

# Cascades in the dynamics of affine interval exchange transformations

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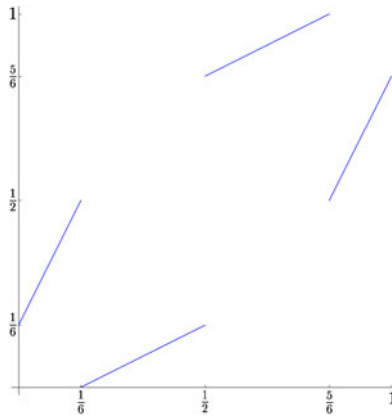
*Abstract.* We describe in this article the dynamics of a one-parameter family of affine interval exchange transformations. This amounts to studying the directional foliations of a particular dilatation surface introduced in Duryev *et al* [Affine surfaces and their Veech groups. *Preprint*, 2016, arXiv:1609.02130], the *Disco surface*. We show that this family displays various dynamical behaviours: it is generically *dynamically trivial* but for a Cantor set of parameters the leaves of the foliations accumulate to a (transversely) Cantor set. This study is achieved through analysis of the dynamics of the Veech group of this surface combined with a modified version of Rauzy induction in the context of affine interval exchange transformations.

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## 1. Introduction

An *affine interval exchange transformation* (or AIET) is a piecewise continuous bijection of the interval  $[0, 1]$  which is affine restricted to its intervals of continuity. It has been known since the work of Levitt [Lev82] that AIETs can display as complicated a topological behaviour as dimension one allows: it can either be asymptotically periodic, minimal or (and this is the surprising part) have an invariant quasiminimal Cantor set. In the latter case, the AIET would still be semi-conjugated to a minimal *linear* interval exchange transformation. In the spirit of generalizing the theory of circle diffeomorphisms to piecewise continuous bijection of the interval, Camelier and Gutierrez [CG97] began a

FIGURE 1. The graph of  $F$ .

study of the regularity of the conjugacy between affine and linear IET, pursued by Cobo [Cob02], Bressaud, Hubert and Maas [BHM10] and concluded by Marmi, Moussa and Yoccoz [MMY10] who proved that almost every linear IET can be semi-conjugated to an AIET with an invariant Cantor set, in sharp contrast with Denjoy theorem in the case of sufficiently regular diffeomorphisms of the circle.

The goal of this article is to initiate a systematic study of the generic dynamical behaviour in parameter families of AIETs. The standard result in the theory of circle diffeomorphisms is a theorem by Herman (see [Her77]) predicting that, for any (sufficiently regular) one-parameter family of circle diffeomorphisms, the set of minimal parameters has non-zero Lebesgue measure. On the other hand, it has been known since the seminal work of Peixoto (see [Pei59, Pei62]) that asymptotically periodic behaviour is *topologically generic* for flows on closed surfaces<sup>†</sup>, and a refinement of this theorem was proved by Lioussse [Lio95] for transversally affine foliations in the case of higher genus surfaces. We present in this article a one-parameter family of AIETs whose generic behaviour (in the measure theoretic sense) contrasts with the case of circle diffeomorphisms and Herman's theorem.

We consider the map  $F : D \rightarrow D$ , where  $D = [0, 1[$ , defined the following way:

$$\begin{aligned} \text{if } x \in [0, \frac{1}{6}[ \text{ then } F(x) &= 2x + \frac{1}{6}, \\ \text{if } x \in [\frac{1}{6}, \frac{1}{2}[ \text{ then } F(x) &= \frac{1}{2}(x - \frac{1}{6}), \\ \text{if } x \in [\frac{1}{2}, \frac{5}{6}[ \text{ then } F(x) &= \frac{1}{2}(x - \frac{1}{2}) + \frac{5}{6}, \\ \text{if } x \in [\frac{5}{6}, 1[ \text{ then } F(x) &= 2(x - \frac{5}{6}) + \frac{1}{2}. \end{aligned}$$

The map  $F$  is an *affine interval exchange transformation* (AIET) and one easily verifies that, for all  $x \in D$ ,  $F^2(x) = x$ . Its dynamical behaviour is therefore as simple as can be.

<sup>†</sup> Generalized interval exchange transformations, whose AIETs are particular cases, should be thought of as first return maps of flows on higher genus surfaces.

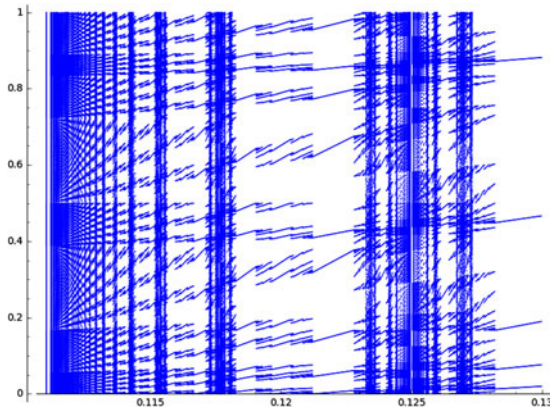


FIGURE 2. The  $\omega$ -limit of a random point for  $F_t$ , for  $0.11 \leq t \leq 0.13$ . Parameters with periodic orbits are open and dense, and can accumulate to seemingly minimal parameters.

Composing given maps by a family of linear rotations is a simple way to produce families of maps of the interval. Thus we consider the family  $(F_t)_{t \in S^1}$ , parameterized by  $S^1 = \mathbb{R}/\mathbb{Z}$  defined by

$$F_t = F \circ r_t$$

where  $r_t : [0, 1[ \rightarrow [0, 1[$  is the translation by  $t$  modulo 1.

The following definition is of crucial importance for what follows. It was introduced by Liousse in [Lio95] who proved that this dynamical behaviour is topologically generic for transversally affine foliations on surfaces.

Recall that the orbit of a point  $x$  under a map  $f$  is the set  $\mathcal{O}(x) = \{f^n(x) \mid n \in \mathbb{N}\}$  and its  $\omega$ -limit is the set of accumulation points of the sequence  $(f^n(x))_{n \in \mathbb{N}}$ .

*Definition 1.* We say that  $F_t$  is dynamically trivial if there exist two periodic points  $x^+, x^- \in D$  of orders  $p, q \in \mathbb{N}$  such that:

- $(F_t^p)'(x^+) < 1$ ;
- $(F_t^q)'(x^-) > 1$ ;
- for all  $z \in D$  which is not in the orbit of  $x^-$ , the  $\omega$ -limit of  $z$  is equal to  $\mathcal{O}(x^+)$  the orbit of  $x^+$ .

It means that the map  $F_t$  has two periodic orbits, one of which attracts all the other orbits but the other periodic orbit is repulsive. The following picture is the product of a numerical experiment representing periodic orbits in the family  $(F_t)$  and their bifurcations.

This article aims at highlighting that this one-parameter family of AIETs displays rich and various dynamical behaviours. The analysis developed in it, using tools borrowed from the theory of geometric structures on surfaces, leads to the following theorems.

**THEOREM 1.** *For Lebesgue-almost all  $t \in S^1$ ,  $F_t$  is dynamically trivial.*

Our theorem is somewhat a strengthening of Liousse’s theorem for this one-parameter family of AIETs and a counterexample to Herman’s in higher genus. Indeed, we prove that this genericity is also of measure theoretical nature and not only of topological nature

as is the case in Lioussé's work. It is also worth pointing out that a lot of parameters in this family correspond to attracting *exceptional minimal sets* (i.e. which are homeomorphic to a Cantor set).

**THEOREM 2.** *For all  $t$  in a Cantor set of parameters in  $S^1$  there exists a Cantor set  $C_t \subset D$  such that, for all  $x \in D$ , the  $F_t$   $\omega$ -limit of  $x$  is equal to  $C_t$ .*

The remaining parameters form a Cantor set denoted by  $\Lambda_\Gamma \subset S^1$ . This notation is borrowed from Fuchsian group theory as we will indeed see that this Cantor set is the limit set of a subgroup  $\Gamma < \text{PSL}(2, \mathbb{R})$ . For parameters in  $\Lambda_\Gamma$ , we have the following.

**THEOREM 3.** *Let  $\mathcal{H}$  be the set of points in  $\Lambda_\Gamma$  which are not fixed by a parabolic element of  $\Gamma$ . Then:*

- *for  $\theta \in \mathcal{H}$ , the foliation is not dynamically trivial;*
- *for  $\theta \in \Lambda_\Gamma \setminus \mathcal{H}$  the foliation is totally periodic.*

The foliations corresponding to directions in  $\mathcal{H}$  are also not totally periodic. Extensive computer experiments give evidence that these foliations are minimal.

**1.1. Outline of the paper.** Sections 2 and 3 are devoted to recalling geometric basics about dilation surfaces and to the study of the hidden symmetries of the family  $(F_t)$  using this geometric perspective. Section 4 is mostly independent of the rest of the article. Therein we explain how to generalize the reorganization procedure known as Rauzy–Veech induction to the context of piecewise contracting maps of the interval. This analysis allows the understanding of the dynamical behaviour of  $F_t$  for sufficiently many parameters so that we can rely on the aforementioned symmetries to reach almost every parameter, as we explain in §6.

**1.1.1. The Disco surface.** The first step of the proof consists of associating to the family  $(F_t)_{t \in S^1}$  a dilatation surface (see §2.1 for a precise definition) which we denote by  $\Sigma$ . As a dilatation surface,  $\Sigma$  is naturally endowed with a family of foliations which we call directional foliations. Again for the definition of these foliations we refer to §2.1. Our family of AIETs  $(F_t)_{t \in [0, 1[}$  and these foliations are linked by the fact that the directional foliation in direction  $\theta$  admits  $F_t$  as their first return map on a cross-section, for  $t = 6 / \tan \theta$ . In particular they share the same dynamical properties hence the study of the family  $F_t$  reduces to the study of the directional foliations of  $\Sigma$ .

