

## SOME GENERATING FUNCTIONS AND INEQUALITIES FOR THE ANDREWS–STANLEY PARTITION FUNCTIONS

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*Abstract* Let  $\mathcal{O}(\pi)$  denote the number of odd parts in an integer partition  $\pi$ . In 2005, Stanley introduced a new statistic  $\text{srnk}(\pi) = \mathcal{O}(\pi) - \mathcal{O}(\pi')$ , where  $\pi'$  is the conjugate of  $\pi$ . Let  $p(r, m; n)$  denote the number of partitions of  $n$  with  $\text{srnk}$  congruent to  $r$  modulo  $m$ . Generating function identities, congruences and inequalities for  $p(0, 4; n)$  and  $p(2, 4; n)$  were then established by a number of mathematicians, including Stanley, Andrews, Swisher, Berkovich and Garvan. Motivated by these works, we deduce some generating functions and inequalities for  $p(r, m; n)$  with  $m = 16$  and  $24$ . These results are refinements of some inequalities due to Swisher.

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### 1. Introduction

Let  $\pi$  be an integer partition and  $\pi'$  its conjugate. Stanley [9, 10] introduced a new integral partition statistic

$$\text{srnk}(\pi) = \mathcal{O}(\pi) - \mathcal{O}(\pi'),$$

where  $\mathcal{O}(\pi)$  denotes the number of odd parts in the partition  $\pi$ . This statistic is called the Stanley rank.

Let  $n \geq 1$  and  $m \geq 2$  be integers. For any integer  $r$  with  $0 \leq r \leq m - 1$ , define

$$p(r, m; n) := \#\{\pi \mid \pi \text{ is a partition of } n \text{ with } \text{srnk}(\pi) \equiv r \pmod{m}\}. \quad (1.1)$$

From the fact that

$$n \equiv \mathcal{O}(\pi) \equiv \mathcal{O}(\pi') \pmod{2},$$

it is easy to see that for  $n \geq 1$ ,

$$p(n) = p(0, 4; n) + p(2, 4; n),$$

where  $p(n)$  is the number of partitions of  $n$ . Moreover, if  $m$  is even and  $r$  is odd, then

$$p(r, m; n) = 0.$$

Stanley [9, 10] also established the following generating function for  $p(0, 4; n) - p(2, 4; n)$ :

$$\sum_{n=0}^{\infty} (p(0, 4; n) - p(2, 4; n))q^n = \frac{E(q^2)^4 E(q^8)^2}{E(q)E(q^4)^6}.$$

Here and throughout this paper,

$$E(q) := \prod_{n=1}^{\infty} (1 - q^n).$$

Following the work of Stanley, Andrews [2] then obtained the generating function for  $p(0, 4; n)$ :

$$\sum_{n=0}^{\infty} p(0, 4; n)q^n = \frac{E(q^2)^2 E(q^{16})^5}{E(q)E(q^4)^5 E(q^{32})^2}.$$

Furthermore, he proved that for  $n \geq 0$ ,

$$p(0, 4; 5n + 4) \equiv p(2, 4; 5n + 4) \equiv 0 \pmod{5}, \tag{1.2}$$

which is a refinement of the following famous congruence due to Ramanujan:

$$p(5n + 4) \equiv 0 \pmod{5}.$$

At the end of his paper [2], Andrews asked for a partition statistic that would give a combinatorial interpretation of (1.2) since his proof of (1.2) is analytic. Berkovich and Garvan [4] later provided three such statistics and answered Andrews’ inquiry.

In 2010, Swisher [13] proved that (1.2) is just one of infinitely many similar congruences satisfied by  $p(0, 4; n)$ . In her Ph.D. thesis [12], Swisher also established the following elegant results:

$$\lim_{n \rightarrow \infty} \frac{p(0, 4; n)}{p(n)} = \frac{1}{2} \tag{1.3}$$

and for sufficiently large  $n$ ,

$$p(0, 4; 4n) > p(2, 4; 4n), \tag{1.4}$$

$$p(0, 4; 4n + 1) > p(2, 4; 4n + 1), \tag{1.5}$$

$$p(0, 4; 4n + 2) < p(2, 4; 4n + 2), \tag{1.6}$$

$$p(0, 4; 4n + 3) < p(2, 4; 4n + 3). \tag{1.7}$$

Berkovich and Garvan [3] also gave elementary proofs of (1.3)–(1.7) with the restriction of “ $n$  sufficiently large” removed. Further, Berkovich and Garvan presented a handful of new results, including

$$\lim_{n \rightarrow \infty} \frac{p(0, 4; 2n) - p(2, 4; 2n)}{p(0, 4; 2n + 1) - p(2, 4; 2n + 1)} = 1 + \sqrt{2} \tag{1.8}$$

and for  $n \geq 1$ ,

$$|p(0, 4; 2n) - p(2, 4; 2n)| > |p(0, 4; 2n + 1) - p(2, 4; 2n + 1)|.$$

In this paper, we establish the generating functions for  $p(r, m; n)$  with  $m = 16$  and  $24$ . It should be pointed out that if we define

$$p(k; n) := \#\{\pi \mid \pi \text{ is a partition of } n \text{ with } \text{srank}(\pi) = k\}, \tag{1.9}$$

then in view of (1.1) and (1.9),

$$p(r, m; n) = \sum_{k \equiv r \pmod{m}} p(k; n). \tag{1.10}$$

It follows from [4, (2.8) and (2.9)] that

$$\sum_{n=0}^{\infty} \sum_{k=-\infty}^{\infty} p(k; n) z^k q^n = \frac{E(q^2)^2}{E(q)E(q^4)^2(z^2q^2; q^4)_{\infty}(q^2/z^2; q^4)_{\infty}}, \tag{1.11}$$

where the  $q$ -Pochhammer symbol is defined as usual by

$$(a; q)_{\infty} := \prod_{n=0}^{\infty} (1 - aq^n).$$

From (1.11), we observe that

$$p(k; n) = p(-k; n)$$

and then from (1.10),

$$p(r, m; n) = p(m - r, m; n).$$

Therefore, we merely list the generating functions for  $p(r, m; n)$  with  $m \in \{16, 24\}$  and  $0 \leq r \leq \frac{m}{2}$ .

