Depressed mood enhances anxiety to unpredictable threat

O. J. Robinson*, C. Overstreet, A. Letkiewicz and C. Grillon

Section on Neurobiology of Fear and Anxiety, National Institute of Mental Health, NIH, Bethesda, MD, USA

Background. Depression and anxiety disorders (ADs) are highly co-morbid, but the reason for this co-morbidity is unclear. One possibility is that they predispose one another. An informative way to examine interactions between disorders without the confounds present in patient populations is to manipulate the psychological processes thought to underlie the pathological states in healthy individuals. In this study we therefore asked whether a model of the sad mood in depression can enhance psychophysiological responses (startle) to a model of the anxiety in ADs. We predicted that sad mood would increase anxious anxiety-potentiated startle responses.

Method. In a between-subjects design, participants (n=36) completed either a sad mood induction procedure (MIP; n=18) or a neutral MIP (n=18). Startle responses were assessed during short-duration predictable electric shock conditions (fear-potentiated startle) or long-duration unpredictable threat of shock conditions (anxiety-potentiated startle).

Results. Induced sadness enhanced anxiety- but not fear-potentiated startle.

Conclusions. This study provides support for the hypothesis that sadness can increase anxious responding measured by the affective startle response. This, taken together with prior evidence that ADs can contribute to depression, provides initial experimental support for the proposition that ADs and depression are frequently co-morbid because they may be mutually reinforcing.

Received 16 June 2011; Revised 13 October 2011; Accepted 17 October 2011; First published online 17 November 2011

Key words: Anxiety, co-morbidity, depression, mood, sadness, startle reflex.

Introduction

Major depression and anxiety disorders (ADs) are debilitating and extremely prevalent diagnoses (Kessler et al. 2005) with wide-reaching negative psychological and economic impacts for both the individual and society (Greenberg et al. 2003; Beddington et al. 2008). The two sets of disorders are different; they can show distinct ages of onset (Kessler et al. 2005), distinct heritability and genetic patterns (Jardine et al. 1984; Kendler et al. 1995; Eley, 1999), differential impact upon cognitive performance (Mogg et al. 1993; Bierman et al. 2005), opposite effects on arousal (Clark & Watson, 1991) and distinct pharmacological profiles (Deakin, 1998). Furthermore, the predominant subjective emotion in each disorder is different: ADs are characterized by a state of 'worry' (and normal positive affect) whereas depression is characterized by 'sadness' (and reduced positive affect) (Clark & Watson, 1991; Brady & Kendall, 1992). Nevertheless, depression and ADs are also highly co-morbid with around 50–60% of depressed individuals reporting a lifetime history of AD (Kaufman & Charney, 2000; Kessler *et al.* 2005). This co-morbid pathology tends to be more persistent than either disorder alone (Merikangas *et al.* 2003), with increased overall life impairment (Kessler *et al.* 1998), worse treatment outcomes (Brown *et al.* 1996) and increased likelihood of suicide (Angst *et al.* 1999). The underlying causes of this co-morbidity remain, however, unresolved.

One possibility is that there is a relationship between the two sets of disorders that promotes co-morbidity. Negative affective states (such as depressed and anxious emotional states) increase negative emotional reactivity (Rosenberg, 1998) by potentiating the response to negatively valenced stimuli (Dichter & Tomarken, 2008). Depression and ADs may therefore predispose one another through the promotion of such negative emotional reactivity. Support for this idea comes from Beck's schema model, which argues that cognitive biases distort the processing of emotional stimuli (Beck, 1967) and that depressed and sad mood can promote these biases,

^{*} Address for correspondence: O. J. Robinson, Ph.D., NIMH, 15K North Drive, Bldg 15K, Room 203, MSC 2670, Bethesda, MD 20892-2670, USA.

⁽Email: oliver.j.robinson@gmail.com)

potentiating reactivity to negative stimuli. Accordingly, sad and depressed mood may sensitize the amygdala/bed nucleus of the stria terminalis fear/ anxiety response network, facilitating the development of ADs.

Consistent with this, there is clear neurocognitive evidence for hyperactive aversive responding in depression (Clark et al. 2009; Eshel & Roiser, 2010; Elliott et al. 2011). However, several psychophysiological studies point to a pervasive hyporeactivity to both positively valenced stimuli and negatively valenced stimuli in depression (Rosenberg, 1998; Allen et al. 1999; Dichter et al. 2004; Kaviani et al. 2004; Forbes et al. 2005; Dichter & Tomarken, 2008; McTeague et al. 2009). These apparent discrepancies may be due, at least in part, to differences in depression severity across studies and, in psychophysiological studies, to procedural differences such as the nature and duration of the stimuli used to evoke responses. This latter distinction is important for two reasons. First, shortand long-duration aversive responses have been conceptualized as fear and anxiety responses respectively. Fear is similar to phobic fear, whereas anxiety is viewed as a sustained aversive state cutting across several ADs including generalized anxiety disorder, panic disorder and post-traumatic stress disorder (PTSD) (Davis et al. 2010). A wealth of animal and human studies has demonstrated separate neural and pharmacological systems mediating these two types of aversive responses (Grillon, 2008b; Davis et al. 2010; Miles *et al.* 2011), raising the possibility that depression might have distinct effects on each response. In fact, depression is not associated with the high emotional and physiological arousal that characterizes fearrelated disorders (e.g. phobias) (Dichter & Tomarken, 2008). Second, depression is a state of rumination and impaired regulation of response to sustained stressors (Nolen-Hoeksema, 2000; Tomarken et al. 2007; Cooney et al. 2010). As such, hyperactivity may be seen for long-duration anxiety responses rather than for phasic fear.

