

# ON THE TREewidth OF RANDOM GEOMETRIC GRAPHS AND PERCOLATED GRIDS

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## Abstract

In this paper we study the treewidth of the random geometric graph, obtained by dropping  $n$  points onto the square  $[0, \sqrt{n}]^2$  and connecting pairs of points by an edge if their distance is at most  $r = r(n)$ . We prove a conjecture of Mitsche and Perarnau (2014) stating that, with probability going to 1 as  $n \rightarrow \infty$ , the treewidth of the random geometric graph is  $\Theta(r\sqrt{n})$  when  $\liminf r > r_c$ , where  $r_c$  is the critical radius for the appearance of the giant component. The proof makes use of a comparison to standard bond percolation and with a little bit of extra work we are also able to show that, with probability tending to 1 as  $k \rightarrow \infty$ , the treewidth of the graph we obtain by retaining each edge of the  $k \times k$  grid with probability  $p$  is  $\Theta(k)$  if  $p > \frac{1}{2}$  and  $\Theta(\sqrt{\log k})$  if  $p < \frac{1}{2}$ .

*Keywords:* Random geometric graph; treewidth

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## 1. Introduction and main results

The *random geometric graph*  $\mathcal{G}(n, r)$  is the random graph obtained by taking  $n$  points  $X_1, \dots, X_n$  independent and identically distributed (i.i.d.) uniformly at random from the square  $[0, \sqrt{n}]^2$ , and joining  $X_i$  and  $X_j$  by an edge if their Euclidean distance is at most  $r$ . Here  $r = r(n)$  may and often does depend on  $n$ . To avoid having to deal with annoying trivial special cases we assume that  $r \leq \sqrt{2n}$  throughout the paper. The study of random geometric graphs essentially goes back to Gilbert [7] who defined a very similar model in 1961. For this reason random geometric graphs are often also called the *Gilbert model* of random graphs. Random geometric graphs have been the subject of a considerable research effort in the last two decades. As a result, detailed information is now known on various aspects such as ( $k$ -)connectivity [22], [23], the largest component [24], the chromatic number and clique number [17], [20], the (non-)existence of Hamilton cycles [2], [21], monotone graph properties in general [8], and the simple random walk on the graph [4]. One of the most well-known phenomena in random geometric graphs is the ‘sudden emergence of a giant component’. By this we mean that there exists a critical value  $r_c$  such that if  $\limsup r < r_c$  then, a.s., every component of  $G(n, r)$  has  $O(\log n)$  vertices, whereas if  $\liminf r > r_c$  then, a.s., there exists a ‘giant’ component with  $\Omega(n)$  vertices. Here and in the rest of the paper, we say that a sequence of events  $(E_n)_n$  holds *asymptotically almost surely* (a.s.) if  $\lim_{n \rightarrow \infty} \mathbb{P}(E_n) = 1$ . The exact value of  $r_c$  is not known at this time, but simulations suggest that the exact value is approximately 1.2 (see [24]).

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For more details, and proofs, on the giant component phenomenon and background on random geometric graphs in general we refer the reader to [24].

In this paper we consider the *treewidth* of random geometric graphs. The treewidth of a graph was introduced by Halin [10] and independently, but later, by Robertson and Seymour [25]. It is a graph parameter that in a sense measures how similar a given graph is to a tree. (We postpone the precise—and technical—definition of treewidth until Section 2.) Treewidth plays an important role in modern algorithmic graph theory. Many NP-hard algorithmic decision problems have, for instance, been shown to be polynomially solvable when restricted to the class of instances with a bounded tree-width. In fact, a striking result of Courcelle [5] states that any algorithmic decision problem that can be expressed in monadic second-order logic can be solved in linear time for the class of graphs with bounded treewidth. An example of a decision problem that is NP-hard, in general, and can be expressed in monadic second-order is  $k$ -colorability (for any fixed  $k$ ). As random geometric graphs have been used extensively as models for modeling communication networks, this motivated Mitsche and Perarnau [19] to consider the treewidth ( $\text{tw}$ ) of random geometric graphs. They proved that if  $r \in (0, r_c)$  is fixed then, a.a.s.,  $\text{tw}(G(n, r)) = \Theta(\log n / \log \log n)$ , while if  $r > C$ , where  $C$  is a large constant, then, a.a.s.,  $\text{tw}(G(n, r)) = \Theta(r\sqrt{n})$ . Mitsche and Perarnau [19] also conjectured that the second result should extend all the way to the critical value. Here we will prove their conjecture.

**Theorem 1.1.** *If  $r = r(n)$  is such that  $\liminf r > r_c$ , where  $r_c$  is the critical value for the emergence of the giant component, then, a.a.s. as  $n \rightarrow \infty$ ,  $\text{tw}(G(n, r)) = \Theta(r\sqrt{n})$ .*

Our proof of Theorem 1.1 makes use of a comparison to bond percolation on  $\mathbb{Z}^2$ . Recall that this refers to the infinite random graph obtained by retaining each edge of the familiar integer lattice with probability  $p$  and discarding it with probability  $1 - p$ , independently of the choices for all other edges. We will denote by  $\Gamma(k, p)$  the restriction of this process to the  $k \times k$  integer grid. That is,  $\Gamma(k, p)$  has vertex set  $[k]^2$  and for every pair of points  $u, v \in [k]^2$  with Euclidean distance equal to 1, we add an edge with probability  $p$ , independently of the choices for all other pairs. (Here and in the rest of the paper we use the notation  $[k] := \{1, \dots, k\}$ .) For the proof of Theorem 1.1 we only need to consider the treewidth of  $\Gamma(k, p)$  when  $p$  is very close to 1, but with a little bit of extra work we are able to obtain the following result in addition to Theorem 1.1.

