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## **Review**

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## Psychological functioning in paediatric patients with single ventricle heart disease: a systematic review

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### Abstract

Background: Patients with single ventricle heart disease are living into adulthood due to medical and surgical advancements but have significant physical comorbidities and an increased risk for psychological comorbidities compared to healthy subjects or those with other CHD diagnoses. This study aimed to systematically review psychological functioning in paediatric single ventricle heart disease. Methods: Literature was searched using PubMed, Embase, PsycInfo, CINAHL Complete and Scopus. Peer-reviewed articles that included patients ages 0-25 years with single ventricle heart disease, and quantitative measures of psychological outcomes were included. Meta-analysis using a fixed-effect model was conducted for internalising and externalising t-scores, utilised by the Achenbach Child Behavior Checklist. Results: Twenty-nine records met the criteria for inclusion. 13/24 studies demonstrated increased risk for internalising disorders, such as anxiety/depression; 16/22 studies demonstrated risk for externalising disorders, such as attention or behavioural problems. Meta-analysis of four studies revealed that paediatric single ventricle heart disease patients had no significant difference in internalising and externalising t-scores compared to normative values. Conclusions: The current review demonstrates the need for further studies to better understand psychological functioning in patients with single ventricle heart disease, with a majority of studies showing increased risk for psychological problems despite no difference seen in a small meta-analysis. This summary of the literature underscores the need for regular psychological screening, earlier intervention and integrated mental health therapies in paediatric single ventricle heart disease.

Single ventricle heart disease is a heterogeneous group of diagnoses that until 50 years ago was almost universally fatal. Since the description of the Fontan palliation in 1971 by Francis Fontan,<sup>1</sup> staged palliation for single ventricle heart disease culminating in the Fontan palliation has evolved in surgical technique, perioperative and outpatient care,<sup>2,3</sup> and now allows the majority patients with single ventricle heart disease to survive well into adolescence and adulthood. Recent studies based on multi-centre data estimate 20-year post-Fontan survival at 75–85%<sup>4</sup>, while more modern era survival estimates approach 90%.<sup>5</sup> However, Fontan palliation survivors experience a myriad of comorbidities related to their cardiac condition and face the possibility of Fontan circulatory failure, with even the most optimistic reports estimating that 10% of survivors will die or require heart transplant by early adulthood.<sup>6</sup> Physical and neurodevelopmental comorbidities affect not only the physical health status of patients with single ventricle heart disease but also their well-being, quality of life<sup>7</sup> and mental health.<sup>8</sup>

This growing population of patients with single ventricle heart disease seeks primary care from paediatricians as well as routine care from paediatric cardiology providers.<sup>9</sup> It is well known that many children who would benefit from treatment of mental health conditions do not receive appropriate care,<sup>10</sup> and the American Academy of Pediatrics has focused on preparedness of physicians to address mental health appropriately in their patients.<sup>11</sup> Despite this, literature on psychological functioning in the single ventricle heart disease population has not been synthesised. The research gap regarding psychological outcomes in the paediatric single ventricle heart disease population has been identified as a priority for exploration.<sup>12,13</sup> Given the known association between poor psychological health and cardiovascular risk in adult patients,<sup>14</sup> better characterisation of psychological functioning and outcomes in the paediatric single ventricle heart disease population is of utmost importance.

Building upon the current literature in adult cardiovascular disease and paediatric and adult CHD, this study aimed to review and summarise the existing literature on psychological functioning and outcomes in paediatric single ventricle heart disease patients guided by the following questions: (1) what is the impact of paediatric single ventricle heart disease on psychological functioning? and (2) what variables, if any, have been identified as risk factors for adverse psychological sequelae in the single ventricle heart disease population? This systematic review will provide targets for intervention and underscore future research directions in this critical area for the single ventricle heart disease paediatric and emerging adult population.

### **Materials and methods**

This systematic review followed the Preferred Reporting Items for Systematic Review and Meta-Analysis (PRISMA) statement.<sup>15</sup> The protocol is available in the University of Michigan's institutional repository Deep Blue and is accessible here: https://doi.org/10.7302/1165.

### Search strategy

The review team met with health informationist (KMS) in early 2020. After discussion of included patients and outcomes, a search strategy was crafted to inform the selection of potential databases, concepts and search terms. The databases selected for this project include MEDLINE via PubMed, Embase (Elsevier), PsycInfo (EBSCO), CINAHL Complete (EBSCO) and Scopus (Elsevier). When available, publication limits were applied to exclude commentaries and book chapters. Reviews were included in the initial search for evaluation of additional articles through the ancestry method but were not included in manuscript review. The final searches were run on 21 April, 2020 and 15 June, 2020, with an additional search update to identify more recent articles on 10 May, 2021. EndNoteX9 was used to manage citations and to identify and remove duplicates. The deduplicated set of records was imported into Rayyan for screening where a few additional citations were identified as duplicates.

The searches were built around three main concepts: single ventricle heart disease, paediatrics and psychological functioning. Each search consisted of a combination of controlled terms appropriate for the selected databases and keywords limited to relevant fields. The PubMed search strategy is included in Appendix A and all reproducible search strategies and associated search files are available at https://doi.org/10.7302/087c-9g95. The ancestry method was also utilised, in which authors examined reference sections of studies meeting the review's predefined inclusion criteria for additional studies examining psychological functioning among paediatric patients with single ventricle heart disease.

