

Swirl momentum control in vortex rings generated experimentally

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Particle image velocimetry is used to study the control of swirl momentum, delivered through an orifice formed by a physically rotating tube of finite length, relevant to the evolution of vortex rings produced at a Reynolds number $Re \approx 1000$ based on the average discharge velocity, for swirl numbers $S \in [0, 1]$. Experiments without discharge, reinforced with complimentary numerical predictions, reveal the presence of an intriguing secondary flow pattern in the rotating tube, preventing attainment of a solid-body-like swirl distribution. Nevertheless, it is found that fully established rings produced in this way, following discharge once conditions in the tube have reached a steady state, exhibit similar characteristics to rings formed by an otherwise solid-body rotating initial condition as explored computationally by Ortega-Chavez et al. (2023, J. Fluid Mech. 967, A16). Namely, opposite-signed vorticity forms due to vortex tilting, which subsequently interacts with the ring, promoting vorticity cancellation and vortex ring breakdown. A key feature of the experimental work is that partially established vortex rings, produced before a steadystate rotating tube condition is reached, show unique characteristics. Their creation, a short time after the onset of tube rotation: (i) facilitates more efficient delivery of swirl momentum to the vortex core area; (ii) maintains a low level of swirl in the ring bubble's central region which would otherwise promote the formation of opposite-signed vorticity and vortex breakdown.

Key words: vortex dynamics, vortex breakdown

1. Introduction

Vortex rings are compact toroidal-shaped structures formed by the impulsive discharge of momentum from a circular nozzle, or orifice, like outlet to an adjacent stagnant open, or confined, region. The fluid discharged generates a vortex sheet, originating from the

© The Author(s), 2025. Published by Cambridge University Press. This is an Open Access article, distributed under the terms of the Creative Commons Attribution licence (https://creativecommons.org/ licenses/by/4.0/), which permits unrestricted re-use, distribution and reproduction, provided the original article is properly cited. 1007 A20-1 boundary layer induced on the surface forming the outlet, which then rolls up, creating a vortex ring. This formation process can be characterised and controlled by a simple parameter, the stroke ratio, quantifying the amount of fluid discharged, and defined as

$$\frac{L}{D_o} = \frac{1}{D_o} \int U_p(t) \,\mathrm{d}t,\tag{1.1}$$

where $U_p(t)$ is the instantaneous axial flow discharge velocity that is assumed to be uniform across a circular discharge area of diameter D_o , and L is the equivalent stroke length. The significance of this remarkably simple parameter lies in the resulting ring's shape. Gharib *et al.* (1998) found that there is a specific L/D_o ratio, known as the formation number, F, which determines whether either all of the discharged fluid is entrained into the rolled-up toroidal vortex ring, or just a fraction of it – the remainder giving rise to a following trailing jet.

Vortex rings possess unique kinematic properties, their occurrence having attracted the attention of scientists for decades: from a simple smoke ring and its self-induced propagation velocity, to being a contributing part of natural phenomena such as the locomotion of certain animals and the action of the human heart during the exchange of blood from the left atrium to the left ventricle (Arvidsson *et al.* 2016). Computational, experimental and theoretical investigations have been employed to unravel their intricacies, one of the earliest areas of research being the self-induced propagation velocity of vortex rings (Helmholtz 1858). Over the years, significant theoretical advances have been made, leading to the simple vortex line model, followed by the thin-core model and subsequently taking into account the realistic vorticity distribution in smoothly circular and deformed vortex cores (Saffman 1995; Fukumoto & Moffatt 2000).

During the past 25 years interest has extended to the effect of adding a superposed swirl component, u_{θ} , to the discharge flow velocity U_p , on the initiation of a classical ring. In the main these have primarily been computational investigations of one type or another; revealing that the addition of swirl decreases the self-induced propagation velocity of a primary ring's core, increases its radius and is associated with the formation of vorticity having the opposite sign to that of the primary vortex core – referred to subsequently, as opposite-signed vorticity (OSV) – ahead of it (Virk *et al.* 1994; Gargan-Shingles *et al.* 2015; Cheng *et al.* 2010). Recently, the large eddy simulation investigation of Ortega-Chavez *et al.* (2023) has revealed that the primary mechanism for the formation of OSV is the distribution of u_{θ} resulting from the breakdown of swirling discharge during the vortex ring's formation process. Furthermore, it is shown that OSV leads to radial expansion of the ring's structure, which in turn results in a decrease in its formation number and self-induced propagation velocity.

To the best of the authors' knowledge, only three experimental investigations have addressed the flow dynamics of swirling vortex rings, each utilising different swirl generation methods. Chronologically, the first of these was conducted by Verzicco *et al.* (1996), who created a vortex ring by pushing a specific amount of fluid through an orifice plate located in the wall of a water tank. The entire apparatus was placed on a rotating table capable of achieving a range of angular velocities from 0.1 to 1.0 rad s^{-1} . In addition to the generation of OSV and consequent diminished propagation velocity, they report that, for rotation rates higher than a specific value, Coriolis forces become dominant, provoking strong OSV ahead of the vortex ring during the early stages of its formation. This in turn initiates intense vorticity cancellation, inhibiting the ring's subsequent formation, leading instead to an oblique wave-like structure confined in thin layers.

Next, Naitoh *et al.* (2014) produced swirling vortex rings using a piston-nozzle arrangement comprised a stationary outer nozzle and an inner rotating one. The outer

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nozzle, along which the piston moves, is fixed to the wall of the test tank; the inner co-axial rotating nozzle, which penetrates into the surrounding fluid bulk, is connected by a timing belt to a stepper motor located at the top of the tank. A dividing screen was positioned close to the nozzle exit to isolate any unwanted vorticity generated by the system during rotation initiation. For each experiment, the nozzle was rotated at angular velocities ranging from 0 to 3π rad s⁻¹ for a preparation time of 15 s before the piston stroke. They also report the formation of OSV and a reduction in the self-induced velocity. In addition, they observed an increase in the ring radius, *R*, with an increase in the nozzle's angular velocity.

Thirdly, He *et al.* (2020*a,b*) opted to utilise static axial swirlers, each consisting of 12 vanes placed at the nozzle exit, the angle of which determined the angular velocity added to the flow. Even though the axial swirlers instantly produced a flow close to that of a solid-body rotation, boundary layer development on the surface of the vanes simultaneously "contaminated" the flow, leading to turbulent vortex rings. In addition to the experimental findings disclosed by the above investigators, He *et al.* (2020*b*) report a decreased formation number as a consequence of the addition of swirl.

The motivation for the work reported here is that, for the majority of the computational investigations mentioned earlier, the formation process is either (i) not considered, with an idealised u_{θ} distribution of various forms superposed on the vortex core, or (ii) accounted for by a prescribed u_{θ} in the form of a simple solid-body rotation. In a laboratory setting (Naitoh *et al.* 2014), achieving an inlet flow condition that is solid-body rotation like requires a tangential stress, generated by a physically rotating circular tube (or nozzle) of finite length, which is diffused via viscosity towards the tube's centre. A condition of solid-body rotation is reached only when the tangential stress of the fluid inside the rotating tube is everywhere zero. This process is described by an exponential function, with characteristic time scale $\tau \sim D_a^2/(4\nu)$, ν being the kinematic viscosity of the fluid.

The overarching aims of the present work are thus to show that: first, an exit velocity distribution that is a fully established solid-body rotation via a rotating circular tube is unachievable in practice; second, the particular exit velocity distribution formed generates swirling vortex rings with reduced OSV production and its associated effects, which can be controlled by the rotating tube's preparation time before discharge is initiated – hence independent of the ring circulation.

The paper is organised as follows. Section 2 outlines the experimental set-up and provides details of the accompanying diagnostics employed. This is followed by a comprehensive set of results and accompanying detailed discussion in § 3. Firstly, concerning the inlet condition employed, namely a rotating tube, in the generation of swirling vortex rings prior to discharge taking place; next, by evaluation, in quantifiable terms, of aspects of a practical control method for vortex ring generation, wherein the associated physical properties can be regulated by utilising partially established inlet velocity profiles. Conclusions are drawn in § 4.

2. Experimental methodology

2.1. Apparatus

Experiments were performed in a glass water tank of length 2400 mm, width 900 mm and height 800 mm, the details of which are illustrated schematically in figure 1. A piston–tube system, having a tube inner diameter of \emptyset 40 mm, was employed in the generation of an impulsive fluid motion, driven by a stepper motor (M-1). The maximum linear speed used when carrying out experiments was 0.04 m s⁻¹ with a maximum acceleration of 0.4 m s⁻².



Figure 1. Illustrative schematics of the experimental set-up, not to scale. The Cartesian coordinate system adopted is aligned with the PIV arrangement. (*a*) The two-dimensional particle image velocimetry (PIV) arrangement viewed from the side (x-y plane field of view, FOV), related to the experiments described in § 2.2; (*b*) view from above, with the piston-tube and swirl systems shaded pink; (*c*) view from above at the mid x-z plane of the stereoscopic PIV arrangement (y-z plane FOV); (*d*) internal arrangement of the rotating tube system (PFTE denotes Polytetrafluoroethylene). The orifice exit plane is located at z = 0.