**1.1.2. The Veech group of  $\Sigma$ .** The major outcome of this change of point of view is the appearance of hidden symmetries. Indeed, the surface  $\Sigma$  has a non-trivial group of affine symmetries, i.e. a non-trivial group of diffeomorphisms given in charts as an element of the affine group  $\text{GL}(2, \mathbb{R}) \rtimes \mathbb{R}^2$  of  $\mathbb{R}^2$ . All this material is defined in §2.2. Such a group of affine diffeomorphisms admits a natural representation in  $\text{SL}(2, \mathbb{R})$ ; we call the image of this representation the Veech group that we denote by  $\mathbf{V}_\Sigma$ . This new group naturally acts on the set of directions of  $\mathbb{R}^2$ . The directions  $\theta$  which are  $\text{SL}(2, \mathbb{R})$ -equivalent through  $\mathbf{V}_\Sigma$  correspond to two foliations which are conjugated thus sharing the same dynamical

behaviour. This remark will allow us to considerably reduce the number of parameters  $\theta$  (equivalently  $t$ ) that we need to analyse.

Using a standard construction of affine diffeomorphisms using flat cylinder decompositions recalled in §2.2.1, we show that the group  $\mathbf{V}_\Sigma$  is discrete and contains the following group:

$$\Gamma = \left\langle \begin{pmatrix} 1 & 6 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ \frac{3}{2} & 1 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} \right\rangle.$$

The matrix  $-\text{Id}$  belonging to  $\mathbf{V}_\Sigma$  it is natural to project  $\Gamma$  to  $\text{PSL}(2, \mathbb{R})$ . We will hereafter make the slight abuse of notation to denote the image of  $\Gamma$  by this projection  $\Gamma$  as well. This group is a Schottky group of rank 2. The study of this action is performed in §3 and leads to the following.

- There is a Cantor set  $\Lambda_\Gamma \subset \mathbb{RP}^1$  of measure zero on which  $\Gamma$  acts minimally ( $\Lambda_\Gamma$  is the limit set of  $\Gamma$ ). We will prove in the last section of this article that the foliations corresponding to directions  $\theta \in \Lambda_\Gamma$  are actually minimal.
- The action of  $\Gamma$  on  $\Omega_\Gamma = \mathbb{RP}^1 \setminus \Lambda_\Gamma$  is properly discontinuous and the quotient is homeomorphic to a circle ( $\Omega_\Gamma$  is the discontinuity set of  $\Gamma$ ). It allows us to identify a ‘small’ fundamental domain  $I \subset \mathbb{RP}^1$  such that the description of the dynamics of foliations in directions  $\theta \in I$  implies the description for every parameter in  $\Omega_\Gamma$  (which is an open set of full measure).

Note that the Cantor set  $\Lambda_\Gamma$  has nothing to do with the one described in Theorem 2. The latter is a subset of  $\Omega_\Gamma$ .

1.1.3. *Affine Rauzy–Veech induction.* The study of the directional foliations for  $\theta \in I$  reduces to the understanding of the dynamics of piecewise contracting affine 2-intervals maps. To perform the dynamical study of these applications we adapt in this 2-contracting intervals setting a well known reorganization procedure, the Rauzy–Veech induction. The outcome of this method may be summarized as follows.

- There is a Cantor set of measure zero of parameters  $\theta \in \Omega_\Gamma$  for which the associated foliation accumulates to a set which is locally a product of a Cantor set with an interval.
- Other directions in  $\Omega_\Gamma$  are dynamically trivial.

A remarkable corollary of the understanding of the dynamics of the directions in  $I$  is the complete description of  $\mathbf{V}_\Sigma$ .

**THEOREM 4.** *The Veech group of  $\Sigma$  is exactly  $\Gamma$ .*

The proof is a rather straightforward corollary of the dynamical description. We prove that the limit set of  $\mathbf{V}_\Sigma$  is actually the same as the one of  $\Gamma$ , and conclude using some elementary geometric arguments to prove that these groups are equal.

## 2. Dilatation surfaces and their Veech groups

We introduce in this section geometric objects which will play a role in this paper. This includes the definition of a dilatation surface, their associated foliations as well as their Veech groups, and the construction of the Disco surface and how it is linked to our family of AIETs. We also compute explicitly two elements of the Veech group of the Disco surface.

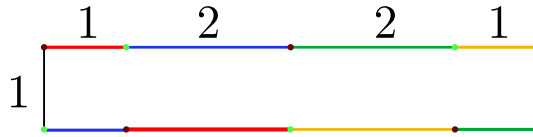


FIGURE 3. The surface  $\Sigma$ . Each side of the same colour is identified with the corresponding one by the unique complex affine map of the form  $z \mapsto az + b$  with  $a > 0$ .

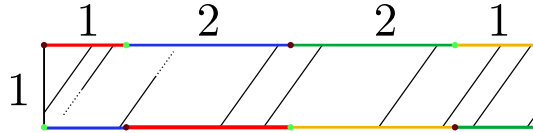


FIGURE 4. The surface  $\Sigma$  and a leaf of a directional foliation.  $c$

2.1. *Dilatation surfaces and their foliations.*

*Definition 2.* A dilatation surface is a surface  $\Sigma$  together with a finite set  $S \subset \Sigma$  and an atlas  $\mathcal{A} = (U_i, \varphi_i)_{i \in I}$  on  $\Sigma \setminus S$  whose charts  $\varphi_i$  take values in  $\mathbb{C}$  such that:

- the transition maps are local restrictions of elements of  $\text{Aff}_{\mathbb{R}_+^*}(\mathbb{C}) = \{z \mapsto az + b \mid a \in \mathbb{R}, a > 0, b \in \mathbb{C}\}$ ;
- each point of  $S$  has a punctured neighbourhood which is affinely equivalent to a punctured neighbourhood of the cone point of a Euclidean cone of angle an integer multiple of  $2\pi$  (i.e. the affine structure at a neighbourhood of a singular point  $p$  is the pull-back of that of  $\mathbb{C}$  by a map of the form  $z \mapsto z^k$  mapping  $p$  to 0 and the cone angle is  $2k\pi$ ).

We call an element of the set  $S$  a *singularity* of the dilatation surface  $\Sigma$ .

This definition is rather formal, and the picture one has to have in mind is that a dilatation surface is what one gets when you take a union of Euclidean polygon and glue together pairs of oriented parallel sides along the unique complex affine transformation that sends one to the other.

2.1.1. *The Disco surface.* The surface we are about to define will be the main object of interest of this text. It is the surface obtained after proceeding to the gluing below:

We call the resulting surface the ‘Disco’ surface. In the following  $\Sigma$  will denote this particular surface. This is a genus 2 dilatation surface which has two singular points of angle  $4\pi$ . They correspond to the vertices of the polygon drawn in Figure 4. Green (light) ones project onto one singular point and brown (dark) ones project onto the other.

2.1.2. *Foliations and saddle connections.* Together with a dilatation surface comes a natural family of foliations. Fix an angle  $\theta \in S^1$  and consider the trivial foliation of  $\mathbb{C}$  by straight lines directed by  $\theta$ . This foliation being invariant by the action of  $\text{Aff}_{\mathbb{R}_+^*}(\mathbb{C})$ , it is well defined on  $\Sigma \setminus S$  and extends at points of  $S$  to a singular foliation on  $\Sigma$  such that its singular type at a point of  $S$  is saddle-like. We denote this family of foliations by  $(\mathcal{F}_\theta)_{\theta \in S^1}$ .

A *saddle connection* on  $\Sigma$  is a singular leaf that goes from a singular point to another. The set of saddle connections of a dilatation surface is countable hence so is the set of directions having saddle connections.

In the case of the Disco surface, one can easily draw these foliations on its polygonal model: they correspond to the restriction of the directional foliations of  $\mathbb{R}^2$  to the polygon. Providing that  $\theta \neq 0$  is not horizontal one can check that the horizontal curve on the picture below is actually a cross-section for every foliation  $\mathcal{F}_\theta$  with  $\theta \neq 0$ .

The first return map  $\varphi_\theta$  of the foliation  $\mathcal{F}_\theta$  with respect to this cross-section satisfies

$$\varphi_\theta = F_t \quad \text{with } t = \frac{6}{\tan \theta}$$

where  $F_t$  is the map defined in the introduction.

2.2. *The Veech group of a dilatation surface.* Let  $\Sigma$  be a dilatation surface and  $g \in \text{Diff}^+(\Sigma)$  an *affine diffeomorphism* of  $\Sigma$ , namely a diffeomorphism which reads in dilation coordinates as an element of the affine group  $\text{GL}^+(2, \mathbb{R}) \times \mathbb{R}^2$  of  $\mathbb{R}^2$  with the standard identification  $\mathbb{C} \simeq \mathbb{R}^2$  (more explicitly, a map of the form

$$\begin{pmatrix} x \\ y \end{pmatrix} \mapsto A \begin{pmatrix} x \\ y \end{pmatrix} + B$$

where  $A \in \text{GL}_2^+(\mathbb{R})$  and  $B$  is a vector of  $\mathbb{R}^2$ ). We denote by  $\text{Affine}(\Sigma)$  the subgroup of  $\text{Diff}^+(\Sigma)$  of affine diffeomorphisms. The linear part in coordinates of an element of  $\text{Affine}(\Sigma)$  is well defined up to multiplication by a constant  $\lambda \in \mathbb{R}_+^*$ . This gives rise to a well-defined morphism:

$$\rho : \text{Affine}(\Sigma) \longrightarrow \text{SL}(2, \mathbb{R})$$

which to an affine diffeomorphism associates its *normalized* linear part. We call this morphism the *Fuchsian representation*.

*Remark 1.* It is important to understand that the fact that the image of  $\rho$  lies in  $\text{SL}(2, \mathbb{R})$  is somewhat artificial and that the space it naturally lies in is  $\text{GL}^+(2, \mathbb{R})/\mathbb{R}_+^*$ . In particular, when an element of the Veech group is looked at in charts, there is no reason the determinant of its derivative should be equal to 1, however natural the charts are.

*Definition 3.* The image of the Fuchsian representation  $\rho(\text{Affine}(\Sigma))$  is called the *Veech group* of  $\Sigma$  and is denoted by  $\mathbf{V}_\Sigma$ . The Veech group naturally acts on the circle  $\mathbb{S}^1$ , and we will refer hereafter to this action as the *projective action* of the Veech group.