One way to begin testing basic hypotheses about psychiatric disorders is to adopt models of psychiatric disorders in healthy individuals (Mayberg *et al.* 1999; Grillon, 2008*b*; Robinson & Sahakian, 2009*a*). This technique has proven fruitful in the past. For instance, evidence was found for a neurocognitive model of recurrence in depression (Robinson & Sahakian, 2008) by pairing a serotonin reduction technique with sad mood induction. In the present study we paired the same mood induction technique (Robinson & Sahakian, 2009*b*) with a threat of shock paradigm (Grillon & Baas, 2003) to examine putative interactions between fear/anxiety and depression. The threat of shock paradigm evokes robust fear and anxiety, and

their behavioral, cognitive and neural concomitants in healthy individuals (Grillon, 2008b; Alvarez et al. 2011; Robinson et al. 2011). Similarly, the mood induction technique induces subjective physiological, neural and cognitive symptoms of depression in healthy individuals (Mayberg et al. 1999; Mitchell & Phillips, 2007; Robinson & Sahakian, 2009b; Berna et al. 2010). The correspondence between these models and pathological states may be a result of the induced states recruiting the same adaptive mechanisms that, when experienced to excess, underlie the pathological states (Sanislow et al. 2010). In the threat of shock paradigm, short-duration (fear) and long-duration (anxiety) aversive responses were assessed by having subjects anticipate predictable or unpredictable shocks respectively (Grillon, 2008b). Aversive states were then measured using the startle reflex. We hypothesized, based upon (a) the assumption that sad mood would promote negative emotional reactivity and aversive responses and (b) evidence that depression does not increase fear-potentiated startle, that sad mood would increase the potentiation of startle by unpredictable shocks but not by predictable shocks.

As such, we took two validated techniques for inducing symptoms of sadness and anxiety in healthy individuals, and examined their combined impact on a sensitive psychophysiological measure of aversive states. Using such tests in healthy individuals allows us to test hypotheses about psychiatric disorders in the absence of the many confounds present in patient populations.

Method

Participants

Thirty-eight paid healthy volunteers participated in the study (Table 1). Inclusion criteria were: (1) no past or current psychiatric disorders according to SCID-I/P (First *et al.* 2002), (2) no history of a psychiatric disorder in any first-degree relatives; (3) no medical condition that interfered with the objectives of the study as established by a physician, and (4) no use of illicit drugs or psychoactive medications according to history and confirmed by a negative urine screen. All participants gave written informed consent approved by the National Institute of Mental Health (NIMH) Human Investigation Review Board.

Procedure

The procedure used a between-subject design (to avoid order effects associated with repeating the manipulations) with two groups of 18 subjects. One group underwent a sad mood induction procedure

	Neutral		Sad				
	Males $(n=6)$	Females $(n=13)$	Males $(n=6)$	Females $(n=13)$	Group comparison Sad v. Neutral		
Age (years) TPQ-HA BDI	26.1 (2.8) 5.8 (1.3) 0.8 (0.3)	26.2 (1.9) 8.5 (1.4) 0.4 (1.4)	29.5 (2.8) 4.6 (1.6) 0.5 (0.2)	27.7 (1.9) 7.7 (1.3) 1.3 (0.6)	t(36) = 0.9, n.s. t(36) = 0.55, n.s. t(36) = 0.5, n.s.		

Table 1. Subjects' age and HA and BDI scores

TPQ-HA, Tridimensional Personality Questionnaire – harm avoidance; BDI, Beck Depression Inventory; N.S., not significant.

Values given as mean (standard error of the mean).



Fig. 1. Task schematic. Following a startle habituation procedure, subjects underwent a shock work-up procedure followed by a neutral or negative mood induction procedure (=MIP and -MIP respectively), after which the threat of shock experiment started. The threat of shock consisted of three conditions: no shock (N), predictable shock only during cue (P), and unpredictable shock (U).

(MIP) and the other a neutral MIP. The threat experiment was similar to that of our previous studies examining responses to predictable and unpredictable shocks (Grillon *et al.* 2004; Grillon, 2008*a*,*b*). Following attachment of the electrodes, nine startle stimuli (habituation) were delivered every 18–25 s. This was followed by a shock work-up procedure to set up the shock intensity at a level highly annoying and mildly painful. Next, the MIP was initiated, followed by the threat experiment.

MIP

Details of the MIP procedure can be found in Robinson & Sahakian (2009*b*). Subjects were presented with 60 (sad or neutral) sentences while music was played through headphones. Each sentence was presented in the center of the screen for 12 s until a 'next' button appeared and subjects were able to move on to the next sentence by pressing the space bar. Subjects were

instructed to 'relate the situation described by the sentence to situations in their own lives', to get 'as deeply as possible into any mood evoked' and to 'feel free to outwardly express any mood evoked'. The sad MIP contained light grey text on a dark blue background. The music played was either Adagio for Strings, Op. 11 by Samuel Barber or Adagio in G Minor by Tomaso Albinoni. Music was selected by asking the subjects which piece was the 'saddest' prior to testing. The neutral, sham MIP featured black text on a white background and The Planets, Op. 32: VII. Neptune, the Mystic by Gustav Holst was played.

Threat procedure

The procedure (Fig. 1) consisted of three 150-s conditions, a no-shock condition (N), and two conditions during which shocks were administered either predictably (P), that is only in the presence of a threat cue, or unpredictably (U). In each condition, an 8-s cue was presented four times. The cues consisted of different geometric colored shapes for the different conditions: blue square for N, red circle for P, green triangle for U. The cues signaled a shock only in the P condition; they had no signal value in the N and U conditions.

