

# Joint Mean Oscillation and Local Ideals in the Toeplitz Algebra II: Local Commutivity and Essential Commutant

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*Abstract.* A well-known theorem of Sarason [11] asserts that if  $[T_f, T_h]$  is compact for every  $h \in H^\infty$ , then  $f \in H^\infty + C(T)$ . Using local analysis in the full Toeplitz algebra  $\mathcal{T} = \mathcal{T}(L^\infty)$ , we show that the membership  $f \in H^\infty + C(T)$  can be inferred from the compactness of a much smaller collection of commutators  $[T_f, T_h]$ . Using this strengthened result and a theorem of Davidson [2], we construct a proper  $C^*$ -subalgebra  $\mathcal{T}(\mathcal{L})$  of  $\mathcal{T}$  which has the same essential commutant as that of  $\mathcal{T}$ . Thus the image of  $\mathcal{T}(\mathcal{L})$  in the Calkin algebra does not satisfy the double commutant relation [12], [1]. We will also show that no *separable* subalgebra  $\mathcal{S}$  of  $\mathcal{T}$  is capable of conferring the membership  $f \in H^\infty + C(T)$  through the compactness of the commutators  $\{[T_f, S] : S \in \mathcal{S}\}$ .

## 1 Introduction

In this sequel to our earlier work [13], we continue to explore the  $C^*$ -algebraic implications of various local oscillatory behaviors of functions. As it is a sequel, we will follow the notation of [13]. Thus  $T$  denotes the unit circle and  $dm$  the Lebesgue measure on  $T$  normalized so that  $m(T) = 1$ . We write  $L^p$  for  $L^p(T, dm)$  and  $H^p$  for the Hardy subspace of  $L^p$ ,  $1 \leq p \leq \infty$ . Let  $P: L^2 \rightarrow H^2$  denote the orthogonal projection. Given  $f \in L^\infty$ , the Toeplitz operator  $T_f$  and the Hankel operator  $H_f$  are defined by the formulas  $T_f\varphi = P f\varphi$  and  $H_f\varphi = (1 - P)f\varphi$  respectively,  $\varphi \in H^2$ . We have  $T_{\bar{g}f} - T_{\bar{g}}T_f = H_g^*H_f$ . Let  $\mathcal{T}$  denote the *full* Toeplitz algebra. That is,  $\mathcal{T}$  is the  $C^*$ -algebra generated by  $\{T_f : f \in L^\infty\}$ . Let  $\mathcal{K}$  be the collection of compact operators on  $H^2$ . It is well known that  $\mathcal{K} \subset \mathcal{T}$ .

For each  $\tau \in T$ , let  $\mathcal{K}_\tau$  denote the ideal in  $\mathcal{T}$  generated by  $\mathcal{K}$  and  $\{T_\eta : \eta \in C(T), \eta(\tau) = 0\}$ . Recall that the usual *localization* in  $\mathcal{T}$  is simply the fact that  $\bigcap_{\tau \in T} \mathcal{K}_\tau = \mathcal{K}$  [3, p. 198].

Recall from [9] that, for  $f \in \text{BMO}$  and  $\tau \in T$ , the *local mean oscillation* of  $f$  at  $\tau$  is

$$\text{LMO}(f)(\tau) = \limsup_{\delta \downarrow 0} \left\{ \frac{1}{|I|} \int_I |f - f_I| dm : |\lambda - \tau| \leq \delta \text{ for all } \lambda \in I \right\}.$$

Here and in what follows,  $I$  always denotes an arc in  $T$  with  $|I| = m(I) > 0$ , and  $f_I = \int_I f dm / |I|$ . Recall from [13] that, given  $f, g \in \text{BMO}$  and  $\tau \in T$ , the *joint local*

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mean oscillation of  $f$  and  $g$  at  $\tau$  is defined to be

$$\begin{aligned} & \text{LMO}(f, g)(\tau) \\ &= \limsup_{\delta \downarrow 0} \left\{ \frac{1}{|I|} \int_I |f - f_I| dm \frac{1}{|I|} \int_I |g - g_I| dm : |\lambda - \tau| \leq \delta \text{ for all } \lambda \in I \right\}. \end{aligned}$$

Both  $\text{LMO}(f)$  and  $\text{LMO}(f, g)$  are useful invariants in the study of  $\mathcal{T}$  [9], [13].

Given any  $\tau \in T$ , we let  $\mathcal{L}(\tau)$  denote the collection of bounded functions  $\xi$  on  $T$  which are continuous on  $T \setminus \{\tau\}$ . For any such  $\tau$ , we also define  $\mathcal{H}(\tau) = H^\infty \cap \mathcal{L}(\tau)$ . If  $\mathcal{G}$  is a subset of  $L^\infty$ ,  $\mathcal{T}(\mathcal{G})$  denotes the norm-closed operator algebra generated by  $\{T_g : g \in \mathcal{G}\}$ . In the case  $\mathcal{G}$  is  $L^\infty$  itself, we will simply write  $\mathcal{T}$  instead of  $\mathcal{T}(L^\infty)$ .

