

Explicit asymptotics for certain single and double exponential sums

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By combining classical techniques together with two novel asymptotic identities derived in recent work by Lenells and one of the authors, we analyse certain single sums of Riemann-zeta type. In addition, we analyse Euler-Zagier double exponential sums for particular values of $Re\{u\}$ and $Re\{v\}$ and for a variety of sets of summation, as well as particular cases of Mordell-Tornheim double sums.

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1. Introduction

The large t asymptotics to all orders of $\zeta(s)$ is studied in [3]; the second part of this paper analyses the large t asymptotics of a certain generalization of $\zeta(s)$. In this analysis, as well as in a new formulation of $|\zeta(s)|^2$, there appear certain single and double exponential sums. Here, motivated by the appearance of the above single and double Riemann-zeta type sums, we revisit such sums. In particular, in §2, we revisit a novel identity derived in [3] and also, using the results of [3], we present a variant of the above identity. These two identities, used by themselves or in combination with classical techniques [8], allow us to derive several estimates in a simpler way than using only the classical techniques. In §2, we also derive some estimates for certain specific Riemann-zeta type single sums; these sums arise in §§3 and 5 as a result of using the identities discussed in §2.1 for estimating double Riemann-type sums. In §3, we derive some simple estimates for double Riemann-zeta type exponential sums, we review some well-known estimates for the Euler-Zagier sums defined on the critical strip $0 \leq \sigma \leq 1$, and establish a connection between these two types of sums. Some of the results of this section are derived via the results of §2. In §4, we provide sharp estimates for particular cases of Euler-Zagier and Mordell-Tornheim sums. In §5, we derive estimates for two types of double exponential sums, denoted by S_1 and S_2 which involve ‘small’ sets. The analysis of S_1 is also based on the results of §2 and illustrates the fact that double

sums involving ‘small’ sets can be studied via the variant of the identities of [3] presented in §2.1, in a simpler way than using classical estimates. Furthermore, and more importantly, this novel approach yields sharp results. This fact is further demonstrated in the analysis of S_2 : this sum can be studied directly via classical estimates or even via ‘rough’ estimates, however, the above novel approach yields significantly sharper results; details are given in §5.

Notation

$[A]$ = integer part of A .

2. Asymptotic estimates and identities of certain single exponential sums

In this section, we analyse sums of the type

$$\sum_{m=A(t)}^{B(t)} e^{if(m)}, \quad 1 \leq A(t) < B(t), \tag{2.1}$$

for the following three particular cases of $f(m)$,

$$t \ln \left(1 + \frac{t}{m} \right), \quad t \ln \left(1 + \frac{m}{t} \right), \quad t \ln m, \tag{2.2}$$

with $t > 0$ and $m \in \mathbb{Z}^+$.

The third case of (2.2) corresponds to the classical exponential sums related to Riemann zeta function. In this case, partial summation and the Phragmén-Lindelöf convexity principle (PL) (known also as Lindelöf’s theorem) implies

$$\sum_{m=1}^{[t]} \frac{1}{m^\sigma} e^{it \ln m} = \sum_{m=1}^{[t]} m^{-\sigma+it} = \begin{cases} O(t^{((1/2)-(2/3)\sigma) \ln t}), & 0 \leq \sigma \leq \frac{1}{2}, \\ O(t^{((1/3)-(1/3)\sigma) \ln t}), & \frac{1}{2} < \sigma < 1. \end{cases} \tag{2.3}$$

The exponents $(1/2) - (2/3)\sigma$ and $(1/3) - (1/3)\sigma$ have been improved only slightly in the last 100 years with the best current result due to Bourgain [1].

2.1. Two useful asymptotic identities

In what follows we present a slight variant of two useful asymptotic identities derived in [3].

Below we study sums of the type (2.1) with $f(m)$ given by the third case of (2.2): the cases (i) and (ii) correspond to $t \leq A(t) < B(t)$ and $A(t) < B(t) = \mathcal{O}(t)$, respectively.

LEMMA 2.1. *Let $s = \sigma + it$, $0 < \sigma < 1$:*

(i)

$$\sum_{n=[t]+1}^{[(\eta/2\pi)]} \frac{1}{n^s} = \frac{1}{1-s} \left(\frac{\eta}{2\pi} \right)^{1-s} + O\left(\frac{1}{t^\sigma} \right), \quad t < \frac{\eta}{2\pi} < \infty, \quad t \rightarrow \infty. \tag{2.4}$$

(ii)

$$\sum_{n=[(t/\eta_2)]+1}^{[(t/\eta_1)]} \frac{1}{n^s} = \chi(s) \sum_{n=[(\eta_1/2\pi)]+1}^{[(\eta_2/2\pi)]} \frac{1}{n^{1-s}} + E(\sigma, t, \eta_2) - E(\sigma, t, \eta_1), \quad t \rightarrow \infty,$$

$$\varepsilon < \eta_1 < \eta_2 < \sqrt{t}, \quad \varepsilon > 0; \quad \text{dist}(\eta_j, 2\pi\mathbb{Z}) > \varepsilon, \quad j = 1, 2, \quad (2.5)$$

where as $t \rightarrow \infty$,

$$E(\sigma, t, \eta) = \kappa e^{i\gamma} \left(\frac{\eta}{t}\right)^s \left(1 + O\left(\frac{1}{t}\right)\right) + \begin{cases} O\left(\frac{\eta}{t}\right), & \varepsilon < \eta < t^{1/3}, \quad 3\eta^3 < \alpha t, \\ O\left(e^{-(\alpha t/\eta^2)} + \frac{\eta^4}{t^2}\right), & t^{1/3} < \eta < \sqrt{t}, \quad 3\eta^2 < \alpha t, \end{cases} \quad (2.6a)$$

with κ given by

$$\kappa(\sigma, t, \eta) = \frac{1}{\alpha} + \frac{i}{2\alpha^3} \frac{\eta^2}{t} \left[\frac{\alpha^2}{\eta^2} (\beta^2 + \sigma - 1) - 2\frac{\alpha\beta}{\eta} - \alpha + 2 \right],$$

where α, β, γ are defined by

$$\alpha(\eta) = 1 - e^{-i\eta}, \quad \eta > 0, \quad (2.6b)$$

$$\beta(\sigma, t, \eta) = t - \eta \left[\frac{t}{\eta} \right] - i(\sigma - 1), \quad 0 < \sigma < 1, \quad t > 0, \quad \eta > 0, \quad (2.6c)$$

$$\gamma(t, \eta) = t - \eta - \eta \left[\frac{t}{\eta} \right], \quad t > 0, \quad \eta > 0, \quad (2.6d)$$

and $\chi(s)$ is defined by

$$\chi(s) = \frac{(2\pi)^s}{\pi} \sin\left(\frac{\pi s}{2}\right) \Gamma(1 - s), \quad s \in \mathbb{C}. \quad (2.6e)$$

The above results are valid uniformly with respect to η and σ .

Proof. (i) Equation (2.4) is given by equation (1.9) of [3], with

$$\eta_1 = 2\pi t > (1 + \epsilon)t \quad \text{and} \quad \eta_2 = \eta > \eta_1,$$

for some $\epsilon > 0$.

(ii) Regarding (2.5), we first recall equation (4.2) of [3]:

$$\begin{aligned} \zeta(s) &= \sum_{n=1}^{[t/\eta]} \frac{1}{n^s} + \chi(s) \sum_{n=1}^{[(\eta/2\pi)]} \frac{1}{n^{1-s}} \\ &+ ie^{-((i\pi s)/2)} \frac{\Gamma(1-s)}{\sqrt{2\pi}} e^{-i([t/\eta]+1)\eta} e^{-i(\pi/4)} \frac{\eta^s}{\sqrt{t}} \kappa(\sigma, t, \eta) \\ &+ e^{-i\pi s} \Gamma(1-s) e^{-(\pi t/2)} \eta^{\sigma-1} \\ &\times \begin{cases} O\left(\frac{\eta}{t}\right), & \varepsilon < \eta < t^{1/3}, \quad 3\eta^3 < \alpha t, \\ O\left(e^{-(\alpha t)/(\eta^2)} + \frac{\eta^4}{t^2}\right), & t^{1/3} < \eta < \sqrt{t}, \quad 3\eta^2 < \alpha t, \end{cases} \\ &\text{dist}(\eta, 2\pi\mathbb{Z}) > \varepsilon, \quad 0 < \sigma < 1, \quad t \rightarrow \infty. \end{aligned} \tag{2.7}$$

The asymptotic formula

$$\begin{aligned} \Gamma(\sigma - i\xi) &= \sqrt{2\pi} \xi^{\sigma-(1/2)} e^{-(\pi\xi/2)} e^{(i\pi/4)} e^{i\xi} \xi^{-i\xi} e^{-(i\pi\sigma/2)} \\ &\times \left[1 + O\left(\frac{1}{\xi}\right) \right], \quad \xi \rightarrow \infty, \end{aligned}$$

which is proven in Appendix A of [3], implies

$$e^{-(i\pi s/2)} \Gamma(1-s) = \sqrt{2\pi} e^{it} t^{(1/2)-s} e^{-i(\pi/4)} \left(1 + O\left(\frac{1}{t}\right) \right), \quad t \rightarrow \infty.$$

Thus, equation (2.7) becomes

$$\zeta(s) = \sum_{n=1}^{[t/\eta]} \frac{1}{n^s} + \chi(s) \sum_{n=1}^{[\eta/2\pi]} \frac{1}{n^{1-s}} + E(\sigma, t, \eta),$$

with E defined in (2.6a). Evaluating this expression for two different values of η , namely η_1 and η_2 , where $0 < \varepsilon < \eta_1 < \eta_2 < \sqrt{t}$, and subtracting the resulting equations we obtain (2.5). □

REMARK 2.2. Equation (2.4) is a special form of the general case

$$\sum_{n=[\tau]+1}^{[\eta/2\pi]} \frac{1}{n^s} = \frac{1}{1-s} \left(\frac{\eta}{2\pi}\right)^{1-s} + O\left(\frac{1}{t^\sigma}\right), \quad 0 \leq \sigma < 1, \quad t \rightarrow \infty,$$

where $\tau = O(t)$, provided that $\tau > (1 + \epsilon)(t/2\pi)$, for some $\epsilon > 0$.

