

SOME EXTREMAL RESULTS ON THE CHROMATIC STABILITY INDEX

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Abstract

The χ -stability index $es_\chi(G)$ of a graph G is the minimum number of its edges whose removal results in a graph with chromatic number smaller than that of G . We consider three open problems from Akbari *et al.* [‘Nordhaus–Gaddum and other bounds for the chromatic edge-stability number’, *European J. Combin.* **84** (2020), Article no. 103042]. We show by examples that a known characterisation of k -regular ($k \leq 5$) graphs G with $es_\chi(G) = 1$ does not extend to $k \geq 6$, and we characterise graphs G with $\chi(G) = 3$ for which $es_\chi(G) + es_\chi(\overline{G}) = 2$. We derive necessary conditions on graphs G which attain a known upper bound on $es_\chi(G)$ in terms of the order and the chromatic number of G and show that the conditions are sufficient when $n \equiv 2 \pmod{3}$ and $\chi(G) = 3$.

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1. Introduction

If I is a graph invariant and G a graph, then it is natural to consider the minimum number of vertices of G whose removal results in an induced subgraph G' with $I(G') \neq I(G)$ or with $E(G') = \emptyset$ (see [2]). Let us call this number the I -stability number of G and denote it by $vs_I(G)$. Similarly, one may be interested in the minimum number of edges that have to be removed in order to obtain a spanning subgraph G' with $I(G') \neq I(G)$ or with $E(G') = \emptyset$. In this case let us call the minimum number of edges the I -stability index of G and denote it by $es_I(G)$.

Here we consider the χ -stability index es_χ , spelled out as *chromatic stability index*. The χ -stability index $es_\chi(G)$ of a graph G with at least one edge is thus the

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minimum number of edges of G whose removal results in a graph with chromatic number smaller than that of G . If $E(G) = \emptyset$, then $es_\chi(G) = 0$. In some papers the term ‘chromatic edge-stability number’ is used, but in our general framework and since the investigation of the χ' -stability number was initiated in [2], this earlier terminology would be confusing.

The χ -stability index was first studied by Staton [10], who provided upper bounds es_χ for regular graphs in terms of the size of the graph. The invariant was subsequently investigated in [3, 4, 8]. We continue this line of the research, focusing on the following three open problems on the chromatic stability index.

PROBLEM 1.1 [1, 3]. Characterise graphs G with $es_\chi(G) = 1$.

PROBLEM 1.2 [1]. Characterise graphs G with $es_\chi(G) + es_\chi(\overline{G}) = 2$.

In [1] it was proved that if G is a graph of order n with $r = \chi(G)$, then

$$es_\chi(G) \leq \begin{cases} \lfloor n/r \rfloor \lfloor n/r + 1 \rfloor & \text{if } n \equiv r - 1 \pmod{r}, \\ \lfloor n/r \rfloor^2 & \text{otherwise.} \end{cases} \quad (1.1)$$

PROBLEM 1.3 [1]. Characterise graphs that attain the upper bound in (1.1).

In the rest of this section we recall definitions needed in this paper. In Section 2 we consider graphs G with $es_\chi(G) = 1$ and construct examples which demonstrate that a known characterisation of k -regular graphs G with $es_\chi(G) = 1$ does not extend to $k \geq 6$. Then, in Section 3, we characterise graphs G with $\chi(G) = 3$ for which $es_\chi(G) + es_\chi(\overline{G}) = 2$. In the concluding section we obtain necessary structural conditions on graphs G which attain the upper bound in (1.1). The conditions are proved to be sufficient when $n \equiv 2 \pmod{3}$ and $\chi(G) = 3$.

The *chromatic number* $\chi(G)$ of a graph G is the smallest integer k such that G admits a proper colouring of its vertices using k colours. Unless stated otherwise, we will assume that the colours are from the set $[k] = \{1, \dots, k\}$. A $\chi(G)$ -*colouring* (or simply χ -*colouring*) of G is a proper colouring using $\chi(G)$ colours. In a colouring of G , a set of vertices having the same colour form a *colour class*. If c is a k -colouring of G with colour classes C_1, \dots, C_k , then we will identify c with (C_1, \dots, C_k) , that is, we will say that c is a colouring (C_1, \dots, C_k) . When we wish to emphasise that these colour classes correspond to c , we will denote them by (C_1^c, \dots, C_k^c) . If c is a colouring of G and $A \subseteq V(G)$, then let $c(A) = \bigcup_{a \in A} c(a)$. Let $c^*(G)$ denote the cardinality of a smallest colour class among all χ -colourings of G . If $c^*(G) = 1$, then we say that G has a *singleton colour class*. The *chromatic bondage number* $\rho(G)$ of G denotes the minimum number of edges between two colour classes among all χ -colourings of a graph G . Clearly $es_\chi(G) \leq \rho(G)$.