Unlike a conventional piston-tube system, the one employed here was not mounted directly on the water tank. Rather, it was connected to an external swirl generation system via two hoses, designed to impart azimuthal velocity to the discharged flow. The swirl system, shown schematically in figure 1(*b*), consisted of a 450 mm long Perspex outer tube of \emptyset 42 mm fixed to the wall of the tank and protruding approximately 250 mm into the quiescent bulk fluid. A Perspex disc of \emptyset 320 mm was attached flush with the exit of the outer tube via a push-fit assembly, forming a \emptyset 32 mm orifice, denoted as D_o , aligned with the centre of the disc.

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The fixed outer tube housed an internal arrangement able to rotate smoothly, whose axis was concentrically aligned and attached to a second stepper motor (M-2); see figure 1(*b*). The motor has sufficient torque (0.44 Nm) to provide a negligible period of acceleration for the angular speeds involved. The fluid discharged by the pistontube system enters the internal arrangement through a 100 mm long pre-nozzle section containing a sequence of holes distributed lengthwise over its surface, as illustrated in figure 1(*d*). A 90 mm long smoothly diverging nozzle was utilised to increase the diameter from that of the pre-nozzle section to one of D_o as it merged with a 200 mm long Perspex tube of inner diameter $\emptyset 32$ mm and 3 mm wall thickness, leaving a gap between it and the fixed outer tube of 2 mm along its length to the point where the far end of the tube and the surrounding quiescent fluid bulk meet – the orifice exit plane (OEP).

2.2. Particle image velocimetry (PIV) measurements

2.2.1. Measurement of transient swirl development

In order to investigate the initial transient u_{θ} development of the flow inside the rotating tube system prior to discharge leading to the generation of a swirling vortex ring, standard two-dimensional (2-D) PIV data were acquired at three axial locations independently – at the locations $z = -D_{\rho}$, $-2D_{\rho}$ and $-3D_{\rho}$ from the OEP; the FOV was normal to the axis of the rotating inner Perspex tube. The evolution of u_{θ} was explored for moderate angular speeds of $\Omega = 1.95$, 3.9, 5.85 rad s⁻¹, generated by M-2 (figure 1*b*). For the two higher values of Ω , strong secondary flow, discussed in § 3.1, was found to induce a significant Rayleigh instability towards the tube wall. As a consequence, attention was focused on the case $\Omega = 1.95$ rad s⁻¹. In addition, investigations were restricted to flow generated in the absence of any axial discharge initiated by motion of the piston. It is also discussed in section § 3.1, based on experimental evidence and supported by numerical prediction, that it is not possible in practice to generate a u_{θ} profile in the form of an idealised solid-body rotation within a rotating tube of finite length.

In order to achieve satisfactory spatial resolution with the available camera lens, the camera had to be positioned ≤ 1 m away from the OEP. To make this possible, a small Perspex tank with dimensions 400 mm × 300 mm × 250 mm was filled with water and placed inside the empty large glass tank, as is shown in figure 1(*a*). A high-speed camera (Mini WX100 Photron Ltd.) was used to obtain particle images. During this set of experiments, the camera's CCD resolution was chosen to be 1024×1024 pixels. The PIV time increment between captured images, Δt , and the temporal resolution of the measurement were 20 and 240 ms, respectively. The camera was also positioned within the empty glass tank, the resulting FOV being a square of side length 2.17 D_o . The cross-correlation interrogation window size was set to 16×16 pixels and 50 % overlap, giving a spatial resolution of 0.54 mm ($\approx 1.7 \% D_o$) based on vector spacing. A 532 nm 5 W continuous wave laser was employed as an illumination source and fired perpendicularly upwards through the base of the empty glass tank.

2.2.2. Measurement of swirling vortex rings

Subsequent to the above, experiments were performed to study the evolution of swirling vortex rings using a stereoscopic PIV arrangement yielding all three velocity components over the central y - z plane beginning at the OEP, as illustrated in figure 1(c). To generate vortex rings with saturated swirling momentum, a tube rotation preparation time of 75 s was set before discharge was initiated via the piston-tube system.

Discharge was governed using a trapezoidal piston velocity, $U_p(t)$, programme, with acceleration and deceleration of 0.07 and 0.035 m s⁻², respectively. The former

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comprised 20 % of the total piston stroke time, $T_p = 2.0$ s, the latter 40 %. The maximum piston speed, U_p , employed was 0.029 m s⁻¹. This particular piston velocity programme, which significantly deviates from a top-hat shape, was purposely chosen to minimise the formation of stopping vortices (Das *et al.* 2017) whose vorticity is (i) opposite to that of the leading primary vortex ring and would interfere with its formation, (ii) the same as the OSV that forms due to breakdown of swirling vortex rings (Ortega-Chavez *et al.* 2023) and the focus here. At the same time, a near-Gaussian primary vortex core was maintained.

The average discharge velocity, U_o , at the orifice exit is given by

$$U_o = \frac{1}{T_p} \int_0^{T_p} \phi_c U_p(t) \, \mathrm{d}t, \qquad (2.1)$$

where $\phi_c = 1.56$ is a positive constant that accounts for piston tubes of differing diameter in similar swirl generating systems as per continuity requirements, resulting in $U_o = 0.031$ m s⁻¹. This gives $Re = (D_o U_o / v) \approx 1000$, where $v \approx 1 \times 10^{-6}$ m² s⁻¹, and a maximum swirl number $S = \Omega D_o / (2U_o) \approx 1$. The stroke ratio is $L/D_o = U_o T_p / D_o \approx 2$, which is roughly the formation number at S = 1 if u_{θ} has an assumed solid-body rotation profile (Ortega-Chavez *et al.* 2023), and therefore the wake is expected to be insignificant. Four swirl conditions are tested at S = 1 ($\Omega = 1.95$ rad s⁻¹), S = 0.5 (0.97 rad s⁻¹), S = 0.25 (0.48 rad s⁻¹) and S = 0 (no swirl).

Illumination was provided by a low-speed 532 nm Nd:YAG laser with attached sheet optics producing a 3–4 mm thick light sheet over the measurement plane. This sheet thickness accounts for the out-of-plane velocity component, u_{θ} , in strong swirl cases (Gan & Nickels 2010; He *et al.* 2020*a*). Two Perspex prisms were situated near the cameras on the sidewalls of the water tank to reduce any refraction-related distortion effect. The physical FOV had a size of $6.8D_o \times 5.3D_o$ with a CCD resolution of 1536×1536 pixels. The interrogation window was configured to have dimensions of 32×32 pixels with a 50% overlap, resulting in a spatial resolution of 1.7 mm based on vector spacing. The PIV Δt was set at 20 ms with a vector field sample rate of 10 Hz.

For both sets of experiments, the flow was seeded with 10 μ m silver-coated hollow glass particles (Dantec Ltd.). Device triggering and synchronisation were achieved by programming a NI BNC-2121 terminal block (National Instruments Ltd.). Since the cases investigated were all of relatively low Reynolds number mean, rather than turbulence, quantities were the primary focus. Accordingly, the flow at each condition was measured and averaged for at least 5 realisations to minimise measurement uncertainties. Sufficient time was allowed to lapse between adjacent realisations to ensure each flow started from a sufficiently quiescent condition.

3. Results and discussion

3.1. Transient development of u_{θ} for the adopted rotating tube system

First, the spatio-temporal development of u_{θ} , inside the rotating tube of finite length was investigated. The purpose to ascertain whether the achievement of a solid-body rotation was a reasonable assumption, motivated by the classical result of Batchelor (1967). He showed that the transient behaviour of $u_{\theta}(r, t)$ inside a rotating tube of infinite length and diameter D_{ρ} , governed by

$$\frac{\partial u_{\theta}}{\partial t} = \nu \left(\frac{\partial^2 u_{\theta}}{\partial r^2} + \frac{1}{r} \frac{\partial u_{\theta}}{\partial r} - \frac{u_{\theta}}{r^2} \right), \tag{3.1}$$



Figure 2. (a) Spatial distribution of u_{θ} in a rotating tube of infinite length predicted by (3.2), namely solid-body rotation (top sector), and obtained experimentally with a tube of finite length (bottom sector); with $\Omega = 1.95$ rad s⁻¹ at $z = -2D_o$ and after t = 78 s from the onset of rotation. (b) Corresponding temporal evolution of u_{θ} as a function of r at different dimensionless diffusion times t_d : dashed lines (3.2); colour markers, experimental results measured at $z = -2D_o$; black markers, corresponding numerical predictions (see § 3.1.2).

where r is the radial coordinate, with the proper initial condition $u_{\theta}(r, 0) = 0$ and boundary condition $u_{\theta}(D_o/2, t) = \Omega D_o/2$, has the following analytical solution:

$$u_{\theta}(r,t) = \Omega r + \Omega D_o \sum_{n=1}^{\infty} \frac{J_1\left(\lambda_n \frac{2r}{D_o}\right)}{\lambda_n J_0(\lambda_n)} \exp\left(-\lambda_n^2 \frac{4\nu t}{D_o^2}\right).$$
(3.2)

Here J_0 and J_1 are Bessel functions of the first kind of orders zero and one, and λ_n the value where $J_1(\lambda_n) = 0$.

