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Manuel Amann and Lee Kennard

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## ABSTRACT

A famous conjecture of Hopf states that  $\mathbb{S}^2 \times \mathbb{S}^2$  does not admit a Riemannian metric with positive sectional curvature. In this article, we prove that no manifold product  $N \times N$  can carry a metric of positive sectional curvature admitting a certain degree of torus symmetry.

Among compact, simply connected, even-dimensional smooth manifolds, the examples known to admit a Riemannian metric with positive sectional curvature form a short list: spheres, complex projective spaces, quaternionic projective spaces, the Cayley plane, the three flag manifolds discovered by Wallach [Wal72], and the biquotient  $SU(2)//T^2$  discovered by Eschenburg [Esc84].

In order to find additional examples, it is natural to look among metrics with symmetry. This strategy has recently resulted in a new example in dimension seven (see Dearnicott [Dea11] and Grove *et al.* [GVZ11]). To narrow the search, one seeks topological obstructions to positive curvature and symmetry. This broad research program was formulated by Grove and developed by him and many others over the past two decades (see Grove [Gro09], Wilking [Wil07], and Ziller [Zil07, Zil14] for surveys).

In this article, we prove further topological restrictions in the presence of torus symmetry. Our first theorem considers the case where the positively curved Riemannian manifold  $M^{2n}$  ( $n > 2$ ) has vanishing fourth Betti number. To motivate this assumption, recall that, if the rank of the isometric torus action exceeds  $\log_{4/3}(2n - 3)$ , then the Betti numbers of  $M$  satisfy  $b_2(M) \leq b_4(M) \leq 1$  (see §4).

**THEOREM A.** *Let  $M^{2n}$  ( $n > 2$ ) be a simply connected, closed manifold with  $b_4(M) = 0$ . Assume  $M$  admits a Riemannian metric with positive sectional curvature invariant under the action of a torus  $T$  with  $\dim(T) > \log_{4/3}(2n - 3)$ . The following hold:*

- (1) *the Euler characteristic satisfies  $\chi(M) = \chi(\mathbb{S}^{2n}) = 2$ ;*
- (2) *the signature satisfies  $\sigma(M) = \sigma(\mathbb{S}^{2n}) = 0$ ;*
- (3) *the fixed-point set  $M^T$  is an even-dimensional rational sphere;*
- (4) *for  $g \in T$ ,  $M^g$  is non-empty, and the number of components is at most two, with equality only if  $g$  is an involution.*

As an application of this result, consider an arbitrary closed manifold  $N^n$  with  $n > 2$ , and consider its two-fold product  $M^{2n} = N \times N$ . Suppose that  $M$  admits a metric with positive curvature and an isometric torus action of rank  $r > \log_{4/3}(2n - 3)$ . By Synge's theorem,  $M$  is

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simply connected. As mentioned above, it follows that  $b_2(M) \leq b_4(M) \leq 1$ . By the Künneth formulas,  $b_4(M) = 0$ . By Theorem A,

$$2 = \chi(M) = \chi(N)^2,$$

which is impossible. Hence  $N \times N$  has no such metric. A similar conclusion can be drawn for connected sums. We summarize this corollary as follows.

**COROLLARY B.** *Let  $N^n$  be a closed manifold with  $n > 2$ . The product  $N \times N$  does not admit a Riemannian metric with positive sectional curvature and an isometric torus action of rank  $r > \log_{4/3}(2n - 3)$ . Similarly, if  $n$  is even and  $\chi(N) \neq 2$ , the connected sum  $N \# N$  does not admit a positively curved metric invariant under a torus action of rank  $r > \log_{4/3}(n - 3)$ .*

Hopf conjectured that  $\mathbb{S}^2 \times \mathbb{S}^2$  does not admit a Riemannian metric with positive sectional curvature. Corollary B can be seen as positive evidence for the generalized conjecture that no product  $N \times N$  admits such a metric.

We also remark that Hsiang and Kleiner proved that  $\mathbb{S}^2 \times \mathbb{S}^2$  does not admit a Riemannian metric with positive sectional curvature and an isometric circle action (see [HK89, GW14]). Hence Corollary B also holds when  $n = 2$ , and it can be seen as a partial generalization of the Hsiang–Kleiner result.

The conclusion of Theorem A can be improved by imposing additional topological conditions on  $M$ . For example, suppose that  $M$  is rationally elliptic, as conjectured by Bott, Grove and Halperin (see [Gro02, § 5]). Since  $\chi(M) = 2$ , it follows that the odd Betti numbers vanish, hence  $M$  is a rational sphere. We summarize similar corollaries here (see § 3 for proofs).