**Theorem 1.2.** *If  $p \in (0, 1)$  is fixed then, a.a.s. as  $k \rightarrow \infty$ ,*

$$\text{tw}(\Gamma(k, p)) = \begin{cases} \Theta(k) & \text{if } p > \frac{1}{2}, \\ \Theta(\sqrt{\log k}) & \text{if } p < \frac{1}{2}. \end{cases} \quad (1.1)$$

Note that  $k$  is the *square root* of the number of vertices of  $\Gamma(k, p)$ .

## 2. Notation and preliminaries

In this section we give some definitions and results which we will need in the sequel. We start with the precise definition of treewidth. For a graph  $G = (V, E)$  on  $n$  vertices, we call  $(T, \mathcal{W})$  a *tree decomposition* of  $G$ , where  $\mathcal{W}$  is a set of vertex subsets  $W_1, \dots, W_s \subset V$ , called *bags*, and  $T$  is a forest with vertices in  $\mathcal{W}$ , such that

- $\bigcup_{i=1}^s W_i = V$ ;
- for any  $e = uv \in E$  there exists a set  $W_i \in \mathcal{W}$  such that  $u, v \in W_i$ ;
- for any  $v \in V$ , the subgraph induced by the  $W_i \ni v$  is connected as a subgraph of  $T$ .

The *width* of a tree-decomposition is  $w(T, \mathcal{W}) = \max_{1 \leq i \leq s} |W_i| - 1$ , and the *treewidth* of a graph  $G$  can be defined as

$$\text{tw}(G) := \min_{(T, \mathcal{W})} w(T, \mathcal{W}),$$

where the minimum is taken over all tree decompositions  $(T, \mathcal{W})$  of  $G$ . From the definition of treewidth, one can see that the treewidth of a tree is one, while the treewidth of a  $k$ -clique is  $k - 1$ . We also observe that if  $H$  is a subgraph of  $G$ , then  $\text{tw}(H) \leq \text{tw}(G)$ , and if  $G$  is a graph with connected components  $G_1, \dots, G_m$ , then  $\text{tw}(G) = \max_{1 \leq i \leq m} \text{tw}(G_i)$ .

Given an edge  $xy$  of graph  $G$ , the graph  $G/xy$  is obtained from  $G$  by *contracting* the edge  $xy$ . That is, to obtain  $G/xy$ , we identify the vertices  $x$  and  $y$  and remove all resulting loops and duplicate edges. A graph  $H$  is a *minor* of  $G$  if it is a subgraph of the graph obtained from  $G$  by a sequence of edge-contractions. Again, one can see from the definitions that if  $H$  is a *minor* of  $G$ ,  $\text{tw}(H) \leq \text{tw}(G)$ .

Alon *et al.* [1] proved the following powerful result, bounding the treewidth of graphs without a given minor.

**Theorem 2.1.** (See [1].) *If  $G$  does not have  $H$  as a minor, then  $\text{tw}(G) \leq |V(H)|^{3/2} \sqrt{|V(G)|}$ .*

In this paper we will make use of the following immediate corollary.

**Corollary 2.1.** *There exists a constant  $C > 0$  such that every planar graph  $G$  satisfies  $\text{tw}(G) \leq C \sqrt{|V(G)|}$ .*

Throughout the paper we will denote by  $\Gamma(k) (:= \Gamma(k, 1))$  the  $k \times k$  grid. The next observation appears as Exercise 16 in [6, Chapter 12].

**Lemma 2.1.** *We have  $\text{tw}(\Gamma(k)) = k$ .*

For one of our lower bounds on the treewidth, we will need the following lemma which links the treewidth of a graph and the existence of a partition of its vertex set with special properties. A vertex partition  $V = \{A, S, B\}$  is a *balanced  $k$ -partition* if  $|S| = k + 1$ , there are no edges in  $G$  between a vertex in  $A$  and a vertex in  $B$ , and  $\frac{1}{3}(n - k - 1) \leq |A|, |B| \leq \frac{2}{3}(n - k - 1)$ . In this case,  $S$  is called a *balanced separator*. The following result connecting balanced partitions and treewidth is due to Kloks [14], which provides a necessary condition for a graph to have a treewidth of certain size.

**Lemma 2.2.** (See [14].) *Let  $G$  be a graph and suppose that  $\text{tw}(G) \leq k \leq |V(G)| - 1$ . Then  $G$  has a balanced  $k$ -partition.*

We say that  $A \subseteq \{0, 1\}^n$  is an *up-set* if whenever we take a point of  $A$  and we change one of its coordinates into a one, then the resulting point is still in  $A$ . We will use the following lemma later on.

**Lemma 2.3.** (Harris' lemma, [11].) *Let  $A, B \subseteq \{0, 1\}^n$  be up-sets and let  $X = (X_1, \dots, X_n)$  be a vector of independent Bernoulli random variables. Then  $\mathbb{P}(X \in A \cap B) \geq \mathbb{P}(X \in A)\mathbb{P}(X \in B)$ .*

By a slight abuse of notation, throughout this paper we will denote the graph with vertex set  $\mathbb{Z}^2$  and an edge  $vw \in E(\mathbb{Z}^2)$  if and only if  $\|v - w\| = 1$  also by  $\mathbb{Z}^2$ . Recall that bond percolation on  $\mathbb{Z}^2$  refers to the random process where we keep each edge of  $\mathbb{Z}^2$  with probability  $p$  and discard it with probability  $1 - p$ , independently of all other edges. The edges that are kept are referred to as *open* and the discarded edges as *closed*. If  $R := \{a, \dots, b\} \times \{c, \dots, d\}$  is an axis-parallel rectangle, then we say that  $R$  has a *horizontal crossing* if there is an open path

that stays inside  $R$  and connects the left side  $\{a\} \times \{c, \dots, d\}$  to the right side  $\{b\} \times \{c, \dots, d\}$ . A vertical crossing is defined similarly. We denote by  $H(R)$  the event that there is a horizontal crossing of  $R$ , and  $V(R)$  the event that there is a vertical crossing of  $R$ . In the sequel, we will use the following well-known result on bond percolation on  $\mathbb{Z}^2$  with  $p > \frac{1}{2}$ . A proof can, for instance, be found in [3, Lemma 8, p. 64].

