Journal of Developmental Origins of Health and Disease

www.cambridge.org/doh

Original Article

Cite this article: Connery AK, Lamb MM, Colbert AM, Bauer D, Olson D, Paniagua-Avila A, Calvimontes M, Bolaños GA, Sahly HME, Muñoz FM, and Asturias EJ. (2022) A prospective cohort study of head circumference and its association with neurodevelopmental outcomes in infants and young children in rural Guatemala. *Journal of Developmental Origins of Health and Disease* **13**: 779–786. doi: 10.1017/S204017442200023X

Received: 17 December 2021 Revised: 14 March 2022 Accepted: 15 March 2022 First published online: 22 April 2022

Keywords:

Assessment; head circumference; low resource settings; neurodevelopment; young children

Address for correspondence:

Amy K. Connery, Children's Hospital Colorado, Aurora, CO, USA. Email: amy.connery@childrenscolorado.org

*Co-first authors

†Co-senior authors

A prospective cohort study of head circumference and its association with neurodevelopmental outcomes in infants and young children in rural Guatemala

Amy K. Connery^{1,2,*} ^(D), Molly M. Lamb^{3,*}, Alison M. Colbert^{1,2}, Desirée Bauer⁴, Daniel Olson^{1,3,5}, Alejandra Paniagua-Avila⁴, Mirella Calvimontes⁴, Guillermo Antonio Bolaños⁴, Hana M. El Sahly⁶, Flor M. Muñoz^{6,7,†} and Edwin J. Asturias^{1,3,5,†}

¹Children's Hospital Colorado, Aurora, CO, USA; ²Department of Physical Medicine and Rehabilitation, University of Colorado School of Medicine, Aurora, CO, USA; ³Center for Global Health and Department of Epidemiology, Colorado School of Public Health, Aurora, CO, USA; ⁴Center for Human Development, Fundación Para la Salud Integral de los Guatemaltecos, Retalhuleu, Guatemala; ⁵Department of Pediatrics, University of Colorado School of Medicine, Aurora, CO, USA; ⁴Center for Human Development, Fundación Para la Salud Integral de los Guatemaltecos, Retalhuleu, Guatemala; ⁵Department of Pediatrics, University of Colorado School of Medicine, Aurora, CO, USA; ⁶Department of Molecular Virology and Microbiology, Baylor College of Medicine, Houston, TX, USA and ⁷Department of Pediatrics, Baylor College of Medicine, Houston, TX, USA

Abstract

Microcephaly, an anthropometric marker of reduced brain volume and predictor of developmental disability, is rare in high-income countries. Recent reports show the prevalence of microcephaly to be much higher in lower resource settings. We calculated the prevalence of microcephaly in infants and young children (n = 642; age range = 0.1-35.9 months), examined trends in occipitofrontal circumference (OFC) growth in the year after birth and evaluated the relationship between OFC and performance on the Mullen Scales of Early Learning (MSEL) in rural Guatemala. Multivariable regression analyses adjusted for age were performed: (1) a model comparing concurrent MSEL performance and OFC at all visits per child, (2) concurrent OFC and MSEL performance by age group, and (3) OFC at enrollment and MSEL at final visit by age group. Prevalence of microcephaly ranged from 10.1% to 25.0%. OFC z-score decreased for most infants throughout the first year after birth. A significant positive association between continuous OFC measurement and MSEL score suggested that children with smaller OFC may do worse on ND tests conducted both concurrently and ~1 year later. Results were variable when analyzed by OFC cutoff scores and stratified by 6-month age groups. OFC should be considered for inclusion in developmental screening assessments at the individual and population level, especially when performance-based testing is not feasible.

Introduction

Microcephaly is defined as occipitofrontal circumference (OFC) more than 2SD below the mean on an applied growth standard. OFC is believed to be an anthropometric marker of brain volume and several studies have demonstrated this relationship on neuroimaging.¹⁻⁴ Therefore, small OFC is recognized as an important indicator of possible neurological abnormality and a predictor of poor early childhood neurodevelopment (ND) and future developmental disability. Most studies on the causes of microcephaly have been conducted in high-income countries. While many cases are idiopathic,⁵ perinatal and postnatal brain injury, genetic syndromes, metabolic disorders, infections, and teratogens have all been implicated as causal.⁶⁻⁸

Microcephaly is a rare occurrence in high-income countries. In the United States and Europe, the reported prevalence of microcephaly ranges from 2.0 to 14.7 per 10,000 live births.⁹⁻¹¹ However, data from low resource settings (LRSs) suggests that the incidence rates of microcephaly may be substantially higher.^{12,13} In the rural southwest region of Guatemala, we documented a very high prevalence of microcephaly (1216 per 10,000 live births) in April of 2015,¹² prior to the arrival of the Zika epidemic in late 2015. In Brazil, background prevalence of microcephaly was 350 per 10,000 live births in 2010, prior to the Zika epidemic.¹⁴ In India, 33% of children were reported to meet criteria for microcephaly at birth increasing to 50% by the first year of life on WHO sex-specific growth charts.¹⁵ Other studies in LRSs have also shown this increasing prevalence of microcephaly over time. By age 4 years, over half of children in rural Nepal had OFC greater than 2SD below the WHO growth standards mean, implicating the cumulative adverse impacts of living in poverty on child growth.¹⁶ However, because microcephaly has been historically understudied, much is still unknown about its prevalence in LRSs.

