# Journal of Developmental Origins of Health and Disease

#### cambridge.org/doh

# **Original Article**

Cite this article: Thompson AL. (2019)
Caesarean delivery, immune function and inflammation in early life among Ecuadorian infants and young children. *Journal of Developmental Origins of Health and Disease* 10: 555–562. doi: 10.1017/S2040174419000047

Received: 4 September 2018 Revised: 20 December 2018 Accepted: 28 December 2018 First published online: 7 February 2019

#### Keywords

allergy; caesarean delivery; inflammation; leukocytes

#### Address for correspondence:

Amanda L. Thompson, 123W Franklin St, CB #8120, Chapel Hill, NC 27515, USA. E-mail: althomps@email.unc.edu

© Cambridge University Press and the International Society for Developmental Origins of Health and Disease 2019.



# Caesarean delivery, immune function and inflammation in early life among Ecuadorian infants and young children

# A. L. Thompson<sup>1,2,3</sup>

<sup>1</sup>Department of Anthropology, University of North Carolina at Chapel Hill, Chapel Hill, NC, USA, <sup>2</sup>Department of Nutrition, Gillings School of Global Public Health, University of North Carolina at Chapel Hill, Chapel Hill, NC, USA and <sup>3</sup>Carolina Population Center, University of North Carolina at Chapel Hill, Chapel Hill, NC, USA

#### Abstract

Caesarean delivery has been linked to a number of inflammatory conditions in childhood and adolescence. Yet the mechanisms underlying these associations and their generalizability across contexts with different postnatal feeding and pathogenic exposures remain unclear. This study tests the association between delivery type and three measures of immune function, inflammation, morbidity and leukocyte proportions, in Ecuadorian infants and children aged 6 months to 2 years. Data were collected from mother-child pairs participating in a nationally representative health and nutrition survey Encuesta Nacional de Salud y Nutricion (ENSANUT-ECU) conducted in 2012. The analytic sample includes 828 mothers and infants with delivery information and measured biomarkers. Poisson regression models were used to examine the association between delivery type and markers of immune function, controlling for maternal and infant characteristics, including age, sex, sociodemographic characteristics and medical indications. 40.8% (n = 338) of sample infants and children were delivered by caesarean. Compared to those born vaginally, infants born by caesarean were less likely to have elevated C-reactive protein (CRP) [CRP > 2 mg/l; risk ratio (RR): 0.76, 95% confidence interval (CI): 0.58-1.00] and more likely to have illness symptoms (RR: 1.22, 95% CI: 1.01-1.46) and elevated basophils (RR: 1.83, 95% CI: 1.03-3.25). No other immune cell proportions differed by delivery type. The results suggest that differences in the perinatal exposures accompanying caesarean delivery may alter immune development and function, particularly in the inflammatory response to infection and in cells involved in the allergic response, across infancy and early childhood. Understanding the pathways linking perinatal exposures to immune development is important for preventing the development of inflammatory conditions.

Increasing evidence links early life exposures to the development of the immune system and long-term risk of immunologic and inflammatory conditions. Birthweight, a marker of maternal nutrition during pregnancy, has been associated with numerous aspects of immune function, including antibody response to vaccines, immunoglobulin levels, <sup>2,3</sup> elevated C-reactive protein (CRP)<sup>4</sup> and leukocyte (white blood cell) counts <sup>5,6</sup> from childhood through adulthood. Research into the hygiene hypothesis has highlighted the importance of infant and childhood microbial exposures in entraining the immune system to recognize pathogenic  $\nu$ . benign substances. <sup>7–9</sup> Disruptions in exposure to 'old friends,' the commensal bacteria and other common pathogens frequently encountered during our evolutionary and historical past, during early life due to increases in hygiene, declining family size, reduced exposure to livestock and increased antibiotic use have been implicated in the rising prevalence of allergy, atopy and other inflammatory conditions. <sup>10</sup> Among these early exposures, birth interventions may also play an important role in the development of the immune system and immune-related diseases in infancy and childhood. <sup>11–13</sup>

Meta-analyses and analyses of large datasets drawn from national health registries have documented that caesarean delivery is a risk factor for neonatal respiratory conditions, asthma, atopy, type 1 diabetes, celiac disease and gastroenteritis. The associations between delivery and later health outcomes are strengthened when emergency and planned caesareans are measured separately. Caesarean deliveries occurring before the rupture of the membranes carry a greater risk of asthma and inflammatory disorders, indicating that the process of labour is important for long-term health. Two inter-related pathways have been proposed to underlie the associations between mode of delivery and later health outcomes. First, the experience of labour serves as stressor in the neonate, upregulating the stress response and activating components of the immune system. Place of the same time, passage through the birth canal exposes neonates to their mother's vaginal and fecal microbiota, seeding the

infant's gut microbiome and beginning the process of entraining the immune system to recognize harmful  $\nu$ . benign exposures.  $^{24,25}$ While the relative importance of these pathways and the mechanisms contributing to the persistence of delivery exposures on later immune function continue to be explored, immunological studies in neonates document that the prevalence of leukocytes, including neutrophils, monocytes, lymphocytes and natural killer (NK) cells, are altered by the process of labour. 19,26,27 Levels of inflammatory cytokines produced by these immune cells and of CRP also tend to be lower in neonates born by planned caesarean. 28,29 Together these functional differences in the immune system may affect morbidity from respiratory and other common infections in the short term and shape immune function and the development of inflammatory conditions in the longer term. 11 However, few studies have examined the intervening period of infancy and young childhood to test whether differences in immune cell count and immune function persist beyond the perinatal period.