The results contained in this paper are motivated by, and can be viewed as a natural extension of, a number of previous investigations [2], [7], [9], [11], [13]. Recall that a well-known theorem of Sarason [11] asserts that, if  $f \in L^\infty$  and if  $[T_f, T_h]$  is compact for every  $h \in H^\infty$ , then  $f \in H^\infty + C(T)$ . Throughout the paper, we will write  $Q = 1 - P$ . It is well known that  $Q\eta \in \text{VMO}$  if  $\eta \in C(T)$ . Also, because  $T$  is compact, for any  $f \in \text{BMO}$ , we have  $f \in \text{VMO}$  if and only if  $\text{LMO}(f)(\tau) = 0$  for every  $\tau \in T$ . Thus our first result is a local version of Sarason's theorem:

**Theorem 1** Let  $f \in L^\infty$  and let  $\tau \in T$ .

- (a) If  $[T_f, T_h] \in \mathcal{K}_\tau$  for every  $h \in \mathcal{H}(\tau)$ , then  $\text{LMO}(Qf)(\tau) = 0$ .
- (b) If  $\text{LMO}(Qf)(\tau) = 0$ , then  $[T_f, T_g] \in \mathcal{K}_\tau$  for every  $g \in H^\infty$ .

An immediate consequence of this is a stronger version of Sarason's theorem: The membership  $f \in H^\infty + C(T)$  can be inferred from the compactness of a much smaller collection of commutators  $[T_f, T_h]$ .

**Corollary 2** Let  $\mathcal{H}$  denote the subalgebra of  $H^\infty$  generated by  $\bigcup_{\tau \in T} \mathcal{H}(\tau)$ . If  $f \in L^\infty$  is such that  $[T_f, T_h]$  is compact for every  $h \in \mathcal{H}$ , then  $f \in H^\infty + C(T)$ .

A key motivating factor for our consideration of the subalgebras  $\mathcal{H}(\tau)$  of  $H^\infty$  is the following remarkable result of Davidson [2].

**Theorem 3** [2] If  $S$  is a bounded operator on  $H^2$  which is not the sum of a bounded Toeplitz operator and a compact operator, then there is an  $h \in H^\infty$  such that  $[S, T_h]$  is not compact. Furthermore,  $h$  may be required to have at most one discontinuity.

In other words, one may require the  $h$  in Theorem 3 to belong to some  $\mathcal{H}(\tau)$  in the notation of the present paper.

Let  $H$  be a Hilbert space and let  $\mathcal{S}$  be a subset of  $\mathcal{B}(H)$ . Recall that the essential commutant of  $\mathcal{S}$  is the subalgebra  $\{T \in \mathcal{B}(H) : [T, S] \text{ is compact for every } S \in \mathcal{S}\}$  of  $\mathcal{B}(H)$ . Using Theorem 3 and Sarason's theorem mentioned earlier, Davidson proved in [2] that the essential commutant of  $\mathcal{T}$  is  $\mathcal{T}(\text{QC})$ , where  $\text{QC} = (H^\infty + C(T)) \cap \overline{(H^\infty + C(T))} = \text{VMO} \cap L^\infty$ . Using Theorem 3 and Corollary 2 in place of Sarason's theorem, we can produce an algebra smaller than  $\mathcal{T}$  whose essential commutant also equals  $\mathcal{T}(\text{QC})$ .

**Corollary 4** Let  $\mathcal{L}$  be the norm-closed subalgebra of  $L^\infty$  generated by  $\bigcup_{\tau \in T} \mathcal{L}(\tau)$ . Then the essential commutant of  $\mathcal{T}(\mathcal{L})$  equals  $\mathcal{T}(\text{QC})$ .

As we will show in Section 3,  $\mathcal{T}(\mathcal{L})$  is strictly contained in  $\mathcal{T}$ . It is well known that  $\mathcal{T}$  is contained in the essential commutant of  $\mathcal{T}(\text{QC})$ . Thus it follows from Corollary 4 that the second essential commutant of  $\mathcal{T}(\mathcal{L})$  differs from  $\mathcal{T}(\mathcal{L})$ . This brings Voiculescu’s double commutant relation [12] into the picture.

Given a separable Hilbert space  $H$ , let  $\mathcal{Q}$  denote the Calkin algebra  $\mathcal{B}(H)/\mathcal{K}(H)$  and let  $\pi: \mathcal{B}(H) \rightarrow \mathcal{Q}$  denote the quotient map. Voiculescu proved in [12] that if  $\mathcal{A}$  is a separable unital  $C^*$ -subalgebra of  $\mathcal{Q}$ , then  $\mathcal{A}$  coincides with its double commutant in  $\mathcal{Q}$ , i.e.,  $\mathcal{A} = \mathcal{A}''$ . The same is also true if  $\mathcal{A} = \pi(\mathcal{N})$ , where  $\mathcal{N}$  is any von Neumann algebra [8], [10]. In [1], Berger and Coburn constructed a simple, non-separable, unital  $C^*$ -subalgebra  $\mathcal{A}$  of  $\mathcal{Q}$  for which the double commutant relation fails, i.e.,  $\mathcal{A} \neq \mathcal{A}''$ . Their construction used Toeplitz operators on the Segal-Bargmann space. Corollary 4 leads to another example of a  $C^*$ -subalgebra  $\mathcal{A}$  of  $\mathcal{Q}$  with the property  $\mathcal{A} \neq \mathcal{A}''$ . Whereas the  $\mathcal{A}$  in the Berger-Coburn example is a simple  $C^*$ -algebra, the  $\mathcal{A}$  in our example below obviously has a non-trivial ideal.<sup>1</sup>