**Lemma 2.4.** *If  $p > \frac{1}{2}$  then  $\lim_{k \rightarrow \infty} \mathbb{P}(H([3k] \times [k])) = 1$ .*

In words, when  $p > \frac{1}{2}$  then the probability of crossing a  $3k \times k$  rectangle in the long direction can be made arbitrarily close to 1 by making  $k$  large.

Formally speaking, we can describe bond percolation on  $\mathbb{Z}^2$  as a random vector  $X$  taking values in  $\{0, 1\}^{E(\mathbb{Z}^2)}$ . Here  $X_e = 1$  if  $e$  is open, and  $X_e = 0$  otherwise. In the standard setup, the coordinates  $X_e$  are i.i.d. Bernoulli random variables. One can also consider more general bond percolation models in which the coordinates are not independent. We say that such a bond percolation model  $Y$  is *1-independent* if, for every pair of sets  $S, T \subseteq E(\mathbb{Z}^2)$  with the property that no edge in  $S$  shares an endpoint with any edge in  $T$ , the random vectors  $(Y_e)_{e \in S}$  and  $(Y_e)_{e \in T}$  are independent. Recall that a coupling of two random objects  $X, Y$  is a joint probability space on which both are defined (and have the correct marginal distributions). The following result is a reformulation of a special case of a result by Liggett *et al.* [15].

**Theorem 2.2.** (See [15].) *There exists a function  $\pi : [0, 1] \rightarrow [0, 1]$  such that,  $\lim_{p \uparrow 1} \pi(p) = 1$ , and the following holds. Suppose that  $Y$  follows a 1-independent bond percolation model on  $\mathbb{Z}^2$  and set  $p := \inf_{e \in E(\mathbb{Z}^2)} \mathbb{P}(Y_e = 1)$ . Then there exists a coupling of  $Y$  with standard (i.e. independent) bond percolation  $X$  with  $\mathbb{P}(X_e = 1) = \pi(p)$ , such that, almost surely,  $X_e \leq Y_e$  for all  $e \in E(\mathbb{Z}^2)$ .*

In words, this last theorem says that every 1-independent bond percolation model contains the edges of an independent bond percolation model, and the edge probability  $\pi(p)$  of this independent bond percolation approaches 1 as  $p := \inf_{e \in E(\mathbb{Z}^2)} \mathbb{P}(Y_e = 1)$  approaches 1.

When working with random geometric graphs, it is often useful to consider a *Poissonized* version of the random geometric graph. We define  $G_{\text{Po}}(n, r)$  analogously to  $G(n, r)$  except that now we take the points of a Poisson process of intensity 1 on  $[0, \sqrt{n}]^2$  and then build our graph on that as before. Equivalently, we can say that we throw  $N_n \stackrel{\text{D}}{=} \text{Po}(n)$  i.i.d. uniform points onto  $[0, \sqrt{n}]^2$  and then build the graph on those as before where, ‘ $\stackrel{\text{D}}{=}$ ’ denotes equality in distribution. Working with the Poissonized version is often useful in proofs because of the convenient independence properties of the Poisson process. Recall that if  $N_n \stackrel{\text{D}}{=} \text{Po}(n)$  then  $\mathbb{P}(N_n > (1 + \varepsilon)n) = o(1)$ , as can for instance be seen by Chebyshev’s inequality. Using a straightforward coupling and rescaling, this gives the following observation.

**Corollary 2.2.** *There is a coupling such that for every  $r = r(n)$ , a.a.s.,  $G_{\text{Po}}((1 - \varepsilon)n, r\sqrt{1 - \varepsilon})$  is a subgraph of  $G(n, r)$ .*

It, of course, also makes sense to simply consider the random geometric graph built on a Poisson process  $\mathcal{P}$  of intensity 1 on all of the plane  $\mathbb{R}^2$ . This is the well-known *continuum percolation* model defined originally by Gilbert [7]. We remark that Gilbert and several other sources in the literature fix  $r = 1$  and allow the intensity of the Poisson process to vary, but it is easily seen that this is equivalent to the setting where we vary  $r$  and the intensity of the Poisson process is fixed to be 1. Note that  $G_{\text{Po}}(n, r)$  is just the restriction of continuum percolation to the square  $[0, \sqrt{n}]^2$ . We also remark that the critical  $r_c$  for the ‘emergence of a giant component’ in  $G(n, r)$  is the same as the critical value for the existence of an infinite

component in continuum percolation (see [24, Chapters 9 and 10]). Similarly to the case of bond percolation on  $\mathbb{Z}^2$ , we can define crossing events for continuum percolation. Our definition follows Meester and Roy [18]. For  $R = [a, b] \times [c, d] \subseteq \mathbb{R}^2$  an axis-parallel rectangle, we say that  $H(R)$  holds (i.e. there is a horizontal crossing of  $R$ ) if it is possible to draw a continuous curve between the right and left side that stays inside  $R$  and is completely covered by the balls of radius  $r/2$  centered on the points of  $\mathcal{P}$ . Note that this in particular implies that there is a path between a vertex that is within  $r/2$  of the left side of  $R$ , and a vertex within  $r/2$  of the right side of  $R$  such that all other vertices of the path are either inside  $R$  or within distance  $r/2$  of  $R$ . We have the following analogue of Lemma 2.4.