**Theorem 1.1.** *We have*

$$\sum_{n=0}^{\infty} p(0, 16; n)q^n = \frac{S_1(q)}{8} + \frac{S_2(q)}{2} + \frac{S_3(q)}{4} + \frac{S_4(q)}{8}, \tag{1.12}$$

$$\sum_{n=0}^{\infty} p(2, 16; n)q^n = \frac{S_1(q)}{8} - \frac{S_4(q)}{8} + \frac{S_5(q)}{2}, \tag{1.13}$$

$$\sum_{n=0}^{\infty} p(4, 16; n)q^n = \frac{S_1(q)}{8} - \frac{S_3(q)}{4} + \frac{S_4(q)}{8}, \tag{1.14}$$

$$\sum_{n=0}^{\infty} p(6, 16; n)q^n = \frac{S_1(q)}{8} - \frac{S_4(q)}{8} - \frac{S_5(q)}{2}, \tag{1.15}$$

$$\sum_{n=0}^{\infty} p(8, 16; n)q^n = \frac{S_1(q)}{8} - \frac{S_2(q)}{2} + \frac{S_3(q)}{4} + \frac{S_4(q)}{8}, \tag{1.16}$$

where

$$S_1(q) = \frac{1}{E(q)}, \quad S_2(q) = \frac{E(q^2)^2 E(q^8) E(q^{32})^3}{E(q) E(q^4)^3 E(q^{16})^2 E(q^{64})}, \quad S_3(q) = \frac{E(q^2)^2 E(q^{16})}{E(q) E(q^4) E(q^8)^2},$$

$$S_4(q) = \frac{E(q^2)^4 E(q^8)^2}{E(q) E(q^4)^6}, \quad S_5(q) = q^2 \frac{E(q^2)^2 E(q^8) E(q^{64})}{E(q) E(q^4)^3 E(q^{16})}.$$

**Theorem 1.2.** We have

$$\sum_{n=0}^{\infty} p(0, 24; n)q^n = \frac{F_1(q)}{12} + \frac{F_2(q)}{3} + \frac{F_3(q)}{6} + \frac{F_4(q)}{6} + \frac{F_5(q)}{6} + \frac{F_6(q)}{12}, \tag{1.17}$$

$$\sum_{n=0}^{\infty} p(2, 24; n)q^n = \frac{F_1(q)}{12} + \frac{F_4(q)}{12} - \frac{F_5(q)}{12} - \frac{F_6(q)}{12} + \frac{F_7(q)}{2}, \tag{1.18}$$

$$\sum_{n=0}^{\infty} p(4, 24; n)q^n = \frac{F_1(q)}{12} + \frac{F_2(q)}{6} - \frac{F_3(q)}{6} - \frac{F_4(q)}{12} - \frac{F_5(q)}{12} + \frac{F_6(q)}{12}, \tag{1.19}$$

$$\sum_{n=0}^{\infty} p(6, 24; n)q^n = \frac{F_1(q)}{12} - \frac{F_4(q)}{6} + \frac{F_5(q)}{6} - \frac{F_6(q)}{12}, \tag{1.20}$$

$$\sum_{n=0}^{\infty} p(8, 24; n)q^n = \frac{F_1(q)}{12} - \frac{F_2(q)}{6} + \frac{F_3(q)}{6} - \frac{F_4(q)}{12} - \frac{F_5(q)}{12} + \frac{F_6(q)}{12}, \tag{1.21}$$

$$\sum_{n=0}^{\infty} p(10, 24; n)q^n = \frac{F_1(q)}{12} + \frac{F_4(q)}{12} - \frac{F_5(q)}{12} - \frac{F_6(q)}{12} - \frac{F_7(q)}{2}, \tag{1.22}$$

$$\sum_{n=0}^{\infty} p(12, 24; n)q^n = \frac{F_1(q)}{12} - \frac{F_2(q)}{3} - \frac{F_3(q)}{6} + \frac{F_4(q)}{6} + \frac{F_5(q)}{6} + \frac{F_6(q)}{12}, \tag{1.23}$$

where

$$F_1(q) = \frac{1}{E(q)}, \quad F_2(q) = \frac{E(q^2)^2 E(q^8) E(q^{12}) E(q^{16})}{E(q) E(q^4)^4 E(q^{24})}, \quad F_3(q) = \frac{E(q^2)^2 E(q^{16})}{E(q) E(q^4) E(q^8)^2},$$

$$F_4(q) = \frac{E(q^2) E(q^6) E(q^{24})}{E(q) E(q^8) E(q^{12})^2}, \quad F_5(q) = \frac{E(q^2)^3 E(q^{12})}{E(q) E(q^4)^3 E(q^6)}, \quad F_6(q) = \frac{E(q^2)^4 E(q^8)^2}{E(q) E(q^4)^6},$$

$$F_7(q) = q^2 \frac{E(q^2)^2 E(q^8)^2 E(q^{12}) E(q^{48})^2}{E(q) E(q^4)^4 E(q^{16}) E(q^{24})^2}.$$

**Remark.** Noticing that

$$p(r, m; n) = p(r, 2m; n) + p(m + r, 2m; n),$$

one may therefore obtain the generating functions for  $p(r, m; n)$  with  $m \in \{6, 8, 12\}$  with the assistance of Theorems 1.1 and 1.2.

In light of Theorems 1.1 and 1.2, we prove the following results which are refinements of (1.3)–(1.7).

