

Change in psychosocial functioning and depressive symptoms during acute-phase cognitive therapy for depression

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Background. Major depressive disorder (MDD) is highly prevalent, is recurrent, and impairs people's work, relationships and leisure. Acute-phase treatments improve psychosocial impairment associated with MDD, but how these improvements occur is unclear. In this study, we tested the hypotheses that reductions in depressive symptoms exceed, precede and predict improvements in psychosocial functioning.

Method. Patients with recurrent MDD ($n=523$; 68% women, 81% Caucasian, mean age 42 years) received acute-phase cognitive therapy (CT). We measured functioning and symptom severity with the Social Adjustment Scale – Self-Report (SAS-SR), Range of Impaired Functioning Tool (RIFT), Beck Depression Inventory (BDI), Hamilton Rating Scale for Depression (HAM-D) and Inventory for Depressive Symptomatology – Self-Report (IDS-SR). We tested cross-lagged correlations between functioning and symptoms measured at baseline and the beginning, middle and end of acute-phase CT.

Results. Pre- to post-treatment improvement in psychosocial functioning and depressive symptoms was large and intercorrelated. Depressive symptoms improved more and sooner than did psychosocial functioning. However, among four assessments across the course of treatment, improvements in functioning more strongly predicted later improvement in symptoms than vice versa.

Conclusions. Improvements in psychosocial functioning and depressive symptoms correlate substantially during acute-phase CT, and improvements in functioning may play a role in subsequent symptom reduction during acute-phase CT.

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Introduction

During their lifetime, 16% of Americans will suffer from major depressive disorder (MDD), a costly, chronic and disabling disorder (Kessler *et al.* 2005). To be diagnosed with DSM-IV MDD, persons must evidence both depressive symptoms and impairment in psychosocial functioning (APA, 2000). Although impairment in psychosocial functioning associated with MDD rivals that of chronic non-psychiatric diseases (e.g. Hays *et al.* 1995; Cassano & Fava, 2002) and accounts for 62% of depression's economic burden (more than US\$50 billion annually in the USA;

Greenberg *et al.* 2003), few have investigated how psychosocial impairment changes during treatment. Instead, researchers investigating the treatment of MDD have focused primarily on changes in depressive symptoms.

Referencing a person's performance in and satisfaction with occupational, interpersonal and recreational roles (e.g. Dunn & Jarrett, 2009; Ro & Clark, 2009), impairment in psychosocial functioning is linked with the onset and persistence of depressive symptoms (e.g. Moos & Cronkite, 1999), poor response to treatment (e.g. Hirschfeld *et al.* 1998), and more frequent relapse and recurrence (e.g. Vittengl *et al.* 2009). Consequently, some researchers have suggested that psychosocial and pharmacological acute-phase treatments for MDD, lasting approximately 12 weeks (Rush *et al.* 2006), should not only reduce depressive symptom severity but also normalize functioning, or

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at least return individuals to pre-morbid functioning levels (e.g. Keller, 2003; Thase, 2003).

Research suggests that psychosocial and pharmacological acute-phase treatments improve psychosocial functioning in depressed patients (e.g. Hollon *et al.* 1992; Gorenstein *et al.* 2002; Vittengl *et al.* 2004). Compared to depressive symptom improvement, however, improvements in psychosocial functioning during acute-phase treatments are smaller, such that a significant number of depressed patients do not return to pre-morbid or normal levels of occupational (Mintz *et al.* 1992), interpersonal (Bothwell & Weissman, 1977) or recreational functioning (De Lisio *et al.* 1986), even when they experience symptom remission (Miller *et al.* 1998; Vittengl *et al.* 2004).