In connection with equation (2.5), the definitions of α, β, γ yield the following bounds:

$$|\alpha| > \varepsilon, \quad 0 < |\beta| < \eta + 1, \quad 0 < |\gamma| \leq \eta.$$

2.2. Asymptotic estimates of single sums

In the following two Lemmas we consider (2.1) and set $A(t) = 1, B(t) = [t]$, with $f(m)$ given by the first and second case of (2.2).

LEMMA 2.3. *Let $f(m)$ be defined by the first case of (2.2). Then*

$$\sum_{m=1}^{[t]} \frac{1}{m^\sigma} e^{if(m)} = \begin{cases} O(t^{(1/2)-(2/3)\sigma} \ln t), & 0 \leq \sigma \leq \frac{1}{2}, \\ O(t^{(1/3)-(1/3)\sigma} \ln t), & \frac{1}{2} < \sigma < 1, \end{cases} \quad t \rightarrow \infty. \quad (2.8)$$

Proof. Observe that the k -th derivative of $f(x)$ satisfies

$$f^{(k)}(x) = (-1)^{k-1} (k-1)! \, t \left[\frac{1}{(x+t)^k} - \frac{1}{x^k} \right].$$

Thus,

$$|f^{(k)}(x)| = (k-1)! \frac{t}{x^k} C(x, t; k),$$

where $C(x, t; k)$ is defined by

$$C(x, t; k) = \frac{1 + \sum_{n=1}^{k-1} \binom{k}{n} (x/t)^n}{1 + \sum_{n=1}^k \binom{k}{n} (x/t)^n}.$$

The function $C(x, t; k)$ is bounded, namely,

$$1 - 2^{-k} < C(x, t; k) < 1, \quad \text{for } 1 < x < t. \quad (2.9)$$

Hence, we can use theorem 5.14 of [8] with

$$\lambda_k = \frac{(k-1)!}{2\pi} \frac{t}{(2\alpha)^k} (1 - 2^{-k}), \quad h = \frac{2^k}{1 - 2^{-k}}, \quad k \geq 2.$$

Setting $A(t) = 1$ and $B(t) = [t]$ in (2.1), we define

$$D(\sigma, t) = \sum_{m=1}^{[t]} \frac{1}{m^\sigma} e^{if(m)}, \quad (2.10)$$

with $f(m)$ given by the first case of (2.2).

For $k = 2$, by applying the partial summation technique, we obtain

$$D(0, t) = O(t^{1/2} \ln t), \quad t \rightarrow \infty. \quad (2.11)$$

Similarly, for $k = 3$, we obtain

$$D\left(\frac{1}{2}, t\right) = O\left(t^{1/6} \ln t\right), \quad t \rightarrow \infty. \quad (2.12)$$

We also note the following:

1. The Phragmén-Lindelöf convexity principle (PL) implies

$$D(\sigma, t) = \begin{cases} O(t^{(1/2)-(2/3)\sigma} \ln t), & 0 \leq \sigma \leq \frac{1}{2}, \\ O(t^{(1/3)-(1/3)\sigma} \ln t), & \frac{1}{2} < \sigma < 1, \end{cases} \quad t \rightarrow \infty,$$

which gives (2.8).

2. If

$$\sigma = \sigma(\ell) = 1 - \frac{\ell}{2L - 2}, \quad L = 2^{\ell-1}, \quad \ell \geq 3, \quad \ell \in \mathbb{N},$$

then for $\sigma = \sigma(\ell) \geq 1/2$, we find

$$D(\sigma, t) = O(t^{(1/(2L-2))} \ln t), \quad t \rightarrow \infty. \tag{2.13}$$

3. The PL principle allows the extension of the above result for the case of $\sigma \in (\sigma(\ell), \sigma(\ell + 1))$ and $0 \leq \sigma \leq 1/2$.

4. Let D_δ be defined by

$$D_\delta(\sigma, t) = \sum_{m=1}^{\lceil t^\delta \rceil} \frac{1}{m^\sigma} e^{if(m)}, \tag{2.14}$$

where δ is a sufficiently small, positive constant.

By applying theorem 5.14 of [8], for $k = \lceil 1/\delta \rceil + 1$, it can be shown that

$$D_\delta(\sigma, t) = O(t^{(1-\sigma)\delta}), \quad t \rightarrow \infty. \tag{2.15}$$

However, we do not present the details of this proof here, since (2.15) can be obtained by the following simple estimate:

$$\left| \sum_{m=1}^{\lceil t^\delta \rceil} \frac{1}{m^\sigma} e^{if(m)} \right| \leq \int_1^{t^\delta} \frac{1}{x^\sigma} dx = O(t^{(1-\sigma)\delta}), \quad t \rightarrow \infty.$$

□

LEMMA 2.4. *Let $f(m)$ be defined by the second case of (2.2). Then*

$$\sum_{m=1}^{\lceil t \rceil} \frac{1}{m^\sigma} e^{if(m)} = O(1), \quad \sigma \geq 0, \quad t \rightarrow \infty. \tag{2.16}$$

Proof. We follow the steps of the analysis in [8] and we observe that in this procedure the upper and lower bounds of the term $|f^{(k)}(x)|$ are independent of x . Indeed, we have

$$|f^{(k)}(x)| = (k - 1)! \frac{t}{(x + t)^k},$$

and using that $0 < x \leq t$ we get the conditions of theorem 5.13 in [8], that is,

$$\lambda_k \leq |f^{(k)}(x)| \leq h\lambda_k,$$

with $\lambda_k = (((k - 1)!)/(2^k))t^{1-k}$ and $h = 2^k$, for $k \geq 2$.

Thus, we may obtain the optimal estimates for the sum appearing in the lhs of (2.16). However, it is more efficient to use a different approach, based on lemma 4.8 of [8]. Indeed, it is straightforward to observe that $f'(x) = (1/(1 + (x/t)))$ is monotonic and also $f'(x)$ satisfies $1/2 \leq |f'(x)| < 1$. Thus, the above Lemma yields

$$\sum_{m=1}^{[t]} e^{if(m)} = \int_1^t e^{if(x)} dx + O(1), \quad t \rightarrow \infty.$$

The integral in the rhs of the above equation gives the contribution

$$\frac{(2t)^{1+it} - (t+1)^{1+it}}{1+it} = -i \frac{2^{1+it} - (1+(1/t))^{1+it}}{1-(i/t)} = O(1), \quad t \rightarrow \infty.$$

Therefore, the estimate (2.16) holds for $\sigma = 0$.

The above analysis gives

$$\sum_{m=a}^b e^{if(m)} = O(1), \quad \text{for all } 1 \leq a < b \leq t, \quad t \rightarrow \infty.$$

Hence, we apply the partial summation technique, with $m \geq 1$, that is, $m^{-\sigma} \leq 1$, $\sigma > 0$, and we obtain (2.16). □

3. Double zeta functions and Euler-Zagier double sums

In this section, we analyse the double zeta functions in the critical strip, namely the case that the real part of the exponents is in the interval (0, 1).

3.1. Simple estimates for double exponential sums

Letting $s = \sigma + it$, $\sigma \in (0, 1)$, we estimate the double sums appearing of the form

$$\sum_{m=1}^{[t]} \sum_{n=1}^{[t]} \frac{1}{m^s n^{\bar{s}}}. \tag{3.1}$$

LEMMA 3.1. *The following estimate for (3.1) is valid:*

$$\sum_{m=1}^{[t]} \sum_{n=1}^{[t]} \frac{1}{m^s n^{\bar{s}}} = \begin{cases} O(t^{(3/2)-(5/3)\sigma} \ln t), & 0 \leq \sigma \leq \frac{1}{2}, \\ O(t^{(4/3)-(4/3)\sigma} \ln t), & \frac{1}{2} < \sigma < 1, \end{cases} \quad t \rightarrow \infty. \tag{3.2}$$

Proof. First, we will use the following ‘crude’ estimates:

$$\left| \sum_{m=1}^{[t]} \sum_{n=1}^{[t]} \frac{1}{m^s n^{\bar{s}}} \right| \leq \int_1^t \int_1^t \frac{1}{x^\sigma} \frac{1}{y^\sigma} dx dy = O(t^{2-2\sigma}), \quad t \rightarrow \infty. \tag{3.3}$$

By employing techniques developed in [8] it is possible to improve the estimates of (3.1). Observing that

$$\left| \sum_{m=1}^{[t]} \sum_{n=1}^{[t]} \frac{1}{m^s n^{\bar{s}}} \right| \leq \sum_{m=1}^{[t]} \left| \sum_{n=1}^{[t]} \frac{1}{n^{\bar{s}}} \right| \frac{1}{m^\sigma}, \tag{3.4}$$

and using the rough estimate

$$\sum_{n=1}^{[t]} \frac{1}{n^s} = O\left(t^{(1/2)-(1/2)\sigma} \ln t\right), \quad t \rightarrow \infty, \tag{3.5}$$

we can improve the estimates of (3.3) as follows:

$$\sum_{m=1}^{[t]} \sum_{n=1}^{[t]} \frac{1}{m^s n^s} = O\left(t^{1-\sigma} t^{(1/2)-(1/2)\sigma} \ln t\right) = O\left(t^{(3/2)-(3/2)\sigma} \ln t\right), \quad t \rightarrow \infty. \tag{3.6}$$

Further improvement of (3.3) is obtained by employing (2.3), thus

$$\sum_{m=1}^{[t]} \sum_{n=1}^{[t]} \frac{1}{m^s n^s} = O\left(t^{1-\sigma}\right) \times \begin{cases} O\left(t^{(1/2)-(2/3)\sigma} \ln t\right), & 0 \leq \sigma \leq \frac{1}{2}, \\ O\left(t^{(1/3)-(1/3)\sigma} \ln t\right), & \frac{1}{2} < \sigma < 1, \end{cases} \quad t \rightarrow \infty,$$

which yields (3.2). □

REMARK 3.2. The above improvement of the estimates becomes clearer for $\sigma = 1/2$, where using (3.3), (3.6) and (3.2), we obtain as $t \rightarrow \infty$ the estimates $O(t)$, $O(t^{3/4} \ln t)$ and $O(t^{2/3} \ln t)$, respectively.