Participants were told that they (1) would not receive a shock in the no-shock condition, (2) would be at risk of receiving a shock only when the cue was on during the predictable condition but not when the cue was absent, and (3) could receive a shock at any time in the unpredictable condition. Instructions were also displayed on a computer monitor throughout the experiment, giving the following information: 'no shock' (N), 'shock only during shape' (P), or 'shock at any time' (U). In each N, P and U condition, six acoustic startle stimuli were delivered. Three stimuli were presented during inter-trial intervals (ITIs; that is in the absence of cues) and one stimulus was presented during three of the four cues, 5-7 s following cue onset. Two orders of presentation were created. Each started with the delivery of four startle stimuli (pre-threat startle) and consisted of three N, two P and two U. The two orders were P N U N U N P or U N P N P N U. Each participant received a single order, with half the participants in each group starting with P and the other half starting with U. One shock was administered in each individual P and U condition for a total of four shocks in P and four shocks in U. In each P, the shock was randomly associated with one of the four threat cues, and administered 7.5 s following the onset of that cue. The shock was given either 7 or 10 s following the termination of a cue in the unpredictable condition. No startle stimuli followed a shock by less than 10 s.

Questionnaires

Subjects were given self-administered questionnaires during screening, which included a measure of trait depression, the Beck Depression Inventory (BDI; Beck et al. 1961) and the Tridimensional Personality Questionnaire (TPQ; Cloninger, 1986), a 100-item questionnaire that measures three distinct personality dimensions including a measure of trait anxiety, harm avoidance (HA) (Table 1). The State portion of the State and Trait Anxiety Inventory (STAI-State; Spielberger, 1983) was administered twice, prior to the MIP and just prior to the threat experiment. A set of visual analog scales (VAS) was administered to determine self-reported mood; subjects rated how happy, sad and depressed they felt in a scale from 0 (not at all) to 10 (extremely). This mood rating scale was administered prior to and after the MIP. Another set of VAS was used to evaluate retrospective anxiety in the presence and absence of the cue in each condition (N, P, U) on a scale ranging from 0 (not at all anxious) to 10 (extremely anxious). Subjects were also asked to retrospectively rate the level of shock pain experienced during the threat experiment on an analog scale ranging from 0 (not at all painful) to 10 (extremely painful).

Stimulation and physiological responses

Stimulation and recording were controlled by a commercial system (Contact Precision Instruments, UK). The acoustic startle stimulus was a 40-ms duration, 103-dB (A) burst of white noise presented through headphones. The eyeblink reflex was recorded with electrodes placed under the left eye. The electromyographic (EMG) signal was amplifier with bandwidth set to 30–500 Hz and digitized at a rate of 1000 Hz. The shock was administered on the left wrist.

Data analysis

The raw eyeblink signal was rectified in a 150-ms window starting 50 ms before the startle stimulus and then integrated using a custom-written scoring program that simulates an integrator circuit with a 10-ms time constant. Peak magnitude of the startle/ blink reflex was determined in the 20-100-ms timeframe following stimulus onset relative to a 50-ms prestimulus baseline and averaged within each condition. The raw scores were transformed into t scores based across conditions within subjects. The data were then averaged within each condition and stimulus types (ITI, cues). Fear-potentiated startle was defined as the increase in startle magnitude from ITI to the threat cue in the P condition. Anxiety-potentiated startle was defined as the increase in ITI startle reactivity from N to U. Data were entered into ANOVAs with repeated measures. Note that sex was used as a factor in the ANOVA because we previously reported increased contextual anxiety in females compared to males (Grillon, 2008*a*). For all statistical tests, α was set at 0.05. Greenhouse–Geisser corrections (GG- ε) were used for main effects and interactions involving factors with more than two levels.

Results

MIP

The mood scores, shown in Table 2, for sad and depressed mood were analyzed in separate MIP (neutral, sad) × time (pre-MIP, post-MIP) × sex (males, females) ANOVAs. Sad and depressed mood both showed a significant MIP × time interaction ($F_{1,34}$ = 6.1, p = 0.02 and $F_{1,34}$ = 5.4, p = 0.02 respectively), which reflected no change (or only trend for change) following neutral

	Neutral				Sad			
	Males		Females		Males		Females	
	Pre-MIP	Post-MIP	Pre-MIP	Post-MIP	Pre-MIP	Post-MIP	Pre-MIP	Post-MIP
Sadª	0.5 (0.2)	0.8 (0.7)	0.5 (0.2)	0.8 (0.4)	1.3 (0.2)	3.1 (0.4)	0.5 (0.2)	2.2 (0.7)
Depressed ^a State anxiety ^b (STAI)	0.6 (0.2) 30.5 (2.0)	0.9 (0.7) 31.1 (4.2)	0.5 (0.2) 25.8 (1.4)	0.7 (0.2) 36.0 (2.8)	0.7 (0.2) 24.8 (2.1)	2.5 (0.5) 33.1 (4.2)	0.6 (0.2) 25.4 (1.4)	2.2 (0.7) 37.3 (2.8)

Table 2. Mood and state anxiety ratings

STAI, State and Trait Anxiety Inventory; MIP, mood induction procedure.

Values given as mean (standard error of the mean).

^a For mood, the post-MIP rating was taken just after the MIP.

^b For state anxiety, the post-MIP rating was taken just prior to the threat experiment.

Table 3. Startle magnitude (t scores) and subjective anxiety for all trial types

Mood induction	Conditions	Context	Startle amplitude	S.E.M.	Subjective anxiety	S.E.M.
Sad	Pre-MIP	_	53.3	(1.0)	_	_
	Post-MIP	_	51.5	(2.2)	-	_
	Unpredictable (anxiety)	ITI	55.3	(1.5)	7.4	(0.4)
		Cue	55.5	(1.0)	5.6	(0.5)
	Predictable (fear)	ITI	49.7	(1.4)	4.9	(0.5)
		Cue	60.3	(1.7)	7.5	(0.4)
	No shock	ITI	40.5	(0.8)	1.8	(0.3)
		Cue	41.9	(1.1)	1.7	(0.3)
Neutral	Pre-MIP	-	54.2	(1.1)	_	-
	Post-MIP	-	55.4	(2.2)	_	-
	Unpredictable (anxiety)	ITI	52.5	(1.2)	7.8	(0.4)
		Cue	55.0	(1.1)	6.5	(0.6)
	Predictable (fear)	ITI	49.1	(1.0)	4.5	(0.5)
		Cue	58.9	(1.0)	7.4	(0.4)
	No shock	ITI	42.0	(0.8)	2.5	(0.3)
		Cue	41.9	(1.0)	1.8	(0.3)

MIP, Mood induction procedure; ITI, inter-trial interval; S.E.M., standard error of the mean.

sham MIP (sad $F_{1,18}$ = 3.2, p = 0.08; depressed $F_{1,18}$ = 2.5, N.s.) but a significant increase in sad and depressed ratings following sad MIP ($F_{1,18}$ = 12.5, p < 0.003 and $F_{1,18}$ = 10.9, p < 0.004, respectively). There was no group difference in 'happy' ratings following the MIP.