Researchers investigating how psychosocial functioning improves during treatment for MDD have identified depressive symptom reduction as a potential mediator (Finkelstein *et al.* 1996; Lenderking *et al.* 1999; Hirschfeld *et al.* 2002; Vittengl *et al.* 2004). In these studies, researchers compared pre- to post-treatment changes in psychosocial functioning and depressive symptom severity during acute-phase trials of psychotherapy (Vittengl *et al.* 2004), antidepressant medication (Finkelstein *et al.* 1996; Lenderking *et al.* 1999), and their combination (Hirschfeld *et al.* 2002). Psychosocial functioning was measured with self-report instruments such as the Social Adjustment Scale – Self-Report (SAS-SR; Weissman & Bothwell, 1976) and the Dyadic Adjustment Scale (DYS; Spanier, 1976); depressive symptom severity was measured with instruments including the self-report Beck Depression Inventory (BDI; Beck *et al.* 1961) and the clinician-rated Hamilton Rating Scale for Depression (HAM-D; Hamilton, 1960), and both the self-report and clinician-rated Inventory for Depressive Symptomatology (IDS-SR, IDS-CR; Rush *et al.* 1996). Using linear regression, these studies found reductions in depressive symptom severity explained much, if not all, of concurrent improvements in psychosocial functioning. As a result, Vittengl *et al.* (2009) hypothesized that, during acute-phase treatment, ‘rapid decreases in depressive symptoms may facilitate slower improvements in psychosocial functioning as the social environment begins to notice and “trust” (i.e. perceive as lasting) improvements in the patient’s functioning’ (p. 141).

However, additional research is needed to test Vittengl *et al.*’s (2009) hypothesis more directly. Before a mediating variable can be tested, researchers must first: (a) determine temporal precedence of change (i.e. establish that the mediating variable changes before the outcome variable) and (b) show that the mediating variable predicts or influences the outcome variable (Wilson *et al.* 2002). Once these steps

are accomplished, mediating variables can be tested and mechanisms of change established, allowing researchers to tailor interventions to optimize outcome and cost-effectiveness.

In this article, we attempt to improve understanding of how depression is treated acutely, by disentangling reciprocal relationships between changes in psychosocial functioning and depressive symptom severity. We analyzed a large sample ($n=523$) of outpatients who received acute-phase cognitive therapy (CT; Beck *et al.* 1979) for recurrent MDD. We estimated changes in levels (with ANOVA and regression analyses) and cross-lagged correlations [with structural equation modeling (SEM)] of psychosocial functioning and depressive symptom data obtained from both clinicians and patients at multiple assessment points. We tested hypotheses that: (a) depressive symptom severity improves earlier, and to a greater extent, than psychosocial functioning and (b) depressive symptom severity predicts subsequent improvement in psychosocial functioning measured at the beginning, middle and end of the acute phase, after controlling for previous levels of psychosocial impairment. Given the exploratory nature of this study, we also estimated psychosocial functioning’s potential influence on subsequent depressive symptom severity, controlling for previous levels of depressive symptom severity.

Method

The current analyses used data from an ongoing, two-site clinical trial comparing acute-phase CT responders randomized to continuation-phase CT, fluoxetine or pill placebo (Jarrett & Thase, 2010). Below we summarize relevant methods from the acute phase of this trial and refer readers to Jarrett & Thase (2010) for additional detail, including continuation and follow-up phases not described further here. Patients were withdrawn from psychotropic medications before entering the study and were not prescribed medications in the acute-phase CT protocol.

Participants

Participants consented to enter acute-phase CT as part of a randomized clinical trial approved by the Institutional Review Boards at The University of Texas Southwestern Medical Center at Dallas (UT Southwestern) and the Western Psychiatric Institute and Clinic at Pittsburgh (WPIC). Potential participants were self or practitioner referred and/or informed of the study through newspaper, bulletin board or internet announcements. Clinic staff screened potential participants over the telephone or in-person, and scheduled them for initial and follow-up diagnostic

evaluations to determine study eligibility. Included participants met DSM-IV criteria for recurrent MDD (APA, 2000), by protocol scored ≥ 14 on the 17-item HAMD at both diagnostic interviews (but two patients with HAMD=13 were enrolled erroneously), and provided informed consent. Excluded participants: (a) had severe or poorly controlled concurrent medical disorders that could cause depression, (b) had any psychotic or organic mental disorder, bipolar disorder, active alcohol or drug dependence, primary obsessive-compulsive disorder, or primary eating disorders (primary refers to the disorder associated with the most impairment or distress), (c) could not complete questionnaires in English, (d) represented an active suicide risk, (e) were outside 18–70 years of age, (f) failed to respond to a previous trial of ≥ 8 weeks of CT or 6 weeks on 40 mg of fluoxetine, or (g) were pregnant or planned to become pregnant during the first 11 months after intake. Diagnoses and lifetime history of psychiatric disorders were made with the Structured Clinical Interview for DSM-IV (SCID; First *et al.* 1996) applied to the patient's current and past symptoms, functioning, and previous treatment.