Another important gap in the research on the perinatal origins of immune disorders is that evidence for the association between caesarean delivery and later health comes almost entirely from high-income countries. 30,31 Yet, rates of caesarean delivery and allergic conditions<sup>33</sup> are both increasing dramatically in low- and middle-income countries (LMIC). The few studies that have examined the association between caesarean delivery and asthma in LMIC settings have found contradictory results. One comparative study of 8-year-old children in India and Vietnam found a stronger association between caesarean delivery and asthma than that seen in high-income countries,<sup>34</sup> while two others have found no significant association between delivery mode and asthma in 3-15-year-old children in Malaysia<sup>35</sup> and Iraq.<sup>36</sup> Such contrasting results may reflect differences in salient environmental risk factors between more and less affluent settings<sup>37</sup> and/ or differences in immune system development and activation in response to differences in energy availability or pathogenic exposures. Further investigation into the potential impacts of birth practices on immune development in LMICs, where infants and children are exposed to both higher rates of breastfeeding and more pathogenic environments, 34,38 is needed to establish whether the impacts of early birth exposures persist across a broader range of postnatal contexts.

This study examines the association between delivery type and measures of immune function and morbidity in Ecuadorian infants and young children participating in the nationally representative Encuesta Nacional de Salud y Nutricion (ENSANUT-ECU 2012).<sup>39</sup> It tests whether the proportion of five leukocyte types (lymphocytes, monocytes, neutrophils, basophils and eosinophils), CRP and morbidity from respiratory and diarrheal illness differ between infants and young children, aged 6 months to 2 years, delivered vaginally  $\nu$ . those delivered by caesarean. These measures permit an examination of the association between delivery mode and several aspects of immune function. Leukocytes serve as the primary mediator of both innate (the rapid, non-specific response to infection) and adaptive (the timedelayed specific cellular and humoral response) immune responses to infection and promote inflammation. The five measured cell types serve different roles in this process. Lymphocytes, which include B-cells, T-cells and NK cells, serve as a measure of specific immunity and respond to intra- and extracellular pathogens and viral infections. The other four cells types, monocytes, neutrophils, eosinophils and basophils, participate in the innate immune response. Neutrophils are an indicator of general infection while monocytes are an indicator of chronic infection. Eosinophils and basophils both play a role in inflammation and allergic responses. Eosinophils respond to parasitic infection and serve as a marker of inflammatory and allergic responses while basophils indicate asthma and allergic disease. Thus, along with CRP, an inflammatory marker that plays a role in the acute phase response to infection, these measures provide information on immunity and response to infection in a LMIC context with prevalent caesarean section, over 40% of births in 2012,<sup>39</sup> and potentially different postnatal feeding and environmental exposures. Based on previous findings in neonates, it was hypothesized that, compared to vaginally delivered infants and children, those delivered by caesarean will show a dampened immune response with lower specific immune cell proportions, lower inflammation and poorer immune function indicated by higher morbidity. Conversely, owing to the association between caesarean delivery and allergy and asthma, it was hypothesized that the leukocytes associated with these conditions, eosinophils and basophils, will be higher in infants and children born by caesarean.

#### Methods

#### Sample

Data obtained from the Encuesta Nacional de Salud y Nutrición (ENSANUT-ECU) conducted in 2012<sup>39</sup> are publicly available at http://www.ecuadorencifras.gob.ec/category/ensanut/. ENSANUT-ECU collected data from a nationally representative sample of over 87,000 Ecuadorians (0.6% of the total population), aged 0-59 years and analysed biomarkers in a subsample of 21,249 participants, aged 6 months to 59 years. Participants were selected using a multistage, stratified sampling design based on rural/urban residence, region and province. Twelve households were identified per census tract and, within each household, one individual in each age group of interest (<5, 10-19 and 20-59 years) and one woman of reproductive age were selected to participate. The full sample includes 18,213 women of reproductive age, 15,393 of whom had a child and 6578 with children aged 2 years of younger. A total of 902 children aged two or younger had biomarkers collected, and of these 828 could be matched to mothers with complete birth information. Only one child under the age of 5 was measured per household, so the current analytic sample includes 828 mothers and their infants aged 6-24 months, with biomarker measures and birth histories (Fig. 1). Secondary analyses were approved by the University of North Carolina at Chapel Hill Institutional Review Board.

#### Birth histories

Delivery type, vaginal  $\nu$ . caesarean, was reported by mothers. No distinction was made between emergency and planned caesarean, but mothers were asked whether they had contractions before delivery. This variable is used in sensitivity testing (described in the statistical methods) to indicate whether the caesarean delivery was planned.

#### Immune measures

Venous blood samples were collected in the participants' homes by trained phlebotomists. 5.5 ml of blood was collected from infants aged 6 months or older and young children and divided between ethylenediaminetetraacetic acid-coated tubes (for haematological analysis) and tubes with lithium heparin (for CRP

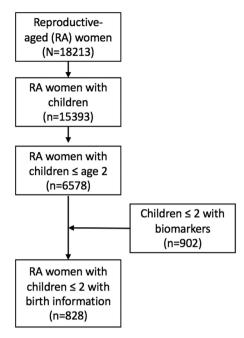


Fig. 1. Analytic sample of mothers and infants participating in ENSANUT.

and other biochemical markers). Samples were analysed at a central, internationally accredited laboratory, Netlab S.A., in Quito, Ecuador (International Organization for Standardization: 15189). Immune cell counts were measured using automated fluorescent flow cytometry (Sysmex XE-2100). The proportion of five leukocyte types (neutrophils, lymphocytes, eosinophils, monocytes and basophils) was assessed to measure various aspects of immune function. Standard paediatric cut-points were used to dichotomize counts into normal v. elevated. 40 High levels were defined as: neutrophils >45%, lymphocytes >76%, monocytes >6%, eosinophils >3% and basophils >1%. Serum was analysed for CRP after being spun at 3500 rpm for 15 min using automated nephelometry (Roche/Hitachi Modular EvoP-800 system). For this analysis, CRP over 2 mg/l was considered elevated for infants and young children following previous studies.41,42

#### Morbidity

Infant morbidity was assessed through maternal report. Infants were considered ill if they had exhibited symptoms of diarrhoea or respiratory infection (cough, runny nose, difficulty breathing, sore throat or flu) in the past 2 weeks.

