

Cortisol and depression: three questions for psychiatry

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Background. Cortisol plays a multifaceted role in major depression disorder (MDD). Diurnal rhythms are disturbed, there is increased resistance to the feedback action of glucocorticoids, excess cortisol may induce MDD, basal levels may be higher and the post-awakening cortisol surge accentuated in those at risk for MDD. Does this suggest new avenues for studying MDD or its clinical management?

Method. The relevant literature was reviewed.

Results. Cortisol contributes to genetic variants for the risk for MDD and the way that environmental events amplify risk. The corticoids' influence begins prenatally, but continues into adulthood. The impact of cortisol at each phase depends not only on its interaction with other factors, such as psychological traits and genetic variants, but also on events that have, or have not, occurred previously.

Conclusions. This review suggests that the time is now right for serious consideration of the role of cortisol in a clinical context. Estimates of cortisol levels and the shape of the diurnal rhythm might well guide the understanding of subtypes of MDD and yield additional indicators for optimal treatment. Patients with disturbed cortisol rhythms might benefit from restitution of those rhythms; they may be distinct from those with more generally elevated levels, who might benefit from cortisol blockade. Higher levels of cortisol are a risk for subsequent depression. Should manipulation of cortisol or its receptors be considered as a preventive measure for some of those at very high risk of future MDD, or to reduce other cortisol-related consequences such as long-term cognitive decline?

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Introduction

There is little doubt that cortisol plays a central role in the onset and course of major depression disorder (MDD) but considerable uncertainty over exactly what that role might be. Diurnal rhythms in cortisol are disturbed in around half the cases of major depression (Sachar *et al.* 1973); there is increased resistance to the feedback action of glucocorticoids on the activity of the hypothalamo–pituitary–adrenal (HPA) axis in a proportion of cases (Carroll *et al.* 1968; Carroll, 1982); the post-awakening surge in cortisol is accentuated in those at risk for MDD (Portella *et al.* 2005); prolonged excess corticoids, whether endogenous (as in Cushing's syndrome) or exogenous (therapeutic), may result in depression (Butler & Besser, 1968; Jeffcoate *et al.* 1979; Lewis & Smith, 1983); and morning

cortisol levels at the higher end of the normal range are a risk factor for subsequent depression (Goodyer *et al.* 2000; Harris *et al.* 2000). Can we make sense of these disparate findings? Are these changes in cortisol epiphenomena of depression, or do they play a substantial role in the onset, recovery or course of a depressive episode? That is, are they cause or effect? Indeed, should assessment of cortisol play any part in routine clinical management of MDD? Could this be used either diagnostically or therapeutically?

The true meaning of the role of cortisol is only apparent in the context of a schema for the development of MDD. This schema is limited by uncertainties over the definition of MDD, which will remain as long as symptoms are the only means to define it, by doubts about the validity of the psychological concepts used to describe risk patterns, by a lack of physiological attributes of MDD, and by difficulties of combining measures from very different disciplines into a coherent account of the trajectories that lead to MDD. Any schema is therefore certainly incomplete and probably

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inaccurate. Nevertheless, we need to see how far we can fit cortisol into one. In this review, we address the following questions:

- (1) Could information about cortisol levels and their daily variation guide improved understanding of subtypes of MDD and yield additional indicators for optimal treatment?
- (2) Could restoration of disturbed cortisol rhythms and/or cortisol receptor blockade form part of the treatment of different forms of MDD?
- (3) Could manipulation of cortisol in those at increased risk of MDD form part of a preventive strategy?

Several principles underlie the role of cortisol: (i) there are a number of predisposing factors (including cortisol) for subsequent MDD, and these interact; (ii) these factors may well exert their influence throughout the lifespan; (iii) the way each factor operates, and the influence that it has, will change at different stages of the lifespan; (iv) the effect(s) of each factor will depend not only on their contemporaneous interaction but also on events that have (or have not) occurred previously; and (v) the response of the brain to agents such as cortisol (but also to environmental events) will change with age. Thus there is a chain of probabilistic events that, in sequence, increase or decrease the likelihood of MDD. How are these influenced by cortisol?

Cortisol rhythms and MDD

Alterations in the diurnal rhythms of cortisol are well known to occur in some cases of MDD. About 50% of patients with MDD show elevated evening levels (Claustrat *et al.* 1984; O'Brien *et al.* 2004) related to increased pulsatile release of cortisol (Deuschle *et al.* 1997). Not all reports agree on this: exceptions include elevated morning cortisol or no change at all (Bridges & Jones, 1966; Koenigsberg *et al.* 2004). Efforts to relate variations in the clinical symptoms of MDD to cortisol rhythms have not been very successful (Veen *et al.* 2010; Vreeburg *et al.* 2010). Depressive symptoms even in very young children are also associated with increased HPA activity (Luby *et al.* 2003). Adrenal gland volumes may be increased (Rubin *et al.* 1995). It is not clear whether these reflect different types of depression or different samples. If we are to assess these changes, we need to consider the implications of altered cortisol rhythms.

Cortisol is secreted as a series of ultradian pulses (shorter than a day, but longer than an hour), and these vary in amplitude and frequency. Altered pulses underlie both diurnal rhythms in cortisol, which are very prominent, and the reaction to stressful events

(Lightman & Conway-Campbell, 2010) (Fig. 1). Defining the precise nature of altered cortisol is not straightforward. For example, higher cortisol in the morning will increase the overall (mean) daily value, but also the amplitude of the diurnal rhythm (if levels later in the day do not alter). Either parameter may have specific consequences for brain function. Either pattern can be altered selectively in other ways; for example, both morning and evening levels can increase proportionately, thus increasing mean exposure but not altering the rhythm. Elevated morning levels may be the consequence of increased pulse amplitude, frequency, or both. Each ultradian pulse can result in a corresponding activation of a set of genes, although whether different frequencies of ultradian pulses result in different patterns of gene activation remains uncertain (Hazra *et al.* 2007; Conway-Campbell *et al.* 2010; McMaster *et al.* 2011). Finally, the brain's response may also depend on the duration of altered cortisol: whether hours, days or weeks.

Cortisol as a driver of the circadian system

Cortisol is not only part of the circadian system; it also helps to organize it. There is a more general disturbance in circadian physiology in MDD, including sleep and body temperature rhythms (von Zerssen *et al.* 1985; Wirz-Justice, 2006). Early awakening and altered temperature and melatonin cycles occur in MDD; in recovered patients, they resume a more normal pattern (Hasler *et al.* 2010). The hypothalamic supra-chiasmatic nucleus (SCN) generates the circadian rhythm through interplay between the phasic expression of several genes, including *per1* and *per2*, *bmal1*, *cry* and *clock*, which are synchronized to the 24-h light-dark cycle by signals from the eyes (Hastings *et al.* 2008). Other tissues, including parts of the brain (e.g. the hippocampus), also express these 'clock' genes. Some, but not all, of these are synchronized by the daily corticoid rhythm (Maywood *et al.* 2006, 2007). For example, the phasic expression of *per2* in the hippocampus, but not the SCN, is driven by the daily rhythm of corticosterone in rats (Gilhooley *et al.* 2011). Other genes, not themselves part of a 'clock' mechanism, also respond phasically to corticoids. Thus, disturbances in the corticoid rhythm can have several consequences for gene expression in target organs (including the brain): altered rhythms in dependent clock genes; altered rhythms in genes driven by the daily corticoid rhythm; and desynchronization of those genes that are corticoid dependent from those that are not and that may continue their normal cyclicity (Hasler *et al.* 2010). Desynchronization and disruption of the normal circadian programme can have behavioural consequences similar to MDD, as in the