## Inclusion criteria

The following inclusion criteria were established prior to conducting the search: (1) study included a sample majority of paediatric patients between 0 and 25 years of age with single ventricle heart disease, (2) contained a quantitative measure of patient psychological functioning (e.g., measurement of symptomatology via self or parent report form, or participant reported diagnosis by a clinician) (3) published in a peerreviewed journal and (4) published in English. Studies that primarily evaluated neurodevelopmental outcomes (e.g., cognitive development, motor development) or quality of life/healthrelated quality of life were included in the full-text article review, but only findings specific to patient psychological functioning were extracted for analysis.

## Data extraction and study coding

Titles and abstracts of all search results were reviewed by one of two authors (AM and MW), with 20% of articles reviewed by both reviewers for reliability. Full articles were selected based on the inclusion criteria above and reviewed for inclusion by at least two reviewers (AM, MW, CC/MC). Reviewers extracted data from each study including sample characteristics (sample size, mean/ median age/range, sex, CHD diagnosis/% of sample with single ventricle heart disease, presence of control group), measures of psychological functioning and reporter of measure and results.

Study quality was evaluated independently by two reviewers. The National Institutes of Health National Heart, Lung and Blood Institute Quality Assessment Tool for Observational Cohort and Cross-Sectional Studies<sup>16</sup> was adapted based on applicable criteria for the current literature. Assessment items included reference to an established research objective or study aim, description of study population including time period and geographical location of recruitment, participation rate of greater than or equal to 50%, established selection criteria and procedure, consideration of sample size, statistical power or effect sizes in interpretation, defined and validated outcome measures and consideration of potential confounding variables in analyses. Each study was assessed for all items and received a "yes" when quality indicators were met and a "no" when quality indicators were not met. Additional responses included "not applicable (NA)", "not reported (NR)" and "cannot determine (CD)". Based on these indicators, studies received a rating of "good", "fair" or "poor" quality. Discrepancies in ratings were discussed between the two rating authors and an agreement was made. Quality assessment is reported in Results section.

Defining psychological functioning and outcomes can methodologically be a challenge due to the wide range of metrics used. For the purpose of this review, analyses were divided into two large groups: studies that measured internalising problems (e.g., mood problems and symptoms of anxiety and depression) and studies that measured externalising problems (e.g., behavioural problems and symptoms of attention-deficit/hyperactivity disorder). Some studies also evaluated for symptoms of schizophrenia and autism spectrum disorder which were considered separately. While important, quality of life and neurodevelopmental outcomes are considered elsewhere in the literature and not included in this review.

## Meta-analysis

The articles that provided adequate (t-score) data of the Achenbach Child Behavior Checklist, which is widely used to detect behavioural and emotional problems in children and adolescents,<sup>17</sup>, were included in the meta-analysis for evaluation of total t-score, internalising t-score and externalising t-score compared to the Child Behavior Checklist normative t-value of mean 50 with 10 SD. The effect sizes were estimated by Hedges' g<sup>18</sup> for the Child Behavior Checklist t-scores across each domain (total, internalising and externalising). The equal sample size of the articles included in the meta-analysis was used for the sample size of the Child Behavior Checklist norms. For the article in which the SD of the t-score was not reported, a pooled SD was used. All metaanalyses across the three Child Behavior Checklist domains were conducted using a fixed-effect model, assessing heterogeneity between studies. Heterogeneity between studies was examined using Cochran's  $Q^{19}$  and  $I^2$  statistic<sup>20</sup> with  $I^2 \ge 50\%$  indicating substantial heterogeneity.<sup>21</sup> Considering that the numbers of the

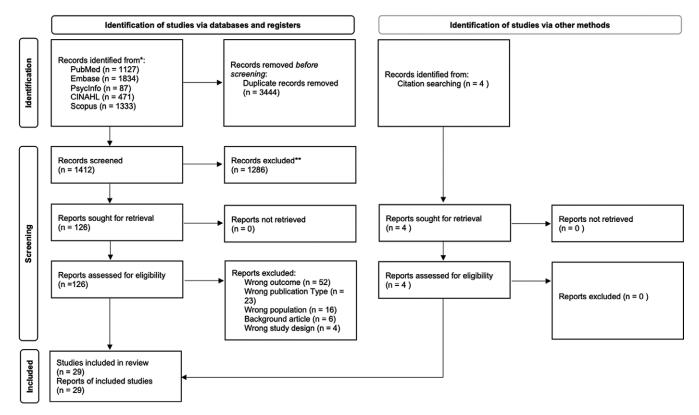


Figure 1. PRISMA diagram.

studies included were relatively small (five studies for total scale and four studies for both internalising and externalising scales) and the studies included in the meta-analysis were homogeneous based on Cochran's Q and I<sup>2</sup> statistics (Table 2), a random-effect model was not performed.

### Results

### **Study characteristics**

The searches yielded 1416 studies after the removal of duplicate results. See Figure 1 for the PRISMA flow diagram. After title and abstract screening, 130 articles were included for full-text article review. Of the studies excluded at the full-text review, the majority did not include a quantitative measure of psychological functioning (e.g., did not include measurement of symptomatology via self or parent report form, or participant reported diagnosis by a clinician, n = 52) or were the wrong publication type (n = 23). The remaining 29 articles met the criteria. For a full summary of study results, see Table 1. Within these 29 studies, approximately 30% were published in the last 5e years. Study sample sizes ranged from 12 to 1164 participants. Participate age ranges were vast, ranging from 1 year to adulthood. In paediatric patients with single ventricle heart disease, 24 studies assessed risk for internalising disorders.

