

DERIVATION RELATION FOR FINITE MULTIPLE ZETA VALUES IN $\widehat{\mathcal{A}}$

HIDEKI MURAHARA  and TOMOKAZU ONOZUKA

(Received 8 September 2018; accepted 24 September 2019; first published online 8 January 2020)

Communicated by M. Coons

Abstract

Ihara *et al.* proved the derivation relation for multiple zeta values. The first-named author obtained its counterpart for finite multiple zeta values in \mathcal{A} . In this paper, we present its generalization in $\widehat{\mathcal{A}}$.

2010 Mathematics subject classification: primary 11M32.

Keywords and phrases: multiple zeta values, finite multiple zeta values, derivation relation.

1. Introduction

For $k_1, \dots, k_r \in \mathbb{Z}_{\geq 1}$ with $k_r \geq 2$, the multiple zeta values (MZVs) are defined by

$$\zeta(k_1, \dots, k_r) = \sum_{1 \leq n_1 < \dots < n_r} \frac{1}{n_1^{k_1} \cdots n_r^{k_r}}.$$

For $n \in \mathbb{Z}_{\geq 1}$, we define the \mathbb{Q} -algebra \mathcal{A}_n by

$$\mathcal{A}_n := \left(\prod_p \mathbb{Z}/p^n\mathbb{Z} \right) / \left(\bigoplus_p \mathbb{Z}/p^n\mathbb{Z} \right) = \{(a_p)_p \mid a_p \in \mathbb{Z}/p^n\mathbb{Z}\} / \sim,$$

where $(a_p)_p \sim (b_p)_p$ are identified if and only if $a_p = b_p$ for all but finitely many primes p . For $k_1, \dots, k_r \in \mathbb{Z}_{\geq 1}$ and $n \in \mathbb{Z}_{\geq 1}$, the finite multiple zeta values (FMZVs) in \mathcal{A}_n are defined by

$$\zeta_{\mathcal{A}_n}(k_1, \dots, k_r) := \left(\sum_{1 \leq n_1 < \dots < n_r \leq p-1} \frac{1}{n_1^{k_1} \cdots n_r^{k_r}} \text{ mod } p^n \right)_p \in \mathcal{A}_n.$$

Recently, Rosen [8] introduced the \mathbb{Q} -algebra $\widehat{\mathcal{A}}$. By natural projections $\mathcal{A}_n \rightarrow \mathcal{A}_{n-1}$, we define $\widehat{\mathcal{A}} := \lim_{\leftarrow n} \mathcal{A}_n$, where we put the discrete topology on each \mathcal{A}_n .

We also define the natural projections $\pi: \prod_p \mathbb{Z}_p \rightarrow \widehat{\mathcal{A}}$ and $\pi_n: \widehat{\mathcal{A}} \rightarrow \mathcal{A}_n$ for each n , where \mathbb{Z}_p is the ring of p -adic integers. Then FMZVs in $\widehat{\mathcal{A}}$ are given by

$$\zeta_{\widehat{\mathcal{A}}}(k_1, \dots, k_r) := \pi\left(\left(\sum_{1 \leq n_1 < \dots < n_r \leq p-1} \frac{1}{n_1^{k_1} \dots n_r^{k_r}}\right)_p\right) \in \widehat{\mathcal{A}}.$$

We can easily check that $\pi_n(\zeta_{\widehat{\mathcal{A}}}(\mathbf{k})) = \zeta_{\mathcal{A}_n}(\mathbf{k})$ for $\mathbf{k} \in \mathbb{Z}_{\geq 1}^r$. Furthermore, we define $\mathbf{p} := \pi((p)_p) \in \widehat{\mathcal{A}}$ and we also use the notation $\mathbf{p} := \pi_n \circ \pi((p)_p) \in \mathcal{A}_n$ (for details, see Rosen [8] and Seki [10]).