### **Covariates**

In addition to the birth and health measures, ENSANUT collected numerous household, maternal and infant characteristics during the household surveys. Birthweight was recorded from the participating infants' child health card. Mothers were asked whether they had ever breastfed their infant and the age at which they stopped breastfeeding. For this analysis, children are classified as still breastfed if they received any breastmilk at the time of the interview. Mothers were asked the number of children they had given birth to including the index child. Economic status was measured at the household level from an index summarizing

Table 1. Sample characteristics by delivery type

	Sample (n = 828)	Vaginal ( <i>n</i> = 490)	Caesarean ( <i>n</i> = 338)				
	Mean (SD)/% ( <i>n</i> )						
Maternal characteristics							
Maternal age, years	26.6 (6.7)	26.0 (6.6)	27.1 (6.7)*				
Maternal education, %secondary	68.5 (614)	66.1 (324)	76.3 (258)**				
Maternal ethnicity, %mestizo	80.3 (719)	79.8 (391)	86.1 (291)***				
% Indigenous	11.3 (101)	12.5 (61)	3.9 (13)***				
Income, % bottom quintile	30.0 (269)	29.4 (144)	24.0 (81)***				
% top quintile	11.6 (104)	8.0 (39)	18.1 (61)***				
Region, %urban	60.7 (544)	58.6 (287)	70.7 (239)***				
Marital status, % unmarried	22.8 (204)	21.6 (106)	25.7 (87)				
Parity, %primiparous	37.9 (312)	37.1 (125)	38.4 (187)				
Infant characteristics							
Infant sex, %male	51.2 (424)	49.4 (242)	53.9 (182)				
Infant age at survey, mo	15.7 (6.0)	16.0 (6.1)	15.3 (5.8)				
Preterm, % yes <sup>a</sup>	10.5 (94)	6.5 (32)	17.8 (60)***				
Birthweight, gm (n = 572)	3186 (532)	3160 (551)	3185 (491)				
Low birthweight $(n = 572)^b$	6 (35)	6.7 (22)	5.7 (13)				
Breastfeeding initiation, % yes	98.4 (811)	98.8 (481)	97.9 (330)				
Breastfeeding duration, mo	11.3 (5.4)	11.8 (5.4)	10.8 (5.4)**				

 $^{+}P < 0.05, ^{+}P < 0.01, ^{+}P < 0.001$  for difference between vaginally and caesarean deliveries from  $y^{2}$  for categorical variables or t-tests for continuous variables.

household characteristics and assets. The score was normally distributed and divided into quintiles for analysis.

#### Statistical methods

Descriptive statistics (t-tests and  $\chi^2$  tests) were used to test for differences in infant, maternal and household characteristics by delivery type, vaginal v. caesarean. Because of concerns with the validity of odds ratios for assessing risk in cross-sectional studies with outcomes of varying prevalence, <sup>43,44</sup> multilevel Poisson regression with robust variance was used to assess prevalence risk ratios in immune measures (CRP, morbidity and elevated immune cell types) by delivery mode. All models controlled for infant age, current breastfeeding, infant sex, economic quintile and region (urban v. rural) and clustering by province. Education and ethnicity were highly correlated with income and region and were not included in the final model. The immune biomarker models (elevated CRP and immune cell types) adjust for illness in

<sup>&</sup>lt;sup>a</sup>Maternal report of birth before 9 months.

<sup>&</sup>lt;sup>b</sup>Birthweight <2500 g.

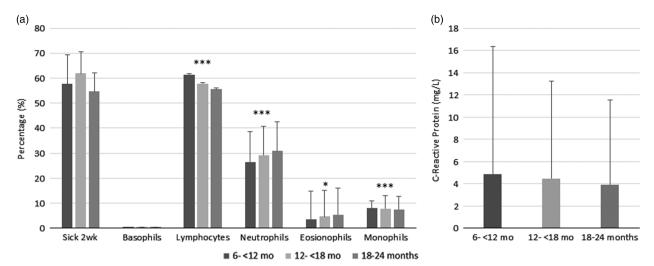


Fig. 2. Mean morbidity prevalence, CRP and leukocyte proportion by age in infants and young children. Bars represent mean prevalence of morbidity and leukocyte proportions with standard deviation (A). Bars represent mean CRP level (mg/l) with standard deviation (B); \*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.001 for trend by age group.

the past 2 weeks to control for elevations due to recent illness. To provide a proxy for planned caesarean delivery, which may carry greater risk for altered immune development, two sensitivity analyses were conducted. First, the final adjusted models were tested in the subsample of women who did not experience contractions before giving birth by caesarean. Next, the final model was tested in the subsample of multiparous women. Vaginal birth after caesarean (VBAC) is not a common practice in Ecuador, so caesarean delivery in multiparous women may be more likely to represent a planned caesarean delivery. All analyses were conducted by using Stata 14 (StataCorp, College Station, TX, USA). Statistical significance was set at P < 0.05.

# **Results**

Nearly 41% of the sample infants were born by caesarean section. Mothers delivering vaginally or by caesarean differed in a number of sociodemographic characteristics (Table 1). Those delivering by caesarean were slightly older, more highly educated, non-indigenous, more urban and of a higher income quintile. Fewer differences were seen in infant characteristics by delivery type. No differences were seen in birthweight, the prevalence of low birthweight or breastfeeding initiation. However, infants born by caesarean were more likely to be preterm (defined as birth before 9 months) and have a shorter duration of breastfeeding.