Participants consented to an initial diagnostic evaluation (UT Southwestern $n=1053$, WPIC $n=306$). Of these, 523 participants (UT Southwestern $n=276$, WPIC $n=247$) met study criteria at both the initial assessment and diagnostic follow-up visit and consented to enter acute-phase CT; 836 participants (UT Southwestern $n=777$, WPIC $n=59$) were excluded and referred to appropriate treatment. Participants were excluded most often because they did not meet criteria for recurrent MDD, scored below 14 on the HAMD, or had exclusionary disorders.

Consenting patients were mostly middle-aged [mean age = 42.4 years (s.d. = 12.1)], female (67.5%), single (58.1%), White (80.9%), and employed either full or part time (55.6%). Patients' mean age of MDD onset was 21.2 years (s.d. = 10.8), with an average length of illness of 20.7 years (s.d. = 11.8). Patients reported that their current major depressive episode averaged 25.0 months (s.d. = 45.1), and they endorsed a median of four major depressive episodes during their lifetime.

Procedure

Patients who entered acute-phase CT received 16 or 20 sessions spread over 12–14 weeks. By protocol, patients received two sessions a week for 4 weeks, after which they were categorized as early ($\geq 40\%$ reduction in HAMD score compared to diagnostic follow-up) or late responders ($< 40\%$ reduction). Early responders then received one weekly session for the final 8 weeks of the acute phase, whereas late re-

sponders continued receiving two sessions weekly until the last 4 weeks of the acute phase, when they also received one weekly session. Among 523 consenting patients, 410 completed the acute-phase protocol by attending ≥ 14 (early responders) or ≥ 18 (late responders) CT sessions.

Therapist competence

Fifteen therapists provided acute-phase CT and demonstrated competence by achieving and maintaining Cognitive Therapy Scale (CTS; Young & Beck, 1980) scores ≥ 40 . Therapists attended weekly group supervision. Group supervisors and other therapists observed and rated videotaped sessions on the CTS, providing therapists with feedback on strengths and weaknesses.

Measures

BDI

Using the 21-item BDI, patients rated their depressive symptom severity at the initial diagnostic evaluation, week 1 and week 7 of acute-phase CT, and the post-acute-phase CT evaluation, which occurred within 1 week after completion or premature termination of acute-phase CT. Total scores categorized depression as minimal (0–10), mild to moderate (10–18), moderate to severe (19–29), or severe (> 29 ; Beck *et al.* 1961). In the current data, the median internal consistency reliability was 0.89 (range = 0.83–0.92); median convergent validity $r=0.72$ (range = 0.46–0.82) with the HAMD and $r=0.85$ (range = 0.78–0.92) with the IDS-SR.

HAMD

Clinicians (during acute-phase CT) and evaluators (at intake and post-acute-phase CT assessments) rated depressive symptom severity with the HAMD at both diagnostic evaluations, weeks 1 and 7 of acute-phase CT, and the post-acute-phase CT evaluation. Total scores indicated very severe (> 24), severe (19–23), moderate (14–18), mild (6–13) or no (< 6) depression. In the current study, the HAMD demonstrated inter-rater reliability [intraclass correlation coefficient (ICC) = 0.91], concurrent validity with the IDS-SR (median $r=0.76$, range = 0.52–0.86) and median internal consistency reliability of $\alpha=0.68$ (range = 0.52–0.83).

IDS-SR

Patients also self-reported their depressive symptom severity with the IDS-SR at the initial diagnostic evaluation, weeks 1 and 7 of acute-phase CT, and

Table 1. Descriptive statistics for depressive symptom and psychosocial functioning measures

Measure	Diagnostic evaluation			A-CT week 1			A-CT week 7			Post-A-CT evaluation		
	Mean	S.D.	<i>n</i>	Mean	S.D.	<i>n</i>	Mean	S.D.	<i>n</i>	Mean	S.D.	<i>n</i>
HAMD	21.22	4.19	523	20.29	4.80	523	10.82	6.21	431	9.60	6.41	412
BDI	26.62	8.41	494	24.28	8.77	487	12.90	8.55	425	9.30	8.70	394
IDS-SR	39.33	10.26	494	36.40	10.49	480	20.20	12.00	422	14.96	11.56	396
SAS-SR	2.59	0.44	479	2.54	0.44	467	2.16	0.47	389	1.97	0.43	358
RIFT	14.33	2.72	401	12.53	2.86	401	10.85	2.99	399	10.05	3.08	332