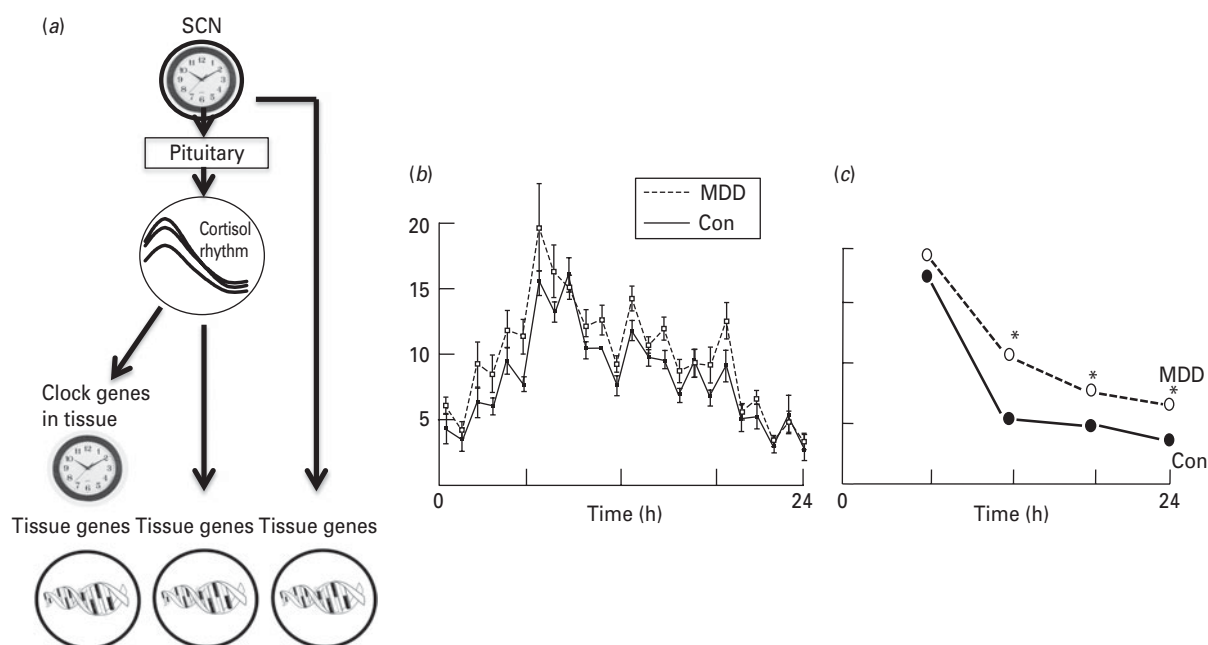


Fig. 1. (a) The entrainment of dependent genes by the circadian clock. The diurnal cortisol rhythm is generated by the 'clock' genes of the suprachiasmatic nucleus (SCN) acting through the pituitary (adrenocorticotrophic hormone, ACTH) and thence the adrenal cortex. (Left) Rhythmic cortisol secretion entrains 'clock' genes in target tissues (including the brain) or (centre) acts directly on corticoid-responsive genes. Other peripheral rhythms (right) are entrained independently of cortisol. (b) Ultradian pulses of cortisol underlying the circadian cortisol rhythm in control (Con) and depressed (MDD) subjects (from Young *et al.* 2001). (c) The shape of the daily rhythm as it appears from four samples per day. Samples from older depressed subjects have blunted afternoon and evening nadirs compared to controls. * Indicates significant difference between controls (Con) and those with depression (MDD) (Drawn from data in O'Brien *et al.* 2004.)

phenomenon of jetlag, characterized by a lowered sense of well-being, depressed mood and impaired cognitive ability (Srinivasan *et al.* 2010; Menet & Rosbash, 2011).

Cortisol and awakening

For 30–60 min after awakening there is a surge of cortisol secretion (Hucklebridge *et al.* 1998). The awakening response is not itself a rhythmic event because it is linked to wakening, although sleep is part of diurnal rhythmic activity. The significance of the cortisol awakening response (CAR) has been much discussed, and remains unclear (Clow *et al.* 2004). This makes any association with MDD difficult to interpret. Moreover, the literature on CAR is not consistent. Poor parenting, a known risk factor for later MDD, is associated with increased CAR (Engert *et al.* 2011), but lower values were reported in those at high risk for MDD or with subclinical depressive symptoms (Dedovic *et al.* 2010). Others report increased CAR both in high-risk subjects and in those with MDD (Bhagwagar *et al.* 2005; Mannie *et al.* 2007), and also an association with a history of maternal MDD and low positive emotionality (Dougherty *et al.* 2009). Claims that the CAR may

indicate vulnerability to MDD are limited until its significance is better understood, and its relationship to other genetic, psychological and social measures has been more firmly established.

Cortisol as a risk factor for MDD

Levels of morning cortisol in the higher range of normality are one risk factor for subsequent MDD (Goodyer *et al.* 2000; Harris *et al.* 2000) and higher cortisol is associated with MDD in cases of myocardial infarction (Otte *et al.* 2004) (Fig. 2). Altered cortisol rhythms may be another (Wichers *et al.* 2008). We know from other contexts that excess corticoids can endanger the brain, making it more vulnerable to noxious agents that, in the absence of raised corticoids, would not necessarily be damaging (Masters *et al.* 1989; Tombaugh *et al.* 1992). We can translate this notion to MDD: because we know that adversity (both early in life and more proximally) predisposes to MDD (Parker & Brown, 1982; Brown *et al.* 1987) (Kendler *et al.* 2004), higher cortisol may potentiate the psychopathological actions of these agents in a similar way (Gubba *et al.* 2000). The problem here is a pragmatic one: it has proved very difficult to

