Emerging treatments for the behavioral and psychological symptoms of dementia

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Dementia is referred to a loss of memory and decline in other mental abilities at levels critical enough to hinder performance of daily activities. It can be of several types, depending on the underlying pathophysiology. The behavioral and psychological symptoms of dementia (BPSD) are various, but the most clinically significant are depression, apathy, and anxiety. Other BPSD include agitation, aberrant motor behavior, elation, hallucinations, and alterations in sleep and appetite. About 90% of sufferers of dementia are affected by BPSD during the course of the illness. These symptoms occur in demented patients irrespective of the dementia subtype. However, there has not been significant development in the areas of disease-modifying pharmacotherapeutics for dementia. Therefore, tackling BPSD has emerged as a research avenue in the recent past. Existing antidepressants, antipsychotics, and cholinergic agents have been extensively used in the treatment of BPSD, independently and in different combinations. However, these agents have not successful in completely alleviating such symptoms. Research in this field is going on globally, but it is still limited by various factors. There is a strong need to develop new entities and test them clinically. This review focuses on emerging treatments for the management of clinically significant BPSD.

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Introduction

Dementia is more of an aggregation of symptoms affecting memory, cognition, and social abilities on a severe magnitude than a disease per se. These adverse effects on the performance of the activities of daily life result from physical changes in the brain. Dementia can further be of several types, depending on the underlying pathological condition and symptoms, as described in Table 1.^{1,5-14} Dementias are progressive in nature. The sufferers may have trouble with short-term memory in the beginning, which evolves into a total loss of memory. While the symptoms of dementia are various, impairment in at least two of the following core mental functions should be there for the condition to be considered as dementia:

- memory
- language and communication

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- ability to focus
- capability to reason and judge
- visual perception²

Apart from dementia, cognitive impairment may manifest without any functional impairment. This syndrome is known as mild cognitive impairment.³ Studies with community samples have revealed that its progression to dementia is about 12–15% annually, on average.⁴

The behavioral and psychological symptoms of dementia (BPSD) are a conglomeration of non-cognition-associated symptoms and behavioral problems manifested in patients suffering from dementia. These are also known as "neuropsychiatric symptoms." BPSD comprise a significant component of dementia independent of subtype. In the beginning stages of cognitive impairment, BPSD appear in about 35–85% of patients with mild cognitive impairment. These symptoms occur very frequently. About 90% of demented patients clinically present with at least one of the BPSD. These symptoms may fluctuate during the progression of dementia. BPSD may vary in the short term, either via pharmacological and/or

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Type of dementia	Underlying pathophysiology	Symptoms	Remarks	Reference
Alzheimer's disease	Deposition of amyloid beta plaques and tau neurofibrillary tangles in brain.	Begins with shortOterm memory loss, which progresses to disorientation, delirium, difficulty in speaking, swallowing, walking, and other daily activities. Eventually, all the social graces are lost.	Most common form of dementia. It is responsible for about 60–80% of dementia cases	5
Vascular dementia	Inadequate blood supply to brain tissue, usually following stroke.	Impaired judgment, difficulty in decision making, organizing, planning, contrary to memory loss.	Less common in incidence. It is responsible for about 10% of dementia cases.	6
Dementia with Lewy bodies	Abnormal aggregation of $\alpha\mbox{-synuclein}$ in the cortex.	Memory loss, more prone to initial symptoms like sleep disturbances, well-structured visual hallucinations, improper gait and slowness.	Responsible for 10–25% of dementia cases	7
Mixed dementia	Abnormalities linked with more than one other type of dementia —commonly, Alzheimer's, dementia with Lewy bodies, and vascular dementia.	Complications associated with more than one of the other types of dementia.	The incidence is more common than previously thought	8
Parkinson's dementia	Deposition of α -synuclein in substantia nigra pars compacta, leading to neurodegeneration.	Changes in memory, concentration, judgment, hallucinations, muffled speech, depression, irritability, anxiety, sleep disorders.	About 50–80% of sufferers of Parkinson's disease develop this kind of dementia.	9
Frontotemporal dementia	No common distinguishing microscopic abnormality in all cases.	Changes in personality, behavior, language function.	Sufferers survive for fewer years than those with Alzheimer's disease.	10
Creutzfeldt-Jakob disease	Misfolding of prion protein throughout the brain causing malfunction.	Impairment in memory, behavioral changes, and causes mad cow disease in cattle.	Rapidly fatal, occurs in about one in a million people worldwide annually.	11
Normal pressure hydrocephalus	Accumulation of fluid in the brain.	Difficulty in walking, memory loss, and inability to control micturition.	Mainly affects people in a younger age group (e.g., 60–70 years) than other dementias.	12
Huntington's disease	Single defective gene on fourth chromosome.	Significant decline in reasoning and thinking, abnormal involuntary actions, mood swings, depression, irritability.	Generally seen in very young patients (i.e., 30–50 years. May appear at 2 years of age or even at 80.	13
Wernicke-Korsakoff syndrome	Chronic severe deficiency of thiamine.	Remarkable memory loss. Thinking, judgment and other social skills are generally normal.	Rare in occurrence.	14