### Study quality assessment

Across included studies, all (n = 29, 100%) explicitly defined the research objective or study aim. All studies established inclusion criteria and consistent selection procedures (n = 29, 100%), defined the study population, including time period and geographical location of recruitment, and utilised defined outcomes

with validated measures (n = 29, 100%). Nineteen (66%) studies reported a participation rate of greater than 50%. Thirteen studies (45%) justified sample size or utilised effect sizes, and 18 (62%) statistically considered potential confounding variables in analyses. Based on the results of this quality assessment, 90% of included studies were rated as "good" quality (n = 26) and 10% of included studies were rated as "fair" quality (n = 3). No studies were excluded from the current literature review based on the quality assessment.

# What is the impact of single ventricle heart disease on patient psychological outcomes?

## Internalising disorders (anxiety, depression)

Twenty-four studies examined internalising problems (e.g., depression, anxiety) in the present review. Of these, 13 concluded that there was an increased risk of internalising problems in patients with single ventricle heart disease compared to healthy controls or other CHD patient populations.<sup>8,22–33</sup> Eleven studies found no increase in risk of internalising problems as compared to controls.<sup>34–44</sup>

Anxiety symptoms were measured in 23 studies with 12 showing a higher risk of anxiety disorders compared to single ventricle heart disease compared to controls. One study showed as much as a fivefold increase in the rate of lifetime anxiety diagnosis in patients with single ventricle heart disease compared to referents (35% vs. 7%).<sup>8</sup> Cassidy and colleagues found that patients with Fontan physiology had higher rates of anxiety than Transposition of the Great Arteries and Tetralogy of Fallot groups.<sup>23</sup> Twenty-four studies included measures of depressive symptoms. Results from 13 studies showed patients with single ventricle heart disease had a higher likelihood of developing depression or having a lifetime

## Table 1. Included Study Characteristics and Results

Study	Sample Characteristics, n = # of SVHD patients	Comparison	Measures of Psychological Functioning	Category	Results
Bellinger et al 2015 <sup>45</sup>	N=156, M patient age: 14.5 ± 2.9 years	N=111 (healthy)	The Conners' Rating Scales Toronto Alexithymia Scale The Reading the Mind in the Eyes Test-Revised Autism Spectrum Quotient	Externalising, Autism	<ul> <li>Externalising – SVHD patients had significantly worse scores on the measures of attention compared to controls (p &lt; 0.001). 22% of SVHD patients had an ADHD diagnosis</li> <li>Autism – SVHD patients had significantly worse scores on all measures (p = 0.02, &lt;0.001, &lt;0.001).</li> <li>Norwood group had higher but not significant scores than non-Norwood group on measures of attention and scored significantly worse than non-Norwood group on Reading the Mind in Eyes (p = 0.02) and Autism Spectrum Quotient (p = 0.03) tests, but not the Toronto Alexithymia scale</li> </ul>
Brosig et al 2007 <sup>34</sup>	N=13, M patient age: 4.7 years	N=13 (DTGA)	CBCL	Internalising, Externalising	<ul> <li>Internalising – No difference between SVHD and DTGA groups</li> <li>Externalising - HLHS group scored higher for attention (p = 0.049), externalising (p = 0.026), compared to TGA. 15% of patients in the HLHS group showed total and externalising problems scores in the clinical range, 23% of HLHS patients showed attention problems in the clinical range—not significant compared to DTGA, but may be clinically relevant</li> <li>Total problems – HLHS group scored higher than DTGA (p = 0.42)</li> <li>Internalising and total problems were significantly associated with minutes of circulatory arrest at time of surgery, with more minutes correlating with more behaviour problems</li> </ul>
Brosig et al 2013 <sup>35</sup>	N=34, M patient age: 6.4 years	Compared to norms	CBCL The Conners' Rating Scales	Internalising, Externalising	<ul> <li>Overall behavioural rating scale was normal.</li> <li>No significant findings in Internalising or Externalising total scores.</li> <li>Externalising – SVHD patients scored higher than norms on attention subscale of CBCL (p = 0.0002) although the scores were within the normal range.</li> <li>More likely to fall in the "at-risk" or "impaired" ranges on attention measures.</li> <li>No demographic or clinical variables were significant predictors of internalising or externalising scores, or Conners' scores</li> </ul>
Calderon et al 2016 <sup>36</sup>	N=133, M patient age: 14.6 ± 3.0 years	SVHD patients born early term vs. full term	The Reading the Mind in the Eyes Test Revised, Autism Spectrum Quotient, Toronto Alexithymia Scale, K-SADS-PL Children's Depression Inventory Revised Children's Manifest Anxiety Scale The Conners' Rating Scales BPRS-C The Child Stress Disorder Checklist	Internalising, Externalising, Autism, PTSD	<ul> <li>SVHD patients born early term were more likely than those born full term to have a lifetime ADHD diagnosis (55% vs. 26%)</li> <li>Early term SVHD patients scored significantly worse in both parent and self-report Conners' Rating Scales compared to full-term group.</li> <li>BPRS-C indicated greater severity of psychiatric symptoms in early term group.</li> <li>Those also born early term had greater posttraumatic stress symptoms on the Child Stress Disorder Checklist.</li> <li>No difference on measures of Autism, Depression, Anxiety</li> <li>Risk of ADHD diagnosis was lower for adolescents born early term who experienced a neurological event than those who had not (p = 0.02), full term adolescents who experienced a neurological event were at greater but not significantly increased risk than those who had not. Higher BPRS total scores, indicating greater psychiatric severity, were associated with longer circulatory arrest time, more catheterizations. Higher Conners' scores were associated with more total operative complications and female sex</li> </ul>
Casey et al 1996 <sup>22</sup>	N=26, M patient age 8.78 ± 3.26 years	N=26 (Healthy)	CBCL	Internalising, Externalising	SVHD patients were rated higher on the Internalising scale (p = 0.016) but not the Externalising scale SVHD patients scored higher on the overall score (p = 0.017)