Across all age groups, the majority of infants and young children experienced infectious symptoms in the 2 weeks before the survey (Fig. 2a). Leukocyte prevalence did vary significantly with age for four of the immune cell types: the percentage of lymphocytes decreased across age groups, the percentage of neutrophils and eosinophils increased across age groups and the percentage of monocytes was highest in the middle age group (toddlers aged 12–18 months) compared to younger or older infants. Neither the mean level of CRP (Fig. 2b) nor the prevalence of elevated CRP differed across the age groups. Similar age patterns were seen for vaginally and caesarean delivered infants (data not shown).

Several immune measures differed by delivery type in both crude and adjusted models (Table 2). Infants born by caesarean were less likely to have elevated CRP but more likely to have experienced illness symptoms in the previous 2 weeks. Of the

leukocyte types, the risk of having elevated basophils differed significantly by delivery mode with caesarean delivered infants more likely to have elevated basophils. Among the covariates, few showed a consistent independent association with immune markers. Older infant and child age was associated with a greater risk of having high neutrophils but a lower risk of having high lymphocytes. Higher income was associated with a greater risk of having elevated basophils, which was significantly higher for infants and children in the middle quintile compared to the lowest quintile. Conversely, higher income, in the fourth and fifth quintile, was associated with a decreased risk for elevated eosinophils. As expected, experiencing illness symptoms in the past 2 weeks was an independent risk factor for having elevated CRP.

The results of the sensitivity analyses revealed no differences in the magnitude or direction of the association between delivery type and the immune or morbidity measures. Not all results remained significant, however, likely due to the smaller size of the subsamples (n = 511 for multiparous mothers and n = 405 for infants of mothers giving birth by caesarean without experiencing contractions compared to vaginally delivered infants).

## **Discussion**

The results of the present study show that measures of morbidity and immune function differ by delivery type and that these differences are measurable across the first 2 years of life in Ecuadorian infants and young children. Such findings are important since over 40% of Ecuadorian infants are born by caesarean,<sup>39</sup> a figure comparable to other Latin American countries<sup>32</sup> and four to eight times higher than the WHO recommendation that 5-15% of infants be delivered by caesarean section.<sup>46</sup> In the current study, infants born by caesarean were less likely to have elevated CRP and were more likely to have been sick in the previous 2 weeks and to have elevated basophils. These differences were little attenuated by the inclusion of maternal and infant covariates or indicators of 'emergency' v. scheduled caesarean. The findings support the importance of birth exposures in shaping the development and function of the immune system even in a context with prevalent breastfeeding and differing postnatal pathogenic exposures. Further, the finding that caesarean delivery increases the risk of elevated basophils in infants and young children

Table 2. Unadjusted and adjusted associations between delivery mode and immune biomarkers and morbidity

	Elevated CRP <sup>a</sup>	Morbidity <sup>b</sup>	High lymphocytes <sup>c</sup>	High monocytes	High neutrophils	High basophils	High eosinophils
	RR [95% CI]	RR [95% CI]	RR [95% CI]	RR [95% CI]	RR [95% CI]	RR [95% CI]	RR [95% CI]
Unadjusted model							
Caesarean delivery	0.77	1.43*	1.07	1.19	0.94	1.99*	0.88
	[0.59,1.01]	[1.05,1.94]	[0.55,2.08]	[0.84,1.68]	[0.55,1.60]	[1.12,3.53]	[0.65,1.20]
Adjusted model							
Caesarean delivery	0.76*	1.22*	1	1.01	1.11	1.83*	1
	[0.58,1.00]	[1.01,1.46]	[0.51,1.92]	[0.86,1.18]	[0.66,1.86]	[1.03,3.25]	[0.82,1.22]
Sick past 2 weeks <sup>b</sup>	1.50** [1.14,1.98]	-	0.66 [0.35,1.21]	1.06 [0.90,1.24]	1.18 [0.71,1.95]	1.02 [0.57,1.80]	1.11 [0.91,1.34]
Infant age, months	0.98	0.99	0.90**	0.99	1.07*	0.99	1.02
	[0.96,1.01]	[0.97,1.01]	[0.84,0.97]	[0.98,1.01]	[1.01,1.12]	[0.94,1.05]	[1.00,1.04]
Current breastfeeding, yes	0.98	0.81	1.3	0.93	1.15	0.9	0.98
	[0.70,1.37]	[0.64,1.03]	[0.61,2.78]	[0.76,1.15]	[0.59,2.26]	[0.44,1.86]	[0.76,1.26]
Infant sex, female	0.8	0.98	0.87	0.9	0.84	1.03	0.89
	[0.68,1.13]	[0.82,1.17]	[0.47,1.60]	[0.77,1.05]	[0.52,1.36]	[0.60,1.79]	[0.74,1.07]
Income <20th %ile	reference	reference	reference	reference	reference	reference	reference
20–40th %ile	1.05	0.95	1.19	1.04	1.67	1.3	0.92
	[0.74,1.48]	[0.74,1.23]	[0.43,3.31]	[0.83,1.30]	[0.91,3.09]	[0.50,3.38]	[0.71,1.18]
40–60th %ile	0.87	0.90	1.92	1.03	0.92	2.64*	0.94
	[0.60,1.27]	[0.69,1.17]	[0.76,4.84]	[0.82,1.29]	[0.44,1.91]	[1.13,6.18]	[0.72,1.22]
60-80th %ile	0.91	0.81	1.63	1.1	0.43	1.32	0.72*
	[0.60,1.39]	[0.61,1.09]	[0.55,4.82]	[0.86,1.41]	[0.16,1.20]	[0.48,3.61]	[0.53,0.99]
> 80th %ile	0.80	0.79	2.56	1.07	0.67	1.42	0.63*
	[0.57,1.48]	[0.57,1.10]	[0.90,7.34]	[0.81,1.42]	[0.25,1.78]	[0.49,4.12]	[0.43,0.92]
Area, rural	1.06	0.90	1.09	0.98	0.94	0.67	0.89
	[0.80, 1.40]	[0.74,1.10]	[0.54,2.20]	[0.82,1.16]	[0.56,1.57]	[0.34,1.33]	[0.72,1.09]

<sup>\*</sup>P<0.05, \*\*P<0.01, \*\*\*P<0.001 from multilevel, adjusted (prevalence) risk ratio regression models with Poisson specification and clustering by province.

provides a potential mechanism linking delivery mode to the risk of allergy and asthma in infants and children born by caesarean, further supporting a developmental origin for these inflammatory conditions.