3.1.1. Experimental observations

The spatio-temporal radial development of u_{θ} , was investigated over a period of 78 s at three different locations and for different angular speeds, in the absence of any fluid discharge, as per the details provided in § 2.2.1. The experimental u_{θ} values presented are azimuthally averaged ones, obtained after interpolating the u_x and u_y velocity components from 2-D PIV measurements (see figure 1*a*) onto the two in-plane velocity components of a corresponding polar coordinate system.

Figure 2(*a*) compares the experimentally obtained u_{θ} distribution (bottom sector), after spatially averaging five repetitions from the 2-D PIV data at t = 78 s - or, with reference to (3.2), the dimensionless time $t_d = \lambda_1^2 (4\nu t) / D_o^2 \approx 4.47$, where $\lambda_1 = 3.83$ – for the case $\Omega = 1.95 \text{ rad s}^{-1}$ at $z = -2D_o$, with the expected solid-body rotation distribution (top sector), $u_{\theta} = \Omega D_o/2$. The difference between both distributions is clear, especially in the vicinity of tube centre, r = 0.

Figure 2(*b*) shows the temporal evolution of u_{θ} , for $0 \le r \le D_0/2$ and $0 \le t_d \le 4.4$, from which it is clear that for $t_d = 4.4$, the corresponding u_{θ} distribution predicted by (3.2) has already reached a steady state of solid-body rotation, in contrast to that obtained experimentally for the flow inside a rotating tube of finite length, where for $t_d > 2.7 u_{\theta}$ converges to a distribution that is deficient compared with one of a solid-body rotation type.

For $t \leq 12$ s ($t_d \leq 0.69$), the results obtained experimentally agree well with the predictions of (3.2). However, at larger times, the experimental results for the case of a rotating tube of finite length reveal a lower u_{θ} , this difference being more pronounced at intermediate r. It is clear from the above that previous experimental work, such as that of Naitoh *et al.* (2014), where the preparation time is reported to be 15 *s*, actually involved the use of a partially established u_{θ} velocity distribution in the production of vortex rings.

It is plausible that the boundary condition existing in practice at the two ends of the rotating tube of finite length, with one end open to a large stagnant reservoir and the other essentially a no-slip spinning end wall, has a strong impact on u_{θ} development. From figure 2(*b*), subtle discrepancies between measurement and the predictions of (3.2) can be observed at very small time, because of the proximity of the measurement plane to the OEP, suggesting the presence of a secondary flow originating from the difference in pressure at the two ends of the rotating tube of finite length. Due to the inherent difficulty of optical access through two cylindrical Perspex walls, rendering PIV measurement impractical, in order to understand the nature of this secondary flow a complementary axisymmetric direct numerical simulation – as described below – was undertaken.

3.1.2. Numerical predictions

In the absence of an analytic solution for the case of a rotating pipe of finite length – closed at one end and contiguously abutting to a large quiescent fluid bulk at the other – an appropriate model, embodying the essential features of the experimental set-up, was solved numerically using OpenFOAM[®]. To this end, the nature of the flow can be assumed laminar and incompressible (density, ρ), and governed by the corresponding continuity and Navier–Stokes equations, namely

$$\frac{\partial u_i}{\partial x_i} = 0, \tag{3.3}$$

$$\frac{\partial u_i}{\partial t} + u_j \frac{\partial u_i}{\partial x_j} = -\frac{1}{\rho} \frac{\partial p}{\partial x_i} + \nu \frac{\partial^2 u_i}{\partial x_j \partial x_j}, \qquad (3.4)$$

where p is the pressure. The 2-D computational domain employed, depicted in figure 3, takes the form of an axisymmetric wedge-shaped slice through an equivalent 3-D flow geometry. The latter is comprised of a reservoir of length $z = 5D_o$ and radius $r = 5D_o$, to which a pipe of diameter D_o and length $20D_o$ is connected with its central axis aligned with the axis of symmetry, forming a simplified swirling ring generator system having an orifice entrance. In order to differentiate between the experimental set-up described in § 2.1 and the simplified model of the same, the term 'pipe reservoir' is used instead of 'tube tank' for the latter. A no-slip condition is applied at the outer (cylindrical) surface, and at the left closed end, of the pipe. The pipe (surface and closed end) rotates at an angular speed Ω , based on which the Reynolds number, given $Re = D_o^2 \Omega/2\nu$, is ≈ 1000 .

Regarding the specifics of the methods of solution and discretisation, the pressurevelocity coupling algorithm used employs the pressure-implicit with splitting of operators method (Issa 1986), with second-order differencing utilised for all the spatial and temporal derivative terms. A time step of 1×10^{-4} was employed to ensure that the maximum Courant–Friedrichs–Lewy number remained below 1 for all the flow cases considered.

Following a rigorous mesh independence study, the final mesh of choice consisted of a total 153 000 structured grid cells, concentrated mainly in the pipe and in the vicinity of the corner/orifice where the pipe connects to the reservoir. The numerical solutions



Figure 3. (a) Pipe-reservoir computational domain employed to obtain axisymmetric numerical solutions, including a blow-up of the mesh refinement employed at the corner forming an orifice. (b) A contour plot of u_z in the pipe and close to the orifice, $t_d = 4.4$, together with the streamline pattern that forms in the r - z plane of the flow configuration, generated by the rotating pipe. Only the first quarter length of the latter, adjacent to the orifice exit, is shown.

obtained are validated against experimental measurement, in terms of the dependence of u_{θ} on r and t, in figure 2(b).

In a rotating system, pressure increases with increasing r from the centre of rotation to balance the centrifugal acceleration. This creates a zone near the rotating surface of the pipe, of higher pressure than that for smaller r and than in the adjoining reservoir where flow is initially irrotational. Consequently, fluid is thus expelled from the pipe at larger r, with fluid from the reservoir close to the pipe exit, or orifice, entrained to replace it, forming a recirculating region which penetrates into the pipe. This behaviour is illustrated in figure 3(b), where to appreciate what is happening, it is only necessary to show the first quarter length of pipe leaving the reservoir. It can be seen from the inplane streamline pattern that the entrained irrotational flow occupies the central region of the pipe, decreasing u_{θ} there. The induced secondary flow also gives rise to the extensive slow circulating flow pattern observed in the large reservoir.

The numerical results presented in figure 4(a,b) show that the evolution of u_{θ} is associated with the transient development of the axial flow pattern inside the pipe, i.e. u_z , which is highly time-dependent. The value of u_z remains negligible for the first 6 s, $t_d \leq 0.34$, beyond which it loses uniformity until for $t_d > 0.68$ its value becomes appreciably more marked with time. Near the rotating pipe's bounding surface, $r \gtrsim 0.3D_o$,



Figure 4. Temporal and spatial distributions of u_{θ} and u_z within the rotating tube/pipe. Panels show (*a*) u_z predicted numerically and (*b*) a comparison of u_{θ} profiles: marker shapes follow the legends in (*a*), coloured open ones from experiment, those filled and black numerical predictions and the solid line (3.2). (*c*) Experimentally measured u_{θ} at $r = D_o/4$, for $z/D_o = -1$, -2 and -3; legend same as (*d*). (*d*) Experimentally measured u_{θ} at $r = D_o/4$, $z = -2D_o$ and for four different tube rotation speeds, where subscript n = 1, 2, 3, 4 with $\Omega_1 = \Omega = 1.95$ rad s⁻¹, the benchmark rotation speed; Δu_{θ} is the difference between the measured value and (3.2). In (*a*)–(*d*), marker colour differentiates the *z* coordinate and marker shape differentiates t_d values.

 $u_z > 0$ and flow is expelled to the reservoir, while for $0 \le r \le 0.3D_o$, $u_z < 0$ and fluid from the reservoir is entrained into the pipe.

The behaviour of the u_z distribution influences the sectional u_θ profiles, as displayed in figure 4(b). At $t_d = 1.37$, the experimentally determined u_θ profile deviates from the corresponding analytical solution given by (3.2), and to a greater extent closer to pipe exit, or orifice, giving credence to the numerical results. For a clearer comparison, in figure 4(c) u_θ when $r = D_o/4$ is plotted at 3 axial locations and for $t_d = 0.68$, 1.37, 2.75, together with the value calculated using (3.2). It echoes the finding in figure 4(b) for all three t_d examined; additionally, the degree of deviation is amplified with increasing time.