Baseline startle magnitude

The startle scores are shown in Table 3. The effect of MIP on baseline startle was investigated by comparing the startle responses before (average of the nine startle responses during habituation) and after (average of the four startle responses prior to the threat procedure) MIP in a MIP (neutral, sad) × time (pre-MIP,

post-MIP) × sex (males, females) ANOVA. Baseline startle was not affected by the sad MIP, as suggested by the lack of a MIP × time interaction ($F_{1,34}$ = 1.5, n.s.).

Cued fear- and anxiety-potentiated startle

Fear-potentiated startle was defined as the increase in startle magnitude from the ITI to the threat cue in the predictable condition. Anxiety-potentiated startle was defined as the increase in ITI startle magnitude from the no-shock to the unpredictable condition. As shown in Fig. 2, anxiety-potentiated startle but not fearpotentiated startle was increased by the sad MIP.



Fig. 2. Anxiety, not fear, was facilitated by sadness. Anxiety is operationally defined as the difference in inter-trial interval (ITI) startle magnitude between the unpredictable shock and the no-shock condition. Fear is operationally defined as the difference in startle magnitude between the ITI and cue of the predictable shock condition. Neutral and Sad represent neutral and sad mood induction procedures (MIPs). * p = 0.03.

Fear-potentiated startle (in the predictable condition) was examined using a MIP (neutral, sad) × stimulus type (cue, ITI) × sex (males, females) ANOVA. As expected, there was a highly significant stimulus type main effect ($F_{1,34}$ =54.6, p <0.0009), reflecting larger startle during the cue relative to ITI. Fear-potentiated startle was not significantly affected by the sad MIP, stimulus type × MIP interaction ($F_{1,34}$ =0.4, N.S.; Fig. 1).

Anxiety-potentiated startle was examined with ITI startle magnitude using a MIP (neutral, sad) × condition (N, U) × sex (males, females) ANOVA. As expected (Grillon *et al.* 2006), startle magnitude during ITI was larger in the U compared to the N condition (Table 3), resulting in a condition main effect ($F_{1,34}$ =111.5, p < 0.0009). As seen in Fig. 1, anxiety-potentiated startle was increased following sad MIP as reflected by a significant MIP × condition interaction ($F_{1,34}$ =5.0, p < 0.03). There was no significant difference in baseline startle across groups and this effect did not interact with sex.

Subjective anxiety and pain

The anxiety ratings (Table 3) were analyzed similarly to the startle data using repeated-measures ANOVAs. Subjects showed increased fear of the threat cue compared to ITI in the predictable condition ($F_{1,36}$ =45.9, p <0.0009), but this effect was not modulated by the MIP (no stimulus type × MIP interaction; $F_{1,36}$ =0.23, n.s.). Similarly, rating of anxiety during ITI increased from the no-shock to the unpredictable condition ($F_{1,36}$ =276.8, p <0.0009) and this effect was not modulated by MIP ($F_{1,36}$ =0.10, n.s.). STAI-State anxiety scores (Table 2) were analyzed in a MIP (neutral, sad)×time (pre-MIP, pre-threat)×sex

(males, females) ANOVA. State anxiety increased with time (from pre-MIP to just prior to the threat experiment) ($F_{1,34}$ =28.6, p < 0.0009) but this effect was more pronounced in females than males, as reflected by a significant time × sex interaction ($F_{1,34}$ =5.1, p < 0.03). The pain scores were analyzed using a MIP (neutral, sad) × sex (males, females) ANOVA. There were no difference between the sad and the neutral MIP groups ($F_{1,33}$ =0.7, N.S.) but there was a trend for females to rate the shock as more painful ($F_{1,33}$ =3.7, p=0.06).

Correlations

We examined personality characteristics associated with the propensity to respond to sad MIP. A composite sad mood score was created consisting of the mean post-MIP sad and depressed mood scores. In the entire group, sad mood correlated significantly with HA (r=0.39, p<0.02) and BDI (r=0.36, p<0.03). However, this effect was essentially attributable to the sad MIP group.

Discussion

Consistent with our hypothesis, healthy individuals demonstrated increased anxiety-potentiated startle following sad mood induction. As such, potentiated startle, which is a reliable indicator of aversive responding, and an indicator of activity within a sub-cortical neural circuit involving the amygdala and bed nucleus of the stria terminalis (Davis *et al.* 2010), is facilitated by induced sadness. That is to say, induced sadness serves to exacerbate the impact of sub-sequently induced anxiety on aversive responding. Moreover, this effect was restricted to the unpredictable condition, which is thought to model anxiety, and was not seen in the predictable condition, which is thought to model fear (Grillon, 2008*b*; Davis *et al.* 2010).

The present findings thus indicate that sad mood sensitizes the anxiety network (Davis *et al.* 2010). This is consistent with the observation that induced sad mood increases amygdala activity (Schneider *et al.* 1997; Berna *et al.* 2010) and with the observation that induced anxiety (Mechias *et al.* 2010), induced sad mood (Mayberg *et al.* 1999) and induced rumination (Cooney *et al.* 2010) recruit higher prefrontal cortical regions, which may be involved in top-down control of amygdala responses (Ochsner & Gross, 2005; Etkin *et al.* 2011). Hence, sadness may promote hyperactivity within the anxiety network though a top-down neural mechanism.

