# Universal languages?: A reply to Moravcsik<sup>1</sup>

BEATE JAHN\*

Department of International Relations, University of Sussex, Brighton BN1 9SN, UK

Existing formulations of liberal theory in International Relations (IR), claimed Andrew Moravcsik in 1997, were 'ideological'. Yet they need not be. A reformulation in line with the requirements of empirical social science could provide a 'nonideological and nonutopian' liberal IRs theory (1997: 513). And this is what he sought to achieve.

Moravcsik's empirical reformulation of liberal IR theory, I have argued, did not manage to address the problem of ideology satisfactorily; instead, ironically, it resulted in a deeply ideological formulation of liberal international relations theory (Jahn, 2009). This argument holds Moravcsik in response 'reflects an unwillingness to engage in subtle or sympathetic interpretation' (2010). It misunderstands the logic and requirements of empirical social theory. It ignores abundant literature supporting his position and, worse, fails to provide evidence for its own alternative claims. Its judgments are based on 'methodological or philosophical fiat' (2010). And, couched at the level of abstract reflection, it cannot engage with, and provide solutions for, concrete political problems in world affairs.

So wide ranging are these counter-criticisms that they make it appear as if Moravcsik and I must inhabit different planets regarding the aims and requirements of IR as a social science. On Moravcsik's planet, the positivist empiricist method provides a universal language designed to overcome the fragmentation of the field, while on my planet, apparently, relativism rules. On Moravcsik's planet theoretical claims have to be

<sup>&</sup>lt;sup>1</sup> This article is a response to Andrew Moravcsik, 'Wahn, Wahn, Überall Wahn': A reply to Jahn's critique of liberal internationalism, *International Theory* (2010), 2:1, 113–139. I would like to thank the editors of *International Theory* for instigating this debate and Alex Wendt in particular for shepherding the process so smoothly. Thanks also, of course, to Andrew Moravcsik for picking up the challenge. I also owe him an apology for not sending the first article (2009) directly – I do it now on the second round. And I am grateful to Justin Rosenberg for his comments and suggestions.

<sup>\*</sup> E-mail: B.Jahn@sussex.ac.uk

substantiated by empirical evidence, while in my world such empirical evidence is disregarded in favor of abstract theoretical reflection. For Moravcsik, the goal of IR as a social science is ultimately to contribute to the solution of political problems in the real world, while its posture on my planet is a politically sterile form of ivory tower stargazing.

However, such an extreme contrast would be quite misleading. In fact, there is no lack of 'sympathy' between us regarding the goals and requirements of IR as a social science. And as Moravcsik himself notes, we share an 'intense interest in the complex relationship between interest and ideology' (2010). Differences arise, I will show, over the question of how these aims and requirements are best met. What is at stake in this debate is which of our approaches better addresses a three-fold challenge, which we face in common: to provide the language for an inter-subjective communication of social science, to open our theoretical claims to empirical testing, and to engage with concrete political problems. And I will argue that it is precisely on grounds that we fundamentally share, that Moravcsik's approach cannot live up to its promises.

I will address each of Moravcsik's major criticisms of my work regarding logic, testability, evidence, and political engagement – in turn. In each case I will first clarify my argument and thus demonstrate the scope of agreement between our positions regarding the goals and requirements of IR as a social science. I will also show, however, that in each case Moravcsik's positivist approach entails a fundamental contradiction with regard to these areas of agreement - and can therefore not deliver on its promises. Moravcsik's version of positivism, I will argue in conclusion, far from providing a language for 'inter-subjective communication of social science' (2010), excludes all non-rationalist approaches together with their arguments and evidence from this communication. And in doing so, it fails to provide either adequate analyses of, or solutions to, the most pressing concrete problems in world affairs.

# Logic

The positivist-empiricist method, Moravcsik originally stated, provides a solution to the 'ideological' and 'utopian' formulations of liberalism in IR. It promises logic and consistency in place of the 'disparate views' one finds in traditional liberal theory based on classical liberal thought (1997: 514). Positivism achieves this goal by 'proposing a set of core assumptions on which a general restatement of positive liberal IR theory can be grounded' (1997: 515); linking the specific claims of mid-range theories to such general assumptions. In showing that his mid-range theories are not rigorously derived from his general assumptions (Jahn, 2009: 416–9)

I have applied a standard to this theory that, Moravcsik argues, he explicitly rejects. In short, I have misunderstood his method. Yet, what I intended to show, and hope to clarify here, is that once that standard is rejected, the resultant method, when adjusted to the context of IR, does not live up to the promise of superior logic.