**Theorem 5** *Let  $\pi: \mathcal{B}(H^2) \rightarrow \mathcal{Q} = \mathcal{B}(H^2)/\mathcal{K}$  denote the quotient homomorphism and let  $\mathcal{L}$  be the same as in Corollary 4. Then  $\mathcal{A} = \pi(\mathcal{T}(\mathcal{L}))$  is a unital  $C^*$ -subalgebra of  $\mathcal{Q}$  for which the double commutant relation fails, i.e.,  $\mathcal{A} \neq \mathcal{A}''$ .*

Let us now consider a separable unital  $C^*$ -subalgebra  $\mathcal{S}$  of  $\mathcal{T}$ . Since, by Voiculescu’s theorem, the double essential commutant of  $\mathcal{S}$  must coincide with  $\mathcal{S} + \mathcal{K}$  and since  $\mathcal{T}$  is contained in the essential commutant of  $\mathcal{T}(\text{QC})$ , the essential commutant of  $\mathcal{S}$  must properly contain  $\mathcal{T}(\text{QC})$ . That is, there is a bounded operator  $A$  on  $H^2$  such that  $A \notin \mathcal{T}(\text{QC})$  and such that  $[A, S]$  is compact for every  $S \in \mathcal{S}$ . This naturally invites the question, can such an  $A$  be found within the Toeplitz algebra  $\mathcal{T}$ ? Better yet, is there such an  $A$  in the form of a Toeplitz operator  $T_f$  with some  $f \notin H^\infty + C(T)$ ?

Another look at Sarason’s original theorem and its improved version, Corollary 2, also leads to the same questions. That is, now that we know there is a closed proper subalgebra  $\mathcal{H}$  of  $H^\infty$  such that the compactness of the commutators  $\{[T_f, T_h] : h \in \mathcal{H}\}$  implies  $f \in H^\infty + C(T)$ , is there a separable subalgebra of  $H^\infty$  which has the same property? More generally, does there exist a separable subalgebra  $\mathcal{S}$  of  $\mathcal{T}$  which has the property that the compactness of the commutators  $\{[T_f, S] : S \in \mathcal{S}\}$  necessitates the membership  $f \in H^\infty + C(T)$ ? Our last theorem answers these very natural questions.

**Theorem 6** *Suppose that  $\mathcal{S}$  is a subset of  $\mathcal{T}$  and suppose that  $\mathcal{S}$  is separable in the operator-norm topology. Then there is a real-valued  $f \in L^\infty$  such that  $f \notin H^\infty + C(T)$  and such that  $[T_f, S]$  is compact for every  $S \in \mathcal{S}$ . Moreover, given such an  $\mathcal{S}$ , there is a  $\tau = \tau(\mathcal{S}) \in T$  such that there is an  $f \in \mathcal{L}(\tau)$  which satisfies the above requirements.*

The rest of the paper consists of the proofs of these results. More specifically, the proofs of Theorems 1 and 6 and Corollaries 2 and 4 will be given in Section 2. Section 3 contains the proof of Theorem 5 along with some remarks.

<sup>1</sup>Since the initial submission of this paper, the author has learned a great deal more about the relation  $\mathcal{A} \neq \mathcal{A}''$  for  $C^*$ -subalgebras  $\mathcal{A}$  of  $\mathcal{Q}$ . First of all, in the literature there is an example of a  $C^*$ -subalgebra  $\mathcal{A}$  of  $\mathcal{Q}$  with  $\mathcal{A} \neq \mathcal{A}''$  dating back to 1972, namely Example 2.4 in [8]. Furthermore, the relation  $\mathcal{A} \neq \mathcal{A}''$  appears to be ubiquitous among non-separable  $C^*$ -subalgebras of  $\mathcal{Q}$  in at least the following sense: The author has managed to show that if  $B$  is any von Neumann algebra whose dimension as a linear space is infinite, then  $B$  contains a  $C^*$ -subalgebra  $A$  such that  $\pi(A) \neq \pi(B)$  and  $\{\pi(A)\}'' = \pi(B)$  [14].

## 2 Local Commutivity

To prove Theorem 1, we need to recall a result from our earlier work [13].

**Theorem 7 [13, Theorem 2]** *Let  $f, g \in \text{BMO}$  and  $\tau \in T$ . Then  $H_g^* H_f \in \mathcal{K}_\tau$  if and only if  $\text{LMO}(Qf, Qg)(\tau) = 0$ . If, in addition,  $f$  and  $g$  are real-valued, then  $H_g^* H_f \in \mathcal{K}_\tau$  if and only if  $\text{LMO}(f, g)(\tau) = 0$ .*

Theorem 7 takes care of the operator-theoretical portion of the proof of Theorem 1; what remains is a function-theoretical construction.

**Proposition 8** *Suppose that  $f \in \text{BMO}$  and that  $\tau$  is a point in  $T$  such that  $\text{LMO}(f)(\tau) > 0$ . Then there exists an  $h \in \mathcal{H}(\tau)$  such that  $\text{LMO}(f, h)(\tau) > 0$ .*

**Proof** By the obvious circular symmetry, it suffices to consider the case where  $\tau = 1$ . That is, assuming  $\text{LMO}(f)(1) > 0$ , we need to find an  $h \in \mathcal{H}(1)$  such that  $\text{LMO}(f, h)(1) > 0$ .