As demonstrated in § 3.1.3, u_z is generated by the difference in pressure at the two ends of the pipe due to it being rotated. Consequently, an increase in Ω is expected to lead to a non-uniform u_z with larger magnitude and hence with a stronger impact on the u_{θ} distribution. This is established in figure 4(*d*), which examines u_{θ} at a single location ($r = D_o/4$, $z = -2D_o$) for four Ω s. The value of u_{θ} on the left-hand side vertical axis is normalised by the benchmark rotation rate ($\Omega_1 = 1.95$ rad s⁻¹), enabling direct

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comparison. Plotted on the right-hand side vertical axis is the (relative) deviation Δu_{θ} , defined as the difference between the experimentally determined u_{θ} and that calculated via (3.2). This figure clearly illustrates significant u_{θ} deficit as Ω increases. It appears that at this particular location, u_{θ} saturates at a value of $\Omega_2 = 3.9$ rad s⁻¹ for large time. Larger Ω does not have any effect on increasing u_{θ} there, plausibly due to very strong u_z related secondary flow. In line with figure 4(*a*), the secondary flow needs time to become established. That is, Δu_{θ} remains small at small time ($t_d = 0.68$), increasing subsequently towards steady state.

3.1.3. Simplified model

A simple model is now formulated in order to explain the mechanism by which the secondary flow discussed above arises. That is, to understand the physics behind the particular u_z distribution that develops and the lack of agreement of u_θ with that of a solid-body rotation-like distribution being due to the axial pressure gradient imposed by the boundary conditions at the two ends of the rotating pipe.

The state at large time and an axial location L_p far away from where the pipe meets the reservoir is considered. At this location, based on observations with respect to figure 4(c) that the further along the pipe from the OEP that u_{θ} is measured, the closer it is to that of a solid-body rotation – the effect of the secondary flow being weak and $\partial u_z/\partial z \ll \partial u_z/\partial r$. That is, far away from the OEP, the axial pressure gradient is entirely balanced by viscous effects. Assuming the flow inside the pipe is axisymmetric and the radial velocity component u_r is negligible everywhere, the governing momentum equations in cylindrical polar coordinates reduce to

$$\frac{1}{\rho}\frac{\partial p}{\partial z} = \nu \left(\frac{1}{r}\frac{\partial u_z}{\partial r} + \frac{\partial^2 u_z}{\partial r^2}\right),\tag{3.5}$$

$$\frac{1}{\rho}\frac{\partial p}{\partial r} = \frac{u_{\theta}^2}{r}.$$
(3.6)

The boundary conditions which prevail are shown in figure 5(*a*): (i) $u_{\theta}(r) = \Omega r$ (solidbody rotation) at $z = -L_p$, equivalent to a disc at the closed end spinning together with the pipe; (ii) at the OEP, z = 0, pressure is zero gauge; (iii) on the surface wall of the rotating pipe, $r = D_o/2$, a no-slip condition applies, viz. $u_{\theta} = \Omega D_o/2$, $u_z = 0$.

The u_z distribution inside the pipe shown in figure 4, suggests that, as $r \to D_o/2$, both the first and the second derivatives on the right-hand side of (3.5) should be negative, implying that so should $\partial p/\partial z$. On the other hand, (3.6) suggests that to sustain solidbody rotation, p reduces toward small r. Therefore, p is expected to have a positive and maximum value at $z = -L_p$, $r = D_o/2$, denoted as p_{max} . The numerical result indeed confirms this.

Taking the above further, the corresponding derivation is simplified by decoupling the system of equations (3.5)–(3.6). Integrating (3.6), for a velocity distribution satisfying a solid-body rotation at $z = -L_p$, leads to

$$p = p_{max} - \frac{1}{2}\rho \Omega^2 \left[(D_o/2)^2 - r^2 \right].$$
(3.7)

If the axial pressure gradient $\partial p/\partial z$ is assumed to be independent of z, as a crude assumption

$$\frac{\partial p}{\partial z} \simeq \frac{\Delta p}{\Delta z} = \frac{1}{L_p} \left(p|_0 - p|_{L_p} \right) = \frac{1}{L_p} \left\{ -p_{max} + \frac{1}{2}\rho \Omega^2 \left[(D_o/2)^2 - r^2 \right] \right\}.$$
(3.8)
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Figure 5. (a) Illustration of the boundary conditions used for the simple model. (b) Comparison of u_z profiles obtained numerically with the one given by (3.9).

Now, integrating (3.5) twice, with $\partial u_z/\partial r = 0$, at r = 0 and $u_z = 0$ at $r = D_o/2$, and making use of (3.8), gives

$$\frac{u_z(r)}{\frac{1}{2}\Omega D_o} = Re\left(\frac{L_p}{D_o}\right)^{-1} \left\{ \frac{1}{8} \left[\left(\frac{r}{D_o}\right)^2 - \left(\frac{r}{D_o}\right)^4 - \frac{3}{16} \right] + \tilde{p} \left[\frac{1}{4} - \left(\frac{r}{D_o}\right)^2 \right] \right\}, \quad (3.9)$$

where $\tilde{p} = p_{max}/(\rho \Omega^2 D_o^2)$ and $Re = D_o^2 \Omega/2\nu$.

The distribution of u_z calculated using (3.9), with $L_p/D_o = 10$ and setting $\tilde{p} \approx 0.08$ – its value obtained numerically – together with numerically generated counterparts at different z locations along the pipe from the orifice exit are compared in figure 5(b).

It can be seen that this simple model captures the characteristics of the u_z distribution, which includes a suction region at small r and expulsion region at large r. The chosen parameters coincidentally describe the u_z distribution at $z \approx -3D_o$.

As suggested by (3.9), the exact distribution of u_z depends on the value of Re, L_p/D_o and \tilde{p} . Since \tilde{p} typically has a value around 0.08 over a physically reasonable range, with $u_z < 0$ at small r, $\partial u_z/\partial r$ and $\partial^2 u_z/\partial r^2$ are both negative approaching the rotating wall of the pipe. The r coordinate, where u_z approaches a maximum, decreases as \tilde{p} increases. For a given \tilde{p} , as L_p/D_o increases the u_z distribution becomes more uniform – i.e. the effect of the secondary flow diminishes which is consistent with experimental observations and what is calculated numerically.

It is important to stress that this simplified model is only meant to be qualitative, since it does not impose a zero mass flux associated with the u_z distribution at any cross-section. Nevertheless, it is insightful in showing that it is the axial pressure gradient which induces the observed secondary flow pattern.

Accordingly, the flow of interest can be considered a combination of that inside an infinitely long rotating tube described by (3.2) and that induced by a rotating disk of large diameter (von Kármán flow), and governed by second-order nonlinear partial differential equations having a self-similar solution but not in an explicit form (Batchelor 1967). For large time when the flow's dependence on time becomes negligible, u_{θ} can be described



Figure 6. (a) Dependence of g on z; markers are from fitting numerically generated values of $u_{\theta}(r, z)$ at large time ($t_d = 3.4$) with (3.10), each for a discrete z, while the solid line results from (3.11) itself. (b) Dependence of u_{θ} on r for $z/D_o \ge -10$; markers indicate numerically generated results while solid lines of the same colour result from (3.10).

approximately by the following empirical relationship:

$$u_{\theta}(r, z) = \Omega r + \Omega D_o \sum_{n=1}^{\infty} \frac{J_1\left(\lambda_n \frac{2r}{D_o}\right)}{\lambda_n J_0(\lambda_n)} \exp[g(z) \lambda_n^2].$$
(3.10)

The form of the above, which is analogous to (3.2), stems from the observed similarity between the temporal evolution of u_{θ} at a fixed distance, $z = -2D_0$, into the rotating tube from the OEP (figure 2b) and the nature of u_{θ} at the same $t_d = 1.37$ at different distances, $z = -D_0, -2D_0, -3D_0$ into the tube (figure 4b); i.e. $g \to -\infty$ as $z \to -\infty$, and u_{θ} approaches one of solid-body rotation.

A least squares fitting for u_{θ} over $-10 \leq z/D_o \leq 0$, yields the plot of g(z) against z/D_0 shown in figure 6(*a*), allowing the relationship between the two to be expressed in dimensionless form, but not uniquely, by

$$g(z) = \frac{1.68 \times 10^{-2}}{1.13 - \exp\left[0.19\left(z/D_o\right)\right]} \left(\frac{z}{D_o}\right).$$
(3.11)

Figure 6(b) demonstrates that the $u_{\theta}(r, z)$ profiles predicted at large time are well described by (3.10) over the first $10D_o$ of the pipe, except very close to the OEP due to the contiguous presence of the large reservoir. The agreement between numerical prediction and experiment is confirmed in figure 2(b). Flow further into the pipe is less relevant to the work of interest here due to the short L/D_o involved.