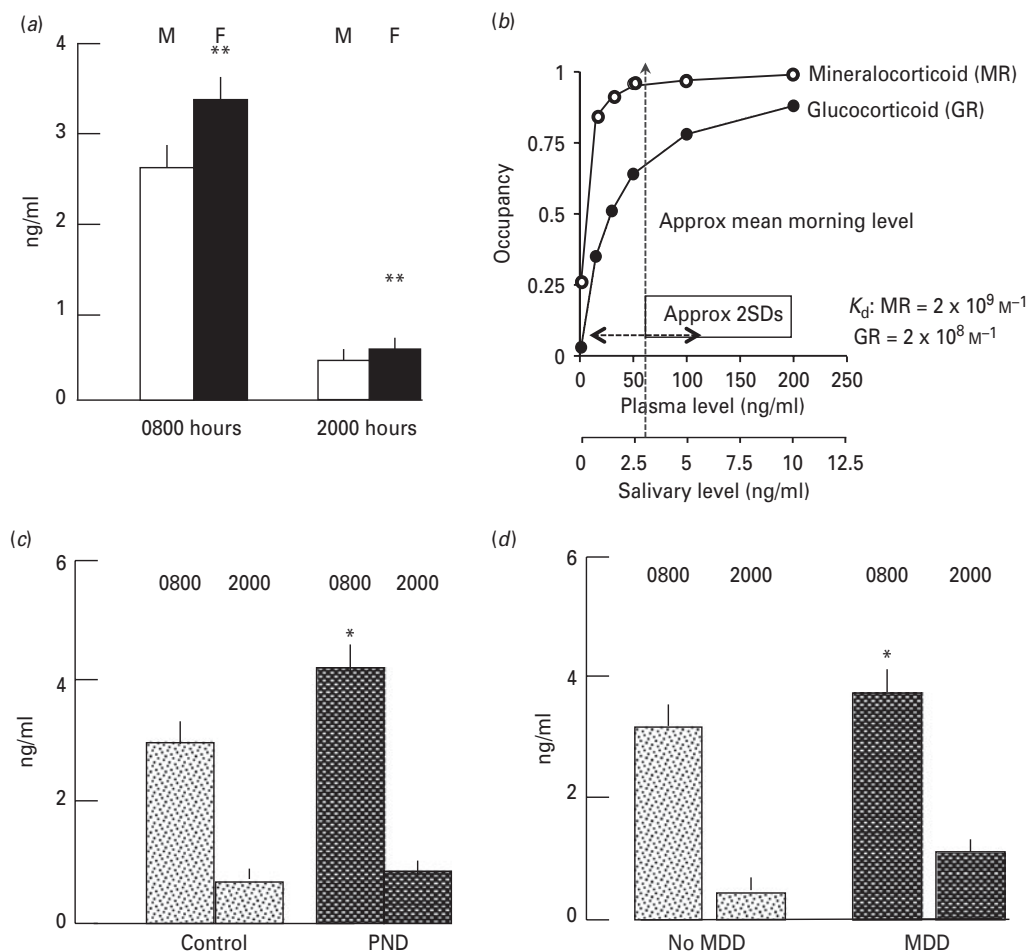


Fig. 2. (a) Gender differences in salivary cortisol in non-depressed male (M) and female (F) adolescents (after Netherton *et al.* 2004). (b) Saturation curves for glucocorticoid (GR) and mineralocorticoid receptors (MR), showing that MR is saturated at normal morning (but not evening) cortisol levels, whereas GR is not. This assumes that cerebrospinal fluid (CSF) and salivary levels of cortisol are similar (see text). (c) Higher morning cortisol at age 13 in offspring of mothers with postnatal depression (PND), and hence impaired maternal behaviour (from Halligan *et al.* 2004). (d) Higher morning levels of salivary cortisol in non-depressed adolescents who will develop first-onset major depression disorder (MDD) during a 4-year follow-up (from Goodyer *et al.* 2000). * Indicates a significance level of $p < 0.05$ between the two groups; ** $p < 0.01$.

measure cortisol in the days or weeks following individual life events, and thus predict from this measure whether the probability of subsequent MDD is altered.

Corticoids act on the mineralo- and glucocorticoid receptors (MRs and GRs) and hence downstream genes (Joels & de Kloet, 1994). GRs are widely distributed in the brain (including the frontal and cingulate cortices), but particularly highly concentrated in the hippocampus, amygdala and hypothalamus (Rosenfeld *et al.* 1993). MR expression is much more limited to these limbic areas (Reul *et al.* 2000), but has also been implicated in MDD (Young *et al.* 2003). Which area, or receptor type, might be involved in the risk represented by altered morning cortisol is not obvious (de Kloet *et al.* 2007), although because MRs are largely saturated by fairly modest levels

of cortisol, excess levels are more likely to be sensed by GRs. (Fig. 2). Neither can we easily follow the trail offered by GR- or MR-responsive downstream genes as there are many that possess glucocorticoid response elements (GREs) (Santos *et al.* 2011). GREs have a variety of effects on other genes, including chromatin modifications (e.g. deacetylation) and recruitment of co-factors that may moderate glucocorticoid action (Santos *et al.* 2011). Another complication is that some genes that respond to corticoids, such as brain-derived neurotrophic growth factor (BDNF), do not contain a GRE. Action on them must therefore be indirect. Corticoids also act on membrane-bound receptors (e.g. *N*-methyl-D-aspartate, NMDA), which may have more direct, non-genomic, actions on neuronal function. Both glutamate and gamma-aminobutyric acid (GABA), which can respond to corticoids, have

been implicated in MDD (Gutierrez-Mecinas *et al.* 2011).

The paragraphs above presuppose that we know what is meant by an 'optimal' level of cortisol but, in truth, this is far from clear (Herbert *et al.* 2006). 'Normal range' values may simply reflect individual variations in requirements for cortisol. Optimal levels vary even within an individual according to circumstance: Addisonian patients need different amounts of corticoids and are required to increase their dose if they become ill (Reisch & Arlt, 2009). We can suppose, therefore, that there are individual optimal ranges for cortisol, whether defined in absolute terms or as the shape or amplitude of the daily cortisol rhythm. These may vary both between and within individuals according to current circumstances. What determines this optimal range is currently unknown, but deviations from it may predispose the individual to the psychopathological effects discussed here.

Cortisol as a reflection of the interaction between genes and environment

What determines individual differences in cortisol levels? The limited studies on monozygotic (MZ) and dizygotic (DZ) twins suggest that genetic factors account for 50–60% of the variance (Hainer *et al.* 2001; Ouellet-Morin *et al.* 2008). The identities of the genes responsible are mostly unknown, although variants in the promoter region of the serotonin transporter (*hSERT*, *5HTTLPR*, *SLC6A4*) may contribute ('s' variants have higher levels) (Chen *et al.* 2009; Goodyer *et al.* 2009). The same gene is held to accentuate reactions to adverse life events (Caspi *et al.* 2003), which may, in turn, alter cortisol. Gender is another factor: both female rats and humans have higher levels of glucocorticoids (cortisol or corticosterone) than males (Netherton *et al.* 2004; Lightman *et al.* 2008) (Fig. 2). Oestrogens have a positive action on cortisol and corticosteroid-binding globulin (CBG) levels (Feldman *et al.* 1979; White *et al.* 2006), which may account for the fact that this sex difference is not observed in prepubertal children (Netherton *et al.* 2004). It is noteworthy that there is a marked excess of MDD in postpubertal females: do their increased cortisol levels contribute to this gender difference? Early adversity, for example impaired parenting resulting from maternal depression, may have lasting consequences for raised morning cortisol and the risk for MDD in later life (Halligan *et al.* 2004) (Fig. 2). The effect of early adversity on later cortisol levels might well be moderated by genetic variants in the child, such as the Val⁶⁶Met polymorphism in BDNF (Kaufman *et al.* 2006), although this has not yet been determined.

Early adversity can also accentuate the HPA response in later life to demand or 'stress' (Engert *et al.* 2010; van der Vegt *et al.* 2010; Hunter *et al.* 2011). Additional cortisol may itself represent an added risk for a psychopathological outcome; or cortisol may be simply a proxy for, or indicator of, accentuated stress responses, contributing nothing to the psychological outcome of those events. The evidence strongly points to the first proposition. As mentioned previously, Cushing's disease or prolonged corticosteroid therapy is known to induce MDD, but also mania (Starkman *et al.* 1981). Corticosterone accentuates the impact of fear-inducing stimuli in experimental animals (Roosendaal *et al.* 2009; Zamudio *et al.* 2009), and short-term administration to humans males has a similar effect (Merz *et al.* 2010) (although we should bear in mind the possible differences on behaviour of long- or short-term raised cortisol, discussed later).