A-CT, Acute-phase cognitive therapy; HAMD, 17-item Hamilton Rating Scale for Depression; BDI, 21-item Beck Depression Inventory; IDS-SR, Inventory of Depressive Symptomatology; SAS-SR, Social Adjustment Scale – Self-Report; RIFT, Range of Impaired Functioning Tool.

the post-acute-phase CT evaluation. Total scores represented very severe (>49), severe (39–48), moderate (26–38), mild (14–25) or no (<13) depression. In this study, the IDS-SR showed median internal consistency reliability of $\alpha = 0.86$ (range = 0.80–0.91).

Range of Impaired Functioning Tool (RIFT)

At the post-acute-phase CT evaluation, clinicians and evaluators rated psychosocial functioning retrospectively with the Longitudinal Interval Follow-up Evaluation – Psychosocial Interview (Keller *et al.* 1987). From this interview, we scored the four-item RIFT (Leon *et al.* 1999) for periods coinciding with the diagnostic phase and the first, second and third month of acute-phase CT. Higher scores indicate greater impairment. Leon *et al.* (1999) reported mean RIFT scores of 14 and 9 for depressed and non-depressed populations respectively. In the current analysis, the RIFT showed convergence with the SAS-SR (median $r = 0.46$, range = 0.34–0.68) and median internal consistency reliability of $\alpha = 0.68$ (range = 0.59–0.76).

SAS-SR

Patients self-reported their psychosocial functioning on the 56-item SAS-SR at the first diagnostic evaluation, weeks 1 and 7 of acute-phase CT, and the post-acute-phase CT evaluation. Higher scores indicate greater impairment. Weissman *et al.* (2001) reported the total score averaged 2.5 and 1.7 for depressed and non-depressed samples respectively. In the current study, the SAS-SR total score showed median internal consistency reliability of $\alpha = 0.76$ (range = 0.73–0.78).

Standardization of scores

To compare levels of change among measures, we converted each to *T*-score units (mean = 50, S.D. = 10) based on the measures' distributions at intake. In ad-

dition, we averaged the depressive symptom severity measures (BDI, HAMD, IDS-SR) to form a robust index because past research shows that these measures mark the same construct concurrently and longitudinally during acute-phase CT (Vittengl *et al.* 2004). Internal consistency reliability for the three-measure symptom composite for this study's observations was high (median $\alpha = 0.91$, range = 0.81–0.95). We also standardized and averaged the psychosocial functioning measures (SAS-SR, RIFT) to form a composite index with moderate reliability (median $\alpha = 0.62$, range = 0.51–0.81). Previous research supports the convergence of the RIFT and SAS-SR concurrently and longitudinally for CT patients (Vittengl *et al.* 2009).

Statistical analyses

We implemented a multiple-imputation procedure to use all available data, maximize the statistical power of the hypothesis tests, and increase the generalizability of the results. Among the 523 patients, five measures and four assessment periods, 16.8% of observations were missing (see Table 1). We generated 10 data sets with missing values imputed through the Markov chain Monte Carlo method in PROC MI, computed standard analyses [e.g. ANOVA, regression, structural equation modelling (SEM)] on each dataset, and pooled the results using PROC MIANALYZE to test hypotheses (using SAS version 9.1; SAS Institute, Inc., USA). This procedure follows published guidelines for missing data (e.g. Schafer & Graham, 2002).

In our SEM, scores on the indices of psychosocial functioning and depressive symptom severity were cross-lagged over repeated measurements (see Fig. 1). In addition, paths were added to the SEM to control for: (a) covariation between measures of depressive symptoms and psychosocial functioning and