Contrary to the hypotheses and previous studies focussing on cord blood or immune measures in neonates, few significant differences in leukocyte proportions were seen between vaginally v. caesarean delivered infants at 6-24 months of age. Previous research has documented that neonates born by caesarean have lower proportions of neutrophils, monocytes, NK cells, T-cells, B-cells and granulocytes compared to vaginally delivered neonates. 19,26 These differences in cell types are enhanced or even exclusively seen in infants born by pre-labour caesarean, suggesting that labour is an important process for immune activation. Research into the mechanisms linking delivery to immune development have suggested that contractions of the uterus and hypoxia during passage through the birth canal stimulate a stress response in the fetus that leads to catecholamine and cortisol production. 21,22 In turn, these stress hormones redistribute and activate immune cells, <sup>23,26</sup> serving as an adaptation to protect against immediate infection in the external neonates

environment.<sup>13,20</sup> This experience of labour and immune activation has been proposed to be 'stored' in the immune system through memory T-cells and/or epigenetic modifications.<sup>13,21</sup> However, few studies have examined whether these early differences in immune cell proportions persist after the first days of life. The current results suggest that, for the most part, these differences do not persist into infancy and early childhood among this sample of Ecuadorian children. However, other research has found persistent differences in the number of immunoglobulin-A (IgA) and IgG-secreting cells in 1-year old infants by delivery type<sup>47</sup> and of birthweight on immune cell counts in childhood through young adulthood,<sup>5,6</sup> suggesting that further investigation of the impact of delivery practices on immune cell function across infancy and early childhood is warranted.

As hypothesized, caesarean delivery was associated with an increased risk of having elevated basophils, a leukocyte that plays a role in acute and chronic allergic diseases, including asthma and atopic dermatitis, and plays a role in the inflammatory response. Infants delivered by caesarean were almost twice as likely to have elevated basophils even after adjustment for maternal and infant characteristics. Previous research into early life risk factors for

<sup>&</sup>lt;sup>a</sup>Defined as CRP >2 mg/l.

<sup>&</sup>lt;sup>b</sup>Symptoms of diarrhoea or respiratory infection (cough, runny nose, difficulty breathing, sore throat or flu) in the past 2 weeks.

Cpefined based on standard paediatric cut-points<sup>16</sup> as: basophils >1%, lymphocytes >76%, neutrophils >45%, eosinophils >3% and monocytes >6%.

asthma and allergic disease has documented that infants and young children with a higher number of eosinophil/basophil progenitor cells in their cord blood have a greater Th2 response (an elevation in the T-helper cells that produce interleukins that, in turn, promote IgE and eosinophil response to atopy) and increased risk of respiratory symptoms, wheezing and bronchitis, in the first 2 years of life. While the study did not look at delivery directly, its results support the importance of perinatal exposures on the development of inflammatory conditions. This current study's finding that basophils are elevated in caesarean delivered infants across early life provides a potential mechanism for the documented association between caesarean delivery and the later development of allergic diseases. 50

Rates of caesarean delivery and allergy and asthma are increasing concurrently in LMICs, leading some researchers to propose that changing delivery practices in part underlie the increasing prevalence of atopic disease. 20,34,47 Linked to the 'hygiene hypothesis', the lack of exposure to maternal vaginal and fecal microbiota that accompany caesarean delivery has been proposed to lead to a more pro-inflammatory composition of the gut and a dysregulation in immune cell development.<sup>25,51</sup> However, much of the work linking caesarean delivery and later health comes from high-income countries where postnatal exposures differ considerably and from those of LMIC where infants and children may experience significant microbial exposures beyond those transmitted by their mothers during birth. 35 In contrast to higher income countries where caesarean delivery is associated with lower rates of breastfeeding,<sup>38</sup> caesarean delivery in this study was not associated with lower initiation of breastfeeding. Even though caesarean delivered infants were breastfed for a significantly shorter duration, breastfeeding duration was over 10 months for both vaginally and caesarean delivered infants in the current sample. This exposure to breastmilk in both vaginally and caesarean delivered infants may have attenuated some of the expected differences in specific and innate immune cell proportions. Given the long duration of breastfeeding in this sample, the 1-month average difference in breastfeeding duration between vaginally and caesarean delivered infants is unlikely to have a significant biological effect. Previous studies suggest that early exposure to breastmilk in the first month to 6 months of life may be the most important in shaping leukocyte development. 52,53 Exclusive breastfeeding in early infancy is known to modify immune cell proportions, with lower monocytes, neutrophils and cytokine production seen in exclusively breastfed infants.<sup>53</sup> These differences, which may stem from the passive immune protection infants receive from their mothers during breastfeeding and/or the reduced pathogen exposure from contaminated nonbreastmilk substances during breastfeeding, may mitigate some of the impacts of caesarean delivery on immune development.