It is important to note that although startle responses were sensitive to mood, the self-report anxiety measures were not. This dissociation between objective measures and subjective reports is common; non-perceived aversive stimuli can, for instance, elicit amygdala activity in the absence of subjective feeling (Harmer *et al.* 2003; Kemp *et al.* 2004). One explanation for the present discrepancy is that startle was measured online during the study whereas the VAS ratings were retrospective. Moreover, unlike subjective report, which is probably cortically mediated and subject to demand characteristics (Orne, 1969), startle is probably subcortically mediated.

Putative relationship with psychiatric conditions

Threat of shock (Grillon, 2008b; Davis et al. 2010) has a strong background in translational research and recruits mechanisms implicated in AD. Similarly, sad mood induction involves neural and pharmacological mechanisms implicated in affective disorders (Mitchell & Phillips, 2007; Robinson & Sahakian, 2009*a*,*b*). Testing for interactions between these emotion induction procedures in healthy individuals is one way to assess how these defined mechanisms interact without the many confounds present in patient populations. Of course, such models are not a substitute for research in patient populations, but they can provide a more controlled environment in which to develop hypotheses than can be subsequently tested in patient populations. Thus, the present experimental findings are consistent with robust neurocognitive evidence for hyperactive aversive responses in depression (Clark et al. 2009; Eshel & Roiser, 2010; Elliott et al. 2011) and partially consistent with studies assessing (short-duration fear) startle responses following negative pictures in depressed individuals. Specifically, just as we fail to see potentiation of shortduration fear responses under sad mood, several studies have shown that depression fails to increase startle responses to short-duration positive and negative pictures (e.g. Allen et al. 1999; Dichter et al. 2004; Kaviani et al. 2004; Forbes et al. 2005; Dichter & Tomarken, 2008; McTeague et al. 2009). These studies in fact show reduced startle response, which is probably due to the nature of the stimuli used. Mildly aversive stimuli such as unpleasant pictures elicit considerably less short-duration fear responding (and associated startle) relative to the physical threat used here (Lissek et al. 2007). Of course this difference may also be because the sad mood was not strong enough to mimic depression. However, this is unlikely to account for the difference between the present study and the picture studies for three reasons. First, the MIP did affect anxiety-potentiated startle. Second, the MIP used here has been used successfully in several other studies (Robinson & Sahakian, 2009a,b; Berna et al. 2010; Robinson *et al.* 2010). Third, like major depression, sad mood in non-depressed subjects is also associated with blunted fear-potentiated startle response to unpleasant pictures (Grüsser *et al.* 2007).

It should be noted, however, that depression is heterogeneous and the severity and chronicity of the disorder are likely to affect emotional reactivity. Several studies have shown reduced affective modulation of startle by unpleasant pictures only in severely depressed individuals (Allen et al. 1999; Kaviani et al. 2004; Forbes et al. 2005; Melzig et al. 2007). Moreover, van Eijndhoven et al. (2009) found enlargement of the amygdala in first-onset but not in recurrent depression. Taken together, these results suggest that responsivity to threat, and potentially concomitant risk for an AD, changes as the depression becomes chronic. More specifically, the pattern may be hypersensitivity to threat only early on and normal or hyporesponsivity to threat later on. However, further research is necessary to clarify the impact of depression on anxiety- and fear-potentiated startle.

It should also be noted that the sadness that is associated with depression is strongly linked with long-term and persistent 'rumination' tendencies (Nolen-Hoeksema et al. 1993). That is to say, once a depressed individual has a sad or aversive emotional response, they tend to dwell and amplify that response or a prolonged period of time (Nolen-Hoeksema, 1991). Ruminatory tendencies are 'especially characteristic' of individuals with mixed anxiety and depression symptoms (Nolen-Hoeksema, 2000). As such, the increased adverse impact of both sadness and induced anxiety may thus be a reflection of a situation whereby sad mood drives ruminations, which then increases anxiety about uncertain future threats and ultimately has a greater negative impact upon startle responses.

Putative relationship with co-morbidity

One potential explanation for high co-morbidity between AD and depression is that anxiety and depression are frequently co-morbid because they predispose one another. They can exist alone, but they are perhaps mutually reinforcing. That is, being anxious might increase the likelihood of becoming depressed, and being depressed may increase the likelihood of becoming anxious, and that feedback between the states means that the ultimate co-morbid presentation is associated with greater pathology than either disorder alone.

In support of this hypothesis, there is substantial evidence that ADs increase the risk for some types of depression (Weissman *et al.* 1984; Brady & Kendall, 1992; Kaufman & Charney, 2000) and that ADs

frequently precede depression (Bittner et al. 2004). This sequence is in fact the most common. However, depression has been shown to precede or coincide with AD (Mineka et al. 1998; Kessler et al. 2008); across all co-morbid ADs, depression comes first in approximately 40-45% of individuals, and the relationship between adolescent depression and adult generalized anxiety disorder is said to be 'particularly strong' (Pine et al. 1998; Copeland et al. 2009). Our experimental design, in which we first induced sad mood and then induced anxiety responses, could therefore be conceptualized as modeling the latter situation: an individual who suffers from depression and then goes on to experience AD. Together with the prior evidence that ADs can predispose depression (Weissman *et al.* 1984; Brady & Kendall, 1992; Mineka et al. 1998; Kaufman & Charney, 2000), these findings therefore provide initial support for the proposition that depression and ADs may be frequently co-morbid because they are mutually reinforcing. It should be noted, however, that ADs encompass a wide range of disorders and it may be that the present findings are more relevant for PTSD and panic disorder, which have been shown to be associated with overanxious reactivity to unpredictable aversive events, than phobias (Grillon et al. 2008, 2009).