Glucocorticoid feedback and depression

Increased resistance of the HPA axis to the feedback effect of dexamethasone (dexamethasone suppression test, DST) on blood cortisol levels in some cases of MDD was reported 40 years ago (Carroll, 1982, 1986). The high hopes that this might evolve into a laboratory test for MDD have not been realized. Neither has the DST given much insight into the derangement of the HPA axis in MDD. Various modifications, such as measuring the cortisol response to corticotrophin-releasing factor (CRF) following suppression by dexamethasone, have not improved the situation markedly, although there have been contrary claims (Holsboer *et al.* 1994). Dexamethasone is a 'pure' glucocorticoid (i.e. it has little action on MR) but there are several problems with the DST. It is not specific for MDD (even if the latter is a single disease); for example, food restriction induces a positive DST (Mullen *et al.* 1986), and only a proportion of MDD cases qualify as non-suppressors (the definition is an arbitrary one) (Brown & Shuey, 1980). There have also been claims that the DST differentiates different types of MDD (e.g. 'primary' and 'secondary' depression) (Schlesser *et al.* 1980) but this has not been established. Dexamethasone penetrates the blood-brain barrier rather poorly, and is exported by the P-glycoprotein transporter (Uchida *et al.* 2011). Thus the physiological information offered by the DST is unclear. The simple notion that it indicates a high drive state of the HPA axis is not convincing because correlations between the DST and basal levels of cortisol are not consistent (Asnis *et al.* 1982; Pfohl *et al.* 1985; Kathol *et al.* 1989; Rush *et al.* 1996).

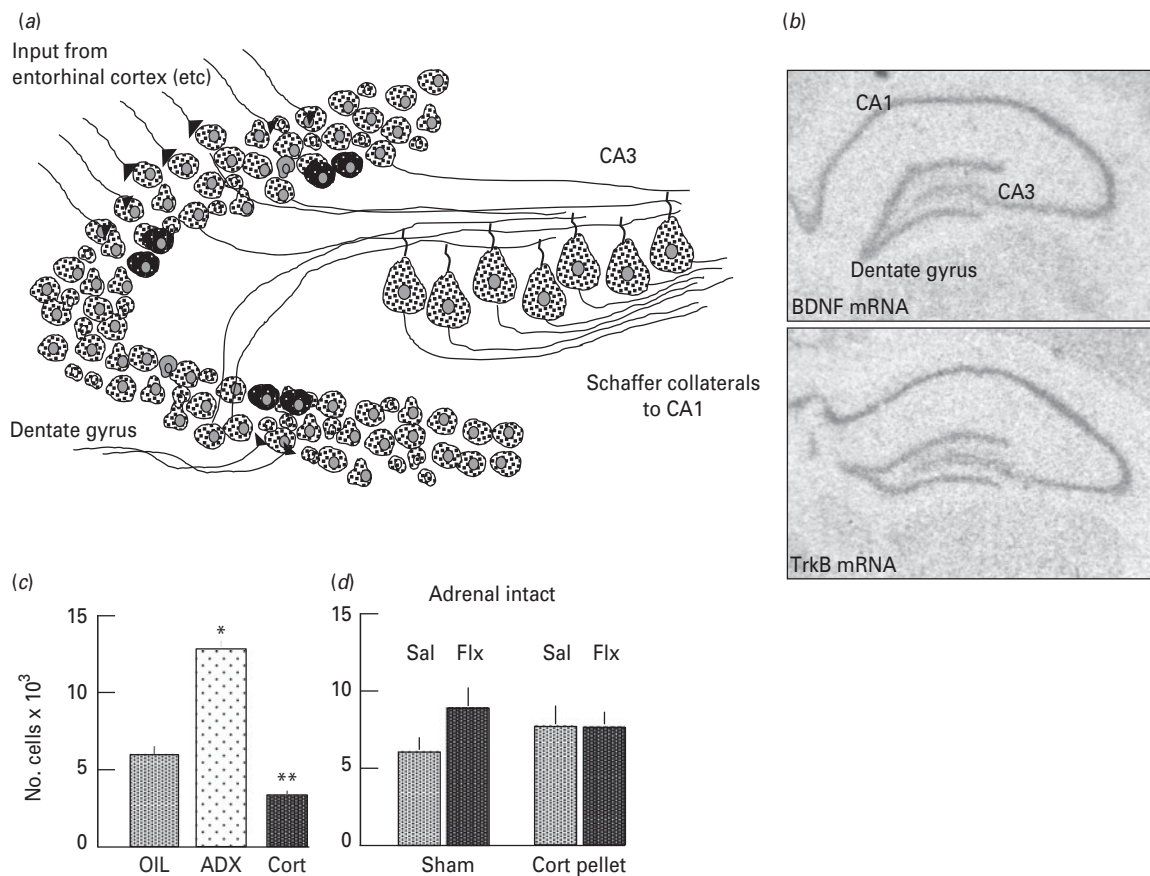


Fig. 3. (a) Neurogenesis in the adult hippocampus. The darker cells in the inner layer of the dentate gyrus are dividing progenitor cells. (b) *In situ* hybridizations showing high levels of brain-derived neurotrophic growth factor (BDNF) and tropomyosin-related kinase B (TrkB) receptor mRNAs in the hippocampus of the rat (from Pinnock *et al.* 2010). (c) Adrenalectomy (ADX) increases the number of dividing progenitor cells in the dentate gyrus whereas excess corticosterone (Cort) suppresses it. OIL injected animals are controls. (d) Fluoxetine (Flx) increases progenitor cell division (mitosis) in control rats, but not those in which the daily corticosterone rhythm has been clamped by subcutaneous implant of a pellet of corticosterone (from Huang & Herbert, 2006). * Indicates a significance level of $p < 0.05$ between the two groups; ** $p < 0.01$.

Cortisol as a moderator of response to treatment

Elevated cortisol in MDD suggests that blocking GRs (e.g. with mifepristone) might be useful. Some report rapid resolution of symptoms (Belanoff *et al.* 2001; Flores *et al.* 2006), others less dramatic results (Simpson *et al.* 2005). There has been little attempt to relate response to this treatment with prevailing differences in cortisol secretion, or to consider whether a time-dependent use of drugs such as mifepristone might be required in some cases (for example, to restore the normal cortisol rhythm and sensitize patients to antidepressants). It should be noted that mifepristone also antagonizes progesterone receptors. Of note, a case of Cushing's-induced psychosis responded to mifepristone (Chu *et al.* 2001), supporting the notion that corticoid-dependent forms of MDD might respond to GR blockade. Clearly much more information on the contribution of GR antagonists to the

treatment of MDD is required; a simple overall blockade might not be the optimal approach in some cases of established MDD (e.g. those with disordered rhythms). There are reports of improved mood in MDD subjects after either a cortisol infusion (Goodwin *et al.* 1992) or dexamethasone in a dose that would suppress elevated cortisol (Dinan *et al.* 1997). Can these results be reinterpreted in the light of the more complex ideas about variations in cortisol in MDD set out above? Behavioural approaches to treatment (e.g. cognitive behavioural therapy, CBT) might also be sensitive to either endogenous patterns of cortisol or imposed alterations in corticoid activity: this avenue should no longer be ignored.

