

# Neural correlates of prenatal stress in young women

A. Favaro<sup>1,2\*</sup>, E. Tenconi<sup>1,2</sup>, D. Degortes<sup>1</sup>, R. Manara<sup>3,4</sup> and P. Santonastaso<sup>1,2</sup>

<sup>1</sup>Department of Neurosciences, University of Padova, Padova, Italy

<sup>2</sup>Cognitive Neuroscience Center, University of Padova, Padova, Italy

<sup>3</sup>Department of Medicine, University of Salerno, Salerno, Italy

<sup>4</sup>IRCSS San Camillo, Venice, Italy

**Background.** Prenatal stress is hypothesized to have a disruptive impact on neurodevelopmental trajectories, but few human studies have been conducted on the long-term neural correlates of prenatal exposure to stress. The aim of this study was to explore the relationship between prenatal stress exposure and gray-matter volume and resting-state functional connectivity in a sample of 35 healthy women aged 14–40 years.

**Method.** Voxel-based morphometry and functional connectivity analyses were performed on the whole brain and in specific regions of interest (hippocampus and amygdala). Data about prenatal/postnatal stress and obstetric complications were obtained by interviewing participants and their mothers, and reviewing obstetric records.

**Results.** Higher prenatal stress was associated with decreased gray-matter volume in the left medial temporal lobe (MTL) and both amygdalae, but not the hippocampus. Variance in gray-matter volume of these brain areas significantly correlated with depressive symptoms, after statistically adjusting for the effects of age, postnatal stress and obstetric complications. Prenatal stress showed a positive linear relationship with functional connectivity between the left MTL and the pregenual cortex. Moreover, connectivity between the left MTL and the left medial-orbitofrontal cortex partially explained variance in the depressive symptoms of offspring.

**Conclusions.** In young women, exposure to prenatal stress showed a relationship with the morphometry and functional connectivity of brain areas involved in the pathophysiology of depressive disorders. These data provide evidence in favor of the hypothesis that early exposure to stress affects brain development and identified the MTL and amygdalae as possible targets of such exposure.

Received 5 September 2014; Revised 13 February 2015; Accepted 16 February 2015; First published online 19 March 2015

**Key words:** Amygdala, anxiety, depression, prenatal stress.

## Introduction

The process of brain development begins in the first months of gestation and, although it is not completed until young adulthood, undergoes its most rapid and complex changes during the intrauterine period (Gluckman & Hanson, 2004; Tottenham & Sheridan, 2009). There is growing evidence to show that the intrauterine period of life is crucial in determining the long-term trajectory of brain development and that anatomic and functional alterations associated with neuropsychiatric and other chronic diseases may originate during this period (Gluckman & Hanson, 2004; Buss *et al.* 2012). Several prenatal factors, known to play a role in increasing the risk of psychiatric disorders, have been hypothesized to have a disruptive impact on the developmental trajectories of the

brain. Maternal exposure to infections, malnutrition, and severe stress during pregnancy are all associated with an increased risk of psychiatric conditions (Weinstock, 2008; Fatemi & Folsom, 2009; Class *et al.* 2014; Schmitt *et al.* 2014) and are also associated with increased levels of glucocorticoids which, according to recent hypotheses, play a key role in mediating the detrimental effects of these early risk factors in the developing brain (Reynolds, 2013). Although there is consistent evidence to suggest that there is an epidemiological association between several psychiatric diseases and these early risk factors, the mechanisms of the increased risk are still unclear. For example, although the effects of stress on the brain and the behavior of individuals who had stress exposure after birth have been extensively studied (Lupien *et al.* 2009), the outcomes of prenatal stress and its neural correlates have not been well explored.

Human studies exploring the biological impact of prenatal stress have been limited by intrinsic methodological problems. These have involved difficulties in recruiting homogeneous samples of women exposed

\* Address for correspondence: A. Favaro, MD, PhD, Department of Neurosciences, University of Padova, Via Giustiniani 3, 35128 Padova, Italy.

(Email: angela.favaro@unipd.it)

to stress during their pregnancy and planning long-term follow-up studies of their offspring while at the same time controlling for the effects of potential confounders such as postnatal stress (Charil *et al.* 2010). The impact of prenatal stress exposure in humans is mainly based on knowledge gleaned from epidemiological reports which show increased risk of mood and other psychiatric disorders (Weinstock, 2008; Wyrwoll & Holmes, 2011; Class *et al.* 2014). Other knowledge is based on studies evaluating the outcomes of offspring who have been exposed to prenatal glucocorticoids administered for therapeutic purposes (Davis *et al.* 2013) or to maternal anxiety/depression during pregnancy (Buss *et al.* 2010; Rifkin-Graboi *et al.* 2013; Sandman *et al.* 2015). These studies have shown that intrauterine exposure to elevated glucocorticoids is associated with low birth weight (Class *et al.* 2011; Li *et al.* 2011) and later dysregulation of the HPA axis (Davis *et al.* 2011; Alexander *et al.* 2012). Offspring prenatally exposed to high levels of glucocorticoids also display long-term impairment in cognitive and emotional regulation (Alexander *et al.* 2012), factors which are putatively considered to be mediators of an increased risk of developing mood disorders. The only human study exploring the effects of pregnancy cortisol levels on amygdala and hippocampus volumes found that higher cortisol levels in early gestation were associated with larger right amygdala volumes in 7-year-old girls, but not in boys (Buss *et al.* 2012). Prenatal maternal depression/anxiety—a situation somewhat similar to stress exposure—has also been associated with reduction of gray-matter volumes in several brain areas (Buss *et al.* 2010) and microstructural alterations of the right amygdala (Rifkin-Graboi *et al.* 2013) in offspring.

Despite the paucity of human studies, several animal studies have been conducted exploring the effects of prenatal stress in offspring. In animals, such studies include investigations of brain anatomical and developmental alterations, of behavioral outcomes, and of expression/transcription of genes involved in neural functions. Animal models show that prenatal exposure to stress—like early postnatal exposure—is generally associated with reduced hippocampal and prefrontal regions and increased amygdala volumes in puppies, whereas the long-term effects are less clear (Tottenham & Sheridan, 2009; Charil *et al.* 2010). As well as the timing of exposure to stress, that of measuring of brain changes is also a factor to be considered in interpreting data (Tottenham & Sheridan, 2009). Neural data collected longitudinally at multiple time-points result in different outcomes providing evidence that early stress-induced hypertrophy may result in later neuronal atrophy or cell death in several brain areas, including the amygdala and the hippocampus

(Teicher *et al.* 2003). Similarly, at the synaptic level, changes associated with prenatal moderate stress exposure include a short-term increase in spine density in the medial prefrontal cortex (PFC) and the orbitofrontal cortex and a reduction in spine density in the long term (Mychasiuk *et al.* 2011; Kolb *et al.* 2012). All together, these investigations show that differences in the timing of prenatal stress exposure and the age at which the brain is studied result in differing plastic changes in neuronal circuits which evolve over protracted intervals (Kolb *et al.* 2012).