The Lakatosian method, according to Moravcsik, entails the claim that a 'single set of microfoundational assumptions' may be universally applicable. Yet he also holds that world politics 'at least at its current state of development' does not support such an assumption (2003a: 198). Moreover, even the broadest research programs in IR shy away from stating such a 'claim to universality, even within a circumscribed domain' (2003a: 198). This fragmentation of the world of international politics and theory leads Moravcsik to argue that the Lakatosian assumption of a zero-sum, knock out competition between different theories is unrealistic for IR. 'There is no a priori reason to believe that such a universal claim would be valid' (2003a: 198). Hence, we have to consider the possibility that different theories may be 'differentially applicable across different specific empirical domains of world politics' (2003a: 199). Such theories with a limited area of applicability can therefore not be unequivocally derived from a set of universal core claims. Hence, 'in order to derive individual liberal theories precisely and to circumscribe their empirical scope (...) one needs auxiliary assumptions' and consequently, these theories 'need only be consistent with paradigmatic assumptions, not deduced from them' (2010). Thus, Moravcsik rightly points out that he explicitly rejects the notion that mid-range theories have to be rigorously derived from the microfoundational assumptions (2003a: 176). His is a 'soft social scientific position' (2003b: 134) characterized by the loosening of the original strict logical link between paradigmatic assumptions and mid-range theories.

Thus, the question I investigated by assessing the logical connection between Moravcsik's core assumptions and his mid-range theories was whether, and in how far, this 'soft positivism' was able to generate a 'logically coherent, theoretically distinct, empirically generalizable' theory (1997: 547). My argument here concerned solely the link between paradigmatic assumptions and mid-range theories – not, as Moravcsik mistakenly assumes, the validity or distinctness of his mid-range theories in comparison with neorealist and institutionalist approaches.<sup>2</sup> The claim

<sup>&</sup>lt;sup>2</sup> This is one context in which Moravcsik accuses me of dismissing 'much *prima facie* evidence in favor' of the claim that his liberal theory is distinct from neorealism and institutionalism (2010). Yet, I never denied his mid-range theories distinctness – only his general assumptions – so this literature is not relevant for my argument.

that rational and risk-averse individuals and private groups are the fundamental actors in world politics does not logically imply, I argued, the particular liberal forms of socio-economic organization (market democracy, for instance) or of decidedly liberal foreign policies such as free trade (2009). Indeed, as Moravcsik himself points out, under certain circumstances it can be perfectly rational to support imperialism or protectionism (1997: 528, 529).

In short, once the connection is loosened, as it is when mid-range theories are not any longer derived from core assumptions but merely consistent with them, this method does not deliver any longer the superior logic and consistency of its original formulation. Yet, Moravcsik wants to have his cake and eat it. While sometimes insisting that mid-range theories only have to be 'consistent' with paradigmatic assumptions, at other times he clearly and repeatedly states that a theory is defined by 'a set of positive assumptions from which arguments, explanations, and predictions can be derived' (1997: 514); he 'derives from them (the three core assumptions) three variants of liberal theory' (1997: 513); his theory, he claims, 'follows from explicit assumptions' (1997: 547). This ambiguity arises out of Moravcsik's desire to hold on to the promises of a Lakatosian approach – logic and consistency – while at the same time taking into account that the assumptions underlying this Lakatosian method do not apply in the sphere of IR.

In sum, when I tested the logical connection between Moravcsik's core assumptions and his mid-range theories, I did hold his theory up to one of his clearly stated criteria: logic. And by doing so I showed that his second criterion – consistency – cannot deliver the superior logic for which he has chosen the positivist method in the first place.

# Testability

This loosening of the logical connection between microfoundational claims and mid-range theories also has implications for the second major promise of the positivist method - which lies according to Moravcsik in making theoretical claims empirically testable: 'it is important to formulate theories in a hypothetically generalizable way, so we can seek to determine the scope of potentially general claims under hypothetical conditions' (2010). Here again I have supposedly misunderstood the positivist method, which does not hold that these claims have 'a de facto universal scope' - and hence cannot be tested directly but only via 'empirical competition among potentially generalizable claims', that is, by comparing the explanatory range and power of competing theories empirically (2010). There are good reasons, however, for not following this procedure, two of which I will set out below.

First, if, as I have argued above, the positivist method adapted to the field of IR does not any longer allow a logical derivation of mid-range theories from paradigmatic assumptions, then those general assumptions *cannot* be tested by comparing the explanatory power of mid-range theories. A successful empirical challenge to the Democratic Peace thesis, for example<sup>3</sup>, does not simultaneously undermine the paradigmatic claim that rational individuals are the core actors in international affairs. More generally, and in Moravcsik's own words, these assumptions 'do not define a single unambiguous model or set of hypotheses, not least because they do not specify precise sources of state preferences' (1997: 524). This lack of an unambiguous connection between paradigmatic claims and mid-range theories thus makes it impossible to test the validity and range of the former through the performance of the latter.