Table 1. (Continued)

Cassidy et al 2019 <sup>23</sup>	N=86, M patient age: 13.85 ± 2.86 years	N=134 (DTGA) N=58 (TOF)	K-SADS-PL	Internalising, Externalising	SVHD patients were more likely than DTGA or TOF to have a history of psychotherapy (p = 0.002), but were not significantly different on rates of current stimulant or psychotropic medications Prevalence of lifetime anxiety disorders (p < 0.001), ADHD (p = 0.01), and disruptive behaviour disorders (p = 0.01) was higher in SVHD group than DTGA group. Also had higher prevalence of lifetime anxiety disorders (p = 0.01) than TOF but did not differ in ADHD or disruptive behaviours.
d'Udekem et al 2009 <sup>37</sup>	N=36, M patient age: 21.6 ± 5 years	None	Hopkins Symptoms Checklist	Internalising	Hopkins symptoms Checklist scores were normal
Davidson et al 2015 <sup>38</sup>	N=58 (HLHS) M patient age: 12.3 years N=44 (nonHLHS SVHD) M patient age: 13.5 years	HLHS vs. non HLHS SVHD	Behavioral outcomes were measured by referrals to psychology services	Internalising, Externalising, Autism	<ul> <li>23% of the population was referred to an educational psychologist or child psychiatrist at a higher rate in HLHS group.</li> <li>Higher incidence of formally diagnosed behavioural disorders in HLHS group compared with non-HLHS and healthy norms in local population (Autism 7% vs. 1-1.5%, ADHD 7% vs. 0.8%)</li> </ul>
DeMaso et al 2017 <sup>8</sup>	N=156, M patient age: 14.5 years	N=111 (Healthy)	K-SADS-PL BPRS-C Revised Children's Manifest Anxiety Scale Child Stress Disorders Checklist The Conners' Rating Scales Children's Depression Inventory	Internalising, Externalising, PTSD	<ul> <li>SVHD patients had higher rates of lifetime psychiatric diagnosis (p &lt; 0.001), anxiety (p &lt; 0.001), ADHD (p &lt; 0.001)</li> <li>BPRS-C scores indicated higher degree of psychiatric symptom severity for SVHD patients</li> <li>SVHD patients and parents reported significantly more symptoms of anxiety, disruptive behaviour, depression and PTSD</li> <li>Higher lifetime risk of anxiety disorder with lower birth weight and longer circulatory arrest duration. Higher risk of lifetime ADHD diagnosis associated with lower IQ scores and male gender</li> </ul>
Denniss et al 2019 <sup>49</sup>	N=30, M patient age: 2.7 years	N=57 (Other CHD Diagnosis)	Short Temperament Scale for Children	"Other"	No differences on Short Temperament Scale
Fuller et al 2010 <sup>46</sup>	N=36, M patient age: 4 years	N=202 (Other CHD Diagnosis)	Impulsivity and Inattention Scales of the ADHD Rating Scale-IV Preschool Version.	Externalising	Patients with SVHD were more likely to have abnormal scores of inattention ( $p = 0.021$ )
Goldberg et al 2000 <sup>39</sup>	N=51, M patient age 4.8 years	Compared to norms	CBCL	Internalising, Externalising	1/51 patients was found to have abnormal behaviour per the CBCL
Goldberg et al 2019 <sup>24</sup>	N=291, M patient age: 6 years	Compared to norms	Behavior Assessment System for Children 2 (BASC-2)	Internalising, Externalising	Somatization was significantly worse for SVHD patients compared to normative sample For all other behaviour symptoms, no significant difference SVHD patients scored significantly worse internalising and externalising behaviour scales and adaptive skills composite
Gonzales et al 2021 <sup>25</sup>	N=63, Patient age: 4 to 17 years	N=1101 (Healthy)	N/A - Dx or Tx with anxiety, depression, ADHD	Internalising, Externalising	Patients with SVHD diagnoses were more likely to be diagnosed with anxiety/depression ( $p < 0.05$ ) but not ADHD than their peers without CHD
Hagemo et al 1997 <sup>40</sup>	N=12, M patient age: 4 years	Compared to norms	CBCL	Internalising, Externalising	Mean scores below the norms for normative samples, but no evidence of clinical psychopathology