For $v \in V(G)$, let $d_G(v)$ and $N_G(v)$ denote the degree and the open neighbourhood of v in G , respectively. If $A \subseteq V(G)$, then let $N_G(A) = (\bigcup_{v \in A} N_G(v)) \setminus A$. For $A, B \subseteq V(G)$, let $E[A, B]$ be the set of edges which have one endpoint in A and the other in B , and let $e(A, B) = |E[A, B]|$. The subgraph of G induced by $A \subseteq V(G)$ will be denoted by

$G[A]$. The *girth* $g(G)$ of a graph G is the length of a shortest cycle in G . The order of a largest complete subgraph in G is the *clique number* $\omega(G)$ of G . The *complement* of G is denoted by \overline{G} .

2. On Problem 1.1

Problem 1.1, which asks for a characterisation of graphs G with $es_\chi(G) = 1$, has been independently posed in [3, Problem 2.18] and [1, Problem 5.3]. The two equivalent reformulations of the condition $es_\chi(G) = 1$ from the next proposition are from [8, Proposition 2.2] and [3, Remark 2.15], respectively. To be self-contained, we include a simple proof of the result.

PROPOSITION 2.1. *If G is a graph with $\chi(G) \geq 2$, then the following claims are equivalent:*

- (i) $es_\chi(G) = 1$;
- (ii) $\rho(G) = 1$;
- (iii) G admits a $\chi(G)$ -colouring $(C_1, \dots, C_{\chi(G)})$, where $|C_1| = 1$ and $e(C_1, C_2) = 1$.

PROOF. Let $es_\chi(G) = 1$ and let $e = uv \in E(G)$ be an edge such that $\chi(G - e) = \chi(G) - 1$. If c is a $(\chi(G) - 1)$ -colouring of $G - e$, then $c(u) = c(v)$, for otherwise c would be a proper colouring of G (using only $\chi(G) - 1$ colours). Recolouring u with a new colour yields a colouring of G as required by (iii). Hence (i) implies (iii). The implication (iii) \Rightarrow (ii) is obvious and (ii) \Rightarrow (i) follows from the fact already noted that $es_\chi(G) \leq \rho(G)$. \square

Although Proposition 2.1 formally gives two characterisations of graphs G with $es_\chi(G) = 1$, it should be understood that Problem 1.1 asks for a *structural characterisation* of such graphs. The next result gives a partial solution of the problem.

THEOREM 2.2 [1, Theorem 4.4]. *Let G be a connected, k -regular graph with $k \leq 5$. Then $es_\chi(G) = 1$ if and only if G is K_2 , G is an odd cycle, or $\chi(G) > 3$ and $c^*(G) = 1$.*

The second part of [1, Problem 5.3] says: ‘In particular, for the regular case extend the classification of Theorem 2.2 to $k > 5$.’ We do not solve the problem, but demonstrate in the rest of the section that (i) the problem appears difficult and (ii) $k = 5$ is the threshold for regular graphs. Let X be the graph drawn in Figure 1.

PROPOSITION 2.3. *The graph X is a 6-regular graph with $\chi(X) = 4$, $c^*(X) = 1$ and $es_\chi(X) = 2$.*

PROOF. Since $\omega(X) = 4$, we have $\chi(X) \geq 4$. We give a 4-colouring c of X as follows: $c(w) = 4$, $c(v_1) = c(u_3) = c(u_6) = 1$, $c(v_3) = c(u_2) = c(u_5) = 2$, $c(v_2) = c(u_1) = c(u_4) = 3$. Since colour 4 is used exactly once, $\chi(X) = 4$ and $c^*(X) = 1$. It remains to prove that $es_\chi(X) = 2$.