Second, the positivist method insists on the hypothetical generalization of claims because, before 'theory-guided empirical analysis' we cannot know where the limits of these claims lie (Moravcsik, 2010). The general formulation of claims is meant to lay these claims open to the widest range of empirical testing and thus constitutes the 'agnostic approach of the true social scientist' (2010) - that is, an approach that does not prejudge the limits of theoretical claims a priori. Yet, this argument overlooks that empirical facts do not unequivocally speak for themselves. Instead, they require a theoretical language in which they can be communicated (Kratochwil, 2003: 124). Thus, whether or not the First World War is a case supporting or undermining the democratic peace thesis depends on whether Germany at the time was a democracy or not. This decision, in turn, is entirely dependent on the definition of democracy – a theoretical, not an empirical exercise (Spiro, 1996). Moreover, whether such a case constitutes a 'refutation' of the democratic peace thesis or just an 'anomaly that ... does not fundamentally challenge' it, cannot be decided on empirical grounds (Kratochwil, 2003: 125).

Hence, the positivist method cannot provide a clear statement of the nature and scope of empirical evidence that would suffice in practice to refute its claims. This problem is compounded by the general formulation of its theoretical claims, which in principle require equally wide ranging empirical studies for their substantiation or refutation. There is, then, to all intents and purposes, no limit to the empirical tests that could be required.

<sup>&</sup>lt;sup>3</sup> I will return to this example of the democratic peace thesis throughout the text simply because it is such a well-known case which Moravcsik himself also uses.

Again, the democratic peace thesis is a case in point. Moravcsik argues that this thesis has been open to constant challenges concerning its veracity and causal logic (2010), demonstrating the 'agnostic' nature and the fruitfulness of the positivist approach. Contra Moravcsik, I would argue, however, that the longevity of this thesis, in spite of the range and seriousness of these challenges<sup>4</sup> and the reams of paper used up in the endless attempts to 'prove' or 'disprove' it, demonstrates nothing but the impossibility of a purely empirical refutation of such theoretical claims. Moravcsik is right, of course, to point out that this thesis invites empirical testing. But since the conditions under which the thesis could be refuted cannot be specified, this method sends scholars off onto a wild goose chase for empirical evidence that can never reach its goal - whether for or against.

In sum, the lack of a logical connection between core assumptions and mid-range theories, combined with the lack of clear criteria for what counts as relevant empirical data, entails that this positivism ironically ends up removing its general theoretical claims from testing.

It is precisely because this method does not offer the possibility of testing its core claims that I chose to address its underlying assumptions directly. This exercise, I want to show now, is perfectly in line with Moravcsik's own procedure; indeed, its findings are also substantively supported by Moravcsik's own work – although in ways which undermine his general claims. Moravcsik argues that my 'casual efforts to use a single thinly documented historical interpretation – for example, the claim that Early Modern Europeans were not "rational", based on a secondary interpretation of John Locke<sup>5</sup> – to support a blanket refutation of the rationality assumption for pre-modern situations' do not suffice. 'Locke's work simply cannot be made to bear such explanatory weight' (2010). So what exactly did I do? I took the work of an eminent liberal (or protoliberal) thinker, John Locke, and showed that this contains two different claims about rationality: a general claim that all human beings are rational, and a historical or empirical claim that this rationality did not result in general support for what one might for the sake of brevity call 'liberal' polities and policies. Locke's proposed solution to this problem, I showed, was to create the circumstances, the social and political conditions, under which the potential rationality of all people could be expected to result in specifically 'liberal' policies. Finally, I provided a range of evidence suggesting that Locke's solution was indeed translated into political practice over several centuries both domestically and internationally.

<sup>&</sup>lt;sup>4</sup> A brief overview can be found in Gates et al. (1996).

<sup>&</sup>lt;sup>5</sup> It is unclear to me what a 'primary' interpretation of John Locke would be.

In short, I distilled a theoretical claim from the work of John Locke and then provided empirical evidence of its centrality to subsequent liberal political practice (2009).

This general procedure does not depart in the slightest from Moravcsik's own. If we leave aside his formulation of general paradigmatic claims, (from which, as I have shown, his mid-range theories are not deduced), we are left with the way in which he formulates his mid-range theories. To begin with, he takes the work of a number of classical (and more contemporary) liberal authors such as John Stuart Mill, Giuseppe Mazzini, Woodrow Wilson, and Adam Smith from which he distills some core claims that characterize, according to Moravcsik, ideational, commercial, and republican versions of liberal theory. He then provides a number of empirical examples in support of these claims. There is, then, no systematic difference between Moravcsik's actual procedure for arriving at mid-range theories and my own; they are both 'theory-guided empirical analyses' (Moravcsik, 2010). The only difference lies in the fact, as I am happy to concede, that he provides a wider range of evidence than I largely due to the fact that the main purpose of my article was the discussion of ideology and not the development of a full-blown alternative theory of liberal internationalism.