There does not, however, seem to be a common neural mechanism for the mutual reinforcement of these two sets of disorders. Anxiety-driven hyperactivity within the hypothalamic-pituitary-adrenal (HPA) axis and the accumulation of stressful life experiences plausibly underlies the development of depression following anxiety (Brown et al. 1987; Bifulco et al. 1998; Millan, 2008). But how can depression lead to AD? Hypersensitivity of the fear/anxiety network (as indexed by the startle response) is indeed a key risk factor for ADs (Gorman et al. 2000) and the present findings indicate that such hypersensitivity can be induced by sad mood. The findings are also consistent with the increased amygdala volume in first-onset depression relative to recurrent depression (and healthy controls), which is thought to reflect, at least in part, increased volume flow as a state marker of depression as opposed to predisposing structural abnormalities (van Eijndhoven et al. 2009). This initial amygdala enlargement may thus be driven by the above highlighted amygdala hyperactivity with the initial onset of depressed mood.

Conclusions

This study demonstrates that induced sadness can increase anxious responding. We emphasize the importance of using experimental models in healthy individuals to provide clues as to the potential underlying mechanism of pathologies in the absence of confounds inherent in heterogeneous psychiatric populations. As such, the present study provides initial experimental support for the proposition that depression may serve to promote ADs, which, taken together with evidence that anxiety can precipitate depression, provides initial support for the proposition that ADs and depression are frequently co-morbid because they are mutually reinforcing. In addition, depression and ADs, and especially comorbid depression and ADs, are extremely common and debilitating disorders (Mineka *et al.* 1998). Clarifying the causes of co-morbidity is a crucial step towards an improved ability to treat the underlying abnormalities (Beddington *et al.* 2008; Insel *et al.* 2010).

Declaration of Interest

None.

Acknowledgments

This research was supported by the Intramural Research Program of the National Institutes of Mental Health (MH002798).

References

- Allen NB, Trinder J, Brennan C (1999). Affective startle modulation in clinical depression: preliminary findings. *Biological Psychiatry* **46**, 542–550.
- **Alvarez RP, Chen G, Bodurka J, Kaplan R, Grillon C** (2011). Phasic and sustained fear in humans elicits distinct patterns of brain activity. *Neuroimage* **55**, 389–400.
- Angst J, Angst F, Stassen HH (1999). Suicide risk in patients with major depressive disorder. *Journal of Clinical Psychiatry* 60, 57–62.
- **Beck A** (1967). *Depression : Clinical, Experimental, and Theoretical Aspects.* Harper & Row : New York.
- Beck AT, Ward CH, Mendelson M, Mock J, Erbaugh J (1961). An inventory for measuring depression. *Archives of General Psychiatry* **4**, 561–571.
- Beddington J, Cooper CL, Field J, Goswami U, Huppert FA, Jenkins R, Jones HS, Kirkwood TBL, Sahakian BJ, Thomas SM (2008). The mental wealth of nations. *Nature* 455, 1057–1060.
- Berna C, Leknes S, Holmes EA, Edwards RR, Goodwin GM, Tracey I (2010). Induction of depressed mood disrupts emotion regulation neurocircuitry and enhances pain unpleasantness. *Biological Psychiatry* 67, 1083–1090.
- Bierman EJM, Comijs HC, Jonker C, Beekman ATF (2005). Effects of anxiety versus depression on cognition in later life. *American Journal of Geriatric Psychiatry* **13**, 686–693.
- **Bifulco A, Brown GW, Moran P, Ball C, Campbell C** (1998). Predicting depression in women: the role of past and present vulnerability. *Psychological Medicine* **28**, 39–50.

Bittner A, Goodwin RD, Wittchen HU, Beesdo K, Hofler M, Lieb R (2004). What characteristics of primary anxiety disorders predict subsequent major depressive disorder. *Journal of Clinical Psychiatry* **65**, 618–626.

Brady EU, Kendall PC (1992). Comorbidity of anxiety and depression in children and adolescents. *Psychological Bulletin* 111, 244–255.

Brown C, Schulberg H, Madonia M, Shear M, Houck P (1996). Treatment outcomes for primary care patients with major depression and lifetime anxiety disorders. *American Journal of Psychiatry* **153**, 1293–1300.

Brown G, Bifulco A, Harris T (1987). Life events, vulnerability and onset of depression: some refinements. *British Journal of Psychiatry* **150**, 30–42.

Clark L, Chamberlain SR, Sahakian BJ (2009). Neurocognitive mechanisms in depression: implications for treatment. *Annual Review of Neuroscience* **32**, 57–74.

Clark LA, Watson D (1991). Tripartite model of anxiety and depression: psychometric evidence and taxonomic implications. *Journal of Abnormal Psychology* **100**, 316–336.

Cloninger CR (1986). A unified biosocial theory of personality and its role in the development of anxiety states. *Psychiatric Developments* **4**, 167–226.

Cooney R, Joormann J, Eugène F, Dennis E, Gotlib I (2010). Neural correlates of rumination in depression. *Cognitive, Affective, and Behavioral Neuroscience* **10**, 470–478.

Copeland WE, Shanahan L, Costello EJ, Angold A (2009). Childhood and adolescent psychiatric disorders as predictors of young adult disorders. *Archives of General Psychiatry* **66**, 764–772.

Davis M, Walker DL, Miles L, Grillon C (2010). Phasic vs sustained fear in rats and humans: role of the extended amygdala in fear vs anxiety. *Neuropsychopharmacology* 35, 105–135.

Deakin JFW (1998). The role of serotonin in depression and anxiety. *European Psychiatry* **13**, 57s–63s.

Dichter GS, Tomarken AJ (2008). The chronometry of affective startle modulation in unipolar depression. *Journal of Abnormal Psychology* **117**, 1–15.

Dichter GS, Tomarken AJ, Shelton RC, Sutton SK (2004). Early- and late-onset startle modulation in unipolar depression. *Psychophysiology* **41**, 433–440.