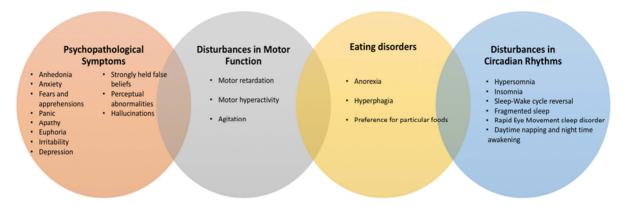


FIGURE 1. Different forms in which BPSD can present themselves.

nonpharmacological approaches or spontaneously. 16 The BPSD concept is rather descriptive and does not encompass any diagnostic entity. Instead, the concept takes into account a crucial clinical paradigm of dementia that has not been considered for research and pharmacotherapeutics with appreciable attention.¹⁷ BPSD can present themselves in various forms, as illustrated in Figure 1. 18-23

Considering each of the symptoms at an individual level in dementia patients, the most predominant BPSD are depression, apathy, irritability, agitation, and anxiety, while the rarest are disinhibition, hallucinations, and euphoria. Of these, anxiety, apathy, and depression are the most clinically significant symptoms. 15 Over the years, research in the field of dementia has not yielded any remarkable success in disease-modifying pharmacotherapeutic interventions. Therefore, pharmacological approaches targeting BPSD have emerged as a coveted avenue of research among scientists worldwide.

Pharmacotherapeutic Approaches for Clinically Significant BPSD

Depression

Almost 50% of patients with late-onset depression have been reported to have cognitive impairment. The incidence of depression in sufferers of dementia is between 9 and 68%. Depression has been considered to be both a risk factor and a presentation of dementia.²⁴ About 40% of patients suffering from Alzheimer's-type dementia develop significant depression. However, dementia-linked depression varies in its presentation as compared to depression without dementia. Dementiaassociated depression may be less severe, and the symptoms may not be persistent. Also, suicidal thoughts generally do not occur in dementia-related depression.²⁵ Tricyclic antidepressants (TCAs), selective serotonin reuptake inhibitors (SSRIs), and monoamine oxidase (MAO) inhibitors have been prescribed for the treatment of dementia-linked depression.

The Cumbria Partnership National Health Service (NHS) Foundation Trust-popularly known as the CPFT—lays down treatment regimens for BPSD. For depression in Alzheimer's disease (AD), the prescribed first-line therapy is sertraline and citalogram (SSRIs). However, the Medicines and Healthcare Products Regulatory Agency contraindicates citalogram if the patient is on antipsychotic therapy. Mirtazapine constitutes the second-line therapy. It is one of the very few tetracyclic antidepressants approved by the U.S. Food and Drug Administration (FDA). It is classified as a noradrenergic and specific serotonergic antidepressant. In case of Parkinson's dementia and dementia with Lewy bodies, the CPFT prescribes citalogram as first-line therapy and sertraline as second-line therapy. 26-28

Randomized controlled trials have studied the efficacy of imipramine, citalopram, and moclobemide with good results.²⁹⁻³¹ Fluoxetine treatment in dementia-linked depression did not prove to be significantly better than placebo. 32 Sertraline did not show any beneficial effects, and in fact caused an increased incidence of adverse events.33 Therefore, SSRIs are not suitable for use in dementia-linked depression.