**COROLLARY C.** *Let  $M^{2n}$  be a simply connected, closed Riemannian manifold with  $b_4(M) = 0$ . Assume  $M$  admits a metric with positive sectional curvature and an isometric torus action of rank greater than  $\log_{4/3}(2n - 3)$ . The following hold:*

- (1) *if  $M$  has vanishing odd-dimensional rational cohomology, e.g., if  $M$  is rationally elliptic, then  $M$  is a rational  $\mathbb{S}^{2n}$ ;*
- (2) *if  $M$  is  $p$ -elliptic for some prime  $p \geq 2n$ , then  $M$  is a mod  $p$  homology  $\mathbb{S}^{2n}$ ;*
- (3) *if  $M$  has vanishing homology in odd degrees, then  $M$  is homeomorphic to  $\mathbb{S}^{2n}$ ;*
- (4) *if  $M$  is a biquotient, then  $M$  is diffeomorphic to  $\mathbb{S}^{2n}$ ;*
- (5) *if  $M$  admits a smooth, effective cohomogeneity-one action by a compact, connected Lie group, and if the homology of  $M$  has no 2-torsion, then  $M$  is equivariantly diffeomorphic to  $\mathbb{S}^{2n}$  equipped with a linear  $G$ -action;*
- (6) *if  $M$  is a symmetric space, then  $M$  is isometric to  $\mathbb{S}^n$ .*

We remark that the torus action in this corollary need not respect the biquotient, cohomogeneity-one, or symmetric space structure. We also remark that, whenever  $M$  is spin and homeomorphic to  $\mathbb{S}^{4k}$ , its elliptic genus vanishes. Corollary C can therefore be seen as further evidence for a conjecture by Dessai (see [Des05, Des07] and Weisskopf [Wei13]).

Note that, in Corollary C,  $M$  is a rational  $\mathbb{S}^{2n}$  if the torus action is equivariantly formal. Indeed, this assumption together with Theorem A implies that the odd Betti numbers of  $M$  vanish (see § 3).

To prove Theorem A, we show that the fixed-point set  $M^T$  of the torus action is a rational sphere. Since the Euler characteristic and signature of  $M$  and  $M^T$  agree, the first two conclusions immediately follow. In order to prove that  $M^T$  is a rational sphere, we combine two important ideas from previous work. The first involves proving the existence of fixed-point components  $N_i$  of isometries that are rational spheres (see [Ken14]). Smith theoretic results then imply restrictions on the components of  $M^T$ . The second main idea is to control the number of components of  $M^T$  that these submanifolds  $N_i$  contain (see [AK14]).

We conclude by remarking on the assumption that  $b_4(M) = 0$ . In the presence of positive curvature and torus symmetry as in Theorem A, the only other possibility is  $b_4(M) = 1$ . Since our results when  $b_4(M) = 0$  suggest that  $M$  might be a rational sphere, one might similarly hope to show that  $b_4(M) = 1$  implies that  $M$  has the rational type of a projective space. In particular, one might hope to calculate the Euler characteristic and signature of such a manifold.

### 1. Preliminaries

The main tool for proving Theorem A is the following proposition. This section is devoted to its proof.

PROPOSITION 1.1. *Let  $M^n$  be a closed, positively curved Riemannian manifold with  $n \geq 21$ . Assume  $T^s$  acts effectively by isometries on  $M$  with  $s \geq \log_{4/3}(n - 3)$ . If  $x$  and  $y$  are fixed by  $T^s$ , and if  $M$  is not rationally 4-periodic, then there exist an involution  $\iota \in T^s$  and a component  $N \subseteq M^\iota$  such that  $(n - 4)/4 < \text{cod}(N) \leq (n - 4)/2$ ,  $\dim \ker(T|_N) \leq 1$ , and  $x, y \in N$ .*

Here,  $M^\iota$  denotes the fixed-point set of  $\iota \in T$ ,  $M_x^\iota$  the component of  $M^\iota$  containing  $x$ , and  $\ker(T|_{M_x^\iota})$  the kernel of the induced  $T$ -action on  $M_x^\iota$ . For the definition of periodic cohomology in this context, see [AK14, Definition 1.8]. (The only fact we will use later is that a rationally 4-periodic manifold  $M$  with  $b_4(M) = 0$  is a rational sphere.)