We start by picking a  $C^\infty$ -function  $\zeta$  on  $\mathbf{R}$  with the properties that  $0 \leq \zeta \leq 1$  on  $\mathbf{R}$ , that  $\zeta = 1$  on  $[1/3, 2/3]$ , and that  $\zeta = 0$  on  $\mathbf{R} \setminus (1/6, 5/6)$ . Since  $\text{LMO}(f)(1) > 0$ , there is a sequence  $\{I_n\}$  of open arcs in  $T$  and a  $\delta > 0$  such that  $\lim_{n \rightarrow \infty} \sup\{|1 - \lambda| : \lambda \in I_n\} = 0$  and such that

$$(2.1) \quad \frac{1}{|I_n|} \int_{I_n} |f - f_{I_n}| dm \geq \delta \quad \text{for every } n \geq 1.$$

Because  $|I_n| \rightarrow 0$ , passing to a subsequence if necessary, we may further assume

- (i)  $I_n = \{e^{it} : \alpha_n < t < \beta_n\}$ , where  $-\pi/2 < \alpha_n < \beta_n < \pi/2$ ;
- (ii)  $|I_{n+1}| \leq 2^{-n} \cdot 10^{-1} \cdot \|\zeta'\|_\infty^{-1} \cdot |I_n|$  for every  $n \geq 1$ .

(By the definition of  $\zeta$ , it is obvious that  $\|\zeta'\|_\infty \geq 1$ .) Now, for each  $n \geq 1$ , define the function  $\xi_n$  on  $T$  by the formula

$$\xi_n(e^{it}) = \zeta\left(\frac{t - \alpha_n}{\beta_n - \alpha_n}\right), \quad |t| \leq \pi.$$

Thus each  $\xi_n$  is a  $C^\infty$ -function on  $T$  and vanishes outside  $I_n$ .

Next we use induction to produce a sequence  $\{s_n\}$ , where each  $s_n$  is either 1 or  $-1$ , such that

$$(2.2) \quad -2 \leq \sum_{j=1}^n s_j \xi_j(\lambda) \leq 2 \quad \text{for all } \lambda \in T \text{ and } n \geq 1.$$

We start by picking  $s_1 = 1$ . Suppose that  $n \geq 1$  and that  $s_1, \dots, s_n \in \{1, -1\}$  have been chosen such that

$$-2 \leq \sum_{j=1}^m s_j \xi_j(\lambda) \leq 2 \quad \text{for all } \lambda \in T \text{ and } 1 \leq m \leq n.$$

Then define  $s_{n+1}$  as follows. If  $\sum_{j=1}^n s_j \xi_j(\lambda) \geq 0$  for every  $\lambda \in I_{n+1}$ , then we set  $s_{n+1} = -1$ . Otherwise, i.e., if  $\sum_{j=1}^n s_j \xi_j(\lambda) < 0$  for at least one  $\lambda \in I_{n+1}$ , we set  $s_{n+1} = 1$ . Since  $\xi_{n+1} = 0$  on  $T \setminus I_{n+1}$ , it is clear that we still have  $-2 \leq \sum_{j=1}^{n+1} s_j \xi_j(\lambda) \leq 2$  for all  $\lambda \in T$  in the case that  $s_{n+1}$  is chosen to be  $-1$ . On the other hand, we claim that

$$\sum_{j=1}^n s_j \xi_j(\lambda) < \frac{1}{10} \quad \text{for every } \lambda \in I_{n+1} \text{ if } \sum_{j=1}^n s_j \xi_j(\lambda^*) < 0 \text{ for some } \lambda^* \in I_{n+1}.$$

Indeed from the definition of  $\xi_j$  it is easy to see that  $|\xi_j(\lambda) - \xi_j(\lambda^*)| \leq (\|\zeta'\|_\infty / |I_j|) \cdot |I_{n+1}|$  for all  $\lambda \in I_{n+1}$ . By condition (ii),  $(\|\zeta'\|_\infty / |I_j|) \cdot |I_{n+1}| \leq 2^{-n} \cdot 10^{-1}$  for every  $j \leq n$ . Since  $\sum_{j=1}^n s_j \xi_j(\lambda) \leq \sum_{j=1}^n s_j \xi_j(\lambda^*) + \sum_{j=1}^n |\xi_j(\lambda) - \xi_j(\lambda^*)|$ , our claim is verified. Thus, in the case  $s_{n+1}$  is chosen to be 1, we also have  $-2 \leq \sum_{j=1}^{n+1} s_j \xi_j(\lambda) \leq 2$  for all  $\lambda \in T$ . By induction, we have the desired sequence  $\{s_n\}$ .