3.2. Fully established swirling rings

3.2.1. Opposite-signed vorticity and circulation

Figure 7 shows the individual velocity contours of the three velocity components inside the rotating pipe close to the OEP, obtained from the axisymmetric numerical solution at $t_d \approx 3.95$ (t = 70 s) – at which time flow inside the pipe is considered fully established. It is plausible to assume they represent the distribution of velocity inside the rotating tube commensurate with corresponding experiments, even though the boundary condition at



Figure 7. Numerically predicted velocity contours in the region bounded by the OEP (z = 0) and at a distance $z = -2D_0$ into the rotating pipe, when t = 70 s ($t_d \approx 3.95$). Panels show (a) $2u_{\theta}/(\Omega D_o)$, (b) $2u_z/(\Omega D_o)$, (c) $2u_r/(\Omega D_o)$. The corresponding value of Ω is 1.95 rad s⁻¹, with $0.5\Omega D_o \approx U_o$. Note the different scales for the z and r axes.

the closed end of the tube does not, in practice, match exactly that of the computational predictions. Reassurance of this comes from the agreement between prediction and experimental data in terms of the u_{θ} profiles shown in figure 2(*b*). Note also that u_r is an order of magnitude smaller than u_{θ} and u_z , and therefore its effect can indeed be neglected. The *z* range plotted in figure 7 corresponds approximately to the fluid material discharged, since $L/D_o = 2$.

The in-plane velocity vectors (u_z, u_y) and the contours of the out-of-plane vorticity, ω_x , acquired by PIV are shown in figures 8(a), 8(b) and 8(c) for S = 0.25, 0.5 and 1, respectively. The value of $\omega_x = \partial u_y/\partial z - \partial u_z/\partial y$, calculated from (u_z, u_y) by applying a central difference scheme, is equivalent to the azimuthal vorticity ω_{θ} if the problem is cast in terms of cylindrical coordinates. The difference in OSV production is evident, particularly between the cases S = 0.25 and 0.5, with regions of vorticity of opposite sign to that of the adjacent primary vortex appearing around the vortex ring core towards the centre. Its formation originates from vortex breakdown – i.e. the tilting of ω_x associated with the distribution of u_{θ} – and can be derived from the azimuthal component of the inviscid vorticity equation (Ortega-Chavez *et al.* 2023) to be

$$\frac{\partial \omega_x}{\partial t} = \frac{1}{2} \frac{\partial}{\partial z} \left(\frac{u_\theta^2}{r} \right). \tag{3.12}$$

This is the reason the OSV intensity would be even higher close to the centre of the swirling ring, if the generated swirl were of a solid-body rotation type.

What is observed in figure 8 is consistent with the above, notwithstanding the non-solidbody rotation type of swirl involved. Although the OSV is weak for S = 0.25, its location is close to the axis. For S = 0.5 and 1, OSV is produced near the axis and advected around the primary vortex core in accordance with the induced velocity generated by the vortex



Figure 8. Contours of ω_x with background velocity vector at $T^* = 5$ for (a) S = 0.25, (b) S = 0.5 and (c) S = 1. A threshold of $|\omega_x| > 1$ s⁻¹ is applied. The velocity vectors are associated with a frame of reference moving at the instantaneous propagation velocity of the primary vortex core in the z dirction, from which the windward s.p., marked by a filled square box, can be identified.

ring, interacting with it and causing destabilisation and vorticity cancellation. On closer inspection of the velocity vectors in the central region along y = 0, vortex breakdown is observed. Here, velocity vectors are presented in a moving frame of reference travelling together with the primary vortex core in the +z direction. In this frame of reference, the windward stagnation point (s.p.), where the velocity reduces to zero, is marked for each case. In figure 8(a), the s.p. is located upstream of the vortex core, marking the position of the vortex ring bubble boundary on the central axis. As *S* increases, figures 8(b), the s.p. shifts to a more downstream location relative to the primary ring core, and to even behind the vortex core in (c) due to a stronger vortex breakdown effect. Figure 8 also shows that OSV induces a subtle effect to the shape of the primary ring core. Increased *S* reduces the core size, making it more circular, consistent with the result commensurate with a u_{θ} of a solid-body rotation type as investigated in Ortega-Chavez *et al.* (2023).

Figure 9(*a*) compares the circulation associated with OSV, denoted as $\Gamma(OSV)$ and calculated by integrating the area occupied by the OSV, A(OSV). Namely

$$\Gamma(OSV) = \frac{1}{2} \int_{A(OSV)} [\omega_x(-) - \omega_x(+)] \, \mathrm{d}A.$$
(3.13)

A threshold $|\omega_x| > 1 \ s^{-1}$ is applied to remove background noise and the dimensionless form of the above given by $\Gamma^* = \Gamma/(U_o D_o)$; A(OSV), where ω_x is generated by the interaction between the primary vortex ring and the orifice wall, which has the same sign as OSV, is excluded from (3.13). The magnitude $|\Gamma(OSV)|$ increases (the OSV is more negative) with increasing S, and for S = 1, $|\Gamma(OSV)|$ is a maximum for $T^* = tU_o/D_o \leq$ 4. For $T^* > 5$ a faster decrease in $|\Gamma(OSV)|$ is observed, which is likely related to stronger interaction with the primary vortex ring, leading to significant vortex cancellation. As a result the value of $|\Gamma(OSV)|$ eventually becomes even lower than what is observed for the case S = 0.5. On the other hand, for S = 0.25 and 0.5, $|\Gamma(OSV)|$ continues increasing until $T^* \approx 5.5$, and then decreases very gently.

The numerical work of Ortega-Chavez *et al.* (2023) showed, for moderate *S*, that $\Gamma(OSV)$ in rings produced with $L/D_o = 6$ scales with S^2 as a consequence of (3.12). In figure 9(*b*), the effect of scaling by S^2 is reasonably well captured for the cases S = 0.25 and 0.5. The S = 1 case only behaves similar to the previous two for $T^* \leq 4$; beyond that, it deviates significantly from the other cases. These discrepancies may be attributable to the following factors.



Figure 9. (a) Evolution of the dimensionless circulation associated with OSV. (b) Dimensionless OSV circulation normalised by S^2 (Ortega-Chavez *et al.* 2023). (c) Evolution of the dimensionless primary ring circulation Γ_{Ring}^* . For all the cases, a threshold of $|\omega_x| > 1 \text{ s}^{-1}$ was applied.

- (i) Firstly, in the current work, $L/D_o = 2$; typically smaller than the formation number F. Isolated rings without a jet-like wake are formed. For $T^* > 2$ no further continuous momentum is delivered from the tube to sustain vortex tilting in the region close to the ring's axis. In turn, vorticity cancellation dominates over the OSV production rate affecting the S^2 scale.
- (ii) Secondly, as detailed in § 3.1, the difference in boundary condition at the two ends of a rotating tube of finite length induces a secondary flow, prohibiting full establishment of a solid-body rotation, which consequently reduces the total swirl momentum flux, and subsequently hinders the production of OSV via diminishing $\partial (u_{\theta}^2/r)/\partial z$. This swirl flux deficit increases with *S*.

Increase of vorticity cancellation with *S* can also be observed through the simultaneous decrease of the primary ring circulation, Γ_{Ring} , calculated using (3.13) and integrating over the area occupied by the primary vortex. Figure 9(*c*) compares the time evolution of Γ_{Ring} . In all cases, decay of Γ_{Ring}^* is evident. For the non-swirl case, S = 0, decay is expected to be a consequence of viscosity dissipation, and therefore the more rapid decay rates reflected when S > 0 can be attributed to cancellation between ring vorticity and OSV. For $T^* \gtrsim 6$, the increased rate of decline when S = 1 indicates stronger interaction, consistent with figure 9(*a*). To aid comparison, Γ_{Ring}^* is fit to a linear function of T^* , *viz.* $d\Gamma_{Ring}^*/dT^*$ averaged over the scrutinised period; although careful inspection suggests that Γ_{Ring} decay should be governed by a more complex function – i.e. Γ_{Ring} falls off more rapidly at smaller than at large T^* when $\Gamma(OSV)$ approaches zero.



Figure 10. (a) Ring radius R at $T_1^* = 2.5$. (b) Evolution of R. (c) Dimensionless ring propagation velocity Φ . Legend as in figure 9(c).

3.2.2. Ring size and propagation velocity

Convection of OSV to the primary vortex core area results in an upstream translation of the windward s.p. in a frame of reference moving downstream at a velocity equal to the propagation velocity of the ring core, u'_z , figure 8. This translation is absent in the case of a non-swirling vortex ring. According to the Biot–Savart law (Brown & Lopez 1990; Ortega-Chavez *et al.* 2023), this upstream movement promotes vortex breakdown which, consistent with the continuity equation, also enhances the radial velocity of the vortex ring – i.e. expansion of the ring radius R, which is shown in figure 10(a). R was determined using the vortex core centroids defined as follows:

$$R = \frac{\iint \omega_x y \, \mathrm{d}y \mathrm{d}z}{\iint \omega_x \, \mathrm{d}y \mathrm{d}z} \quad Z = \frac{\iint \omega_x z \, \mathrm{d}y \mathrm{d}z}{\iint \omega_x \, \mathrm{d}y \mathrm{d}z}.$$
(3.14)

Figure 10(a,b) demonstrates that swirl has a clear effect on the size of the primary ring at two stages of its evolution. The first stage is the formation process revealed by figure 10(a). At $T_1^* = 2.5$, soon after discharge stops and when the ring pinches off, larger *S* results in a larger sized ring being formed – probably from a combination of centrifugal and OSV effects imposed by the swirl during roll-up of the primary vortex core. Subsequently, rings for the different *S* cases experience a short transient period for $2.5 \leq T^* \leq 3.4$, when similar behaviour with respect to *R* is observed. For the second stage, $T^* > 3.5$, *S* effect becomes distinguishable again, figure 10(b). Here, larger *S* leads to a greater growth rate for *R*. The behaviour of *R* is related to the vortex breakdown process induced by the u_{θ} component and OSV, which can be inferred from the behaviour of $\Gamma(OSV)$ in figure 9. That is, the cumulative effect of larger $|\Gamma(OSV)|$ results in a greater growth rate of *R*. Further evidence is presented in § 3.3.2.