© The Author(s), 2022. Published by Cambridge University Press in association with International Society for Developmental Origins of Health and Disease.



Some researchers and global health advocates have suggested that the higher prevalence of microcephaly reported in some LRSs is potentially not representative of a "true" problem, but simply a result of an inappropriate application of a growth standard.¹⁶⁻²⁰ That is, small OFC may be a benign manifestation of genetics, a common occurrence in groups of people that tend to be "naturally" small in stature¹⁷⁻²⁰ and therefore, growth standards cannot be globally applied. This premise was challenged in the 2006 World Health Organization Multicentre Growth Study, in which very small differences in growth were found among children globally when nutritional and other ND risks were minimized.²¹ The WHO concluded that deviations from its published growth standards should be considered abnormal growth. Further evidence supporting the "true" problem of microcephaly is provided by a pair of studies from Nepal that showed that children not living in poverty had OFCs that were within normal ranges when using the WHO growth standards²² but that microcephaly rates were as high as 50% among children living in more rural, impoverished areas.16

In order to understand whether or not microcephaly is being over-identified with global growth standards, we must explore the association between OFC and ND outcome in LRSs. While microcephaly has been repeatedly linked to poor ND outcome in highincome countries, there is a paucity of data in LRSs, and many studies that describe high background rates of microcephaly have not reported on ND outcomes.^{12,13,16} Of the few studies that have looked at the association between OFC and ND outcome in LRSs, the results have been equivocal.^{1,15,23,24}

In this secondary analysis of data from a prospective cohort study of postnatal Zika virus (ZIKV) infection, we report on the prevalence of microcephaly in children under 36 months of age and trends in OFC growth in the first year after birth. We compare OFC to performance-based ND testing in infants and young children in rural Guatemala. We hypothesized that children classified as meeting WHO criteria for microcephaly will perform more poorly on ND testing than children who do not meet these criteria, and that the smaller the child's OFC, the worse the child will perform on the ND testing.

Methods

Study and setting

From June 2017 to August 2019, we conducted a prospective cohort natural history study ("The Study") of the incidence and sequelae of postnatally-acquired ZIKV infection in infants and young children at the Center for Human Development research and clinic site in southwest Guatemala. The site is located at the intersection of the Departments of San Marcos, Quetzaltenango and Retalhuleu, encompassing 22 rural communities with approximately 30,000 residents. These communities are monolingual Spanish-speaking. The population suffers from high rates of food insecurity and child undernutrition, diarrheal disease, maternal depression, and maternal and child morbidity and mortality.^{25,26} The study was funded by the National Institutes of Health through the Baylor College of Medicine Vaccine and Treatments Evaluation Unit (see Financial Support for funding source information). The study protocol was reviewed and approved by the Institutional Review Board at Baylor College of Medicine, the Colorado Multiple Institutional Review Board, and the Ethics Review Committee of the Ministry of Public Health in Guatemala.

Procedures

Two groups of children were included in the Study: infants and young children. Infants were the largest cohort as they were the group of primary interest to the Parent Study. They were screened and enrolled from a maternal and child health program at the study site and from referrals by community health workers. They were eligible if the child was 0-2.9 months of age, the mother was >16 years of age, and the consent was signed by the mother if >18 years old or by a grandparent if the mother was 16–17 years of age (as per local ethics committee requirements). Older children were eligible if they were 1.5-5 years of age, either participated in a prior study at the site or were a sibling of an enrolled infant and consent for participation in this study was signed by one of the parents. Enrollment occurred over a 13-month period, from June 2017 to July 2018. All subjects were prospectively followed for 1 year to determine the incidence of postnatally acquired symptomatic and asymptomatic ZIKV infection. No acute ZIKV cases were confirmed during the observation period.

Developmental measures

The Mullen Scales of Early Learning (MSEL), a performancebased, comprehensive assessment of early childhood development, tests five domains: Gross and Fine Motor, Expressive and Receptive Language, and Visual Reception. An Early Learning Composite (ELC) score is created from the sum of the scores excepting Gross Motor.²⁷. Previous publications by our group describe the translation and adaptation of the MSEL, as well as its demonstrated validity and reliability in this population.²⁸⁻³⁰ Older children were administered the MSEL two times: at enrollment and 12 months later. As the primary focus of the Parent Study, infants were administered the MSEL more frequently: at enrollment, 6 and 12 months after study enrollment. Test administration was done by local psychologists trained and supervised by Study neuropsychologists from the University of Colorado.