The key point is that such an affine diffeomorphism  $g$  maps the  $\theta$ -directional foliation onto the foliation associated with the direction  $\rho(g)(\theta)$ ; in particular these two foliations are conjugated and therefore have same dynamical behaviour. This allows us to reduce the amount of directional foliations to study to the set of parameters corresponding to the quotient of the circle  $S^1$  by the projective action of the Veech group.

2.2.1. *About the Veech group of  $\Sigma$ .* This subsection is devoted to computing two elements of the Veech group. We utilize a method which is standard for translation surface, which consists of decomposing  $\Sigma$  into flat cylinders of commensurable moduli and to let the multi-twist associated act affinely on each cylinder as a parabolic element.

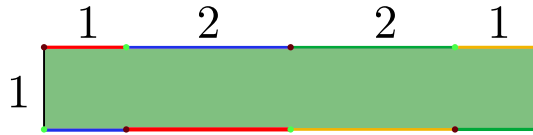


FIGURE 5. Cylinder decomposition in the horizontal direction.

*Flat cylinders.* A flat cylinder is the dilatation surface you get when gluing two opposite sides of a rectangle. The height of the cylinder is the length of the sides glued together and its width is the length of the non-glued sides, that is the boundary components of the resulting cylinder. Of course, only the ratio of these two quantities is actually a well-defined invariant of the flat cylinder, seen as a dilatation surface. More precisely, we define

$$m = \frac{\text{width}}{\text{height}}$$

and call this quantity the modulus of the associated flat cylinder.

If  $C$  is a cylinder of modulus  $m$ , there is an element of  $f \in \text{Affine}(C)$  which has the following properties.

- $f$  is the identity on  $\partial C$ ;
- $f$  acts as a unique Dehn twist of  $C$ ;
- the matrix associated with  $f$  is  $\begin{pmatrix} 1 & m \\ 0 & 1 \end{pmatrix}$ , if  $\partial C$  is assumed to be in the horizontal direction.

*Decomposition in flat cylinders and parabolic elements of the Veech group.* We say a dilatation surface  $\Sigma$  has a decomposition in flat cylinders in a given direction (say the horizontal one) if there exist a finite number of saddle connections in this direction whose complement in  $\Sigma$  is a union of flat cylinders. If additionally the flat cylinders have commensurable moduli, the Veech group of  $\Sigma$  contains the matrix

$$\begin{pmatrix} 1 & m' \\ 0 & 1 \end{pmatrix}$$

where  $m'$  is the smallest common multiple of all the moduli of the cylinders appearing in the cylinder decomposition. If the decomposition is in another direction  $\theta$ , the Veech group actually contains the conjugate of this matrix by a rotation of angle  $\theta$ . Moreover, an affine diffeomorphism realizing this matrix is a Dehn twist along the multicurve made of all the simple closed curves associated with each of the cylinders of the decomposition.

*Calculation of elements of the Veech group of  $\Sigma$ .* The above paragraph allows us to bring to light two parabolic elements in  $\mathbf{V}_\Sigma$ . Indeed,  $\Sigma$  has two cylinder decompositions in the horizontal and vertical direction.

- The decomposition in the horizontal direction has one cylinder of modulus 6, represented in Figure 5 below.

Applying the discussion of the last paragraph, we get that the matrix  $\begin{pmatrix} 1 & 6 \\ 0 & 1 \end{pmatrix}$  belongs to  $\mathbf{V}_\Sigma$ .

- The decomposition in the vertical direction has two cylinders, both of modulus  $\frac{3}{2}$ , represented in Figure 6 below.

Again, we get that the matrix  $\begin{pmatrix} 1 & 0 \\ \frac{3}{2} & 1 \end{pmatrix}$  belongs to  $\mathbf{V}_\Sigma$ .



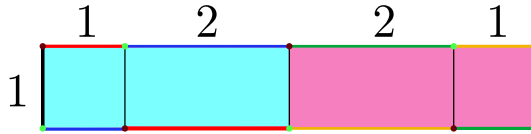


FIGURE 6. Cylinder decomposition in the vertical direction.

Finally notice that both the polygon and the gluing pattern we used to build  $\Sigma$  are invariant by the rotation of angle  $\pi$ , which implies that the matrix

$$\begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$$

is realized by an involution in  $\text{Affine}(\Sigma)$ . Putting all the pieces together we get the following.

PROPOSITION 1. *The group*

$$\left\langle A = \begin{pmatrix} 1 & 6 \\ 0 & 1 \end{pmatrix}, B = \begin{pmatrix} 1 & 0 \\ \frac{3}{2} & 1 \end{pmatrix}, -\text{Id} \right\rangle$$

is a subgroup of  $\mathbf{V}_\Sigma$ .

### 3. The hyperbolic geometry of $\Gamma$

3.1. *The subgroup  $\Gamma$ .* We computed in §2 three elements  $A$ ,  $B$  and  $-\text{Id}$  of the Veech group of  $\Sigma$ . The presence of the matrix  $-\text{Id}$  in  $\mathbf{V}_\Sigma$  indicates that directional foliations on the surface  $\Sigma$  are invariant by reversing orientation. This motivates the study of the Veech group action on  $\mathbb{RP}^1 := S^1 / -\text{Id}$  instead of  $S^1$ .

We will often identify  $\mathbb{RP}^1$  with the interval  $[-\pi/2, \pi/2)$  by using projective coordinates:

$$\begin{aligned} \mathbb{RP}^1 &\rightarrow \left[-\frac{\pi}{2}, \frac{\pi}{2}\right], \\ \left[ \begin{pmatrix} x \\ y \end{pmatrix} \right] &\mapsto \arctan\left(\frac{x}{y}\right). \end{aligned}$$

At the level of the Veech group it means projecting it to  $\text{PSL}_2(\mathbb{R})$  by the canonical projection  $\pi$ . Let us denote by  $\Gamma \subset \pi(\mathbf{V}_\Sigma) \subset \text{PSL}_2(\mathbb{R})$  the group generated by the following two elements:

$$\Gamma := \left\langle A = \begin{pmatrix} 1 & 6 \\ 0 & 1 \end{pmatrix}, B = \begin{pmatrix} 1 & 0 \\ \frac{3}{2} & 1 \end{pmatrix} \right\rangle.$$

We will study the group  $\Gamma$  as a Fuchsian group, that is a *discrete* group of isometries of the real hyperbolic plane  $\mathbb{H}^2$ . For the action of a Fuchsian group  $\Phi$  on  $\mathbb{RP}^1$ , there are two invariant subsets which we will distinguish:

- one called its *limit set* on which  $\Phi$  acts minimally and that we will denote by  $\Lambda_\Phi \subset \mathbb{RP}^1$ ;
- the complement of  $\Lambda_\Phi$  which is called its *discontinuity set*, on which  $\Phi$  acts properly and discontinuously and which we will denote by  $\Omega_\Phi$ .

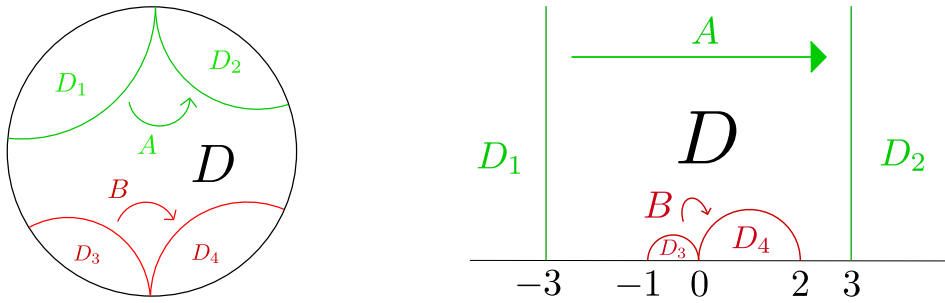


FIGURE 7. A fundamental domain for the action of the group  $\Gamma$  acting on the hyperbolic plane.

We will give precise definitions in §3.3. In restriction to the discontinuity set, one can form the quotient by the action of the group. The topological space  $\Omega_\Phi/\Phi$  is a manifold of dimension one; a collection of real lines and circles.

We will show in Proposition 4 that for the group  $\Gamma$  this set is a single circle, and therefore a fundamental domain  $I$  for the action of the group  $\Gamma$  can be taken to be a single interval (we will make it explicit:  $I = [\arctan(1), \arctan(4)] \subset [0, \pi[\simeq \mathbb{R}P^1$ ). The dynamics of the directional foliations in the directions  $\theta$  belonging to the interior of the interval  $I$  will be studied in §4.

*Remark 2.* We will prove in §6 that the group  $\Gamma$  is actually equal to the full Veech group of the surface  $\Sigma$ .

3.2. *The action of the group  $\Gamma$  on  $\mathbb{H}$ .* Two hyperbolic isometries  $A, B \in \text{Isom}_+(\mathbb{H}^2)$  are said to be in *Schottky position* if the following condition holds.

There exist four disjoint domains  $D_i, 1 \leq i \leq 4$  which satisfy

$$A(D_1^c) = D_2 \quad B(D_3^c) = D_4$$

where  $D_i^c$  denotes the complementary set of  $D_i$ .

A group generated by two elements in Schottky position is also called a *Schottky group*. Figure 7 illustrates this situation.

**PROPOSITION 2.** *The group  $\Gamma$  is Schottky. Moreover the surface  $M_\Gamma$  is a three-punctured sphere with two cusps and one end of infinite volume.*

*Proof.* Viewed in the upper half plane model of  $\mathbb{H}^2$  the action is easily shown to be Schottky. In fact the action of  $A$  (respectively  $B$ ) becomes  $z \mapsto z + 6$  (respectively  $z \mapsto (z)/(3z/2) + 1$ ). The two matrices are parabolic and fix  $\infty$  and  $0$  respectively. Moreover, we observe that  $A(-3) = 3$  and  $B(-1) = 2$ . Figure 7 below shows that the two matrices  $A$  and  $B$  are in Schottky position with associated domains  $D_i$  for  $1 \leq i \leq 4$ .

The domain  $D$  of Figure 7 is a fundamental domain for the action of  $\Gamma$ . The isometry  $A$  identifies the two green (light) boundaries of  $D$  together and  $B$  the two red (dark) ones. The quotient surface is homeomorphic to a three-punctured sphere.  $\square$

3.3. *The limit set and the discontinuity set.* The following notion will play a key role in our analysis of the affine dynamics of the surface  $\Sigma$ .