## Table 1. (Continued)

Table 1. (Continued)					
Study	Sample Characteristics, n = # of SVHD patients	Comparison	Measures of Psychological Functioning	Category	Results
Hagemo et al 2007 <sup>41</sup>	N=15, Patient age 5 to 12 years	Compared to norms	CBCL	Internalising, Externalising	One parent scored their child above the normal range, indicated more total problems, for overall behaviour Three parents scored their child above the normal range for emotional problems
Hansen et al 2012 <sup>47</sup>	N=14, M patient age: 12.6 years	N=37 (Other CHD diagnosis)	SNAP-IV	Externalising	There appeared to be a statistically non-significant trend towards the SVHD patients having a greater prevalence of elevated inattention symptoms (21.43% vs. 5.41%, $p = 0.12$ ). This trend was not seen for the hyperactive/impulsivity symptoms (7.14% vs. 2.70%, $p = 0.48$ )
Mahle et al 2000 <sup>26</sup>	N=28	Compared to norms	CBCL	Internalising, Externalising	14 (50%) of SVHD patients scored within the normal range for all 8 subtests, 5 (17.8%) met criteria for borderline or clinical behavioural problems on 2 or more subtests, the subtest most likely to be abnormal was attention (5 clinical, 2 borderline). 6 children (20.7%) were in the clinical range for anxious/depressed
McCusker et al 2007 <sup>42</sup>	N=12, M patient age: 4.6 years	N=78 (Other CHD diagnosis)	CBCL	Internalising, Externalising	The percentage of SVHD patients with abnormal total scores was twice as many as the control group Poor parental control skills, single parent status, high maternal worry, and maternal psychological symptoms were associated with worse behavioural outcomes. Surgical procedure and bypass time were not.
Mellander et al 2007 <sup>27</sup>	N=14, Patient age: 2.7 to 10.6 years	N=180 (Healthy)	Model of Lindstrom	Internalising	SVHD patients had lower self-esteem (p < 0.05), basic mood lower with more psychosomatic symptoms (p < 0.001)
Noorani et al 2020 <sup>28</sup>	N=23, M Patient Age 15.9 ± 1.3 years	N=37 (Healthy)	PHQ9 Beck Anxiety Inventory WRAML2	Externalising Internalising	Attention/concentration subscale of WRAML2 was significantly lower in SVHD patients $(p < 0.001)$ PHQ9 scores were significantly higher in SVHD patients $(p = 0.001)$ Beck Anxiety Inventory scores were significantly higher in SVHD patients $(p = 0.001)$
Overgaard et al 2011 <sup>43</sup>	N=62, M patient age: 22 years	N=142 (Healthy)	Hospital Anxiety and Depression Scale	Internalising	SVHD patients experienced only few symptoms of anxiety or depression, the median score was 5 for anxiety and 2 for depression. Anxiety was not related to functional class
Pike et al 2018 <sup>29</sup>	N=9, M patient age: 15.8 years	N=36 (Healthy)	Beck Anxiety Inventory Patient Health Questionnaire-9 (PHQ-9)	Internalising	The PHQ-9 and Beck Anxiety Inventory scores were significantly higher in SVHD patients over controls (PHQ-9, $p = 0.004$ ; Beck Anxiety Inventory, $p = 0.006$ ).
Puosi et al 2011 <sup>30</sup>	N=36, M patient age: 29.7 months	N=41 (Healthy)	CBCL	Internalising, Externalising	No significant difference in total, internalising, or externalising scores between SVHD patients and controls, however t-scores suggest more internalising problems in SVHD patients (p < 0.05) No difference in HLHS vs. other SVHD patients in total, externalising or internalising problems
Saliba et al 2001 <sup>44</sup>	N=49, M patient age: 22.7 years	Compared to norms	Duke Health Profile	Internalising	The Duke scores SVHD patients were similar to the normal population.
Sarajuuri et al 2012 <sup>31</sup>	<i>N</i> =37, M patient age: 18.3 months	N=46 (Healthy)	CBCL	Internalising, Externalising	The parents of the SVHD patients reported significantly more total (mean 49.8 versus 45.0, p = 0.009) and internalising (48.6 versus 40.8, p = 0.001) behaviour problems than those of the controls, but not externalising problems. Among the syndrome scales, a significant difference was only found in somatic complaints. No difference in HLHS and other SVHD patients in total, internalising or externalising problems

Sarrechia et al 2016 <sup>32</sup> Sistino et al	N=17, M patient age 9.1 ± 2.2 years N=33, M	Sarrechia et al $N=17$ , M $N=17$ (healthy)CBCL $2016^{32}$ patient age 9.1 $\pm 2.2$ years $\pm 2.2$ yearssistino et al $N=33$ , MCompared to	CBCL ADHD-IV survey	Internalising, Externalising Externalising	Internalising,     Parents of SVHD patients reported significantly more externalising and total behaviour       Externalising     problems, and there was a trend towards more internalising problems       No significant correlations between patient characteristics and total, internalising or externalising problems.       Invey     Externalising       9/33 SVHD patients (23.7%) had ADHD
2014 <sup>48</sup> Vahsen et al 2018 <sup>33</sup>	patient age: 9 years N=104, M patient age: 8.6 years	norms Compared to norms	CBCL	Internalising, Externalising	Parents reported significantly more total behavioural problems, internalising behaviour problems and externalising behaviour problems
eviations: SVHD = S g Scale for Childrer	iingle Ventricle Heart Dis n, TOF = Tetralogy of Fall	ease, DTGA = D-Transpos lot, PTSD = Post Traumat	ition of the Great Arteries, CBCL = Child Beha ic Stress Disorder, CHD = Congenital Heart Di	avior Checklist, K-SADS sease, ADHD = Attenti	bbreviations: SVHD = Single Ventricle Heart Disease, DTGA = D-Transposition of the Great Arteries, CBCL = Child Behavior Checklist, K.SADS-PL = Schedule for Affective Disorders and Schizophrenia for School-Aged Children, BRPS-C = Brief Psychiatric aating Scale for Children, TOF = Tetralogy of Fallot, PTSD = Post Traumatic Stress Disorder, CHD = Congenital Heart Disease, ADHD = Attention Deficit/Hyperactivity Disorder, WRAML2 = Wide Range Assessment of Memory and Learning, Second Edition,

groups. Gonzales and colleagues reported a 7x higher odds of diagnosis or treatment of anxiety and/or depression in patients with single ventricle heart disease compared to controls.<sup>25</sup> One study found that patients with single ventricle heart disease reported lower self-esteem and mood than healthy peers.<sup>27</sup> Health-related correlates of anxiety and depressive symptoms were examined across some studies. Deep hypothermic arrest time was found to be associated with greater total internalising probleme<sup>34</sup> and a higher likelihood for lifetime anyiety disorder<sup>8</sup> in