Head circumference measurement

OFC was measured in all infants and children up to age 3 years using the Seca 211 Head Circumference Measuring Tape (12–59 cm) following standard operating procedures at all Study visits. World Health Organization (WHO) growth standards were used to calculate *z* scores and determine microcephaly status.³¹ For the purposes of the Study, microcephaly was defined as <2SD below the mean.

Analysis

Only visits for children under 36 months for whom a valid OFC (-5 < OFC WHO z-score < 5) was measured were included in this analysis. Five records were excluded for improbable OFC measurements, per WHO sex-specific growth chart guidelines. We conducted descriptive statistics of the demographics of the analysis cohort (Table 1). We determined the prevalence of microcephaly among all children (Fig. 1). We then examined the change in OFC growth during the first year after birth (Fig. 2). (Older children were not included in this analysis due to the small sample size). For the remaining analyses, we used OFC both as a continuous exposure, and as a dichotomized exposure according to the WHO *z*-score cutoffs for microcephaly commonly found in ND literature: WHO *z*-score < -1, -1.28, -1.5, -2, and -3. We conducted three separate multivariable regression analyses to explore the association between OFC and ND in the Study cohort. First, we

Demographic variable	Age 0–5.99 months	Age 12–17.99 months	Age 18–23.99 months	Age 24–29.99 months	Age 30–35.99 months	Total
Enrollment (<36 months old)	N = 477	N = 8	N = 39	N = 64	N = 54	N = 642
Age at enrollment in months: mean (range)	1.53 (0.1–3.4)	15.8 (12.0–17.4)	21.6 (18.4–23.9)	26.7 (24.2–29.8)	33.2 (30.1–35.9)	8.1 (0.1–35.9)
Sex = Female (N, %)	226 (47.4%)	6 (75.9%	12 (30.8%)	31 (48.4%)	23 (42.6%)	298 (46.4%)
Ethnicity (N, %)						
Ladino or mestizo	116 (24.3%)	3 (37.5%)	10 (25.6%)	12 (18.8%)	7 (13.0%)	148 (23.1%)
Indigenous	12 (2.5%)	0 (0%)	1 (2.6%)	2 (3.1%)	9 (16.7%)	24 (3.7%)
Don't know	349 (73.2%)	5 (62.5%)	28 (71.8%)	50 (78.1%)	38 (70.4%)	470 (73.2%)







Figure 2. Infants change in OFC z-score over 1 year.

analyzed the association between OFC and ELC score at every visit for which both measures were collected, in order to incorporate all longitudinal data available (Table 2), using a mixed model. Because infants (enrolled ages 0–3 months) were the primary cohort for the focus of the Study, these children had more Study visits and made up more than half of all participants. Therefore, for this analysis, infants and older children study visits were analyzed separately. This analysis included all records available for each child, and accounts for within-subject correlations between multiple records from the same subject. Second, we analyzed the association between OFC and ELC scores (if child was <36 months old) by 6-month age strata, to explore the concurrent association between OFC and ND (Table 3). Third, we analyzed the association between OFC at enrollment and ELC scores at last Study visit which occurred <36 months of age (Table 4), in order to examine the association between small OFC and subsequent ND, by 6-month enrollment age strata. This analysis gives the ND effects of small OFC time to emerge. All analyses were adjusted for age and the last two analyses were stratified by age group (0–5.99, 6–11.99, 12–17.99, 18–23.99, 24–29.99, 30–35.99 months). All analyses conducted in SAS version 9.4 (Cary, NC). No statistical adjustment for multiple comparisons was performed.

Results

Of the 642 children included in this analysis, approximately 46% of subjects were female and most reported an ethnicity of Ladino

Head circumference continuous z-score	N of subjects (visits)	Beta estimate	Standard error	<i>p</i> -value
Infants: ELC	485 (1357)	0.38	0.15	0.01
Older children: ELC	167 (213)	2.63	0.89	0.005

*All analyses adjusted for age.

when known (defined as combined Spanish and Indigenous ancestry) (Table 1).

Among the youngest children, ages 0-5.99 months, n = 46 (10.1%) met criteria for microcephaly. These percentages trended higher in the older age groups, with the prevalence as high as 25.0% in children 18–23.99 months (Fig. 1).

Many infants with the smallest OFC during the first months of life had positive gains in OFC growth (*z*-score) over the 12-month study period. Most other infants experienced declines in OFC growth measured by *z*-score in their first year after birth (Fig. 2).

The analysis of the association between concurrent OFC and MSEL scores that utilized all study records at which OFC and MSEL were both measured indicated a significant association between OFC and ELC in both infants (Beta estimate = 0.38, p-value = 0.01) and older children (Beta estimate = 2.63, p-value = 0.005) (Table 2).

The associations between OFC *z*-scores dichotomized into WHO *z*-score cutoffs commonly used in the literature (-1, -1.28, -1.5, -2, and -3) and lower ELC score are described in Tables 3 and 4.