The proof of Proposition 1.1 requires two technical lemmas. The first is a refinement of the proof setup for [AK14, Theorem A].

LEMMA 1.2. *Let  $M$  be a closed, simply connected, positively curved Riemannian  $n$ -manifold, let  $T$  be a torus acting effectively on  $M$ , and let  $x$  be a fixed point. Fix  $c \geq 1$ ,  $k_0 \leq (n - c)/4$ , and some subset  $A \subseteq M^T$ . Set  $j = \lfloor \log_2(k_0) \rfloor + 1$  or  $j = \lfloor \log_2(k_0) \rfloor$  according to whether  $n$  is even or odd. If there exist independent involutions  $\iota_1, \dots, \iota_j \in T$  such that  $M_x^{\iota_i}$  contains  $A$  and has codimension at most  $(n - c)/2$  for all  $i$ , then one of the following holds:*

- $M$  has 4-periodic rational cohomology; or
- there exists an involution  $\iota \in T^s$  such that  $A \subseteq M_x^\iota$ ,  $k_0 < \text{cod}(M_x^\iota) \leq (n - c)/2$ , and  $\dim \ker(T|_{M_x^\iota}) \leq 1$ .

*Proof.* Assume that the second conclusion does not hold. Note that, if some involution  $\iota \in T$  satisfies  $\text{cod}(M_x^\iota) \leq (n - c)/2$  and  $\dim \ker(T|_{M_x^\iota}) \geq 2$ , then  $M$  is rationally 4-periodic by [AK14, Proposition 2.2]. In particular, we may assume that every involution  $\iota \in T$  with  $A \subseteq M_x^\iota$  and  $\text{cod}(M_x^\iota) \leq (n - c)/2$  actually has  $\text{cod}(M_x^\iota) \leq k_0$ .

In particular, we may assume that  $\iota_i$  satisfies  $A \subseteq M_x^{\iota_i}$  and  $\text{cod}(M_x^{\iota_i}) \leq k_0$  for all  $i$ . We claim that  $\sigma\tau$  satisfies these two properties any time  $\sigma$  and  $\tau$  do, where  $\sigma, \tau \in \langle \iota_1, \dots, \iota_j \rangle$ . Indeed, given any such  $\sigma$  and  $\tau$ , we have

$$\text{cod}(M_x^{\sigma\tau}) \leq \text{cod}(M_x^\sigma) + \text{cod}(M_x^\tau) \leq 2k_0 \leq \frac{n - c}{2}.$$

Moreover, by Wilking’s connectedness lemma (see [Wil03, Theorem 2.1]),  $M_x^\sigma \cap M_x^\tau$  is connected, so

$$A \subseteq M_x^\sigma \cap M_x^\tau = M_x^{\langle \sigma, \tau \rangle} \subseteq M_x^{\sigma\tau}.$$

Since  $M_x^{\sigma\tau}$  contains  $A$  and has codimension at most  $(n - c)/2$ , it actually has codimension at most  $k_0$ , so the proof of the claim is complete.

With the claim established, it follows that every  $\sigma \in \langle \iota_1, \dots, \iota_j \rangle$  satisfies  $\text{cod}(M_x^\sigma) \leq k_0$ . We conclude the proof as in the proof of [AK14, Proposition 2.1]. There is only one modification. In the notation of that proof, the codimension  $k_j$  is estimated as follows:

$$k_j \leq \frac{k_{j-1}}{2} \leq \dots \leq \frac{k_1}{2^{j-1}}.$$

In our case,  $k_1 \leq k_0$ , and the definition of  $j$  implies that  $k_j < 2$  if  $n$  is even and  $k_j < 4$  if  $n$  is odd. If, in fact,  $k_j = 0$ , then the proof concludes as in [AK14]. Otherwise,  $k_j = 2$  and  $n$  is odd. It is an easy consequence of Wilking’s connectedness theorem to show in this case that  $N_j$  is a rational sphere and hence rationally 4-periodic. One then proceeds again as in the cited proof, using the connectedness lemma to lift the property of being rationally 4-periodic up to  $M$ .  $\square$

To apply Lemma 1.2, one must prove the existence of the involutions  $\iota_1, \dots, \iota_j$ . To do this, we generalize [AK14, Proposition 2.4].