Define  $\xi = 3 + \sum_{j=1}^\infty s_j \xi_j$ . It is obvious that, if  $U$  is an open arc containing 1, then all but a finite number of terms in  $\sum_{j=1}^\infty s_j \xi_j$  vanish on  $T \setminus U$ . Hence  $\xi$  is a  $C^\infty$ -function on  $T \setminus \{1\}$ . Furthermore, it follows from (2.2) that

$$(2.3) \quad 1 \leq \xi(\lambda) \leq 5 \quad \text{for every } \lambda \in T \setminus \{1\}.$$

Next we show that

$$(2.4) \quad \liminf_{n \rightarrow \infty} \frac{1}{|I_n|} \int_{I_n} |\xi - \xi_{I_n}| dm \geq \frac{1}{3}.$$

Indeed, because  $|s_j| = 1$ , for any  $n \geq 2$ , we have

$$(2.5) \quad |\xi - \xi_{I_n}| \geq |\xi_n - (\xi_n)_{I_n}| - \sum_{k>n} (|\xi_k| + |\xi_k|_{I_n}) - \sum_{1 \leq j < n} |\xi_j - (\xi_j)_{I_n}|.$$

When  $k > n$ ,  $\int_{I_n} |\xi_k| dm / |I_n| \leq |I_k| / |I_n| \leq 2^{-k}$  by condition (ii). Thus

$$(2.6) \quad \frac{1}{|I_n|} \int_{I_n} \sum_{k>n} (|\xi_k| + |\xi_k|_{I_n}) dm \leq \sum_{k>n} 2^{-k} \cdot 2 = 2^{-n+1}.$$

Now, if  $j < n$  and  $\lambda \in I_n$ , then  $|\xi_j(\lambda) - (\xi_j)_{I_n}| \leq \int_{I_n} |\xi_j(\lambda) - \xi_j(w)| dm(w) / |I_n| \leq \sup_{w \in I_n} |\xi_j(\lambda) - \xi_j(w)| \leq (\|\zeta'\|_\infty / |I_j|) \cdot |I_n| \leq (\|\zeta'\|_\infty / |I_{n-1}|) \cdot |I_n| \leq 2^{-n}$  by the definition of  $\xi_j$  and (ii). Therefore

$$(2.7) \quad \frac{1}{|I_n|} \int_{I_n} \sum_{1 \leq j < n} |\xi_j - (\xi_j)_{I_n}| dm \leq (n-1)2^{-n}.$$

Finally, by the definition of  $\zeta$  and  $\xi_n$ , we can write  $I_n = E \cup F \cup G$  such that  $|E| = |F| = |G| = |I_n|/3$  and such that  $\xi_n = 0$  on  $E$  and  $\xi_n = 1$  on  $F$ . Hence

$$(2.8) \quad \frac{1}{|I_n|} \int_{I_n} |\xi_n - \xi_{I_n}| dm \geq \frac{|E|}{|I_n|} |0 - \xi_{I_n}| + \frac{|F|}{|I_n|} |1 - \xi_{I_n}| = \frac{1}{3} \{|\xi_{I_n}| + |1 - \xi_{I_n}|\} \geq \frac{1}{3}.$$

Obviously, (2.4) follows from (2.5)–(2.8).

Let  $u$  be the harmonic extension of  $\log \xi$  to the unit disc  $D$  by the Poisson formula and let  $v$  be the harmonic conjugate of  $u$  define by the conjugate formula. That is,

$$u(z) + iv(z) = \frac{1}{2\pi} \int_{-\pi}^{\pi} \frac{e^{it} + z}{e^{it} - z} \log \xi(e^{it}) dt, \quad z \in D.$$

(2.3) ensures that  $\log \xi$  is also  $C^\infty$  on  $T \setminus \{1\}$ . Thus, by a well-known theorem about conjugate functions (see, e.g., [5, p. 106]), the boundary value of  $v$  is continuous on  $T \setminus \{1\}$ . Therefore, if we set

$$h = \exp(u + iv),$$

then the outer function  $h$  is bounded and continuous on  $T \setminus \{1\}$ . In other words,  $h \in \mathcal{H}(1)$ . Since  $|h| = \xi$  on  $T$ , we have  $|h - h_{I_n}| \geq |\xi - |h_{I_n}||$ . Note that  $\int_{I_n} |\xi - \xi_{I_n}| dm \leq 2 \int_{I_n} |\xi - r| dm$  for any  $r \in \mathbf{R}$ . Thus it follows from (2.4) that

$$(2.9) \quad \liminf_{n \rightarrow \infty} \frac{1}{|I_n|} \int_{I_n} |h - h_{I_n}| dm \geq \liminf_{n \rightarrow \infty} \frac{1}{|I_n|} \int_{I_n} |\xi - |h_{I_n}|| dm \geq \frac{1}{2} \cdot \frac{1}{3} = \frac{1}{6}.$$

Since the sequence  $\{I_n\}$  of arcs converges to the point 1, combining (2.1) and (2.9) and recalling the definition of LMO, we now have

$$\text{LMO}(f, h)(1) \geq \liminf_{n \rightarrow \infty} \frac{1}{|I_n|} \int_{I_n} |f - f_{I_n}| dm \frac{1}{|I_n|} \int_{I_n} |h - h_{I_n}| dm \geq \frac{\delta}{6} > 0$$

as desired. This completes the proof. ■

**Proof of Theorem 1** (a) Let  $f \in L^\infty$  and  $\tau \in T$  be such that  $[T_f, T_h] \in \mathcal{K}_\tau$  for every  $h \in \mathcal{H}(\tau)$ . By the analyticity of  $h$ , we have  $[T_f, T_h] = T_{fh} - T_h T_f = H_h^* H_f$ . Thus Theorem 7 tells us that  $\text{LMO}(Qf, Q\bar{h})(\tau) = 0$  for every  $h \in \mathcal{H}(\tau)$ . The analyticity of  $h$  also means that  $Q\bar{h} = \bar{h} - \bar{h}(0)$ . By the definition of LMO, it is clear that  $\text{LMO}(Qf, h)(\tau) = \text{LMO}(Qf, \bar{h} - \bar{h}(0))(\tau)$ . That is, the condition  $[T_f, T_h] \in \mathcal{K}_\tau$  implies  $\text{LMO}(Qf, h)(\tau) = 0$  for every  $h \in \mathcal{H}(\tau)$ . Proposition 8 now tells us that  $\text{LMO}(Qf)(\tau) = 0$ .