**Lemma 2.5.** (See [18, Corollary 4.1].) *If  $r > r_c$  then  $\lim_{a \rightarrow \infty} \mathbb{P}(H([0, 3a] \times [0, a])) = 1$ .*

We say that an event  $A$  defined with respect to the Poisson process  $\mathcal{P}$  is *increasing* if whenever  $A$  holds for some set of points  $X = \{x_1, x_2, \dots\} \subseteq \mathbb{R}^2$  (i.e. some realization of  $\mathcal{P}$ ), then  $A$  also holds for any set  $X'$  that contains  $X$ . We have the following analogue of Lemma 2.3 above.

**Lemma 2.6.** (See [18, Theorem 2.2].) *If  $A, B$  are increasing events (with respect to  $\mathcal{P}$ ) then  $\mathbb{P}(A \cap B) \geq \mathbb{P}(A)\mathbb{P}(B)$ .*

### 3. The treewidth of the percolated grid $\Gamma(k, p)$

#### 3.1. When $p$ is large

Instead of proving the  $p > \frac{1}{2}$  part of Theorem 1.2 directly, we first prove the following weaker version.

**Proposition 3.1.** *There exist constants  $c > 0$  and  $p < 1$  such that  $\text{tw}(\Gamma(k, p)) \geq ck$  a.a.s.*

If  $A \subseteq \mathbb{Z}^2$  is finite and connected (as a subgraph of  $\mathbb{Z}^2$ ) then there is a well defined ‘surrounding cycle’  $\text{surr}(A)$  in the dual lattice  $(\mathbb{Z}^2)^* = \mathbb{Z}^2 + (\frac{1}{2}, \frac{1}{2})$  (that separates  $A$  from  $\infty$ , and every other cycle in  $(\mathbb{Z}^2)^*$  that separates  $A$  from  $\infty$  contains  $\text{surr}(A)$  in its interior). For  $A \subseteq [k]^2$  connected, we define  $\text{outer}(A)$  to be the set of edges of  $\Gamma(k)$  that cross  $\text{surr}(A)$ . (See Figure 1 for a depiction.)

We will make use of the following straightforward observation. We include a proof for completeness.

**Lemma 3.1.** *Suppose that  $A \subseteq [k]^2$  is connected (as a subgraph of  $\Gamma(k)$ ) and does not contain a horizontal crossing of  $[k]^2$ . Then  $|\text{outer}(A)| \geq \max(\sqrt{|A|}, |\text{surr}(A)|/4)$ .*

*Proof.* First suppose that  $A$  contains a vertical crossing. Since  $A$  does not contain a horizontal crossing,  $\text{surr}(A)$  must contain a (dual) path that separates the left edge of  $[k]^2$  from its right edge. This implies that  $\text{outer}(A)$  contains at least  $k$  edges. Hence,  $|\text{outer}(A)| \geq \sqrt{|A|}$ . Note that, since  $A$  can intersect at most one of the vertical sides of  $[k]^2$ , we have that the number of edges of  $\text{surr}(A)$  that do not intersect edges of  $\Gamma(k)$  is at most  $|\text{surr}(A)| - |\text{outer}(A)| \leq 3k$ . This shows  $|\text{outer}(A)| \geq |\text{surr}(A)|/4$ .

Let us then assume that  $A$  contains neither a horizontal nor a vertical crossing. Let  $a := |\pi_x(A)|, b := |\pi_y(A)|$ , where  $\pi_x$ , respectively  $\pi_y$ , denote the projection onto the  $x$ -axis, respectively the  $y$ -axis. Clearly, we have  $|A| \leq ab$  and  $|\text{outer}(A)| \geq a + b$ . Thus,

$$\sqrt{|A|} \leq \max(a, b) \leq a + b \leq |\text{outer}(A)|.$$

Also note that  $|\text{surr}(A)| - |\text{outer}(A)| \leq a + b$ . So certainly  $|\text{outer}(A)| \geq |\text{surr}(A)|/4$ . □

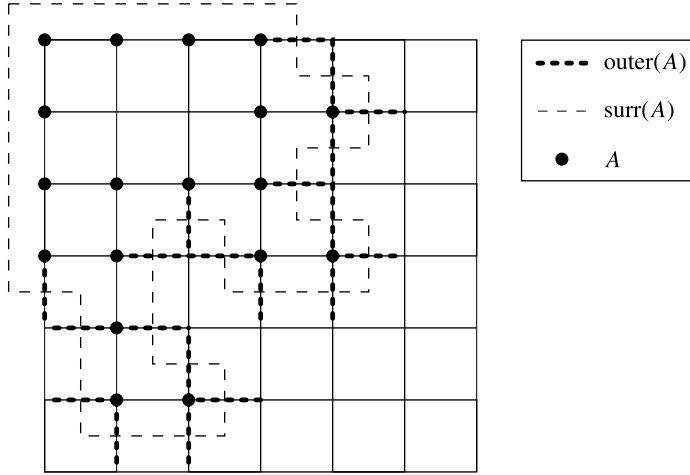


FIGURE 1: Depiction of  $\text{surr}(A)$  and  $\text{outer}(A)$  for a set  $A \subseteq [7]^2$ .