LEMMA 1.3. *Let  $n \geq c \geq 0$  and  $j \geq 1$ . Let  $M^n$  be a closed, positively curved Riemannian manifold, assume  $T^s$  acts effectively by isometries on  $M$ , and let  $x_1, \dots, x_t \in M$  be fixed points. If*

$$t \left\lfloor \frac{n}{2} \right\rfloor < j - 1 + \sum_{i=0}^{s-j} \left\lceil 2^{-i} \left\lceil \frac{t(n-c)+1}{4} \right\rceil \right\rceil, \tag{1.1}$$

*then there exist independent involutions  $\iota_1, \dots, \iota_j \in T^s$  such that, for all  $1 \leq i \leq j$ , the maximal component of  $M^{\iota_i}$  has codimension at most  $(n - c)/2$  and contains at least  $\lceil (t + 1)/2 \rceil$  of the points  $x_1, \dots, x_t$ .*

*Proof.* Set  $m = \lfloor n/2 \rfloor$ . For each  $x_i$ , choose a basis of  $T_{x_i}M$  such that the image of every  $\iota \in \mathbb{Z}_2^s \subseteq T^s$  under the isotropy representation takes the form  $\text{diag}(\epsilon_1 I, \dots, \epsilon_m I)$  or  $\text{diag}(\epsilon_1 I, \dots, \epsilon_m I, 1)$  according to whether  $n$  is even or odd. Here, the  $\epsilon_i = \pm 1$ , and  $I$  denotes the  $2 \times 2$  identity matrix. Observe that  $\text{cod}(M_{x_i}^\iota)$  equals twice the Hamming weight of  $(\epsilon_1, \dots, \epsilon_m) \in \mathbb{Z}_2^m$ .

The direct sum of these  $t$  maps induces a homomorphism  $\phi : \mathbb{Z}_2^s \rightarrow \bigoplus_{i=1}^t \mathbb{Z}_2^m \cong \mathbb{Z}_2^{tm}$ . Let  $\phi_u$  denote the composition of  $\phi$  with the projection onto the  $u$ th component. For example, the codimension of  $M_{x_1}^\iota$  is equal to twice the Hamming weight of the vector  $(\phi_1(\iota), \dots, \phi_m(\iota)) \in \mathbb{Z}_2^m$ .

Consider now an integer  $0 \leq h \leq j - 1$  such that there exist independent  $\iota_1, \dots, \iota_h \in \mathbb{Z}_2^s$  and integers  $u_1, \dots, u_h$  such that, for all  $1 \leq i \leq h$ :

- (1) there is a component  $N_i$  of  $M^{\iota_i}$  with codimension at most  $(n - c)/2$  that contains at least  $\lceil (t + 1)/2 \rceil$  of the points  $x_1, \dots, x_t$ ;
- (2)  $\phi_{u_i}(\iota_i) \in \mathbb{Z}_2$  is non-trivial; and
- (3)  $\phi_{u_{i'}}(\iota_i) \in \mathbb{Z}_2$  is trivial for all  $1 \leq i' < i$ .

Note that these conditions are vacuously satisfied for  $h = 0$ . We claim that, given  $\iota_1, \dots, \iota_h$  as above, there exists  $\iota_{h+1}$  such that all of these properties hold. By induction, this suffices to prove the existence of  $\iota_1, \dots, \iota_j$  as in the conclusion of the lemma.

To start, choose a  $\mathbb{Z}_2^{s-h} \subseteq \ker(\phi_{u_1}) \cap \dots \cap \ker(\phi_{u_h}) \subseteq \mathbb{Z}_2^s$ . Note that every  $\iota \in \mathbb{Z}_2^{s-h}$  automatically satisfies the last condition above. Moreover, every non-trivial  $\iota \in \mathbb{Z}_2^{s-h}$  is independent of  $\iota_1, \dots, \iota_h$  and is non-trivial since the  $T^s$  action is effective. It therefore suffices to prove that some  $\iota \in \mathbb{Z}_2^{s-h}$  has a fixed-point component with codimension at most  $(n - c)/2$  that contains  $\lceil (t + 1)/2 \rceil$  of the  $x_1, \dots, x_t$ .