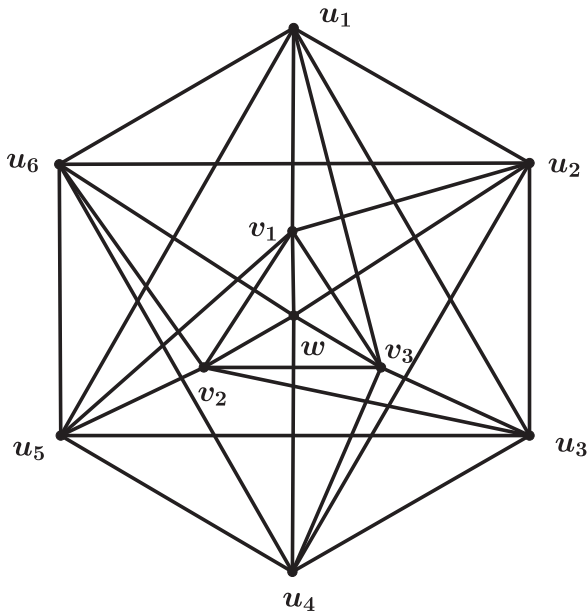


FIGURE 1. The graph X .

Let X' be the graph obtained from X by deleting the edges wv_1, wu_6 . Then we can get a 3-colouring c' of X' as follows: $c'(w) = c'(v_1) = c'(u_3) = c'(u_6) = 1, c'(v_3) = c'(u_2) = c'(u_5) = 2, c'(v_2) = c'(u_1) = c'(u_4) = 3$. Hence $es_\chi(X) \leq 2$.

Suppose now by contrast that $es_\chi(X) = 1$. Then by Proposition 2.1(iii), there exists a colouring $c = (C_1, C_2, C_3, C_4)$, such that $|C_1| = 1$ and $e(C_1, C_2) = 1$. Since $X[\{v_1, v_2, v_3, w\}] \cong K_4$, we have $c(w) = 1$ or $c(v_i) = 1$ for some $i \in [3]$. If $c(w) = 1$, then $\chi(X[N(w)]) = 3$ and colour 2 appears only once in $N(w)$. But this is impossible because $X[v_1, v_2, v_3] \cong K_3$ and $X[u_2, u_4, u_6] \cong K_3$. If $c(w) \neq 1$, then by symmetry we may without loss of generality assume that $c(v_1) = 1$. Then we consider the colouring of $N(v_1)$. If $c(u_5) \neq c(v_3)$, say $c(u_5) = a \in \{2, 3, 4\}$ and $c(v_3) = b \in \{2, 3, 4\} \setminus \{a\}$, then $c(v_2) = c(u_1) = c = \{2, 3, 4\} \setminus \{a, b\}$, $c(w) = a$ and $c(u_2) = b$, contradicting the fact that $e(C_1, C_2) = 1$. If $c(u_5) = c(v_3)$, say $c(u_5) = c(v_3) = a \in \{2, 3, 4\}$, then $c(v_2) = b \in \{2, 3, 4\} \setminus \{a\}$ and $c(w) = c = \{2, 3, 4\} \setminus \{a, b\}$. Since $\{w, v_2, u_5\} \subseteq N(u_6)$, we have $c(u_6) = 1$, contradicting the fact that $|C_1| = 1$. So $es_\chi(G) \geq 2$ and we are done. \square

Proposition 2.3 shows that Theorem 2.2 does not extend to 6-regular graphs. On the other hand, consider the following example to see that there exist 4-chromatic, 6-regular (and higher regularity) graphs with $es_\chi(G) = 1$. A graph $G = C(n; a_0, a_1, \dots, a_k)$ is called a *circulant* if

$$V(G) = [n] \quad \text{and} \quad E(G) = \{(i, j) : |i - j| \in \{a_0, a_1, \dots, a_k\} \pmod{n}\},$$

where $1 \leq a_0 < a_1 < \dots < a_k \leq n/2$. If $a_k < n/2$, then G is a $(2k+2)$ -regular graph; otherwise, G is $(2k+1)$ -regular. In [5, Theorem 2.1], Dobrynin *et al.* constructed 4-critical r -regular circulants for $r \in \{6, 8, 10\}$. (Recall that a graph G with $\chi(G) = k$ is called *edge-critical* (or simply *k-critical*) if its chromatic number is strictly less than k after removing any edge.) Hence these regular graphs satisfy $es_\chi(G) = 1$.

3. On Problem 1.2

Let G be a graph with $es_\chi(G) = 1$ and $\chi(G) = r$. We say that a χ -colouring of G is a *good colouring* if it satisfies the conditions of Proposition 2.1(iii). Let $C(G)$ be the set of good colourings of G . If $c = (C_1^c, \dots, C_r^c) \in C(G)$, then we may always without loss of generality assume that $|C_1^c| = 1$ and $e(C_1^c, C_2^c) = 1$.