We say a set  $A \subseteq [k]^2$  is *dirty* (with respect to  $\Gamma(k, p)$ ) if

- $A$  is connected (as a subgraph of  $\Gamma(k)$ ),
- $A$  intersects at most three sides of  $[k]^2$ , and
- at most half of the edges of  $\text{outer}(A)$  are open in  $\Gamma(k, p)$ .

We say that a vertex  $v \in [k]^2$  is dirty if it is contained in some dirty set.

**Lemma 3.2.** *There exists a  $p_0 < 1$  such that whenever  $p \geq p_0$  then, a.a.s., there are at most  $k^2/10^{10}$  dirty vertices.*

*Proof.* Let  $Y$  denote the number of vertices contained in some dirty set  $A$  with  $|\text{surr}(A)| \geq k^{0.01}$  and let  $Z$  denote the number of vertices contained in some dirty set  $A$  with  $|\text{surr}(A)| < k^{0.01}$ . It is easy to see that the number of cycles in  $(\mathbb{Z}^2)^*$  that have length  $\ell$  and that surround a given vertex  $v \in \mathbb{Z}^2$  is at most  $\ell 4^\ell$ . This allows us to bound the expectation of  $Y$  as

$$\begin{aligned} \mathbb{E}(Y) &\leq k^2 \sum_{\ell \geq k^{0.01}} 4^\ell \binom{\ell}{\ell/8} (1-p)^{\ell/8} \\ &\leq k^2 \sum_{\ell \geq k^{0.01}} 8^\ell (1-p)^{\ell/8} \\ &= \frac{k^2 (8(1-p)^{1/8})^{k^{0.01}}}{1 - 8(1-p)^{1/8}} \\ &= o(1), \end{aligned}$$

where we have used the fact that  $|\text{outer}(A)| \geq |\text{surr}(A)|/8$  in the first line, that  $\binom{\ell}{\ell/8} \leq 2^\ell$  in the second line, and where the last equality holds provided  $p_0$  was chosen sufficiently close to 1 (and  $p \geq p_0$ ). In particular, for  $p_0$  sufficiently close to 1 and  $p > p_0$ , we have  $Y = 0$  a.a.s.

Next, we consider  $Z$ . For  $v \in [k]^2$ , we denote by  $E_v$  the event that  $v$  is contained in a dirty  $A$  with  $|\text{surr}(A)| < k^{0.01}$ . We have

$$\begin{aligned} \mathbb{P}(E_v) &\leq \sum_{\ell \leq k^{0.01}} \ell 4^\ell \binom{\ell}{\ell/8} (1-p)^{\ell/8} \\ &\leq \sum_{\ell \leq k^{0.01}} \ell (8(1-p)^{1/8})^\ell \\ &\leq \frac{8(1-p)^{1/8}}{(1-8(1-p)^{1/8})^2} \\ &\leq 10^{-11}, \end{aligned}$$

where the last inequality holds provided  $p_0$  is chosen sufficiently close to 1 (and  $p \geq p_0$ ). On the other hand, we clearly have

$$\mathbb{P}(E_v) \geq (1-p)^4.$$

Hence, we have  $k^2(1-p)^4 \leq \mathbb{E}Z = \sum_v \mathbb{P}(E_v) \leq k^2/10^{11}$ . In particular,  $\mathbb{E}Z = \Theta(k^2)$ .

Next we consider the second moment of  $Z$ . Observe that if  $\|u-v\|_\infty \geq 3k^{0.01}$ , then  $E_u$  and  $E_v$  are independent. (Here  $\|(x, y)\|_\infty = \max(|x|, |y|)$  denotes the familiar  $L_\infty$ -norm.) This allows us to write

$$\begin{aligned} \mathbb{E}Z^2 &= \sum_{u,v} \mathbb{P}(E_u \cap E_v) \\ &= \sum_v \mathbb{P}(E_v) \sum_{\|u-v\|_\infty < 3k^{0.01}} \mathbb{P}(E_u | E_v) + \sum_v \mathbb{P}(E_v) \sum_{\|u-v\|_\infty \geq 3k^{0.01}} \mathbb{P}(E_u | E_v) \\ &\leq \sum_v \mathbb{P}(E_v) 36k^{0.02} + \sum_{u,v} \mathbb{P}(E_v) \mathbb{P}(E_u) \\ &= \mathbb{E}(Z) \cdot o(k^2) + (\mathbb{E}Z)^2 \\ &= (1 + o(1))(\mathbb{E}Z)^2. \end{aligned}$$

This shows that  $\text{var}(Z) = o((\mathbb{E}Z)^2)$ . An application of Chebyshev’s inequality shows that

$$\mathbb{P}\left(Z > \frac{k^2}{10^{10}}\right) \leq \mathbb{P}\left(|Z - \mathbb{E}Z| \geq \frac{9}{10} \mathbb{E}Z\right) \leq \left(\frac{10}{9}\right)^2 \frac{\text{var}(Z)}{(\mathbb{E}Z)^2} = o(1).$$

In conclusion, we have seen that, when  $p_0$  is sufficiently close to 1 and  $p_0 < p \leq 1$ , then  $Y = 0$  a.s. and  $Z \leq k^2/10^{10}$  a.s., which obviously implies the lemma.  $\square$

*Proof of Proposition 3.1.* Let us pick  $1 > p > p_0$  with  $p_0$  as provided by Lemma 3.2. Then, a.s.,  $\Gamma(k, p)$  has no more than  $k^2/10^{10}$  dirty vertices. In the remainder of the proof we therefore assume we are given a subgraph  $G \subseteq \Gamma(k)$  for which there are at most  $k^2/10^{10}$  dirty vertices, but which is otherwise arbitrary. We will show that any such  $G$  satisfies  $\text{tw}(G) \geq k/1000$ .