We recall Hoffman’s algebraic setup with a slightly different convention (see Hoffman [2]). Let $\mathfrak{H} := \mathbb{Q}\langle x, y \rangle$ be the noncommutative polynomial ring in two indeterminates x, y and \mathfrak{H}^1 (respectively \mathfrak{H}^0) its subring $\mathbb{Q} + y\mathfrak{H}$ (respectively $\mathbb{Q} + y\mathfrak{H}x$). Set $z_k := yx^{k-1}$ ($k \in \mathbb{Z}_{\geq 1}$). We define the \mathbb{Q} -linear map $Z: \mathfrak{H}^0 \rightarrow \mathbb{R}$ by $Z(1) := 1$, $Z(z_{k_1} \dots z_{k_r}) := \zeta(k_1, \dots, k_r)$.

A derivation ∂ on \mathfrak{H} is a \mathbb{Q} -linear map $\partial: \mathfrak{H} \rightarrow \mathfrak{H}$ satisfying Leibniz’s rule $\partial(wv) = \partial(w)v + w\partial(v)$. Such a derivation is uniquely determined by its images of generators x and y . Set $z := x + y$. For each $l \in \mathbb{Z}_{\geq 1}$, the derivation ∂_l on \mathfrak{H} is defined by $\partial_l(x) := yz^{l-1}x$ and $\partial_l(y) := -yz^{l-1}x$. We note that $\partial_l(1) = 0$ and $\partial_l(z) = 0$. In addition, R_x is the \mathbb{Q} -linear map given by $R_x(w) := wx$ for any $w \in \mathfrak{H}$.

THEOREM 1.1 (Derivation relation for MZVs; Ihara *et al.* [5, Theorem 3]). For $l \in \mathbb{Z}_{\geq 1}$ and $w \in \mathfrak{H}^0$,

$$Z(\partial_l(w)) = 0.$$

Similar to the definition of Z , we define two \mathbb{Q} -linear maps $Z_{\mathcal{A}_n}: \mathfrak{H}^1 \rightarrow \mathcal{A}_n$ and $Z_{\widehat{\mathcal{A}}}: \mathfrak{H}^1 \rightarrow \widehat{\mathcal{A}}$ by $Z_{\mathcal{A}_n}(1) = Z_{\widehat{\mathcal{A}}}(1) := 1$, $Z_{\mathcal{A}_n}(z_{k_1} \dots z_{k_r}) := \zeta_{\mathcal{A}_n}(k_1, \dots, k_r)$ and $Z_{\widehat{\mathcal{A}}}(z_{k_1} \dots z_{k_r}) := \zeta_{\widehat{\mathcal{A}}}(k_1, \dots, k_r)$. We write $\mathcal{A} := \mathcal{A}_1$. The derivation relation for FMZVs in \mathcal{A} was conjectured by Oyama and proved by the first-named author.

THEOREM 1.2 (Derivation relation for FMZVs in \mathcal{A} ; Murahara [7, Theorem 2.1]). For $l \in \mathbb{Z}_{\geq 1}$ and $w \in y\mathfrak{H}x$,

$$Z_{\mathcal{A}}(R_x^{-1}\partial_l(w)) = 0$$

in the ring \mathcal{A} .

In this paper, we prove a generalization of the above theorem in the ring $\widehat{\mathcal{A}}$. For nonnegative integers m and n , we define $\beta_{m,n}: \mathbb{Q}\langle\langle x, y \rangle\rangle[[u, v]] \rightarrow \mathfrak{H}$ by setting $\beta_{m,n}(w)$ to be the coefficients of $u^m v^n$ in w .