3.2. Estimates of Euler-Zagier sums

In what follows we first review the estimates of the Euler-Zagier double sums as they were obtained in [5], where techniques from [6] and [7] were extensively used. A special case of theorem 1.1 in [5] reads as follows:

THEOREM 1.1 in [5]. *Let $s_j = \sigma_j + it$, with $0 \leq \sigma_j < 1$, $j = 1, 2$. Then the following estimates are valid as $t \rightarrow \infty$:*

$$\sum_{1 \leq m < n} \frac{1}{m^{s_1}} \frac{1}{n^{s_2}} = \begin{cases} O\left(t^{1-(2/3)(\sigma_1+\sigma_2)} (\ln t)^2\right), & 0 \leq \sigma_1 \leq \frac{1}{2}, \quad 0 \leq \sigma_2 \leq \frac{1}{2}, \\ O\left(t^{((5/6)-(1/3))(\sigma_1+2\sigma_2)} (\ln t)^3\right), & \frac{1}{2} < \sigma_1 < 1, \quad 0 \leq \sigma_2 \leq \frac{1}{2}, \\ O\left(t^{((5/6)-(1/3))(2\sigma_1+\sigma_2)} (\ln t)^3\right), & 0 \leq \sigma_1 \leq \frac{1}{2}, \quad \frac{1}{2} < \sigma_2 < 1, \\ O\left(t^{(2/3)-(1/3)(\sigma_1+\sigma_2)} (\ln t)^4\right), & \frac{1}{2} < \sigma_1 < 1, \quad \frac{1}{2} < \sigma_2 < 1. \end{cases} \tag{3.7}$$

As a corollary of the above, we obtain the analogue of corollary 1.2 in [5], namely, as $t \rightarrow \infty$, we have the following:

$$\sum_{1 \leq m < n} \frac{1}{m^{it}} \frac{1}{n^{it}} = O\left(t(\ln t)^2\right) \quad \text{and} \quad \sum_{1 \leq m < n} \frac{1}{m^{(1/2)+it}} \frac{1}{n^{(1/2)+it}} = O\left(t^{1/3} (\ln t)^2\right).$$

The above results provide a ‘sharp’ generalization for double sums of the classical result of [8], as this is reviewed in (2.3). In this sense, the above estimates improve significantly the analogous results of [4].

3.3. Relations between double exponential sums

The results of §§ 3.1 and 3.2 suggest a connection between the double zeta function and the Euler-Zagier sums. Actually, the following exact relation between the Euler-Zagier sum and the leading asymptotic representation of $|\zeta|^2$ is valid:

$$2\Re \left\{ \sum_{m_1=1}^{[t]} \sum_{m_2=1}^{[t]} \frac{1}{m_2^{\bar{s}}(m_1 + m_2)^s} \right\} - \left(\sum_{m=1}^{[t]} \frac{1}{m^s} \right) \left(\sum_{m=1}^{[t]} \frac{1}{m^{\bar{s}}} \right) \\ = - \sum_{m=1}^{[t]} \frac{1}{m^{2\sigma}} + 2\Re \left\{ \sum_{m=1}^{[t]} \sum_{n=[t]+1}^{[t]+m} \frac{1}{m^{\bar{s}}n^s} \right\}, \quad s = \sigma + it \in \mathbb{C}. \quad (3.8)$$

This follows from the equation below by letting $u = s, v = \bar{s}, N = [t]$,

$$f(u, v) + f(v, u) + \sum_{m=1}^N \frac{1}{m^{u+v}} = \left(\sum_{m=1}^N \frac{1}{m^u} \right) \left(\sum_{n=1}^N \frac{1}{n^v} \right) + g(u, v) + g(v, u), \quad (3.9)$$

where $f(u, v)$ and $g(u, v)$ are defined by

$$f(u, v) = \sum_{m_1=1}^N \sum_{m_2=1}^N \frac{1}{m_1^u} \frac{1}{(m_1 + m_2)^v}, \quad g(u, v) = \sum_{m=1}^N \sum_{n=N+1}^{N+m} \frac{1}{m^u n^v}, \quad (3.10)$$

with N an arbitrary finite positive integer and $u \in \mathbb{C}, v \in \mathbb{C}$.

The rhs of (3.8) can be estimated by using the results of § 2 and, in particular, lemmas 2.1 and 2.3. Thus, (3.8) takes the form

$$2\Re \left\{ \sum_{m_1=1}^{[t]} \sum_{m_2=1}^{[t]} \frac{1}{m_2^{\bar{s}}(m_1 + m_2)^s} \right\} - \left(\sum_{m=1}^{[t]} \frac{1}{m^s} \right) \left(\sum_{m=1}^{[t]} \frac{1}{m^{\bar{s}}} \right) \\ = \begin{cases} \frac{t^{1-2\sigma}}{1-2\sigma} + O(t^{(1/2)-(5/3)\sigma} \ln t) + O(1), & 0 < \sigma < \frac{1}{2} \\ \ln t + O(1), & \sigma = \frac{1}{2}, \\ O(1), & \frac{1}{2} < \sigma < 1, \end{cases} \quad t \rightarrow \infty. \quad (3.11)$$

Indeed, in order to estimate the rhs of equation (3.8), we use the elementary estimate

$$\sum_{m=1}^{[t]} \frac{1}{m^{2\sigma}} = \begin{cases} \ln t + O(1), & \sigma = \frac{1}{2}, \\ \frac{t^{1-2\sigma}}{1-2\sigma} + O(1), & 0 < \sigma < 1, \quad \sigma \neq \frac{1}{2}, \end{cases} \quad t \rightarrow \infty, \quad (3.12)$$

as well as the result below.

LEMMA 3.3. *The following estimates are valid:*

$$2\Re \left\{ \sum_{m=1}^{[t]} \sum_{n=[t]+1}^{[t]+m} \frac{1}{m^{\bar{s}}n^s} \right\} = \begin{cases} O(t^{(1/2)-(5/3)\sigma} \ln t), & 0 \leq \sigma \leq \frac{1}{2}, \\ O(t^{(1/3)-(4/3)\sigma} \ln t), & \frac{1}{2} < \sigma < 1, \end{cases} \quad t \rightarrow \infty. \quad (3.13)$$

Proof. In order to simplify the double sum appearing in the lhs of equation (3.13) we use relation (2.4), taking $\eta = 2\pi(t + m)$, equivalently $[\eta/2\pi] = [t] + m$:

$$\begin{aligned} \sum_{n=[t]+1}^{[t]+m} \frac{1}{n^s} &= \frac{1}{1-s}(t+m)^{1-s} + O\left(\frac{1}{t^\sigma}\right) \\ &= i \frac{1}{1 + ((i(1-\sigma))/t)} \frac{1}{t^s m^{s-1}} \left(\frac{1}{t} + \frac{1}{m}\right)^{1-s} + O\left(\frac{1}{t^\sigma}\right). \end{aligned}$$

Replacing in the lhs of (3.13) the sum over n by the above sum we find

$$\begin{aligned} 2\Re \left\{ \sum_{m=1}^{[t]} \sum_{n=[t]+1}^{[t]+m} \frac{1}{m^s n^s} \right\} &= -2\Im \left\{ \frac{1}{t^s} \sum_{m=1}^{[t]} \frac{1}{m^{2\sigma-1}} \left(\frac{1}{t} + \frac{1}{m}\right)^{1-s} \left(1 + O\left(\frac{1}{t}\right)\right) \right. \\ &\quad \left. + O\left(\frac{1}{t^\sigma}\right) \sum_{m=1}^{[t]} \frac{1}{m^s} \right\}, \quad t \rightarrow \infty. \end{aligned} \tag{3.14}$$

The first single sum in the rhs of (3.14) involves the function $f(m)$ defined in the first case of (2.2). Moreover, since $1 \leq m \leq t$ and $0 < \sigma < 1$ we find

$$\frac{1}{m^{2\sigma-1}} \left(\frac{1}{t} + \frac{1}{m}\right)^{1-\sigma} \leq \frac{1}{m^{2\sigma-1}} \left(\frac{2}{m}\right)^{1-\sigma} < \frac{2}{m^\sigma}.$$

Thus, the analysis in the proof of lemma 2.3 yields the estimate

$$\sum_{m=1}^{[t]} \frac{1}{m^{2\sigma-1}} \left(\frac{1}{t} + \frac{1}{m}\right)^{1-s} = \begin{cases} O(t^{(1/2)-(2/3)\sigma} \ln t), & 0 \leq \sigma \leq \frac{1}{2}, \\ O\left(t^{\frac{1}{3} - \frac{1}{3}\sigma} \ln t\right), & \frac{1}{2} < \sigma < 1, \end{cases} \quad t \rightarrow \infty.$$

For the second single sum in the rhs of (3.14) we use the classical estimate (2.3) Applying the above estimates of the two single sums in (3.14) yields (3.13). \square

4. Further estimates for double exponential sums

In this section, we analyse two of the most well-known types of double exponential sums, namely the Euler-Zagier and the Mordell-Tornheim sums. In this section, we do not restrict the real parts of the exponents in the interval $(0, 1)$.