Aiming for a contradiction, we assume that there exists some balanced partition  $\{A, S, B\}$  of  $V(G) = [k]^2$  with  $|S| < k/1000$ .

We first observe that we can assume, without loss of generality, that  $A$  does not contain a horizontal crossing. For, if it does then  $B$  cannot contain a vertical crossing (otherwise  $A, B$

would not be disjoint). Hence, by applying symmetry (switching the roles of  $A, B$  and rotating by 90 degrees) we can indeed assume  $A$  does not contain a horizontal crossing. Observe that

$$|A| \geq k^2 - |B| - |S| \geq k^2 - \frac{2}{3}k^2 - \frac{1}{1000}k \geq \frac{1}{10}k^2.$$

Let  $A_1, \dots, A_m$  denote the connected components of  $A$  (connected when considered as subgraphs of  $G$ ). We set

$$\mathcal{I} := \{i : A_i \text{ is not dirty}\}, \quad A' := \bigcup_{i \in \mathcal{I}} A_i.$$

Since the total number of dirty vertices is less than  $k^2/10^{10}$ , we have

$$|A'| \geq |A| - \frac{k^2}{10^{10}} \geq \frac{k^2}{100}.$$

Note that every edge (in  $G$ ) between a vertex of  $A'$  and a vertex of  $[k^2] \setminus A'$  must in fact connect a vertex of  $A'$  to a vertex of  $S$ . Hence, it follows that

$$4|S| \geq \frac{1}{2} \sum_{i \in \mathcal{I}} |\text{outer}(A_i)| \geq \frac{1}{2} \sum_{i \in \mathcal{I}} \sqrt{|A_i|} \geq \frac{1}{2} \sqrt{|A'|} \geq \frac{1}{20}k.$$

(Here we have used Lemma 3.1 for the third expression and the concavity of the square root function for the fourth expression.) So it follows that  $k/1000 \geq |S| \geq k/80$ , a contradiction.

This shows that there is no balanced partition with  $|S| < k/1000$ , which implies that  $\text{tw}(G) \geq k/1000$  by Kloks' lemma (Lemma 2.2). □

### 3.2. When $p > \frac{1}{2}$

We are now ready to prove the first part of Theorem 1.2 with the help of Proposition 3.1.

*Proof of Theorem 1.2.* (The  $p > \frac{1}{2}$  case.) Our proof is an application of a standard technique for comparing supercritical percolation to percolation with  $p$  close to 1, by means of Lemma 2.4 and Theorem 2.2. See, for instance, [3, pp. 74–75].

Let  $p_0$  be as provided by Proposition 3.1, and let  $\pi$  be as provided by Theorem 2.2. We now pick  $p_1$  such that  $\pi(p_1) > p_0$ . By Lemma 2.4, we can find an  $a \in \mathbb{N}$  such that  $\mathbb{P}(H([3a] \times [a])) > \sqrt[3]{p_1}$ .

For  $R$  a  $3a \times a$  rectangle, we define the event  $E(R) := H(R) \cap V(R_L) \cap V(R_R)$ , where  $R_L$  denotes the leftmost  $a \times a$  subrectangle, and  $R_R$  denotes the rightmost  $a \times a$  rectangle (see Figure 13 of [3, p. 74] for a depiction). If  $R$  is a  $a \times 3a$  rectangle then we define  $E(R) := V(R) \cap H(R_B) \cap H(R_T)$  with  $R_B$ , respectively  $R_T$ , the bottom, respectively top,  $a \times a$  subrectangle of  $A$ . Note that, by choice of  $a$  and Harris' lemma, we have  $\mathbb{P}(E(R)) > p_1$  for every  $3a \times a$  or  $a \times 3a$  rectangle  $R$ .

We now define a (dependent) bond percolation model  $Y$  on  $\mathbb{Z}^2$  as follows. We declare the horizontal edge between  $(i, j)$  and  $(i + 1, j)$  open in  $Y$  if  $E(\{2ai + 1, \dots, 2ai + 3a\} \times \{2aj + 1, \dots, 2aj + a\})$  holds; similarly, the edge between  $(i, j)$  and  $(i, j + 1)$  is open in  $Y$  if  $E(\{2ai + 1, \dots, 2ai + a\} \times \{2aj + 1, \dots, 2aj + 3a\})$  holds. To clarify the construction, let us mention that one could think of the square  $\{2ai + 1, \dots, 2ai + a\} \times \{2aj + 1, \dots, 2aj + a\}$  as representing the point  $(i, j)$  and in the  $3a \times a$  rectangle  $R$  that represents the edge between  $(i, j)$  and  $(i + 1, j)$ , we have that  $R_L$  represents  $(i, j)$  and  $R_R$  represents  $(i + 1, j)$ . It is not difficult to see that  $Y$  is in fact 1-independent. Hence, by Theorem 2.2,  $Y \geq X$ , where  $X$  is standard (independent) percolation on  $\mathbb{Z}^2$  with edge-probability  $> p_0$ .