**Theorem 1.3.** *Let  $m \in \{4, 6\}$  and  $i$  be an integer with  $0 \leq i \leq m - 1$ . Then*

$$\lim_{n \rightarrow \infty} \frac{p(2i, 4m; n)}{p(n)} = \frac{1}{2m} \tag{1.24}$$

and

$$\lim_{n \rightarrow \infty} \frac{p(4i, 4m; 2n) - p(4i + 2, 4m; 2n)}{p(4i, 4m; 2n + 1) - p(4i + 2, 4m; 2n + 1)} = 1 + \sqrt{2}. \tag{1.25}$$

Also, for sufficiently large  $n$ ,

$$p(4i, 4m; n) > p(4i + 2, 4m; n), \quad \text{if } n \equiv 0, 1 \pmod{4}, \tag{1.26}$$

$$p(4i, 4m; n) < p(4i + 2, 4m; n), \quad \text{if } n \equiv 2, 3 \pmod{4}. \tag{1.27}$$

## 2. Proof of Theorem 1.1

In this section, we always set  $\zeta = e^{\pi i/8}$ . In order to prove Theorem 1.1, we first establish a lemma.

**Lemma 2.1.** *We have*

$$\prod_{k=0}^{\infty} \frac{1}{(1 - \sqrt{2}q^{4k+2} + q^{8k+4})} = \frac{E(q^8)E(q^{32})^3}{E(q^4)E(q^{16})^2E(q^{64})} + \sqrt{2}q^2 \frac{E(q^8)E(q^{64})}{E(q^4)E(q^{16})} \tag{2.1}$$

and

$$\prod_{k=0}^{\infty} \frac{1}{(1 + \sqrt{2}q^{4k+2} + q^{8k+4})} = \frac{E(q^8)E(q^{32})^3}{E(q^4)E(q^{16})^2E(q^{64})} - \sqrt{2}q^2 \frac{E(q^8)E(q^{64})}{E(q^4)E(q^{16})}. \tag{2.2}$$

**Proof.** Noticing that  $\zeta^2 = \frac{\sqrt{2}}{2}(1 + i)$ , we have

$$\begin{aligned} \prod_{k=0}^{\infty} \frac{1}{(1 - \sqrt{2}q^{4k+2} + q^{8k+4})} &= \prod_{k=0}^{\infty} \frac{(1 + \sqrt{2}q^{4k+2} + q^{8k+4})}{(1 + q^{16k+8})} \\ &= \frac{E(q^8)E(q^{32})}{E(q^4)E(q^{16})^2} f(\zeta^2 q^2, q^2/\zeta^2), \end{aligned} \tag{2.3}$$

where Ramanujan’s general theta function is given by

$$f(a, b) := (-a; ab)_\infty (-b; ab)_\infty (ab; ab)_\infty.$$

It follows from Entry 30 (ii) and (iii) on page 46 of Berndt’s book [5] that

$$f(a, b) = f(a^3b, ab^3) + af(b/a, a^5b^3). \tag{2.4}$$

Taking  $a = \zeta^2q^2$  and  $b = q^2/\zeta^2$  in (2.4) yields

$$f(\zeta^2q^2, q^2/\zeta^2) = f(\zeta^4q^8, q^8/\zeta^4) + \zeta^2q^2f(\zeta^{-4}, \zeta^4q^{16}). \tag{2.5}$$

By the fact that  $\zeta^4 = i$ , we have

$$f(\zeta^4q^8, q^8/\zeta^4) = (-iq^8; q^{16})_\infty (iq^8; q^{16})_\infty E(q^{16}) = \frac{E(q^{32})^2}{E(q^{64})} \tag{2.6}$$

and

$$f(\zeta^{-4}, \zeta^4q^{16}) = (i; q^{16})_\infty (-iq^{16}; q^{16})_\infty E(q^{16}) = (1 - i) \frac{E(q^{16})E(q^{64})}{E(q^{32})}. \tag{2.7}$$

Making use of (2.5)–(2.7) and the fact that  $\zeta^2 = \frac{\sqrt{2}}{2}(1 + i)$ , we arrive at

$$f(\zeta^2q^2, q^2/\zeta^2) = \frac{E(q^{32})^2}{E(q^{64})} + \sqrt{2}q^2 \frac{E(q^{16})E(q^{64})}{E(q^{32})}. \tag{2.8}$$

Now, (2.1) follows from (2.3) and (2.8). Also, replacing  $q$  by  $iq$  in (2.1) leads to (2.2).  $\square$

Now, we are ready to prove Theorem 1.1.

**Proof of Theorem 1.1.** Employing (1.10), (1.11) and the fact that

$$\sum_{j=0}^{15} \zeta^{kj} = \begin{cases} 16, & \text{if } k \equiv 0 \pmod{16}, \\ 0, & \text{if } k \not\equiv 0 \pmod{16}, \end{cases} \tag{2.9}$$

we have, for  $0 \leq a \leq 15$ ,

$$\begin{aligned} \sum_{n=0}^{\infty} p(a, 16; n)q^n &= \frac{1}{16} \sum_{j=0}^{15} \zeta^{-aj} \sum_{n=0}^{\infty} \sum_{r=-\infty}^{\infty} p(r; n) \zeta^{jr} q^n \\ &= \frac{1}{16} \frac{E(q^2)^2}{E(q)E(q^4)^2} \sum_{j=0}^{15} \zeta^{-aj} G(\zeta^j, q), \end{aligned} \tag{2.10}$$

where

$$G(z, q) = \frac{1}{(z^2q^2; q^4)_\infty (q^2/z^2; q^4)_\infty}. \tag{2.11}$$

It is easy to check that for  $k, j \geq 0$ ,

$$(1 - \zeta^{2j}q^{4k+2})(1 - q^{4k+2}/\zeta^{2j}) = 1 - (\zeta^{2j} + \zeta^{-2j})q^{4k+2} + q^{8k+4}. \tag{2.12}$$