was found to be associated with greater total internalising problems<sup>34</sup> and a higher likelihood for lifetime anxiety disorder<sup>8</sup> in patients with single ventricle heart disease. Lower birth weight was also found to be associated with greater likelihood for lifetime anxiety disorder diagnosis.<sup>8</sup> Overgaard and colleagues detected a significant positive correlation between impairment of physical abilities and depression, whereas anxiety was not observed to be correlated.<sup>43</sup>

depression diagnosis compared to normed samples or other CHD

# *Externalising disorders (disruptive behaviors, attention-deficit/ hyperactivity disorder)*

Twenty-two studies examined externalising problems in the present review, with 16 studies concluding that single ventricle heart disease patients had increased risk or a trend towards increased risk of externalising problems. Ten studies included measures looking broadly at externalising problems. Of these, four found that patients with single ventricle heart disease demonstrated greater risk of externalising problems than healthy controls,<sup>24,32–34</sup> and six found no statistical difference in externalising problems.<sup>22,30,31,39–41</sup>

Seven studies evaluated symptoms of inattention, which are sometimes used as proxy for attention-deficit/hyperactivity disorder assessment. Four studies showed that patients with single ventricle heart disease performed significantly worse on measures of attention than healthy control groups.<sup>28,34,45,46</sup> An additional three studies showed trends towards increased attention deficits between patients with single ventricle heart disease and controls.<sup>26,35,47</sup>

In addition to symptoms, multiple studies showed that patients with single ventricle heart disease have an increased rate of diagnosis of attention-deficit/hyperactivity disorder as compared to healthy control groups and local prevalence data. Two studies showed higher rates of lifetime attention-deficit/hyperactivity disorder diagnosis in patients with single ventricle heart disease when compared with patients with other forms of CHD.<sup>8,23</sup> Rates of attention-deficit/hyperactivity disorder diagnosis within study-specific cohorts of patients with single ventricle heart disease widely ranged from 5.1% to 23.7% among four different studies.<sup>25,38,45,48</sup>

Five studies examined additional correlates of risk for externalising disorders in patients with single ventricle heart disease. One study evaluated single ventricle heart disease patients who were born at early term versus those that were born at full term. This study found that patients with single ventricle heart disease born early term (37–38 weeks gestation) were more likely than those born full term (39–40 weeks gestation) to have a lifetime attention-deficit/hyperactivity disorder diagnosis (55% vs. 26%). Additionally, higher scores on measures of attention-deficit/hyperactivity disorder were associated with more total operative complications and female sex.<sup>36</sup> A second study found higher risk of lifetime attention-deficit/hyperactivity disorder diagnosis was associated with lower IQ scores and male sex.<sup>8</sup> Two additional studies found no significant correlations between patient characteristics and externalising problems.<sup>32,35</sup>

Table 1. (Continued)

= Patient Health Questionnaire-9 HLHS = Hypoplastic Left Heart Syndrome.

PHO-9

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						He	eterogeneity	
CBCL domain	Number of articles included	Number of patients with SVHD	Pooled effect size by Hedges' g	95% CI	P-value	Cochran's Q	P-value	<sup>2</sup>
Total	5	151	-0.02	-0.26 to 0.22	0.81	3.63	0.46	0.0%
Internalizing	4	139	-0.08	-0.32 to 0.16	0.50	4.89	0.18	38.6%
Externalizing	4	139	-0.20	-0.44 to 0.04	0.09	2.09	0.55	0.0%

Table 2. Meta-Analysis Results comparing CBCL t-scores in paediatric single ventricle heart disease patients to CBCL norms (mean t-score 50 with SD 10)

Abbreviations: CBCL = Child Behavior Checklist, SVHD = Single Ventricle Heart Disease, CI, confidence interval.

## (a) Total

Study Name	Hedges'	g 95% CI
Goldberg et al (2000) <sup>33</sup>	-0.20	-0.59 to 0.19
Brosig et al (2013) <sup>36</sup>	-0.13	-0.61 to 0.35
Sarajuuri et al (2012) <sup>32</sup>	-0.02	-0.48 to 0.44
Sarrechia et al (2016) <sup>26</sup>	0.41	-0.27 to 1.09
McCusker et al (2007) <sup>42</sup>	0.41	0.40 to 1.22
	-0.02	-0.26 to 0.22

## (b) Internalizing

Study Name	Hedges'	g 95% CI
Goldberg et al (2000) <sup>33</sup>	-0.27	-0.66 to 0.12
Brosig et al (2013) <sup>36</sup>	-0.06	-0.53 to 0.42
Sarajuuri et al (2012) <sup>32</sup>	-0.15	-0.60 to 0.31
Sarrechia et al (2016) <sup>26</sup>	0.61	-0.07 to 1.30
	-0.08	-0.32 to 0.16

## (c) Externalizing

Study Name	Hedges'	g 95% CI					
Goldberg et al (2000) <sup>33</sup>	-0.37	-0.77 to 0.02		-			
Brosig et al (2013) <sup>36</sup>	-0.22	-0.70 to 0.25					
Sarajuuri et al (2012) <sup>32</sup>	-0.15	-0.61 to 0.30					
Sarrechia et al (2016) <sup>26</sup>	0.19	-0.48 to 0.87			_ <b>-</b> - <b>-</b> -	_	
	-0.20	-0.44 to 0.04			-		
			-2	-1	0	1	2

-2

-1

**Figure 2.** Forest plots from meta-analysis for CBCL total (A), internalising (B) and externalising (C) t-scores. Square sizes are proportional to the weight of each study's sample size in the analysis, and the lines represent their 95% CIs. The diamond represents the pooled effect size by Hedges' g with 95% CI.