We can view  $\Gamma(k, p)$  as the restriction of the (independent, edge-probability  $p$ ) percolation process to the  $k \times k$  grid  $[k]^2$ . We let  $\Gamma_X$ , respectively  $\Gamma_Y$ , denote the subgraph that  $X$ , respectively  $Y$ , defines on  $[\ell]^2$ , where  $\ell := \lfloor k/2a \rfloor - 1$ . As the reader can easily check, we have chosen  $\ell$  so that each of the rectangles corresponding to the edges of  $\Gamma_Y$  is contained in  $[k]^2$ . Observe that by construction (and Proposition 3.1) we have a.s.,

$$\text{tw}(\Gamma_Y) \geq \text{tw}(\Gamma_X) = \Omega(\ell) = \Omega(k).$$

Next, we remark that  $\Gamma_Y$  is in fact a minor of  $\Gamma(k, p)$  (under the natural coupling associated with the construction of  $Y$ ). To see this, we can proceed as follows. If  $E(R)$  holds with  $R$  a  $3a \times a$  rectangle that corresponds to some edge of  $\Gamma_Y$ , then we perform a sequence of contractions that will identify all vertices of  $R_L$  that participate in (horizontal or vertical) crossings of  $R_L$  into a single vertex  $x$ , we produce a vertex  $y$  via contractions in  $R_R$  similarly, and then we contract the remaining edges of a long, horizontal crossing of  $R$  into a single edge that connects  $x$  and  $y$ . If we carry this out for each rectangle corresponding to an edge of  $\Gamma_Y$  and discard any unneeded vertices (making sure to keep exactly one vertex in each  $a \times a$  square that corresponds to a vertex  $(i, j) \in [\ell]^2$  that was not incident to any edge of  $Y$ ), then we obtain a graph isomorphic to  $\Gamma_Y$ .

Since  $\Gamma_Y$  is a minor of  $\Gamma(k, p)$ , we have  $\text{tw}(\Gamma(k, p)) \geq \text{tw}(\Gamma_Y) = \Omega(k)$ , a.s., as required, completing the proof. □

### 3.3. When $p < \frac{1}{2}$

In this section we prove the upper and lower bound of the treewidth  $\Gamma(k, p)$  for  $p < \frac{1}{2}$ . We need the following result from percolation theory, that is originally due to Kesten [12], [13].

**Theorem 3.1.** (See [12], [13].) *Consider bond percolation on  $\mathbb{Z}^2$  and let  $C_0$  denote the number of vertices in the cluster (component) of the origin. For each  $p < \frac{1}{2}$  there exists  $\lambda(p) > 0$  such that*

$$\mathbb{P}(|C_0| \geq n) \leq e^{-n\lambda(p)} \quad \text{for all } n \geq 0.$$

This has the following easy consequence.

**Corollary 3.1.** *If  $0 < p < \frac{1}{2}$  then, a.s., all components of  $\Gamma(k, p)$  have  $O(\log k)$  vertices.*

*Proof.* Let us fix  $0 < p < \frac{1}{2}$  and let  $\lambda(p)$  be as provided by Theorem 3.1. Let  $K := 100/\lambda(p)$ . Observe that, for every  $v \in [k]^2$  and  $\ell \in \mathbb{N}$ , the probability that it is in a component of order  $\geq \ell$  in  $\Gamma(k, p)$  is no more than the probability that  $|C_0|$  exceeds  $\ell$ . Thus, we can conclude that

$$\begin{aligned} \mathbb{P}(\Gamma(k, p) \text{ has a component of size } \geq K \log k) &\leq k^2 \exp[-100 \log k] \\ &= \exp[-98 \log k] \\ &= o(1). \end{aligned} \quad \square$$

Since the treewidth of a graph is equal to the maximum of the treewidth of its components, and all components of  $\Gamma(k, p)$  are planar, the required upper bound for  $\text{tw}(\Gamma(k, p))$  in the case when  $p < \frac{1}{2}$  follows immediately using Corollary 2.1.

**Corollary 3.2.** *If  $0 < p < \frac{1}{2}$  then, a.s.,  $\text{tw}(\Gamma(k, p)) = O(\sqrt{\log k})$ .*

The following lemma now completes the proof of Theorem 1.2.

**Lemma 3.3.** *Fix  $0 < p < \frac{1}{2}$  then, a.s.,  $\text{tw}(\Gamma(k, p)) = \Omega(\sqrt{\log k})$ .*

*Proof.* We fix a  $\varepsilon = \varepsilon(p)$  (small, to be determined later), and we set  $\ell := \lceil \sqrt{\varepsilon \log k} \rceil$ . We now fix  $N := \lfloor k/(\ell + 1) \rfloor^2 = \Omega(k^2/\log k)$  (vertex-)disjoint  $\ell \times \ell$ -subgrids  $G_1, \dots, G_N$  in  $[k]^2$ . We will say that the subgrid  $G_i$  is *intact* if all of its edges are present in  $\Gamma(k, p)$ . By independence of the events that the  $G_i$ -s are intact, we have

$$\begin{aligned} \mathbb{P}(\text{at least one } G_i \text{ is intact}) &= 1 - (1 - p^{2\ell(\ell-1)})^N \\ &\geq 1 - \exp[-Np^{2\ell(\ell-1)}] \\ &\geq 1 - \exp[-Np^{\ell^2}] \\ &\geq 1 - \exp[-Np^{\varepsilon \log k}]. \end{aligned}$$

Next, note that

$$Np^{\varepsilon \log k} = \Omega\left(\frac{k^2}{\log k} \exp[\varepsilon \log p \log k]\right) = \Omega(\exp[2 \log k - \log \log k + \varepsilon \log p \log k]).$$

Hence, provided we choose  $\varepsilon < -2/\log p$ , we have  $Np^{\varepsilon \log k} \rightarrow \infty$  and, hence, also

$$\mathbb{P}(\text{at least one } G_i \text{ is intact}) = 1 - o(1).$$

Hence, by Lemma 2.1, and since  $\text{tw}(H) \leq \text{tw}(G)$  if  $H \subseteq G$ , it follows that  $\text{tw}(\Gamma(k, p)) \geq \ell = \Omega(\sqrt{\log k})$  a.a.s. □

Corollary 3.2 and Lemma 3.3 together give the  $p < \frac{1}{2}$  part of Theorem 1.2.