From a behavioral viewpoint, studies on laboratory animals have confirmed that prenatal stress exposure produces an elevated and prolonged stress response, impaired learning and memory, altered exploratory behavior, altered social and fear of extinction behavior (Weinstock, 2008; Kolb *et al.* 2012; Bingham *et al.* 2013). Genes associated with neurodevelopmental processes are highly expressed in the embryonic period and throughout fetal life (Kang *et al.* 2011). A whole-genome microarray study (Mychasiuk *et al.* 2011) identified over 700 genes which were differentially expressed in the PFC and hippocampus after prenatal stress exposure. These changes in the expression of genes (mostly down-regulation) were also highly gender-dependent and region-specific, with more prominent changes in the expression of growth factors in the hippocampus of female individuals.

Although understanding the long-term correlates of prenatal stress exposure is extremely interesting in clarifying the early origin of psychiatric disorders, to date only studies in children have explored this issue by taking a neuroimaging approach. In the present study, we explored for the first time the neural correlates of prenatal stress in a sample of healthy young women, while taking into account the impact of postnatal stress and perinatal obstetric complications.

## Method

### Participants

The subjects of the study were 35 healthy young women with no history of psychiatric disorders. The mean age of the sample was 25.6 years (s.d. = 6.5, range 15–40 years), years of education 15.5 (s.d. = 2.3) and body mass index 21.8 kg/m<sup>2</sup> (s.d. = 3.0). Only two participants were below the age of 18 years (14.6 and 16.9 years). The main characteristics of the sample are reported in Supplementary Table S1. All subjects gave their informed written consent for the use of data in an anonymous form. All procedures complied with the ethical standards of the local Ethical Committee and with the Helsinki Declaration of 1975, as revised in 2008.

Exclusion criteria for participation were major medical illnesses, history of neurological problems, head trauma with loss of consciousness, active use of systemic steroids, current or past use of antipsychotics, antidepressants, mood stabilizers or benzodiazepines, pregnancy, active suicidality, lifetime major depression or anxiety disorders, history of substance/alcohol abuse or dependence, bipolar disorder or schizophrenia spectrum disorder, minor mental impairment (IQ < 80), or any contraindication for magnetic resonance imaging (MRI).

### *Clinical assessment*

The sample comprised of volunteers who agreed to participate in on-going research involving neuroimaging and neuropsychological correlates of perinatal exposure to stress and obstetric complications (Favaro *et al.* 2006, 2010, 2011). Psychiatric disorders were excluded by application of the MINI for DSM-IV Axis I Disorders (Sheehan *et al.* 1998). Handedness was assessed by the Edinburgh Handedness Inventory (Oldfield, 1971). To exclude subjects with mental impairment, participants also completed the Brief Intelligence Test (Colombo *et al.* 2002) (the Italian version of the National Adult Reading Test, a measure of premorbid intellectual ability) if they were aged  $\geq 18$  years. Subjects aged < 16 years completed the Information subscale of the Wechsler Intelligence Scale for Children or, if they were aged between 16 and 18, the Wechsler Adult Intelligence Scale.

Subjects were also asked to complete the Hopkins Symptoms Checklist (H-SCL; Derogatis *et al.* 1974) to assess depressive symptoms, and the State-Trait Anxiety Inventory (STAI; Spielberger *et al.* 1970) to assess state and trait anxiety.

A semi-structured interview was used to assess the occurrence of any lifetime stressful events in all participants. This interview was performed by using a life-chart method to improve ascertainment of life-event histories. Participants were prompted to talk about a particular event, indicating if and at what age it had occurred. They were also asked to assess its severity by scoring a number from 1 to 5 (1, no significant stress associated with the event; 5, extreme stress). The interview covered 14 categories of events (see Supplementary material for details). In the present study, a composite measure of stressful life events corresponding to the total number of negative life events with a severity score reaching at least 3 was used. The average number of stressful negative events was 1.2 (s.d. = 1.0; range 0–5).

Data regarding obstetric complications were available for 34 women. Most participants had been born at the Hospital of Padova ( $n = 17$ ) and data regarding

obstetric complications were available from the hospital archives. As in our previous studies (Favaro *et al.* 2006, 2010, 2011), we reviewed obstetric records to collect relevant information. If the participants were not born at the Hospital of Padova, then the parents were interviewed using an adapted version of the Pregnancy History Instrument (Buka *et al.* 2000), that is an interview covering a wide range of pregnancy, delivery and neonatal complications. In addition, for the latter cases, we were able to obtain copies of birth certificates which provided details regarding any major complications, gestational age, birth weight/length, head circumference and Apgar scores. The McNeil–Sjöström Scale (McNeil *et al.* 1994) was used to define obstetric complications. The total number of obstetric complication was on average 2.3 (s.d. = 2.0, range 0–8). Three mothers (9%) reported smoking during pregnancy. None of the mothers were given synthetic glucocorticoids during pregnancy and all participants were full term at birth.

### *Prenatal stress and family psychiatric history*

A semi-structured interview was administered to the mothers of participants to assess the occurrence of stressful events during pregnancy, and a life-chart method was used to improve ascertainment of event histories. Participants were asked to remember the year before conceiving, the period of pregnancy and the first months after delivery, indicating when the event occurred and giving a severity score (1, no significant stress associated with the event; 5, extreme stress). The interview covered the following categories of events: accidents or accidents to a close relative, death of a friend or close relative, health problems (unrelated to pregnancy), severe health problems of a close person or need to give assistance to a sick close relative, natural disasters, severe interpersonal conflicts with a partner or close relative, separation from a partner, severe legal or economic problems, relocations, personal violence, sexual abuse or maltreatment, recent miscarriages, abortions or offspring death, and any other traumatic or stressful events. In the present study, a composite measure of stressful events was used, corresponding to the total number of negative events. Only stressful events occurring during pregnancy were included, with the exception of traumatic miscarriages/abortions or death of a close person/offspring during the 12 months before conceiving, because in these cases the effects of stress was described to be protracted to the following months.

Family psychiatric morbidity was investigated according to the family history method (Weissman *et al.* 2000).