More importantly, however, Moravcsik's own work provides illustration and substantive support for my thesis. Moravcsik, like Locke, formulates the general claim that human beings are rational. Moravcsik, like Locke, notes that this rationality does not necessarily translate into 'liberal' policies. Thus, for Moravcsik as for Locke, it is the social and political context that ultimately determines, which form this rationality takes in political life. Thus, liberal theory holds in principle that free trade is a more efficient, and rational, way to maximize economic benefits; yet market structures, argues Moravcsik, can create 'incentives for both openness and closure' (1997: 529). In a non-liberal or partially liberal context - 'where the main sources of economic profit, such as farmland, slave labor, raw materials, or formal monopoly could be easily controlled in conquered or colonial economies' - it may be perfectly rational to pursue economic preferences by means of war or conquest (1997: 530). The same holds for the political context: when 'the military, uncompetitive foreign investors and traders, jingoistic political elites ... are particularly well-placed to influence policy' even liberal states can embark on imperialist policies (1997: 532). Indeed, it is this very point – that what is rational depends on the context - that Moravcsik cites as making his theory superior to the 'ideological' formulations of liberalism (1997: 528-9, 532). This insight is perfectly in line with my argument: if the particular form rationality takes is determined by the circumstances, this

undermines the general assumption of rationality as a meaningful claim. If the implications of rationality can range from free trade to protectionism, from imperialism to self-determination, or, for that matter, from empiricism to ideology critique, then this claim does not provide some common ground either for political action or its theorization.

In sum, Moravcsik's positivist method does not fulfill its promises. The promise of superior logic rests on the logical linkage of paradigmatic assumptions and mid-range theories – a linkage that Moravcsik has loosened to one of mere consistency, first, with the result that the watered down version does not deliver the logic of the strict version any longer and, second, with the result that the paradigmatic claims are not any longer open to testing through the mid-range theories. In addition, since this method fails to specify the nature and scope of evidence necessary for its refutation, it removes its general theoretical claims from such challenges, even while it apparently lays them open to empirical testing. It is because this method does not offer what it promises, I would argue, that Moravcsik in practice proceeds in exactly the same way I do. What is more, by arguing that the particular form rationality takes in politics is context dependent, Moravcsik provides substantive support not only for my interpretation of Locke (and its theoretical and political implications) but also, and more importantly, for the concept of ideology and its implications.

#### **Evidence**

Moravcsik subscribes to the positivist method because it promises to overcome the 'subjective interests and values' of the scholar, to provide a solution to the problem of context-dependent rationality, or ideology. It imposes

inter-subjective standards of objectivity and transparency in selection of data, theories to test, presentation of results, and the shared norm that we seek broad regularities in social life. I believe that inter-subjective communication of social science, the notion that findings are 'universal' that is, that we can in principle communicate objective theories and results to everyone - is an attractive ideal (2010).

In contrast to this ideal of an inter-subjective language, Moravcsik suggests, I am committed to relativism. And instead of accepting the need for empirical testing, I make judgements on the basis of 'methodological and philosophical fiat' (2010). Hence, I 'overlook literally hundreds of pages of directly relevant work' and am 'flagrant' in my 'unwillingness to provide any logical or empirical support' for my claims (2010). Yet, in making these accusations, Moravcsik ignores the common ground we

occupy – the recognition of political and theoretical fragmentation – and now suddenly treats his method as an uncontested universal language.

The charge that I fail to provide either arguments or empirical evidence to back up my own claims requires clarification, simply because I have provided these, for example, concerning Locke's conception of rationality and its political consequences.<sup>6</sup> In fact, even Moravcsik acknowledges that I do empirical work 'of a bracing and provocative' nature - though not enough of it. The problem is thus not the lack of evidence but the nature of this evidence. Moravcsik holds that where I do empirical work, it is 'conjectural' and this disqualifies it: 'in order for it to be coherent and credible, it must be tightly disciplined. It must involve concrete testing of competing mid-range theories, using objective data, communicated in a way all can understand' (2010). In other words, because my arguments and evidence do not follow the rules of the positivist method, they are neither 'coherent' nor 'credible'. Having thus discounted my arguments and evidence, Moravcsik comes to the conclusion that my judgements are based on nothing but 'methodological and philosophical fiat'; that my 'criticism floats in a philosophical vacuum' (2010).

Both Moravcsik and I subscribe to the need to back up our claims with arguments and evidence – and we both do provide these. The difference lies in the manner in which they are arrived at and presented. Yet, it is Moravcsik's method that falls short of providing a 'universal' language that 'all can understand'. For such a language, as Moravcsik himself states, is 'an attractive ideal' - and so far nothing but an ideal. After all, even within the highly circumscribed and largely 'Western' field of IR we find a wide range of other languages that do not subscribe to this method, from constructivist, through normative, marxist, gender, poststructuralist to postcolonial theories. In fact, even within the liberal tradition itself we can identify at least three broad approaches - normative (Beitz, 1979, Held, 1996), historical (Hoffmann, 1995; Zacher and Matthew, 1995; Richardson, 2001), and Moravcsik's own empirical or positivist approach. And it was precisely this recognition of the theoretical fragmentation of the field of IR that led Moravcsik to propagate the positivist method as a basis for communication between different approaches.