THEOREM 1.3 (Main theorem). For $m \in \mathbb{Z}_{\geq 0}$ and $w \in y\mathfrak{H}x$,

$$\sum_{n=0}^{\infty} Z_{\widehat{\mathcal{A}}}\left(\beta_{m,n} R_x^{-1} \Delta_u R_x \left(w - wyu \frac{1}{1+xu} \cdot \frac{xv}{1-xv}\right)\right) \mathbf{p}^n = Z_{\widehat{\mathcal{A}}}(w) Z_{\widehat{\mathcal{A}}}\left(\beta_{m,0} \left(\frac{1}{1-yu}\right)\right)$$

in the ring $\widehat{\mathcal{A}}$, where Δ_u is an automorphism on $\mathbb{Q}\langle\langle x, y \rangle\rangle[[u, v]]$ given by

$$\Delta_u := \exp\left(\sum_{l=1}^{\infty} \frac{\partial_l}{l} (-u)^l\right).$$

REMARK 1.4. Since $Z_{\mathcal{A}}(1, \dots, 1) = 0$ (see, for example, Hoffman [3, Equation (15)]), Theorem 1.3 is a generalization of the equality

$$Z_{\mathcal{A}}(\beta_{m,0}R_x^{-1}\Delta_uR_x(w)) = 0$$

for $m \in \mathbb{Z}_{\geq 0}$, which was obtained by Ihara (see Horikawa *et al.* [4, Section 5.3]). We note that this is equivalent to Theorem 1.2.

As a corollary of our main theorem, we have Hoffman’s relation (see Hoffman [1, Theorem 5.1] for the original formula) for FMZVs in $\widehat{\mathcal{A}}$.

COROLLARY 1.5. For $w \in y\mathfrak{S}$,

$$Z_{\widehat{\mathcal{A}}}(R_x^{-1}\partial_1(w.x)) = -\sum_{n=1}^{\infty} Z_{\widehat{\mathcal{A}}}(wyx^n)p^n - Z_{\widehat{\mathcal{A}}}(w)Z_{\widehat{\mathcal{A}}}(y)$$

in the ring $\widehat{\mathcal{A}}$.

2. Proof of the main theorem

2.1. Notation. The harmonic product $*$ and the shuffle product III on \mathfrak{S}^1 are defined by

$$\begin{aligned} 1 * w &= w * 1 := w, \\ z_k w_1 * z_l w_2 &:= z_k(w_1 * z_l w_2) + z_l(z_k w_1 * w_2) + z_{k+l}(w_1 * w_2), \\ 1 \text{ III } w &= w \text{ III } 1 := w, \\ u w_1 \text{ III } v w_2 &:= u(w_1 \text{ III } v w_2) + v(u w_1 \text{ III } w_2) \end{aligned}$$

($k, l \in \mathbb{Z}_{\geq 1}$, $u, v \in \{x, y\}$ and w, w_1, w_2 are words in \mathfrak{S}^1), together with \mathbb{Q} -bilinearity. The harmonic product $*$ and the shuffle product III are commutative and associative; therefore, \mathfrak{S}^1 is a \mathbb{Q} -commutative algebra with respect to $*$ and III , respectively (see Hoffman [2]).

2.2. Propositions and lemmas. In this subsection, we prepare some propositions which will be used later.

PROPOSITION 2.1. For $w_1, w_2 \in \mathfrak{S}^1$,

$$Z_{\widehat{\mathcal{A}}}(w_1 * w_2) = Z_{\widehat{\mathcal{A}}}(w_1)Z_{\widehat{\mathcal{A}}}(w_2)$$

in the ring $\widehat{\mathcal{A}}$.

PROOF. This is obtained by the definition of the harmonic product. □

PROPOSITION 2.2 (Jarossay [6], Seki [9, Theorem 6.4]). For $w_1, w_2 \in \mathfrak{S}^1$ with $w_2 = z_{k_1} \cdots z_{k_r}$,

$$Z_{\widehat{\mathcal{A}}}(w_1 \text{ III } w_2) = (-1)^{k_1 + \cdots + k_r} \sum_{l_1, \dots, l_r \in \mathbb{Z}_{\geq 0}} \left[\prod_{i=1}^r \binom{k_i + l_i - 1}{l_i} \right] Z_{\widehat{\mathcal{A}}}(w_1 z_{k_r + l_r} \cdots z_{k_1 + l_1}) p^{l_1 + \cdots + l_r}$$

in the ring $\widehat{\mathcal{A}}$.

