$ is μ -cc. Using this we obtain the following splitting assertion.

For a given (p, f', \dot{r}) in \mathbb{N} satisfying the conclusion of previous claim, we can find a maximal antichain A in $\mathbb{A} * \mathbb{R}$ and conditions $(p, f_i, \dot{r}) \leq (p, f', \dot{r})$ for $i \in 2$ such that for all $(a, \bar{r}) \in A$, (a, \bar{r}) forces that (p, f_0, \dot{r}) and (p, f_1, \dot{r}) are in \mathbb{N} and decide different values for $\dot{\tau} \upharpoonright \alpha$ for some α .

Continuing to work in $V[G * H_0 * H_1]$, we repeatedly apply this splitting assertion starting with (p, f, \dot{r}) from Claim 4.5 to build a binary tree of conditions $\langle f_s \mid s \in 2^{<\kappa} \rangle$ and maximal antichains A_s in $\mathbb{A} * \mathbb{R}$. We can ensure that every element $(a, \bar{r}) \in A_s$ forces that $(p, f_{s \smallfrown 0}, r)$ and $(p, f_{s \smallfrown 1}, r)$ decide different values for $\tau \upharpoonright \gamma$ for some γ . This gives rise to $\mathbb{A} * \mathbb{R}$ -names $\dot{x}_{s \smallfrown i}$ for $i \in 2$ and ordinals $\alpha_s^{a, \bar{r}}$. Let $\alpha^* < \mu$ be the supremum of the ordinals $\alpha_s^{a, \bar{r}}$ used in the construction.

Let \dot{b} be a $k(\mathbb{M} \times \mathbb{A})/\dot{H}$ -name for the characteristic function of the first subset of κ added by $k(\mathbb{P})/H_1^{\mathbb{P}}$. By a standard construction on names, there is a lower bound below the sequence $\langle f_{\dot{b} \upharpoonright \eta} \mid \eta < \kappa \rangle$ in the term ordering. In particular, the interpretation b of \dot{b} is in the extension by $\mathbb{P} \times \text{Add}(\kappa, 1)$. Since for each relevant γ , $\langle f_{\dot{b} \upharpoonright \eta}(\gamma) \mid \eta < \kappa \rangle$ is forced to be decreasing in $V[G * H_0 * H_1^{\mathbb{P}}]$, we can partially interpret each name in $V[G * H_0][\mathbb{P} \times \text{Add}(\kappa, 1)]$ to obtain $k(\mathbb{P})/(\mathbb{P} \times \text{Add}(\kappa, 1))$ -names which are forced to be decreasing. For each such sequence we can find a name for a lower bound. The only issue is that $\bigcup_{\eta < \kappa} \text{dom}(f_{\dot{b} \upharpoonright \eta})$ may not be in $V[G * H_0][H_1^{\mathbb{P}}]$. However it is covered by a set Y in $V[G * H_0][H_1^{\mathbb{P}}]$. We construct a lower bound f^* by taking $\text{dom}(f^*) = Y$ and for each $\gamma \in Y$ we let $f^*(\gamma)$ be a $k(\mathbb{P}) \upharpoonright \gamma$ -name such that if $p \in \text{Add}(\kappa, 1)$ forces $\gamma \in \text{dom}(f_{\dot{b} \upharpoonright \eta})$, then p forces $f^*(\gamma)$ to be a lower bound for $\langle f_{\dot{b} \upharpoonright \eta}(\gamma) \mid \eta < \kappa \rangle$. A very similar construction appears in Mitchell's original paper as Lemma 3.7.

It is straightforward to check that we can force (p, f^*, \dot{r}) into the quotient. Passing to the extension $V[G * H][\mathcal{R}]$, we extend (p, f^*, \dot{r}) to decide the value of $\dot{\tau} \upharpoonright \alpha^*$ to be x . We can now define the interpretation of \dot{b} in $V[G * H][\mathcal{R}]$, a contradiction. We define $b \upharpoonright \eta + 1$ to be the unique s of length $\eta + 1$ such that for the unique element (a, \bar{r}) of $A_{s \upharpoonright \eta}$ in the generic, (p, f_s, \dot{r}) forces $x_s = x \upharpoonright \alpha_s^{a, \bar{r}}$.

To finish the proof we need to remark on how to modify the proof to give the full statement of μ -approximation. Suppose that instead that $\dot{\tau}$ is a name for a function from some ordinal δ to 2 and for every $z \subseteq \delta$ in $V[G * H][\mathcal{R}]$ of size less than μ , it is forced that $\tau \upharpoonright z$ is in $V[G * H][\mathcal{R}]$. We aim to show that the interpretation of τ is in $V[G * H][\mathcal{R}]$. In the proof we often decide some partial information about τ . Previously we decided an initial segment of τ , but in the new context this amounts to deciding $\tau \upharpoonright z$ for some z of size less than μ . So the sets z of size less than μ take the role of the lengths of initial segments. With this change of perspective in mind the only necessary modifications are formal.

This concludes the proof of Lemma 4.1 and so we have the tree property at \aleph_{ω^2+2} in the final model.

REMARK 4.6. The assumption that λ is measurable can most likely be reduced to weakly compact. The measurability of λ is used to simplify the argument above.

§5. Concluding remarks. We remark that the setting of Section 4 is quite general and so Lemma 4.1 has many other applications.

First, it can be used to give an alternative proof of a theorem of Cox and Krueger [2] that the principle $ISP(\omega_2)$ is consistent with large continuum. In particular if κ is supercompact and $\lambda \geq \kappa$, then forcing with $\mathbb{M}(\omega, \omega_1, \kappa) \times \text{Add}(\omega, \lambda)$ produces a model in which $ISP(\omega_2)$ holds and $2^\omega \geq \lambda$. As is typical in these arguments, the difficult step is a preservation lemma for which we can use Lemma 4.1 with the trivial forcing in place of the Prikry type forcing.

Second, we can produce models for the tree property at κ^{++} where κ is singular strong limit and $2^\kappa > \kappa^{++}$. Friedman and Halilovic [4] asked whether there is such a model. Recent work of Friedman, Honzik and Stejskalová [5] gives a positive result. Their work was done independently at about the same time as ours. We note our approach appears to be more flexible as our Lemma 4.1 can incorporate the addition of Prikry forcing with interleaved collapses and so might be useful in producing a model as above with $\kappa = \aleph_\omega$.

There are a few natural directions for future research. First, we ask

QUESTION 5.1. *Is it consistent that \aleph_{ω^2} is strong limit and for all $n \geq 1$ \aleph_{ω^2+n} has the tree property?*

Here there is a natural strategy. Mitchell's poset \mathbb{M} should be replaced with the iteration of Cummings and Foreman [3] or Neeman's revision of it [12].

Second, we ask whether the ground up approach mentioned in the introduction can be joined with the forcing from our main theorem. In particular we ask:

QUESTION 5.2. *Is it consistent that every regular cardinal up to \aleph_{ω^2+2} has the tree property and \aleph_{ω^2} is strong limit?*

Finally, it is open whether the main result of our paper can be obtained at \aleph_ω . This would require first answering a question of Woodin.

QUESTION 5.3. *Is it consistent that SCH fails at \aleph_ω and the tree property holds at $\aleph_{\omega+1}$?*

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