Disordered corticoids may contribute to the ineffectiveness of antidepressant treatment. This is illustrated by the action of glucocorticoids on the hippocampus. The granule neurons of the dentate gyrus, the major input zone to the hippocampus from the

entorhinal cortex and the site of adult neurogenesis, project to the large pyramidal cells of layer CA3 in the 'cortical' hippocampus; these, in turn, project, through the Shaffer collaterals, to the pyramidal cells of CA1 (Fig. 3). There is a complex non-uniform pattern of gene expression throughout CA1 (Dong *et al.* 2009). Different parts of the hippocampus may be involved in many neural disorders, including MDD (Small *et al.* 2011). Because the hippocampus expresses very high concentrations of both GRs and MRs (de Kloet *et al.* 2000), and is highly sensitive to glucocorticoids, it is essential to consider the consequences of altered cortisol on its structure and function and how they might relate to MDD.

The formation of new neurons (neurogenesis) in the hippocampus of adult rats is exceedingly sensitive to corticosterone. Giving rats excess corticosterone markedly reduces the mitosis rates of hippocampal progenitor cells and the survival of newly formed neurons (Wong & Herbert, 2004, 2006) (Fig. 3). Hippocampal neurogenesis also occurs in man (Eriksson *et al.* 1998), although it is not known whether it is moderated by cortisol. Serotonin-acting drugs, such as selective serotonin reuptake inhibitors (SSRIs, e.g. fluoxetine) increase progenitor mitosis rates in rats, although only after about 14 days of continuous treatment, and may act on the GR (Malberg *et al.* 2000; Anacker *et al.* 2011). It is this fact, together with reports that some of the behavioural actions of SSRIs are prevented if increased neurogenesis is also blocked, that has encouraged the idea that altered hippocampal neurogenesis is in some way related to either the onset of depression or the therapeutic action of antidepressant drugs (Duman *et al.* 1999; Malberg, 2004). Flattening the daily corticosterone rhythm in rats prevents fluoxetine from stimulating progenitor mitosis, an effect that can be reconstituted by adding a daily injection of corticosterone, thus reinstating the daily rhythm (Huang & Herbert, 2006; Pinnock *et al.* 2007) (Fig. 3). A considerable proportion of depressed subjects do not respond to antidepressant drugs such as fluoxetine (around 40% in some reports) (Birkenhager *et al.* 2006). We do not know whether non-response is related to distorted daily cortisol rhythms, or whether restitution of these rhythms (for example by administration in the morning of a low dose of cortisol) might assist pharmacological responsiveness. Such investigations might be highly informative.

Neurogenesis is not the only way that excess glucocorticoids can alter the adult hippocampus. Repeated stress results in atrophy of the apical dendrites of CA1 neurons (Watanabe *et al.* 1992), a result replicated by excess corticosterone in both intact rats and tissue culture (Magarinos & McEwen, 1995; Alvarez *et al.* 2009). Both GR blockade and inhibition or

knockdown of the NMDA receptor prevents this, by an action on CA3 and on CA1 (Christian *et al.* 2010). It seems clear that the whole of the three-neuron hippocampal circuit is extremely sensitive to corticoids. How this translates into an improved understanding of MDD is considered in the following sections.

Cortisol interactions with CRF

Any discussion of the HPA axis cannot ignore the role of corticotropin-releasing factor (CRF). Like other peptides, its name underestimates its function, which is to coordinate an adaptive response to stress that includes not only endocrine but also autonomic and behavioural responses (Mayer & Baldi, 1991; Herbert, 1993). CRF drives the HPA system (in association with other factors, including arginine vasopressin, AVP) and also responds to alterations in cortisol. This response may differ according to region: corticoids suppress hypothalamic CRF but increase levels in the amygdala (Schulkin, 2011). Because the amygdala is involved in fear and anxiety reactions, this is of particular interest. Experimentally, CRF increases anxiety (Britton *et al.* 1982). There are reports of elevated CRF levels in the cerebrospinal fluid (CSF) of depressed subjects (Arborelius *et al.* 1999). Although there are those who would ascribe depression itself to CRF (Binder & Nemeroff, 2010), it seems more likely that CRF is related either to co-morbid anxiety, a frequent occurrence in MDD, or to the disordered HPA axis described earlier.

Nevertheless, increased attention should be given to the possibility that altered CRF or its receptors, particularly in the amygdala, may interact with cortisol during episodes of MDD and other stress-related illnesses, or during the run-in to the onset of an episode following an adverse life event (Bao & Swaab, 2010), and play a role in either disordered cortisol secretion or the development of associated anxiety states. The clinical problem is that direct methods of measuring changes in CRF (unlike cortisol) are not available at present. Genetic variants in its receptor have been studied in the context of MDD without much success (Lewis *et al.* 2011) and, so far, attempts to develop new drugs for either depression or (more plausibly) anxiety disorders based on CRF have also not been very successful (Steckler, 2010).

Cortisol as a regulator of BDNF

There are numerous growth factors known in the brain, but it is BDNF that has been targeted as playing a special role in MDD. The evidence, still highly incomplete, comes from several sources. The basic

assumption is that either MDD is caused by maladaptive plasticity in the brain, or antidepressants act by allowing renewed plasticity that, in some way, restores normal function (Castren & Rantamaki, 2010). Exactly where this occurs, or how it might account for MDD, has not been specified, although the hippocampus is an obvious target: it has high concentrations of both BDNF and its principal receptor, tropomyosin-related kinase B (TrkB) (Fig. 3). BDNF is reduced in the brains of suicide victims, and drugs such as SSRIs increase levels in the hippocampus of both humans and rats (Chen *et al.* 2001; Karege *et al.* 2005). It is said that BDNF is crucial for the behavioural actions of antidepressants in experimental animals (Shirayama *et al.* 2002) but the current experimental 'models' of MDD lack convincing face and construct validity (discussed later). Nevertheless, only chronic treatments with SSRIs increase BDNF expression, consistent with the time-frame of the therapeutic response. Fluoxetine also increases plasticity in other parts of the central nervous system (CNS), such as the visual system (Maya Vetencourt *et al.* 2008), supporting the notion that this may be a mode of its action in MDD. BDNF is certainly crucial for the stimulating actions of fluoxetine on hippocampal neurogenesis and on the longer-term survival of new neurons (Sairanen *et al.* 2005; Pinnock *et al.* 2010).

Glucocorticoids have powerful effects on BDNF and on plasticity (Blugeot *et al.* 2011; Liston & Gan, 2011). Corticosterone reduces BDNF mRNA expression in the rat's hippocampus (Schaaf *et al.* 1998; Prickaerts *et al.* 2006). The stimulating action of SSRIs such as fluoxetine is prevented by excess corticosterone, probably because of inhibition of BDNF expression (Kunugi *et al.* 2010; Pinnock *et al.* 2010). Corticoids also repress the activity of TrkB (i.e. BDNF) receptors (Schaaf *et al.* 1997; Roskoden *et al.* 2004; Stranahan *et al.* 2011), thus accentuating and complicating their control of BDNF function.