(b) Suppose that  $\text{LMO}(Qf)(\tau) = 0$ . For any  $g \in H^\infty$ , it follows from the definition of LMO and the boundedness of  $g$  that  $\text{LMO}(Qf, Q\bar{g})(\tau) = 0$ . Thus, by Theorem 7,  $[T_f, T_g] = H_g^* H_f \in \mathcal{K}_\tau$ . This completes the proof. ■

**Proof of Corollary 2** If  $f \in L^\infty$  is such that  $[T_f, T_h] \in \mathcal{K}$  for every  $h \in \mathcal{H}$ , then it follows from Theorem 1 that  $\text{LMO}(Qf)(\tau) = 0$  for every  $\tau \in T$ . By the compactness of  $T$ , this means that  $Qf \in \text{VMO}$ . Thus the Hankel operator  $H_f = H_{Qf}$  is compact, which implies that  $f \in H^\infty + C(T)$  (see [4] or [15, p. 198]). ■

**Proof of Corollary 4** Let  $S$  be an operator in the essential commutant of  $\mathcal{T}(\mathcal{L})$ . Since  $\mathcal{L}$  contains every  $\mathcal{H}(\tau)$ , Theorem 3 tells us that  $S = T_f + K$  with  $f \in L^\infty$  and  $K \in \mathcal{K}$ . Since  $\mathcal{T}(\text{QC}) \supset \mathcal{K}$ , it suffices to show that  $f \in \text{QC}$ . Because  $\mathcal{L} \supset \mathcal{H}$ , it follows

from Corollary 2 that  $f \in H^\infty + C(T)$ . Since  $\mathcal{T}(\mathcal{L})$  is  $*$ -symmetric,  $T_{\bar{f}} = T_f^*$  also commutes with  $\mathcal{T}(\mathcal{L})$  modulo compact operators. Thus  $\bar{f}$  also belongs to  $H^\infty + C(T)$ . Hence  $f \in \text{QC}$ . ■

**Lemma 9** For any given  $\tau \in T$ , there is a real-valued  $f \in \mathcal{L}(\tau)$  which satisfies the following two conditions:

- (i)  $\text{LMO}(f)(\tau) > 0$ .
- (ii) If  $g \in L^\infty$  and if  $\tau$  is a Lebesgue point for  $g$ , then  $\text{LMO}(f, g)(\tau) = 0$ .

**Proof** As was the case for the proof of Proposition 8, it suffices to consider the case that  $\tau = 1$ . Define the function  $f$  on  $T$  by the formula

$$f(e^{it}) = \begin{cases} 1 & \text{if } 0 \leq t \leq 1/2 \\ 2(1 - t) & \text{if } 1/2 < t \leq 1 \\ 0 & \text{if } t \in [-\pi, \pi] \setminus [0, 1]. \end{cases}$$

It is obvious that  $f$  is continuous on  $T \setminus \{1\}$ , i.e.,  $f \in \mathcal{L}(1)$ . Now if we set  $I_n = \{e^{it} : -2^{-n} \leq t \leq 2^{-n}\}$  for  $n \geq 1$ , then it is also obvious that  $\int_{I_n} |f - f_n| dm / |I_n| = 1/2$ . Since the sequence  $\{I_n\}$  of arcs converges to 1, it follows that  $\text{LMO}(f)(1) \geq 1/2$ , which verifies property (i).

Next we show that  $f$  also satisfies condition (ii). Let  $g \in L^\infty$  be such that 1 is a Lebesgue point for this function. Let  $\epsilon > 0$  be given. Then there is a  $0 < \delta < 1/2$  such that

$$(2.10) \quad \frac{1}{2r} \int_{-r}^r |g(e^{it}) - g(1)| dt \leq \frac{\epsilon}{4} \quad \text{whenever } 0 < r \leq \delta.$$

Now consider any arc  $I = \{e^{it} : a \leq t \leq b\}$  such that  $-\delta \leq a < b \leq \delta$ . Write

$$L(I) = \frac{1}{|I|} \int_I |f - f_I| dm \frac{1}{|I|} \int_I |g - g(1)| dm.$$

Since  $f(e^{it}) = 1$  when  $0 \leq t \leq 1/2$  and  $f(e^{it}) = 0$  when  $-1/2 \leq t < 0$ , it is clear that  $L(I) = 0$  if either  $0 \leq a$  or  $b \leq 0$ . Thus it suffices to consider the case where  $a < 0 < b$ . But, when  $a < 0 < b$ , it is clear from (2.10) that  $\int_I |g - g(1)| dm / |I| \leq 2 \cdot (\epsilon/4) = \epsilon/2$ . Obviously,  $|f - f_I| \leq 1$ . Therefore

$$(2.11) \quad L(I) \leq \epsilon/2 \quad \text{whenever } -\delta \leq a < b \leq \delta.$$