<sup>&</sup>lt;sup>6</sup> Besides making copious references to Locke's own work (1994, 1959), I provide references regarding his position within the liberal tradition (Gray, 1986; MacPherson, 1962; Rapaczynski, 1987; Ward, 2006), on general social and political relations in the 18th century (Anderson, 1961), the role of Locke in the history of colonialism (Lebovics, 1986; Tully, 1993; Arneil, 1996; Tuck, 1999; Ivison, 2003; Armitage, 2004; Boucher, 2006) as well as in the history of enclosures (McNally, 1988; Perelman, 2000), and on the importance of the relationship between Europe and its colonies for economic development in the West (Washbrook, 1997; Marks, 2007).

Yet, instead of then showing how this method is capable of relating to, and communicating with, alternative approaches like mine, Moravcsik suddenly treats the positivist method as *the* uncontested universal language of scholarly discourse. And on this basis, he explicitly excludes my arguments and evidence simply by virtue of their 'basic mode of criticism' (2010). This, surely, is a judgement based on 'methodological and philosophical fiat' (2010). Is it not Moravcsik who, though he believes 'that a scholarly community should be the site for conversations, not soliloquies' (2010), here refuses conversation on any other but his own ground?

## **Politics**

Finally, Moravcsik holds his own engagement with 'people, states, power, and other real things' up against my preference to replace such engagement with discussions of 'epistemology, methodology and other abstract concepts' (2010). I ignore Moravcsik's applied and policy-oriented work; I misrepresent his political position; and I end up proposing that the 'solution to current problems lies in deep philosophical reflection on social theory' (2010). Here, too, I think, Moravcsik fails to see the guite considerable common ground between our positions.

To make this clear, it is helpful to recall that my own reflections on 'epistemology, methodology and other abstract concepts' in the context of this exchange were triggered by Moravcsik's 1997 article. The purpose of that article was to replace the 'ideological' formulations of liberal theory with a 'non-ideological and nonutopian form appropriate to empirical social science', that is, based on a different, positivist, methodology (1997: 513). The 1997 article is teeming with terms like 'teleology', 'microfoundational assumptions', 'paradigmatic restatement', 'methodological', 'theoretical', 'empirical', 'hypotheses' and so on (1997: 515, for instance). Moravcsik (1997, 2003a, b) is thus no stranger to discussions of 'epistemology, methodology and other abstract concepts'. Indeed, such reflections constitute an integral part of his work. Moreover, authors like Lakatos and Musgrave (1970) and Laudan (1996), who figure prominently in Moravcsik's work, are surely no less abstract than Mannheim (1960). Indeed, it could be argued that Mannheim's reflections, based as they are on an empirical history of the development of political thinking, are much less abstracted from the world of politics than reflections on positivist method which originates, after all, in the natural sciences.

So, why is it that Moravcsik, just like me, regularly engages in such abstract reflections? He does so because he is dissatisfied with the limits of particular approaches. Thus, he notes that the traditional formulations of

liberal theory in IR cannot accommodate or explain clear instances of 'non-liberal' behavior (such as protectionism, imperialism, the use of force) on the part of liberal actors (1997: 528-32), or account for the failure of supposedly liberal institutions like the League of Nations (1997: 546). This weakness of traditional formulations of liberalism, Moravcsik holds, can be rectified by adopting a different methodological approach. In other words, he recognizes that methodological approaches define what does and does not count as evidence, what falls within the purview of a particular analysis and what falls outside it. The turn to abstract theoretical and methodological reflections serves the purpose, then, of finding an approach that promises to overcome the limitations of existing approaches. And it is this goal that leads Moravcsik more generally to argue for a synthesis of rationalist approaches – each of which has certain valuable insights to offer with respect to particular issue areas (2003a: 199). In other words, and perfectly in line with my own argument regarding ideologies, these particular approaches contain some truths, if of a limited nature (2009: 415, 434). A synthesis thus promises to widen the scope of explanation beyond individual approaches without sacrificing their individual strengths.

Hence, both Moravcsik and I engage for exactly the same reason in abstract reflections: in order to overcome the limitations of particular approaches in the analysis of concrete problems in world affairs. In other words, it is the aim of providing the best possible analysis of concrete problems in world affairs that forces scholars on occasion to reflect on the shortcomings of existing approaches and to try to rectify these. Such reflection does not provide solutions for concrete problems, but it is a crucial precondition for it.