*Definition 4.* The limit set  $\Lambda_\Phi \subset S^1$  of a Fuchsian group  $\Phi$  is the set of accumulation points in  $\mathbb{D} \cup S^1$  of any orbit  $\Phi \cdot \{z_0\}$ ,  $z_0 \in \mathbb{D}^2$  where  $\mathbb{D} \subset \mathbb{C}$  is the disk model for the hyperbolic plane  $\mathbb{H}^2$ .

The complementary set of the limit set is a good tool to understand the infinite volume part of such a surface.

*Definition 5.* The complementary set  $\Omega_\Phi := S^1 \setminus \Lambda_\Phi$  is by definition the *set of discontinuity* of the action of  $\Gamma$  on the circle.

The group  $\Phi$  acts properly and discontinuously on the set of discontinuity. One can thus form the quotient space  $\Omega_\Phi / \Phi$  which is a manifold of dimension one; a collection of circles and real lines. These sets are very well understood for Schottky groups thanks to the ping-pong lemma; for further details and developments see [Dal11, Ch. 4].

PROPOSITION 3. (Ping-pong lemma) *A Schottky group is freely generated by any two elements in Schottky position and its limit set is homeomorphic to a Cantor set.*

The following theorem will be used in 6 to prove our main Theorem 1.

THEOREM 5. (Ahlfors, [Ahl66]) *A finitely generated Fuchsian group satisfies the following alternative:*

- (1) *either its limit set is the full circle  $S^1$ ;*
- (2) *or its limit set is of zero Lebesgue measure.*

In our setting, it is clear that the limit set is not the full circle, thus the theorem implies that the limit set of  $\Gamma$  is of zero Lebesgue measure.

3.4. *The action on the discontinuity set and the fundamental interval.* The following proposition is the ultimate goal of this section.

PROPOSITION 4. *The quotient space*

$$\Omega_\Gamma / \Gamma$$

*is a circle. A fundamental domain for the action of  $\Gamma$  on  $\Omega_\Gamma$  corresponds to the interval of slopes  $I = [\arctan(\frac{1}{4}), \arctan(1)]$ .*

Foliations defined by slopes which belong to this precise interval will be studied in §4. To prove this proposition we will use the associated hyperbolic surface  $M_\Gamma$  and link its geometrical and topological properties to the action of the group  $\Gamma$  on the circle.

The definition of the limit set itself implies that it is invariant by the Fuchsian group. One can therefore seek a geometric interpretation of such a set on the quotient surface. We will consider the smallest convex set (for the hyperbolic metric) which contains all the geodesics which start and end in the limit set  $\Lambda_\Gamma$ . We denote it by  $C(\Lambda_\Gamma)$ . Because the group  $\Gamma$  is a group of isometries it preserves  $C(\Lambda_\Gamma)$ .

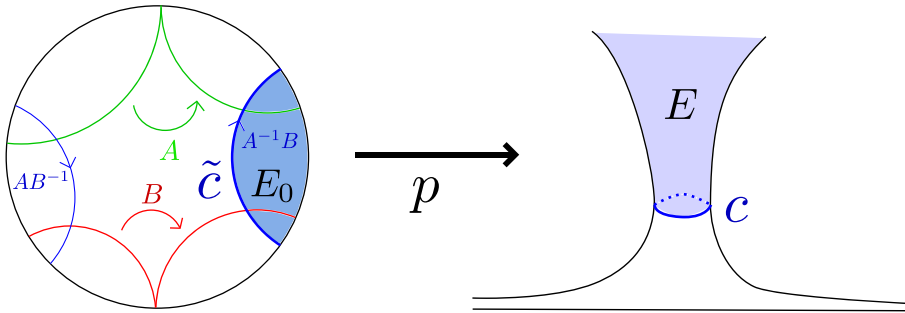


FIGURE 8. The closed geodesic  $c$  cuts the surface  $M_\Gamma$  into two pieces. The coloured part is the only infinite volume end and its complementary is the convex hull. The choice of a lift  $\tilde{c}$  of the geodesic  $c$  made on the picture allows us to describe the isometry which translate along  $\tilde{c}$  in terms of the generators of the group  $\Gamma$ . Indeed the pairing of the edges of the fundamental domain given by the action of the group  $\Gamma$  shows that the geodesic  $\tilde{c}$  is the translation axes of the matrix  $A^{-1}B$ .

*Definition 6.* The *convex hull* of a hyperbolic surface  $M_\Gamma$ , denoted by  $C(M_\Gamma)$  is defined as follows.

$$C(M_\Gamma) := C(\Lambda_\Gamma) / \Gamma.$$

As a quotient of a  $\Gamma$ -invariant subset of  $\mathbb{H}^2$ ,  $C(M_\Gamma)$  is a subset of the surface  $M_\Gamma$ . The convex hull of a Fuchsian group is a surface with geodesic boundary; moreover if the group is finitely generated the convex hull has to be of finite volume. As a remark, a Fuchsian group is a lattice if and only if we have the equality  $C(M_\Gamma) = M_\Gamma$ . In the special case of the Schottky group  $\Gamma$  the convex hull is a surface whose boundary is a single closed geodesic as shown in Figure 8. For a finitely generated group we will see that we have a one-to-one correspondence between connected components of the boundary of the convex hull and connected components of the quotient of the discontinuity set by the group.

The following lemma is the precise formulation of what we discussed above.

**LEMMA 1.** *Let  $\Gamma$  be a finitely generated Fuchsian group. Any connected component  $I_0$  of the discontinuity set  $\Omega_\Gamma$  is stabilized by a cyclic group generated by a hyperbolic isometry  $\gamma_0$ . Moreover  $\partial I_0$  is composed of the two fixed points of the isometry  $\gamma_0$ .*

We will keep the notation introduced in Figure 8. We start by showing that for any choice of a lift  $\tilde{c}$  in the universal cover of a geodesic  $c$  in the boundary of the convex hull one can associate an isometry verifying the properties of Lemma 1. Let  $c$  be a closed geodesic consisting of a connected component of the boundary of the convex hull of  $M_\Gamma$ . One can choose a lift  $\tilde{c}$  of such a geodesic in the universal cover. The geodesic  $\tilde{c}$  is the axis of some hyperbolic isometry  $\Phi$ , whose fixed points are precisely the intersection of  $\tilde{c}$  with the circle. As an element of the boundary of  $C_\Gamma$  it cuts the surface  $M_\Gamma$  into two pieces:  $C_\Gamma$  and an end  $E$ . One can check that this isometry  $\Phi$  is exactly the stabilizer of the connected component  $E_0$  of  $p^{-1}(E)$  whose boundary is the geodesic  $\tilde{c}$ . Therefore such an isometry stabilizes the connected component of the discontinuity set given by the endpoints of the geodesic  $\tilde{c}$ . We have shown that given a boundary component of  $C_\Gamma$  one can associate an element (in fact a conjugacy class) of the group  $\Gamma$  which stabilizes a connected component

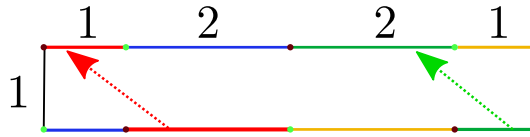


FIGURE 9. Attractive leaf on the left, repulsive on the right.

of  $\Omega_\Gamma$ . We will not show how to associate a geodesic in the boundary of the convex hull to a connected component of the discontinuity set.

*Remark 3.* We want to put the emphasis on the fact the assumption that the group is finitely generated will be used here. The key point is the geometric finiteness theorem [Kat92, Theorem 4.6.1] which asserts that any finitely generated group is also *geometrically finite*. It means that the action of such a group admits a polygonal fundamental domain with finitely many edges. It is not difficult to exhibit from such a fundamental domain the desired geodesic by looking at the pairing induced by the group, as is done in Figure 8 for our Schottky group.

**COROLLARY 1.** *Connected components of  $\Omega_\Gamma / \Gamma$  are in one-to-one correspondence with the infinite volume end of the surface  $\mathbb{H}^2 / \Gamma$ .*

We now have all the materials needed to prove Proposition 4.

*Proof of Proposition 4.* Because the surface  $\mathbb{H}^2 / \Gamma$  has only one end of infinite volume Corollary 1 gives immediately that  $\Omega_\Gamma / \Gamma$  is a single circle. Proof of the second part of Proposition 4 consists of a simple matrix computation. Figure 8 gives explicitly the elements of the group  $\Gamma$  which stabilize a connected component of the discontinuity set. We then have to prove the following:

$$\left[ AB^{-1} \begin{pmatrix} 1 \\ 1 \end{pmatrix} \right] = \left[ \begin{pmatrix} 4 \\ 1 \end{pmatrix} \right]$$

where  $[X]$  is the projective class of the vector  $X$ . The computation is easy:

$$\begin{aligned} AB^{-1} \begin{pmatrix} 1 \\ 1 \end{pmatrix} &= \begin{pmatrix} -8 & 6 \\ -\frac{3}{2} & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \end{pmatrix} \\ &= \begin{pmatrix} -2 \\ -\frac{1}{2} \end{pmatrix}. \quad \square \end{aligned}$$

#### 4. Generic directions and Rauzy induction

The boundary of  $\mathbb{H}$  is canonically identified with  $\mathbb{RP}^1$  through the natural embedding  $\mathbb{H} \rightarrow \mathbb{CP}^1$ . Recall that the action of  $\text{PGL}(2, \mathbb{C})$  by Möbius transformations on  $\mathbb{C}$  is induced by matrix multiplication on  $\mathbb{CP}^1$  after identification with  $\mathbb{C}$  by the dilatation chart  $z \rightarrow [z : 1]$ . Thus the action of matrices of the Veech group on the set of directions corresponds to the action of these matrices as homographies on the boundary of  $\mathbb{H}$ .

We notice straight away that, for directions  $[t : 1]$  with  $t$  between 1 and 2, there is an obvious attractive leaf of dilatation parameter  $1/2$  (see Figure 9). There is also a repulsive

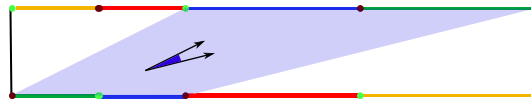


FIGURE 10. The stable subsurface.