Clearly, $es_\chi(G) + es_\chi(\overline{G}) = 2$ holds if and only if $es_\chi(G) = es_\chi(\overline{G}) = 1$. We first characterise disconnected graphs G for which $es_\chi(G) + es_\chi(\overline{G}) = 2$.

PROPOSITION 3.1. *Let G be a graph with components G_1, \dots, G_s , $s \geq 2$, and let $\mathcal{G} = \{G_i : \chi(G_i) = \chi(G), i \in [s]\}$. Then $es_\chi(G) + es_\chi(\overline{G}) = 2$ if and only if*

- (i) $|\mathcal{G}| = 1$ and $es_\chi(G_i) = 1$ for $G_i \in \mathcal{G}$, and
- (ii) *there exists a G_j such that $es_\chi(\overline{G_j}) = 1$, or there exist components G_j and G_k , $j \neq k$, such that $c^*(\overline{G_j}) = 1$ and $c^*(\overline{G_k}) = 1$.*

PROOF. The following fact is essential for the rest of the argument: if c is a proper colouring of \overline{G} , then $c(V(G_i)) \cap c(V(G_j)) = \emptyset$ for every $i, j \in [s]$, $i \neq j$. If G satisfies (i) and (ii), then (i) yields $es_\chi(G) = 1$, while (ii) gives $es_\chi(\overline{G}) = 1$. Conversely, suppose that $es_\chi(G) + es_\chi(\overline{G}) = 2$. Then $es_\chi(G) = 1$ and $es_\chi(\overline{G}) = 1$. If $|\mathcal{G}| \geq 2$ or $es_\chi(G_i) \geq 2$ for any $G_i \in \mathcal{G}$, then $\chi(G - e) = \chi(G)$ for any $e \in E(G)$, a contradiction. This means that (i) holds. Since $es_\chi(\overline{G}) = 1$, there exists an edge $\overline{e} \in E(\overline{G})$ such that $\chi(\overline{G} - \overline{e}) < \chi(\overline{G})$. We consider two cases for the edge \overline{e} . If $\overline{e} \in E(\overline{G_j})$ for some $j \in [s]$, then $es_\chi(\overline{G_j}) = 1$. In the other case the two endpoints of \overline{e} lie in different components, say in G_j and in G_k , $j \neq k$. But then $c^*(\overline{G_j}) = 1$ and $c^*(\overline{G_k}) = 1$. Thus (ii) holds as well. \square

In the main result of this section we now characterise connected graphs G with $\chi(G) = 3$ for which $es_\chi(G) + es_\chi(\overline{G}) = 2$.

THEOREM 3.2. *Let G be a connected graph of order n , with $\chi(G) = 3$. Then $es_\chi(G) + es_\chi(\overline{G}) = 2$ if and only if*

- (i) *all odd cycles in G share one edge,*
- (ii) $c^*(\overline{G}) = 1$,
- (iii) $\chi(\overline{G}) \geq \lceil n/2 \rceil$,
- (iv) *if n is even, $\chi(\overline{G}) = n/2$ and $\|C_2^c\| - \|C_3^c\| = 1$ for each $c = (C_1^c, C_2^c, C_3^c) \in C(G)$, then $g(G) = 3$ and for any proper colouring of \overline{G} , if $\{x_1, x_2, x_3\}$ is a colour class, then $d_G(v) \geq 2$ for each $v \in N_G(\{x_1, x_2, x_3\})$.*

PROOF. *Necessity.* Since $es_\chi(G) = 1$ and $\chi(G) = 3$, there is an edge $e \in E(G)$ such that $G - e$ has no odd cycles. So (i) holds. It was observed in [1, Lemma 4.3] that $es_\chi(G) = 1$ implies $c^*(G) = 1$, hence (ii) holds. Let $c = (C_1^c, C_2^c, C_3^c) \in C(G)$. We have $\omega(\overline{G}) \geq \lceil n/2 \rceil$ since $|C_1^c| = 1$. So, $\chi(\overline{G}) \geq \omega(\overline{G}) \geq \lceil n/2 \rceil$ when n is even. When n is odd and $\omega(\overline{G}) = (n - 1)/2$, we have $|C_2^c| = |C_3^c| = (n - 1)/2$ and $\overline{G}[C_2^c] \cong K_{C_2^c}$, $\overline{G}[C_3^c] \cong K_{C_3^c}$. Note that for any proper colouring of \overline{G} , there is at most one colour class with three vertices and there must be fewer than three vertices in other colour classes. By Proposition 2.1(iii), there exists a χ -colouring of \overline{G} such that some colour class has exactly one vertex. Then $\chi(\overline{G}) \geq (n + 1)/2 = \lceil n/2 \rceil$.