The question, then, is whether Moravcsik's approach actually does encourage the analysis of concrete problems of world affairs. As Moravcsik's exposition clearly shows, this approach demands empirical analyses not for the purpose of understanding such concrete political problems but for the purpose of testing theories. It encourages studies designed to trace 'the evolution of the mid-range research'; 'empirical competition among potentially generalizable claims is the key' (2010). The objective of empirical research is thus not a concrete problem but rather the empirical performance of a particular theory in competition with other theories. And these theories, in turn, do not necessarily address particularly urgent concrete problems in world affairs. The democratic peace thesis, for example, claims that democracies do not fight each other. This claim quite explicitly entails the recognition that the real problems of world affairs lie elsewhere – and not in the relations between democratic states. The thesis does thus not appear to address, at least not directly,

a particularly urgent problem. Yet, given this thesis, the positivist method encourages studies into the question, for example, of whether Germany before WW I was a democracy, or was perceived as a democracy, or not – and thus whether the claim holds for this case (Oren, 1996). This, surely, is even less of a burning concrete problem in world affairs. There is nothing in this method, then, that particularly encourages the study of concrete political problems. On the contrary, it may be argued that what it does encourage is the testing of theories, rather than the study of concrete problems.

Nevertheless, Moravcsik (2007a, b), it is true, does engage with concrete political issues. Yet, I would argue he does that, and to his credit, not because, but in spite of, his positivist method.

My reflections on the implications of ideology, in contrast, lead straight back into the analysis of concrete problems in world affairs. An appropriate response to the problem of ideology, I suggested, 'requires in practice an engagement with (the) conditions of emergence (of political knowledge) and an historical account of its struggle with internal and external competitors' (2009: 436). Applied to the concrete case of liberalism at hand, it requires an account of the historical conditions of emergence of liberal thought and practice and of its struggle with internal and external competitors. This brings the conflict between liberal and non-liberal forces, as well as the internal competition between different versions of liberalism directly into focus. And these issues are surely relevant for concrete political questions like democracy promotion, intervention, statebuilding - all of which revolve around liberal-non-liberal relations and on all of which we do find politically relevant competing positions within liberalism (Jahn, 2007a, b). This approach, in short, does encourage the analysis of concrete political problems. And if my own work should not live up to these expectations, as Moravcsik claims, it is, just as in his case, despite and not because of this approach.

### Conclusion

Thus Andrew Moravcsik and I do after all inhabit the same planet with regard to the goals and requirements of IR as a social science. Its goal is ultimately to contribute to the analysis and solution of concrete political problems in world affairs. To this end, we also agree, a language that transcends given ideological positions, politically and theoretically, is necessary.

Moravcsik advocates his 'soft positivist' method as solution to this problem while arguing that my approach, based on ideology critique, comes close 'to an outright rejection of inter-subjectively valid empirical research in the social sciences' (2010). Yet, this judgement, I will now argue in conclusion, may need to be turned on its head.

Moravcsik takes, as his starting point, the fragmentation of the world of international politics, though he provides no empirical evidence indicating the absence of 'common ground' between different approaches or subjectivities. He then proposes rationalism as a basis for inter-subjective communication, since it already provides the lowest common denominator for a number of approaches. Yet, this is just what is at issue. Rationalism, after all, provides common ground for some, but hardly all, approaches. And even this common ground provides a basis for synthesis rather than communication since it already presupposes common, namely rationalist, subjectivities. Real inter-subjective communication, by contrast, requires by definition dialog (between different subjectivities) to which Moravcsik 'fails to make even a single reference' (Lapid, 2003: 130). Instead he variously and explicitly advocates synthesis (1997, 2003a, b, 2010). Moravcsik's approach at best provides the basis for an intra-subjective synthesis; but this cannot be equated with inter-subjective communication.

Yet, even the potential of this approach for intra-subjective synthesis is undermined by Moravcsik's own argument that rationality translates into different forms of theoretical or political practices depending on the context. Perhaps this explains the existence and proliferation of highly 'divisive ... intra-epistemic debates that remain unsolved' even among those committed to a project of synthesis (Harvey and Cobe, 2003: 144). Moravcsik, nevertheless, proceeds to treat his 'soft positivism' as *the* universal language that does in fact provide the basis for inter-subjective communication. This intra-rationalist assumption is thereby falsely imposed on inter-subjective realities and consequently explicitly excludes alternatives from communication.

This exclusion extends beyond the methods to the issue areas, arguments, and concrete evidence provided by alternative approaches. This means, in the case of liberalism, that concepts, arguments, and evidence derived from the intraliberal world (in Moravcsik's case particularly European Union and US policies) are imposed on international relations

<sup>&</sup>lt;sup>7</sup> Since liberals are generally keen to highlight the existence, increasing scope, and political potential of interdependence in the world, this is a curious assumption and one that violates Moravcsik's ban on presuming 'that we *already know* the (limited) scope of scientific claims *before theory-guided empirical analysis within the paradigm has been conducted*' (2010) much more clearly than does my own, empirically substantiated, claim that liberalism is a historically limited phenomenon (Arblaster, 1984).

at large. The frequently inter-subjective challenge of IR, however, cannot be met by an approach that refuses to recognize other subjectivities together with their arguments and evidence.