Consider the composition

$$\mathbb{Z}_2^{s-h} \subseteq \mathbb{Z}_2^s \xrightarrow{\phi} \mathbb{Z}_2^{tm} \longrightarrow \mathbb{Z}_2^{tm-h},$$

where the last map projects away the  $u_i$ th components for  $1 \leq i \leq h$ . By the choice of the  $u_i$ , the Hamming weight of the image of  $\iota \in \mathbb{Z}_2^{s-h}$  under this composition is half of the sum of the codimensions  $k_i = \text{cod}(M_{x_i}^t)$ . As in the proof of [AK14, Proposition 2.4], an argument based on Frankel’s theorem implies the following. If  $\iota \in \mathbb{Z}_2^{s-h}$  exists such that  $\sum_{i=1}^t k_i \leq t(n - c)/2$ , then there exists a component of  $M^\iota$  with codimension at most  $(n - c)/2$  that contains  $\lceil (t + 1)/2 \rceil$  of the  $x_1, \dots, x_t$ . It therefore suffices to prove that some non-trivial  $\iota \in \mathbb{Z}_2^{s-h}$  exists whose image under the above map  $\mathbb{Z}_2^{s-h} \rightarrow \mathbb{Z}_2^{tm-h}$  has weight at most  $t(n - c)/4$ .

If no such involution exists, the Griesmer bound (see, for example, the proof of [AK14, Proposition 2.4]) implies that

$$tm - h \geq \sum_{i=0}^{s-h-1} \left\lceil 2^{-i} \left\lceil \frac{t(n - c) + 1}{4} \right\rceil \right\rceil.$$

Since every summand on the right-hand side is at least one, this inequality is preserved if we replace  $h$  by  $h + 1$ . Inductively, this inequality is preserved if we replace  $h$  by  $j - 1$ . On the other hand, this contradicts inequality (1.1), so the proof is complete.  $\square$

With Lemmas 1.2 and 1.3 established, Proposition 1.1 is an easy consequence.

*Proof of Proposition 1.1.* Set  $c = 4$ ,  $k_0 = (n - 4)/4$ , and  $j = \lfloor \log_2(k_0) \rfloor + 1 - \epsilon$ , where  $\epsilon$  is zero or one according to whether  $n$  is even or odd. By Lemma 1.2, it suffices to prove the existence of independent involutions  $\iota_1, \dots, \iota_j \in T^s$  such that each  $M^{\iota_i}$  has a component of codimension at most  $k_0$  that contains both  $x$  and  $y$ .

Set  $t = 2$ , and note that  $\lceil (t + 1)/2 \rceil = 2$ . By Lemma 1.3, such a collection of involutions exists if inequality (1.1) holds. To verify this inequality for all  $n \geq 21$ , first observe that

$$s - j + 1 \geq \lceil \log_{4/3}(n - 3) \rceil - j + 1 \geq \log_2(n - 3)$$

and hence that  $\lceil (n - 3)/2^{i+1} \rceil = 1$  for all  $i \geq s - j$ . In particular, we can estimate the right-hand side, denoted by  $R$ , of inequality (1.1) as follows. First,

$$R = j - 1 + \sum_{i=0}^{s-j} \left\lceil 2^{-i} \left\lceil \frac{2(n - 4) + 2}{4} \right\rceil \right\rceil \geq j - 1 + \sum_{i=0}^{s-j} \left\lceil \frac{n - 3}{2^{i+1}} \right\rceil = \sum_{i=0}^{s-1} \left\lceil \frac{n - 3}{2^{i+1}} \right\rceil.$$

Second, note that  $s \geq 6$ , hence

$$R \geq 5 + \sum_{i=0}^{s-6} \frac{n - 3}{2^{i+1}} > n + 1$$

since  $s - 5 > \log_2(n - 3)$ . This proves that inequality (1.1) holds.  $\square$

**2. Proof of Theorem A**

For an isometric action by a torus  $T$  on a Riemannian manifold  $M$ , the fixed-point set  $M^T$  is a union of closed, oriented, totally geodesic submanifolds of even codimension. By Synge’s theorem, each component of  $M^T$  is simply connected when  $M$  has positive sectional curvature. In addition, we recall the following results that relate the topology of  $M$  and  $M^T$  (see [Con57] and [HBJ92, p. 72]):

- the Euler characteristics satisfy  $\chi(M) = \chi(M^T)$ ;
- the signatures satisfy  $\sigma(M) = \sigma(M^T)$ ;
- (Conner) the even Betti numbers satisfy  $\sum b_{2i}(M^T) \leq \sum b_{2i}(M)$ , and likewise for the odd Betti numbers.

Note that, if  $M$  is an even-dimensional, positively curved rational sphere, then  $M^T$  is as well. Since a positive- and even-dimensional sphere trivially has Euler characteristic two and signature zero, the first three conclusions of Theorem A are an immediate consequence of the following result (the fourth conclusion is proved at the end of the section).