Four studies examined type of single ventricle heart disease diagnosis as a risk factor for externalising problems. One study found that patients who underwent a Norwood palliation had higher but not significantly different scores than patients with other palliations on measures of attention.<sup>45</sup> A second study found an increased rate of

attention-deficit/hyperactivity disorder diagnosis in patients with hypoplastic left heart syndrome than other patients with single ventricle heart disease.<sup>38</sup> Two more studies found no difference in externalising problems between patients with hypoplastic left heart syndrome and other single ventricle heart disease diagnoses.<sup>30,31</sup>

0

1

# Other disorders (Autism spectrum disorders, schizophrenia spectrum disorders and post-traumatic stress disorders)

Three studies examined symptoms or diagnoses of autism spectrum disorder. One study found that patients with single ventricle heart disease had significantly worse scores on three measures of autism spectrum disorder as compared to healthy controls.<sup>45</sup> A second study found higher incidence of autism spectrum disorder diagnoses in patients with single ventricle heart disease compared with local prevalence rates (7% vs 1–1.5%).<sup>38</sup> Two studies evaluated symptoms of post-traumatic stress disorder with one study demonstrating that patients with single ventricle heart disease were more likely to report symptoms of post-traumatic stress disorder than healthy controls.<sup>8</sup> Three studies evaluated measures of Schizophrenia symptoms with no significant findings.<sup>8,23,36</sup> One study evaluated measures of temperament, with no significant findings.<sup>49</sup>

### Meta-analysis

Of 12 studies that utilised the CBCL, only five studies provided mean t-scores to allow for inclusion in the meta-analysis.<sup>31,32,35,39,42</sup> One study only reported data for the total problems scale,<sup>42</sup> and thus four studies were included in the externalising and internalising domain analyses. The pooled effect sizes for all three domains (total, internalising and externalising problems) were insignificant, suggesting no significant difference between mean t-scores of the studies and the mean *t*-scores for normative values. For a full summary of meta-analysis results, see Table 2 and Figure 2.

#### **Discussion**

Results of the current review present the first known synthesis of the literature regarding psychological functioning in the paediatric single ventricle heart disease population. Overall, review of results of individual studies underscores increased risk for psychological sequelae, including anxiety, depression and behavioural symptoms and disorders in paediatric patients with single ventricle heart disease. However, a small meta-analysis of 4–5 studies failed to show significant differences in total, internalising or externalising problems.

Of the 24 studies in the review that examined internalising problems, the majority found an increased risk of internalising problems in paediatric single ventricle heart disease patients when compared with control groups. This is consistent with the existing, albeit few, studies of the paediatric CHD population at large which show that children with CHD have increased incidence of depression when compared with their peers.<sup>50,51</sup> Increased rates of internalising problems have been well described in the more robust adult CHD literature. Some studies estimate that over half of adult patients with CHD have significant symptoms of a mood or anxiety disorder,<sup>52</sup> although it is also likely that these symptoms are underrecognized and this estimate does not fully capture the extent of psychological morbidity.

This review also found increased risk of externalising problems in paediatric patients with single ventricle heart disease, with 16 of 22 studies that examined externalising problems describing increased risk compared to controls. The few existing studies in paediatric CHD show similar findings of increased risk of inattention symptoms and attention-deficit/hyperactivity disorder.<sup>51,53-55</sup> There is minimal literature on adult CHD or adult cardiovascular disease and associations with attention-deficit/hyperactivity disorder, which could be an important area for further exploration given known associations with adult attention-deficit/hyperactivity disorder and poor physical and mental health outcomes.<sup>56</sup> It is important to note that the broad category of externalising problems also includes aggression and conduct problems. It is possible that if children do not have aggression or conduct problems that their total externalising score may not be elevated, despite attention or self-regulation concerns. Further studies with more specific metrics can better identify the risk of attention-deficit/hyperactivity disorder in this patient population.

It is important to highlight that the meta-analysis of 4-5 studies did not show significant differences between single ventricle heart disease patients and normative values in total, externalising or internalising problems as measured by a well-validated metric of child emotional and behavioural problems. This non-significant finding amidst the broader literature review, which highlights a greater risk for adverse psychological sequalae for patients with single ventricle heart disease, should be interpreted with the following considerations in mind. First, only a small number of studies could be included in the meta-analysis due to the various measures of psychological functioning used across studies. Furthermore, it is possible that certain patients within the single ventricle heart disease population are at higher risk for poor psychological functioning than others. It is notable that in this small meta-analysis sample, four of the studies had a mean age of participant below age 5. Karsdorp et al previously found in their meta-analysis that older children and adolescents were at higher risk for poor psychological functioning.<sup>51</sup> Likewise, Gonzalez et al found that the rate of diagnosis or medication for anxiety and depression increased as patients with CHD aged.<sup>25</sup> It is feasible that studies with older single ventricle heart disease patients may find more significant differences in rates of poor psychological functioning than those of younger patients. Lastly, a number of studies in the review noted normative sample mean scores in terms of psychological functioning but found percentage of patients with at-risk scores to be greater than population prevalence. As such, sample mean scores provide only a snapshot of psychological risk.