### Functional MRI (fMRI) data acquisition

Structural and fMRI was performed in one scanning session. High-resolution anatomical and resting-state functional sequences were acquired. Data were collected on a Philips Achieva 1.5 T scanner equipped for echo-planar imaging. Details about acquisition are described in the Supplementary material.

### Voxel-based morphometry (VBM) analyses

The optimized VBM protocol implemented in FSL (version 4.1.6; <http://www.fmrib.ox.ac.uk>; FMRIB) was used (Smith *et al.* 2004). In brief, brain extraction and tissue-type segmentation were performed and the resulting gray-matter partial volume images were aligned to standard space with first linear (FLIRT) and then nonlinear (FNIRT) registration tools. The images were then averaged, modulated and smoothed with an isotropic Gaussian kernel of 5 mm full-width half maximum (FWHM) to create a study-specific template, and the gray-matter images were re-registered to this, including modulation by the Jacobian warp field. Last, voxel-wise general linear models were applied to test correlations according to permutation-based non-parametric testing (5000 permutations) and the threshold-free cluster enhancement (TFCE) method and multiple comparison correction across space (Smith & Nichols, 2009). First, whole-brain analyses were performed with age and handedness as covariates of no interest; analyses were then performed in regions of interest (ROIs) (bilateral hippocampi and amygdalae) obtained with a mask derived from thresholding the corresponding probabilistic map of the Harvard-Oxford Subcortical Atlas at 30%, and with age and handedness again as covariates.

As a tool for automatic subcortical segmentation of amygdala and hippocampus, FIRST (<http://www.fmrib.ox.ac.uk>; FMRIB, Oxford, UK; Patenaude *et al.* 2011) was used, a model-based segmentation/registration tool which relies on the shape/appearance of models derived from manually segmented images.

### fMRI data analysis

Resting-state scans were preprocessed with the following tools: Analysis of Functional NeuroImages (version AFNI\_2010\_10\_19\_1028; <http://afni.nimh.nih.gov/afni>; NIMH, USA) and FM-RIB Software Library (FSL version 4.1.6; <http://www.fmrib.ox.ac.uk>; FMRIB, Oxford, UK). Preprocessing was performed as described in Biswal *et al.* (2010) and [http://www.nitrc.org/projects/fcon\\_1000](http://www.nitrc.org/projects/fcon_1000). A seed-based approach was used to explore functional connectivity between the ROIs (brain areas in which the gray-matter volume

showed a significant linear relationship with prenatal stress) and the rest of the brain.

Time series were averaged across all voxels in the seed ROIs and correlations between the time series of each seed ROI and all other voxels in the brain were then determined for each subject. Last, correlation maps were converted to Z value maps. The resulting standardized maps were then used to test correlations, with age and handedness as nuisance variables. Non-parametric permutation testing (5000 permutations) was used for statistical analysis of spatial maps, according to the TFCE method (Smith & Nichols, 2009), with correction for multiple comparisons across space, threshold  $p < 0.05$ .

### Statistical analyses

Unless otherwise specified, age at time of scanning was included as a covariate in all statistical analyses. Graph data were obtained by extracting the average Z value in the brain area of interest for any individual map, and data were processed by IBM Statistical Product and Service Solutions software (SPSS Inc., USA) with linear regression models. Putative confounders, such as age, handedness, total number of obstetric complications, maternal smoking during pregnancy and postnatal stress, were included in regression models. Although other possible covariates, such as gestational age, socioeconomic status, maternal age, pregnancy alcohol exposure, and maternal and paternal psychiatric morbidity, were considered here, they were not included in the models, since they did not show any significant (or trend toward significant) correlation with either predictors or outcomes.

### Results

No prenatal stress was reported by 13 mothers of participants, but one ( $n=17$ ) or more ( $n=6$ ) stressful events were reported in the other cases (details are reported in the Supplementary material). With few exceptions (three stressful events limited to the third trimester), all stressful events represented continuous exposure during the course of pregnancy.

In our sample, prenatal stress showed non-significant associations with trait anxiety ( $B=4.03 \pm 2.20$ ,  $\beta=0.31$ ,  $p=0.076$ ), state anxiety ( $B=3.26 \pm 1.70$ ,  $\beta=0.32$ ,  $p=0.064$ ) and depression ( $B=0.31 \pm 0.16$ ,  $\beta=0.33$ ,  $p=0.057$ ). By contrast, postnatal stress displayed significant associations with both trait anxiety ( $B=3.61 \pm 1.10$ ,  $\beta=0.51$ ,  $p=0.002$ ) and depressive symptoms ( $B=0.26 \pm 0.08$ ,  $\beta=0.50$ ,  $p=0.003$ ), but not with state anxiety ( $B=1.11 \pm 0.97$ ,  $\beta=0.20$ ,  $p=0.260$ ). No linear relationships were found between prenatal stress and obstetric complications ( $B=-0.10 \pm 0.52$ ,  $\beta=-0.03$ ,

$p=0.854$ ) or gestational age ( $B=0.12\pm 0.43$ ,  $\beta=0.05$ ,  $p=0.780$ ). No significant correlations emerged between participants' age and the number of prenatal stressful events reported by mothers ( $r=0.12$ ,  $p=0.50$ ) or the number of postnatal events ( $r=0.27$ ,  $p=0.11$ ).

In VBM analysis, prenatal stress was significantly correlated with gray-matter volume in a cluster located in the left medial temporal lobe (MTL) (Fig. 1a). ROI analyses revealed a significant negative correlation between prenatal stress and gray-matter volumes in the left and right amygdalae (Fig. 1b, c). According to the Juelich Histological Atlas, significant correlations were found in voxels belonging to the latero-basal and superficial nuclei of both amygdalar areas. By contrast, no significant correlations with gray-matter volume emerged from VBM analysis in the hippocampal areas. Prenatal stress showed no linear relationships with total amygdalar volume (right:  $B=-59.53\pm 52.88$ ,  $\beta=-0.22$ ,  $p=0.271$ ; left:  $B=23.39\pm 45.65$ ,  $\beta=0.09$ ,  $p=0.613$ , adjusted for age, total intracranial volume, and handedness) or hippocampal areas (right:  $B=-45.22\pm 81.83$ ,  $\beta=-0.08$ ,  $p=0.586$ ; left:  $B=-115.91\pm 89.08$ ,  $\beta=-0.21$ ,  $p=0.206$ ) measured by automatic segmentation.

No significant correlations emerged in relationships between whole-brain (and ROI) gray-matter volume and total number of obstetric complication or severity of postnatal stress.