Eley TC (1999). Behavioral genetics as a tool for developmental psychology: anxiety and depression in children and adolescents. *Clinical Child and Family Psychology Review* 2, 21–36.

Elliott R, Zahn R, Deakin JFW, Anderson IM (2011). Affective cognition and its disruption in mood disorders. *Neuropsychopharmacology* **36**, 153–182.

Eshel N, Roiser JP (2010). Reward and punishment processing in depression. *Biological Psychiatry* 68, 118–124.

Etkin A, Egner T, Kalisch R (2011). Emotional processing in anterior cingulate and medial prefrontal cortex. *Trends in Cognitive Sciences* **15**, 85–93.

First MB, Spitzer RL, Gibbon M, Williams JBW (2002). Structured Clinical Interview for DSM-IV-TR Axis I Disorders – Patient Edition (SCID-I/P, 11/2002 Revision). New York State Psychiatric Institute: New York. Forbes EE, Miller A, Cohn JF, Fox NA, Kovacs M (2005). Affect-modulated startle in adults with childhood-onset depression: relations to bipolar course and number of lifetime depressive episodes. *Psychiatry Research* 134, 11–25.

Gorman JM, Kent JM, Sullivan GM, Coplan JD (2000). Neuroanatomical hypothesis of panic disorder, revised. *American Journal of Psychiatry* **157**, 493–505.

Greenberg PE, Kessler RC, Birnbaum HG, Leong SA, Lowe SW, Berglund PA, Corey-Lisle PK (2003). The economic burden of depression in the United States: how did it change between 1990 and 2000? *Journal of Clinical Psychiatry* 64, 1465–1475.

Grillon C (2008*a*). Greater sustained anxiety but not phasic fear in women compared to men. *Emotion* **8**, 410–413.

Grillon C (2008*b*). Models and mechanisms of anxiety: evidence from startle studies. *Psychopharmacology* **199**, 421–437.

Grillon C, Baas J (2003). A review of the modulation of the startle reflex by affective states and its application in psychiatry. *Clinical Neurophysiology* **114**, 1557–1579.

Grillon C, Baas JP, Lissek S, Smith K, Milstein J (2004). Anxious responses to predictable and unpredictable aversive events. *Behavioral Neuroscience* **118**, 916–924.

Grillon C, Levenson J, Pine DS (2006). A single dose of the selective serotonin reuptake inhibitor citalopram exacerbates anxiety in humans: a fear-potentiated startle study. *Neuropsychopharmacology* **32**, 225–231.

Grillon C, Lissek S, Rabin S, McDowell D, Dvir S, Pine DS (2008). Increased anxiety during anticipation of unpredictable but not predictable aversive stimuli as a psychophysiologic marker of panic disorder. *American Journal of Psychiatry* **165**, 898–904.

Grillon C, Pine DS, Lissek S, Rabin S, Bonne O, Vythilingam M (2009). Increased anxiety during anticipation of unpredictable aversive stimuli in posttraumatic stress disorder but not in generalized anxiety disorder. *Biological Psychiatry* 66, 47–53.

Grüsser S, Wölfling K, Mörsen C, Kathmann N, Flor H (2007). The influence of current mood on affective startle modulation. *Experimental Brain Research* **177**, 122–128.

Harmer CJ, Rogers RD, Tunbridge E, Cowen PJ, Goodwin GM (2003). Tryptophan depletion decreases the recognition of fear in female volunteers. *Psychopharmacology* **167**, 411–417.

Insel T, Cuthbert B, Garvey M, Heinssen R, Pine DS, Quinn K, Sanislow C, Wang P (2010). Research Domain Criteria (RDoC): toward a new classification framework for research on mental disorders. *American Journal of Psychiatry* **167**, 748–751.

Jardine R, Martin NG, Henderson AS, Rao DC (1984). Genetic covariation between neuroticism and the symptoms of anxiety and depression. *Genetic Epidemiology* **1**, 89–107.

Kaufman J, Charney D (2000). Comorbidity of mood and anxiety disorders. Depression and Anxiety 12, 69–76.

Kaviani H, Gray JA, Checkley SA, Raven PW, Wilson GD, Kumari V (2004). Affective modulation of the startle response in depression: influence of the severity of depression, anhedonia, and anxiety. *Journal of Affective Disorders* **83**, 21–31.

Kemp AH, Gray MA, Silberstein RB, Armstrong SM, Nathan PJ (2004). Augmentation of serotonin enhances pleasant and suppresses unpleasant cortical electrophysiological responses to visual emotional stimuli in humans. *NeuroImage* 22, 1084–1096.

Kendler KS, Walters EE, Neale MC, Kessler RC, Heath AC, Eaves LJ (1995). The structure of the genetic and environmental risk factors for six major psychiatric disorders in women: phobia, generalized anxiety disorder, panic disorder, bulimia, major depression, and alcoholism. *Archives of General Psychiatry* **52**, 374–383.

Kessler RC, Berglund P, Demler O, Jin R, Merikangas KR, Walters EE (2005). Lifetime prevalence and age-of-onset distributions of DSM-IV disorders in the National Comorbidity Survey Replication. *Archives of General Psychiatry* **62**, 593–602.

Kessler RC, Gruber M, Hettema JM, Hwang I, Sampson N, Yonkers KA (2008). Co-morbid major depression and generalized anxiety disorders in the National Comorbidity Survey follow-up. *Psychological Medicine* **38**, 365–374.

Kessler RC, Stang PE, Wittchen H-U, Ustun TB, Roy-Burne PP, Walters EE (1998). Lifetime panic-depression comorbidity in the National Comorbidity Survey. *Archives of General Psychiatry* **55**, 801–808.

Lissek S, Orme K, McDowell DJ, Johnson LL, Luckenbaugh DA, Baas JM, Cornwell BR, Grillon C (2007). Emotion regulation and potentiated startle across affective picture and threat-of-shock paradigms. *Biological Psychology* **76**, 124–133.