4.1. Special cases of Euler-Zagier with different exponents

LEMMA 4.1. *Let S_A denote double sum*

$$S_A = \sum_{m_1=1}^{[t]} \sum_{m_2=1}^{[t]} \frac{1}{(m_1 + m_2)^{\sigma_1 + it}} \frac{1}{m_2^{\sigma_2 - it}}, \tag{4.1}$$

with $\sigma_1 < 0$ and $\sigma_2 > 1$. Then,

$$|S_A| = O\left(t^{(1/2) - \sigma_1} \ln t\right), \quad t \rightarrow \infty. \tag{4.2}$$

Proof. Letting $m_2 = m$, $m_1 + m_2 = n$, and employing the triangular inequality we find

$$|S_A| = \left| \sum_{m=1}^{[t]} \sum_{n=m+1}^{m+[t]} \frac{1}{n^{\sigma_1+it}} \frac{1}{m^{\sigma_2-it}} \right| \leq \sum_{m=1}^{[t]} \left| \sum_{n=m+1}^{m+[t]} \frac{1}{n^{\sigma_1+it}} \right| \frac{1}{m^{\sigma_2}}. \tag{4.3}$$

Taking into consideration (2.3) with $\sigma_1 = 0$, we find

$$\sum_{n=1}^{[t]} \frac{1}{n^{it}} = O\left(t^{1/2} \ln t\right), \quad t \rightarrow \infty.$$

Applying partial summation we obtain the estimate

$$\sum_{n=m+1}^{m+[t]} \frac{1}{n^{\sigma_1+it}} = O\left(t^{(1/2)-\sigma_1} \ln t\right), \quad t \rightarrow \infty, \tag{4.4}$$

for $\sigma_1 < 0$ and $1 \leq m \leq [t]$.

Indeed, using (4.4) into (4.3) and noting that $\sigma_2 > 1$, we find (4.2) □

REMARK 4.2. An alternative proof of (4.4) can be derived by using the estimate

$$\sum_{m=1}^{[t]} \frac{1}{m^{\sigma-1+it}} = O(t^{(3/2)-\sigma}), \quad 0 < \sigma < 1, \quad t \rightarrow \infty, \tag{4.5}$$

for $\sigma_1 = \sigma - 1 < 0$.

The proof of (4.5) is provided in Appendix A.

4.2. Special cases of Mordell-Tornheim sums

LEMMA 4.3. *Let S_B denote double sum*

$$S_B = \sum_{m_1=1}^{[t]} \sum_{m_2=1}^{[t]} \frac{1}{(m_1 + m_2)^{\sigma_1+it}} \frac{1}{m_2^{\sigma_2-it}} \frac{1}{m_1^{\sigma_3}}, \tag{4.6}$$

with $\sigma_1 < 0$, $\sigma_2 \in (0, 1)$ and $\sigma_3 \geq 1$. Then,

$$|S_B| = \begin{cases} O\left(t^{1-\sigma_1-\sigma_2} \ln t\right), & 0 < \sigma_2 < \frac{1}{2}, \quad \sigma_3 = 1, \\ O\left(t^{1-\sigma_1-\sigma_2}\right), & 0 < \sigma_2 < \frac{1}{2}, \quad \sigma_3 > 1, \\ O\left(t^{(1/2)-\sigma_1} \ln t\right), & \frac{1}{2} \leq \sigma_2 < 1, \quad \sigma_3 \geq 1, \end{cases} \quad t \rightarrow \infty. \tag{4.7}$$

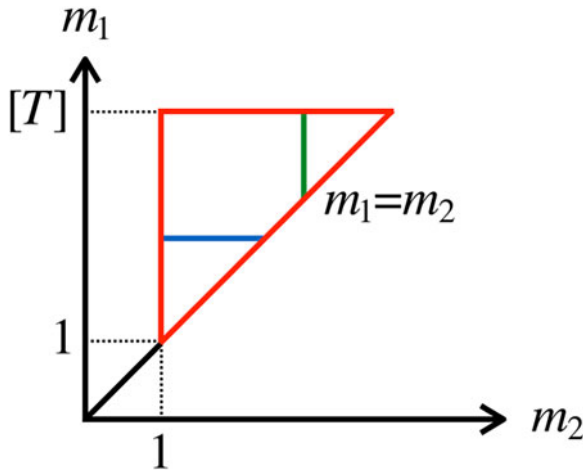


Figure 1. Change of the order of summation.

Proof. Splitting this sum into two sums, depending on whether $m_1/m_2 > 1$ or $m_1/m_2 < 1$, we find

$$S_B = S_1 + S_2, \tag{4.8}$$

where

$$S_1 = \sum_{m_1=1}^{[t]} \sum_{m_2=1}^{m_1} \frac{1}{(m_1 + m_2)^{\sigma_1+it}} \frac{1}{m_2^{\sigma_2-it}} \frac{1}{m_1^{\sigma_3}}, \tag{4.9}$$

and

$$S_2 = \sum_{m_1=1}^{[t]} \sum_{m_2=m_1+1}^{[t]} \frac{1}{(m_1 + m_2)^{\sigma_1+it}} \frac{1}{m_2^{\sigma_2-it}} \frac{1}{m_1^{\sigma_3}}. \tag{4.10}$$

In order to estimate the sum S_1 , we change the order of summation, see figure 1.

Thus,

$$S_1 = \sum_{m_2=1}^{[t]} \sum_{m_1=m_2}^{[t]} \frac{1}{(m_1 + m_2)^{\sigma_1+it}} \frac{1}{m_2^{\sigma_2-it}} \frac{1}{m_1^{\sigma_3}},$$

or

$$S_1 = \sum_{m_2=1}^{[t]} \sum_{m_1=m_2}^{[t]} \frac{1}{(m_1 + m_2)^{\sigma_1+it}} \frac{1}{m_2^{\sigma_2+1-it}} \frac{m_2}{m_1^{\sigma_3}}. \tag{4.11}$$

Using partial summation and the fact that $((m_2)/(m_1^{\sigma_3})) \leq 1$, it follows that

$$S_1 = O(|\tilde{S}_1|), \quad t \rightarrow \infty, \tag{4.12}$$

where

$$\tilde{S}_1 = \sum_{m_2=1}^{[t]} \sum_{m_1=m_2}^{[t]} \frac{1}{(m_1 + m_2)^{\sigma_1+it}} \frac{1}{m_2^{\sigma_2+1-it}}. \tag{4.13}$$

Then, proceeding as with the sum S_A in (4.3), we obtain the estimate (4.2), that is,

$$S_1 = O\left(t^{(1/2)-\sigma_1} \ln t\right), \quad t \rightarrow \infty. \tag{4.14}$$

In order to estimate S_2 , we first note that

$$|S_2| \leq \sum_{m_1=1}^{[t]} \left| \sum_{m_2=m_1+1}^{[t]} \frac{1}{(m_1 + m_2)^{\sigma_1+it}} \frac{1}{m_2^{\sigma_2-it}} \right| \frac{1}{m_1^{\sigma_3}}. \tag{4.15}$$

Then, taking into consideration that $m_1 < m_2$, we can use the following ‘crude’ estimate for the m_2 sum:

$$\left| \sum_{m_2=m_1+1}^{[t]} \frac{1}{(m_1 + m_2)^{\sigma_1+it}} \frac{1}{m_2^{\sigma_2-it}} \right| \leq \int_{m_1+1}^t \frac{1}{(m_1 + x)^{\sigma_1}} \frac{1}{x^{\sigma_2}} dx := J(m_1, t). \tag{4.16}$$

But,

$$m_1 < x, \quad \text{or} \quad m_1 + x < 2x, \quad \text{or} \quad (m_1 + x)^{-\sigma_1} < (2x)^{-\sigma_1}.$$

Thus,

$$J(m_1, t) < \int_{m_1+1}^t 2^{-\sigma_1} x^{-\sigma_1-\sigma_2} dx = O\left(t^{1-\sigma_1-\sigma_2}\right) + O\left(m_1^{1-\sigma_1-\sigma_2}\right) = O\left(t^{1-\sigma_1-\sigma_2}\right), \quad t \rightarrow \infty,$$

since $\sigma_1 + \sigma_2 < 1$. Hence, equations (4.15) and (4.16) yield

$$|S_2| = O\left(t^{1-\sigma_1-\sigma_2} \int_1^t \frac{dx}{x^{\sigma_3}}\right) = O\left(t^{1-\sigma_1-\sigma_2}\right) \times \begin{cases} O(\ln t), & \sigma_3 = 1, \\ O(1), & \sigma_3 > 1, \end{cases} \quad t \rightarrow \infty. \tag{4.17}$$

□

REMARK 4.4. One can apply the estimate used in (4.17) to S_1 , and then the estimates (4.14) and (4.7) should be substituted by (4.17). Furthermore, for the special cases $\sigma_1 = \sigma - 1$, $\sigma_2 = \sigma$ and $\sigma_3 = 1$, with $\sigma \in (0, 1)$, the estimates (4.2) and (4.7) take the form

$$|S_A| = O\left(t^{(3/2)-\sigma} \ln t\right), \quad t \rightarrow \infty, \tag{4.18}$$

and

$$|S_B| = \begin{cases} O\left(t^{2-2\sigma} \ln t\right), & 0 < \sigma < \frac{1}{2}, \\ O\left(t^{(3/2)-\sigma} \ln t\right), & \frac{1}{2} \leq \sigma < 1, \end{cases} \quad t \rightarrow \infty, \tag{4.19}$$

respectively.