Note that  $\int_I |g - g_I| dm / |I| \leq 2 \int_I |g - c| dm / |I|$  for any  $c \in \mathbf{C}$ . Hence it follows from (2.11) that, if  $I = \{e^{it} : a \leq t \leq b\}$  and  $-\delta \leq a < b \leq \delta$ , then

$$\frac{1}{|I|} \int_I |f - f_I| dm \frac{1}{|I|} \int_I |g - g_I| dm \leq 2L(I) \leq \epsilon.$$

This proves that  $\text{LMO}(f, g)(1) = 0$  if 1 is a Lebesgue point for  $g$ . ■

**Proof of Theorem 6** The separability of  $\mathcal{S}$  means that  $\mathcal{S}$  is contained in the operator-norm closure of a countable subset  $\{A_1, \dots, A_j, \dots\}$  of  $\mathcal{T}$ . Now each  $A_j$  is the limit in operator norm of a sequence of operators of the form  $\sum_{k=1}^K T_{g_{k1}} \cdots T_{g_{kM}}$ , where  $g_{km} \in L^\infty$ . Hence there is a countable set  $G = \{g_1, \dots, g_n, \dots\}$  of real-valued functions in  $L^\infty$  such that  $\mathcal{S} \subset \mathcal{T}(G)$ .

For each  $g_n$ , almost every point in  $T$  is a Lebesgue point. Therefore there is a  $\tau \in T$  which is a Lebesgue point for every  $g_n$ ,  $n = 1, 2, \dots$ . For this  $\tau$ , let  $f \in \mathcal{L}(\tau)$  be the real-valued function provided by Lemma 9. The membership in  $\mathcal{L}(\tau)$  means that  $\text{LMO}(f)(u) = 0$  when  $u \in T \setminus \{\tau\}$ . Thus  $\text{LMO}(f, g_n)(u) = 0$  for all  $n$  and  $u \in T \setminus \{\tau\}$ . Since  $\tau$  is a Lebesgue point for every  $g_n$ , Lemma 9 yields that  $\text{LMO}(f, g_n)(\tau) = 0$ ,  $n = 1, 2, \dots$ . Therefore  $\text{LMO}(f, g_n)(u) = 0$  for all  $n$  and  $u \in T$ . Thus Theorem 7 tells us that  $H_{g_n}^* H_f \in \bigcap_{u \in T} \mathcal{K}_u = \mathcal{K}$ . That is, for every  $n$ ,  $H_{g_n}^* H_f$  is compact, which clearly implies the compactness of  $[T_f, T_{g_n}]$ . Hence  $[T_f, S]$  is compact for every  $S \in \mathcal{T}(G)$ . Now Lemma 9 also yields that  $\text{LMO}(f)(\tau) > 0$ , which obviously implies  $f \notin \text{VMO}$ . Since  $f$  is real-valued, we have  $f \notin H^\infty + C(T)$  as promised. ■

### 3 The Double Commutant Relation

Recall that  $\mathcal{L}$  is the norm-closed subalgebra of  $L^\infty$  generated by  $\bigcup_{\tau \in T} \mathcal{L}(\tau)$ , where  $\mathcal{L}(\tau)$  is the collection of functions on  $T$  which are bounded and continuous on  $T \setminus \{\tau\}$ . The proof of Theorem 5 starts in the obvious way.

**Lemma 10**  $\mathcal{L} \neq L^\infty$ . More specifically, if  $E$  is a measurable, nowhere dense set in  $T$  such that  $|E| > 0$ , then  $\chi_E \notin \mathcal{L}$ .

**Proof** Let  $\mathcal{L}_0$  be the collection of functions of the form  $\sum_{j=1}^n f_{1j} \cdots f_{mj}$ , where  $f_{ij} \in \mathcal{L}(\tau_{ij})$ . Then  $\mathcal{L}$  is the closure of  $\mathcal{L}_0$  with respect to the essential-supremum norm  $\|\cdot\|_\infty$ . To show that  $\chi_E \notin \mathcal{L}$ , it suffices to show that  $\|\chi_E - f\|_\infty \geq 1/3$  for any  $f \in \mathcal{L}_0$ . That is, it suffices to show that

$$(3.1) \quad \sup_{\tau \in T \setminus N} |\chi_E(\tau) - f(\tau)| \geq 1/3 \quad \text{whenever } |N| = 0 \text{ and } f \in \mathcal{L}_0.$$

Observe that each  $f \in \mathcal{L}_0$  has at most a finite number of discontinuities. Thus for each  $f \in \mathcal{L}_0$  there is a finite set  $F$  such that  $T \setminus F = \bigcup_{j \in J} I_j$ , where  $J$  is countable and where each  $I_j$  is an open arc in  $T$  such that  $\sup_{\tau, \tau' \in I_j} |f(\tau) - f(\tau')| \leq 1/3$ . Since  $|F| = 0$  and  $|E| > 0$ , there is a  $j_0 \in J$  such that  $|E \cap I_{j_0}| > 0$ . Because  $E$  is nowhere dense, we have  $|(T \setminus E) \cap I_{j_0}| > 0$ . Thus for any set  $N$  with  $|N| = 0$  we also have  $|E \cap (I_{j_0} \setminus N)| > 0$  and  $|(T \setminus E) \cap (I_{j_0} \setminus N)| > 0$ . Now if we let  $\tau \in E \cap (I_{j_0} \setminus N)$  and  $\tau' \in (T \setminus E) \cap (I_{j_0} \setminus N)$ , since  $|f(\tau) - f(\tau')| \leq 1/3$ , the inequalities  $|1 - f(\tau)| < 1/3$  and  $|0 - f(\tau')| < 1/3$  cannot hold simultaneously. This proves (3.1). ■