In light of (2.11) and (2.12),

$$G(\zeta^j, q) = \begin{cases} \frac{E(q^4)^2}{E(q^2)^2}, & \text{if } j \in \{0, 8\}, \\ \prod_{k=0}^{\infty} \frac{1}{(1 - \sqrt{2}q^{4k+2} + q^{8k+4})}, & \text{if } j \in \{1, 7, 9, 15\}, \\ \frac{E(q^4)E(q^{16})}{E(q^8)^2}, & \text{if } j \in \{2, 6, 10, 14\}, \\ \prod_{k=0}^{\infty} \frac{1}{(1 + \sqrt{2}q^{4k+2} + q^{8k+4})}, & \text{if } j \in \{3, 5, 11, 13\}, \\ \frac{E(q^2)^2E(q^8)^2}{E(q^4)^4}, & \text{if } j \in \{4, 12\}. \end{cases} \tag{2.13}$$

Using (2.1), (2.2), (2.10) and (2.13), we find that

$$\begin{aligned} \sum_{n=0}^{\infty} p(a, 16; n)q^n &= \frac{1}{16} \frac{E(q^2)^2}{E(q)E(q^4)^2} \left\{ (1 + (-1)^a) \frac{E(q^4)^2}{E(q^2)^2} \right. \\ &+ (\zeta^{-a} + \zeta^{-7a} + \zeta^{-9a} + \zeta^{-15a}) \left( \frac{E(q^8)E(q^{32})^3}{E(q^4)E(q^{16})^2E(q^{64})} + \sqrt{2}q^2 \frac{E(q^8)E(q^{64})}{E(q^4)E(q^{16})} \right) \\ &+ (\zeta^{-2a} + \zeta^{-6a} + \zeta^{-10a} + \zeta^{-14a}) \frac{E(q^4)E(q^{16})}{E(q^8)^2} \\ &+ (\zeta^{-3a} + \zeta^{-5a} + \zeta^{-11a} + \zeta^{-13a}) \left( \frac{E(q^8)E(q^{32})^3}{E(q^4)E(q^{16})^2E(q^{64})} - \sqrt{2}q^2 \frac{E(q^8)E(q^{64})}{E(q^4)E(q^{16})} \right) \\ &\left. + (i^a + (-i)^a) \frac{E(q^2)^2E(q^8)^2}{E(q^4)^4} \right\}. \end{aligned} \tag{2.14}$$

Theorem 1.1 follows from (2.14) and the fact that  $\zeta = \frac{\sqrt{2+\sqrt{2}}}{2} + \frac{\sqrt{2-\sqrt{2}}}{2}i$ . □

### 3. Proof of Theorem 1.2

In this section, we prove Theorem 1.2. Throughout our proof, we always write  $\omega = e^{\pi i/12}$ . We first prove the following lemma.

**Lemma 3.1.** *We have*

$$\prod_{k=0}^{\infty} \frac{1}{(1 - \sqrt{3}q^{4k+2} + q^{8k+4})} = \frac{E(q^8)E(q^{12})E(q^{16})}{E(q^4)^2E(q^{24})} + \sqrt{3}q^2 \frac{E(q^8)^2E(q^{12})E(q^{48})^2}{E(q^4)^2E(q^{16})E(q^{24})^2} \tag{3.1}$$

and

$$\prod_{k=0}^{\infty} \frac{1}{(1 + \sqrt{3}q^{4k+2} + q^{8k+4})} = \frac{E(q^8)E(q^{12})E(q^{16})}{E(q^4)^2E(q^{24})} - \sqrt{3}q^2 \frac{E(q^8)^2E(q^{12})E(q^{48})^2}{E(q^4)^2E(q^{16})E(q^{24})^2}. \tag{3.2}$$

**Proof.** Notice that  $\omega^2 = \frac{\sqrt{3}}{2} + \frac{1}{2}i$ . Therefore,

$$\prod_{k=0}^{\infty} \frac{1}{(1 - \sqrt{3}q^{4k+2} + q^{8k+4})} = \frac{E(q^4)}{f(-\omega^2q^2, -q^2/\omega^2)} \tag{3.3}$$

where  $f(a, b)$  is as defined in (2.4). It follows from the quintuple product identity [5, (38.2), p. 80] that

$$\frac{E(q^4)}{f(Bq^2, q^2/B)} = \frac{1}{f(-B^2, -q^4/B^2)} (f(B^3q^2, q^{10}/B^3) - B^2f(q^2/B^3, B^3q^{10})). \tag{3.4}$$

Setting  $B = -\omega^2$  in (3.4), we deduce that

$$\frac{E(q^4)}{f(-\omega^2q^2, -q^2/\omega^2)} = \frac{1}{f(-\omega^4, -q^4/\omega^4)} (f(-iq^2, -q^{10}/i) - \omega^4f(-q^2/i, -iq^{10})). \tag{3.5}$$

By the fact that  $\omega^4 = \frac{1}{2} + \frac{\sqrt{3}}{2}i$ ,

$$\begin{aligned} f(-\omega^4, -q^4/\omega^4) &= E(q^4)(\omega^4, q^4)_{\infty}(q^4/\omega^4, q^4)_{\infty} \\ &= (1 - \omega^4)E(q^4) \prod_{k=1}^{\infty} \left(1 - \left(\omega^4 + \frac{1}{\omega^4}\right)q^{4k} + q^{8k}\right) \\ &= (1 - \omega^4)E(q^4) \prod_{k=1}^{\infty} \frac{1 + q^{12k}}{1 + q^{4k}} \\ &= (1 - \omega^4) \frac{E(q^4)^2E(q^{24})}{E(q^8)E(q^{12})}. \end{aligned}$$