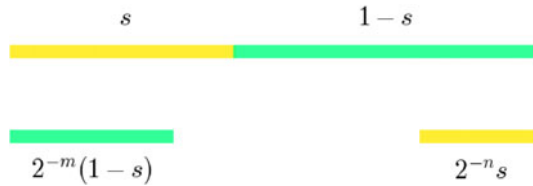


FIGURE 11. Geometric representation of an element of  $\mathcal{I}(m, n)$ .

closed leaf in this direction. This will always be the case since  $-\text{Id}$  is in the Veech group, sending attractive closed leaves to repulsive closed leaves.

In the following we will describe dynamics of the directional foliation for  $t$  between 2 and 4. According to Section 2.2.1 the interval of direction  $[1, 4]$  is a fundamental domain for the action of  $\Gamma$  on  $\Omega_\Gamma$  its discontinuity set. Moreover this discontinuity set has full Lebesgue measure in the set of directions thus understanding the dynamical behaviour of a typical direction therefore amounts to understanding it for  $t \in [1, 4]$ . Further discussion on what happens in other directions will be carried out in the next section.

**4.1. Reduction to Affine injections.** The directions for  $t \in [2, 4]$  have an appreciable property; they correspond to the directions of a subsurface invariant under the (oriented) foliation represented in Figure 10. Every leaf in the given angular set of directions that enters the subsurface will stay trapped in it thereafter. We therefore seek attractive closed leaves in this subset. To do so, take a horizontal interval joining the boundary components of this invariant subsurface and consider the first return map on it. It has a specific form (close to an affine interval exchange) which we will study in this section.

In the following, we use the notation AI to refer to a piecewise affine injection on an interval. For any  $m, n \in \mathbb{N}$ , let  $\mathcal{I}(m, n)$  be the set of AIs defined on  $[0, 1]$  with two intervals on which it is affine and such that the image of the left interval is an interval of its length divided by  $2^n$  which rightmost point is 1, and that the image of its right interval is an interval of its length divided by  $2^m$  which leftmost point is 0 (see Figure 11 for such an AI defined on  $[0, 1]$ ). When representing an AI, we will colour the intervals on which it is affine in different colours, and represent a second interval on which we colour the image of each interval with the corresponding colour; this will be sufficient to characterize the map. The geometric representation motivates the fact that we call the former and latter set of intervals the *top* and *bottom intervals*.

Note that the cross-sections defined on the subsurface of Figure 10 are in  $\mathcal{I}(1, 1)$ . We will study the dynamical behaviour of this family of AI.

4.2. *Rauzy–Veech induction.* Let  $T$  be an AI and  $D$  be its interval of definition. The first return map on a subinterval  $D' \subset D$ ,  $T' : D' \rightarrow D'$  is defined for every  $x \in D'$  as follows:

$$T'(x) = T^{n_0}(x) \quad \text{where } n_0 = \inf\{n \geq 1 \mid T(\alpha)^n(x) \in D'\}.$$

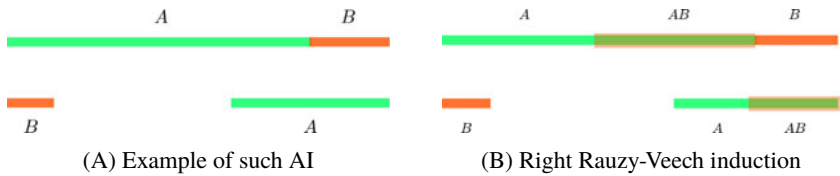
Since we have no information on the recurrence properties of an AI this first return map is a priori not defined on an arbitrary subinterval. Nonetheless generalizing a wonderful algorithm of Rauzy [Rau79] for IETs, we get a family of subintervals on which this first return map is well defined. Associating to an AI its first return map on this well-chosen smaller interval will be called the Rauzy–Veech induction.

The general idea in the choice of this interval is to consider the smallest of the top and bottom intervals at one end of  $D$  (left or right) the interval of definition. We then consider the first return map on  $D$  minus this interval.

In the following we describe explicitly the induction for the simple family  $\mathcal{I}(m, n)$ . A general and rigorous definition of Rauzy–Veech induction in the more general context of both AIs and AIETs is certainly possible with a lot of interesting questions emerging but is beyond the scope of this article.

Assume now that  $T$  is an element of  $\mathcal{I}(m, n)$ , let  $A, B \subset D$  be the left and right top intervals of  $T$ , and  $\lambda_A, \lambda_B$  their length. Several distinct cases can happen.

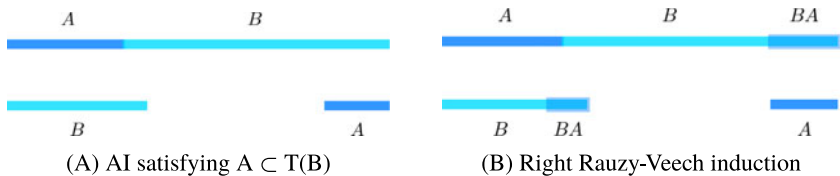
- (1) (a)  $B \subset T(A)$ , i.e.  $\lambda_B \leq 2^{-n}\lambda_A$ .



We consider the first return map on  $D' = D - B$ .  $T^{-1}(B)$  of length  $2^n\lambda_B$  has no direct image by  $T$  in  $D'$  but  $T(B) \subset D'$ . Thus for the first return map, this interval will be sent directly to  $T(B)$  dividing its length by  $2^{n+m}$ . We call this a right Rauzy–Veech induction of our AI. The new AI is in  $\mathcal{I}(m + n, n)$ , and its length vector  $(\lambda'_A, \lambda'_B)$  satisfies

$$\begin{pmatrix} \lambda'_A \\ \lambda'_B \end{pmatrix} = \overbrace{\begin{pmatrix} 1 & -2^n \\ 0 & 2^n \end{pmatrix}}^{R_{m,n}} \begin{pmatrix} \lambda_A \\ \lambda_B \end{pmatrix}.$$

- (b) If  $A \subset T(B)$ , i.e.  $2^{-m}\lambda_B \geq \lambda_A$ .



In this case, the right Rauzy–Veech induction is not well-defined so therefore we consider the first return map on  $D' = D - A$  which we call the left Rauzy–Veech induction of our AI. We obtain a new AI in  $\mathcal{I}(m, n + m)$  and its length

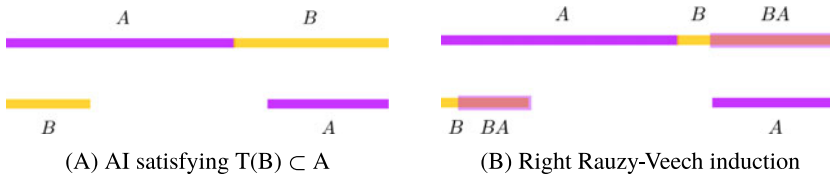
vector  $(\lambda'_A, \lambda'_B)$  satisfies the following.

$$\begin{pmatrix} \lambda'_A \\ \lambda'_B \end{pmatrix} = \overbrace{\begin{pmatrix} 2^m & 0 \\ -2^m & 1 \end{pmatrix}}^{L_{m,n}} \begin{pmatrix} \lambda_A \\ \lambda_B \end{pmatrix}.$$

Note that the two subcases presented above are mutually exclusive since the considered maps are strictly contracting.

- (2)  $T(A) \subset B$ , i.e.  $\lambda_B \geq 2^{-n}\lambda_A$  and  $T(B) \subset A$ , i.e.  $2^{-m}\lambda_B \leq \lambda_A$ .

We consider the first return map on the subinterval  $D' = D - T(A)$ . Then  $A$  has no direct image by  $T$  in  $D'$  but  $T^2(A) \subset T(B) \subset D'$ . Thus in the first return map, this interval will be sent directly to  $T^2(A)$  dividing its length by  $2^{n+m}$ .



Then  $T^2(A) \subset A$  thus the induced map has an attractive fixed point of derivative  $2^{-n-m}$ .

*Remark 4.* The set of length for which we apply left or right Rauzy–Veech induction in the above trichotomy is exactly the set on which lengths  $\lambda'_A$  and  $\lambda'_B$  implied by the above formulas are both positive.

More precisely,

$$0 \leq \lambda_B \leq 2^{-n}\lambda_A \iff R_{m,n} \cdot \begin{pmatrix} \lambda_A \\ \lambda_B \end{pmatrix} \geq 0,$$

and

$$0 \leq 2^{-m}\lambda_B \leq \lambda_A \iff L_{m,n} \cdot \begin{pmatrix} \lambda_A \\ \lambda_B \end{pmatrix} \geq 0.$$

This will be useful later on to describe the set of parameters which correspond to the sequence of induction moves we apply.

*The algorithm.* We define in what follows an algorithm based on Rauzy induction that will allow us to determine if an element of  $\mathcal{I}(1, 1)$  has an attractive periodic orbit; and if so the length of its periodic orbit (or equivalently the dilatation coefficient of the associated leaf in  $\Sigma$ ). The algorithm goes the following way.

The entry is an element of  $\mathcal{I}(m, n)$ .

- (1) If the entry is in case (1), perform in case (a) the right Rauzy induction  $R$  or in case (b) the left Rauzy induction  $L$  to obtain an element of  $\mathcal{I}(m + n, n)$  or  $\mathcal{I}(m, n + m)$  respectively. Repeat the loop with this new element.
- (2) If it is in case (2), it means that the first return map on a well-chosen interval has a periodic attractive point of derivative  $2^{-m-n}$ . The algorithm stops.

Alongside the procedure comes a sequence of symbols  $R$  and  $L$  keeping track of whether we have performed the Rauzy induction on the left or on the right at the  $n$ th stage.



This sequence is finite if and only if the algorithm described above finishes. An interesting phenomenon will happen for AI for which the induction never stops, and will be described later.