Addition of SSRIs to enhance the activity of cholinesterase inhibitors might have some positive effects on performance of daily chores in demented patients.34 Cholinesterase inhibitors improve some behavioral symptoms in demented patients. Treatment with donepezil has been reported to slow down the progression of mild cognitive impairment in depressed patients with AD.35

A metaanalysis employed imipramine and clomipramine (TCAs) with sertraline and fluoxetine (SSRIs) in five studies of patients with depression-linked dementia. The response to treatment and remission was greater than that in the placebo control groups in the combined sample, but a remarkable decrease in cognitive scores was reported with the use of TCAs in both studies.³⁶ Newer therapeutic interventions with promising results in the treatment of dementia-linked depression encompass anticholinergics, anticonvulsants, and memantine (a glutamatergic antagonist of NMDA receptors).³⁷

Reduction in the levels of acetylcholine (ACh) leads to decreased cholinergic activity in patients with dementia. It has been implicated in increased incidence of BPSD, especially depression.³⁸ Cholinesterase inhibitors (donepezil, rivastigmine) have been employed successfully to elevate levels of ACh in the brains of mildly to moderately demented patients. 39,40 A recent randomized controlled trial reported improvement in dementia-linked depression (as per the Hamilton Depression Scale) by employing rivastigmine along with fluoxetine with respect to placebo-treated subjects.³⁴

The NMDA receptors regulate the plasticity of synapses by playing the role of a coincidence detector. Only synapses that are specific for activation of NMDA receptors, temporally and spatially, experience plastic changes secondary to Ca2+ influx after immediate unblocking of Mg²⁺. This in turn affects memory and cognitive processes. The voltage dependence of Mg²⁺ is so pronounced that under pathological conditions it exits the NMDA channel upon even slight depolarization, thereby hindering memory and learning. 41 Glutamatergic overstimulation results in a phenomenon known as "excitotoxicity," which results in neuronal damage by increasing neuronal calcium load. Memantine is a noncompetitive NMDA receptor antagonist that antagonizes the adverse actions of increased levels of glutamate in the brain. Due to this property, it has found a place as a valuable therapeutic agent in patients with dementia. Memantine has been proven to improve cognitive abilities and overall outcomes in individuals suffering from AD. It is currently being marketed for the treatment of moderate to severe AD and has been approved by the FDA.⁴² A recent metaanalysis and review⁴³ for the use of memantine in the treatment of depression associated with dementia showed remarkable improvements on the Neuropsychiatric Inventory, with very few adverse effects.

Often, there is a decrease in concentrations of GABA in the cortical regions of the brain of demented patients. Pharmacotherapeutic measures that elevate levels of GABA have been reported to ameliorate mood disorders.⁴⁴ Clinical studies employing carbamazepine (anticonvulsant; voltage-gated Na⁺ channel blocker) have shown contradictory results for treatment of BPSD, or data on dementia-linked depression have not been reported.⁴⁵ Preliminary clinical trials of lamotrigine (an anticonvulsant) in demented elderly patients also demonstrated betterment of symptoms of depression and agitation.⁴⁶

Apathy

Apathy is a neuropsychiatric symptom of dementia that is characterized by loss of interest, general demotivation, and a lack of persistence. In other words, the patient becomes apathetic. Apathy may present itself either as a symptom of depression or as a separate entity. 47 Over the years, apathy has gotten less research attention with regard to other BPSD. Although the awareness about this condition is increasing among researchers, its treatment protocol still remains deficient.