Therefore,

$$\frac{1}{f(-\omega^4, -q^4/\omega^4)} = \left(\frac{1}{2} + \frac{\sqrt{3}}{2}i\right) \frac{E(q^8)E(q^{12})}{E(q^4)^2E(q^{24})}. \tag{3.6}$$

Taking  $a = -iq^2$  and  $b = -q^{10}/i$  in (2.4) yields

$$\begin{aligned} f(-iq^2, -q^{10}/i) &= f(-q^{16}, -q^{32}) - iq^2f(-q^8, -q^{40}) \\ &= E(q^{16}) - iq^2 \frac{E(q^8)E(q^{48})^2}{E(q^{16})E(q^{24})}. \end{aligned} \tag{3.7}$$



On the other hand, if we put  $a = -q^2/i$  and  $b = -iq^{10}$  in (2.4), then

$$\begin{aligned}
 f(-q^2/i, -iq^{10}) &= f(-q^{16}, -q^{32}) - (q^2/i)f(-q^8, -q^{40}) \\
 &= E(q^{16}) + iq^2 \frac{E(q^8)E(q^{48})^2}{E(q^{16})E(q^{24})}.
 \end{aligned}
 \tag{3.8}$$

Finally, (3.1) follows from (3.3) and (3.5)–(3.8). Also, replacing  $q$  by  $iq$  in (3.1) yields (3.2). □

Now, we prove Theorem 1.2.

**Proof of Theorem 1.2.** Utilizing (1.10), (1.11) and the fact that

$$\sum_{j=0}^{23} \omega^{kj} = \begin{cases} 24, & \text{if } k \equiv 0 \pmod{24}, \\ 0, & \text{if } k \not\equiv 0 \pmod{24}, \end{cases}$$

we arrive at

$$\begin{aligned}
 \sum_{n=0}^{\infty} p(a, 24; n)q^n &= \frac{1}{24} \sum_{j=0}^{23} \omega^{-aj} \sum_{n=0}^{\infty} \sum_{r=-\infty}^{\infty} p(r; n)\omega^{jr}q^n \\
 &= \frac{1}{24} \frac{E(q^2)^2}{E(q)E(q^4)^2} \sum_{j=0}^{23} \omega^{-aj} G(\omega^j, q),
 \end{aligned}
 \tag{3.9}$$

where  $G(z, q)$  is as defined in (2.11). In light of (2.11) and (2.12),

$$G(\omega^j, q) = \begin{cases} \frac{E(q^4)^2}{E(q^2)^2}, & \text{if } j \in \{0, 12\}, \\ \prod_{k=0}^{\infty} \frac{1}{(1 - \sqrt{3}q^{4k+2} + q^{8k+4})}, & \text{if } j \in \{1, 11, 13, 23\}, \\ \frac{E(q^4)^2 E(q^6) E(q^{24})}{E(q^2) E(q^8) E(q^{12})^2}, & \text{if } j \in \{2, 10, 14, 22\}, \\ \frac{E(q^4) E(q^{16})}{E(q^8)^2}, & \text{if } j \in \{3, 9, 15, 21\}, \\ \frac{E(q^2) E(q^{12})}{E(q^4) E(q^6)}, & \text{if } j \in \{4, 8, 16, 20\}, \\ \prod_{k=0}^{\infty} \frac{1}{(1 + \sqrt{3}q^{4k+2} + q^{8k+4})}, & \text{if } j \in \{5, 7, 17, 19\}, \\ \frac{E(q^2)^2 E(q^8)^2}{E(q^4)^4}, & \text{if } j \in \{6, 18\}. \end{cases}
 \tag{3.10}$$

By (3.1), (3.2), (3.9) and (3.10),

$$\begin{aligned}
 \sum_{n=0}^{\infty} p(a, 24; n)q^n &= \frac{1}{24} \frac{E(q^2)^2}{E(q)E(q^4)^2} \left\{ (1 + (-1)^a) \frac{E(q^4)^2}{E(q^2)^2} \right. \\
 &+ (\omega^{-a} + \omega^{-11a} + \omega^{-13a} + \omega^{-23a}) \\
 &\times \left( \frac{E(q^8)E(q^{12})E(q^{16})}{E(q^4)^2E(q^{24})} + \sqrt{3}q^2 \frac{E(q^8)^2E(q^{12})E(q^{48})^2}{E(q^4)^2E(q^{16})E(q^{24})^2} \right) \\
 &+ (\omega^{-2a} + \omega^{-10a} + \omega^{-14a} + \omega^{-22a}) \frac{E(q^4)^2E(q^6)E(q^{24})}{E(q^2)E(q^8)E(q^{12})^2} \\
 &+ (\omega^{-3a} + \omega^{-9a} + \omega^{-15a} + \omega^{-21a}) \frac{E(q^4)E(q^{16})}{E(q^8)^2} \\
 &+ (\omega^{-4a} + \omega^{-8a} + \omega^{-16a} + \omega^{-20a}) \frac{E(q^2)E(q^{12})}{E(q^4)E(q^6)} \\
 &+ (\omega^{-5a} + \omega^{-7a} + \omega^{-17a} + \omega^{-19a}) \\
 &\times \left( \frac{E(q^8)E(q^{12})E(q^{16})}{E(q^4)^2E(q^{24})} - \sqrt{3}q^2 \frac{E(q^8)^2E(q^{12})E(q^{48})^2}{E(q^4)^2E(q^{16})E(q^{24})^2} \right) \\
 &\left. + (i^a + (-i)^a) \frac{E(q^2)^2E(q^8)^2}{E(q^4)^4} \right\}. \tag{3.11}
 \end{aligned}$$

Theorem 1.2 follows from (3.11) and the fact that  $\omega = \frac{\sqrt{6+\sqrt{2}}}{4} + \frac{\sqrt{6-\sqrt{2}}}{4}i$ . □

#### 4. Proof of Theorem 1.3

In this section, we prove Theorem 1.3 using Theorems 1.1 and 1.2 along with a result due to Sussman [11].