4.3. *Directions with attractive closed leaf.* In the directions of Figure 10 corresponding to parameters in [2, 4] in projective coordinates, we consider the first return map of the directional foliation on the interval given by the two length 1 horizontal intervals at the bottom of the rectangle. We have chosen directions such that the first return map is well defined although it is not bijective, and it belongs to  $\mathcal{I}(1, 1)$ . The ratio of the two top intervals lengths will vary smoothly between 0 and  $\infty$  depending on the direction we choose. We parameterize this family of AI by  $s \in I := [0, 1]$ , where  $(s, 1 - s)$  is the length vector of the element of  $\mathcal{I}(1, 1)$  we get. The purpose of this section is to characterize the subspace  $H \subset I$  for which the above algorithm stops, in particular they correspond to AI with a periodic orbit. The case of  $I - H$  will be settled in the next subsection.

We describe for any finite word in the alphabet  $\{L, R\}$ ,  $w = w_1 \dots w_{l-1}$ , the subset of parameters  $H(w) \subset H \subset I$  for which the algorithm stops after the sequence  $w$  of Rauzy–Veech induction moves.

We associate to  $w$  the sequences  $n_1 = 1, \dots, n_l$ ,  $m_1 = 1, \dots, m_l$  and  $M_1 = Id, \dots, M_l$  defined by the following recursive properties:

$$m_{i+1} = \begin{cases} m_i & \text{if } w_i = L, \\ n_i + m_i & \text{if } w_i = R, \end{cases} \quad n_{i+1} = \begin{cases} n_i + m_i & \text{if } w_i = L, \\ n_i & \text{if } w_i = R, \end{cases}$$

$$M_{i+1} = \begin{cases} L_{m_i, n_i} \cdot M_i & \text{if } w_i = L, \\ R_{m_i, n_i} \cdot M_i & \text{if } w_i = R. \end{cases}$$

Let  $s \in I$  such that we can apply Rauzy–Veech inductions corresponding to  $w$  to the element of  $\mathcal{I}(1, 1)$  of lengths  $(s, 1 - s)$ . The induced AI after all the steps of the induction is in  $\mathcal{I}(m_l, n_l)$  and its length vector is

$$M_l \cdot \begin{pmatrix} s \\ 1 - s \end{pmatrix} := \begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot \begin{pmatrix} s \\ 1 - s \end{pmatrix} = \begin{pmatrix} (a - b)s + b \\ (c - d)s + d \end{pmatrix}.$$

Following Remark 4, the property of  $s$  being such that we can apply all the Rauzy–Veech inductions corresponding to  $w$  to the initial AI in  $\mathcal{I}(1, 1)$  is equivalent to  $(a - b)s + b \geq 0$  and  $(c - d)s + d \geq 0$ . An induction on  $M_i$  shows that it is an integer matrix with  $a, d \geq 0$ ,  $b, c \leq 0$ , hence  $s \in [-b/(a - b), d/(d - c)] =: I(w)$ .  $H(w)$  will be the central subinterval of  $I(w)$  for which the induced AI in  $\mathcal{I}(m_l, n_l)$  is in case (2).

Consider the sets

$$H_k := \bigcup_{|w| \leq k} H(w) \quad \text{and} \quad H = \bigcup_k H_k.$$

Notice that  $H$  has the same construction as the complement of the Cantor triadic set; each  $H_k$  is constructed from  $H_{k-1}$  by adding an interval in the interior of each interval which is a connected component of  $I - \bigcup_{j < k} H_j$ .

The rest of the subsection aims now at proving the following lemma.

LEMMA 2.  $H \subset I$  has full Lebesgue measure.

As a preliminary we need the following lemma which will be used later on in the proof.

LEMMA 3. For any word  $w$  in  $\{R, L\}$ , if  $M(w) = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , we have

$$2^{-1} \leq x = \frac{a - b}{d - c} \leq 2.$$

*Proof.* The proof goes by induction on the length of  $w$ . Let us assume that  $2^{-1} \leq (a - b)/(d - c) \leq 2$  for some  $w$ . We denote by

$$\begin{pmatrix} a' & b' \\ c' & d' \end{pmatrix} = R_{m,n} \cdot \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} a - 2^n c & b - 2^n d \\ 2^n c & 2^n d \end{pmatrix}.$$

Thus  $(a' - b')/(d' - c') = 2^{-n}(a - b)/(d - c) + 1$  from which the inequality follows:

$$\begin{pmatrix} a' & b' \\ c' & d' \end{pmatrix} = L_{m,n} \cdot \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 2^m a & 2^m b \\ c - 2^m a & d - 2^m b \end{pmatrix}.$$

The inequality is similar to the previous one. □

*Proof of Lemma 2.* We will prove in the following that, for any non-empty word  $w$ ,

$$\frac{|H(w)|}{|I(w)|} \geq \delta \tag{4.1}$$

for some  $\delta > 0$ . Thus at each step  $k$ ,  $H_k$  is at least a  $\delta$ -proportion larger in Lebesgue measure than  $H_{k-1}$ . This implies the lemma because

$$\lambda(H) \geq \lambda(H_k) \geq 1 - \delta^k \quad \text{for any } k.$$

We now show inequality (4.1). Let  $w$  be any finite word in the alphabet  $\{L, R\}$ . For convenience we normalize the interval  $I(w)$  for such that it is  $[0, 1]$ . We denote by  $(\lambda_A(s), \lambda_B(s))$  the length vector of the AI induced by the sequence  $w$  of Rauzy–Veech inductions. These two lengths are linear functions of  $s$ ,  $\lambda_A$  is zero at the left end of the interval and  $\lambda_B$  is zero at the right end. As a consequence, these two functions have the form  $\lambda_A(s) = \alpha s$  and  $\lambda_B(s) = \beta(1 - s)$  for  $s \in [0, 1]$ , where  $\alpha$  and  $\beta$  are the maximal values of  $\lambda_A$  and  $\lambda_B$  respectively equal to according to the previous computations:

$$\alpha = (a - b) \frac{d}{d - c} + b = \frac{ad - bd + bd - bc}{d - c} = \frac{\det(M_I)}{d - c}$$

and

$$\beta = (c - d) \frac{-b}{a - b} + d = \frac{-bc + bd + da - db}{a - b} = \frac{\det(M_I)}{a - b}.$$

We see that  $\lambda_A(s) = 2^{-m} \lambda_B(s) \iff 2^m \alpha s = \beta(1 - s) \iff s = \beta / (2^m \alpha + \beta)$  and similarly  $\lambda_B(s) = 2^{-n} \lambda_A(s) \iff 2^n \beta(1 - s) = \alpha s \iff s = (2^n \beta) / (\alpha + 2^n \beta)$ . Hence

$$\lambda_A(s) \leq 2^{-m} \lambda_B(s) \iff s \in \left[ 0, \frac{\beta}{2^m \alpha + \beta} \right]$$

and

$$\lambda_B(s) \leq 2^{-n} \lambda_A(s) \iff s \in \left[ \frac{\beta}{2^{-n} \alpha + \beta}, 1 \right]$$

thus

$$H(w) = \left[ \frac{\beta}{2^m \alpha + \beta}, \frac{\beta}{2^{-n} \alpha + \beta} \right].$$

If we denote by  $x = \alpha/\beta = (a - b)/(d - c)$ ,

$$\frac{|H(w)|}{|I(w)|} = \frac{1}{1 + 2^{-n}x} - \frac{1}{1 + 2^m x}.$$

Lemma 3 implies directly that

$$\frac{|H(w)|}{|I(w)|} \geq \frac{1}{1 + 2^{-n+1}} - \frac{1}{1 + 2^{m-1}}.$$

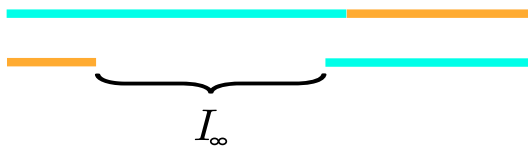
Hence for  $w$  not empty, either  $n \geq 2$  or  $m \geq 2$  thus either

$$\frac{|H(w)|}{|I(w)|} \geq \frac{2}{3} - \frac{1}{2} = \frac{1}{6} \quad \text{or} \quad \frac{|H(w)|}{|I(w)|} \geq \frac{1}{2} - \frac{1}{3} = \frac{1}{6}. \quad \square$$

4.4. *AI with infinite Rauzy–Veech induction.* We focus in this subsection on what happens for AIs on which we apply Rauzy–Veech induction infinitely many times. First, notice that if we apply the induction on the same side infinitely many times, the length of the top interval of the corresponding side on the induced AI is multiplied each time by a positive power of 2, therefore it goes to infinity. Yet the total length of the subinterval is bounded by 1, the length of the definition interval from which we started the induction. Thus the length of the interval has to be zero; this corresponds to the case where there is a saddle connection and it is included in the closed orbit case, since we chose to take  $H(w)$  closed.

In consequence, for an AI  $T$  with parameter in  $I - H$ , we apply Rauzy–Veech induction infinitely many times, and the sequence of inductions we apply is not constant after a finite number of steps. Now let as above  $D$  be the interval of definition of the given AI, and  $A, B \subset D$  be its two intervals of continuity. Remark that the induction keeps the right end of  $T(B)$  and the left end of  $T(A)$  unchanged. Moreover the induction divides the length of one of the bottom interval (depending on which Rauzy–Veech induction we apply) by at least two because we iterate maps whose dilation factor is at most  $\frac{1}{2}$ . In turn if we consider  $I_n$  to be the open subinterval of  $D$  on which we consider the first return map after the  $n$ th induction, the limit of these nested intervals is

$$I_\infty := \bigcap_{n=1}^\infty I_n = D - \{T(A) \cup T(B)\}$$



By definition, this interval is disjoint from  $T(D)$ , and therefore

$$\text{for all } x \in D \quad \text{and} \quad n \in \mathbb{N}, \quad T^n(x) \notin I_\infty.$$

Moreover, our definition of Rauzy–Veech induction implies that any point outside of the subinterval on which we consider the first return will end up in this subinterval in finite time. Thus

$$\text{for all } x \in D \text{ and } n \in \mathbb{N}, \text{ there exists } k \in \mathbb{N} \text{ such that } T^k(x) \in I_n.$$

This implies that the orbit of any point of  $D$  accumulates on  $\partial I_\infty$ .