Along with these differences in breastfeeding after caesarean delivery, postnatal pathogenic exposures also differ in LMIC. 35,54 In the present study, rates of morbidity were high; over half of infants experienced at least one respiratory or gastrointestinal symptoms in the 2 weeks before measurement. Caesarean-born infants were significantly more likely to have illness symptoms even after adjustment for maternal and infant characteristics associated with greater exposure or vulnerability to illness. Interestingly, while caesarean delivery was associated with higher morbidity, infants born by caesarean had significantly lower risk of inflammation measured by CRP. This contrasting result suggests that the immune response of caesarean delivered infants may be dampened. Previous research has shown that

inflammatory cytokines, including interleukin-1 (IL-1), tumour necrosis factor- $\alpha$  (TNF- $\alpha$ ), IL-6 and IL-12,  $^{11,19,27}$  and CRP are lower in neonates born by planned caesareans compared to those born by emergency caesarean delivery or vaginal delivery,  $^{29}$  though other studies have found no difference when all caesarean deliveries are compared to vaginal deliveries. Such altered cytokine production could negatively impact the inflammatory response to infectious diseases. While the persistence of these effects beyond the first days of life is not well-described, the results of this analysis provide preliminary evidence that caesarean delivery may influence the inflammatory response to illness across the first 2 years of life. Importantly, these findings suggest that caesarean delivery may dampen the immune response to infection even in an environment with persistent pathogenic exposures.

This study is among the first to examine the association between delivery mode and immune development and function across infancy and early childhood in a large, population-based study in a LMIC. Several cell types assessed through flow cytometry, a biomarker of inflammation, and maternal reports of morbidity were examined, while controlling for a number of maternal and infant characteristics that could confound the relationship between delivery type and later immune function. Given the high prevalence of caesarean delivery and very low prevalence of VBAC in Latin American countries like Ecuador, 45 this sample likely has less selectivity in caesareans (i.e., due to maternal obesity, pre-existing maternal health conditions, etc.) than samples from countries with lower rates of planned caesarean delivery. The sensitivity analysis found few differences in the association between immune markers and delivery in primiparous v. multiparous mothers, lending support to a lack of selectivity in caesarean delivery.

Despite these strengths, this study has several important limitations. Delivery type was collected by maternal recall and does not distinguish planned v. emergency caesareans. Sensitivity analysis limiting the sample to women delivering by caesarean who did not report feeling contractions showed few differences from the full model, but this sample size is quite small (n = 405). Further, since the data were collected retrospectively 6 months to 2 years after birth, the current analysis is not able to fully control the factors that may contribute to selection into vaginal v. caesarean delivery or other factors that may confound the association between birth characteristics and immune measurement at ages 6-24 months. While our results may be influenced by residual confounding, the associations between delivery mode and immune markers showed little attenuation when the available maternal or infant characteristics were included in the model. Indeed, caesareans were more likely in women who were wealthier, more urban, or non-indigenous. Since these mothers may have infants with fewer pathogenic exposures, the results may underestimate the contribution of caesarean delivery to altered immune function. Nonetheless, adjustment for these factors had little effect on the magnitude of the associations in the analyses. Additionally, concerns have been raised with the analyser used in this study for measuring basophilia in paediatric populations.<sup>55</sup> The 'flag' function for elevated levels overestimates the percentage of infants with high basophils. However, validation studies find good agreement between the measured cell counts and those done manually in children older than 3 months.<sup>56</sup> This analysis used only the measured cell counts, not the 'flags' and created variables based on standard paediatric cut-points for elevation. Importantly, these cut-points have been derived from high-income,

healthy paediatric populations, and further work is needed to establish their appropriateness for use in other ecological or epidemiological contexts. While study results should be considered preliminary, the wide range of 'normal' values for leukocyte counts, the use of proportions  $\nu$ . absolute counts in the current study, which show fewer differences across populations, <sup>57,58</sup> and the similar patterns of leukocyte change with age seen in the current study compared to previous research, <sup>59</sup> lend support to the current findings.

#### Conclusion

The findings of the current study suggest that differences in the very early exposures that accompany caesarean and vaginal delivery may be associated with altered immune function, particularly in the inflammatory response to infection and in the proportion of cells involved in the allergic response. Further, these differences persist across the first 6 to 24 months of life in infants and young children growing up in an environment with different postnatal exposures than those that generally characterize research into the association between delivery and later health outcomes. The higher morbidity, lower inflammation and elevated basophils seen in Ecuadorian infants and young children born by caesarean highlight the need to understand the pathways linking early life exposures to immune development and the potential long-term health effects of birth interventions. Future research should focus on identifying the environmental factors that may interact with delivery mode to shape immune development and activation across the life cycle. With rates of caesarean deliveries and inflammatory conditions increasing in LMIC, additional work is needed to fully elucidate these pathways and to understand the exposures that influence immune development in a broader range of contexts. These findings contribute a potential mechanism, differences in leukocyte counts and inflammatory response across infancy and early childhood, to the growing body of literature documenting the importance of both perinatal factors and postnatal environmental exposures for shaping immune development and risk of immune-related disease. Thus, further investigation into the causes and consequences of variation in leukocyte populations and inflammatory markers may be an important avenue of research for understanding the developmental origins of health and disease.

#### Acknowledgements. None.

**Financial support.** This research received no specific grant from any funding agency, commercial or not-for-profit sectors.

#### Conflicts of interest. None.

**Ethical standards.** The author asserts that all procedures contributing to this work comply with the ethical standards of the Belmont Report and with the Helsinki Declaration of 1975, as revised in 2008, and has been approved by the institutional review committee of the University of North Carolina at Chapel Hill.

#### References

- McDade TW, Beck MA, Kuzawa C, Adair LS. Prenatal undernutrition, postnatal environments, and antibody response to vaccination in adolescence. Am J Clin Nutr. 2001; 74, 543–548.
- McDade TW, Beck MA, Kuzawa CW, Adair LS. Prenatal undernutrition and postnatal growth are associated with adolescent thymic function. J Nutr. 2001; 131, 1225–1231.