A recent four-year study associated apathy with a rapid decline in cognitive abilities in sufferers of AD. 48 Another recent study reported that patients with mild cognitive impairment were more susceptible to progress to AD after a year if they also presented with apathy. 49

Increasing our knowledge of physical alterations in the brain has gathered research focus in the field of apathy. One postmortem study revealed that patients with AD who had prolonged apathy were more prone to have more neurofibrillary tangles than patients without apathy. 50 Clinically, apathetic sufferers of dementia may even have genetic differences from demented patients who do not present with apathy. A recent study⁵¹ reported that Alzheimer's patients were more likely to bear the ApoE e4 allele if they were also apathetic. Although the neurobiology of apathy is yet to be fully described, abnormal functioning of frontal systems is considered to be crucial. The medial (anterior cingulate) circuit is linked with motivation disorders, including apathy. 52 The underlying cause of apathy in dementia has also been attributed to deficits in the medial frontal and limbic cholinergic systems. Dopaminergic pathways that affect frontal-subcortical activation have also been suggested as being important in the development of apathy.53

The CPFT prescribes sertraline and citalogram as first-line therapy for apathy in Alzheimer's-linked dementia, Parkinson's dementia, and dementia with Lewy bodies. Donepezil, rivastigmine (cholinesterase inhibitors), and galantamine (cholinesterase inhibitor and allosteric modulator of nicotinic cholinergic receptors) have been put forward as second-line drugs for treatment of apathy related to dementia. 26,54,55

There is less evidence suggesting the suitability of pharmacotherapeutic interventions for treatment of the dementia-linked apathetic state. Acetylcholinesterase inhibitors have shown the best results. Some evidence suggesting the efficacy of memantine has been reported, but there is relatively little evidence for the efficacy of cholinergic stimulants, calcium antagonists, or antipsychotics. However, an evidence base exists to support the prescription of anticonvulsants or antidepressants.⁵⁶

A randomized controlled clinical trial⁵⁷ has reported that methylphenidate (CNS stimulant; norepinephrinedopamine reuptake inhibitor) was related to a small but significant improvement on the Apathy Evaluation Scale compared to the placebo control group, though the treatment did elicit some adverse effects (e.g., cough, elevated blood pressure, and osteoarticular pain).⁵⁸ However, modafinil, another monoaminergic compound, did not demonstrate any marked improvement with respect to apathy.⁵⁹

A multicenter, placebo-controlled, crossover trial involving bupropion (norepinephrine–dopamine reuptake inhibitor) for the treatment of apathy in Huntington's-associated dementia was conducted, and it revealed that there was no significant difference in treatment response between the drug-treated and placebo groups at baseline or endpoint. A 12-week, multicenter, double-blind, randomized, placebo-controlled trial was begun in 2010 using bupropion for the treatment of Alzheimer's-type dementia. This study has been completed, but the results have not been made available as of yet.

Anxiety and agitation

Anxiety is a very common presentation among BPSD. It is associated with behavioral disturbances and poor quality of life. Its incidence is generally higher in cases of vascular dementia as compared to Alzheimer's-type dementia. Its intensity reduces as the dementia progresses to a severe stage. Anxiety as a behavioral symptom of dementia is seen in 5–21% of demented patients, while 8–71% of patients present with symptoms related to anxiety. It is seen more in demented patients than in those who do not have dementia. 63

The anxiety symptoms in AD have been believed to constitute either a psychological response to the progressive decline in cognitive abilities or were considered to be generally caused by other symptoms of the illness (e.g., concentration problems, agitation, or paranoia). Alternatively, they may be due to the stress caused by progressive loss of cognition in susceptible individuals with such premorbid risk factors as personal or familial psychiatric abnormalities. ⁶⁴ Agitation may also be seen in demented patients as the disease progresses, but it is generally absent during the advanced stages of dementia. It is predominantly seen in AD. It is characterized by

general emotional distress, restlessness, physical or verbal outbursts, pacing, and shredding of paper or tissues.⁶⁵