Suppose now that n is even, $\chi(\overline{G}) = n/2$ and $\|C_2^c\| - |C_3^c| = 1$ for any $c = (C_1^c, C_2^c, C_3^c) \in C(G)$. Let $C_1^c = \{x_1\}$ and let x_2 be the vertex of C_2^c such that $x_1x_2 \in E(G)$. Let $\bar{c} \in C(\overline{G})$ and let the colour set used by \bar{c} be $[n/2]$. We claim that $\bar{c}(x_1) = \bar{c}(x_2)$ and $\bar{c}(x_1) \in \bar{c}(C_3^c)$. Notice that x_1 is in \overline{G} adjacent to all vertices of C_2^c except x_2 . If $|C_2^c| - |C_3^c| = 1$, then $|C_3^c| = n/2$. Then the claim holds because $\chi(\overline{G}) = n/2 = |\bar{c}(C_2^c)|$. Suppose next that $|C_3^c| - |C_2^c| = 1$. Then $|C_3^c| = n/2$ and $|C_2^c| = (n - 2)/2$. We have $|\bar{c}(C_3^c)| = n/2$. If $\bar{c}(x_1) \neq \bar{c}(x_2)$, then $\bar{c}(x_1 \cup C_2^c) = [n/2]$, contradicting the fact that $\bar{c} \in C(\overline{G})$ because there is no singleton colour class. Hence $\bar{c}(x_1) = \bar{c}(x_2)$ and $\bar{c}(x_1) \in \bar{c}(C_3^c)$ since $\bar{c}(C_3^c) = [n/2]$. Thus $g(G) = 3$. We can set $x_3 \in C_3^c$ and $\bar{c}(x_1) = \bar{c}(x_2) = \bar{c}(x_3) = 1$ in the following. Suppose there is a vertex $v \in N_G(\{x_1, x_2, x_3\})$ such that $d_G(v) = 1$. If $|C_2^c| - |C_3^c| = 1$, then $C_2^c \subseteq N_{\overline{G}}(v)$ when $v \in N_G(x_1)$, $(C_2^c \setminus \{x_2\}) \cup x_3 \subseteq N_{\overline{G}}(v)$ when $v \in N_G(x_2)$ and $V(G) \setminus \{x_3\} = N_{\overline{G}}(v)$ when $v \in N_G(x_3)$. Thus $\chi(\overline{G}) > n/2$ when $v \in N_G(x_1) \cup N_G(x_2)$, a contradiction. When $v \in N_G(x_3)$, we may assume without loss of generality that $\bar{c}(C_3^c) = [(n - 2)/2]$. Then $\bar{c}(v) = n/2$ since $x_2 \in N_{\overline{G}}(v)$. But every colour in $[(n - 2)/2]$ appears exactly twice in $N_{\overline{G}}(v)$, contradicting the fact that $\bar{c} \in C(\overline{G})$. If $|C_3^c| - |C_2^c| = 1$, then $\chi(\overline{G}) > n/2$ when $v \in N_G(x_3)$ and $\bar{c} \notin C(\overline{G})$ when $v \in N_G(x_1) \cup N_G(x_2)$ by the same analysis as above, a contradiction.

Sufficiency. Suppose an edge e is shared by all odd cycles of G . Then $\chi(G - e) \leq 2$. Hence $es_\chi(G) = 1$ by definition. Suppose $\chi(\overline{G}) \geq \lceil n/2 \rceil$. In [1, Lemma 4.2] it was proved that if $\chi(\overline{G}) \geq (n + 2)/2$, then $es_\chi(\overline{G}) = 1$. So we may assume $\chi(\overline{G}) = \lceil n/2 \rceil$ in the following.