Let  $\Omega$  be the complement of all the images of  $I_\infty$ , namely

$$\Omega := D - \bigcup_{n=0}^{\infty} T^n I_\infty.$$

The measure of  $I_\infty$  is  $1/2$ , taking the image by  $T$  divides the measure of any interval by two and any iterated image of this set is disjoint, since its image is disjoint from itself and  $T$  is injective. Hence the measure of  $\Omega$  is  $1/2 \cdot (1 + 1/2 + 1/2^2 + \dots) = 1$ . As we remarked, the orbit of any point of  $D$  accumulates to  $\partial I_\infty$  and thus to any image of it, hence to any point of  $\partial\Omega$ . As  $\bigcup_{n=0}^{\infty} T^n I_\infty$  has full measure,  $\Omega$  has zero Lebesgue measure and thus has empty interior. To conclude,  $\Omega$  is the limit set of any orbit of  $T$ .

Now  $\Omega$  is a closed set with empty interior. Moreover if we take a point in  $\Omega$ , any neighbourhood contains an interval and thus its boundary. Hence no point is isolated, and  $\Omega$  is a Cantor set. Which leads to the following proposition.

**PROPOSITION 5.** *In the space of directions  $[1, 4]$  there is a set  $\mathcal{H}$  (which is the union of the set  $H$  constructed in this section union  $]1, 2[$ ) whose complement is a Cantor set of zero measure which satisfies:*

- for all  $\theta \in \overline{\mathcal{H}}$  the foliation  $\mathcal{F}_\theta$  is attracted by an attracting leaf;
- for all  $\theta \in \partial\mathcal{H}$  the foliation  $\mathcal{F}_\theta$  concentrates to a closed saddle connection;
- for all  $\theta \in [1, 4] \setminus \overline{\mathcal{H}}$  the foliation  $\mathcal{F}_\theta$  concentrates on a stable Cantor set of zero measure in the foliation.

### 5. Topological type of the elements of the Veech group

5.1. *Thurston’s theorem on multi-twists.* We recall in this subsection a theorem of Thurston allowing the understanding of the topological type of the elements of a subgroup of  $\text{MCG}(\Sigma)$  generated by a couple of multi-twists. Let  $\alpha$  and  $\beta$  be two multicurves on  $\Sigma$ . We say that:

- $\alpha$  and  $\beta$  are *tight* if they intersect transversally and if their intersection number is minimal in their isotopy class;
- $\alpha$  and  $\beta$  fill up  $\Sigma$  if  $\Sigma \setminus (\alpha \cup \beta)$  is a union of cells.

Denote by  $\alpha_1, \dots, \alpha_k$  and  $\beta_1, \dots, \beta_l$  the components of  $\alpha$  and  $\beta$  respectively. We form the  $k \times l$  matrix  $N = (i(\alpha_i, \beta_j))_{1 \leq i \leq k, 1 \leq j \leq l}$ . One easily checks that  $\alpha \cup \beta$  is connected if and only if a power of  $N^t N$  is positive. Under this assumption,  $N^t N$  has a unique positive eigenvector  $V$  of eigenvalue  $\mu > 0$ . We also denote by  $T_\alpha$  (respectively  $T_\beta$ ) the Dehn twist along  $\alpha$  (respectively along  $\beta$ ).

**THEOREM 6.** [Thu88, Theorem 7] *Let  $\alpha$  and  $\beta$  two multicurves which are tight and which fill up  $\Sigma$ , and assume that  $\alpha \cup \beta$  is connected. Denote by  $G(\alpha, \beta)$  the subgroup of*

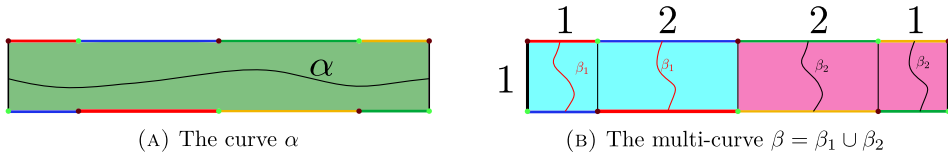


FIGURE 12. Definition of  $\alpha$  and  $\beta$ .

$MCG(\Sigma)$  generated by  $T_\alpha$  and  $T_\beta$ . There is a representation  $\rho : G(\alpha, \beta) \rightarrow PSL(2, \mathbb{R})$  defined by

$$\rho(T_\alpha) = \begin{pmatrix} 1 & \mu^{1/2} \\ 0 & 1 \end{pmatrix} \quad \text{and} \quad \rho(T_\beta) = \begin{pmatrix} 1 & 0 \\ -\mu^{1/2} & 1 \end{pmatrix}$$

such that  $g$  is of finite order, reductive or pseudo-Anosov according to whether  $\rho(g)$  is elliptic, parabolic or pseudo-Anosov.

5.2. *The case of  $\Sigma$ .* We want to use Thurston’s theorem to prove the following.

**THEOREM 7.** *For all  $f \in \text{Affine}(\Sigma)$ ,  $f$  is of finite order, reductive or pseudo-Anosov according to whether its image by the Fuchsian representation in  $SL(2, \mathbb{R})$  is elliptic, parabolic or hyperbolic.*

In §2.2.1, we exhibited two elements of the Veech group  $\mathbf{V}_\Sigma$ ,  $\begin{pmatrix} 1 & 6 \\ 0 & 1 \end{pmatrix}$  and  $\begin{pmatrix} 1 & 0 \\ \frac{3}{2} & 1 \end{pmatrix}$ , as the images by the Fuchsian representation  $\rho_1$  corresponding to the Dehn twists along the curves  $\alpha$  and  $\beta$  drawn in Figure 12.

One checks that:

- $\alpha \cup \beta$  is connected;
- $\alpha$  and  $\beta$  are tight since they can both be realized as geodesics of  $\Sigma$ ;
- $\alpha$  and  $\beta$  are filling up  $\Sigma$ .

With an appropriate choice of orientation for  $\alpha$  and  $\beta$ , we have that  $i(\alpha, \beta_1) = i(\alpha, \beta_2) = 2$ . The intersection matrix associated is therefore  $N = \begin{pmatrix} 2 & 2 \\ 2 & 2 \end{pmatrix}$  and  $N^t N = (8)$ . The parameter  $\mu$  is then equal to 8 and  $\sqrt{\mu} = 2\sqrt{2}$ . We are left with two representations

$$\rho_1, \rho_2 : G(\alpha, \beta) \rightarrow PSL(2, \mathbb{R}).$$

- (1)  $\rho_1$  is the restriction of the Fuchsian representation to  $G(\alpha, \beta) < \text{Affine}(\Sigma)$  composed with the projection onto  $PSL(2, \mathbb{R})$ .
- (2)  $\rho_2$  is the representation given by Thurston’s theorem.

By definition of these two representations,  $\rho_1$  maps  $T_\alpha$  to  $\begin{pmatrix} 1 & 6 \\ 0 & 1 \end{pmatrix}$  and  $\rho_2$  maps it to  $\begin{pmatrix} 1 & 2\sqrt{2} \\ 0 & 1 \end{pmatrix}$ ; and  $\rho_1$  maps  $T_\beta$  to  $\begin{pmatrix} 1 & 0 \\ \frac{3}{2} & 1 \end{pmatrix}$  and  $\rho_2$  maps it to  $\begin{pmatrix} 1 & 0 \\ -2\sqrt{2} & 1 \end{pmatrix}$ .

**PROPOSITION 6.** *For all  $g \in G(\alpha, \beta)$ ,  $\rho_1(g)$  and  $\rho_2(g)$  have the same type.*

*Proof.*

- $\rho_1$  and  $\rho_2$  are faithful;
- $\rho_1$  and  $\rho_2$  are Schottky subgroups of  $PSL(2, \mathbb{R})$  of infinite covolume;
- $\rho_1$  and  $\rho_2$  send  $T_\alpha$  and  $T_\beta$  to two parabolic elements;

As a consequence of these three facts, the quotients of  $\mathbb{H}$  by the respective actions of  $G(\alpha, \beta)$  through  $\rho_1$  and  $\rho_2$  respectively are both a sphere  $S$  with two cusps and a funnel. No element of  $\rho_1(G(\alpha, \beta))$  or  $\rho_2(G(\alpha, \beta))$  is elliptic, and the image of  $g \in G(\alpha, \beta)$  is parabolic in  $\rho_1(G(\alpha, \beta))$  or  $\rho_2(G(\alpha, \beta))$  if and only if the corresponding element in  $\pi_1(S)$  is in the free homotopy class of a simple closed curve circling a cusp. Which proves the proposition.  $\square$

There is little needed to complete the topological description of the elements of the Veech group of  $\Sigma$ . Indeed, Proposition 6 above together with Thurston's theorem ensures that the topological type of  $g \in G(\alpha, \beta) \subset \text{Affine}(\Sigma)$  is determined by (the projection to  $\text{PSL}(2, \mathbb{R})$  of) its image by the Fuchsian representation (namely  $g$  has finite order if  $\rho_1(g)$  is elliptic,  $g$  is reductive if  $\rho_1(g)$  is parabolic and pseudo-Anosov if  $\rho_1(g)$  is hyperbolic). The group  $G(\alpha, \beta)$  has index 2 in  $\mathbf{V}_\Sigma$ . The involution  $i \in \text{Affine}(\Sigma)$  acting as  $\begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$  preserves the multicurves  $\alpha$  and  $\beta$  and therefore commutes to the whole  $G(\alpha, \beta)$ . In particular, any element of  $\mathbf{V}_\Sigma$  writes  $g \cdot i$  with  $g \in G(\alpha, \beta)$ . The type of  $g \cdot i$  is the same as the type of  $g$  and this completes the classification.

## 6. The global picture

Gathering all materials developed in the previous sections, we prove here the main theorems announced in the introduction.

**PROPOSITION 7.** *Assume that the foliation  $\mathcal{F}_\theta$  of  $\Sigma$  has a closed attracting leaf  $F^+$ . Then it has a unique repulsing leaf  $F^-$  and any leaf which is different from  $F^-$  and regular accumulates on  $F^+$ .*

This proposition ensures that in all the cases where we have already found an attracting leaf, the dynamics of the foliation is as simple as can be.