The CPFT prescribes citalogram as a first-line therapy for moderate agitation/anxiety in Alzheimer's dementia. The second-line drugs include trazodone (serotonin antagonist and reuptake inhibitor), lorazepam (benzodiazepine, with positive allosteric modulatory effect on GABA-A receptors), mirtagapine, and memantine. The first-line therapy for severe agitation/anxiety is risperidone (antipsychotic; dopamine D₂ and serotonin 5-HT₂_A antagonism). The starting dose of risperidone is 500 µg od (British National Formulary dose is 250 µg bid), and the maximum dose is 1 mg bid. The second-line agents include aripiprazole (atypical antipsychotic; partial dopaminergic D₂ agonist, partial 5-HT_{1A} agonist, 5-HT_{2A} antagonist, 5-HT₇ antagonist, partial 5-HT_{2C} agonist); olanazapine (atypical antipsychotic; dopaminergic D₂ antagonist, serotoninergic 5-HT_{2A} antagonist); memantine, and lorazepam. The starting dose for olanzapine is 2.5 mg od, while the maximum dose is 1 mg bid. The starting dose for aripiprazole is 5 mg od, and the maximum dose is 10 mg/day. 26,66-72

For dementia with Lewy bodies and Parkinson's disease, the CPFT prescribes citalopram and sertraline as first-line therapeutic agents for moderate agitation/anxiety. The second-line therapy is based on the use of rivastigmine, donepezil, galantamine, and lorazepam. For severe agitation/anxiety, the first-line medications include quetiapine (atypical antipsychotic; dopaminergic D_2 antagonist, serotoninergic 5-HT $_{\rm 2A}$ antagonist). The second-line agents are rivastigmine, donepezil, galantamine, and lorazepam. The starting dose for quetiapine is 25 mg od, and the maximum dose is 10 mg/day. The starting dose for lorazepam is 0.5–1 mg bid (oral/im), while the maximum dose is 1 mg bid (oral/im).

There are several new entities that have been registered in clinical trials worldwide for treatment of agitation in Alzheimer's-type dementia, as presented in Table 2. ⁷³⁻⁷⁹

Entity	Company	Clinical trial phase	Proposed mechanism of action	Reference
ASP3662	Astellas Pharma, US	II	11-beta-HSD1 inhibitor	73
ELND005	Transition Therapeutics, Canada	II	Amyloid beta inhibitor	74
AXS-05	Axsome Therapeutics, US	III	Dextromethorphan (NMDA receptor antagonist, sigma-1 receptor antagonist, inhibitor of norepinephrine and serotonin transporters) and bupropion (enhancer of bioavailability of dextromethorphan, dopamine, and norepinephrine reuptake inhibitor, nicotinic cholinergic antagonist) combination	75,76
AVP-786	Avanir Pharmaceuticals, US	III	Deuterated dextromethorphan (deuterium has been reported to decrease first-pass hepatic metabolism) with ultra-low dose of quinidine (inhibitor of CYP 2D6 enzyme)	77,78
Brexpiprazole	Lundbeck, US	III	Partial dopaminergic agonist	79

Analgesics in the treatment of agitation associated with dementia

Another one of-a-kind study reported that systematic management of pain remarkably diminished agitation in moderately to severely demented patients. This 8-week study demonstrated a significant reduction in agitation in the drug-treated group compared to controls. Analgesia was also shown to be advantageous in decreasing the overall severity of BPSD. These results offered the hope that effectively managing pain could do away with the extensive prescriptions of psychotropic drugs. Four different analgesic interventions were employed in that study: 1 g of paracetamol (tid, orally), 20 mg/day of morphine (orally), 10 $\mu g/h$ of buprenorphine (transdermal patch), and 300 mg/day of pregabaline (orally). 80,81

Special considerations for treatment of BPSD

The CPFT reports that the involvement of anticonvulsants and/or mood stabilizers is limited by a lack of substantial evidence to support their efficacy. However, they can be prescribed in patients for whom other treatment approaches have been ineffective or are contraindicated. Antipsychotic therapy, if needed, should be strictly based on the use of atypical antipsychotics. Atypical antipsychotics in high doses or typical antipsychotics in normal doses worsen cognition, elevate the risk of cerebrovascular events threefold, and may also cause a twofold rise in the rate of mortality. The use of haloperidol (first-line treatment for aggression) and/or risperidone is contraindicated in established or suspected Parkinson's dementia and dementia with Lewy bodies, as these patients are susceptible to their sensitivity to antipsychotic therapy. Additionally, significant extrapyramidal side effects have also been observed. In BPSD associated with vascular (stroke-related) dementia, cholinesterase inhibitors and memantine are not approved, and should therefore not be used. Apart from this, there is lack of significant evidence related to vascular dementia-related BPSD. The treatment strategies for other types of dementia (except for Alzheimer's, Parkinson's, and dementia with Lewy bodies) are not yet fully elucidated due to the lack of an evidence base.²⁶

The ABC Approach for Management of BPSD

The CPFT has proposed an integrative ABC approach for managing neuropsychiatric symptoms in demented patients.