Table 3 shows the association between ELC score and OFC by age strata. There was a significant positive association between continuous OFC measurement and ELC score in three groups (6–11.99, 12–17.99, and 30–35.99 months). The examination of *z*-score cutoffs indicated that there were significant associations between smaller OFC and lower ELC scores in those same age groups (Table 3).

Table 4 shows the association between OFC at child's enrollment into the Study and ELC approximately 12 months later (minimum time span was 11 months). For all records, there was a significant positive association between continuous OFC measurement and ELC score measured 12 months later. There was an inconsistently significant positive association between continuous OFC by 6-month age strata and *z*-score cutoffs of OFC and later ELC (Table 4).

Discussion

The prevalence of microcephaly among children from this rural area of Guatemala is one of the highest reported globally. A prevalence of 10.1% was found in children in the first months of life rising to 25% in children in the second year of life. Infants with the smallest OFC in the first months of life made positive growth gains in OFC *z*-score during the 12-month study period, while most other infants experienced declines in OFC *z*-score. When OFC was measured concurrently with ND at multiple time points, smaller OFC predicted lower ND performance in both infants and children. Smaller OFC at enrollment was predictive of lower ND 1 year later. The association was inconsistent when children were analyzed by age strata of 6-month intervals and when specific cutoffs for OFC were used.

The prevalences of microcephaly reported here and that have been reported in some LRSs are much higher than those reported in higher-income countries. Therefore, there is likely different greater exposure to risk factors for children living in LRSs that are not typically present or common for children living in higher resource regions of the world. It has been theorized that higher rates of intrauterine growth restriction, congenital infections, and maternal undernutrition may at least partially explain these elevated microcephaly prevalences in LRSs.^{8,32}

Another plausible explanation for the increased prevalence of microcephaly and declines in OFC z-scores among children in LRSs is the high prevalence of undernutrition and enteric disease, factors causing stunting and also implicated in abnormal head growth.¹⁶ Grembi et al.³³ found that children who participated in a water sanitation and hygiene program and those who participated in a nutrition program demonstrated positive head growth compared to a control group. Other studies have shown that prenatal and early childhood nutritional supplementation improve the OFC growth trajectory.^{34,35} Like stunting, rates of small OFC seem to increase as children become older, as evidenced in the current study in both cross-sectional data analysis and in individual infants tracked throughout the first year of life, which may further suggest their association. These growth patterns also clearly implicate the adverse cumulative effects of frequent infections and prolonged exposures to infection and undernutrition on all child growth.36-38

Of the few studies that have looked at the association between OFC and ND outcome in LRSs, the results have been equivocal. The multicountry MAL-ED study reported no clinically significant association between OFC and ND scores in toddlers in India¹⁵ but did find an association when findings from across eight countries were analyzed together.²³ In fact, OFC was a stronger predictor of ND outcome than stunting status. Several studies from Chile have demonstrated long-term associations between OFC in infancy and later school-age ND outcome and IQ.^{1,24,39} These studies have found OFC to be a stronger predictor of long-term outcome than socioeconomic status.

While the association between OFC and ND was often significant and in the expected direction in our study, there are several possible reasons that help to explain some of the variability in results. Some groups, particularly the group of children with a z-score <-3, were small and potentially too underpowered to be able to detect any possible differences in ND performance. We did not have equal representation of age groups across the 36 months, which potentially complicated our ability to identify patterns in associations between ND and OFC and obscured any effects of specific age groups when analyzed together. Lastly, and likely most importantly, children in this community have many shared risk factors for ND, including high prevalence of stunting and wasting, elevated prevalence of maternal illiteracy and exposures to infectious diseases.^{25,26} This can make isolating the impact of any one factor, such as head growth, very difficult.

The relationship between ND and OFC is likely quite complex. Like that of stunting and ND, the causal pathways to each, as well as risks and protective factors are likely both shared and separate.