**THEOREM 2.1.** *Let  $M^n$  be a closed, simply connected, positively curved Riemannian manifold with  $b_4(M) = 0$ . If a torus  $T$  acts effectively by isometries on  $M$  with  $\dim(T) \geq \log_{4/3}(n - 3)$ , then  $M^T = N^T$  for some totally geodesic, even-codimensional, rational sphere  $N \subseteq M$  to which the  $T$ -action restricts. Moreover, if  $n$  is even, then  $N$  may be chosen to have positive dimension.*

This result can be seen as a less localized version of the main theorem in [Ken14]. Under the assumptions of Theorem 2.1, the results in [Ken14] imply that each component of  $M^T$  is a component of the fixed-point set of some  $N$  as in this theorem. The novelty here is that the entire fixed-point set  $M^T$  is contained in this submanifold  $N$ .

The proof of Theorem 2.1 is by induction over the dimension in the style of Wilking [Wil03]. First, if  $n \leq 3$ , the result is trivial since  $M$  is a homotopy sphere. Second, if  $n = 4$ , the result is vacuous since  $b_4(M) = 1$  in this case. Third, for  $5 \leq n \leq 20$ ,  $s \geq n/2$ , hence the result of Grove and Searle implies that  $M$  is diffeomorphic to the sphere (see [GS94]). In particular,  $M$  is a rational sphere, so the conclusion of the theorem holds by taking  $N = M$ .

Finally, suppose that  $n \geq 21$ . As in the previous paragraph, if  $M$  itself is a rational sphere, then the theorem immediately holds. We assume throughout that  $M$  is not a rational sphere. Since  $b_4(M) = 0$ , this is equivalent to assuming that  $M$  does not have 4-periodic rational cohomology. The induction step has two parts. The first considers the case where some component  $M_x^T$  has positive dimension.

**LEMMA 2.2.** *If some component  $M_x^T$  of  $M^T$  has positive dimension, then  $M^T = M_x^T$  and is a rational sphere. In particular, the theorem holds with  $N = M^T$ .*

*Proof.* Let  $y \in M^T$ . By Proposition 1.1, there exist an  $\iota \in T$  and a component  $N \subseteq M^\iota$  such that  $(n - 4)/4 < \text{cod}(N) \leq (n - 4)/2$ ,  $\dim \ker(T|_N) \leq 1$ , and  $x, y \in N$ . By Wilking’s connectedness lemma,  $N$  is simply connected and  $b_4(N) = 0$ . Moreover, since  $\text{cod}(N) \geq (n - 3)/4$ ,

$$\dim(T/\ker(T|_N)) \geq \dim(T) - 1 \geq \log_{4/3}(n - 3) - 1 \geq \log_{4/3}(\dim N - 3).$$

Since  $T/\ker(T|_N)$  is a torus that acts effectively on  $N$ , a closed, simply connected, positively curved Riemannian manifold with  $b_4(N) = 0$ , the induction hypothesis applies to  $N$ . Since  $M_x^T$  and  $M_y^T$  are components of  $N^T = N \cap M^T$ , we therefore have

$$\sum b_i(M_x^T \cup M_y^T) \leq \sum b_i(N^T) = 2.$$

Observe that  $\sum b_i(M_x^T) \geq 2$  since  $M_x^T$  has positive dimension. In particular, if  $y$  lies in a component of  $M^T$  different from  $M_x^T$ , then the left-hand side is at least

$$\sum b_i(M_x^T) + \sum b_i(M_y^T) \geq 2 + 1,$$

a contradiction. This shows that  $M^T = M_x^T$ . Moreover, the above argument works with  $x = y$ , hence  $\sum b_i(M_x^T) = 2$ , which implies that  $M_x^T$  is a rational sphere.  $\square$

The other possibility is that  $T$  has only isolated fixed points.

LEMMA 2.3. *If  $\dim(M^T) = 0$ , then there are exactly two isolated fixed points. Moreover, there exists a totally geodesic, positive-dimensional, and even-dimensional rational sphere  $N \subseteq M$  on which  $T$  acts such that  $M^T = N^T$ .*

*Proof.* First, a theorem of Berger implies there is at least one fixed point (see [Ber66]), and there cannot be exactly one fixed point (see Bredon [Bre72, Corollary IV.2.3, p. 178]).