PROPOSITION 2.3 (Ihara *et al.* [5, Corollary 3]). For $w \in \mathfrak{S}^1$,

$$\frac{1}{1-yu} * w = \frac{1}{1-yu} \text{III } \Delta_u(w).$$

2.3. Proof of Theorem 1.3. By Proposition 2.1,

$$Z_{\widehat{\mathcal{A}}}\left(\beta_{m,0}\left(\frac{1}{1-yu} * w\right)\right) = Z_{\widehat{\mathcal{A}}}\left(\beta_{m,0}\left(\frac{1}{1-yu}\right)\right)Z_{\widehat{\mathcal{A}}}(w). \tag{2-1}$$

On the other hand,

$$Z_{\widehat{\mathcal{A}}}(w \text{III } y^r) = \sum_{n=0}^{\infty} Z_{\widehat{\mathcal{A}}}\left(\beta_{0,n}\left(w\left(-y\frac{1}{1-xv}\right)^r\right)\right)\mathbf{p}^n$$

holds by Proposition 2.2. Then

$$\begin{aligned} Z_{\widehat{\mathcal{A}}}\left(\beta_{m,0}\left(\frac{1}{1-yu} \text{III } \Delta_u(w)\right)\right) &= Z_{\widehat{\mathcal{A}}}\left(\beta_{m,0}\left(\Delta_u(w) \text{III } \frac{1}{1-yu}\right)\right) \\ &= Z_{\widehat{\mathcal{A}}}\left(\beta_{m,0}\left(\Delta_u(w) \text{III } \sum_{i=0}^{\infty} y^i u^i\right)\right) \\ &= \sum_{n=0}^{\infty} Z_{\widehat{\mathcal{A}}}\left(\beta_{m,n}\left(\sum_{i=0}^{\infty} \Delta_u(w)\left(-yu\frac{1}{1-xv}\right)^i\right)\right)\mathbf{p}^n \\ &= \sum_{n=0}^{\infty} Z_{\widehat{\mathcal{A}}}\left(\beta_{m,n}\left(\Delta_u(w)\frac{1}{1+yu(1-xv)^{-1}}\right)\right)\mathbf{p}^n. \end{aligned}$$

From direct calculation,

$$\begin{aligned} &\{1+yu(1-xv)^{-1}\}^{-1} \\ &= \{(1-xv+yu)(1-xv)^{-1}\}^{-1} \\ &= (1-xv)(1-xv+yu)^{-1} \\ &= (1-xv)\{(1+yu)(1-(1+yu)^{-1}xv)\}^{-1} \\ &= (1-xv)(1-(1+yu)^{-1}xv)^{-1}(1+yu)^{-1} \\ &= (1-xv)\sum_{i=0}^{\infty}\left(\frac{1}{1+yu}xv\right)^i(1+yu)^{-1}. \end{aligned}$$

Since $\Delta_u(x) = (1+yu)^{-1}x$,

$$\begin{aligned} &\{1+yu(1-xv)^{-1}\}^{-1} \\ &= (1-xv)\sum_{i=0}^{\infty}(\Delta_u(x)v)^i(1+yu)^{-1} \\ &= (1+yu)^{-1} + \sum_{i=1}^{\infty}(\Delta_u(x)-x)(\Delta_u(x))^{i-1}v^i(1+yu)^{-1}. \end{aligned}$$