In the region extracted by VBM analyses (left MTL) and both amygdalae, significant negative correlations emerged between gray-matter volume and depressive/trait anxiety symptoms. In the MTL ROI, significant negative correlation emerged for depressive symptoms [Supplementary Fig. S1A; 140 voxels; Montreal Neurological Institute (MNI) coordinates:  $-36$ ,  $16$ ,  $-34$ ] and trait anxiety (Supplementary Fig. S1B; 19 voxels; MNI:  $-34$ ,  $16$ ,  $-34$ ), but not for state anxiety. Similarly, in both amygdalae, significant negative correlation emerged for depressive (Supplementary Fig. S2A, B; left amygdala 222 voxels; MNI:  $-22$ ,  $-6$ ,  $-20$ ; right amygdala 272 voxels; MNI:  $24$ ,  $-4$ ,  $-24$ ) and trait anxiety symptoms (Supplementary Fig. S2C, D; left amygdala 161 voxels, MNI:  $-20$ ,  $-8$ ,  $-18$ ; right amygdala 249 voxels; MNI:  $24$ ,  $-4$ ,  $-24$ ). Variance of gray-matter volume of the clusters extracted by VBM analyses was significantly predicted by prenatal stress, even after the effects of age, postnatal stress, maternal smoking and obstetric complications had been accounted for (Supplementary Table S2; MTL:  $\beta=-0.47$ ,  $p=0.012$ ; left amygdala:  $\beta=-0.55$ ,  $p=0.002$ ; right amygdala:  $\beta=-0.52$ ,  $p=0.001$ ). In addition, variance of gray-matter volume in the clusters extracted by whole-brain VBM analysis were significantly correlated with depressive (Supplementary Table S3; MTL:  $\beta=-0.44$ ,

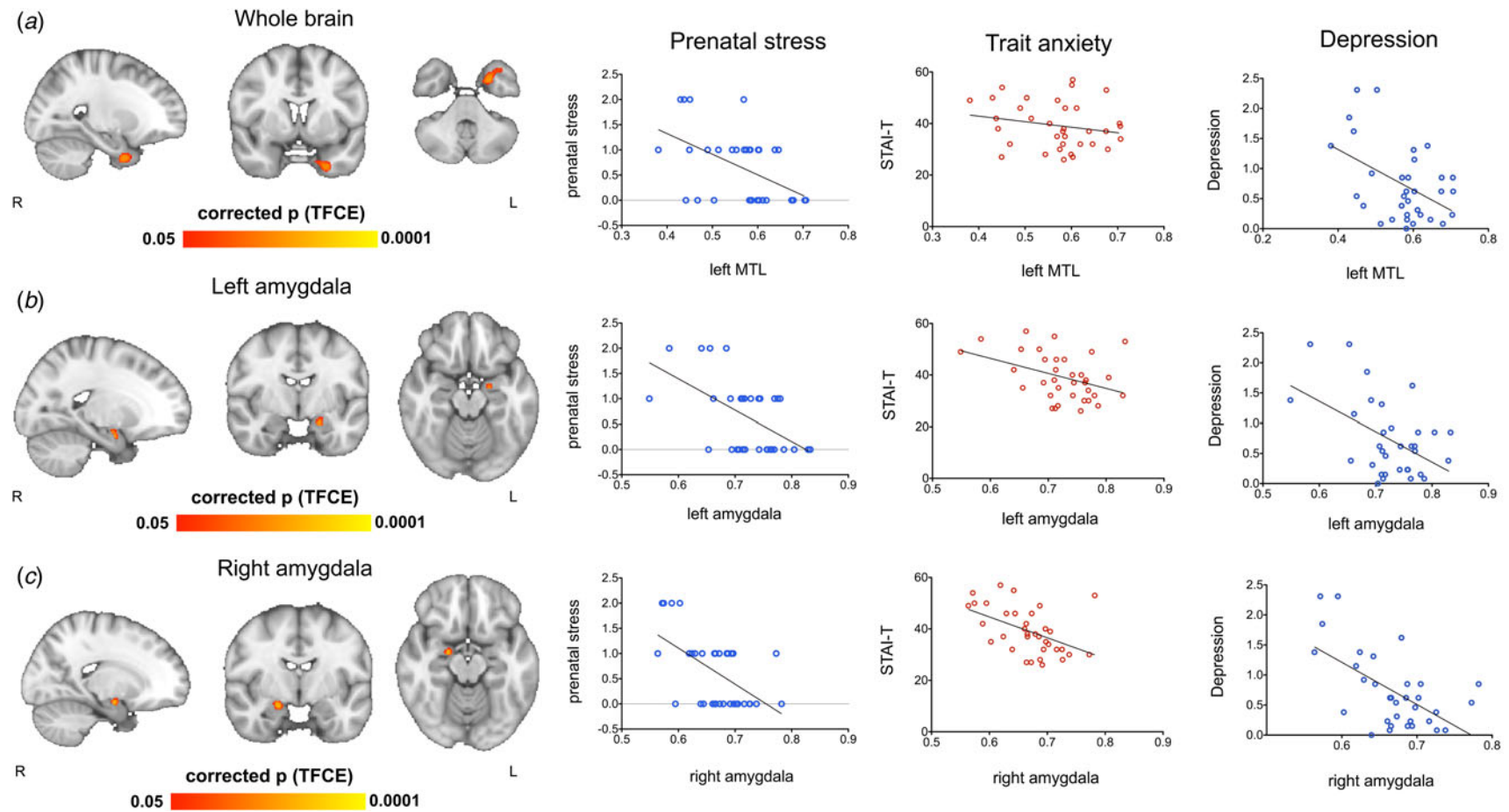
$p=0.015$ ; left amygdala:  $\beta=-0.52$ ,  $p=0.005$ ; right amygdala:  $\beta=-0.65$ ,  $p<0.001$ ; adjusted for age and handedness) and trait anxiety symptoms (MTL:  $\beta=-0.20$ ,  $p=0.269$ ; left amygdala:  $\beta=-0.39$ ,  $p=0.026$ ; right amygdala:  $\beta=-0.49$ ,  $p=0.004$ ). After inclusion of covariates in the model (age, postnatal stress, maternal smoking and obstetric complications), only depressive symptoms still showed a significant relationship with gray-matter volume (MTL:  $\beta=-0.52$ ,  $p=0.021$ ; left amygdala:  $\beta=-0.52$ ,  $p=0.016$ ; right amygdala:  $\beta=-0.61$ ,  $p=0.002$ ). Although we were investigating a sample of healthy women without history of psychiatric disorders, it is possible that psychiatric symptoms may influence brain anatomy. For this reason, we examined the effects of prenatal stress on gray-matter volume while taking into due account the outcomes of prenatal and postnatal stress on depression and anxiety. These analyses demonstrated no changes in the observed relationships between prenatal stress and gray-matter volume, not supporting the hypothesis that depressive and anxiety symptoms may give rise to observed gray-matter pattern in this sample of healthy women.