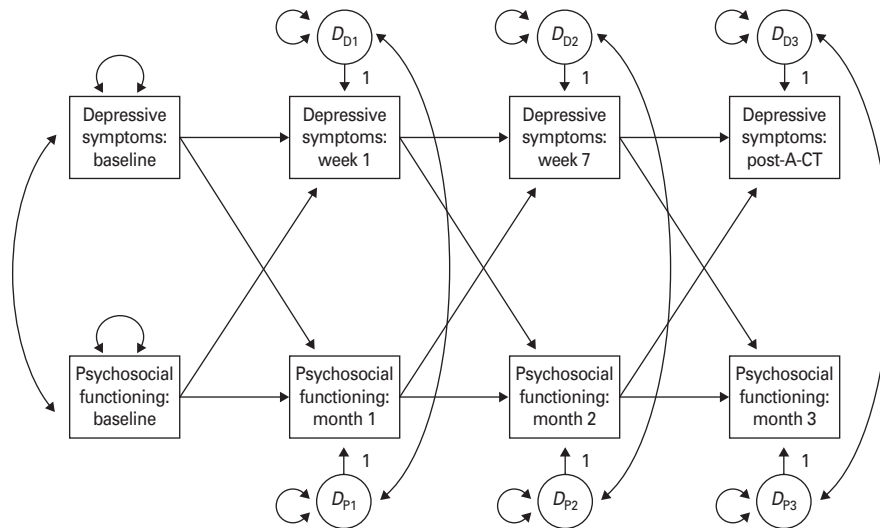


Fig. 1. Structural equation model showing potential mediating relationships between psychosocial functioning and depressive symptoms. Rectangles represent the indices of psychosocial functioning and depressive symptom severity. Circles with an uppercase D are called disturbances, which represent the effect of unexplained variation on the indices. One-sided arrows signify direct effects and double-sided arrows represent covariances, which control for covariation between variables.

(b) independent changes in depressive symptom severity and psychosocial functioning. As a result, the SEM provided information regarding the extent to which change in variance unique to measures of depressive symptom severity predicted change in variance unique to measures of psychosocial functioning, and *vice versa*. We evaluated model fit using several common metrics: the goodness-of-fit index (GFI), comparative fit index (CFI), non-normed fit index (NNFI), and root mean square error of approximation (RMSEA). Scores ≥ 0.90 on the GFI, CFI and NNFI and ≤ 0.08 on the RMSEA indicate acceptable model fit (Kline, 2005).

Results

How much do psychosocial functioning and depressive symptom severity change during acute-phase CT?

The depressive symptom and functioning composite measures' standardized means are shown in Fig. 2 (see Table 1 for raw scores). We analyzed changes in means with repeated-measures multi-level models including random subject effects, fixed effects of assessment time, and unstructured error patterns. Consistent with visual inspection of Fig. 2, the main effect of assessment time was significant in predicting the symptom composite ($F_{3,1014} = 603.35$) and psychosocial functioning composite ($F_{3,338} = 202.63$, p 's < 0.01). From pre- to post-acute-phase CT, decreases in depressive symptoms ($d = 1.83$) and psychosocial functioning ($d = 1.24$) were large. By week 7 of acute-phase

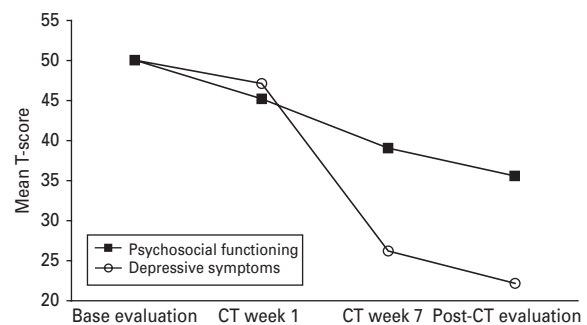


Fig. 2. Standardized depressive symptom and psychosocial functioning scores across acute-phase cognitive therapy (CT) for depression. Depressive symptoms are a composite of the Hamilton Rating Scale for Depression (HAM-D), Beck Depression Inventory (BDI) and Inventory of Depressive Symptomatology – Self-Report (IDS-SR). Psychosocial functioning is a composite of the Social Adjustment Scale – Self-Report (SAS-SR) and Range of Impaired Functioning Tool (RIFT).

CT, depressive symptoms (mean = 26.28) were lower than psychosocial functioning (mean = 39.10, $t_{223} = 25.90$, $p < 0.01$). Similarly, at the post-acute-phase CT follow-up, depressive symptom scores (mean = 22.23) were lower than psychosocial functioning (mean = 35.63), $t_{185} = 30.94$, $p < 0.01$. These results show that depressive symptoms changed sooner and more overall than did psychosocial functioning, replicating Vittengl *et al.* (2004).