Table 3. Association between concurrent OFC WHO z-score and MSEL ELC score

Head circumference	N with exposure / # in risk group	% of children below each cutoff	Parameter estimate	Standard error	<i>p</i> -value
Age 0–5.99 months	Mean (range) age in months:		1.52 (0.10-3.35)		
Continuous z-score	457		0.22	0.13	0.09
z-score < -1	140/457	30.6	-0.45	0.32	0.16
<i>z</i> -score < −1.28	109/457	2.9	-0.87	0.34	0.01
<i>z</i> -score < −1.5	83/457	18.2	-1.14	0.38	0.003
z-score < -2	46/457	10.1	-0.80	0.49	0.0997
z-score < -3	14/457	3.1	-1.00	0.85	0.24
Age 6–11.99 months	Mean (range) a	age in months:	7.18 (6.01–10.02)		
Continuous z-score	420		0.56	0.20	0.007
z-score < -1	159/420	37.9	-0.90	0.40	0.03
<i>z</i> -score < -1.28	114/420	27.1	-1.48	0.44	0.0008
<i>z</i> -score < −1.5	97/420	23.1	-1.26	0.47	0.007
z-score < −2	53/420	12.6	-1.78	0.59	0.003
z-score < −3	7/420	1.7	-2.68	1.54	0.08
Age 12–17.99 months	Mean (range) a	age in months:	13.24 (12.02–17.45)		
Continuous z-score	412		0.82	0.32	0.01
z-score < −1	214/412	51.9	-1.70	0.60	0.005
<i>z</i> -score < −1.28	173/412	42.0	-1.34	0.61	0.03
<i>z</i> -score < −1.5	126/412	30.6	-1.58	0.65	0.02
z-score < −2	66/412	16.0	-1.03	0.83	0.21
z-score < −3	7/412	1.7	-2.57	2.35	0.27
Age 18-23.99 months	Mean (range) a	age in months:	21.63 (18.40-23.	.85)	
Continuous z-score	40		2.5	1.42	0.09
z-score < −1	25/40	62.5	-2.66	3.50	0.45
<i>z</i> -score < -1.28	21/40	52.5	-2.83	3.38	0.41
<i>z</i> -score < −1.5	18/40	45.0	-2.11	3.42	0.54
z-score < −2	10/40	25.0	-6.00	3.83	0.13
z-score < −3	4/40	10.0	-2.45	5.67	0.67
Age 24–29.99 months	Mean (range) a	age in months:	26.88 (24.2–29.9	0)	
Continuous z-score	74		0.18	1.05	0.87
z-score < −1	44/74	59.5	-0.45	2.16	0.84
<i>z</i> -score < −1.28	32/74	43.2	0.74	2.13	0.73
<i>z</i> -score < -1.5	25/74	33.8	0.36	2.24	0.87
z-score < −2	11/74	14.9	-2.99	2.93	0.31
z-score < −3	1/74	1.4	-3.06	9.10	0.74
Age 30–35.99 months	Mean (range) age in months:		33.46 (30.06–35.98)		
Continuous z-score	90		5.15	1.68	0.003
z-score < -1	44/90	48.9	-6.25	3.15	0.0502
<i>z</i> -score < -1.28	38/90	42.2	-6.74	3.17	0.04
<i>z</i> -score < -1.5	28/90	31.1	-9.51	3.34	0.006
<i>z</i> -score < -2	16/90	17.8	-12.75	3.98	0.002
z-score < −3	2/90	2.2	-6.79	10.90	0.54

An overall analysis of head circumference was not done in this table as some children had records in multiple age groups. See Table 2 for the analysis of multiple records per child. *Analyses only included data collected at the first visit in the age group for that child. **All analyses adjusted for age. Reference group for analyses of z-score cutoffs is the subjects whose OFC z-score was \geq the z-score cutoff stated in the lefthand column.

Table 4. Association between OFC at enrollment and MSEL ELC at last Study visit (collected at least 11 months later), "

Head circumference	N with exposure / # in risk group	% of children below each cutoff	Parameter estimate	Standard error	<i>p</i> -value
Overall:					
Continuous z-score			0.98	0.34	0.004
z-score < −1	212/577	36.7	-1.33	0.78	0.09
<i>z</i> -score < -1.28	165/577	28.6	-1.53	0.83	0.07
<i>z</i> -score < -1.5	128/577	22.2	-2.17	0.90	0.02
z-score < −2	64/577	11.1	-3.65	1.18	0.002
z-score < −3	18/577	3.1	-2.93	2.13	0.17
Age 0–5.99 months					
Continuous z-score			0.65	0.28	0.02
z-score < −1	130/425	30.6	-1.69	0.66	0.01
<i>z</i> -score < -1.28	101/425	23.8	-1.61	0.72	0.03
<i>z</i> -score < -1.5	79/425	18.6	-1.74	0.78	0.03
z-score < −2	39/425	9.2	-1.23	1.06	0.26
z-score < −3	13/425	3.1	-3.54	1.77	0.046
Age 18-23.99 months					
Continuous z-score			1.81	2.23	0.42
z-score < −1	20/33	60.6	-4.14	5.68	0.47
<i>z</i> -score < -1.28	16/33	48.5	-3.91	5.53	0.49
<i>z</i> -score < -1.5	13/33	39.4	-6.01	5.63	0.29
z-score < −2	9/33	27.3	-11.68	5.89	0.06
z-score < −3	4/33	12.1	5.77	8.49	0.50
Age 24–29.99 months					
Continuous z-score			2.98	1.16	0.01
z-score < −1	41/62	66.1	-1.98	2.56	0.44
<i>z</i> -score < -1.28	29/62	46.8	-1.95	2.43	0.42
<i>z</i> -score < -1.5	22/62	35.5	-3.77	2.50	0.14
z-score < −2	10/62	16.1	-6.49	3.20	0.047
z-score < −3	1/62	1.6	-24.24	9.16	0.01
Age 30–35.99 months					
Continuous z-score			1.94	3.00	0.52
z-score < −1	19/49	38.8	0.77	5.23	0.88
<i>z</i> -score < -1.28	17/49	34.7	0.32	5.37	0.95
<i>z</i> -score < -1.5	14/49	28.6	-0.21	5.64	0.97
z-score < -2	6/49	12.2	-5.27	7.78	0.50
z-score < -3	0/49	-	-	-	-

*All analyses adjusted for age. Reference group for analyses of z-score cutoffs is the subjects whose OFC z-score was \geq the z-score cutoff stated in the lefthand column.