There is a common polymorphism in the human *BDNF* gene (Val⁶⁶Met): about 30% of humans carry at least one copy of the Met allele. This alters the rate of secretion of pro-BDNF and BDNF. Because the two peptides act largely on different receptors (p75 and TrkB respectively), this would be expected to influence the way *BDNF* regulates neural function. Met carriers have smaller hippocampi and poorer episodic memory (Egan *et al.* 2003). Attempts to relate this polymorphism to risk for MDD have been inconsistent (Verhagen *et al.* 2010). It is now realized that defining the contribution of single gene polymorphisms to complex diseases is more informative if their interactions with specified environmental events (and other genes) are taken into account. The risk of later MDD

following early adversity is greatest in those carrying the Met variant of the *BDNF* Val⁶⁶Met polymorphisms, but also in association with the 's' allele of *hSERT* (*5HTTLPR*) (Kaufman *et al.* 2006; Yang *et al.* 2009), although this was not confirmed in adolescents (Nederhof *et al.* 2010). They also have smaller hippocampi, prefrontal cortices and amygdalae, and more depressive symptoms (Gatt *et al.* 2009). The Met allele is also associated with MDD following an adverse life event (Bukh *et al.* 2009). By contrast, the power of morning cortisol to predict later MDD was accentuated in those carrying the Val variant (Goodyer *et al.* 2010). To reconcile these reports, which are not necessarily contradictory, we need information from a single, large sample on the way that this *BDNF* variant interacts with early adversity, proximal life events and the diurnal cortisol pattern, and with other common variants (such as *hSERT*) that are known to influence cortisol levels. Even though the literature is incomplete, the experimental and clinical evidence points to a powerful role for BDNF in MDD, and one that may be strongly influenced by cortisol, although this is still a subject for debate (Groves, 2007).

Cortisol as a moderator of the depressogenic actions of the immune system

Glucocorticoids are major controllers of the immune system (Flammer & Rogatsky, 2011) (Fig. 4). Despite long-standing indicators that the immune system is implicated in MDD, this area remains under-researched. One reason for this is uncertainty about whether peripheral measures of, say, cytokines tell us very much about what might be happening in the CNS. Even if CSF levels could be measured easily, this might not reflect local changes in regions of the brain.

The induction of MDD-like states following treatment with cytokines, such as interferon- α , offered the first clue (Piser, 2010). Stress increases neural cytokines, including interleukin (IL)-1 β and IL-6 (Gadek-Michalska *et al.* 2008; Garcia-Bueno *et al.* 2008). Experimental intracerebral infusions of cytokines such as IL-1 β or IL-6 induce a syndrome called 'sickness behaviour' (Lenczowski *et al.* 1999; Dantzer *et al.* 2008), which includes social withdrawal, cognitive impairment, anhedonia and increased activity of the HPA axis, a much more convincing 'model' for MDD than other more commonly used procedures such as rats struggling in warm water or mice suspended by the tail, the usual experimental methods of assessing 'depressive' behaviour (Dantzer, 2009) (Fig. 4). IL-1 β also reduces neurogenesis (Gemma *et al.* 2007; Zunszain *et al.* 2012). There are persistent reports of elevated cytokines such as tumour necrosis factor (TNF)- α and IL-6 in the blood, and also C-reactive

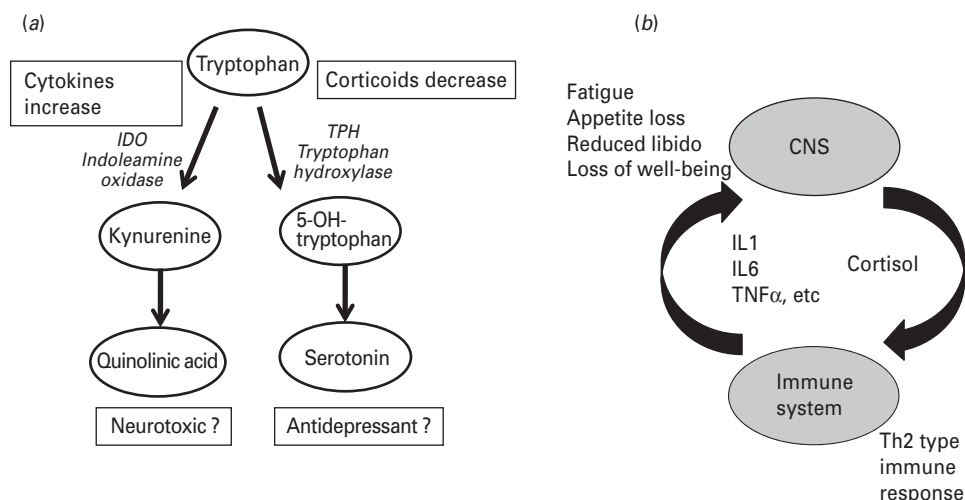


Fig. 4. (a) The two metabolic pathways of tryptophan (kynurenine and hence quinolinic acid, or 5-OH tryptophan and hence serotonin). (b) The reciprocal interactions between cortisol and the immune system. CNS, Central nervous system; IL-1, interleukin-1; IL-6, interleukin-6; TNF- α , tumour necrosis- α ; Th2, T-helper 2.

protein, in MDD (Kahl *et al.* 2006; Dowlati *et al.* 2010; Copeland *et al.* 2012), although we need to know how these are reflected in brain levels or function.

Glucocorticoids decrease the expression of tryptophan hydroxylase type 2 (TPH2), the brain isoform of this enzyme (Clark *et al.* 2008) (Fig. 4). This will both reduce serotonin and increase the activity of the alternative tryptophan pathway products (including the production of quinolinic acid, a neurotoxin), through indoleamine 2,3-dioxygenase (Capuron & Dantzer, 2003). This enzyme is stimulated by cytokines (Maes, 2011) and thus encourages the formation of quinolinic acid. Both processes may therefore contribute in parallel to MDD (Oxenkrug, 2010; Dantzer *et al.* 2011; Maes *et al.* 2011); antidepressants increase TPH2 (Heyndael & Jacobson, 2009).

There is a paradox: if corticoids reduce pro-inflammatory cytokines then higher levels should protect against MDD. However, pro-inflammatory cytokines may also interfere with GR activity, which suggests a more complex interaction (Pace & Miller, 2009). Furthermore, the interaction between cortisol and cytokines is two-way: cytokines stimulate HPA activity but cortisol may dampen the pro-inflammatory actions of cytokines. It may be that the balance between these interactions varies, so that in some cases one or other predominates (van der Meer *et al.* 1996).

Cortisol moderation by dehydroepiandrosterone (DHEA)

DHEA and its sulfate (DHEAS) are the most abundant steroids in the blood. Levels rise rapidly at adrenarche (around 8 years) to peak at about 20 years, and then

decline progressively with age at individually variable rates (Orentreich *et al.* 1984, 1992). DHEA moderates the potency of cortisol on corticoid-sensitive tissues such as the thymus (May *et al.* 1990) and lymphocytes (Blauer *et al.* 1991; Buford & Willoughby, 2008); it prevents the suppressive actions of corticosterone on neurogenesis in the adult hippocampus (Karishma & Herbert, 2002); and levels have been reported to be lowered during MDD (Goodyer *et al.* 2000; Michael *et al.* 2000) and in other serious illnesses (Beishuizen *et al.* 2002). If the cortisol/DHEA ratio increases, then a given level of cortisol will gain potency. DHEA enters the CSF in about the same proportion as cortisol, but DHEAS much less, so that the proportion of the two forms in the CSF are not the same as in the blood (Guazzo *et al.* 1996).

