# Charged particle acceleration by electron Bernstein wave in a plasma channel

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(RECEIVED 15 December 2009; ACCEPTED 30 May 2010)

#### Abstract

A model of electron acceleration by an electron Bernstein mode in a parabolic density profile is developed. The mode has a Gaussian profile. It could be excited via the mode conversion of an electromagnetic wave or by an electron beam. As it attains a large amplitude, it axially traps electrons moving close to its parallel phase velocity, where parallel refers to the direction of static magnetic field. As the electrons are accelerated and tend to get out of phase with the wave, the transverse field of the mode enhances its energy and relativistic mass, increasing the dephasing length. The scheme can produce electron energies up to a few MeV.

Keywords: Bernstein wave; Dephasing length; Electron acceleration; Phase velocity

#### 1. INTRODUCTION

Plasma based charged-particle acceleration is an active field of research (Bingham et al., 2004; Ruhl, 1996; Ting et al., 1997; Chien et al., 2005; Sheng et al., 2002a, 2002b; Wu & Chao, 2003; Kitagawa et al., 2004; Muggli et al., 2004; Shevts & Fisch, 1997; Reitsma et al., 2005; Suk, 2002; Esarey et al., 1996; Balakirev et al., 2001; Faure et al., 2004; Geddes et al., 2004; Joshi, 2007; Mangles et al., 2004; Reitsma & Jaroszynski, 2004; Singh et al., 2003; Yugami et al., 1996). It relies on the excitation of a large amplitude large phase velocity plasma wave by a relativistic electron beam or a short pulse laser (Meyer-Ter-Vehn & Sheng, 1999; Leemans et al., 2002; Kong et al., 2003; Cao et al., 2004; Balakirev et al., 2004; Kulagin et al., 2008; Li et al., 2004; Niu et al., 2008; Xie et al., 2009) of pulse length comparable to plasma period or by beating two long laser pulses with frequency separation equal to plasma frequency. The plasma wave accelerates the trapped electrons to high energies up to half GeV. Some experiments have even reported generation of mono-energetic electrons up to hundreds of energy with only  $\sim 3\%$  energy spread (Ebrahim, 1994; Rosenbluth & Liu, 1972; Salamin & Keitel, 2002; Sauerbrey, 1996; Shvets *et al.*, 2000; Pukhov *et al.*, 1999; Amiranoff *et al.*, 1996; Lindberg *et al.*, 2004; Kimura *et al.*, 2004; Tochitsky *et al.*, 2004; Clayton *et al.*, 1994).

Magnetized plasma offers a richer variety of electrostatic modes that may be employed for charged particle acceleration. Katsouleas and Dawson (1983) developed the surfatron concept of unlimited electron acceleration by plasma when a transverse magnetic field is present. Prasad et al. (2009) studied the effect of an axial magnetic field and ion space charge on laser beat wave acceleration and surfatron acceleration of electrons. Sugaya (2004) studied the acceleration of electrons along and across a magnetic field via nonlinear electron Landau damping and cyclotron damping of almost perpendicularly propagating extraordinary waves, and the generation of an electric field transverse to the magnetic field. Oieroset et al. (2002) observed energetic particles inside a magnetic reconnection diffusion. Istomin and Leyser (2003) presented a model of electron acceleration by trapped upper hybrid waves inside density cavities that are pumped by an ordinary mode electromagnetic wave transmitted into the ionospheric F-region plasma. Akimoto (2002, 2003) studied the acceleration and heating of charged particles by a dispersive electrostatic pulse.

In this paper, we study the acceleration of electrons by an electron Bernstein wave in magnetized plasma.

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Bernstein waves possess large phase velocity along the direction of ambient magnetic field and small phase velocity across it, and can be driven by electron beams or electromagnetic waves (Kumar & Tripathi, 2004). A large amplitude Bernstein wave can trap electrons moving close to its parallel phase velocity and accelerate them to large parallel and perpendicular energies, where parallel and perpendicular refer to the ambient magnetic field. Dephasing of electrons along the magnetic field can be avoided for a longer distance by the transverse energy gain and mass increase due to the transverse electric field of the Bernstein wave.

In Section 2, we deduce the mode structure of an electron Bernstein wave in a plasma slab with parabolic density profile. In Section 3, we formulate the relevant equations governing the motion of electrons and solve them numerically to obtain energy gain. In Section 4, we discuss our results.