According to Moravcsik, however, exactly these weaknesses attend my own use of ideology critique. Thus, I supposedly deny that 'theories that focus on variation in state preferences have been fruitful' (2010); that I come 'close to denying that economic development has generated conditions propitious for democratic stability'; and that I contradict myself by citing 'conventional social science', 'just like a good positivist' (2010). In other words, I am accused of excluding his theory, of ignoring substantial evidence, and of methodological inconsistency. Yet, this is a serious misreading not only of my argument but also more generally of the methodological implications of the critical concept of ideology. In fact, it is a projection of the weaknesses of Moravcsik's own approach onto mine.

First of all, and to set the record straight, instead of rejecting Moravcsik's theory. I specifically argued that this 'liberal theory of international relations contains some important truths - if not about international relations in general' (2009: 434, emphasis added). Similarly, I recognized the evidence concerning economic development and its political implications for the Western world but added that this development had originally 'required the political subordination and economic expropriation of communities' in other parts of the world (2009: 429–30). Thus, I neither reject Moravcsik's theory nor ignore his evidence but, by widening the scope of analysis, show that its claims do not apply generally. This practice is in line with ideology critique and demonstrates its potential as a basis for inter-subjective communication.

This concept assumes an empirical common ground between different subjectivities, namely the historical interaction that constitutes each as separate but related to its relevant others. Each of these subjectivities thus has concrete limitations, but none is excluded from an approach that focuses on their interaction. Moreover, ideologies are understood to generate important, if limited, insights into particular contexts and issue areas. Evidence generated on the basis of a variety of approaches is therefore included in analysis – though mindful of its limited validity. The concept of ideology thus does provide a basis for inter-subjective communication precisely because it does not exclude any form of approach, argument or evidence from dialog. Instead it tests the limits of their respective claims and thereby explores the dynamic relationship between these different subjectivities. This inclusive potential is also evident in the fact that its broad assumptions underpin a wide range of approaches in the social sciences in general and IR in particular. Hence, constructivist, gender, marxist, poststructuralist and postcolonial theories all focus on the relationship between states, genders, classes, races, that is, between variously defined subjectitivies and their respective others. My critique of Moravcsik's approach is consistent with these assumptions: it accepts the insights of his theory as well as the importance of its evidentiary base – but demonstrates their historically limited scope and theorizes the dynamics unfolding in its relations with those areas it does not cover.

Moravcsik's misinterpretation, however, is clearly rooted in the weaknesses of his own approach. If he interprets my rejection of the general validity of his theory as a rejection of the theory per se, this is because in positivism, as Mannheim rightly pointed out, and Moravcsik's methodological reflections confirm, 'nothing is regarded as "true" or "knowable" except what could be presented as universally valid or necessary' (1960: 149). If he interprets my addition of evidence from outside the Western or intra-liberal world to his narrative as a *denial* of his evidence. this is because within positivism such evidence undermines the generalization and with it the evidence as such. And if he interprets my use of 'positivist' evidence as inconsistent, this is because positivism refuses to recognize evidence formulated in a non-positivist register. It is thus positivism with its zero-sum conception of knowledge (production) that prevents Moravcsik from even recognizing the 'subtle and sympathetic', or balanced, way in which his theory is taken up and developed in my critique. And it is this positivism that prevents Moravcsik from considering the basic implication of that critique: namely that ideological limitations may be more efficiently transcended by investigating the dynamics that evolve between different actors regarding the same issue area than by synthesizing the viewpoints of already compatible subjectivities regarding a range of issue areas. The latter procedure may well produce a generalized liberal theory. But that is far from being a universal language.

#### References

Anderson, M.S. (1961), Europe in the Eighteenth Century 1713-1783, London, UK: Longmans.

Arblaster, A. (1984), The Rise and Decline of Western Liberalism, Oxford, UK: Blackwell.
Armitage, D. (2004), 'John Locke, Carolina, and the "Two Treatise of Government", Political Theory 32(5): 602–627.

Arneil, B. (1996), John Locke and America. The Defence of English Colonialism, Oxford, UK: Clarendon.

<sup>&</sup>lt;sup>8</sup> Moravcsik is mistaken when he identifies this approach with a 'Marxist teleology' (2010). Most theories sharing these basic assumptions obviously do not subscribe to a Marxist teleology – and neither did Mannheim nor do I. Moravcsik here falsely identifies a 'Marxist teleology' with a dialectical methodology.