Pre- to post-acute-phase CT decreases in depressive symptoms (mean_{decrease} = 27.77, s.e. = 0.71, $p < 0.01$) correlated moderately highly [$r = 0.65$, $p < 0.01$; 95%

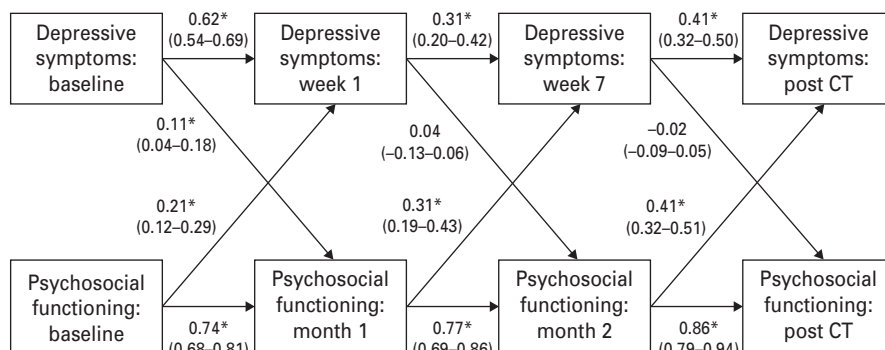


Fig. 3. Correlations (95% confidence intervals) among depressive symptoms and psychosocial functioning during acute-phase cognitive therapy (CT) for depression. Depressive symptoms are a composite of the Hamilton Rating Scale for Depression (HAM-D), Beck Depression Inventory (BDI) and Inventory of Depressive Symptomatology – Self-Report (IDS-SR). Psychosocial functioning is a composite of the Social Adjustment Scale – Self-Report (SAS-SR) and Range of Impaired Functioning Tool (RIFT). * $p < 0.05$.

confidence interval (CI) 0.58–0.71] with decreases in psychosocial functioning (mean_{decrease} = 14.37, $s.e.$ = 0.54, $p < 0.01$). Based on intercept tests in regressions predicting pre- to post-acute phase CT depressive symptom change from psychosocial functioning change and *vice versa*, the amount of change in depressive symptoms controlling change in psychosocial functioning (mean_{decrease} = 15.60, $s.e.$ = 0.86, $p < 0.01$) was roughly one-third smaller than the unadjusted change, but remained substantial and statistically significant. By contrast, change in psychosocial functioning (mean_{decrease} = 0.50, $s.e.$ = 0.92, $p = 0.59$) was very small and no longer significant when controlling change in depressive symptoms. This pattern of results also replicates Vittengl *et al.* (2004) in suggesting that pre- to post-acute phase CT change in depressive symptoms accounts for change in psychosocial functioning. However, these analyses do not address the extent to which change in depressive symptoms drives subsequent changes in psychosocial functioning and vice versa at four monthly time-points during acute-phase CT.

Do changes in depressive symptom severity drive changes in psychosocial functioning or vice versa?

Cross-lagged correlations estimated using SEM are shown in Fig. 3. The model for depressive symptoms and psychosocial functioning fit acceptably by three indices (GFI = 0.96, CFI = 0.98, NNFI = 0.95) but not RMSEA = 0.11. Because the majority of fit indices were acceptable, and the model was specified *a priori* for hypothesis testing, we interpreted the model.

Not surprisingly, the depressive symptom and psychosocial functioning measures' retest correlations were moderate to high and stronger than cross-

correlations between measures (see Fig. 3). Psychosocial functioning at baseline predicted depressive symptoms at week 1 of acute-phase CT, and depressive symptoms at baseline predicted psychosocial functioning at week 1. Thus, before acute-phase CT, analyses suggested reciprocal causality between improvements in symptoms and functioning. However, from weeks 1 to 7 of acute-phase CT, and from week 7 to post-acute-phase CT, depressive symptom reduction did not predict subsequent improvements in psychosocial functioning, whereas improvements in psychosocial functioning predicted subsequent symptom reduction. In sum, we found limited support for the hypothesis that changes in depressive symptoms drive improvements in psychosocial functioning. However, the evidence was somewhat stronger that changes in psychosocial functioning drive changes in depressive symptoms.