#### 2. ELECTRON BERNSTEIN EIGEN MODE

Consider a slab model of plasma channel with static magnetic field  $B_s \hat{z}$  and plasma density (hence plasma frequency) profile  $\omega_p^2 = \omega_{p0}^2 (1 - x^2/a^2)$ . A large amplitude electron Bernstein wave exists in the plasma with potential

$$\phi = A(x) \exp\left[-i(\omega t - k_y y - k_z z)\right]. \tag{1}$$

The local dispersion relation for the Bernstein wave is

$$\varepsilon = 0, \tag{2}$$

where  $\varepsilon = 1 + \chi_e$  and  $\chi_e$  is the electron susceptibility. In the limit of  $\omega \approx \omega_c$ ,  $\omega - \omega_c \gg k_z v_{th}$ , one may write (Liu & Tripathi, 1986)

$$\chi_e = \frac{2\omega_p^2}{k^2 v_{th}^2} \bigg[ 1 - \frac{\omega I_1(b) \exp\left(-b\right)}{\omega - \omega_c} \bigg],\tag{3}$$

where  $b = k_{\perp}^2 v_{th}^2 / 2\omega_c^2$  and  $v_{th} = (2T_e/m)^{1/2}$  are the thermal speed of plasma electrons at electron temperature  $T_e$ ,  $\omega_c$  is the electron cyclotron frequency,  $\omega_p$  is the electron plasma frequency,  $I_1(b)$  is the modified Bessel function, and  $k_{\perp}^2 = k_y^2 + k_x^2$ . We presume  $k_y^2 \gg k_x^2$ . The mode structure equation for the Bernstein wave in the inhomogeneous plasma can be deduced from  $\varepsilon \phi = 0$  by replacing  $k_x^2$  by  $-\partial^2/\partial x^2$  in  $\varepsilon$  (Kumar & Tripathi, 2004),

$$\frac{\partial^2 \phi}{\partial x^2} - \left[ \frac{(1+\chi_e)}{\partial \chi_e / \partial k_\perp^2} \right]_{k_\perp^2 = k_v^2} \phi = 0, \tag{4}$$

or for  $k_y^2 v_{th}^2/2\omega_{p0}^2 \ll 1$ , this equation takes the form

$$\frac{\partial^2 \phi}{\partial x^2} + (\alpha_1 - \alpha_2 x^2)\phi = 0, \tag{5}$$

where

$$\begin{split} \alpha_{1} &= k_{y}^{2} \left( 1 + \frac{k_{y}^{2} v_{th}^{2}}{2\omega_{p0}^{2}} - \frac{\omega I_{1}(b) e^{-b}}{\omega - \omega_{c}} \right) \frac{I_{1}(b) e^{-b}}{b \frac{d}{db} [I_{1}(b) e^{-b}]}, \\ \alpha_{2} &= -k_{y}^{2} \frac{(\omega - \omega_{c})}{\omega_{c} b \frac{d}{db} [I_{1}(b) e^{-b}]} \frac{k_{y}^{2} v_{th}^{2}}{2\omega_{p0}^{2} a^{2}} \\ &\approx -\frac{k_{y}^{2}}{a^{2}} \frac{I_{1}(b) e^{-b}}{b \frac{d}{db} [I_{1}(b) e^{-b}]} \frac{k_{y}^{2} v_{th}^{2}}{2\omega_{p0}^{2}}. \end{split}$$

For  $b \ge 1$ ,  $\frac{d}{db}[I_1(b)e^{-b}] < 0$ , that is,  $\alpha_2$  is positive and the mode structure equation reduces to harmonic oscillator equation. The Eigen value for the fundamental mode is

$$\alpha_1 / \alpha_2^{1/2} = 1, (6)$$

and the Eigen function is

$$\phi = A_0 e^{-x^2/2x_0^2} \cos\left(\omega t - k_y y - k_z z\right),\tag{7}$$

where  $x_0 = \alpha_2^{-1/4}$ . Eq. (6) gives the dispersion relation for the Bernstein mode

$$\omega = \omega_c \left[ 1 + \frac{I_1(b)e^{-b}}{1 + k_y^2 v_{th}^2 / 2\omega_{p0}^2 - \Delta} \right],$$
  
$$\Delta = -\left( v_{th} / a\omega_{p0} \right) \left[ -\frac{b \frac{d}{db} [I_1(b)e^{-b}]}{2I_1(b)e^{-b}} \right]^{1/2}.$$
 (8)

#### 3. ELECTRON ACCELERATION

The response of an energetic electron to the electron Bernstein wave is governed by the equation of motion

$$\frac{d\mathbf{p}}{dt} = e\nabla\phi - \frac{\omega_c}{\gamma}\mathbf{p} \times \hat{z},\tag{9}$$

where  $\gamma = [1 + p^2/m^2c^2]^{1/2}$ ,  $\omega_c = eB_s/mc$ , and  $n_0$ , -e, m, are the electron density, charge and mass, respectively.