*Proof.* The image of  $F^+$  by the action of the diffeomorphism of  $\text{Affine}(\Sigma)$  whose image by the Fuchsian representation is  $-\text{Id}$  is the repulsive leaf announced which we denote by  $F^-$ . Let  $L$  be a leaf of the *oriented* foliation that accumulates to  $F^+$ . We consider the very same leaf but with the reversed orientation. We also assume that  $L$  was not issued from a singular point, in which case we consider its  $\omega$ -limit in  $\Sigma$  which we denote by  $Q$ . We want to prove that  $Q = F^+$ . Assume by contradiction that  $Q \neq F^+$ .

- Either  $Q$  is a closed leaf. In that case the image of  $Q$  by the involution of the Veech group is another closed leaf and we get the existence of four different closed attracting leaves. No pair of such curves can be made of homotopic curves. Indeed assume that  $\alpha$  and  $\beta$  are homotopic closed leaves then they bound a (topological) cylinder  $C$  in  $\Sigma$ . This cylinder has totally geodesic boundary. An Euler characteristic argument implies that, since  $\partial C$  is totally geodesic,  $C$  has no singular point in its interior and the existence of such contradicts the fact  $\Sigma$  has a totally geodesic triangulation (see the appendix of [DFG]). We are left with these disjoint non-homotopic four curves which contradict the fact that  $\Sigma$  has genus two.
- Or  $Q$  is not a single leaf. In that case, any subsurface of  $\Sigma$  containing  $Q$  is of genus at least one (briefly the argument is the following; since  $Q$  is not reduced to a simple leaf one can build a curve that is transverse to the foliation and which does not intersect

any other quasiminimal and repeating this procedure with a longer leaf build a pair of non-separating curves whose geometric intersection number is one). Since  $Q$  is disjoint from  $F^+$  and  $F^-$  it contradicts the fact that  $\Sigma$  has genus two.  $\square$

We say that a direction having such a dynamical behaviour is *dynamically trivial*.

**COROLLARY 2.** *The directions in  $\Lambda_\Gamma$  are not dynamically trivial.*

*Proof.* The set of directions fixed by a hyperbolic element of  $\Gamma$  is dense in  $\Lambda_\Gamma$ . Such a direction cannot be dynamically trivial, for otherwise the associated collection of closed leaves would be globally fixed by the corresponding element of  $\text{Affine}(\Sigma)$ , which can not occur since, according to Theorem 7, such an element is a pseudo-Anosov diffeomorphism. On the other hand, Proposition 7 shows that the set of dynamically trivial directions is the same as the set of directions admitting an attractive leaf; in particular both sets are open since the last one is. We conclude using the density in  $\Lambda_\Gamma$  of the set of directions being not dynamically trivial; fixed points of hyperbolic matrices of  $\Gamma$ .  $\square$

**THEOREM 8.** *The set of dynamically trivial directions in  $S^1$  is open and has full measure.*

*Proof.* Recall that the definitions of  $\Gamma$  and  $\mathbf{V}_\Sigma$  are given in §§ 2.2.1 and 3.1. Since  $-\text{Id}$  belongs to the Veech group of  $\Sigma$ , the foliations  $\mathcal{F}_\theta$  and  $\mathcal{F}_{-\theta}$  have the same dynamical behaviour. We will therefore consider parameters  $\theta$  in  $\mathbb{RP}^1$  instead of in  $S^1$ . We denote then by  $\mathcal{T} \subset \mathbb{RP}^1$  the set of dynamically trivial directions in  $\mathcal{T}$ . We have proved in § 4 that the intersection of  $\mathcal{T}$  and  $J = \{[1 : t] \mid t \in [1, 4]\} \subset \mathbb{RP}^1$  is the complement of a Cantor set and that  $\mathcal{T} \cap J$  has full measure.

Also  $J$  is a fundamental domain (see Proposition 2) for the action of  $\Gamma$  on  $\Omega_\Gamma$  the discontinuity set of  $\Gamma$ . Since  $\Gamma < \mathbf{V}_\Sigma$ , two directions in  $\mathbb{RP}^1$  in the same orbit for the action of  $\theta$  induce conjugated foliations on  $\Sigma$  and therefore have same dynamical behaviour. This implies that  $\mathcal{T} \cap \Omega_\Gamma$  is open and has full measure in  $\Omega_\Gamma$ . Since  $\Omega_\Gamma$  has itself full measure in  $\mathbb{RP}^1$ ,  $\mathcal{T}$  has full measure in  $\mathbb{RP}^1$ . The fact that it is open is a consequence of the stability of dynamically trivial foliations for the  $C^\infty$  topology, see [Lio95] for instance.  $\square$

Relying on a similar argument exploiting in a straightforward manner the action of the Veech group and the depiction of the dynamics made in §4, we get the following.

**THEOREM 9.** *There exists a Cantor set  $\mathcal{K} \subset S^1$  such that, for all  $\theta \in \mathcal{K}$ , the foliation  $\mathcal{F}_\theta$  accumulates to a set which is locally the product of a Cantor set with an interval. Since sets always have zero Hausdorff measure.*

We believe it is worth pointing out that the method we used to find these ‘Cantor like’ directions is essentially different compared to the one used in [CG97], [BHM10] and [MMY10]. Indeed they are proper attracting set in the sense that they have an open neighbourhood in  $\Sigma$  which is pushed by the flow strictly within itself after a certain time. These results allows us to give a complete description of  $\Gamma$ .

**THEOREM 10.** *The Veech group of  $\Sigma$  is exactly  $\Gamma$ .*

*Proof.* We divide the proof into four steps:

- (1) proving that any element in  $\mathbf{V}_\Sigma$  preserves  $\Lambda_\Gamma$ ;
- (2) proving that  $\Gamma$  has finite index in  $\mathbf{V}_\Sigma$ ;
- (3) proving that the group  $\Gamma$  is normal in  $\mathbf{V}_\Sigma$ ;
- (4) concluding.

(1) Let us prove the first point. Because of the description of the dynamics of the directional foliations we have achieved, one can show the limit set of the Veech group is the same than the limit set of  $\Gamma$ . If not, there must be a point of  $\Lambda_{\mathbf{V}_\Sigma}$  in the fundamental interval  $I$ . But since the group  $\mathbf{V}_\Sigma$  is non-elementary it implies that we have to find in  $I$  infinitely many copies of a fundamental domain for the action of  $\Gamma$  on the discontinuity set. In particular infinitely many disjoint intervals corresponding to directions where the foliation has an attracting leaf of dilatation parameter 2. But by the study performed in the above section the only subinterval of  $I$  having this property is ]1, 2[.

(2) The second point follows from the fact that the projection

$$\Gamma \backslash \mathbb{H} \longrightarrow \mathbf{V}_\Sigma \backslash \mathbb{H}$$

induces an isometric orbifold covering

$$C(\Gamma \backslash \mathbb{H}) \longrightarrow C(\mathbf{V}_\Sigma \backslash \mathbb{H}).$$

Since  $C(\Gamma \backslash \mathbb{H})$  has finite volume since it is compact (see §3.2) and because  $[\Gamma : \mathbf{V}_\Sigma] = (\text{vol}(C(\Gamma \backslash \mathbb{H}))) / (\text{vol}(C(\mathbf{V}_\Sigma \backslash \mathbb{H})))$ , this ratio must be finite and hence  $\Gamma$  has finite index in  $\mathbf{V}_\Sigma$ .

(3) Note that  $\Gamma$  is generated by two parabolic elements  $A$  and  $B$  and that these define the only two conjugacy classes in  $\Gamma$  of parabolic elements. We are going to prove that any element  $g \in \mathbf{V}_\Sigma$  normalizes both  $A$  and  $B$ . Since  $\Gamma$  has finite index in  $\mathbf{V}_\Sigma$ , there exists  $n \geq 1$  such that  $(gAg^{-1})^n \in \Gamma$ . There are but two classes of conjugacy of parabolic elements in  $\Gamma$  which are the ones of  $A$  and  $B$ . If  $n \geq 2$ , this implies that  $\mathbf{V}_\Sigma$  contains a strict divisor of  $A$ , which would make the limit set of  $\mathbf{V}_\Sigma$  larger than  $\Lambda_\Gamma$  (consider the eigenvalues of the matrix  $AB^{-1}$  which determine points in the boundary on the limit set, see Lemma 1). Therefore  $gAg^{-1}$  belongs to  $\Gamma$ . A similar argument shows that  $gBg^{-1} \in \Gamma$  and since  $A$  and  $B$  generate  $\Gamma$ ,  $g$  normalizes  $\Gamma$ . Hence  $\Gamma$  is normal in  $\mathbf{V}_\Sigma$ .

(4) Any  $g \in \mathbf{V}_\Sigma$  thus acts on the convex hull  $C(\Gamma \backslash \mathbb{H})$  of the surface  $C(\Gamma \backslash \mathbb{H})$ . In particular it has to preserve the boundary of  $C(\Gamma \backslash \mathbb{H})$ , which is a single geodesic by Proposition 4. At the universal cover it means that  $g$  has to fix a lift of the geodesic  $c$ , thus the isometry  $g$  permutes two fixed points of a hyperbolic element  $h$  of  $\Gamma$ . Two situations can occur.

- $g$  is an elliptic element. Its action on  $\Gamma \backslash \mathbb{H}$  cannot permute the two cusps because they correspond to two essentially different cylinder decompositions on  $\Sigma$ . It therefore fixes the two cusps and hence must be trivial.
- $g$  is hyperbolic and fixes the two fixed points of  $h$ . Moreover, by Lemma 1, it acts on the fundamental interval  $I$  and as we discussed above such an action has to be trivial because of our study of the associated directional foliations, the translation length of  $g$  is then the same as  $h$ . But  $g$  is fully determined by its fixed points and its translation length, which shows that  $g = h$  and thus  $g \in \Gamma$ .

Any element of  $\mathbf{V}_\Sigma$  therefore belongs to  $\Gamma$  and the theorem is proved.  $\square$



*Remark 5.* This theorem implies that the completely periodic directions correspond to the orbit by the Veech group of the horizontal and vertical directions, since any parabolic element is conjugated to the Dehn twist in one of these two directions. This is the set we denoted by  $\mathcal{H}$  in Theorem 3.

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