Last, the voxels in which we found significant correlations between prenatal stress and gray-matter volume were used as seeds to explore resting-state functional connectivity. We found significant correlations between prenatal stress exposure and functional connectivity between the left MTL area and the rostral part of the pregenual anterior cingulate cortex (Fig. 2a). Co-activation with this area was significantly positively correlated with prenatal stress even after inclusion of covariates such as age, handedness, postnatal stress, obstetric complications and maternal smoking ( $\beta=0.538$ ,  $p=0.004$ ).

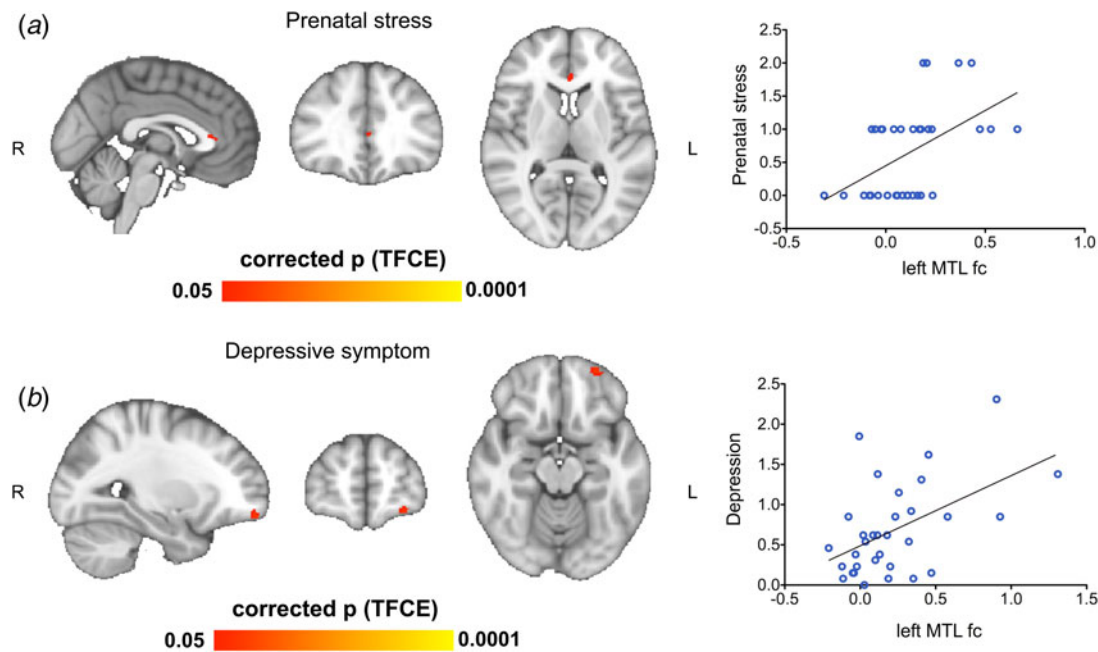
The functional connectivity of the left MTL area with part of the left medial orbito-frontal cortex was significantly positively correlated with depressive symptoms (Fig. 2b). Again, co-activation with this area was significantly correlated with depression even after inclusion of covariates such as age, handedness, postnatal stress, maternal smoking, and obstetric complications ( $\beta=0.494$ ,  $p<0.030$ ). All analyses were repeated removing from the sample the two youngest participants (below the age of 18 years) and no substantial change in results was observed.

## Discussion

In this study, we found evidence of significant associations between measures of prenatal exposure to stress and gray-matter volumes of some brain areas of the limbic networks, i.e. the left MTL and both amygdalae,



**Fig. 1.** Areas of significant correlation [threshold-free cluster enhancement (TFCE)-corrected  $p < 0.05$ ] between: (a) prenatal stress and whole-brain gray-matter volume: peak, MNI coordinates:  $-24, 2, -40$  [cluster size, 193 voxels; area includes left temporal pole, temporal fusiform cortex and, marginally, anterior parahippocampal gyrus, encompassing both Brodmann area (BA) 20 and BA 36]; (b) prenatal stress and gray-matter volume in left amygdala: peak,  $-20, -6, -20$  (cluster size, 50 voxels); (c) prenatal stress and gray-matter volume in right amygdala: peak,  $20, -8, -16$  (cluster size, 47 voxels). Graphs show individual average gray-matter volumes in areas in which significant correlations were found in relation to prenatal stress, trait anxiety and depressive symptoms. Voxel-based morphometry analyses conducted with age and handedness as covariates. MTL, Medial temporal lobe.



**Fig. 2.** Areas of significant correlation [threshold-free cluster enhancement (TFCE)-corrected  $p < 0.05$ ] between: (a) prenatal stress and degree of co-activation of left medial temporal cortex: peak, MNI coordinates: 0, 27, 12 [Brodmann area (BA) 25]; (b) depressive symptoms and co-activation of left medial temporal cortex,  $-27, 57, -12$  (BA 11). Graphs show individual average co-activation in areas showing significant correlations. Analyses conducted with age and handedness as covariates. MTL, Medial temporal lobe.

in a sample of women with no history of psychiatric disorder. Two points support the importance of our findings in order to explain the pathways between prenatal stress and later risk of psychopathology. The first is the significant negative correlation between measures of depressive symptoms and trait anxiety and gray-matter density in the left MTL and both amygdalae. Our analyses show that it is unlikely that gray-matter alterations are a secondary effect of depressive and anxiety symptoms, while it appears more likely that gray-matter volumes exert a 'mediation' effect between prenatal stress and depressive symptoms. The brain areas involved in gray-matter loss are crucially involved in limbic networks and emotional processing (Catani *et al.* 2013): the MTL – strictly functionally connected with the hippocampus and the amygdala – is involved in the storage of autobiographical and emotional memories (Squire & Zola-Morgan, 1991); both the basolateral and the superficial nuclei of amygdala have been involved in affective processing and anxiety-like behavioral responses: the basolateral areas receives projections from the cortex and are hypothesized to be involved in assigning emotional value to sensory stimuli (Sah *et al.* 2003), whereas the superficial nuclei have been associated with acquisition of conditioned defensive responses (Ball *et al.* 2007). The fact that prenatal stress was associated with

reduced region-specific gray-matter density, but that it did not correlate with the overall amygdala volume based on automatic segmentation is of interest and could indicate that prenatal maternal stress might have an effect on the shape of amygdala and not on its overall volume. Future studies will have to examine which aspects of the amygdala integrity are actually altered in the context of maternal stress during pregnancy.