In [11], applying the standard circle method due to Rademacher [8], Sussman obtained an exact formula for  $g(n)$ , the coefficients in

$$\sum_{n \geq 0} g(n)q^n := \prod_{j=1}^J E(q^{m_j})^{\delta_j}, \tag{4.1}$$

where  $\mathbf{m} = (m_1, \dots, m_J)$  is a sequence of distinct positive integers and  $\mathbf{d} = (\delta_1, \dots, \delta_J)$  is a sequence of non-zero integers such that  $\sum_{j=1}^J \delta_j < 0$ .

To state Sussman’s result, we first fix some notation. Let  $k$  be a positive integer. We define

$$\begin{aligned}
 \Sigma &:= -\frac{1}{2} \sum_{j=1}^J \delta_j, & \Omega &:= \sum_{j=1}^J \delta_j m_j, \\
 \Delta(k) &:= -\sum_{j=1}^J \frac{\delta_j \gcd^2(m_j, k)}{m_j}, & \Pi(k) &:= \prod_{j=1}^J \left( \frac{m_j}{\gcd(m_j, k)} \right)^{-\frac{\delta_j}{2}}.
 \end{aligned}$$

Further, for any integer  $h$  such that  $\gcd(h, k) = 1$ , we define

$$\omega_{h,k} := \exp \left( -\pi i \sum_{j=1}^J \delta_j \cdot s \left( \frac{m_j h}{\gcd(m_j, k)}, \frac{k}{\gcd(m_j, k)} \right) \right),$$

where  $s(d, c)$  is the Dedekind sum defined by

$$s(d, c) := \sum_{n \bmod c} \left( \left( \frac{dn}{c} \right) \right) \left( \left( \frac{n}{c} \right) \right)$$

with

$$((x)) := \begin{cases} x - [x] - 1/2 & \text{if } x \notin \mathbb{Z}, \\ 0 & \text{if } x \in \mathbb{Z}. \end{cases}$$

Let  $L = \text{lcm}(m_1, \dots, m_J)$ . We divide the set  $\{1, 2, \dots, L\}$  into two disjoint subsets:

$$\begin{aligned} \mathcal{L}_{>0} &:= \{1 \leq \ell \leq L : \Delta(\ell) > 0\}, \\ \mathcal{L}_{\leq 0} &:= \{1 \leq \ell \leq L : \Delta(\ell) \leq 0\}. \end{aligned}$$

**Theorem 4.1 (Sussman).** *If  $\Sigma > 0$  and the inequality*

$$\min_{1 \leq j \leq J} \left( \frac{\gcd^2(m_j, \ell)}{m_j} \right) \geq \frac{\Delta(\ell)}{24} \tag{4.2}$$

holds for all  $1 \leq \ell \leq L$ , then for positive integers  $n > -\Omega/24$ ,

$$\begin{aligned} g(n) &= 2\pi \sum_{\ell \in \mathcal{L}_{>0}} \Pi(\ell) \left( \frac{24n + \Omega}{\Delta(\ell)} \right)^{-\frac{\Sigma+1}{2}} \\ &\times \sum_{\substack{k \geq 1 \\ k \equiv \ell \pmod L}} \frac{1}{k} I_{\Sigma+1} \left( \frac{\pi}{6k} \sqrt{\Delta(\ell)(24n + \Omega)} \right) \sum_{\substack{0 \leq h < k \\ \gcd(h,k)=1}} e^{-\frac{2\pi i n h}{k}} \omega_{h,k}, \end{aligned} \tag{4.3}$$

where  $I_s(x)$  is the modified Bessel function of the first kind.

**Remark.** We also frequently make use of the asymptotic expansion of  $I_s(x)$  (see [1, p. 377, (9.7.1)]): for fixed  $s$ , when  $|\arg x| < \frac{\pi}{2}$ ,

$$I_s(x) \sim \frac{e^x}{\sqrt{2\pi x}} \left( 1 - \frac{4s^2 - 1}{8x} + \frac{(4s^2 - 1)(4s^2 - 9)}{2!(8x)^2} - \dots \right). \tag{4.4}$$

**Remark.** In [6], Chern considered the case where  $\Sigma \leq 0$  in (4.1) and obtained a similar asymptotic formula for  $g(n)$ .

Let us define, for  $i = 1, \dots, 5$ ,

$$\sum_{n=0}^{\infty} s_i(n)q^n = S_i(q),$$

where  $S_i(q)$ 's are as defined in Theorem 1.1. First, we know from a famous result due to Hardy and Ramanujan [7] that, as  $n \rightarrow \infty$ ,

$$s_1(n) = p(n) \sim \frac{1}{4 \cdot 3^{1/2} \cdot n} \exp\left(2\pi\sqrt{\frac{n}{6}}\right). \tag{4.5}$$

Next, we show that, as  $n \rightarrow \infty$ ,

$$s_2(n) \sim \frac{43^{1/2}}{2^5 \cdot 3^{1/2} \cdot n} \exp\left(\frac{\pi}{4}\sqrt{\frac{43n}{6}}\right), \tag{4.6}$$

$$s_3(n) \sim \frac{7^{1/2}}{2^3 \cdot 3^{1/2} \cdot n} \exp\left(\frac{\pi}{2}\sqrt{\frac{7n}{6}}\right), \tag{4.7}$$

$$s_4(n) \sim \frac{13^{1/2}}{2^3 \cdot 3^{1/2} \cdot n} (-1)^n \cos\left(\frac{n\pi}{2} + \frac{\pi}{8}\right) \exp\left(\frac{\pi}{2}\sqrt{\frac{13n}{6}}\right), \tag{4.8}$$

$$s_5(n) \sim \frac{43^{1/2}}{2^{11/2} \cdot 3^{1/2} \cdot n} \exp\left(\frac{\pi}{4}\sqrt{\frac{43n}{6}}\right). \tag{4.9}$$

We only prove (4.6) and (4.8) as instances. The rest can be shown analogously by Sussman's result (4.3).