A (primary care responsibility)

If the patient has BPSD associated with a steady decline in cognitive abilities for a period of more than 6 months along with a short history (less than 1 week) of delirium, the

treatment for acute underlying medical problems is sought. These acute problems may be urinary tract infections, chest infections, and effects of withdrawal from certain drugs or alcohol. If the patient does not present with a short history of delirium, then approach B is initiated.

B (optional primary care responsibility with secondary care support, if needed)

The PAAID approach is employed, which aims at treatment of:

- P: physical problems (pain, infection)
- A: activity abnormalities (impaired performance of daily chores)
- A: anticholinergic burden is estimated
- I: intrinsic behaviors of dementia (wandering aimlessly)
- D: depression, psychosis, and anxiety

If the behavioral problems still remain unresolved, then nonpharmacological interventions are put in place (e.g., one-to-one care, aromatherapy, distraction). If the symptoms still persist, drug intervention is instituted. Pharmacological treatment is employed only if there is marked depression, psychosis, or significant distress. Treatment can also be administered if the patient's condition is putting those in his/her vicinity at risk of harm. However, pharmacological approaches are not used in mild to moderate conditions. Identification of the target group of symptoms is very crucial at this stage. If the symptoms are still not alleviated, it could mean that the underlying cause is Parkinson's dementia or dementia with Lewy bodies. If these conditions are diagnosed, then the related appropriate treatment is started. If the caregiver is unsure about it, approach C (get advice from a specialist) is then initiated.²⁶

Conclusions

Dementia is a major cause of depreciation in quality of life among geriatric patients. It leads to progressive loss of cognitive abilities and memory. Due to the lack of a knowledge of the involved biological targets and diversification of dementia into several subtypes, its diseasemodifying therapy has still not been elucidated. The current pharmacotherapeutic approaches provide only symptomatic relief. As dementia advances, it gets augmented with other troubling symptoms—that is, BPSD. About 90% of sufferers of dementia present with at least one of these symptoms, regardless of their dementia subtype. These include a wide array of symptoms: psychopathological symptoms, motor function abnormalities, eating disorders, and unbalanced circadian rhythms. These contribute further to decreasing the quality of life in demented patients. The pharmacotherapeutic interventions for the clinically significant BPSD have been given in Figure 2.

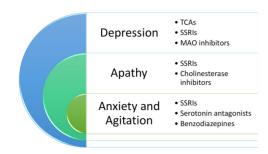


FIGURE 2. The generally prescribed treatment approaches for clinically significant BPSD

Due to the lack of disease-modifying pharmacological interventions, the treatment of BPSD has been taken up as a viable approach for betterment of quality of life pertaining to demented patients. Amid the plethora of BPSD, apathy, depression, anxiety, and agitation are relatively more prevalent than the others. Before beginning treatment for BPSD, the subtype of dementia and the target group of neuropsychiatric symptoms should be established. Also, nonpharmacological interventions should be applied whenever necessary. The current therapeutics for BPSD include independent and/or combination prescription of existing antidepressants, antipsychotics, and cholinergic agents. These drugs are not successful at completely alleviating symptoms, and they may cause many adverse effects. In addition, extra care should be taken when prescribing these agents to geriatric patients.

Research in the treatment of BPSD has undergone remarkable growth over the past few years. However, most studies are based on the use of existing drugs. There is a strong need to develop newer agents that would effectively tackle these symptoms. BPSD provide bright avenues for research, both in terms of drug discovery as well as development and clinical trials.

Disclosures

Abhinav Anand, Jasneet Chawla, Neha Sharma, Puneet Khurana, and Navneet Khurana hereby declare that they have no conflicts of interest to disclose.

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