The second point is the finding of a significant positive correlation between prenatal stress exposure and increased functional connectivity between the left MTL and an area in the subgenual anterior cingulate cortex, providing evidence of the functional role played by the brain areas in our VBM study. The functional connectivity between the left MTL and the orbitofrontal cortex also showed a significant linear relationship with depressive symptoms. The integrity of connectivity between the medial temporal cortex and orbitofrontal and subgenual cortices was found to be correlated with severity of depression and increased subgenual functional connectivity in previous studies on patients with major depression (de Kwaasteniet *et al.* 2013). Here, we provide evidence of the possible role of prenatal stress in influencing the strength of connectivity between these areas, potentially giving rise to increased neurodevelopmental 'risk-status' for depressive disorders.

Our study found significant relationships between prenatal stress and the gray-matter density/functional connectivity of brain areas – left MTL, amygdala, orbitofrontal and subgenual cortex – which the literature has consistently found to be involved in the pathophysiology of depressive disorders (Peng *et al.* 2011; Sacher *et al.* 2012; de Kwaasteniet *et al.* 2013; Catani *et al.* 2013). Although these findings are encouraging, in that these alterations may be mediators of the increased risk of developing a psychiatric disorder in subjects exposed to prenatal stress, the mechanisms involved and the significance of such alterations remain to be understood. Physiologically, the fetus is relatively protected from increased levels of maternal glucocorticoids through the placental enzyme 11 $\beta$ -hydroxysteroid dehydrogenase type 2 (Reynolds, 2013) which should reduce exposure of the fetal brain to glucocorticoid fluctuations. However, the efficiency of the placental barrier is not complete and was found to vary considerably across individuals, given the presence, among other factors, of functional genetic polymorphisms. Exposures to glucocorticoids may exert detrimental effects on developmental characteristics at birth both directly, i.e. by altering placental blood flow – and indirectly, by influencing the timing of parturition and duration of gestation. Consequently, birth outcomes, such as weight at birth, are significantly influenced by prenatal glucocorticoid exposure (Class *et al.* 2011; Li *et al.* 2011). Neurodevelopment may be similarly affected, in view of the evidence of long-term effects of birth weight on the brain cortical surface area and subcortical volumes (Walhovd *et al.* 2012).

Generally, epigenetic mechanisms mediated by exposure to glucocorticoids have been hypothesized as the link between stress exposure, variations in the volumes of hippocampal and amygdalar areas, and depression (Pagliaccio *et al.* 2014). Although the only previous study exploring the effects of stress hormone levels on the brain volumes of 7-year-old children reported a positive correlation with the size of the amygdala (Buss *et al.* 2012), as also observed for recent postnatal exposure (Teicher *et al.* 2003; Tottenham & Sheridan, 2009), we found the reverse: a significant negative correlation between prenatal stress and gray-matter density in areas of the left MTL and both amygdalae. Despite the differences, our findings are not incompatible with those of Buss *et al.* (2012), because they may be due to the different timing of brain measurement. As previously hypothesized (Teicher *et al.* 2003), early activation of the amygdala during prenatal life may cause enlargement of this brain area, followed by neuronal loss and hypotrophy in adolescence and adulthood, explaining not only our findings, but also those of animal studies exploring stress effects on the brain in the longer term (Teicher

*et al.* 2003). As observed in the prefrontal cortex of prenatally stress-exposed animals (Mychasiuk *et al.* 2011; Kolb *et al.* 2012), the gray-matter decrease observed in our study in the left MTL and both amygdalae may be the consequence of decreased spine density in the long term.

In view of the limitations intrinsic in retrospective assessment of prenatal stress in the present study, larger-scale prospective studies are needed to confirm the hypotheses presented here. The nature of stress exposure in our sample also prevented us from exploring the effects of the timing of exposure in differing pregnancy trimesters. Although to our knowledge this study is the first to explore neural correlates of exposure to prenatal stress in a homogeneous sample of young women with no history of psychiatric disorders and given the confounding effects of postnatal stress and perinatal history, retrospective data collection does not allow us to examine all the possible postnatal factors which might influence brain development. Some of these factors are known and their effects are examined here: obstetric complications, maternal smoking during pregnancy, maternal psychiatric disorders, and lifetime stressful events. However, there may be other known (i.e. parental care, genetic factors) and unknown factors affecting brain morphology and function, not taken into account here, which may have acted as confounders. Only linear effects were examined and although we controlled for the effects of potential confounders, we cannot be sure that this statistical model is always appropriate. The choice of including only participants without history of psychiatric disorders can be considered a point of strength of the present study, since any confounding secondary effects of psychiatric disorders and their treatment is avoided. However, this exclusion also limits our possibility of applying our findings to patients with specific types of psychiatric disorders. Further studies in high-risk groups and in patients with recent onset of both depressive and anxiety disorders are necessary to understand if and how much our findings are involved in the pathogenesis of mood and anxiety disorders. All these limitations are difficult to overcome in a naturalistic setting and explain why, in this field, there are many animal studies but knowledge of what happens in humans is almost completely lacking.

The human brain is continually modeled and shaped by positive and negative experiences during the life course (Kolb *et al.* 2012), but there is evidence that indices of prenatal development, such as birth weight, are predictive of lifetime brain characteristics (Walhovd *et al.* 2012). The effects of prenatal influences on brain development seems to be detectable for the entire lifespan of individuals in both healthy and pathological populations (Walhovd *et al.* 2012; Davis



*et al.* 2013; Haukvik *et al.* 2014; Sandman *et al.* 2015), emphasizing the importance of studies for increased understanding of the neurodevelopmental trajectories of neuropsychiatric disorders. Although it is common knowledge that maternal exposure to severe stressful events during pregnancy can affect the psychological health of the offspring, the neural correlates of prenatal stress in the human brain are almost completely unknown. The present study provides evidence that the medial temporal cortex and both amygdalae gray-matter densities are correlated with this type of exposure in the long term and provides data favoring their involvement in determining an increased later risk of developing depressive symptoms.

### Supplementary material

For supplementary material accompanying this paper visit <http://dx.doi.org/10.1017/S003329171500046X>.

### Acknowledgements

This work was partially supported by Veneto Region Grant BIOVEDA (DGR 3984/08). The authors thank Gabriel Walton for revising and editing the English text.