**No data was available for children ages 6–11.99 months and data were available for only eight children from ages 12–17.99 due to study enrollment algorithm. Therefore, these age groups were not included in the age-stratified analysis. They are included in the overall analysis at the top of Table 4.

The timing at which poor head growth begins to occur and then drops below international growth standards with the onset of ND problems is also likely complicated and currently poorly understood as is the applicability of international growth standards within specific communities. Our data show that OFC measured against an international standard can be used as a proxy for ND risk but not as a definitive predictor, especially in the youngest children. OFC may be better understood as a continuous metric rather than dichotomized and as an indicator of risk at the population level rather than at the level of the individual child.

The strengths of the current study were that we enrolled a large sample of infants and young children from an LRS for whom serial anthropometric measures and developmental assessments were available. Our developmental assessment was performance-based, the translated and adapted test has been analyzed for reliability in several previous studies^{28,29} and the training and supervision of local test administrators was rigorous.³⁰

Limitations of our study were that we only had OFC measurements for children up to age 3 years as we were following typical US growth monitoring standards. However, this may not have been sufficient. In their study in Nepal, Miller et al.¹⁶ noted that much of the decline seen in OFC was between the ages of 3 and 4 years. Therefore, we may have missed important data points by assuming growth pace and trajectories would be similar to children growing under more optimal conditions. Also, because of enrollment age criteria, we did not have equal representation of ages which limited our ability to look at all age groups across the spectrum of the first 36 months of life. Because we translated and adapted a US-developed test, we did not have a normative, "healthy" reference sample. Comparing children from the same community with many shared risk factors to one another potentially attenuated results and made it more difficult for us to isolate the effects of one specific metric, OFC. Also, there were likely children with diagnosable medical or developmental disorders with potential associations with microcephaly in the sample. However, due to the lack of available specialty healthcare providers in the area, any specific contributions from these is not known. Lastly, because the Parent Study was focused on postnatal Zika infection, other potential causes of small OFC and exposures were not studied.

We cannot assume generalizability of these results to other parts of Guatemala, as this study was not designed to be a representative sample of Guatemala. While our results may be generalizable to parts of the country that are also low resourced, drawing such a conclusion would require more widespread OFC growth monitoring and neurodevelopmental testing. Significance level for analysis results was not adjusted for multiple comparisons, due to our focus on an initial description of the association between OFC and ND.

We report here a high prevalence of microcephaly in infants and young children in a LRS in rural Guatemala and an association between OFC and ND. Global health research groups should continue work to understand the association between OFC and concurrent and subsequent ND, growth patterns and trajectories, and "true" prevalence of microcephaly in LRSs. Most importantly, studies of risks and causation, which could help support optimal head growth or interrupt and reverse slowed head growth, are of the utmost importance.

Acknowledgements. The authors would like to thank Walla Dempsey, Gail Tauscher, Kay Tomashek, and Wendy Keitel for their review of this manuscript and guidance in this project as DMID and VTEU project officers and investigators. We also wish to thank the families of southwest Trifinio, Guatemala, who participated in this study and the research nurses and personnel from FUNSALUD who have worked on the parent study.

Financial support. This project has been funded in whole or in part with Federal funds from the National Institute of Allergy and Infectious Diseases (NIAID). Research was supported by a NIAID DMID Vaccine and Treatment Evaluation Unit (VTEU) award to Baylor College of Medicine (Contract No. HHSN27220130015I) and EMMES (Contract No. 75N93021C00012).

Conflicts of interest. The authors do not report any conflicts of interest.

Ethical standards. The authors assert that all procedures contributing to this work comply with the ethical standards of the relevant national guidelines on human experimentation (Institutional Review Board at Baylor College of Medicine, the Colorado Multiple Institutional Review Board) and with the Helsinki Declaration of 1975, as revised in 2008, and has been approved by the

institutional committees, the Institutional Review Board at Baylor College of Medicine, the Colorado Multiple Institutional Review Board, and the Ethics Review Committee of the Ministry of Public Health in Guatemala.