Discussion

In this study, we tested the hypotheses that change in depressive symptom severity would exceed, precede and predict change in psychosocial functioning during acute-phase CT. In replication of Vittengl *et al.* (2004), we analyzed mean changes and intercorrelations of pre- and post-acute-phase CT improvements in depressive symptoms and psychosocial functioning. We then extended analyses with SEM to control covariation between constructs and estimate interdependent changes across four assessment points. The results supported our hypothesis that depressive symptom severity showed greater improvement sooner than psychosocial functioning. Furthermore, pre-post changes in symptoms and functioning were moderately highly correlated, and improvement in

psychosocial functioning was expected only when depressive symptoms decreased, replicating Vittengl *et al.*'s (2004) findings in a smaller dataset. Counter to our additional hypotheses, however, shorter-interval changes in depressive symptom severity did not consistently predict subsequent improvement in psychosocial functioning. Instead, improvement in psychosocial functioning more strongly predicted subsequent depressive symptom reduction across lagged assessments points.

The current findings may differ from expectations based on previous research for several reasons (Finkelstein *et al.* 1996; Lenderking *et al.* 1999; Hirschfeld *et al.* 2002; Vittengl *et al.* 2004). First, when investigating the extent to which change in depressive symptom severity accounted for change in psychosocial functioning, or *vice versa*, the previous studies did not consider precedence of change (Finkelstein *et al.* 1996; Lenderking *et al.* 1999; Hirschfeld *et al.* 2002; Vittengl *et al.* 2004). Instead, these studies compared global pre- to post-treatment changes in each construct. As a result, even if changes in depressive symptom severity accounted for changes in psychosocial functioning, the mediational relationship might also exist when constructs were reversed (Kraemer *et al.* 2001). By dividing the acute phase into shorter time intervals and establishing temporal precedence, this study possibly was more sensitive to changes in reciprocal relationships between psychosocial functioning and depressive symptom severity.

Second, whereas previous studies relied on linear regression (Finkelstein *et al.* 1996; Lenderking *et al.* 1999; Hirschfeld *et al.* 2002; Vittengl *et al.* 2004), this study used SEM to investigate mediational relationships between psychosocial functioning and depressive symptom severity. Kline (2005) suggests that the inability to enter variables as both predictor and criterion in the same analysis, and to control covariation between variables across data sets, limits linear regression analyses. As a result, this study may have revealed a different mediational relationship between psychosocial functioning and depressive symptom severity because SEM did not have these limitations.

Finally, a yet-to-be-identified change process may have been at work, such that early improvements in psychosocial functioning influenced depressive symptom severity later in the acute phase. For example, relationships between the two sets of variables may be different in the early and late phases of CT and/or early phase change may be necessary for late phase change. Thus, despite changing more slowly (see Fig. 2), early improvements in psychosocial functioning, or perhaps the initial mobilization of resources to improve psychosocial functioning (e.g. behavioral activation), might serve important

roles in the alleviation of depressive symptoms. Moreover, cognitive therapists often focus on improving psychosocial functioning in addition to reducing depressive symptoms, particularly when functioning is impaired and selected as a target for treatment. A detailed analysis of individual patients' session content (e.g. from videotapes) could be used in future research to determine whether observed relationships between psychosocial functioning and depressive symptoms vary with individual patients' CT goals.

Implications for treatment of psychosocial impairment associated with depression

According to our results, changes in a depressed patient's psychosocial functioning played a role in reducing subsequent depressive symptom severity during acute-phase CT. As such, it could be said that these findings substantiated cognitive and behavioral theorists' idea that behavior change early in the acute phase makes the shift from negative to euthymic mood possible by increasing access to social reinforcement, reducing exposure to social punishment, and activating constructive schemas (e.g. Beck *et al.* 1979; Follette & Greenberg, 2005).

The development of behavioral activation as a free-standing treatment for depression separate from cognitive interventions (e.g. Dimidjian *et al.* 2006) can be viewed as an extension of behavioral techniques in beginning sessions of CT. Behavioral activation emphasizes reduction of avoidance and re-engagement in psychosocial activities (e.g. in fulfilling social role obligations) with potential for long-term reinforcement and reduction of depressive symptoms.

The current findings are also consistent theoretically with interventions that focus on improving patients' interpersonal and social functioning to reduce depressive symptomatology (e.g. Interpersonal Psychotherapy; Weissman *et al.* 2000). For example, Interpersonal Psychotherapists suggest that 'change and improvement in depressive symptoms occur through working on mastery and competence in the social sphere' (Crowe & Luty, 2005, p. 44). Therefore, the current study's conceptualization of change in psychosocial functioning in relation to depressive symptom severity appears robust and applicable to psychosocial interventions other than CT.