On changing d/dt to  $(p_z/m\gamma)d/dz$ , one can write different components of Eq. (9) as

$$\frac{dp_x}{dz} = -e \frac{\gamma mx}{p_z x_0^2} A_0 e^{-x^2/2x_0^2} \cos \psi - \frac{m\omega_c}{p_z} p_y, \tag{10}$$

$$\frac{dp_y}{dz} = e \frac{mk_y \gamma}{p_z} A_0 e^{-x^2/2x_0^2} \sin \psi + \frac{m\omega_c}{p_z} p_x, \qquad (11)$$

$$\frac{dp_z}{dz} = e \frac{mk_z \gamma}{p_z} A_0 e^{-x^2/2x_0^2} \sin \psi, \qquad (12)$$

$$\psi = \omega t - k_y y - k_z z.$$

These equations are supplemented with

$$\frac{dx}{dz} = \frac{p_x}{p_z},\tag{13}$$

$$\frac{dy}{dz} = \frac{p_y}{p_z},\tag{14}$$

$$\frac{dt}{dz} = \frac{\gamma m}{p_z}.$$
(15)

In terms of dimensionless quantities  $A_0 \to eA_0/mc^2$ ,  $Z \to \omega_c$ z/c,  $X \to \omega_c \ x/c$ ,  $Y \to \omega_c \ y/c$ ,  $P_X \to p_x/(mc)$ ,  $P_Y \to p_y/(mc)$ ,  $P_Z \to p_z/(mc)$ ,  $T \to \omega_c t$ ,  $X_0 \to \omega_c x_0/c$ , Eq. (10)–(15) can be written as

$$\frac{dP_X}{dZ} = -\frac{\gamma X}{X_0^2 P_Z} A'_0 \cos \psi - \frac{P_Y}{P_Z},\tag{16}$$

$$\frac{dP_Y}{dZ} = \frac{k_y c\gamma}{\omega_c P_Z} A'_0 e^{-X^2/2X_0^2} \sin \psi + \frac{P_X}{P_Z},$$
(17)

$$\frac{dP_Z}{dZ} = \frac{k_z c \gamma}{\omega_c P_Z} A'_0 e^{-X^2/2X_0^2} \sin \psi, \qquad (18)$$

$$\frac{dX}{dZ} = \frac{P_X}{P_Z},\tag{19}$$

$$\frac{dY}{dZ} = \frac{P_Y}{P_Z},\tag{20}$$

$$\frac{dT}{dZ} = \frac{\gamma}{P_Z}.$$
(21)

We solve Eqs. (16)–(21) numerically for following parameters  $x_0 = 40c/\omega_c$ ,  $\omega_c/\omega \sim 0.9$ ,  $\omega/(k_yc) \sim 0.1$ ,  $\omega/(k_zc) \sim 0.99$ . The electron is injected initially with small energy. It follows a helical path. As the electron moves in plasma it interacts with the pre-existed electron Bernstein wave and gains energy from it. Electrons gyrate about the axial direct current magnetic field and are pushed forward by the wave electric field. As the electron advances along z it moves away from the region where Bernstein mode amplitude is maximum. In Figure 1, we display the trajectory in two dimension between normalized variable X and Z. For  $\omega_c/\omega \sim 0.9$ ,  $\omega/(k_yc) \sim 0.1$ ,  $\omega/(k_zc) \sim 0.99$ , with the initial values of the parameters  $\Phi \approx 0.3$ ,  $P_X = 0.0001$ ,  $P_Y = 0.0001$ ,  $P_Z = 0.001$ , X = 0, Y = 0, T = 0, the electron moves away from x = 0 plane up



Fig. 1. Variation of normalized coordinate X as a function of Z the parameters  $\Phi \approx 0.3$ ,  $x_0 = 40c/\omega_c$ ,  $\omega_c/\omega \sim 0.9$ ,  $\omega/(k_yc) \sim 0.1$ ,  $\omega/(k_zc) \sim 0.99$ .

to  $x \sim -30c/\omega_c$  over a distance of  $30c/\omega_c$ . In Figure 2, we display the electron trajectory in two-dimension between normalized variable Y and Z for  $x_0 = 40c/\omega_c$ ,  $\omega_c/\omega \sim 0.9$ ,  $\omega/\omega$  $(k_v c) \sim 0.1, \ \omega/(k_z c) \sim 0.99$  where we have considered the initial values of the parameters  $\Phi \approx 0.3$ ,  $P_X = 0.0001$ ,  $P_Y =$ 0.0001,  $P_Z = 0.001$ , X = 0, Y = 0, T = 0. In Figures 3, 4, and 5, we displayed the momentum evolution.  $p_x$  attains negative values up to  $p_x/mc \sim -30$ .  $p_y$  shows much rapid oscillations, although of smaller amplitude due to gyromotion.  $p_z$ goes almost linearly with z. Figure 6 shows the energy evolution of electron by varying Z for the parameters  $x_0 = 40c/$  $\omega_c$ ,  $\omega_c/\omega \sim 0.9$ ,  $\omega/(k_v c) \sim 0.1$ ,  $\omega/(k_z c) \sim 0.99$  where we have considered the initial values of the parameters  $\Phi \approx 0.3$ ,  $P_X = 0.0001, P_Y = 0.0001, P_Z = 0.001, X = 0, Y = 0, T =$ 0. The energy rises almost monotonically to  $\sim$ 2.1 MeV. The acceleration decreases with the increase of the value of parallel component of the Bernstein wave vector along the axial magnetic field. There are two possible resonance conditions  $\omega - k_{\nu}$  $v_y - k_z v_z \approx 0$  and  $\omega - k_y v_y - k_z v_z \mp \omega_c \approx 0$ . The first condition corresponds to Landau damping of the Bernstein wave in relativistic acceleration of electrons. In Figure 7, we