References

- Ivanovic DM, Leiva BP, Pérez HT, *et al.* Head size and intelligence, learning, nutritional status and brain development: head, IQ, learning, nutrition and brain. *Neuropsychologia.* 2004; 42(8), 1118–1131. DOI 10.1016/j. neuropsychologia.2003.11.022.
- Gale CR, O'Callaghan FJ, Godfrey KM, Law CM, Martyn CN. Critical periods of brain growth and cognitive function in children. *Brain*. 2004; 127(2), 321–329. DOI 10.1093/brain/awh034.
- Gale CR, O'Callaghan FJ, Bredow M, Martyn CN. The influence of head growth in fetal life, infancy, and childhood on intelligence at the ages of 4 and 8 years. *Pediatrics*. 2006; 118(4), 1486–1492. DOI 10.1542/peds. 2005-2629.
- Reiss AL, Abrams MT, Singer HS, Ross JL, Denckla MB. Brain development, gender and IQ in children. A volumetric imaging study. *Brain*. 1996; 119(5), 1763–1774. DOI 10.1093/brain/119.5.1763.
- Von der Hagen M, Pivarcsi M, Liebe J, et al. Diagnostic approach to microcephaly in childhood: a two-center study and review of the literature. Dev Med Child Neurol. 2014; 56(8), 732–741. DOI 10.1111/dmcn.12425.
- Abuelo D. Microcephaly syndromes. Semin Pediatr Neurol. 2007; 14(3), 118–127. DOI 10.1016/j.spen.2007.07.003.
- Stoler-Poria S, Lev D, Schweiger A, Lerman-Sagie T, Malinger G. Developmental outcome of isolated fetal microcephaly. *Ultrasound Obstet Gynecol.* 2010; 36(2), 154–158. DOI 10.1002/uog.7556.
- DeSilva M, Munoz FM, Sell E, *et al.* Congenital microcephaly: case definition & guidelines for data collection, analysis, and presentation of safety data after maternal immunisation. *Vaccine.* 2017; 35(48), 6472. DOI 10. 1016/j.vaccine.2017.01.044.
- Graham KA, Fox DJ, Talati A, et al. Prevalence and clinical attributes of congenital microcephaly— New York, 2013-2015. Morb Mortal Wkly Rep. 2017; 125. DOI 10.15585/mmwr.mm6605a1.
- Hoyt AT, Canfield MA, Langlois PH, et al. Pre-Zika descriptive epidemiology of microcephaly in Texas, 2008-2012. Birth Defects Res. 2018; 110(5), 395–405. DOI 10.1002/bdr2.1164.
- Morris JK, Rankin J, Garne E, *et al.* Prevalence of microcephaly in Europe: population based study. *BMJ*. 2016; 354, i4721. DOI 10.1136/bmj.i4721.
- Rick AM, Domek G, Cunningham M, *et al.* High background congenital microcephaly in rural Guatemala: implications for neonatal congenital Zika virus infection screening. *Glob Heal Sci Pract.* 2017; 5(4), 686–696. DOI 10.9745/GHSP-D-17-00116.
- Victora CG, Schuler-Faccini L, Matijasevich A, Ribeiro E, Pessoa A, Barros FC. Microcephaly in Brazil: how to interpret reported numbers? *Lancet*. 2016; 387(10019), 621–624. DOI 10.1016/S0140-6736(16)00273-7.
- Silva AA, Barbieri MA, Alves MT, *et al.* Prevalence and risk factors for microcephaly at birth in Brazil in 2010. *Pediatrics*. 2010; 141(2). DOI 10. 1542/peds.2017-0589.
- Sindhu KN, Ramamurthy P, Ramanujam K, *et al.* Low head circumference during early childhood and its predictors in a semi-urban settlement of Vellore, Southern India. *BMC Pediatr.* 2019; 19(1), 142. DOI 10.1186/ s12887-019-1553-0.
- Miller LC, Joshi N, Lohani M, et al. Head growth of undernourished children in rural Nepal: association with demographics, health and diet. *Paediatr Int Child Health.* 2016; 36(2), 91–101. DOI 10.1080/20469047. 2015.1133517.
- Natale V, Rajagopalan A. Worldwide variation in human growth and the World Health Organization growth standards: a systematic review. *BMJ Open*. 2014; 4(1), e003735. DOI 10.1136/bmjopen-2013-003735.
- Hanley GE, Janssen PA. Ethnicity-specific growth distributions for prediction of newborn morbidity. *J Obstet Gynaecol Canada*. 2012; 34(9), 826– 829. DOI 10.1016/S1701-2163(16)35380-4.
- Madan A, Holland S, Humbert JE, Benitz WE. Racial differences in birth weight of term infants in a northern California population. *J Perinatol.* 2002; 22(3), 230–235. DOI 10.1038/sj.jp.7210703.