Suppose first that n is odd. Let \bar{c} be a proper colouring of \overline{G} . Since $\chi(\overline{G}) = \lceil n/2 \rceil = (n + 1)/2$, the complement \overline{G} has a singleton colour class under \bar{c} . If \overline{G} has two singleton colour classes under \bar{c} , then $\rho(\overline{G}) = 1$. Otherwise, other colour classes have exactly two vertices. Since G is connected, $\Delta(\overline{G}) < n - 1$, thus $\rho(\overline{G}) = 1$. Suppose next that n is even. We have $\|C_2^c\| - |C_3^c| = 1$ for any $c_i \in C(G)$ since $\chi(\overline{G}) = n/2$. Since $c^*(\overline{G}) = 1$, there is a proper colouring such that some colour class contains three vertices. Let \bar{c} be the proper colouring and $\bar{c}(x_1) = \bar{c}(x_2) = \bar{c}(x_3)$, where $x_s \in C_s^c$ for $s \in [3]$. Let $\{\alpha, \beta\} = \{2, 3\}$. If $|C_\alpha^c| - |C_\beta^c| = 1$, then $|C_\alpha^c| = n/2$ and $|C_\beta^c| = (n - 2)/2$. Since $\chi(\overline{G}) = n/2$, we may assume $\bar{c}(C_\alpha^c) = [n/2]$ and $n/2 \notin C_\beta^c$, say $\bar{c}(u) = n/2$. Since G is connected and $d_G(v) \geq 2$ for any $v \in N_G(\{x_1, x_2, x_3\})$, we have $N_{C_\beta^c}(u) \setminus \{x_\beta\} \neq \emptyset$

or $\{x_1, x_\beta\} \subseteq N_G(u)$. Thus $\rho(\overline{G}) = 1$, and by Proposition 2.1 we conclude that $\text{es}_\chi(\overline{G}) = 1$. \square

4. On Problem 1.3

Obviously, when $r = 2$, the upper bound in (1.1) is attained if and only if the graph in question is a complete bipartite graph in which the orders of its bipartition sets differ by at most one. For an arbitrary r we have the following result.

THEOREM 4.1. *Let G be a graph of order n and with $r = \chi(G)$.*

- (i) *Suppose that $n \equiv r - 1 \pmod{r}$ and $\text{es}_\chi(G) = \lfloor n/r \rfloor \lfloor n/r + 1 \rfloor$. Then for any r -colouring (C_1, \dots, C_r) of G , where $|C_1| \leq \dots \leq |C_r|$,*
- (1) $|C_1| = \lfloor n/r \rfloor$ and $|C_2| = \dots = |C_r| = \lfloor n/r + 1 \rfloor$;
 - (2) if $2 \leq i \leq r$, then $G[C_1 \cup C_i]$ is a complete bipartite graph with bipartition (C_1, C_i) ;
 - (3) if $v \in C_i$ and $j \in [r] \setminus \{i\}$, then $e(v, C_j) \geq \lfloor n/r \rfloor$.
- (ii) *Suppose that $n \not\equiv r - 1 \pmod{r}$ and $\text{es}_\chi(G) = \lfloor n/r \rfloor^2$. Then for any r -colouring (C_1, \dots, C_r) of G , where $|C_1| \leq \dots \leq |C_r|$,*
- (1) $|C_1| = |C_2| = \lfloor n/r \rfloor$;
 - (2) if $|C_i| = \lfloor n/r \rfloor$, $v \in C_i$ and $j \in [r] \setminus \{i\}$, then $e(v, C_j) \geq \lfloor n/r \rfloor$;
 - (3) if $|C_i| > \lfloor n/r \rfloor$, then $\sum_{v_s \in C_i} \ell_s \geq \lfloor n/r \rfloor^2$, where

$$\ell_s = \min\{e(v_s, C_j) : v_s \in C_i, j \in [r] \setminus \{i\}\}.$$

PROOF. (i) Consider an r -colouring (C_1, \dots, C_r) of G , where $|C_1| \leq \dots \leq |C_r|$.

(1) Since $n \equiv r - 1 \pmod{r}$, we have $n = r\lfloor n/r \rfloor + r - 1$. From here it was deduced in the proof of [1, Theorem 2.1] that there exists at least one pair of colour classes, C_i and C_j , $i < j$, such that $|C_i| + |C_j| \leq \lfloor n/r \rfloor + \lfloor n/r + 1 \rfloor$. Since $\text{es}_\chi(G) = \lfloor n/r \rfloor \lfloor n/r + 1 \rfloor$, we have $|C_i| = \lfloor n/r \rfloor$ and $|C_j| = \lfloor n/r + 1 \rfloor$. Moreover, $i = 1$ and $|C_k| \geq \lfloor n/r + 1 \rfloor$ for $2 \leq k \leq r$, since otherwise $\text{es}_\chi(G) \leq |C_1||C_2| \leq \lfloor n/r \rfloor^2$, a contradiction. Thus $|C_2| = \dots = |C_r| = \lfloor n/r + 1 \rfloor$ because $n = r\lfloor n/r \rfloor + r - 1$.