#### 4. Proof of Theorem 1.1

Since Mitsche and Perarnau [19] have already shown the result holds when  $r = r(n)$  is larger than some fixed constant  $C$ , we only need to consider the case when  $r_c < \liminf r \leq \limsup r \leq C$ . Note that in this case  $\Theta(r\sqrt{n})$  simplifies to  $\Theta(\sqrt{n})$ . Moreover, by monotonicity, we see that for any such sequence  $r$ , a.a.s.,  $\text{tw}(G(n, r)) \leq \text{tw}(G(n, C)) = O(\sqrt{n})$  by Mitsche and Perarnau’s result. Hence, we only need to prove an a.a.s. lower bound for the treewidth of order  $\Omega(\sqrt{n})$ . Using Corollary 2.2 and monotonicity, Theorem 1.1 follows if we can establish the following lemma.

**Lemma 4.1.** *For every fixed  $r > r_c$ , we have  $\text{tw}(G_{p_0}(n, r)) = \Omega(\sqrt{n})$  a.a.s.*

*Proof.* The proof is almost exactly the same as the proof of the  $p > \frac{1}{2}$  case of Theorem 1.2 above. Again, we let  $p_0$  be as provided by Proposition 3.1, we let  $\pi$  be as provided by Theorem 2.2, and we pick  $p_1$  such that  $\pi(p_1) > p_0$ . Using Lemma 2.5, we find an  $a$  such that  $\mathbb{P}(H([0, 3a] \times [0, a])) > \sqrt[3]{p_1}$ . For  $R$  a  $3a \times a$  or  $a \times 3a$  rectangle we define  $E(R)$  as in the proof of the  $p > \frac{1}{2}$  case of Theorem 1.2 above. By choice of  $a$  and Lemma 2.6 we have  $\mathbb{P}(E(R)) > p_1$  for any such rectangle.

We again define a 1-independent bond percolation model  $Y$  on  $\mathbb{Z}^2$ , by declaring the horizontal edge between  $(i, j)$  and  $(i + 1, j)$  open in  $Y$  if  $E([2ai, 2ai + 3a] \times [2aj, 2aj + a])$  holds; and the edge between  $(i, j)$  and  $(i, j + 1)$  is open in  $Y$  if  $E([2ai, 2ai + a] \times [2aj, 2aj + 3a])$  holds. (Note that 1-independence holds provided we chose  $a$  sufficiently large.) Again from Theorem 2.2 it follows that  $Y \geq X$ , where  $X$  is standard (independent) percolation on  $\mathbb{Z}^2$  with edge probability  $> p_0$ .

We set  $k := \lfloor \sqrt{n}/2a \rfloor - 1$ , and we let  $\Gamma_X$ , respectively  $\Gamma_Y$ , be the restriction of  $X$ , respectively  $Y$ , to  $[k]^2$ . Arguing analogously to the way we did in the proof of the  $p > \frac{1}{2}$

case of Theorem 1.2, we see that  $\Gamma_Y$  is in fact a minor of  $G_{P_0}(n, r)$  (under the natural coupling we get from the construction of  $Y$ ). Hence, using Proposition 3.1, we have, a.a.s.,

$$\text{tw}(G_{P_0}(n, r)) \geq \text{tw}(\Gamma_Y) \geq \text{tw}(\Gamma_X) = \Omega(k).$$

Since  $k = \Theta(\sqrt{n})$ , this concludes the proof. □

### 5. Discussion and further work

Together with the work of Mitsche and Perarnau [19], our Theorem 1.1 provides an almost complete picture of the behavior of the treewidth of random geometric graphs, up to the order of the leading constants.

**Corollary 5.1.** *Asymptotically almost surely,*

$$\text{tw}(G(n, r)) = \begin{cases} \Theta\left(\frac{\log n}{\log \log n}\right) & \text{if } 0 < \liminf r \leq \limsup r < r_c, \\ \Theta(r\sqrt{n}) & \text{if } \liminf r > r_c. \end{cases}$$

Interestingly, by a result of McDiarmid [16], the clique number of random geometric graphs is a.a.s. equal to  $(1 + o(1)) \log n / \log \log n$  when  $r$  is constant. This gives rise to the following natural questions.

**Question 5.1.** Suppose that  $0 < \liminf r \leq \limsup r < r_c$ .

- Is  $\text{tw}(G(n, r)) = (1 + o(1)) \log n / \log \log n$  a.a.s.?
- Is  $\text{tw}(G(n, r)) = \omega(G(n, r))$  a.a.s.?

Of course we would also be very interested to learn the precise leading constants for the supercritical case. With our methods and those of Mitsche and Perarnau [19], the following natural conjecture still seems out of reach.

**Conjecture 5.1.** *Suppose that  $r > r_c$  is fixed. Then there exists a  $c = c(r)$  such that  $\text{tw}(G(n, r)) = (c + o(1))\sqrt{n}$  a.a.s.*

Another tantalizing question is what happens precisely at the critical point. Based on widely believed conjectures on the ‘critical exponents’ for two-dimensional percolation (see [9, Chapters 9 and 10]), we offer the following conjectures.

**Conjecture 5.2.** *Asymptotically almost surely,  $\text{tw}(G(n, r_c)) = n^{91/192+o(1)}$ .*

**Conjecture 5.3.** *Asymptotically almost surely,  $\text{tw}(\Gamma(k, \frac{1}{2})) = k^{91/96+o(1)}$ .*

We have made two separate conjectures and added some slack in the exponent so that there is a bit more hope that at least one of the conjectures will be solved.

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