- Daymont C, Hwang WT, Feudtner C, Rubin D. Head-circumference distribution in a large primary care network differs from CDC and WHO curves. *Pediatrics*. 2010; 126(4), e836–e842. DOI 10.1542/peds.2010-0410.
- De Onis M. Assessment of differences in linear growth among populations in the WHO Multicentre Growth Reference Study. *Acta Paediatr Int J Paediatr.* 2006; 95(Suppl), 450. DOI 10.1080/08035250500323756.
- 22. Manandhar K, Manandhar DS, Baral MR. One year follow up study of term babies born at Kathmandu Medical College Teaching Hospital. *Kathmandu Univ Med J.* 2004; 4(8), 286–290.
- Scharf RJ, Rogawski ET, Murray-Kolb LE, et al. Early childhood growth and cognitive outcomes: findings from the MAL-ED study. *Matern Child Nutr.* 2018; 14(3), 828. DOI 10.1111/mcn.12584.
- Ivanovic DM, Leiva BP, Pérez HT, et al. Nutritional status, brain development and scholastic achievement of Chilean high-school graduates from high and low intellectual quotient and socio-economic status. Br J Nutr. 2002; 87(1), 81–92. DOI 10.1079/bjn2001485.
- Olson D, Lamb MM, Lopez MR, et al. A rapid epidemiological tool to measure the burden of norovirus infection and disease in resource-limited settings. *Open Forum Infect Dis.* 2017; 4(2), e0142927. DOI 10.1093/ofid/ofx049.
- Asturias EJ, Heinrichs G, Domek G, et al. The Center for Human Development in Guatemala: an innovative model for global population health. Adv Pediatr. 2015; 63(1), 357–387.
- Mullen EM. Mullen Scales of Early Learning, 1995. Pearson, Bloomington, MN.
- Colbert AM, Lamb MM, Asturias EJ, et al. Reliability and validity of an adapted and translated version of the mullen scales of early learning (AT-MSEL) in rural Guatemala. *Child Care Health Dev.* 2020; 46(3), 327–335. DOI 10.1111/cch.12748.
- 29. Connery AK, Colbert AM, Lamb MM, et al. Receptive language skills among young children in rural Guatemala: the relationship between the Test de Vocabulario en Imagenes Peabody and a translated and adapted version of the mullen scales of early learning. Child Care Health Dev. 2019; 45(5), 702–708. DOI 10.1111/cch.12702.
- Connery A, Berrios-Siervo G, Arroyave P, *et al.* Responding to the Zika Epidemic: preparation of a neurodevelopmental testing protocol to evaluate young children in rural Guatemala. *Am J Trop Med Hyg.* 2018; 100(2), tpmd180713. DOI 10.4269/ajtmh.18-0713.

- 31. World Health Organization. WHO Child Growth Standards: Head Circumference-for-Age, Arm Circumference-for-Age, Triceps Skinfold-for-Age and Subscapular Skinfold-for-Age: Methods and Development, 2007. World Health Organization.
- 32. Grantham-McGregor SM, Fernald LC, Sethuraman K. Effects of health and nutrition on cognitive and behavioural development in children in the first three years of life part 1: low birthweight, breastfeeding, and proteinenergy malnutrition. *Food Nutr Bull.* 1999; 20(1), 53–75. DOI 10.1177/ 156482659902000107.
- 33. Grembi JA, Lin A, Karim MA, et al. Effect of water, sanitation, handwashing, and nutrition interventions on enteropathogens in children 14 months old: a cluster-randomized controlled trial in rural Bangladesh. J Infect Dis. 2020; 18, 1211. DOI 10.1093/infdis/jiaa549.
- 34. Vaidya A, Saville N, Shrestha BP, de L Costello AM, Manandhar DS, Osrin D. Effects of antenatal multiple micronutrient supplementation on children's weight and size at 2 years of age in Nepal: follow-up of a doubleblind randomised controlled trial. *Lancet.* 2008; 371(9611), 492–499. DOI 10.1016/S0140-6736(08)60172-5.
- Surkan PJ, Shankar M, Katz J, *et al.* Beneficial effects of zinc supplementation on head circumference of Nepalese infants and toddlers: a randomized controlled trial. *Eur J Clin Nutr.* 2012; 66(7), 836–842. DOI 10.1038/ejcn. 2012.42.
- Stoch MB, Smythe PM. 15-year developmental study on effects of severe undernutrition during infancy on subsequent physical growth and intellectual functioning. *Arch Dis Child*. 1976; 51(5), 327–336. DOI 10.1136/adc. 51.5.327.
- Waterlow JC. Classification and definition of Protein-Calorie malnutrition. Br Med J. 1972; 3(5826), 566–569. DOI 10.1136/bmj.3. 5826.566.
- Colombo M, de la Parra A, López I. Intellectual and physical outcome of children undernourished in early life is influenced by later environmental conditions. *Dev Med Child Neurol.* 1992; 34(7), 611–622. DOI 10.1111/j. 1469-8749.1992.tb11492.x.
- Ivanovic DM, Leiva BP, Perez HT, *et al.* Long-term effects of severe undernutrition during the first year of life on brain development and learning in Chilean high-school graduates. *Nutrition.* 2000; 16(11-12), 1056–1063. DOI 10.1016/S0899-9007(00)00431-7.