(2) and (3) Observe that $G[C_1 \cup C_i]$ is a complete bipartite graph with bipartition (C_1, C_i) for any $2 \leq i \leq r$, since otherwise $\text{es}_\chi(G) \leq |C_1||C_i| \leq \lfloor n/r \rfloor \lfloor n/r + 1 \rfloor - 1$, a contradiction. Therefore, $e(v, C_j) \geq \lfloor n/r \rfloor$ when $v \in C_1$ or $j = 1$. If $e(v, C_j) < \lfloor n/r \rfloor$ for some $v \in C_i$ and $j \in [r] \setminus \{i\}$ ($i, j > 1$), then by deleting the edge set $E(v, C_j) \cup E(C_i \setminus \{v\}, C_1)$, we get an $(r - 1)$ -colouring with the colour class set $\{C_1 \cup (C_i \setminus \{v\}), C_2, \dots, C_j \cup \{v\}, \dots, C_r\} \setminus \{C_i\}$. Notice that $|E(v, C_j) \cup E(C_i \setminus \{v\}, C_1)| < \lfloor n/r \rfloor \lfloor n/r + 1 \rfloor$. Thus $\text{es}_\chi(G) < \lfloor n/r \rfloor \lfloor n/r + 1 \rfloor$, a contradiction.

(ii) Suppose $n \not\equiv r - 1 \pmod{r}$ and $\text{es}_\chi(G) = \lfloor n/r \rfloor^2$. Consider an r -colouring (C_1, \dots, C_r) of G , where $|C_1| \leq |C_2| \leq \dots \leq |C_r|$.

(1) By the proof of [1, Theorem 2.1], there exists at least one pair of colour classes, C_i and C_j ($i \leq j$), in which $|C_i| + |C_j| \leq 2\lfloor n/r \rfloor$. Since $\text{es}_\chi(G) = \lfloor n/r \rfloor^2$, we have

$|C_i| = |C_j| = \lfloor n/r \rfloor$. Moreover, $|C_k| \geq \lfloor n/r \rfloor$ for $1 \leq k \leq r$, since otherwise $es_\chi(G) \leq |C_1||C_2| < \lfloor n/r \rfloor^2$, a contradiction. Thus $|C_1| = |C_2| = \lfloor n/r \rfloor$.

(2) and (3) Suppose $|C_i| = \lfloor n/r \rfloor$ and there exists some $v \in C_i$ and $j \in [r] \setminus \{i\}$ such that $e(v, C_j) < \lfloor n/r \rfloor$. We take a colour class C_k with $\lfloor n/r \rfloor$ vertices, which is different from C_i . This is possible because $|C_1| = |C_2| = \lfloor n/r \rfloor$. Note that k and j are not necessarily distinct. We delete the edge set $E(v, C_j) \cup E(C_i \setminus \{v\}, C_k)$ and get an $(r - 1)$ -colouring with colour class set $\{C_1, \dots, C_k \cup (C_i \setminus \{v\}), \dots, C_j \cup \{v\}, \dots, C_r \setminus \{C_i\}\}$. Notice that $|E(v, C_j) \cup E(C_i \setminus \{v\}, C_k)| < \lfloor n/r \rfloor^2$. Thus $es_\chi(G) < \lfloor n/r \rfloor^2$, a contradiction.

Suppose $|C_i| > \lfloor n/r \rfloor$ and $\sum_{v_s \in C_i} \ell_s < \lfloor n/r \rfloor^2$, where ℓ_s is defined as in the statement of the theorem. Let C^s be one of the corresponding colour classes when ℓ_s is taken for v_s . Then for any $v_s \in C_i$, we delete the edge set $E(v_s, C^s)$ and get an $(r - 1)$ -colouring by putting v_s in C^s . Thus $es_\chi(G) < \lfloor n/r \rfloor^2$, a contradiction. \square

Recall that a graph colouring (C_1, \dots, C_k) is *equitable* [6] if $\| |C_i| - |C_j| \| \leq 1$ for all $i \neq j$. Hence all the colourings from Theorem 4.1(i) are equitable, and consequently the corresponding extremal graphs have the same chromatic number and the equitable chromatic number. (See [7, 9] for a couple of recent investigations of the equitable chromatic number.)