5. Double sums for ‘small’ sets of summation

The analysis presented in [2] requires estimating the following sum:

$$\sum_{(m_1, m_2) \in M} \sum_{m_1^s (m_1 + m_2)^{\bar{s}}} \frac{1}{m_1^s (m_1 + m_2)^{\bar{s}}}, \tag{5.1}$$

where M is defined by

$$M = \left\{ m_1 \in \mathbb{N}^+, m_2 \in \mathbb{N}^+, 1 \leq m_1 \leq [t], 1 \leq m_2 \leq [t], \frac{1}{t^{1-\delta_2} - 1} < \frac{m_2}{m_1} < t^{1-\delta_3} - 1, t > 0 \right\}, \tag{5.2}$$

with δ_2 and δ_3 positive constants.

The above sum can be related to the sum appearing in the first term of the lhs of (3.8) via the following identity:

$$\sum_{m_1=1}^{[t]} \sum_{m_2=1}^{[t]} \frac{1}{m_1^s (m_1 + m_2)^{\bar{s}}} = \sum_{(m_1, m_2) \in M} \frac{1}{m_1^s (m_1 + m_2)^{\bar{s}}} + S_1(\sigma, t, \delta_3) + S_2(\sigma, t, \delta_2), \tag{5.3}$$

with

$$S_1(\sigma, t, \delta_3) = \sum_{m_1=1}^{[(t/(t^{1-\delta_3})) - 1] - 1} \sum_{m_2=[(t^{1-\delta_3} - 1)m_1] + 1}^{[t]} \frac{1}{m_1^s (m_1 + m_2)^{\bar{s}}} \tag{5.4}$$

and

$$S_2(\sigma, t, \delta_2) = \sum_{m_1=[t^{1-\delta_2}]}^{[t]} \sum_{m_2=1}^{[(m_1/(t^{1-\delta_2})) - 1] - 1} \frac{1}{m_1^s (m_1 + m_2)^{\bar{s}}}. \tag{5.5}$$

Thus, estimating the sum (5.1) requires estimating the sum S_1 and S_2 . The relevant estimates are presented in theorems 5.1 and 5.4 below.

By making the change of variables $m_1 = m$ and $m_1 + m_2 = n$ in (5.4) we can rewrite S_1 in the form

$$S_1(\sigma, t, \delta_3) = \sum_{m=1}^{[(t/(t^{1-\delta_3})) - 1] - 1} \sum_{n=[t^{1-\delta_3}m] + 1}^{[t] + m} \frac{1}{m^s n^{\bar{s}}}. \tag{5.6}$$

Using the equation

$$\frac{t}{t^{1-\delta_3} - 1} = \frac{t}{t^{1-\delta_3} (1 - t^{\delta_3 - 1})} = t^{\delta_3} (1 + O(t^{\delta_3 - 1})), \quad 0 < \delta_3 < 1, \quad t \rightarrow \infty,$$

it follows that for $\delta_3 < 1/2$, the upper bound of the expression $[(t/(t^{1-\delta_3})) - 1] - 1$ is equal either to $[t^{\delta_3}]$ or to $[t^{\delta_3}] - 1$. Thus, it is sufficient to consider the

following form of S_1 :

$$S_1(\sigma, t, \delta_3) = \sum_{m=1}^{\lceil t^{\delta_3} \rceil} \sum_{n=\lceil t^{1-\delta_3} m \rceil+1}^{\lceil t \rceil+m} \frac{1}{m^s n^{\bar{s}}}. \tag{5.7}$$

Regarding the sum S_2 , by using the fact that

$$\frac{m_1}{t^{1-\delta_2} - 1} = \frac{m_1}{t^{1-\delta_2}} (1 + O(t^{\delta_2-1})) = \frac{m_1}{t^{1-\delta_2}} + O(t^{2\delta_2-1}), \quad 0 < \delta_2 < 1, \quad t \rightarrow \infty,$$

we conclude that $[\lceil (m_1)/(t^{1-\delta_2}) \rceil - 1] - 1$ is equal either to $[\lceil (m_1)/(t^{1-\delta_2}) \rceil] - 1$ or to $[\lceil (m_1)/(t^{1-\delta_2}) \rceil]$, for $\delta_2 < 1/2$.

Thus, it is sufficient to consider the following form of S_2 :

$$S_2(\sigma, t, \delta_2) = \sum_{m_1=\lceil t^{1-\delta_2} \rceil}^{\lceil t \rceil} \sum_{m_2=1}^{\lceil (m_1)/(t^{1-\delta_2}) \rceil} \frac{1}{m_1^s (m_1 + m_2)^{\bar{s}}}. \tag{5.8}$$

THEOREM 5.1. Define the double sum S_1 by

$$S_1(\sigma, t, \delta) = \sum_{m=1}^{\lceil t^\delta \rceil} \sum_{n=\lceil t^{1-\delta} m \rceil+1}^{\lceil t \rceil+m} \frac{1}{m^s n^{\bar{s}}}, \quad 0 < \delta < 1, \quad s = \sigma + it, \quad 0 < \sigma < 1, \quad t > 0. \tag{5.9}$$

Then,

$$S_1(\sigma, t, \delta) = O\left(t^{(1/2)-\sigma} \tilde{G}(\sigma, t, \delta)\right) + O\left(\frac{t^{(1-\sigma)\delta}}{t^\sigma}\right), \quad 0 < \sigma < 1, \quad t \rightarrow \infty, \tag{5.10}$$

where

$$\tilde{G}(\sigma, t, \delta) = O\left(t^{(1-\sigma)\delta}\right) + O\left(t^{\sigma\delta}\right), \quad 0 < \sigma < 1, \quad \sigma \neq \frac{1}{2}, \quad t \rightarrow \infty, \tag{5.11}$$

and

$$\tilde{G}\left(\frac{1}{2}, t, \delta\right) = O\left(t^{\frac{\delta}{2}} \ln t\right), \quad t \rightarrow \infty. \tag{5.12}$$

Proof. It is convenient to split the S_1 sum in terms of the following two sums:

$$S_A(\sigma, t, \delta) = \sum_{m=1}^{\lceil t^\delta \rceil} \sum_{n=\lceil t^{1-\delta} m \rceil+1}^{\lceil t \rceil} \frac{1}{m^s n^{\bar{s}}}, \quad 0 < \sigma < 1, \quad t > 0, \tag{5.13}$$

and

$$S_B(\sigma, t, \delta) = \sum_{m=1}^{\lceil t^\delta \rceil} \sum_{n=\lceil t \rceil+1}^{\lceil t \rceil+m} \frac{1}{m^s n^{\bar{s}}}, \quad 0 < \sigma < 1, \quad t > 0. \tag{5.14}$$

Thus, computing S_1 reduces to computing S_A and S_B :

$$S_1(\sigma, t, \delta) = S_A(\sigma, t, \delta) + S_B(\sigma, t, \delta). \tag{5.15}$$

We first analyse S_B . In order to estimate the n -sum of S_B we employ the identity (2.4) with $\eta = 2\pi(t + m)$, equivalently $[\eta/2\pi] = [t] + m$:

$$\sum_{n=[t]+1}^{[t]+m} \frac{1}{n^{\bar{s}}} = \frac{1}{1 - \bar{s}} (t + m)^{1 - \bar{s}} + O(t^{-\sigma}), \quad 0 < \sigma < 1, \quad t \rightarrow \infty. \tag{5.16}$$

We note that

$$\begin{aligned} \frac{1}{1 - \bar{s}} \frac{(t + m)^{1 - \bar{s}}}{m^s} &= \frac{1}{1 - \sigma + it} \frac{(t + m)^{1 - \sigma}}{m^\sigma} \frac{(t + m)^{it}}{m^{it}} \\ &= -\frac{i}{1 - ((i(1 - \sigma))/t)} t^{-\bar{s}} \left(1 + \frac{m}{t}\right)^{1 - \sigma} \frac{1}{m^\sigma} \left(\frac{1}{t} + \frac{1}{m}\right)^{it}. \end{aligned}$$

Using this expression in (5.16) and then substituting the resulting sum in (5.14) we find

$$\begin{aligned} S_B(\sigma, t, \delta) &= O(t^{-\sigma}) \sum_{m=1}^{[t^\delta]} \frac{1}{m^s} + O(t^{-\sigma}) \sum_{m=1}^{[t^\delta]} \left\{ \left(1 + \frac{m}{t}\right)^{1 - \sigma} \frac{1}{m^\sigma} \left(\frac{1}{t} + \frac{1}{m}\right)^{it} \right\}, \\ &0 < \sigma < 1, \quad t \rightarrow \infty. \end{aligned} \tag{5.17}$$