Let  $\mathcal{C}_{1/2}$  denote the ideal in the  $C^*$ -algebra  $\mathcal{T}$  generated by the semi-commutators  $\{T_{fg} - T_f T_g : f, g \in L^\infty\}$  of Toeplitz operators. Now, because the linear span of  $\{\varphi\psi : \varphi, \psi \in H^\infty\}$  is dense in  $L^\infty$  (see [3, p. 163]),  $\mathcal{C}_{1/2}$  coincides with the ideal  $\mathcal{C}$  in



$\mathcal{T}$  generated by the commutators  $\{[A, B] : A, B \in \mathcal{T}\}$ . Hence we have the short exact sequence

$$(3.2) \quad \{0\} \rightarrow \mathcal{C}_{1/2} \rightarrow \mathcal{T} \rightarrow L^\infty \rightarrow \{0\}.$$

See [3, p. 179].

**Proof of Theorem 5** Corollary 4 states that  $\pi(\mathcal{T}(\text{QC}))$  is the commutant of  $\pi(\mathcal{T}(\mathcal{L}))$  in the Calkin algebra  $\mathcal{Q} = \mathcal{B}(H^2)/\mathcal{K}$ . It is well known that  $\mathcal{T}$  is contained in the essential commutant of  $\mathcal{T}(\text{QC})$ , i.e.,  $\pi(\mathcal{T}) \subset \{\pi(\mathcal{T}(\text{QC}))\}' = \{\pi(\mathcal{T}(\mathcal{L}))\}''$ . Thus it suffices to show that  $\pi(\mathcal{T}) \neq \pi(\mathcal{T}(\mathcal{L}))$ . Let  $s: \mathcal{T} \rightarrow L^\infty$  be the symbol map in (3.2), i.e.,  $s(T_\varphi) = \varphi$ . Since  $s(\mathcal{T}) = L^\infty$  and  $s(\mathcal{T}(\mathcal{L})) = \mathcal{L}$ , and since  $\mathcal{L} \neq L^\infty$  by Lemma 10, we must have  $\mathcal{T}(\mathcal{L}) \neq \mathcal{T}$ . Since  $\ker \pi = \mathcal{K}$ , this and the relation  $\mathcal{K} \subset \mathcal{T}(\mathcal{L}) \subset \mathcal{T}$  together imply  $\pi(\mathcal{T}) \neq \pi(\mathcal{T}(\mathcal{L}))$ . ■

**Remark 11** Let  $\mathcal{C}_{1/2}(\mathcal{L})$  be the ideal in  $\mathcal{T}(\mathcal{L})$  generated by  $\{T_{fg} - T_f T_g : f, g \in \mathcal{L}\}$ . It is well known that, for any arc  $I$  in  $T$  with  $0 < |I| < |T|$ ,  $T_{\chi_I} - T_{\chi_I}^2$  is not compact [6]. Obviously,  $\chi_I \in \mathcal{L}$ . Therefore  $\pi(\mathcal{C}_{1/2}(\mathcal{L})) \neq \{0\}$ . On the other hand, (3.2) tells us that  $\pi(\mathcal{C}_{1/2}(\mathcal{L})) \neq \pi(\mathcal{T}(\mathcal{L}))$ . Hence  $\pi(\mathcal{C}_{1/2}(\mathcal{L}))$  is a proper ideal in  $\pi(\mathcal{T}(\mathcal{L}))$ .

**Remark 12** If  $S$  is an operator that essentially commutes with the essential commutant of  $\mathcal{T}(\text{QC})$ , then  $S$  essentially commutes with  $\mathcal{T}$ . By Davidson’s theorem, such an  $S$  belongs to  $\mathcal{T}(\text{QC})$ . Therefore  $\pi(\mathcal{T}(\text{QC}))$  satisfies the double commutant relation  $\mathcal{A} = \mathcal{A}''$  in  $\mathcal{Q}$ . On the other hand, it is well known that  $\pi(\mathcal{T}(\text{QC})) \cong \text{QC}$  is not separable. Therefore the fact that  $\pi(\mathcal{T}(\text{QC}))$  satisfies the double commutant relation does not follow from Voiculescu’s theorem. Also, it is an elementary exercise in measure theory to show that  $\text{QC}$  contains no projections other than 0 and 1. In particular,  $\pi(\mathcal{T}(\text{QC}))$  is not the image of any von Neumann algebra under  $\pi$ . Therefore the results of [8], [10] cannot be applied to  $\pi(\mathcal{T}(\text{QC}))$  either. Nevertheless, Davidson’s theorem tells us that the double commutant relation  $\mathcal{A} = \mathcal{A}''$  can also be satisfied by a subalgebra of  $\mathcal{Q}$  which is neither separable nor close to being the image of any von Neumann algebra.

Finally, the results of [2] and the above discussion lead to the obvious:

**Problem 13** What is the essential commutant of  $\mathcal{T}(\text{QC})$ ? In particular, does the essential commutant of  $\mathcal{T}(\text{QC})$  coincide with  $\mathcal{T}$ ?

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