Suppose for a moment that  $M^T$  has exactly two isolated fixed points. By Proposition 1.1,  $M^T \subseteq P$  for some totally geodesic, even-dimensional, closed submanifold  $P$  with  $b_4(P) = 0$  and an isometric torus action of rank at least  $\log_{4/3}(\dim P - 3)$ . By the induction hypothesis applied to  $P$ ,  $P^T = N^T$  for some totally geodesic, even-dimensional, positive-dimensional rational sphere  $N \subseteq P$ . Since  $M^T \subseteq N^T \subseteq M^T$ , this proves the lemma in this case.

Finally, suppose that  $M^T$  has at least three (distinct) isolated fixed points,  $x, y$ , and  $z$ . Two applications of Proposition 1.1 imply the existence of involutions  $\sigma, \tau \in T^s$  such that:

- $M_x^\sigma$  has  $\dim \ker(T|_{M_x^\sigma}) \leq 1$ ,  $(n - 4)/4 < \text{cod}(M_x^\sigma) \leq (n - 4)/2$ , and  $y \in M_x^\sigma$ ; and
- $M_x^\tau$  has  $\dim \ker(T|_{M_x^\tau}) \leq 1$ ,  $(n - 4)/4 < \text{cod}(M_x^\tau) \leq (n - 4)/2$ , and  $z \in M_x^\tau$ .

As before, the induction hypothesis applies to both  $M_x^\sigma$  and  $M_x^\tau$ . Since the torus action on  $M$  has only isolated fixed points, the same is true of the torus action on  $M_x^\sigma$  and  $M_x^\tau$ . Hence  $(M_x^\sigma)^T = \{x, y\}$  and  $(M_x^\tau)^T = \{x, z\}$ . This further implies

$$(M_x^\sigma \cap M_x^\tau)^T = (M_x^\sigma)^T \cap (M_x^\tau)^T = \{x\}.$$

On the other hand, Frankel's theorem implies that  $M_x^\sigma$  and  $M_x^\tau$  intersect, and Wilking's connectedness lemma implies that  $M_x^\sigma \cap M_x^\tau$  is connected and simply connected. In particular, as with  $M$ , this intersection cannot have exactly one fixed point. This concludes the proof.  $\square$

This concludes the proof of Theorem 2.1 and hence of the first three conclusions of Theorem A. We now prove the fourth conclusion.

*Proof of Theorem A.* [Conclusion (d)] Let  $g \in T$ . The Lefschetz number of the map  $g : M \rightarrow M$  is equal to  $\chi(M)$  since  $g$  is homotopic to the identity. Hence  $\chi(M^g) = \chi(M) = 2$ , so  $M^g$  is non-empty.

Since  $g : M \rightarrow M$  is orientation-preserving, each component of  $M^g$  is a closed, even-dimensional, totally geodesic submanifold of  $M$  to which the  $T$ -action restricts. Hence each component of  $M^g$  contains a fixed point of  $T$  by Berger's theorem. Since  $M^T$  is a rational sphere, either  $M^g$  is connected or it has two components and the  $T$ -actions on them have exactly one fixed point each. The latter case can only occur if each component of  $M^g$  is non-orientable (see again Bredon [Bre72, Corollary IV.2.3, p. 178]), which in turn can only occur if  $g^2 = \text{id}$ .  $\square$



### 3. Proof of Corollary C

Corollary C is an easy consequence of Theorem A together with a few general classification results. We only use Theorem A to deduce that  $\chi(M) = 2$ .

First, it is immediate that, if  $M$  has vanishing odd-dimensional rational homology, then  $M$  is a rational homology sphere. Since  $M$  is simply connected, this is equivalent to  $M$  having the rational homotopy type of a sphere. In particular, this case applies if  $M$  is rationally elliptic (see [FHT01, Proposition 32.10]) or if the action is equivariantly formal, that is, if the Leray–Serre spectral sequence of the Borel construction degenerates at the  $E_2$ -term (see [AP93, Corollary 3.1.15]).

Second, we refer to Powell [Pow97] for a definition of  $p$ -elliptic for a prime  $p$ . In particular, it follows that  $M$  is rationally elliptic and hence a rational sphere. In [Pow97, Theorem 1], Powell classified  $p$ -elliptic rational spheres for  $p \geq \dim(M)$ , and it follows immediately that  $M$  is a mod  $p$  homology sphere.

Third, if  $M$  has vanishing odd-dimensional integral homology, then its homology is torsion-free. Since  $M$  is a rational homology sphere,  $M$  is, in fact, an integral homology sphere. Since  $M$  is simply connected, it follows from the resolution of the Poincaré conjecture that  $M$  is homeomorphic to a sphere.