A subtle increase in the extent of the waviness of R with S is also seen. This is likely due to core instability in the form of azimuthal waves (Maxworthy 1977), which is promoted by the interaction between the primary ring core and OSV. These core waves also rotate about the ring axis under the effect of azimuthal velocity u_{θ} . It is worth remembering that rings may have drifted out of the measurement plane slightly as time passed, which might be the reason for the small decrease of $\Delta R \sim 0.02D_{o}$ for the case S = 0.

Figure 10(c) shows the normalised propagation velocities, Φ , calculated via the ring core trajectory, and hence u'_{τ} , as

$$\Phi = u'_z \left(\frac{\Gamma_{Ring}}{4\pi R}\right)^{-1}.$$
(3.15)

It reveals that over the period scrutinised, Φ is fairly stable for all *S*, suggesting that Γ_{Ring}/R dominates over u'_z . For S = 0 and 1, $\Phi \approx 3$, and Φ decreases appreciably with increasing *S*. According to Saffman (1995), Φ describes properties of an isolated vortex ring core, as follows:

$$\Phi = \ln\left(\frac{8}{\epsilon}\right) + \frac{1}{\Gamma_{Ring}^2} \int_0^a \frac{\gamma^2(r')}{r'} \, \mathrm{d}r' - \frac{8\pi^2}{\Gamma_{Ring}^2} \int_0^a u_\theta^2 r \, \mathrm{d}r' - 0.5, \qquad (3.16)$$

where

$$\gamma(r') = 2\pi \int_0^a \omega r' \,\mathrm{d}r', \qquad (3.17)$$

with r' being the radius in a local coordinate system with its origin at the vortex core centroid, $\epsilon = a/R$ being the ratio of the primary ring core radius a to the ring radius R, which decreases with increasing S (Ortega-Chavez *et al.* 2023). The first three terms on the right-hand side of (3.16) take into account the effect of the ring core size, the detailed distribution of ω_x inside the core via $\gamma(r')$ and the swirl velocity u_{θ} in the core, respectively. The inverse dependence of Φ on S shown suggests that Φ is predominantly influenced by the decay of Γ_{Ring} , as presented in figure 9(c).

3.2.3. Azimuthal velocity u_{θ}

During the process of ring formation, u_{θ} is transported by the rolling up of the vortex sheet (boundary layer) from the rotating tube to the vortex core. No significant trailing jet (fluid with non-trivial out-of-plane vorticity ω_x) is formed in this process because of the relatively small L/D_o . Figure 11(*a*–*c*) show contours of u_{θ} at $T^* = 4$, long after formation is completed and ring bubble pinched off has occurred; compare this with the ω_x contours in figure 8 at the later time $T^* = 5$. Here, the u_{θ} distribution is the average of the in-plane upper and lower vortex ring segments.

The above shows that u_{θ} is not concentrated in the vortex core area. Instead, it occupies space in the ring bubble and in the wake. The former is the residual swirling fluid that is not entrained into the ring core and transported along with it; the latter is the trace induced and left behind by the ring after it has passed by, which appears as a swirling wake that gets stretched by Γ_{Ring} induced velocity. The wake-like part is especially clear for the lowest *S* case, figure 11(*a*), but different from a formation wake produced when $L/D_o \gg F$. A formation wake is indicative of significant ω_x , which is absent in the current cases; cf. Figure 8.

Only insignificant swirl fluid enters the ring core area. The relationship between ω_x and u_{θ} is shown in figure 11(e) for S = 1, at $T^* = 3$ and $T^* = 5$ (cf. figure 8c), centred at $T^* = 4$ (cf. figure 11c). A local coordinate system (y_c, z_c) centred at the (moving)



Figure 11. (a-c) The u_{θ} distribution at $T^* = 4$ for S = 0.25, 0.5 and S = 1, respectively. Contour line superposed is at level $\omega_x^{max}(T^*)e^{-1}$, which marks the primary vortex core. Red lines, which mark the bubble area, are the in-plane streamlines in the frame of reference translating with the vortex core at the instantaneous velocity u'_z . (d) Evolution of spatially averaged u_{θ} in the vortex core area. (e) Distribution of ω_x and u_{θ} along the y and z direction through the ω_x -based vortex core centroid for the case S = 1, where y_c and z_c are in a local coordinate system having its origin at the vortex core centroid, as illustrated in (c).

 ω_x -based vortex core centroid is utilised. The equivalent vortex core radius *a* is obtained after applying the threshold $\omega_x \ge \omega_x^{max} e^{-1}$, where ω_x^{max} is the peak ω_x at a given time. The ω_x profile for both T^* remains Gaussian-like in the core area, $-a \le (y_c, z_c) \le a$, with those along y_c and z_c in good agreement – notwithstanding the influence of u_θ and OSV (shown as $\omega_x < 0$), which impose an adverse effect on the core size as shown in (a-c).

The dependence of the u_{θ} profiles on T^* reflects a dynamic engulfing process, which reveals the dominant mechanism by which u_{θ} gets into the core area. That is, u_{θ} is mainly convected by the vortex core induced velocity. Viscous diffusion of u_{θ} , evident in Ortega-Chavez *et al.* (2023), during the formation process is not clear, plausibly due to the associated small slug length L/D_{ρ} .

The swirl velocity in the vortex core, denoted by $\langle u_{\theta} \rangle$, is quantified by the spatially averaged u_{θ} in the ring core of equivalent radius *a*. (Angled braces are used to denote spatial averaged quantities.) Results are presented in figure 11(*d*) for the S > 0 cases. When compared with the findings of Ortega-Chavez *et al.* (2023) for the case of a solidbody rotation for u_{θ} and $L/D_o = 6$, similarities can be observed. Firstly, smaller *S* is more capable of engulfing swirling fluid material into the core region, but the highest $\langle u_{\theta} \rangle$ attainable in the ring core area is only approximately $0.4SU_o$ which is slightly less than that for the solid-body rotation case. Secondly, u_{θ} decays only subtly after the ring pinches off for the case S = 1. For the smaller *S* cases no such decay is apparent; instead $\langle u_{\theta} \rangle$ increases slightly until $T^* \approx 5$. It echoes figure 11(*e*) that the engulfment of u_{θ} from the region appearing around the primary vortex core towards the centre of the ring axis (see figure 8) dominates over the viscous diffusion effect.

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In comparison, u_{θ} delivered to the ring core area from the rotating tube is much weaker than the velocity generated by the linear impulse, which is associated with Γ_{Ring} . This can be seen from the characteristic velocity u_c of a fluid particle rotating around the vortex core projected in the $y_c - z_c$ plane, which can be written as

$$\frac{u_c}{U_o} = \frac{1}{\pi} \left(\frac{D_o}{2a}\right) \Gamma_{Ring}^*.$$
(3.18)

In the above, Γ_{Ring}^* and D_o/a can be inferred from figures 9(c) and 8, respectively. Thus $u_c/U_o = \mathcal{O}(1)$, as expected, whilst $\langle u_\theta \rangle / U_o$ is shown to be an order of magnitude lower. In terms of dimensionless time τ_{θ} (scaled by D_o/U_o), it can be shown for a fluid particle inside the core area to make a full revolution due to u_{θ} about the ring axis (z axis) that

$$\tau_{\theta} = \left(\frac{\pi}{S}\right) \left(\frac{2R}{D_o}\right) \left(\frac{u_{\theta}}{SU_o}\right)^{-1}.$$
(3.19)

Considering that R increases over time, the strongest swirl case tested (S = 1), leads to $\tau_{\theta} \sim 20$. For lower S, τ_{θ} is even larger. This means that for the entire life of the ring, by the time the ring is largely dissipated by viscosity, only approximately 1-2 revolutions will have been completed.

3.3. Partially established swirling rings

3.3.1. Preparation time

A vortex ring forms as the consequence of a cylindrical-shaped vortex sheet rolling up. In a piston-tube system, this vortex sheet originates from the boundary layer that develops on the inner wall of the tube during discharge. For a swirling vortex ring, the azimuthal velocity u_{θ} in the vortex core is also from the portion of u_{θ} in this boundary layer, due to the rotating tube, which is then transported by the vortex sheet and engulfed into the core central area. This process, explored in detail in Ortega-Chavez et al. (2023), can also be inferred from figure 11. The boundary layer thickness occupies roughly the outer 20%of the tube radius (Didden 1979), while u_{θ} discharged from the central part of the tube is responsible for vortex tilting and vortex breakdown.