### Declaration of Interest

None.

### References

- Alexander N, Rosenlocher F, Stalder T, Linke J, Distler W, Morgner J, Kirschbaum C (2012). Impact of antenatal synthetic glucocorticoid exposure on endocrine stress reactivity in term-born children. *Journal of Clinical Endocrinology and Metabolism* **97**, 3538–3544.
- Ball T, Rahm B, Eickhoff SB, Schulze-Bonhage A, Speck O, Mutschler I (2007). Response properties of human amygdala subregions: evidence based on functional MRI combined with probabilistic anatomical maps. *PLoS One* **2**, e307.
- Bingham BC, Rani CSS, Frazer A, Strong R, Morilak DA (2013). Exogenous prenatal corticosterone exposure mimics the effects of prenatal stress on adult brain stress response systems and fear extinction behavior. *Psychoneuroendocrinology* **38**, 2746–2757.
- Biswal BB, Mennes M, Zuo XN, Gohel S, Kelly C, Smith SM, Beckmann CF, Adelstein JS, Buckner RL, Colcombe S, Dogonowski A-M, Ernst M, Fair D, Hampson M, Hoptman MJ, Hyde JS, Kiviniemi VJ, Kotter R, Li S-J, Lin C-P, Lowe MJ, Mackay C, Madden DJ, Madsen KH, Margulies DS, Mayberg HS, McMahon K, Monk CS, Mostofsky SH, Nagel BJ, Pekar JJ, Peltier SJ, Petersen SE, Riedel V, Rombouts SARB, Rypma B, Schlaggar BL, Schmidt S, Seidler RD, Siegle GJ, Sorg C, Teng G-J, Veijola J, Villringer A, Walter M, Wang L, Weng X-C, Whitfield-Gabrieli S, Williamson P, Windischberger C, Zang Y-F, Zhang H-Y, Castellanos FX, Milham MP (2010). Toward discovery science of human brain function. *Proceedings of the National Academy of Sciences USA* **107**, 4734–4739.
- Buka SL, Goldstein JM, Seidman LJ, Tsuang MT (2000). Maternal recall of pregnancy history: accuracy and bias in schizophrenia research. *Schizophrenia Bulletin* **26**, 335–350.
- Buss C, Davis EP, Muftuler LT, Head K, Sandman CA (2010). High pregnancy anxiety during mid-gestation is associated with decreased gray matter density in 6–9-year-old children. *Psychoneuroendocrinology* **35**, 141–153.
- Buss C, Davis EP, Shahbaba B, Pruessner JC, Head K, Sandman CA (2012). Maternal cortisol over the course of pregnancy and subsequent child amygdala and hippocampus volume and affective problems. *Proceedings of the National Academy of Sciences USA* **109**, E1312–E1319.
- Catani M, Dell'Acqua F, Thiebaut de Schotten M (2013). A revised limbic system model for memory, emotion and behaviour. *Neuroscience and Biobehavioral Reviews* **37**, 1724–1737.
- Charil A, Laplante DP, Vaillancourt C, King S (2010). Prenatal stress and brain development. *Brain Research Reviews* **65**, 56–79.
- Class QA, Abel KM, Khashan AS, Rickert ME, Dalman C, Larsson H, Hultman CM, Langstrom N, Lichtenstein P, D'Onofrio BM (2014). Offspring psychopathology following preconception, prenatal and postnatal maternal bereavement stress. *Psychological Medicine* **44**, 71–84.
- Class QA, Lichtenstein P, Langstrom N, D'Onofrio BM (2011). Timing of prenatal maternal exposure to severe life events and adverse pregnancy outcomes: a population study of 2.6 million pregnancies. *Psychosomatic Medicine* **73**, 234–241.
- Colombo L, Sartori G, Brivio C (2002). Estimation of intelligence quotient by means of the Brief Intelligence Test [in Italian]. *Giornale di Psicologia* **3**, 613–637.
- Davis EP, Glynn LM, Waffarn F, Sandman CA (2011). Prenatal maternal stress programs infant stress regulation. *Journal of Child Psychology and Psychiatry* **52**, 119–129.
- Davis EP, Sandman CA, Buss C, Wing DA, Head K (2013). Fetal glucocorticoid exposure is associated with preadolescent brain development. *Biological Psychiatry* **74**, 647–655.
- de Kwaasteniet B, Ruhe E, Caan M, Rive M, Olabarriaga S, Groefsema M, Heesink L, van Wingen G, Denys D (2013). Relation between structural and functional connectivity in major depressive disorder. *Biological Psychiatry* **74**, 40–47.
- Derogatis LR, Lipman R, Rickels K, Uhlenhuth E, Covi L (1974). The Hopkins Symptoms Check List (HSCL): a self-report symptoms inventory. *Behavioral Science* **19**, 1–15.
- Fatemi SH, Folsom TD (2009). The neurodevelopmental hypothesis of schizophrenia, revisited. *Schizophrenia Bulletin* **35**, 528–548.
- Favaro A, Tenconi E, Bosello R, Degortes D, Santonastaso P (2011). Perinatal complications in unaffected sisters of anorexia nervosa patients: testing a covariation model