Fourth, since biquotients are rationally elliptic,  $M$  is again a rational sphere. Totaro [Tot02, Theorem 6.1] and Kapovitch and Ziller [KZ04, Theorem A] classified such biquotients, and their results immediately imply that  $M$  is diffeomorphic to  $S^{2n}$ .

Fifth, if  $M$  admits a cohomogeneity-one structure, it is rationally elliptic by Grove and Halperin [GH87], hence  $M$  is a rational sphere. Since  $M$  has no 2-torsion in its homology, it follows that  $M$  is a mod 2 homology sphere. The result now follows from Asoh’s classification up to equivariant diffeomorphism of mod 2 homology spheres that admit a cohomogeneity-one action (see [Aso81, Main Theorem]).

Finally, if  $M$  is a simply connected, compact symmetric space, then it factors as  $M_1 \times \cdots \times M_k$  for some irreducible symmetric spaces  $M_i$ . Since  $\chi(M) > 0$ , it follows that each  $\chi(M_i) \geq 2$ , with equality only if  $M_i$  is a sphere. By Theorem A,  $k = 1$  and  $M = M_1$  is a sphere.

### 4. A simplified proof of a Betti number estimate

Fix  $n \geq 4$ . Consider a closed, simply connected, even-dimensional Riemannian manifold  $M^n$  with positive sectional curvature. If  $M$  admits an isometric action by a torus  $T$  with  $r = \dim(T) \geq 2 \log_2(n) + 1$ , the second author showed that the Betti numbers of  $M$  satisfy  $b_2(M) \leq b_4(M) \leq 1$  (see [Ken14]). In this section, we provide a simplified and self-contained proof of this conclusion under the assumption that  $r > \log_{4/3}(n - 3)$ .

First, if  $n = 4$ , the bound on  $r$  implies  $r \geq 1$ , so the Hsiang–Kleiner result implies  $b_2(M) \leq b_4(M) = 1$ . If  $6 \leq n \leq 22$ , the bound on  $r$  implies  $r \geq n/2$ , so the diffeomorphism classification of Grove and Searle implies  $b_2(M) = b_4(M) \leq 1$  (see [GS94]). We proceed by induction on the dimension  $n$  to prove the result in general. Note that, if  $M$  has 4-periodic rational cohomology, then  $b_2(M) \leq b_4(M) \leq 1$  by Poincaré duality. Indeed, the subring of  $H^*(M; \mathbb{Q})$  made up of elements of even degree is isomorphic to that of  $S^n$ ,  $\mathbb{C}P^{n/2}$ ,  $\mathbb{H}P^{n/4}$  or  $S^2 \times \mathbb{H}P^{(n-2)/4}$ . (See, for example, the proofs of Wilking [Wil03, Propositions 7.3 and 7.4].) We may suppose that  $M$  is not rationally 4-periodic.

By the Berger theorem, there is a fixed point  $x \in M$  of the torus action. Take  $y = x$  in Proposition 1.1, and choose an involution  $\iota \in T$  and a component  $N \subseteq M^\iota$  such that

$\dim \ker(T|_N) \leq 1$  and  $(n-4)/4 < \text{cod}(N) \leq (n-4)/2$ . Note that  $N$  is a closed, totally geodesic submanifold of even codimension. Also note that  $N$  is simply connected by the connectedness lemma. The  $T$ -action restricts to  $N$ , and  $T/\ker(T|_N)$  is another torus that acts effectively on  $N$ . Since the dimension of this torus is at least

$$\dim(T) - 1 > \log_{4/3}(n-3) - 1 \geq \log_{4/3}(\dim(N) - 3),$$

the induction hypothesis implies that  $b_2(N) \leq b_4(N) \leq 1$ . By the connectedness lemma again, the inclusion  $N \rightarrow M$  is 5-connected, so the Betti numbers of  $M$  satisfy the same bounds.

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Manuel Amann [manuel.amann@kit.edu](mailto:manuel.amann@kit.edu)

Fakultät für Mathematik, Institut für Algebra und Geometrie,  
 Karlsruher Institut für Technologie, Englerstraße 2, Karlsruhe,  
 76131 Karlsruhe, Germany

Lee Kennard [kennard@math.ou.edu](mailto:kennard@math.ou.edu)

Department of Mathematics, University of Oklahoma,  
 Norman, OK 73019-3103, USA