THEOREM 4.2. *Let G be a graph of order n , where $n \equiv 2 \pmod{3}$ and with $\chi(G) = 3$. If any 3-colouring of G satisfies (1)–(3) of Theorem 4.1(i), then $es_\chi(G) = \lfloor n/3 \rfloor \lfloor n/3 + 1 \rfloor$.*

PROOF. Let c be a 3-colouring of G satisfying (1)–(3) of Theorem 4.1(i). Let $\{i, j\} = \{2, 3\}$. For $v \in C_i$ we may let $e(v, C_j) = \lfloor n/3 \rfloor$ (as adding edges to a graph cannot decrease its χ -stability index). Since for any $e \in E(G)$, e lies in exactly $\lfloor n/3 \rfloor$ subgraphs K_3 , the graph $G - e$ has at most $\lfloor n/3 \rfloor$ fewer subgraphs isomorphic to K_3 than G . Let $F \subseteq E(G)$ with $|F| = \lfloor n/3 \rfloor \lfloor n/3 + 1 \rfloor - 1$. Then the graph $G \setminus F$ has at most $\lfloor n/3 \rfloor (\lfloor n/3 \rfloor \lfloor n/3 + 1 \rfloor - 1)$ fewer subgraphs K_3 than G . But G has $\lfloor n/3 \rfloor \lfloor n/3 \rfloor \lfloor n/3 + 1 \rfloor$ subgraphs K_3 , so that $G \setminus F$ has at least one subgraph K_3 and consequently $\chi(G \setminus F) = 3$. Hence, $es_\chi(G) = \lfloor n/3 \rfloor \lfloor n/3 + 1 \rfloor$. \square

Let G be a graph with n vertices and $r = \chi(G)$. Note that when $r = 5$ and $n \equiv 4 \pmod{5}$, the conditions (1)–(3) in Theorem 4.1(i) are not sufficient. Let G_{12} be the graph from Figure 2, and let G_{14} be obtained from G_{12} by adding two new vertices u_0 and v_0 and connecting u_0 and v_0 to all vertices of G_{12} . Then we have the following result.

PROPOSITION 4.3. *The graph G_{14} satisfies conditions (1)–(3) of Theorem 4.1(i), but $es_\chi(G_{14}) < \lfloor n/r \rfloor \lfloor n/r + 1 \rfloor = 6$.*

PROOF. We first show that $\chi(G_{14}) = 5$. Let $A = \{a, b, c\}$, $B = \{u, v, w\}$, $C = \{1, 2, 3\}$, and $D = \{x, y, z\}$. We claim that $\chi(G_{14}) = 5$ and that G_{14} has a unique 5-colouring. By means of a computer search (using SageMath), we found all independent sets of G_{14} with at least three vertices, $A, B, C, D, \{b, u, 1, z\}$, and each $X \subseteq \{b, u, 1, z\}$ with $|X| = 3$. So, if any three vertices of $\{b, u, 1, z\}$ have the same colour under some proper colouring

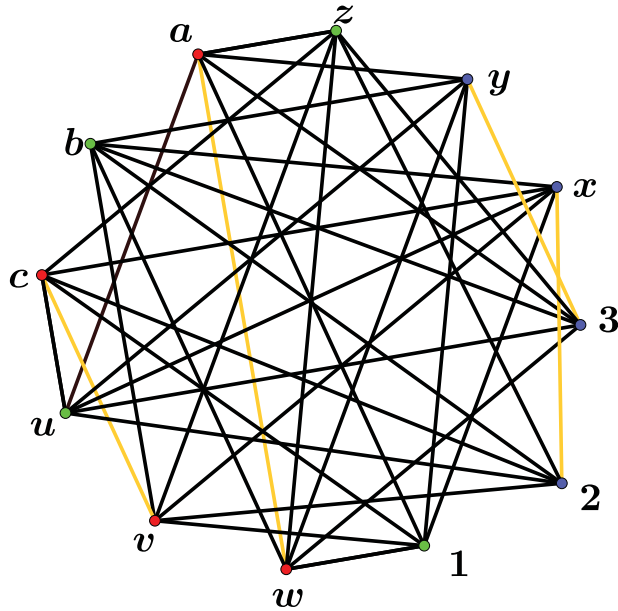


FIGURE 2. The graph G_{12} . Colour available online.

$c : V(G_{14}) \rightarrow [k]$ of G_{14} , then $k \geq 6$. Thus $\chi(G_{14}) = 5$ and the unique 5-colouring has colour classes $\{u_0, v_0\}, A, B, C, D$. Therefore, the graph G_{14} satisfies conditions (1)–(3) of Theorem 4.1(i).

On the other hand, by deleting the edges $cv, aw, 3y$ and $2x$ (coloured orange in the figure), we can get a 4-colouring with colour classes $\{u_0, v_0\}, \{a, c, v, w\}, \{b, u, 1, z\}, \{2, 3, x, y\}$. Therefore, $es_\chi(G_{14}) \leq 4$. \square

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