- between genetic and environmental factors. *European Archives of Psychiatry and Clinical Neuroscience* **261**, 391–396.
- Favaro A, Tenconi E, Santonastaso P** (2006). Perinatal factors and the risk of developing anorexia nervosa and bulimia nervosa. *Archives of General Psychiatry* **63**, 82–88.
- Favaro A, Tenconi E, Santonastaso P** (2010). The interaction between perinatal factors and childhood abuse in the risk of developing anorexia nervosa. *Psychological Medicine* **40**, 657–665.
- Gluckman PD, Hanson MA** (2004). Living with the past: evolution, development, and patterns of disease. *Science* **305**, 1733–1736.
- Haukvik UK, Rimol LM, Roddey JC, Hartberg CB, Lange EH, Vaskinn A, Melle I, Andreassen OA, Dale A, Agartz I** (2014). Normal birth weight variation is related to cortical morphology across the psychosis spectrum. *Schizophrenia Bulletin* **40**, 410–419.
- Kang HJ, Kawasawa YI, Cheng F, Zhu Y, Xu X, Li M, Sousa AM, Pletikos M, Meyer KA, Sedmak G, Guennel T, Shin Y, Johnson MB, Krsnik Z, Mayer S, Fertuzinhos S, Umlauf S, Lisgo SN, Vortmeyer A, Weinberger DR, Mane S, Hyde TM, Huttner A, Reimers M, Kleinman JE, Sestan N** (2011). Spatio-temporal transcriptome of the human brain. *Nature* **478**, 483–489.
- Kolb B, Mychasiuk R, Muhammad A, Li Y, Frost DO, Gibb R** (2012). Experience and the developing prefrontal cortex. *Proceedings of the National Academy of Sciences USA* **109** (Suppl. 2), 17186–17193.
- Li J, Wang ZN, Chen YP, Dong YP, Shuai HL, Xiao XM, Reichetzeder C, Hochoer B** (2011). Late gestational maternal serum cortisol is inversely associated with fetal brain growth. *Neuroscience and Biobehavioral Reviews* **36**, 1085–1092.
- Lupien SJ, McEwen BS, Gunnar MR, Heim C** (2009). Effects of stress throughout the lifespan on the brain, behaviour and cognition. *Nature Reviews Neuroscience* **10**, 434–445.
- McNeil TF, Cantor-Graae E, Sjöström K** (1994). Obstetric complications as antecedents of schizophrenia: empirical effects of using different obstetric complication scales. *Journal of Psychiatric Research* **28**, 519–530.
- Mychasiuk R, Gibb R, Kolb B** (2011). Prenatal stress produces sexually dimorphic and regionally specific changes in gene expression in hippocampus and frontal cortex of developing rat offspring. *Developmental Neuroscience* **33**, 531–538.
- Oldfield RC** (1971). The assessment and analysis of handedness: the Edinburgh inventory. *Neuropsychologia* **9**, 97–113.
- Pagliaccio D, Luby JL, Bogdan R, Agrawal A, Gaffrey MS, Belden AC, Botteron KN, Harms MP, Barch DM** (2014). Stress-system genes and life stress predict cortisol levels and amygdala and hippocampal volumes in children. *Neuropsychopharmacology* **39**, 1245–1253.
- Patenaude B, Smith SM, Kennedy D, Jenkinson M** (2011). A Bayesian Model of Shape and Appearance for Subcortical Brain. *Neuroimage* **56**, 907–922.
- Peng J, Liu J, Nie B, Li Y, Shan B, Wang G, Li K** (2011). Cerebral and cerebellar gray matter reduction in first-episode patients with major depressive disorder: a voxel-based morphometry study. *European Journal of Radiology* **80**, 395–399.
- Reynolds RM** (2013). Glucocorticoid excess and the developmental origins of disease: two decades of testing the hypothesis – 2012 Curt Richter Award Winner. *Psychoneuroendocrinology* **38**, 1–11.
- Rifkin-Graboi A, Bai J, Chen H, Bak'r Hameed W, Wee Sim L, Thway Tint M, Leutscher-Broekman B, Chong Y-S, Gluckman PD, Fortier MV, Meaney MJ, Qiu A** (2013). Prenatal maternal depression associates with microstructure of right amygdala in neonates at birth. *Biological Psychiatry* **74**, 837–844.
- Sacher J, Neumann J, Funfstuck T, Soliman A, Villringer A, Schroeter ML** (2012). Mapping the depressed brain: a metaanalysis of structural and functional alterations in major depressive disorder. *Journal of Affective Disorders* **140**, 142–148.
- Sah P, Faber ES, Lopez De Armentia M, Power J** (2003). The amygdaloid complex: anatomy and physiology. *Physiological Reviews* **83**, 803–834.
- Sandman CA, Buss C, Head K, Davis EP** (2015). Fetal exposure to maternal depressive symptoms is associated with cortical thickness in late childhood. *Biological Psychiatry* **77**, 324–334.
- Schmitt A, Malchow B, Hasan A, Falkai P** (2014). The impact of environmental factors in severe psychiatric disorders. *Frontiers of Neuroscience* **8**, 19.
- Sheehan DV, Lecrubier Y, Sheehan KH, Amorim P, Janavs J, Weiller E, Hergueta T, Baker R, Dunbar GC** (1998). The Mini-International Neuropsychiatric Interview (M.I.N.I.): the development and validation of a structured diagnostic psychiatric interview for DSM-IV and ICD-10. *Journal of Clinical Psychiatry* **59** (Suppl. 20), 22–33.
- Smith SM, Jenkinson M, Woolrich MW, Beckmann CF, Behrens TE, Johansen-Berg H** (2004). Advances in functional and structural MR image analysis and implementation as FSL. *Neuroimage* **23** (Suppl. 1), S208–S219.
- Smith SM, Nichols TE** (2009). Threshold-free cluster enhancement: addressing problems of smoothing, threshold dependence and localisation in cluster inference. *Neuroimage* **44**, 83–98.
- Spielberger CD, Gorsuch RL, Lushene RE** (1970). *Manual for the State-Trait Anxiety Inventory*. Consulting Psychologists Press: Palo Alto, CA.
- Squire LR, Zola-Morgan S** (1991). The medial temporal lobe memory system. *Science* **253**, 1380–1386.
- Teicher MH, Andersen SL, Polcari A, Anderson CM, Navalta CP, Kim DM** (2003). The neurobiological consequences of early stress and childhood maltreatment. *Neuroscience and Biobehavioral Reviews* **27**, 33–44.
- Tottenham N, Sheridan MA** (2009). A review of adversity, the amygdala and the hippocampus: a consideration of developmental timing. *Frontiers of Human Neuroscience* **3**, 68.
- Walhovd KB, Fjell AM, Brown TT, Kuperman JM, Chung Y, Hagler DJ Jr, Roddey JC, Erhart M, McCabe C, Akshoomoff N, Amaral DG, Bloss CS, Libiger O, Schork NJ, Darst BF, Casey BJ, Chang L, Ernst TM, Frazier J, Gruen JR, Kaufmann WE, Murray SS, van Zijl P, Mostofsky S, Dale AM, Pediatric**

- Imaging, Neurocognition, and Genetics Study** (2012). Long-term influence of normal variation in neonatal characteristics on human brain development. *Proceedings of the National Academy of Sciences USA* **109**, 20089–20094.
- Weinstock M** (2008). The long-term behavioural consequences of prenatal stress. *Neuroscience and Biobehavioral Reviews* **32**, 1073–1086.
- Weissman MM, Wickramaratne P, Adams P, Wolk S, Verdelli H, Olfson M** (2000). Brief screening for family psychiatric history: the family history screen. *Archives of General Psychiatry* **57**, 675–682.
- Wyrwoll CS, Holmes MC** (2011). Prenatal excess glucocorticoid exposure and adult affective disorders: a role for serotonergic and catecholamine pathways. *Neuroendocrinology* **95**, 47–55.