It is shown via (3.2) that establishment of u_{θ} is initiated at the tube's inner wall and requires a time scale D_o^2/ν – referred to subsequently as the "preparation time" for swirl to diffuse to the tube axis. For an infinitely long tube of the same D_o utilised in the present work, it takes of the order of 60 s physical time ($t_d \approx 3.4$) to reach a state of nearly solidbody rotation. It is therefore possible to produce swirling rings with a similar magnitude of $\langle u_{\theta} \rangle$ in the core to those discussed in § 3.2, by reducing this preparation time at a fixed Ω for a partially established u_{θ} profile. At the same time, u_{θ} closer to the tube central region will also be partially established in proportion, which will then reduce the formation of OSV and diminish the degree of breakdown of the primary ring.

To quantify the above the average value of u_{θ} for the domain $0.4 \leq r/D_{\rho} \leq 0.5$ was calculated, using (3.2), as

$$\langle u_{\theta}^{p}(t) \rangle = 2 \left[(0.5 \ D_{o})^{2} - (0.4 D_{o})^{2} \right]^{-1} \int_{0.4 D_{o}}^{0.5 D_{o}} u_{\theta}(r, t) r \, \mathrm{d}r.$$
 (3.20)

In what follows, $\langle u_{\theta}^{p}(t) \rangle$ is denoted as $\langle u_{\theta}^{p} \rangle$ and explicitly time-dependent. The shaded area in figure 12(a) denotes the range of integration in (3.20). u_{θ} profiles at t = 6 and 24 s are shown, which correspond to $t_d = 0.34$ and 1.36, respectively, while the experimentally measured u_{θ} taken at $z = -D_{\theta}$ is used to approximate u_{θ} for the entire discharged fluid volume. Although u_{θ} is demonstrated in § 3.1 to be appreciably z-dependent, in



Figure 12. (a) Comparison between u_{θ} profiles from experiments measured at $z = -D_o$, $\Omega = 1.95$ rad s⁻¹ (S = 1) and that based on (3.2), at $t_d = 0.34$ (t = 6 s) and 1.36 (24 s). The shaded area represents $\langle u_{\theta}^p \rangle$ averaged over $0.4 \leq r/D_o \leq 0.5$ according to (3.20). (b) The evolution of $\langle u_{\theta}^p \rangle$ in physical preparation time; the second abscissa is the corresponding diffusion time t_d .

The vertical dashed lines mark $\langle u_{\theta}^{p} \rangle$ values at t = 5 and 20 s.

particular figure 4, $z = -D_o$ is the middle section of the volume discharged and therefore the approximation is expected to be reasonable. For $0.4 \le r/D_o \le 0.5$, where $\langle u_{\theta}^p \rangle$ is quantified, the u_{θ} value produced by the rotating tube of finite length agrees reasonably well with the theoretical secondary flow free solution, (3.2), especially at early time.

Figure 12(*b*) shows the dependence of $\langle u_{\theta}^{p} \rangle$ on preparation time; it increases rapidly in the first 10 s ($t_d \leq 0.57$), flattening out after 20 s ($t_d \geq 1.15$). For the theoretical case described by (3.2), in steady state $u_{\theta} = \Omega r$, $\langle u_{\theta}^{p} \rangle \approx 0.45\Omega D_{o} = 0.9SU_{o}$, which is $0.9U_{o}$ (since S = 1). Half of this value, $0.45SU_{o}$, is also delineated in figure 12(*b*), which only takes roughly 2 s ($t_d \approx 0.11$) preparation time to achieve. The value of $\langle u_{\theta}^{p} \rangle$ from experiment is provided for comparison as well, which shows a lower magnitude than predicted by (3.2) due to the presence of secondary flow. Nevertheless, the trend is similar. In particular, the difference in $\langle u_{\theta}^{p} \rangle$ between t = 5 s ($t_d \approx 0.29$) and 20 s ($t_d \approx 1.15$), labelled as Δ , shows a similar value for both experiment and theory. This lends support to the impact of preparation time in practice being reasonably quantifiable using (3.2).

It can thus be construed that S is not the only parameter to characterise the swirl strength in a ring produced by a rotating tube as such. The preparation time is the other important parameter. That is, the transient build-up of swirl velocity near the tube's inner wall, similar to $\langle u_{\theta}^{p} \rangle$ calculated via (3.20), plays an equally important role to that of S, which must be taken into consideration.

3.3.2. Effect of preparation time on ring properties

Experiments were performed to explore the effect of preparation time t = 0, 5, 10, 15and 20 s ($t_d \approx [0:0.29:1.15]$) on vortex rings with $\Omega = 1.95$ rad s⁻¹ (S = 1). The outcomes are compared with that for a preparation time of t = 75 s ($t_d \approx 4.3$), viz. the fully established S = 1 case discussed in § 3.2, with all the other parameters remaining the same. Note that the preparation time of concern here is the time before the start of the piston stroke, which itself takes approximately 2 s. During this stroke time, swirl continues to develop in the rotating tube and as such the actual swirl delivered by the total fluid



Figure 13. Contour plots of ω_x at $T^* = 4$, after averaging the upper and lower halves of the associated flow fields. Panels (a)–(d) are for preparation times 5, 10, 20 and 75 s ($t_d \approx 0.29, 0.57, 1.15$ and 4.3), respectively, with a pipe angular speed $\Omega = 1.95$ rad s⁻¹ (S = 1). In-plane streamlines in the frame of reference translating in the z direction at u'_z have been superposed to delineate the vortex bubble area.

discharge will be slightly higher. The stroke time is excluded from the termed preparation time for reasons of simplicity. Zero preparation time, which refers to the case where the onset of the tube rotation synchronises with the piston stroke, is included to evaluate the effect of swirl developed during the duration of the 2 s piston stroke.

Resultant ω_x contours are shown in figure 13(*a*), for the minimum 5 s preparation time ($t_d \approx 0.29$), where no OSV formation can be observed. For zero preparation time, no visible difference can be noticed, the same as for the non-swirl vortex ring (S = 0); figures not shown. This justifies the amount of swirl issued from the rotating tube during the piston stroke time being taken as unimportant. After 10 s preparation time ($t_d \gtrsim 0.57$), figure 13(*b*), the presence of OSV becomes observable, and increasingly evident at longer preparation times, figure 13(*c*,*d*).

The value of $\langle u_{\theta} \rangle$ in the core area is found to be relatively constant for $T^* \leq 5$, regardless of preparation time (figure not shown), which is similar to that of a fully prepared ring shown in figure 11(*d*). For $T^* > 5$, the interaction between the primary vortex core and OSV is stronger, destabilising the former.

To further facilitate comparison, the time average of $\langle u_{\theta} \rangle$, denoted as $\langle \overline{u_{\theta}} \rangle$, from the experimental measurements for $2.5 \leq T^* \leq 5$, is calculated and the results provided in table 1. It can be seen that both $\langle \overline{u_{\theta}} \rangle$ and $\langle u_{\theta}^{p} \rangle$ have the largest increment from 0 to 5 s preparation time, corresponding to $0 \leq t_d \leq 0.29$. The non-zero measured $\langle \overline{u_{\theta}} \rangle$ for zero preparation time originates from the swirl input during the 2 s piston stroke. After 5 s preparation time is ≈ 30 % of the S = 0.25 fully established case. It thus echoes the finding in figure 12(*b*) that the most efficient swirl delivery to the primary ring core area occurs at small preparation times.

S	1					0.25	0.5	
Prep.t (s)	0	5	10	15	20	75	75	75
t_d	0	0.29	0.57	0.86	1.15	4.3	4.3	4.3
$\langle \overline{u_{\theta}} \rangle / U_o$	0.03	0.2	0.22	0.23	0.22	0.27	0.09	0.17
$\langle u_{\theta}^{p} \rangle / U_{o}$	0	0.65	0.75	0.8	0.83	0.9	0.23	0.45

Table 1. Dependence of the azimuthal velocity magnitude on the dimensional preparation time (Prep.*t*), and the corresponding dimensionless diffusion time t_d , for S = 1. $\langle \overline{u_\theta} \rangle / U_o$: spatial- and time-averaged azimuthal velocity in the primary ring core based on experiments; $\langle u_\theta^p \rangle / U_o$ quantified by (3.20); $\langle u_\theta^p \rangle$ from experiment, which can be inferred from figure 12(*b*), are not tabulated. The results included in the last two columns are for the case S = 0.5 and S = 0.25, both at 75 ss preparation time.



Figure 14. Dependence of $\langle \overline{u_{\theta}} \rangle$ and $\langle u_{\theta}^{p} \rangle$ on preparation time; the second abscissa is the corresponding diffusion time t_d , $\beta = 3.45$. $\langle u_{\theta}^{p} \rangle$ is obtained via (3.20) making use of (3.2) with $\Omega = 1.95$ rad s⁻¹ (S = 1), while $\langle u_{\theta}^{p} \rangle$ calculated from experiments follows a similar trend with $\beta = 3.15$; data points not shown.