- Beitz, C. (1979), Political Theory and International Relations, Princeton, NJ, USA: Princeton University Press.
- Boucher, D. (2006), 'Property and propriety in international relations: the case of John Locke', in B. Jahn (ed.), Classical Theory in International Relations, Cambridge: Cambridge University Press, pp. 156–177.
- Gates, S., T.L. Knutsen and J.W. Moses (1996), 'Democracy and peace: a more sceptical view', Journal of Peace Research 33(1): 1-10.
- Gray, J. (1986), Liberalism, Minneapolis, MA, USA: University of Minnesota Press.
- Harvey, F. and J. Cobe (2003), 'Multiple dialogs, layered syntheses, and the limits of expansive cumulation', International Studies Review 5(1): 144-147.
- Held, D. (1996), Democracy and the Global Order: From the Modern State to Cosmopolitan Governance, Cambridge: Polity.
- Hoffmann, S. (1995), 'The crisis of liberal internationalism', Foreign Policy 98: 159-177.
- Ivison, D. (2003), 'Locke, liberalism and empire', in P.R. Anstey (ed.), The Philosophy of John Locke: New Perspectives, London: Routledge, pp. 86–105.
- Jahn, B. (2007a), 'The tragedy of liberal diplomacy: democratization, intervention, statebuilding I', Journal of Intervention and Statebuilding 1(1): 88–106.
- (2007b), 'The tragedy of liberal diplomacy: democratization, intervention, statebuilding II', Journal of Intervention and Statebuilding 1(2): 211-229.
- —— (2009), 'Liberal internationalism: from ideology to empirical theory and back again', International Theory 1(3): 409-438.
- Kratochwil, F. (2003), 'The monologue of "Science"', International Studies Review 5(1): 124–128.
- Lakatos, I. and A. Musgrave (eds) (1970), Criticism and the Growth of Knowledge, Cambridge: Cambridge University Press.
- Lapid, Y. (2003), 'Through dialog to engaged pluralism: the unifinished business of the third debate', International Studies Review 5(1): 128-131.
- Laudan, L. (1996), Beyond Positivism and Relativism: Theory, Method and Evidence, Boulder, CO, USA: Westview Press.
- Lebovics, H. (1986), 'The uses of America in Locke's second treatise of government', Journal of the History of Ideas 47(4): 567-581.
- Locke, J. (1959), An Essay Concerning Human Understanding, 2 Vol., collated and annotated by Alexander Campbell Fraser, New York, USA: Dover.
- (1994), Two Treatise of Government, ed. by P. Laslett, Cambridge: Cambridge University Press.
- MacPherson, C.B. (1962), The Political Theory of Possessive Individualism. Hobbes to Locke, Oxford, UK: Clarendon.
- McNally, D. (1988), Political Economy and the Rise of Capitalism. A Reinterpretation, Berkeley, CA, USA: University of California Press.
- Mannheim, K. (1960), Ideology and Utopia, London, UK: Routledge and Kegan Paul.
- Marks, R.B. (2007), The Origins of the Modern World. Fate and Fortune in the Rise of the West, Lanham, MD, USA: Rowman and Littlefield.
- Moravcsik, A. (1997), 'Taking preferences seriously: a liberal theory of international politics', International Organization 51(4): 513-553.
- (2003a), 'Liberal international relations theory: a scientific assessment', in C. Elman and M.F. Elman (eds), Progress in International Relations Theory: Appraising the Field, Camdridge: MIT, pp. 159-204.
- (2003b), 'Theory synthesis in International relations: real not metaphysical', International Studies Review 5(1): 131-136.

- —— (2007a), 'A rogue reforms', Newsweek, 16 July 2007.
- —— (2007b), 'The self-absorbed Dragon', Newsweek, 29 October 2007.
- —— (2010), "Wahn, Wahn, Überall Wahn": defending liberal theory against relativism, International Theory, this issue.
- Oren, I. (1996), 'The subjectivity of the "Democratic" peace. Changing US perceptions of imperial Germany', in M.E. Brown, S.M. Lynn-Jones and S.E. Miller (eds), *Debating the Democratic Peace*, Cambridge: MIT press, pp. 263–300.
- Perelman, M. (2000), The Invention of Capitalism. Classical Political Economy and the Secret History of Primitive Accumulation, Durham, NC, USA: Duke University Press.
- Rapaczynski, A. (1987), Nature and Politics: Liberalism in the Philosophies of Hobbes, Locke, and Rousseau, Ithaca, NY, USA: Cornell University Press.
- Richardson, J.L. (2001), Contending Liberalisms in World Politics. Ideology and Power, Boulder, CO, USA: Lynne Rienner.
- Spiro, D.E. (1996), 'The insignificance of the liberal peace', in M.E. Brown, S.M. Lynn-Jones and S.E. Miller (eds), *Debating the Democratic Peace*, Cambridge: MIT press, pp. 202–233.
- Tuck, R. (1999), The Rights of War and Peace. Political Thought and the International Order from Grotius to Kant, Oxford, UK: Oxford University Press.
- Tully, J. (1993), An Approach to Political Philosophy: Locke in Contexts, Cambridge: Cambridge University Press.
- Ward, L. (2006), 'Locke on the moral basis of International Relations', *American Journal of Political Science* 50(3): 691–705.
- Washbrook, D. (1997), 'From comparative sociology to global history: Britain and India in the pre-history of modernity', *Journal of the Economic and Social History of the Orient* 40(4): 410–443.
- Zacher, M.W. and R.A. Matthew (1995), 'Liberal international theory: common threads, divergent strands', in C.W. Kegley Jr (ed.), Controversies in International Relations Theory. Realism and the Neoliberal Challenge, New York, USA: St. Martin's Press, pp. 107–150.