Mayberg HS, Liotti M, Brannan SK, McGinnis S, Mahurin RK, Jerabek PA, Silva JA, Tekell JL, Martin CC, Lancaster JL, Fox PT (1999). Reciprocal limbic-cortical function and negative mood: converging PET findings in depression and normal sadness. *American Journal of Psychiatry* **156**, 675–682.

McTeague LM, Lang PJ, Laplante M-C, Cuthbert BN, Strauss CC, Bradley MM (2009). Fearful imagery in social phobia: generalization, comorbidity, and physiological reactivity. *Biological Psychiatry* 65, 374–382.

Mechias M-L, Etkin A, Kalisch R (2010). A meta-analysis of instructed fear studies: implications for conscious appraisal of threat. *NeuroImage* **49**, 1760–1768.

Melzig CA, Weike AI, Zimmermann J, Hamm AO (2007). Startle reflex modulation and autonomic responding during anxious apprehension in panic disorder patients. *Psychophysiology* 44, 846–854.

Merikangas KR, Zhang H, Avenevoli S, Acharyya S, Neuenschwander M, Angst J (2003). Longitudinal trajectories of depression and anxiety in a prospective community study: the Zurich Cohort Study. *Archives of General Psychiatry* **60**, 993–1000.

Miles L, Davis M, Walker D (2011). Phasic and sustained fear are pharmacologically dissociable in rats. *Neuropsychopharmacology* **36**, 1563–1574.

Millan MJ (2008). State-of-Science Review: SR-E16. Stress-related Mood Disorder: Novel Concepts for Treatment *and Prevention*. UK Government's Foresight Project, Mental Capital and Wellbeing.

Mineka S, Watson D, Clark LA (1998). Comorbidity of anxiety and unipolar mood disorders. *Annual Review of Psychology* 49, 377–412.

Mitchell RLC, Phillips LH (2007). The psychological, neurochemical and functional neuroanatomical mediators of the effects of positive and negative mood on executive functions. *Neuropsychologia* **45**, 617–629.

Mogg K, Bradley BP, Williams R, Mathews A (1993). Subliminal processing of emotional information in anxiety and depression. *Journal of Abnormal Psychology* **102**, 304–311.

Nolen-Hoeksema S (1991). Responses to depression and their effects on the duration of depressive episodes. *Journal of Abnormal Psychology* **100**, 569–582.

Nolen-Hoeksema S (2000). The role of rumination in depressive disorders and mixed anxiety/depressive symptoms. *Journal of Abnormal Psychology* **109**, 504–511.

Nolen-Hoeksema S, Morrow J, Fredrickson BL (1993). Response styles and the duration of episodes of depressed mood. *Journal of Abnormal Psychology* **102**, 20–28.

Ochsner KN, Gross JJ (2005). The cognitive control of emotion. *Trends in Cognitive Sciences* **9**, 242–249.

Orne MT (1969). Demand characteristics and the concept of quasi-controls. In Artifact in Behavioral Research (ed. R. Rosenthal and R. Rosnow), pp. 143–179. Academic Press: New York, 1969.

Pine DS, Cohen P, Gurley D, Brook J, Ma Y (1998). The risk for early-adulthood anxiety and depressive disorders in adolescents with anxiety and depressive disorders. *Archives of General Psychiatry* **55**, 56–64.

Robinson O, Cools R, Crockett M, Sahakian B (2010). Mood state moderates the role of serotoni in cognitive biases. *Journal of Psychopharmacology* **24**, 573–583.

Robinson OJ, Letkiewicz AM, Overstreet C, Ernst M, Grillon C (2011). The effect of induced anxiety on cognition: threat of shock enhances aversive processing in healthy individuals. *Cognitive, Affective & Behavioral Neuroscience* 11, 217–227.

Robinson O, Sahakian B (2008). Recurrence in major depressive disorder: a neurocognitive perspective. *Psychological Medicine* **38**, 315–318.

Robinson O, Sahakian B (2009*a*). Acute tryptophan depletion evokes negative mood in healthy females who have previously experienced concurrent negative mood and tryptophan depletion. *Psychopharmacology* 205, 227–235.

Robinson OJ, Sahakian BJ (2009*b*). A double dissociation in the roles of serotonin and mood in healthy subjects. *Biological Psychiatry* **65**, 89–92.

Rosenberg EL (1998). Levels of analysis and the organization of affect. *Review of General Psychology* **2**, 247–270.

Sanislow CA, Pine DS, Quinn KJ, Kozak MJ, Garvey MA, Heinssen RK, Wang PS-E, Cuthbert BN (2010). Developing constructs for psychopathology research: research domain criteria. *Journal of Abnormal Psychology* 119, 631–639.

Schneider F, Grodd W, Weiss U, Klose U, Mayer KR, Nägele T, Gur RC (1997). Functional MRI reveals left amygdala activation during emotion. *Psychiatry Research: Neuroimaging* **76**, 75–82.

- **Spielberger CD** (1983). State-Trait Anxiety Inventory (Form Y). Consulting Psychologists Press: Palo Alto, CA.
- Tomarken AJ, Shelton RC, Hollon SD (2007). Affective science as a framework for understanding the mechanisms and effects of antidepressant medication. In *Emotion and Psychopathology: Bridging Affective and Clinical Science* (ed. J. Rottenberg and S. L. Johnson), pp. 263–283. American Psychological Association: Washington, DC.
- van Eijndhoven P, van Wingen G, van Oijen K, Rijpkema M, Goraj B, Verkes RJ, Oude Voshaar R, Fernández G, Buitelaar J, Tendolkar I (2009). Amygdala volume marks the acute state in the early course of depression. *Biological Psychiatry* 65, 812–818.

Weissman MM, Leckman JF, Merikangas KR, Gammon GD, Prusoff BA (1984). Depression and anxiety disorders in parents and children: results from the Yale family study. *Archives of General Psychiatry* **41**, 845–852.