Table 1 also shows that, even though $\langle u_{\theta}^{p} \rangle$ mainly accounts for the inner boundary layer of the rotating tube, which is the main source area for ω_x in the vortex ring core, the swirl magnitude $\langle \overline{u_{\theta}} \rangle$ remains a small fraction of it. Firstly, not all this fluid can be entrained into the vortex core as elaborated in § 3.2.3. Secondly, between 30 % and 40 % of the total fluid carried with the ring structure comes from the ambient flow having zero u_{θ} (Dabiri & Gharib 2004; Gan & Nickels 2010).

Figure 14 compares the time mean core swirl $\langle \overline{u_{\theta}} \rangle$ based on measurements and the estimated $\langle u_{\theta}^{p} \rangle$ from (3.20), where $u_{\theta}(r, t)$ takes the form of (3.2). Their similar behaviour is confirmed via the transformation $\langle u_{\theta}^{p} \rangle \approx \beta \langle \overline{u_{\theta}} \rangle$. The value $\beta (\approx 3.45)$ quantifies, almost, the preparation time-independent efficiency of u_{θ} engulfment into the core area. This also suggests that, up to a preparation time of 20 s, $t_{d} \approx 1.15$, $\langle \overline{u_{\theta}} \rangle$ may be estimated empirically using this theoretical relationship without knowing the actual $u_{\theta}(r, t)$ profile which is influenced by the complex secondary flow.

Figure 15 summarises the main characteristics of vortex rings produced for S = 1 at various preparation times, in particular the time-averaged swirl magnitude $\langle \overline{u_{\theta}} \rangle$, the maximum ring radius R_{max} and the normalised propagation velocity of the vortex ring structure Φ . Also included for comparison are those values for fully established rings, for S = 0.25, 0.5 and 1.



Figure 15. Comparison of partially established vortex rings at S = 1 for different preparation times (indicated by squares) with fully established ones for different *S* (shown as lines). (*a*) Time-averaged core swirl $\langle \overline{u_{\theta}} \rangle$; (*b*) maximum radius of the primary vortex ring R_{max} ; (*c*) time-averaged Φ calculated using (3.15), over $3 \leq T^* \leq 7$.

The dependence of $\langle \overline{u_{\theta}} \rangle$ on preparation time in figure 15(*a*) reflects that a saturated level is reached after a preparation time of 10 s ($t_d \approx 0.57$), echoing the finding in figure 12(*b*) that delivery of swirl momentum to the vortex core area is more efficient at small preparation times. Nevertheless, a longer preparation time does produce stronger OSV, as shown in figure 13, and consequently promotes vortex breakdown and hence increased ring radius (Ortega-Chavez *et al.* 2023) – evidenced by the variation of R_{max} in figure 15(*b*). Additionally, comparison of the preparation times 0 and 5 s ($t_d \approx 0.29$) in (*a*) and (*b*), suggests a centrifugal effect on R_{max} attributed to u_{θ} in the vortex core during the ring's evolution, which as discussed in Virk *et al.* (1994) and He *et al.* (2020*a*), is deemed negligible.

Preparation time further impacts Φ adversely, as demonstrated in figure 15(c), even though the ring radius R has been taken into account in its scaling. This is in line with that for fully established rings – shown as dashed lines – and is plausibly owing to the influence of OSV on detailed vortex core topology and Γ_{Ring} as considered further below.

Figure 16(*a*) shows the evolution of $\Gamma^*(OSV)$, for S = 1, and different preparation times. The value of $\Gamma^*(OSV)$ displays similar behaviour to that for the fully established rings shown in figure 9(*a*), being consistent with figure 13 for preparation times of 0 and 5 s, i.e. $t_d \leq 0.29$, $\Gamma(OSV) \approx 0$. As expected, a 20 s preparation time, $t_d \approx 1.15$, produces the largest change in $\Gamma(OSV)$, which decays quickly for $T^* > 5$ due to vorticity cancellation with the primary vortex core. The minimum value of $\Gamma^*(OSV)$ associated with the different preparation times, denoted as $\Gamma^*_{min}(OSV)$, is displayed in figure 16(*b*). Considering $\Gamma^*_{min}(OSV)$ for preparation times of 10 and 20 s, $t_d \approx 0.57$ and 1.15, and comparing them with $\langle \overline{u_{\theta}} \rangle$ in figure 14, or figure 15(*a*), garners support for the claim that production of OSV is related more to the u_{θ} distributed in the central region of the rotating tube than to the tube wall boundary layer during discharge.

The observations discussed above suggest one clearly important effect of generating swirling vortex rings with smaller preparation times: the reduced production of OSV while maintaining the level of u_{θ} supply to the primary core area. This is because a smaller preparation time facilitates delivery of u_{θ} from the tube wall boundary layer to the ring core area, while keeping a low level of u_{θ} in the tube central region which would otherwise promote OSV and vortex breakdown, (3.12). Reassurance that this is indeed the case comes



Figure 16. (a) Evolution of the dimensionless circulation associated with OSV when S = 1 and for four different preparation times. (b) Dependence of the minimum circulation associated with OSV on the preparation time for S = 1; note $\Gamma(OSV) < 0$. (c) Dependence of the averaged decay rate of the dimensionless circulation of the primary ring, Γ_{Ring}^* , on the preparation time. In (b) and (c), the second abscissa shows the dependence of the quantities on S for fully established swirling rings.

from the dependence of $\Gamma_{min}^*(OSV)$ on *S* for fully established rings shown in figure 16(*b*). For example, the magnitude of $\Gamma_{min}^*(OSV)$ for a fully established vortex ring when S = 1 is considerably larger than the case when S = 1 but with a preparation time 20 s ($t_d \approx 1.15$).

The above also lends support to the earlier experimental investigation of swirling vortex rings by Naitoh *et al.* (2014), who used a similar rotating pipe rig with a preparation time of 15 s. The rings that they formed should arguably be classified as partially established since $t_d \approx 2.17$ (versus $t_d > 4$ for full establishment) based on (3.2) and using the D_o of their rig. It explains the weak OSV observed in their experiments. The corresponding u_θ profile in their nozzle system is less likely to have reached solid-body rotation status before discharge began due to the effect of a secondary flow, cf. figure 2(*b*).

Finally, in line with fully established rings discussed in § 3.2, in particular figure 9, a reduced preparation time helps sustain Γ_{Ring} , i.e. by reducing the magnitude of $\partial \Gamma_{Ring}^*/\partial T^*$ and contributing to a reduction in vorticity cancellation between the primary vortex ring and OSV. In figure 16(c), the decay rate is obtained by linear regression over $2.8 \leq T^* \leq 7.5$, cf. figure 9(c).

4. Conclusions

New systematic PIV focused experiments addressing the generation of swirling vortex rings for a range of swirl numbers $S \in [0, 1]$ – produced by rotating tube of finite length – in tandem with complementary numerical solutions, reveal a number of interesting and significant findings.

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It is shown that it is not possible to achieve a fully developed solid-body-like rotational initial condition prior to discharge – as is usually assumed to be the case – regardless of the associated preparation time using a rotating tube system of the type adopted, and arguably for any other related configuration for that matter. This is due to the complex secondary flow that develops – involving expulsion of fluid from the orifice, formed by the rotating tube, which is replaced by fluid entrained from the initially station adjacent bulk – and driven by the difference in pressure at the two ends of the tube. Consequently, a deficit of u_{θ} exists even once steady state is reached. Unlike the classical closed form analytical solution for a rotating tube of infinite length (Batchelor 1967), the effect of this secondary flow decreases with distance into the tube from the orifice end, and increases with an increase in the tube's speed of rotation.

Interestingly, for a fully established initial condition, when the flow inside the rotating tube achieves steady state, the resultant swirling vortex ring, following discharge, exhibits similar characteristics to ones investigated numerically (Ortega-Chavez *et al.* 2023) with an ideal solid-body rotation initial condition imposed. In particular, OSV forms around the centre of the vortex ring, its magnitude increasing with *S*. This OSV is advected by the velocity field induced by the primary vortex ring core, leading to vorticity cancellation that destabilised the ring and promotes its breakdown.

Subsequent to the above, a rigorous exploration of the effect of preparation time, the time before discharge, on the production of vortex rings is performed. An important finding of this investigation, owing to the transient development of $u_{\theta}(r, t)$ inside the rotating tube, is that partially established swirling vortex rings can be produced with a reduced preparation time. Evaluation of the main features of these partially established rings revealed a key influence of preparation time. Namely, for a given rate of rotation of the tube, smaller preparation time more efficiently facilitates delivery of swirl momentum to the vortex ring core area, while simultaneously maintaining OSV at a lower level in the area around the ring's axis. A consequence of this is a reduction in the tendency of the vortex ring to breakdown, the maintaining of its structural propagation velocity, and elongation of its lifetime.

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