- McDade TW, Kuzawa CW, Adair LS, Beck MA. Prenatal and early postnatal environments are significant predictors of total immunoglobulin E concentration in Filipino adolescents. Clin Exp Allergy. 2004; 34, 44–50.
- McDade TW, Rutherford J, Adair L, Kuzawa CW. Early origins of inflammation: microbial exposures in infancy predict lower levels of C-reactive protein in adulthood. *Proc Biol Sci.* 2010; 277, 1129–1137.
- Chen W, Srinivasan SR, Berenson GS. Influence of birth weight on white blood cell count in biracial (black-white) children, adolescents, and young adults: the Bogalusa Heart Study. Am J Epidemiol. 2009; 169, 214–218.
- McDade TW, Jones MJ, Miller G, et al. Birth weight and postnatal microbial exposures predict the distribution of peripheral blood leukocyte subsets in young adults in the Philippines. J Dev Orig Health Dis. 2018; 9, 198–207.
- Rautava S, Ruuskanen O, Ouwehand A, Salminen S, Isolauri E. The hygiene hypothesis of atopic disease - An extended version. *J Pediatr Gastr Nutr.* 2004; 38, 378–388.
- 8. Rook GA, Lowry CA, Raison CL. Microbial 'Old Friends', immunoregulation and stress resilience. *Evol Med Public Health*. 2013; 2013, 46–64.
- 9. Strachan DP. Hay fever, hygiene, and household size. BMJ. 1989; 299, 1259–1260.
- Blaser MJ, Falkow S. What are the consequences of the disappearing human microbiota? Nat Rev Microbiol. 2009; 7, 887–894.
- Gollwitzer ES, Marsland BJ. Impact of early-life exposures on immune maturation and susceptibility to disease. Trends Immunol. 2015; 36, 684

  –696.
- Kristensen K, Henriksen L. Cesarean section and disease associated with immune function. J Allergy Clin Immunol. 2016; 137, 587–590.
- Romero R, Korzeniewski SJ. Are infants born by elective cesarean delivery without labor at risk for developing immune disorders later in life? Am J Obstet Gynecol. 2013; 208, 243–246.
- Bager P, Simonsen J, Nielsen NM, Frisch M. Cesarean section and offspring's risk of inflammatory bowel disease: a national cohort study. *Inflamm Bowel Dis.* 2012; 18, 857–862.
- Cardwell CR, Stene LC, Joner G, et al. Caesarean section is associated with an increased risk of childhood-onset type 1 diabetes mellitus: a metaanalysis of observational studies. Diabetologia. 2008; 51, 726–735.
- Leung JY, Li AM, Leung GM, Schooling CM. Mode of delivery and childhood hospitalizations for asthma and other wheezing disorders. Clin Exp Allergy. 2015; 45, 1109–1117.
- Sevelsted A, Stokholm J, Bonnelykke K, Bisgaard H. Cesarean section and chronic immune disorders. *Pediatrics*. 2015; 135, e92–98.
- Thavagnanam S, Fleming J, Bromley A, Shields MD, Cardwell CR. A meta-analysis of the association between Caesarean section and childhood asthma. Clin Exp Allergy. 2008; 38, 629–633.
- Thysen AH, Larsen JM, Rasmussen MA, et al. Prelabor cesarean section bypasses natural immune cell maturation. J Allergy Clin Immunol. 2015; 136, 1123–1125 e1126.
- 20. Cho CE, Norman M. Cesarean section and development of the immune system in the offspring. *Am J Obstet Gynecol.* 2013; 208, 249–254.
- Dahlen HG, Downe S, Wright ML, Kennedy HP, Taylor JY. Childbirth and consequent atopic disease: emerging evidence on epigenetic effects based on the hygiene and EPIIC hypotheses. *BMC Pregnancy Childbirth*. 2016; 16, 4.
- Eisler G, Hjertberg R, Lagercrantz H. Mimicking the stress of being naturally born improves the neonatal outcome after elective Caesarean section. *Pediatr Res.* 1998; 44, 442.
- Duijts L, Bakker-Jonges LE, Labout JA, et al. Perinatal stress influences lymphocyte subset counts in neonates. The generation R study. Pediatr Res. 2008; 63, 292–298.
- Dominguez-Bello MG, Costello EK, Contreras M, et al. Delivery mode shapes the acquisition and structure of the initial microbiota across multiple body habitats in newborns. Proc Natl Acad Sci U A. 2010; 107, 11971–11975.
- Neu J, Rushing J. Cesarean versus vaginal delivery: long-term infant outcomes and the hygiene hypothesis. Clin Perinatol. 2011; 38, 321–331
- Almanzar G, Schonlaub J, Hammerer-Lercher A, et al. Influence of the delivery modus on subpopulations and replication of lymphocytes in mothers and newborns. Early Hum Dev. 2015; 91, 663–670.

 Weinberger B, Vetrano AM, Syed K, et al. Influence of labor on neonatal neutrophil apoptosis, and inflammatory activity. Pediatr Res. 2007; 61, 572–577.