Several limitations to the current body of literature must be noted, which may direct future research in the field. First, few studies examined association of poor psychological functioning and health outcomes. It is known that in adults with CHD, depression is responsible for the variability of self-reported health status of patients, including physical functioning.<sup>57</sup> A recent study of adult patients with CHD found that major depression was associated with impaired functional status, heart failure and increased risk for adverse outcomes.<sup>58</sup> Mental health disease may also impact healthcare utilisation, as has been demonstrated in adult patients with CHD.<sup>59</sup> Further studies of associations of health outcomes and psychological functioning in paediatric patients with single ventricle heart disease are important to better understand the impact of mental health disorders in this population.

Five studies<sup>23,34,42,46,47</sup> within the present review found increased risk of poor psychological functioning in patients with single ventricle heart disease when compared to patients with other forms of CHD. The findings presented here may suggest that patients with single ventricle heart disease have different risk for worse outcomes in psychological functioning, and thus should be considered separately from other patients with CHD. A previous systematic review and meta-analysis by Latal et al similarly looked at psychological functioning in the broader CHD population which found that psychological outcomes were worse for patients with more severe CHD.<sup>60</sup> A recent large international study of adult patients found worse psychological patient-related outcomes in patients with cyanotic heart disease compared to other CHD.<sup>61</sup> Future studies examining contributors of additive psychological burden in single ventricle heart disease are important next directions for the field.

This review highlights several important practice considerations. Mental health disorders are not reliably identified in the paediatrics population at large, and many children who would benefit from treatment of mental health conditions do not receive appropriate care.<sup>10</sup> It is suspected that mental health disorders are under-identified and undertreated in children for a myriad of reasons. The integration of experienced social work and psychology clinicians into paediatric cardiology care is supported by international consensus statements.<sup>62</sup> Screening for psychological functioning with brief, validated instruments at routine clinic visits may also help to identify those patients at highest need for referral and intervention. The Cardiac Neurodevelopmental Outcome Collaborative has made recent recommendations for a core battery of developmental testing including the Behavior Assessment System for Children, Third Edition<sup>63</sup> for consistent and validated screening methodologies across centres.<sup>64,65</sup>

Notably, lack of preparedness by the paediatric provider to address mental health concerns in their patients has also been highlighted as a contributor to the under-identification of mental health concerns in children.<sup>11</sup> As a result, the American Board of Pediatrics and American Academy of Pediatrics have focused on preparedness of physicians to address mental health appropriately in their patients.<sup>11</sup> For this reason, mental health training in paediatric residency is a target of graduate medical education groups across paediatric care.<sup>11,66,67</sup> To our knowledge, mental healthcare has not been a focus of education in paediatric cardiology fellowship. As it has been shown that some parents seek routine care from their cardiology providers,<sup>9</sup> paediatric cardiology providers should also be comfortable with identifying patients who would benefit from referral for mental health services. While mental health assessments are taught in many paediatric residency programmes, this is not consistent across training programmes. Further education in addressing mental health concerns would better prepare paediatric cardiology trainees to meet the needs of their complex population. This education and training should also be expanded to all members of the multidisciplinary team providing cardiology specific care to this patient population, including nurses, social workers, therapists, psychologists, and developmental experts.

Mental health intervention design, implementation and effectiveness study are necessary next steps for research and practice.<sup>68</sup> A recent review of the literature identified only two published psychological intervention studies involving adolescents with CHD. Unfortunately, the interventions were not found to be highly efficacious,<sup>69</sup> but mental health interventions in adults with heart disease have demonstrated some successes to build upon.<sup>70</sup> Cognitive behavioural therapy and positive-psychology based interventions in particular have shown promise in adult heart disease populations.<sup>14</sup>Therefore, examination of such intervention effects on both psychological and cardiovascular outcomes in paediatric single ventricle heart disease should be priorities for research study in the coming years.

The results of the current review must be interpreted in light of several limitations. Given the limited available research on psychological functioning in the paediatric single ventricle heart disease population, and the paediatric CHD population in general, studies for review were limited in number and often with varying methodology. A meta-analysis was conducted on studies utilising the same metric and methodology but given the wide range of measures and methodologies available, a more comprehensive meta-analysis was not feasible. Further, studies in the current review widely varied in sample size with 18 of the 29 studies having sample sizes of 40 participants or fewer. While this is reflective of smaller populations of patients with single ventricle heart disease at some single centres, future research would continue to be improved by multi-site collaborations and registry-level studies to better understand psychological functioning in this population. While every effort was made to include all published studies on the presented topic, it is possible some studies were missed. Finally, qualitative studies were not included in the review given inconsistency in methodology but may add valuable insights into psychological functioning in this population.

This systematic review provides an important synthesis of the literature and a starting point for further research on risk factors and interventions for psychological functioning in patients with single ventricle heart disease. Results demonstrate that patients with single ventricle heart disease are at increased risk for poor psychological functioning when compared to healthy peers and to peers with other forms of CHD. Likely there are characteristics within the single ventricle heart disease population that put certain patients at even greater risk, potentially age and specific single ventricle heart disease diagnoses, however, these specific characteristics have yet to be well studied. The suggestion but lack of clear associations of single ventricle heart disease and psychological functioning through single centre studies supports the prospective integration of psychological outcomes into emerging registries like the Fontan Outcomes Network<sup>71</sup> and the exisitng Cardiac Neurodevelopmental Outcome Collaborative,<sup>72</sup> which in addition to neurodevelopmental outcomes is also collecting psychosocial outcomes data. Based on the findings of this systematic review and in keeping with the current American Heart Association statements,<sup>14</sup> we would recommend that paediatric cardiologists prioritise mental health in their care of patients with single ventricle heart disease, with future research guiding best practices on screening techniques and intervention strategies.

Supplementary material. For supplementary material accompanying this paper visit https://doi.org/10.1017/S1047951122000063

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