Fig. 2. Variation of normalized coordinate *Y* as a function of *Z* for the parameters  $\Phi \approx 0.3$ ,  $x_0 = 40c/\omega_c$ ,  $\omega_c/\omega \sim 0.9$ ,  $\omega/(k_yc) \sim 0.1$ ,  $\omega/(k_zc) \sim 0.99$ .



Fig. 3. Variation of normalized momentum  $P_X$  as a function of Z for the parameters  $\Phi \approx 0.3$ ,  $x_0 = 40c/\omega_c$ ,  $\omega_c/\omega \sim 0.9$ ,  $\omega/(k_yc) \sim 0.1$ ,  $\omega/(k_zc) \sim 0.99$ .

display  $R_0 = \frac{\omega}{\omega_c} - \frac{ck_yP_y}{\gamma\omega_c} - \frac{ck_zP_z}{\gamma\omega_c}$  versus Z. Obviously,  $R_0 > 0(R_0 < 0)$  corresponds to the acceleration (deceleration). The second resonance condition  $\omega - k_y \ v_y - k_z \ v_z \mp \omega_c \approx 0$  arises from the cyclotron damping of the Bernstein wave. The acceleration results from the resonance of the Bernstein wave with the gyromotion of electrons. In this case,  $R_{\pm 1} = \frac{\omega}{\omega_c} - \frac{ck_yP_y}{\gamma\omega_c} - \frac{ck_zP_z}{\gamma\omega_c} \mp 1 \approx 0$  is satisfied.

### 4. DISCUSSION

A large amplitude electron Bernstein wave has potential for electron acceleration up to a few MeV energy. The energy is primarily contained in the parallel motion (along the ambient magnetic field); however, transverse motion is also significant and helps in increasing the phase detuning length between the electron and the wave. The scheme may be relevant to current drive in tokamak, where electrons of hundreds of KeV energy carry the toroidal current. In a



**Fig. 4.** Variation of normalized momentum  $P_Y$  as a function of Z for the parameters  $\Phi \approx 0.3$ ,  $x_0 = 40c/\omega_c$ ,  $\omega_c/\omega \sim 0.9$ ,  $\omega/(k_yc) \sim 0.1$ ,  $\omega/(k_z c) \sim 0.99$ .



**Fig. 5.** Variation of normalized momentum  $P_Z$  as a function of Z for the parameters  $\Phi \approx 0.3$ ,  $x_0 = 40c/\omega_c$ ,  $\omega_c/\omega \sim 0.9$ ,  $\omega/(k_yc) \sim 0.1$ ,  $\omega/(k_zc) \sim 0.99$ .



**Fig. 6.** Variation of  $\gamma$  factor as a function of *Z* for the parameters  $\Phi \approx 0.3$ ,  $x_0 = 40c/\omega_c, \omega_c/\omega \sim 0.9, \omega/(k_yc) \sim 0.1, \omega/(k_zc) \sim 0.99$ .

parabolic density profile (across the ambient magnetic field), Bernstein waves are localized around the density maximum, with Gaussian amplitude profile. The mode structure half width scale as square root of density scale length and is sensitive to transverse wave number. Bernstein waves with parallel phase velocity close to c are useful for



**Fig. 7.** Variation of  $R_0$  as a function of Z for the parameters  $\Phi \approx 0.3$ ,  $x_0 = 40c/\omega_c$ ,  $\omega_c/\omega \sim 0.9$ ,  $\omega/(k_yc) \sim 0.1$ ,  $\omega/(k_zc) \sim 0.99$ .

electron acceleration. Such waves can be excited by nonlinear mixing of two nearly counter propagating intense electromagnetic waves across the magnetic field when the frequency difference in them is close to electron cyclotron frequency. The Bernstein wave amplitude is limited by relativistic detuning where the oscillation of plasma electrons in the combined fields of the laser and Bernstein wave results in a decrease in the plasma frequency taking the system off resonance. They may also be driven by relativistic electron beams via Cerenkov or slow cyclotron interaction.

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