Using the fact that the function

$$\left(1 + \frac{m}{t}\right)^{1 - \sigma}, \quad 1 \leq m \leq t^\delta, \quad 0 \leq \sigma \leq 1, \quad t > 0,$$

is bounded, and employing the classical result on partial summation of single sums, see for example 5.2.1 of [8], it is possible to associate the second sum appearing in (5.17) with

$$\tilde{S}_B(\sigma, t, \delta) = \sum_{m=1}^{[t^\delta]} \frac{1}{m^\sigma} e^{if(m)}, \tag{5.18}$$

where $f(m)$ is defined in the first case of (2.2). Furthermore, recalling that \tilde{S}_B can be estimated using (2.15), we obtain

$$\tilde{S}_B(\sigma, t, \delta) = O\left(t^{(1 - \sigma)\delta}\right), \quad t \rightarrow \infty, \tag{5.19}$$

hence, it follows that

$$\left| \sum_{m=1}^{[t^\delta]} \left(1 + \frac{m}{t}\right)^{1 - \sigma} \frac{1}{m^\sigma} \left(\frac{1}{t} + \frac{1}{m}\right)^{it} \right| = O\left(t^{(1 - \sigma)\delta}\right), \quad t \rightarrow \infty.$$

The first sum in (5.17) satisfies an identical estimate with the above, and then equation (5.17) implies

$$S_B(\sigma, t, \delta) = O\left(t^{-\sigma+(1-\sigma)\delta}\right), \quad t \rightarrow \infty. \tag{5.20}$$

We next analyse S_A . For the evaluation of the n -sum in the double sum S_A defined in (5.13) we will employ the asymptotic formula (2.5) with $(t/\eta_1) = t$, that is, $\eta_1 = 1$, and

$$\frac{t}{\eta_2} + 1 = t^{1-\delta}m + 1, \quad \text{that is,} \quad \eta_2 = \frac{t^\delta}{m}.$$

If $m = 1$ then $\eta_2 = t^\delta$, and if $m = t^\delta$ then $\eta_2 = 1$. Thus, the inequalities in (2.5) are satisfied and hence equation (2.5) yields

$$\begin{aligned} \sum_{n=[t^{1-\delta}m]+1}^{[t]} \frac{1}{n^{\bar{s}}} &= \chi(\bar{s}) \sum_{n=1}^{[(t^\delta)/(2\pi m)]} \frac{1}{n^{1-\bar{s}}} + \bar{E}\left(\sigma, t, \frac{t^\delta}{m}\right) \\ &- \bar{E}(\sigma, t, 1), \quad 0 < \sigma < 1, \quad t \rightarrow \infty. \end{aligned} \tag{5.21}$$

Inserting (5.21) into the definition (5.13) of S_A we find

$$\begin{aligned} S_A(\sigma, t, \delta) &= \chi(\bar{s}) \sum_{m=1}^{[t^\delta]} \sum_{n=1}^{[(t^\delta)/(2\pi m)]} \frac{1}{m^s} \frac{1}{n^{1-\bar{s}}} \\ &+ \chi(\bar{s}) \sum_{m=1}^{[t^\delta]} \left[\bar{E}\left(\sigma, t, \frac{t^\delta}{m}\right) - \bar{E}(\sigma, t, 1) \right], \quad 0 < \sigma < 1, \quad t \rightarrow \infty. \end{aligned} \tag{5.22}$$

The occurrence of the term t^δ in the above sums implies that these sums can be easily estimated:

$$\begin{aligned} \left| \sum_{m=1}^{[t^\delta]} \sum_{n=1}^{[(t^\delta)/(2\pi m)]} \frac{1}{m^s} \frac{1}{n^{1-\bar{s}}} \right| &\leq \int_1^{t^\delta} dx x^{-\sigma} \int_1^{((t^\delta)/(2\pi x))} dy y^{\sigma-1} = \tilde{G}(\sigma, t, \delta), \\ 0 < \sigma < 1, \quad t \rightarrow \infty, \end{aligned} \tag{5.23}$$

where

$$\tilde{G}(\sigma, t, \delta) = O\left(t^{(1-\sigma)\delta}\right) + O\left(t^{\sigma\delta}\right), \quad 0 < \sigma < 1, \quad \sigma \neq \frac{1}{2}, \quad t \rightarrow \infty,$$

and

$$\tilde{G}\left(\frac{1}{2}, t, \delta\right) = O\left(t^{\delta/2} \ln t\right), \quad t \rightarrow \infty.$$

Recalling the asymptotic formula

$$\chi(s) = \left(\frac{2\pi}{t}\right)^{s-(1/2)} e^{it} e^{i\pi/4} \left(1 + O\left(\frac{1}{t}\right)\right), \quad 0 < \sigma < 1, \quad t \rightarrow \infty, \tag{5.24}$$

which is derived in the Appendix A of [3], it follows that

$$S_A = O\left(t^{(1/2)-\sigma}\right) \tilde{G}(\sigma, t, \delta), \quad t \rightarrow \infty. \tag{5.25}$$

Equations (5.15), (5.20) and (5.25) imply (5.10). □

For the estimation of S_A , which gives the dominant contribution of S_1 , one can also use an alternative approach, which is based on classical techniques appearing in [7, 8], and obtain slightly weaker, but essentially similar results. In this connection, we obtain the following Lemma:

LEMMA 5.2. *Let S_A be defined by (5.13). Then*

$$S_A = O\left(t^{(1/2)-\sigma} \ln t\right) \tilde{G}(\sigma, t, \delta), \quad 0 < \sigma < 1, \quad t \rightarrow \infty. \tag{5.26}$$

Proof. Observing that m takes relatively ‘small’ values in the set of summation of S_A , we use the following inequality without losing crucial information

$$|S_A| < \sum_{m=1}^{\lfloor t^\delta \rfloor} \frac{1}{m^\sigma} \left| \sum_{n=\lfloor t^{1-\delta} m \rfloor + 1}^{\lfloor t \rfloor} \frac{1}{n^{\bar{s}}} \right|.$$

Then, we estimate the n -sum using theorem 5.9 of [8], namely

$$\sum_{a < n \leq b \leq 2a} n^{it} = O\left(t^{1/2}\right) + O\left(at^{-(1/2)}\right).$$

Using partial summation and the fact that $a > mt^{1-\delta}$, similarly to the proof of theorem 5.12 of [8], we obtain that

$$\sum_{n=\lfloor t^{1-\delta} m \rfloor + 1}^{\lfloor t \rfloor} \frac{1}{n^{\bar{s}}} = O\left(t^{1/2} t^{-(1-\delta)\sigma} m^{-\sigma} \ln t\right), \quad t \rightarrow \infty.$$

Thus,

$$S_A = \sum_{m=1}^{\lfloor t^\delta \rfloor} \frac{1}{m^{2\sigma}} O\left(t^{(1/2)-\sigma} t^{\delta\sigma} \ln t\right), \quad t \rightarrow \infty. \tag{5.27}$$

Applying in (5.27) the fact that

$$\sum_{m=1}^{\lfloor t^\delta \rfloor} \frac{1}{m^{2\sigma}} = \begin{cases} O\left(t^{(1-2\sigma)\delta}\right), & 0 < \sigma < \frac{1}{2}, \\ O(\ln t), & \sigma = \frac{1}{2}, \\ O(1), & \frac{1}{2} < \sigma < 1, \end{cases}$$

yields (5.26). □

REMARK 5.3. The estimates of S_A given in (5.25) and (5.26) differ only by a $\ln t$ term. The approach in the proof of lemma 5.2 implies that for $0 < \delta < 1/3$, the estimate of S_A is essentially the best which one should expect via the classical techniques presented in [6–8]. In particular, for $\sigma = 1/2$, these techniques together with theorem 5.14 of [8], suggest the estimate

$$S_A\left(\frac{1}{2}, t, \delta\right) = \begin{cases} O\left(t^{\delta/2}(\ln t)^2\right), & 0 < \delta < \frac{1}{3}, \\ O\left(t^{1/6}(\ln t)^2\right), & \frac{1}{3} \leq \delta < 1, \end{cases} \quad t \rightarrow \infty.$$

Theorem 1 of [7] together with the theorem 2.16 of [6], does not appear to give an essential improvement of the above estimate.

THEOREM 5.4. Define the double sum $S_2(\sigma, t, \delta)$ by

$$S_2(\sigma, t, \delta) = \sum_{m_1=\lceil t^{1-\delta} \rceil}^{\lfloor t \rfloor} \sum_{m_2=1}^{\lfloor (m_1)/(t^{1-\delta}) \rfloor} \frac{1}{m_1^s(m_1 + m_2)^s}, \quad 0 < \delta < 1, \quad s = \sigma + it, \\ 0 < \sigma < 1, \quad t > 0. \tag{5.28}$$

Then,

$$S_2(\sigma, t, \delta) = O\left(t^{1-2\sigma+(2\sigma+1)\delta}\right), \quad 0 < \sigma < 1, \quad t \rightarrow \infty. \tag{5.29}$$

Proof. We find more convenient to treat this sum using some of the ‘crude’ methods, involving the integration, in order to benefit from the smallness of the set of summation. Indeed, we observe that

$$|S_2| \leq \int_{t^{1-\delta}}^t \int_1^{\lfloor (x)/(t^{1-\delta}) \rfloor} \frac{1}{x^\sigma(x + y)^\sigma} dy dx := J_2(t). \tag{5.30}$$

Using the fact that $t > x > t^{1-\delta}$, as well as that $x + y > t^{1-\delta}$, then

$$J_2(t) < \frac{1}{t^{2\sigma(1-\delta)}} \int_{t^{1-\delta}}^t \int_1^{\lfloor (x)/(t^{1-\delta}) \rfloor} dy dx < \frac{1}{t^{2\sigma(1-\delta)}} \int_{t^{1-\delta}}^t \int_1^{t^\delta} dy dx \\ = \frac{1}{t^{2\sigma(1-\delta)}} (t - t^{1-\delta})(t^\delta - 1) = O\left(t^{1-2\sigma+(2\sigma+1)\delta}\right).$$

□

REMARK 5.5. Using the techniques developed in [7] and [6] as are appropriately modified in Appendix B, we obtain a slightly better estimate

$$S_2(\sigma, t, \delta) = O\left(t^{1-2\sigma}t^{2\delta\sigma}(\ln t)^3\right), \quad 0 < \sigma < 1, \quad t \rightarrow \infty. \tag{5.31}$$

The fact that this result does not provide a significant improvement to (5.29) is due to the fact that in the latter approach we have exploited the smallness of the set of summation via the integration process.