First, we show (4.6). In (4.1), let us put

$$\mathbf{m} = (1, 2, 4, 8, 16, 32, 64), \quad \mathbf{d} = (-1, 2, -3, 1, -2, 3, -1).$$

Thus, we have  $\Sigma = \frac{1}{2}$  and  $\Omega = -1$ . Also,  $L = 64$ . We compute that

$$\begin{aligned} \mathcal{L}_{>0} = \{ & 1, 3, 4, 5, 7, 8, 9, 11, 12, 13, 15, 16, 17, 19, 20, 21, 23, 24, \\ & 25, 27, 28, 29, 31, 33, 35, 36, 37, 39, 40, 41, 43, 44, 45, \\ & 47, 48, 49, 51, 52, 53, 55, 56, 57, 59, 60, 61, 63, 64 \}. \end{aligned}$$

Next, we verify that assumption (4.2) is satisfied. Then, it can be computed that when  $k = 1$ , the  $I$ -Bessel term has the largest order, which is

$$I_{3/2}\left(\frac{\sqrt{43}\pi}{48}\sqrt{24n-1}\right).$$

Further, when  $k = 1$ , we have

$$\sum_{\substack{0 \leq h < k \\ \gcd(h,k)=1}} e^{-\frac{2\pi i n h}{k}} \omega_{h,k} = 1.$$

It follows from (4.3), with (4.4) utilized, that

$$s_2(n) \sim \frac{43^{1/2}}{2^5 \cdot 3^{1/2} \cdot n} \exp\left(\frac{\pi}{4} \sqrt{\frac{43n}{6}}\right).$$

For (4.8), we put

$$\mathbf{m} = (1, 2, 4, 8), \quad \mathbf{d} = (-1, 4, -6, 2)$$

in (4.1). Thus,  $\Sigma = \frac{1}{2}$  and  $\Omega = -1$ . Further,  $L = 8$ . We compute that

$$\mathcal{L}_{>0} = \{1, 3, 4, 5, 7, 8\}.$$

Next, we verify that assumption (4.2) is satisfied. Then, it can be computed that when  $k = 4$ , the  $I$ -Bessel term has the largest order, which is

$$I_{3/2}\left(\frac{\sqrt{13}\pi}{24} \sqrt{24n-1}\right).$$

Further, when  $k = 4$ , we have

$$\sum_{\substack{0 \leq h < k \\ \gcd(h,k)=1}} e^{-\frac{2\pi i n h}{k}} \omega_{h,k} = 2(-1)^n \cos\left(\frac{n\pi}{2} + \frac{\pi}{8}\right).$$

It follows from (4.3), with (4.4) utilized, that

$$s_4(n) \sim \frac{13^{1/2}}{2^3 \cdot 3^{1/2} \cdot n} (-1)^n \cos\left(\frac{n\pi}{2} + \frac{\pi}{8}\right) \exp\left(\frac{\pi}{2} \sqrt{\frac{13n}{6}}\right).$$

Notice that, for the exponential terms in (4.5)–(4.9), we have, numerically,

$$\begin{aligned} 2\pi\sqrt{\frac{1}{6}} &= 2.56\dots, & \frac{\pi}{4}\sqrt{\frac{43}{6}} &= 2.10\dots, & \frac{\pi}{2}\sqrt{\frac{7}{6}} &= 1.69\dots, \\ \frac{\pi}{2}\sqrt{\frac{13}{6}} &= 2.31\dots, & \frac{\pi}{4}\sqrt{\frac{43}{6}} &= 2.10\dots. \end{aligned} \tag{4.10}$$

Recall that, for any integer  $i$  with  $1 \leq i \leq 4$ , we have  $p(2i, 16, n) = p(16 - 2i, 16, n)$ . We conclude from the numerical calculations in (4.10) that

$$p(2i, 16; n) \sim \frac{s_1(n)}{8} = \frac{p(n)}{8}$$

as  $n \rightarrow \infty$  for any integer  $i$  with  $0 \leq i \leq 7$ , and therefore (1.24) follows when  $m = 4$ .

We also deduce from the numerical calculations in (4.10) that, for  $0 \leq i < 4$ ,

$$p(4i, 16; n) - p(4i + 2, 16; n) \sim \frac{s_4(n)}{4}$$

as  $n \rightarrow \infty$ . We know from (4.8) that

$$s_4(n) \sim \begin{cases} \frac{13^{1/2}}{2^3 \cdot 3^{1/2} \cdot n} \cos\left(\frac{\pi}{8}\right) \exp\left(\frac{\pi}{2} \sqrt{\frac{13n}{6}}\right) & \text{if } n \equiv 0 \pmod{4}, \\ \frac{13^{1/2}}{2^3 \cdot 3^{1/2} \cdot n} \sin\left(\frac{\pi}{8}\right) \exp\left(\frac{\pi}{2} \sqrt{\frac{13n}{6}}\right) & \text{if } n \equiv 1 \pmod{4}, \\ -\frac{13^{1/2}}{2^3 \cdot 3^{1/2} \cdot n} \cos\left(\frac{\pi}{8}\right) \exp\left(\frac{\pi}{2} \sqrt{\frac{13n}{6}}\right) & \text{if } n \equiv 2 \pmod{4}, \\ -\frac{13^{1/2}}{2^3 \cdot 3^{1/2} \cdot n} \sin\left(\frac{\pi}{8}\right) \exp\left(\frac{\pi}{2} \sqrt{\frac{13n}{6}}\right) & \text{if } n \equiv 3 \pmod{4}. \end{cases}$$

Hence, (1.26) and (1.27) hold when  $m = 4$ . Finally, since

$$\frac{\cos(\pi/8)}{\sin(\pi/8)} = 1 + \sqrt{2},$$

we see that (1.25) is true when  $m = 4$ .