- Kaapa P, Koistinen E. Maternal and neonatal C-reactive protein after interventions during delivery. Acta Obstet Gynecol Scand. 1993; 72, 543–546.
- Logan CA, Thiel L, Bornemann R, et al. Delivery mode, duration of labor, and cord blood adiponectin, leptin, and C-reactive protein: results of the population-based Ulm birth cohort studies. PLoS One. 2016; 11, e0149918.
- Carrillo-Larco RM, Miranda JJ, Bernabé-Ortiz A. Delivery by caesarean section and risk of childhood obesity: analysis of a Peruvian prospective cohort. *Peer J.* 2015; 3, e1046.
- 31. Veile A, Kramer KL. Childhood body mass is positively associated with cesarean birth in Yucatec Maya subsistence farmers. *Am J Hum Biol.* 2017; 29, e22920.
- Betran AP, Ye J, Moller AB, et al. The increasing trend in caesarean section rates: global, regional and national estimates: 1990–2014. PLoS One. 2016; 11, e0148343.
- Pearce N, Ait-Khaled N, Beasley R, et al. Worldwide trends in the prevalence of asthma symptoms: phase III of the International Study of Asthma and Allergies in Childhood (ISAAC). Thorax. 2007; 62, 758–766.
- Lavin T, Franklin P, Preen DB. Association between caesarean delivery and childhood asthma in India and Vietnam. *Paediatr Perinat Epidemiol*. 2017; 31, 47–54.
- Nathan AM, de Bruyne J, Khalid F, Arumugam K. Caesarean section and asthma in Malaysian children: a case-control study. Asian Pac J Allergy Immunol. 2012; 30, 204–208.
- 36. Al-Kubaisy W, Ali SH, Al-Thamiri D. Risk factors for asthma among primary school children in Baghdad, Iraq. Saudi Med J. 2005; 26, 460–466.
- Garcia-Marcos L, Mallol J, Sole D, Brand PL, Group ES. International study of wheezing in infants: risk factors in affluent and non-affluent countries during the first year of life. *Pediatr Allergy Immunol.* 2010; 21, 878–888.
- 38. Prior E, Santhakumaran S, Gale C, *et al.* Breastfeeding after cesarean delivery: a systematic review and meta-analysis of world literature. *Am J Clin Nutr.* 2012; 95, 1113–1135.
- 39. Freire WB, Belmont P, Rivas-Marino G, et al. TOMO ii Encuesta Nacional de Salud y Nutricion. Salud Sexual y Reproductiva. 2015. Ministerio de Salud Publica/Instituto Nacional de Estadistica y Censos: Quito, Ecuador.
- Orkin S, Nathan D, Ginsburg D, et al. Nathan and Oski's Hematology of Infancy and Childhood. 2009 7th ed. Saunders Elsevier, Philadelphia.
- 41. Thompson AL, Houck KM, Adair L, et al. Pathogenic and obesogenic factors associated with inflammation in Chinese children, adolescents and adults. Am J Hum Biol. 2014; 26, 18–28.
- 42. Wander K, Brindle E, O'Connor KA. Sensitivity and specificity of C-reactive protein and alpha(1)-acid glycoprotein for episodes of acute infection among children in Kilimanjaro, Tanzania. *Am J Hum Biol.* 2012; 24, 565–568.

- Greenland S. Model-based estimation of relative risks and other epidemiologic measures in studies of common outcomes and in casecontrol studies. Am J Epidemiol. 2004; 160, 301–305.
- 44. Spiegelman D, Hertzmark E. Easy SAS calculations for risk or prevalence ratios and differences. *Am J Epidemiol.* 2005; 162, 199–200.
- 45. Magne F, Puchi Silva A, Carvajal B, Gotteland M. The elevated rate of cesarean section and its contribution to non-communicable chronic diseases in Latin America: the growing involvement of the microbiota. *Front Pediatr.* 2017; 5, 192.
- 46. WHO. WHO Statement on Caesarean Section Rates. 2015.
- Huurre A, Kalliomaki M, Rautava S, et al. Mode of delivery effects on gut microbiota and humoral immunity. Neonatology. 2008; 93, 236–240.
- 48. Mukai K, Galli S. Basophils. In: eLS. 2013. John Wiley and Sons, Ltd: Chichester.
- Junge KM, Hornig F, Herberth G, et al. The LINA cohort: cord blood eosinophil/basophil progenitors predict respiratory outcomes in early infancy. Clin Immunol. 2014; 152, 68–76.
- Almqvist C, Oberg AS. The association between caesarean section and asthma or allergic disease continues to challenge. *Acta Paediatr.* 2014; 103, 349–351.
- Collado MC, Cernada M, Bauerl C, Vento M, Perez-Martinez G. Microbial ecology and host-microbiota interactions during early life stages. Gut Microbes. 2012; 3, 352–365.
- Andersson Y, Hammarstrom ML, Lonnerdal B, et al. Formula feeding skews immune cell composition toward adaptive immunity compared to breastfeeding. J Immunol. 2009; 183, 4322–4328.
- Belderbos ME, Houben ML, van Bleek GM, et al. Breastfeeding modulates neonatal innate immune responses: a prospective birth cohort study. Pediatr Allergy Immunol. 2012; 23, 65–74.
- Bueso A, Figueroa M, Cousin L, et al. Poverty-associated risk factors for wheezing in the first year of life in Honduras and El Salvador. Allergol Immunopathol (Madr). 2010; 38, 203–212.
- Jacomo RH, Lozano VF, da Cunha Neto JG, Costa SS. What's the meaning of basophilia in Sysmex XE-2100? Arch Pathol Lab Med. 2011; 135, 415.
- Becker PH, Fenneteau O, Da Costa L. Performance evaluation of the Sysmex XN-1000 hematology analyzer in assessment of the white blood cell count differential in pediatric specimens. *Int J Lab Hematol.* 2016; 38, 54–63
- 57. Lee BW, Yap HK, Chew FT, *et al.* Age- and sex-related changes in lymphocyte subpopulations of healthy Asian subjects: from birth to adulthood. *Cytometry.* 1996; 26, 8–15.
- Lugada ES, Mermin J, Kaharuza F, et al. Population-based hematologic and immunologic reference values for a healthy Ugandan population. Clin Diagn Lab Immunol. 2004; 11, 29–34.
- Georgountzou A, Papadopoulos NG. Postnatal innate immune development: from birth to adulthood. Front Immunol. 2017; 8, 957.