The contribution of cortisol to the risk of MDD, its symptoms or progression may not be assessed accurately if current levels of DHEA are not taken into account. Because DHEA has such powerful actions on the immune system (Khorram *et al.* 1997; Buford & Willoughby, 2008), whether it moderates cytokine production in the brain, and hence MDD, needs to be assessed. DHEAS was unable to prevent 'sickness behaviour' induced by lipopolysaccharide (LPS) (Chen & Johnson, 2004), but there is no other information. We know nothing about the possible role of DHEA in stress reactions during the prenatal period. Cortisol/DHEA ratios are increased in treatment-resistant MDD (Markopoulou *et al.* 2009). DHEA has been tried as an antidepressant therapy with some success (Wolkowitz *et al.* 1999; Schmidt *et al.* 2005; Rabkin *et al.* 2006), but has not yet been accepted in clinical practice. There is an arguable case that DHEA therapy

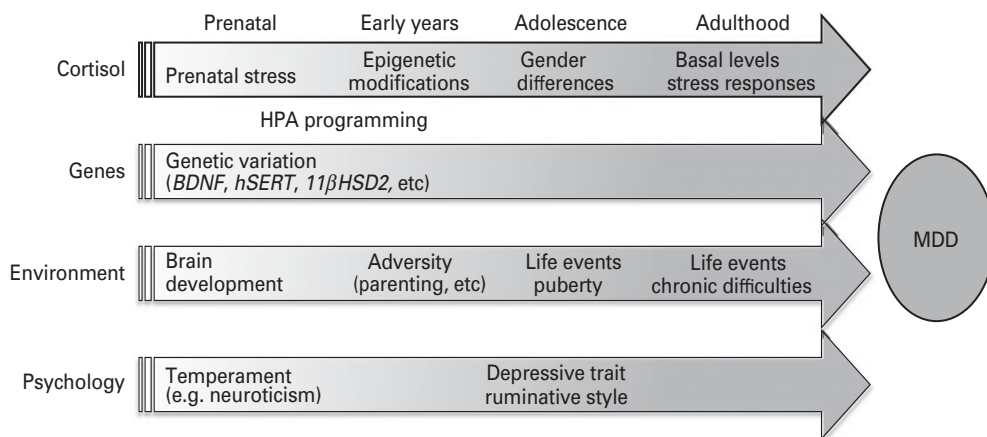


Fig. 5. Diagrammatic representation of the role of cortisol in the lifespan trajectories that predispose to the onset of major depression disorder (MDD). Each arrow represents the lifelong (but variable) influence of four major factors determining the risk for MDD. There are multiple interactions (often bidirectional) between these four factors that vary according to stage of maturation and upon the presence or absence of preceding interactions. These are not shown in the figure but may be inferred, and are discussed in the text. HPA, Hypothalamo–pituitary–adrenal.

might be included together with the manipulations of cortisol described elsewhere in this review in future antidepressive therapies or preventive strategies. Cortisol tends to increase with age as DHEA declines (Lupien *et al.* 2009), so therapy incorporating DHEA may be particularly relevant in older people. DHEA protects hippocampal neurons against the neurotoxic effects of glutamate (Kimonides *et al.* 1998), so DHEA treatment might well diminish the risk of age-related neurodegeneration as well (Kohler *et al.* 2010; Franz *et al.* 2011).

Cortisol and the brain

Can we map these various actions of cortisol onto the brain? Because the symptoms of MDD include both emotional and cognitive components, this implicates potentially a considerable part of the brain. Nevertheless, the current evidence points to several brain regions that seem particularly involved in MDD, including various parts of the frontal lobe (orbital, area 25, anterior cingulate), the amygdala, the hippocampus and, perhaps, the habenula, which have established neural connections with each other (Almeida *et al.* 2003; Pezawas *et al.* 2005; Sartorius & Henn, 2007; Kennedy *et al.* 2011). It seems likely that MDD, even if it is a single disorder (a dubious assumption), represents a disorder of this network, and manipulations (e.g. electrical stimulation) of part of it may restore more normal function in the whole (Mayberg *et al.* 2005). Can we envisage cortisol acting as a similar nodal factor in part of this network? There are two caveats: the role of cortisol as a risk factor for MDD seems distinct from alterations that are part of the phenomenon of established MDD, as we have seen;

and the widespread distribution of GRs within all the areas listed above, in addition to other equally widespread cellular actions such as those on glutamate, discourages such a conclusion. However, it remains plausible that the risk-enhancing actions of cortisol may be dependent upon effects either on a different cellular pathway or on a different part of the network from those during an episode of MDD. Furthermore, individual changes in regional differences in the sensitivity of the brain to corticoids set up, for example, by differential local epigenetic events may play a role in the way that cortisol influences particular cognitive or emotional functions.

Cortisol as a component of a schema for depression

How can the information on cortisol be integrated into an improved understanding of the processes leading to MDD? (Fig. 5). A simple schema for events immediately proximal to an episode of MDD would be: a severe life event occurs; and the response to this is moderated by the subject's temperament (reactivity), current levels of depressive symptoms (including self-esteem) and the cognitive response to the life event, such a ruminative thinking style (McLaughlin & Nolen-Hoeksema, 2011). These, of course, are not necessarily independent but depend upon antecedent factors. The precipitating event (such as a severe loss) triggers either a progressive process or an iterative one (e.g. ruminative thinking) that is represented by the latent period preceding the onset of MDD (several weeks or months). What these might be or where in the brain they happen is still obscure. Does cortisol play a role in these proximal or more distal events?

The process begins prenatally (Fig. 5). Genetic factors play a major part in determining risks for MDD. However, even in the protected environment of the uterus, prenatal events can influence later HPA function in ways that can influence the likelihood of subsequent MDD. The offspring of stressed pregnant rats show heightened corticosterone levels and increased levels of anxiety, fear and HPA responses to stress (Nishi *et al.* 2011). This can be replicated by treating dams with corticosterone, and prevented by removal of their adrenals, thus implicating exposure to increased prenatal corticoids (Salomon *et al.* 2011). Similar results follow reduction of 11 β -hydroxysteroid dehydrogenase type 2 (11 β HSD2), which acts as a foetal barrier to maternal corticosterone (Seckl & Holmes, 2007). Prenatal dexamethasone treatment increases both basal and stress-induced cortisol levels in infant monkeys, and decreases the size of the hippocampus (Uno *et al.* 1994). Prenatal corticoids therefore contribute to postnatal behavioural traits (e.g. anxiety) and to HPA activity ('programming') in rats, although, as expected, they can be moderated by genetic variations such as those in the serotonin transporter (Belay *et al.* 2011). Other components of the HPA axis, including variations in CRF or its receptors, may also play a significant part in shaping the pre- and postnatal brain (Ivy *et al.* 2010). Do comparable events occur in humans? There are parallels (O'Regan *et al.* 2001) but the evidence is less complete. Although prenatal maternal stress can influence the child's later emotional and cognitive behaviour, whether corticoids are implicated remains unproven (Glover *et al.* 2010), although the weight of evidence from other species, including primates, makes it likely.

Alterations in corticoid receptor function are as important as those in the agonist (van Rossum & van den Akker, 2011). It is noteworthy that exonic polymorphisms (single nucleotide polymorphisms, SNPs) in *GR* and *MR* and also in the *11 β HSD* genes are rare, suggesting that they are tightly conserved, unlike, for example, other genes associated with MDD such as *hSERT* or *BDNF*. It may be that any change in *GR* is deleterious (van Rossum *et al.* 2005), whereas a given variation in *hSERT* and *BDNF* may be either disadvantageous or even advantageous according to context (Homberg & Lesch, 2011). Variations in other genes that modulate *GR* function, such as *FKBP5*, or downstream variations in, for example, transcription factors, may contribute to individual risk (Zimmermann *et al.* 2011). We should also note that the contribution of *MR* and aldosterone, its principal agonist, to MDD have hardly been studied despite experimental evidence for the importance of *MR/GR* interactions (Joels & de Kloet, 1994; de Kloet *et al.*

2000) and evidence that *MR* variants may contribute to human emotionality (DeRijk *et al.* 2011).