It is possible to improve further the estimate (5.29), by obtaining a more accurate estimate of the integral $J_2(t)$. Indeed, applying the following Lemma to (5.30), we obtain

$$S_2 = O(t^{1-2\sigma+\delta}), \quad 0 < \sigma < 1, \quad t \rightarrow \infty. \tag{5.32}$$

LEMMA 5.6. *Let $J_2(t)$ be defined by (5.30). Then,*

$$J_2(t) = \frac{t^{1-2\sigma+\delta}}{2(1-\sigma)} \left(1 + O\left(t^{-2\delta(1-\sigma)}, t^{-\delta}\right) \right), \quad 0 < \sigma < 1, \quad t \rightarrow \infty. \tag{5.33}$$

Proof.

$$\begin{aligned} J_2(t) &= \int_{t^{1-\delta}}^t \int_1^{((x/(t^{1-\delta})))} \frac{1}{x^\sigma(x+y)^\sigma} dy dx \\ &= \int_{t^{1-\delta}}^t \frac{1}{x^\sigma} \left[x^{1-\sigma} \frac{(1+t^{\delta-1})^{1-\sigma}}{1-\sigma} - \frac{(x+1)^{1-\sigma}}{1-\sigma} \right] dx \\ &= \frac{1}{1-\sigma} \int_{t^{1-\delta}}^t x^{1-2\sigma} \left[(1+t^{\delta-1})^{1-\sigma} - \left(1+\frac{1}{x}\right)^{1-\sigma} \right] dx \\ &= \frac{1}{1-\sigma} \int_{t^{1-\delta}}^t x^{1-2\sigma} \\ &\quad \times \left[1 + (1-\sigma)t^{\delta-1} - 1 - \frac{1-\sigma}{x} + O\left(t^{2(\delta-1)}, \frac{1}{x^2}\right) \right] dx, \quad t \rightarrow \infty. \end{aligned}$$

Using the fact that $x > t^{1-\delta}$, the above integral takes the form

$$J_2(t) = t^{\delta-1} \int_{t^{1-\delta}}^t x^{1-2\sigma} dx - \int_{t^{1-\delta}}^t x^{-2\sigma} dx + \int_{t^{1-\delta}}^t x^{1-2\sigma} dx O\left(t^{2(\delta-1)}\right), \quad t \rightarrow \infty,$$

which yields (5.33). □

THEOREM 5.7. *Let $\delta \in (0, 1/2)$ and the double sum $S_2(\sigma, t, \delta)$ be defined by (5.28). Then,*

$$S_2(\sigma, t, \delta) = \begin{cases} O(t^{1-2\sigma}) + O(t^{\delta-2\sigma}), & 0 < \sigma < \frac{1}{2}, \\ O(\ln t) + O(t^{\delta-1}), & \sigma = \frac{1}{2}, \end{cases} \quad t \rightarrow \infty. \tag{5.34}$$

Proof. Letting $m_1 = m$ and $m_1 + m_2 = n$ in the definition (5.28) of S_2 we find

$$S_2(\sigma, t, \delta) = \sum_{m=\lceil t^{1-\delta} \rceil}^{\lfloor t \rfloor} \sum_{n=1+m}^{\lfloor m(1+t^{\delta-1}) \rfloor} \frac{1}{m^\sigma n^\sigma}. \tag{5.35}$$

It is convenient to split the S_2 sum in terms of the following two sums:

$$S_A(\sigma, t, \delta) = \sum_{m=\lceil t^{1-\delta} \rceil}^{\lfloor t \rfloor} \sum_{n=1+m}^{P(t)} \frac{1}{m^\sigma n^\sigma}, \quad 0 < \sigma < 1, \quad t > 0, \tag{5.36}$$

and

$$S_B(\sigma, t, \delta) = \sum_{m=[t^{1-\delta}]}^{[t]} \sum_{n=[t]+1}^{[m(1+t^{\delta-1})]} \frac{1}{m^s n^{\bar{s}}}, \quad 0 < \sigma < 1, \quad t > 0, \tag{5.37}$$

where $P(t) = \min\{[t], [m(1 + t^{\delta-1})]\}$.

Hence

$$S_2(\sigma, t, \delta) = S_A(\sigma, t, \delta) + S_B(\sigma, t, \delta), \quad 0 < \sigma < 1, \quad t > 0. \tag{5.38}$$

We first analyse S_B . In this connection we define the function $l(t)$ by

$$l(t) = [t - t^\delta] + 1, \quad t > 0. \tag{5.39}$$

We observe that the upper limit of the n -sum of S_B is greater or equal to $[t] + 1$ only if $m \geq l(t)$. Thus, we rewrite S_B in the form

$$S_B(\sigma, t, \delta) = \sum_{m=l(t)}^{[t]} \sum_{n=[t]+1}^{[m(1+t^{\delta-1})]} \frac{1}{m^s n^{\bar{s}}}, \quad 0 < \sigma < 1, \quad t > 0. \tag{5.40}$$

In order to estimate the n -sum of S_B we employ the identity (2.4) with $\eta = 2\pi m(1 + t^{\delta-1})$:

$$\sum_{n=[t]+1}^{[m(1+t^{\delta-1})]} \frac{1}{n^{\bar{s}}} = \frac{1}{1 - \bar{s}} (1 + t^{\delta-1})^{1-\bar{s}} m^{1-\bar{s}} + O(t^{-\sigma}), \quad 0 < \sigma < 1, \quad t \rightarrow \infty. \tag{5.41}$$

$$S_B(\sigma, t, \delta) = -\frac{i}{t} \sum_{m=l(t)}^{[t]} \frac{m^{1-2\sigma}}{1 - ((i(1 - \sigma))/t)} (1 + t^{\delta-1})^{1-\bar{s}} + O(t^{-\sigma}) \sum_{m=l(t)}^{[t]} m^{-s}, \quad 0 < \sigma < 1, \quad t \rightarrow \infty. \tag{5.42}$$

Proceeding as with the evaluation of S_B in theorem 5.1 we find that

$$\left| \sum_{m=l(t)}^{[t]} m^{-s} \right| \leq \frac{1}{1 - \sigma} [t^{1-\sigma} - (t - t^\delta + 1)^{1-\sigma}] = O\left(\frac{t^\delta}{t^\sigma}\right), \quad 0 < \sigma < 1, \quad t \rightarrow \infty.$$

Similarly, the first sum of (5.42) is of order $t^\delta/t^{2\sigma}$. Thus,

$$S_B(\sigma, t, \delta) = O\left(\frac{t^\delta}{t^{2\sigma}}\right), \quad 0 < \sigma < 1, \quad t \rightarrow \infty. \tag{5.43}$$

We next consider S_A . Our approach is based on the application of the asymptotic formula (2.5) in the inner sum of S_A .

Indeed, for the case that $P(t) = [m(1 + t^{\delta-1})]$, by applying (2.5) in the inner sum of S_A with $t/\eta_2 = m$ and $t/\eta_1 = m(1 + t^{\delta-1})$, we obtain

$$\eta_2 = \frac{t}{m} \quad \text{and} \quad \eta_1 = \frac{t}{m(1 + t^{\delta-1})} = \frac{t}{m} - \frac{t^\delta}{m}.$$

Observing that $t^\delta/m \leq ((t^\delta)/(t^{1-\delta})) = t^{2\delta-1} = o(1)$, and using that $\text{dist}((\eta_j/2\pi), \mathbb{Z}) > \epsilon$, $j = 1, 2$, we obtain that $[\eta_1/2\pi] = [\eta_2/2\pi]$.

Similarly, for the case $P(t) = [t]$ we obtain $[\eta_1/2\pi] = [\eta_2/2\pi] = 0$.

Thus, for the inner sum of S_A the set of the summation of the rhs of (2.5) is empty. Furthermore, the definition of $E(\sigma, t, \eta)$ given in (2.6a) implies that

$$E(\sigma, t, \eta_j) = O\left(\left(\frac{\eta_j}{t}\right)^\sigma\right) = O\left(\frac{1}{m^\sigma}\right), \quad j = 1, 2.$$

Thus,

$$S_A(\sigma, t, \delta) = O\left(\sum_{m=[t^{1-\delta}]}^{[t]} \frac{1}{m^{2\sigma}}\right), \tag{5.44}$$

which along with (5.43) yields the estimate (5.34). □

REMARK 5.8. For the particular case $\sigma = 1/2$ the estimates of S_2 given by (5.29), (5.31) and (5.32), take the form $O(t^{(3/2)\delta})$, $O(t^\delta(\ln t)^3)$ and $O(t^\delta)$, respectively, which for $\delta > 0$ arbitrarily small, are essentially the same.