Next, we prove Theorem 1.3 when  $m = 6$ . Let us define, for  $i = 1, \dots, 7$ ,

$$\sum_{n=0}^{\infty} f_i(n)q^n = F_i(q),$$

where  $F_i(q)$ 's are as defined in Theorem 1.2. Applying Sussman's result (4.3), we have, as  $n \rightarrow \infty$ ,

$$f_1(n) = p(n) \sim \frac{1}{4 \cdot 3^{1/2} \cdot n} \exp\left(2\pi \sqrt{\frac{n}{6}}\right), \tag{4.11}$$

$$f_2(n) \sim \frac{37^{1/2}}{2^4 \cdot 3 \cdot n} \exp\left(\frac{\pi}{6} \sqrt{\frac{37n}{2}}\right), \tag{4.12}$$

$$f_3(n) \sim \frac{7^{1/2}}{2^3 \cdot 3^{1/2} \cdot n} \exp\left(\frac{\pi}{2} \sqrt{\frac{7n}{6}}\right), \tag{4.13}$$

$$f_4(n) \sim \frac{7^{1/2}}{2^2 \cdot 3 \cdot n} \exp\left(\frac{\pi}{3} \sqrt{\frac{7n}{2}}\right), \tag{4.14}$$

$$f_5(n) \sim \frac{19^{1/2}}{2^2 \cdot 3 \cdot n} (-1)^n \cos\left(\frac{n\pi}{2} + \frac{\pi}{8}\right) \exp\left(\frac{\pi}{6} \sqrt{\frac{19n}{2}}\right), \tag{4.15}$$

$$f_6(n) \sim \frac{13^{1/2}}{2^3 \cdot 3^{1/2} \cdot n} (-1)^n \cos\left(\frac{n\pi}{2} + \frac{\pi}{8}\right) \exp\left(\frac{\pi}{2} \sqrt{\frac{13n}{6}}\right), \tag{4.16}$$

$$f_7(n) \sim \frac{37^{1/2}}{2^4 \cdot 3^{3/2} \cdot n} \exp\left(\frac{\pi}{6} \sqrt{\frac{37n}{2}}\right). \tag{4.17}$$

Moreover, we notice that, for the exponential terms in (4.11)–(4.17), we have, numerically,

$$\begin{aligned} 2\pi\sqrt{\frac{1}{6}} &= 2.56\dots, & \frac{\pi}{6}\sqrt{\frac{37}{2}} &= 2.25\dots, & \frac{\pi}{2}\sqrt{\frac{7}{6}} &= 1.69\dots, \\ \frac{\pi}{3}\sqrt{\frac{7}{2}} &= 1.95\dots, & \frac{\pi}{6}\sqrt{\frac{19}{2}} &= 1.61\dots, & \frac{\pi}{2}\sqrt{\frac{13}{6}} &= 2.31\dots, \\ \frac{\pi}{6}\sqrt{\frac{37}{2}} &= 2.25\dots. \end{aligned} \tag{4.18}$$

Recall that, for any integer  $i$  with  $1 \leq i \leq 6$ , we have  $p(2i, 24; n) = p(24 - 2i, 24; n)$ . We conclude from the numerical calculations in (4.18) that

$$p(2i, 24; n) \sim \frac{f_1(n)}{12} = \frac{p(n)}{12}$$

as  $n \rightarrow \infty$  for any integer  $i$  with  $0 \leq i \leq 11$ , and therefore (1.24) follows when  $m = 6$ . We also have, for  $0 \leq i < 6$ ,

$$p(4i, 24; n) - p(4i + 2, 24; n) \sim \frac{f_6(n)}{6}$$

as  $n \rightarrow \infty$ . Hence, in (1.25)–(1.27), the case of  $m = 6$  follows by arguments akin to those for the case of  $m = 4$ . Therefore, the proof of Theorem 1.3 is completed.

### 5. Conclusion and conjectures

In this paper, we first established the generating functions of  $p(r, m; n)$  with  $m = 16$  and 24 by making use of theta function identities and then proved some inequalities for  $p(r, m; n)$  based on their generating functions and Sussman’s asymptotic formulas for quotients of Dedekind eta functions. According to the work of Berkovich and Garvan [3], it would be appealing to find elementary proofs of Theorem 1.3 with the restriction of “ $n$  sufficiently large” removed.

Moreover, based on our numerical calculations, we present the following two conjectures.

**Conjecture 5.1.** *For any integer  $0 \leq i < m$  with  $m$  an arbitrary positive integer, there always exists a positive integer  $N(m, i)$  such that for all  $n \geq N(m, i)$ ,*

$$p(4i, 4m; n) > p(4i + 2, 4m; n), \text{ if } n \equiv 0, 1 \pmod{4}, \tag{5.1}$$

$$p(4i, 4m; n) < p(4i + 2, 4m; n), \text{ if } n \equiv 2, 3 \pmod{4}, \tag{5.2}$$

$$|p(4i, 4m; 2n) - p(4i + 2, 4m; 2n)| > |p(4i, m; 2n + 1) - p(4i + 2, m; 2n + 1)|. \tag{5.3}$$

**Conjecture 5.2.** For any integer  $0 \leq k \leq m$  with  $m$  an arbitrary positive integer,

$$\lim_{n \rightarrow \infty} \frac{p(2k, 4m; n)}{p(n)} = \frac{1}{2m} \quad (5.4)$$

and

$$\lim_{n \rightarrow \infty} \frac{p(4k, 4m; 2n) - p(4k + 2, 4m; 2n)}{p(4k, 4m; 2n + 1) - p(4k + 2, 4m; 2n + 1)} = 1 + \sqrt{2}. \quad (5.5)$$

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