Poor parenting may alter glucocorticoid-related genes (e.g. *GR*) in rodents by epigenetic modifications such as methylation (Alikhani-Koopaei *et al.* 2004; Meaney & Szyf, 2005; Szyf *et al.* 2005; McGowan *et al.* 2011). Methylation (or acetylation) of DNA alters a gene's expression for prolonged, even lifetime, periods (Fig. 5). Comparisons between rodents and humans must be tempered by the knowledge that rodents are born highly immature (altricial) compared to humans. Hence some of the postnatal processes described in rodents may occur prenatally in man. There was no alteration in *GR* methylation in the brains of suicide victims (Alt *et al.* 2010); however, methylation of *GR* in the blood was increased in infants with depressed mothers (Oberlander *et al.* 2008). Measuring methylation patterns in blood may not give an accurate representation of those in the CNS (Turner *et al.* 2010; Cao-Lei *et al.* 2011; Wiench *et al.* 2011). This area of research is only at its beginning.

The surge of MDD that occurs during adolescence and in subsequent years thus occurs on the back of pre- and postnatal events (Fig. 5), both influenced by cortisol. The contribution of gonadal steroids to the marked sex difference in the incidence of adolescent MDD needs further study. Oestrogens markedly increase total cortisol levels in the blood, but also those of CBG (Qureshi *et al.* 2007). There is a range of psychological variables that represent risks for MDD. They include: neuroticism, a component of 'personality' or 'temperament'; levels of subclinical depressive symptoms, which includes self-esteem, an appraisal of self-worth or value; and rumination, a cognitive style for dealing with adversity (Goodyer *et al.* 2003; Nolen-Hoeksema *et al.* 2011). Some are 'traits' and have thus been largely established by a variety of genetic and environmental interactions, many of them modulated by cortisol. The occurrence of an adverse life event is held to trigger an episode of MDD in many cases (Brown, 1986; Brown *et al.* 2010). As we have seen, an essential piece missing from the overall puzzle is whether this activates the HPA axis in particular ways that may contribute to the risk of MDD. Because basal (pre-morbid) levels of cortisol are a risk factor, we can conclude that any early agent setting these levels (e.g. poor parenting) will act as a distally derived but proximally active adjuvant for MDD. Thus cortisol, in whatever configuration (absolute levels, diurnal variation, etc.), plays a substantial (but variable) role at all points of the lifetime trajectory that may predispose to MDD. This also applies to the interaction between cortisol and common genetic variants such as those in the serotonin transporter and *BDNF*.

Is cortisol associated with individual differences in psychological measures? Some report no association between cortisol and temperamental characteristics such as 'emotionality' (neuroticism) (Schommer *et al.* 1999; van Santen *et al.* 2011). Others find positive associations between neuroticism and current cortisol levels (Nater *et al.* 2010) but these can be in either direction and are not consistent (Gerritsen *et al.* 2009). There are positive results for other characteristics, such as internalizing behaviour (Tyrka *et al.* 2010). Does cortisol affect current mood? Giving an acute dose of cortisol had no effect on mood (Wachtel & de Wit, 2001; Het & Wolf, 2007) but increased negative mood and response to unpleasant pictures, although only after repeated showings (Wirth *et al.* 2011). Cortisol may thus exert its actions on emotions in a situation-dependent manner. However, other studies show that cortisol did not reduce subjective fear responses in a socially demanding situation in normal subjects, but did reduce phobic fear (Soravia *et al.* 2006, 2009). Increased sensitivity to arousal occurred after neutral (not aversive) stimuli (Abercrombie *et al.* 2005), but negative mood following the Trier stress test was in fact reduced in healthy volunteers; those prone to develop MDD reacted differently (Het & Wolf, 2007).

The actions of cortisol on the brain may be very rapid (Strelzyk *et al.* 2012). Many studies have focused on memory, rather than mood. Cortisol decreased verbal (but not non-verbal) memory, without effects on executive function (Newcomer *et al.* 1999; Wolf *et al.* 2001). In subjects with MDD, dexamethasone in fact improved declarative memory (but not in controls) (Bremner *et al.* 2004). There is disagreement about whether cortisol enhances memory for emotionally arousing stimuli or for any stimulus irrespective of emotional valence (Buchanan & Lovallo, 2001; Abercrombie *et al.* 2003). Although there is some literature on the relationship between cortisol responses and ruminative style (Young & Nolen-Hoeksema, 2001; Zoccola *et al.* 2010), there is no information on whether induced elevations in cortisol can influence that style.

These short-term studies have limited value in the context of MDD because the period of exposure to increased levels is likely to be much more prolonged in the latter. Cushing's disease offers one opportunity to study the results of protracted excess cortisol on psychological processes such as self-esteem or ruminative style. However, nearly all studies compare Cushing's patients with controls, and there is no doubt that the former show affective disturbances (depression) and cognitive deficits (such as impaired emotional recognition) (Langenecker *et al.* 2012). Re-examining recovered Cushing's patients in the expectation that they return to a basal, pre-morbid state is

vitiated by knowledge that recovery is often incomplete and the effects of hypercortisolaemia are prolonged (Pereira *et al.* 2010; Tiemensma *et al.* 2010). Prednisone therapy is associated with both depressive and antidepressive actions (Brown *et al.* 2010; Sun *et al.* 2011) in addition to impaired cognitive abilities (Brown, 2009), but pharmacological differences from cortisol and the presence of an underlying disease render conclusions relevant to MDD difficult.

Three questions about cortisol for psychiatry

What does current knowledge about the role of cortisol in MDD suggest for clinical investigation or application? We need to take into account the multiple interactions between cortisol and other risk factors for MDD, and the way these change as one phenomenon of the disorder itself. Cortisol exerts its influence across two dimensions: a time-related one based on phases of the lifespan that regulate levels of risk, and a more proximal one during the processes leading to the onset and course of an episode of MDD (Fig. 5). Cortisol plays a central role in the genetic contribution to MDD, as one expression of genetic variation, and on the way that environmental events predispose to MDD, as a response to that environment; that is, it plays a pervasive part in both sides of the $G \times E$ interaction. It is evident, both from this review and the literature at large, that consideration of the role of cortisol in isolation from other factors known to be concerned with either the risk of MDD or its course is not profitable. We can, however, address the three questions posed at the start of this review:

- (1) Careful assessment of cortisol, genes and the symptoms in MDD will help us differentiate distinct categories of this complex disorder, and thus stratify subjects for selective treatment regimes. We should anticipate adequate cortisol measures (saliva, several time points, several days) as part of the routine management of MDD.
- (2) Patients with disturbed cortisol rhythms might benefit from restitution of those rhythms; they may be distinct from those with more generally elevated levels, who might benefit from cortisol blockade. Selective manipulations of cortisol, based on adequate assessment of individual cortisol rhythms, should play a role in treatment (in combination with antidepressants or behavioural therapy). DHEA treatment might well play an adjunctive role, particularly in older patients with MDD.
- (3) A careful assessment of the contribution of various risk factors for MDD might indicate the effect size of excess cortisol in individual cases as the risk for subsequent MDD. Manipulation of cortisol, its

receptors or related molecules (to reduce the action of cortisol on the brain) should be considered as a preventive measure for some of those at very high risk of future MDD in which cortisol plays a significant role, in addition to preventing other cortisol-related consequences such as long-term cognitive decline.

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Declaration of Interest

None.

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