We note that even the extensive use of the techniques appearing in Appendix B does not appear to provide an estimate better than $O(t^\delta)$, which is essentially the same with the estimate obtained via the ‘rough’ techniques of lemma 5.6, for $\delta \in (0, 1)$.

The result of theorem 5.7 yields the estimate $O(\ln t)$, for $\delta \in (0, 1/2)$, which provides a significant improvement of the classical techniques on the estimate of S_2 , when δ is not arbitrarily small. In [2], the sum S_2 is estimated via a completely different approach, and this yields the estimate $O(t^{\delta-(1/2)} \ln t) + O(1)$, for $\delta \in (0, 1)$.

Appendix A

(Proof of (4.5))

Let $\chi(s)$ be defined by (2.6e), then it is shown in [3] that

$$\chi(s) = \left(\frac{2\pi}{t}\right)^{s-(1/2)} e^{it} e^{i(\pi/4)} \left[1 + O\left(\frac{1}{t}\right)\right], \quad s = \sigma + it, \quad \sigma \in \mathbb{R}, \quad t \rightarrow \infty. \tag{A.1}$$

Employing the well-known identity

$$\zeta(s) = \chi(s)\zeta(1-s), \quad s \in \mathbb{C}, \tag{A.2}$$

with $s = \sigma - 1 + it$, we find

$$\zeta(\sigma - 1 + it) = \chi(\sigma - 1 + it)\zeta(2 - \sigma - it). \tag{A.3}$$

Suppose that $0 < \sigma < 1$. Using the fact that $\zeta(2 - \sigma - it)$ is bounded as $t \rightarrow \infty$, as well as the asymptotic estimate (A.1), equation (A.3) implies that

$$\zeta(\sigma - 1 + it) = O\left(t^{(3/2)-\sigma}\right), \quad 0 < \sigma < 1, \quad t \rightarrow \infty. \tag{A.4}$$

Applying equation (3.1) of theorem 3.1 in [3], for $\eta = 2\pi t$ we derive the following result:

$$\begin{aligned} \zeta(s) &= \sum_{n=1}^{[t]} n^{-s} - \frac{1}{1-s} t^{1-s} \\ &+ \frac{e^{-((i\pi(1-s))/2)}}{(2\pi)^{1-s}} \sum_{n=1}^{\infty} \sum_{j=0}^{N-1} e^{-nz-it \ln z} \left(\frac{1}{n+(it/z)} \frac{d}{dz}\right)^j \frac{z^{-\sigma}}{n+(it/z)} \Big|_{z=i2\pi t} \\ &+ \frac{e^{((i\pi(1-s))/2)}}{(2\pi)^{1-s}} \sum_{n=2}^{\infty} \sum_{j=0}^{N-1} e^{-nz-it \ln z} \left(\frac{1}{n+(it/z)} \frac{d}{dz}\right)^j \frac{z^{-\sigma}}{n+(it/z)} \Big|_{z=-i2\pi t} \\ &+ O\left((2N+1)!! N 2^{2N} t^{-\sigma-N}\right), \quad 0 \leq \sigma \leq 1, \quad N \geq 2, \quad t \rightarrow \infty, \end{aligned} \tag{A.5}$$

where the error term is uniform for all σ, N in the above ranges and the coefficients $c_k(\sigma)$ are given therein. This equation is derived in [3] under the assumption that $0 < \sigma < 1$. However, it is straightforward to verify that it is also valid for $-1 < \sigma < 0$. Equations (A.5) and (A.4) imply that

$$\sum_{m=1}^{[t]} \frac{1}{m^{\sigma-1+it}} = O\left(t^{(3/2)-\sigma}\right), \quad 0 < \sigma < 1, \quad t \rightarrow \infty. \tag{A.6}$$

Appendix B

(Proof of (5.31))

Letting $m_1 = m$ and $m_1 + m_2 = n$ in the definition (5.28) of S_2 we find

$$S_2(\sigma, t, \delta) = S_A(\sigma, t, \delta) + S_B(\sigma, t, \delta), \quad 0 < \sigma < 1, \quad t > 0, \tag{B.1}$$

with S_A and S_B defined in (5.36) and (5.37), respectively.

The analysis in theorem 5.7 yields

$$S_B(\sigma, t, \delta) = O\left(\frac{t^\delta}{t^{2\sigma}}\right), \quad 0 < \sigma < 1, \quad t \rightarrow \infty. \tag{B.2}$$

We next consider S_A . The derivation of this estimate consists of two parts:

I. The first part involves the proof

$$\sum_{m=[t^{1-\delta}]}^{[t]} \sum_{n=m+1}^{[t]} \frac{1}{m^{it} n^{-it}} = O\left(t(\ln t)^3\right), \quad t \rightarrow \infty. \tag{B.3}$$

II. The second part involves the partial summation technique for double sums.

For the first part we first prove that

$$\sum_{m=M}^{M'} \sum_{n=N}^{N'} \frac{1}{m^{it}} \frac{1}{n^{-it}} = O(t \ln t), \tag{B.4}$$

with $n > m$, and $\begin{cases} A_1\sqrt{t} < M < M' < 2M < A_3t, \\ A_2\sqrt{t} < N < N' < 2N < A_4t, \end{cases}$ for some positive constants $\{A_j\}_1^4$.

In this connection, we divide the set of summation similarly to the division implemented in theorem 1 of [7], namely, in ‘small’ rectangles $\Delta_{p,q}$, such that

$$\begin{cases} M + p l_1 \leq m \leq M + p l_1 + l_1, \\ N + q l_2 \leq n \leq N + q l_2 + l_2. \end{cases}$$

Moreover, we pick

$$l_1 = c_1 \frac{M^2}{t}, \quad l_2 = c_2 \frac{N^2}{t}, \tag{B.5}$$

for some positive constants c_1 and c_2 .

We make the following observations:

- $\begin{cases} 1 \leq l_1 \leq M \Leftrightarrow A_1\sqrt{t} < M < A_3t, \\ 1 \leq l_2 \leq N \Leftrightarrow A_2\sqrt{t} < N < A_4t, \end{cases}$ for some positive constants $\{A_j\}_1^4$.
- The number of the ‘small’ rectangles $\Delta_{p,q}$ is $O(MN / l_1 l_2)$.

We use theorem 2.16 of [6] with

$$f(x, y) = t(\ln x - \ln y).$$

Then, in each rectangle $\Delta_{p,q}$, with $n > m$ (equivalently $x > y$), the conditions of this theorem are satisfied with $\lambda_1 = t/M^2$ and $\lambda_2 = t/N^2$, because

$$|f_{xx}| = \frac{t}{x^2}, \quad |f_{yy}| = \frac{t}{y^2} \quad \text{and} \quad |f_{xy}| = 0.$$

Using the following facts:

- the conditions $M > A_1\sqrt{t}$ and $N > A_2\sqrt{t}$, imply that $\lambda_1 < (1/(A_1^2))$ and $\lambda_2 < (1/(A_2^2))$,
- all the quantities $\ln |\Delta_{p,q}|$, $|\ln \lambda_1|$ and $|\ln \lambda_2|$ are of order $O(\ln t)$,

and employing equation (2.56) of [6], we find

$$\sum_{(m,n) \in \Delta_{p,q}} e^{if(m,n)} = O\left(\frac{\ln t}{t/MN}\right). \tag{B.6}$$

Thus, the fact that the number of the rectangles $\Delta_{p,q}$ is $O((MN)/(l_1 l_2))$, implies that

$$\sum_{m=M}^{M'} \sum_{n=N}^{N'} e^{if(m,n)} = O\left(\frac{MN}{t} \ln t \frac{MN}{l_1 l_2}\right). \tag{B.7}$$

Equation (B.4) follows from applying (B.5) in (B.7).

Finally, using the classical splitting for the sets of summation for exponential sums, see [8] and [7], equation (B.3) follows from applying (B.4) for $O((\ln t^\delta)^2) = O((\delta \ln t)^2) = O((\ln t)^2)$ times.

Considering the second part, under the condition that the expressions

$$b_{m,n} - b_{m+1,n}, \quad b_{m,n} - b_{m,n+1}, \quad b_{m,n} - b_{m+1,n} - b_{m,n+1} + b_{m+1,n+1}, \tag{B.8}$$

keep their sign, the following result is derived in [7]:

$$\left| \sum_{m=1}^M \sum_{n=1}^N a_{m,n} b_{m,n} \right| \leq 5GH, \tag{B.9}$$

where

$$S_{m,n} \doteq \sum_{\mu=1}^m \sum_{\nu=1}^n a_{\mu,\nu}, \quad |S_{m,n}| \leq G, \quad 1 \leq m \leq M, \quad 1 \leq n \leq N, \tag{B.10}$$

with

$$b_{m,n} \in \mathbb{R}, \quad 0 \leq b_{m,n} \leq H. \tag{B.11}$$

We apply the above argument for $b_{m,n} = (1/(m^\sigma n^\sigma))$, thus the expressions in (B.8) keep their sign, and furthermore

$$H = \frac{1}{t^{(1-\delta)\sigma}} \frac{1}{t^{(1-\delta)\sigma}} = t^{-2\sigma} t^{2\delta\sigma}.$$

Combining the above result with (B.3) yields

$$S_A(\sigma, t, \delta) = O\left(t^{1-2\sigma} t^{2\delta\sigma} (\ln t)^3\right), \quad 0 < \sigma < 1, \quad t \rightarrow \infty. \tag{B.12}$$

Equations (B.1), (B.2), (B.12) imply (5.31).

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