Limitations and directions for future research

The current study's assessment strategy and design limit its generalizability. First, our depressive symptom composite reduced variability and enhanced validity across instruments, but it may limit the degree to which findings could be replicated in clinical settings,

where providers are overburdened and unable to use multi-modal, multi-measure assessment. Similarly, we cannot rule out differences between clinicians' and evaluators' use of the HAMD (e.g. biases), although any such differences are small because convergence with self-reported symptom measures was strong at all assessments. In addition, because we used the RIFT retrospectively, it relied heavily on patients' ability to recall past events when they were often more depressed, thereby potentially introducing mood-congruent memory biases in the index of psychosocial functioning (Barry *et al.* 2004). Future research might improve this study's assessment strategy by administering the RIFT at the same time as symptom measures.

Second, the current study's design did not include random assignment or a control group. As a result, we could not control for the impact of extraneous factors on changes in psychosocial functioning and depressive symptom severity. Given that past randomized controlled trials (e.g. Elkin *et al.* 1989; Evans *et al.* 1992; Hollon *et al.* 2005; Dimidjian *et al.* 2006) reported similar changes in psychosocial functioning and depressive symptom severity, it could be inferred that the observed changes in this study were due to exposure to acute-phase CT. However, replication of this study's hypotheses within a randomized trial comparing treatment *versus* control conditions is necessary before drawing firm conclusions regarding causation.

Third, the current findings may have limited generalizability because of sample demographics and treatment specificity. Despite targeting ethnic/racial minorities with specific recruitment strategies, ethnic minorities did not participate in proportion with the US population. In addition, because all patients underwent acute-phase CT for MDD, whether the results can be generalized to populations with other diagnoses or treatments is unclear. Future research could clarify the extent to which our findings generalize to more ethnically diverse patient populations and treatment modalities.

Summary

Acute-phase CT, like other psychotherapeutic interventions, is a complex process with multiple potential mechanisms of change (e.g. environmental, biological and cognitive; Whisman, 1993; Garratt *et al.* 2007). Although efforts have been made to understand how acute-phase treatments reduce the psychosocial impairment associated with depression (e.g. Hirschfeld *et al.* 2002; Vittengl *et al.* 2004), this area of research is nascent. The current study advances the field by disentangling, to some degree, the sequence and process

of change in psychosocial functioning and depressive symptom severity during one acute-phase treatment. In short, we found that change in depressive symptom severity had less impact on subsequent change in psychosocial functioning than *vice versa* across four acute-phase CT assessments. Depressed patient's psychosocial functioning at treatment baseline and the beginning and middle of acute-phase CT predicted subsequent depressive symptom severity at each lag-one assessment point. Although researchers need to clarify how psychosocial functioning changes during acute-phase treatment, the current study suggests that early efforts by CT therapists to change depressed patients' behavior are well warranted.

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Declaration of Interest

During the past two years Dr Thase has consulted with, served on advisory boards for, or received honoraria for talks from: AstraZeneca, Bristol-Myers Squibb Company, Eli Lilly and Company, Forest Laboratories, GlaxoSmithKline, Janssen Pharmaceutica, Lundbeck, MedAvante, Inc., Neuronetics, Inc., Novartis, Otsuka, Pamlab, Pfizer Pharmaceuticals, Schering-Plough, Shionogi, Shire US Inc., Supernus Pharmaceuticals, Takeda, Transcept Pharmaceuticals, and Wyeth Pharmaceuticals and he has received grant support from Eli Lilly and Company, Forest Laboratories, GlaxoSmithKline, Otsuka, and Sepracor, Inc., in addition to funding from the NIMH. He has equity holdings for MedAvante, Inc. and has received royalties from American Psychiatric Publishing, Inc. (APPI), Guilford Publications, Herald House, and

W. W. Norton & Company, Inc. Two books currently promoted by the APPI specifically pertain to cognitive therapy. Dr Thase also discloses that his spouse is an employee of Embryon, Inc. (formerly Cardinal Health and Advogent), which does business with several pharmaceutical companies that market medications used to treat depression.

Dr Jarrett's medical center receives the fees from the cognitive therapy she provides to patients. Dr Jarrett is a paid consultant to the NIMH.

T. Carmody has been a consultant for Cyberonics, Inc.

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