Since $x = \Delta_u(x + y(1 + xu)^{-1}xu)$,

$$\begin{aligned} & \{1 + yu(1 - xv)^{-1}\}^{-1} \\ &= (1 + yu)^{-1} - \sum_{i=1}^{\infty} \Delta_u\left(y \frac{xu}{1 + xu}\right) \Delta_u(x^{i-1}) v^i (1 + yu)^{-1} \\ &= R_x^{-1} \Delta_u R_x \left(1 - yu \frac{1}{1 + xu} \cdot \frac{xv}{1 - xv}\right). \end{aligned}$$

Hence,

$$\begin{aligned} & Z_{\mathcal{A}}\left(\beta_{m,0}\left(\frac{1}{1 - yu} \text{III } \Delta_u(w)\right)\right) \\ &= \sum_{n=0}^{\infty} Z_{\mathcal{A}}\left(\beta_{m,n} R_x^{-1} \Delta_u R_x \left(w - wyu \frac{1}{1 + xu} \cdot \frac{xv}{1 - xv}\right)\right) p^n. \end{aligned} \tag{2-2}$$

By (2-1), (2-2) and Proposition 2.3, we finally obtain the theorem.

2.4. Proof of Corollary 1.5. When $m = 1$ in Theorem 1.3,

$$\sum_{n=0}^{\infty} Z_{\mathcal{A}}\left(\beta_{1,n} R_x^{-1} \Delta_u R_x \left(w - wyu \frac{1}{1 + xu} \cdot \frac{xv}{1 - xv}\right)\right) p^n = Z_{\mathcal{A}}(w) Z_{\mathcal{A}}(y).$$

Since

$$\beta_{1,n} R_x^{-1} \Delta_u R_x \left(w - wyu \frac{1}{1 + xu} \cdot \frac{xv}{1 - xv}\right) = \begin{cases} -wyx^n & \text{if } n \geq 1, \\ -R_x^{-1} \partial_1 R_x(w) & \text{if } n = 0, \end{cases}$$

we get the result.

Acknowledgement

The authors would like to express their sincere gratitude to Dr. Minoru Hirose for valuable comments.

References

- [1] M. E. Hoffman, ‘Multiple harmonic series’, *Pacific J. Math.* **152** (1992), 275–290.
- [2] M. E. Hoffman, ‘The algebra of multiple harmonic series’, *J. Algebra* **194** (1997), 477–495.
- [3] M. E. Hoffman, ‘Quasi-symmetric functions and mod p multiple harmonic sums’, *Kyushu J. Math.* **69** (2015), 345–366.
- [4] Y. Horikawa, K. Oyama and H. Murahara, ‘A note on derivation relations for multiple zeta values and finite multiple zeta values’, Preprint, 2018, [arXiv:1809.08389](https://arxiv.org/abs/1809.08389).
- [5] K. Ihara, M. Kaneko and D. Zagier, ‘Derivation and double shuffle relations for multiple zeta values’, *Compos. Math.* **142** (2006), 307–338.
- [6] D. Jarossay, ‘An explicit theory of $\pi^{\text{un.crys}}(\mathbb{P}^1 - \{0, \mu_N, \infty\})$ ’, Preprint, 2014, [arXiv:1412.5099](https://arxiv.org/abs/1412.5099).
- [7] H. Murahara, ‘Derivation relations for finite multiple zeta values’, *Int. J. Number Theory* **13** (2017), 419–427.
- [8] J. Rosen, ‘Asymptotic relations for truncated multiple zeta values’, *J. Lond. Math. Soc. (2)* **91** (2015), 554–572.

- [9] S. Seki, 'Finite multiple polylogarithms', Doctoral Dissertation, Osaka University, 2017.
- [10] S. Seki, 'The p -adic duality for the finite star-multiple polylogarithms', *Tohoku Math. J. (2)* **71** (2019), 111–122.

HIDEKI MURAHARA, Nakamura Gakuen University Graduate School,
5-7-1, Befu, Jonan-ku, Fukuoka, 814-0198, Japan
e-mail: hmurahara@nakamura-u.ac.jp

TOMOKAZU ONOZUKA, Multiple Zeta Research Center,
Kyushu University, 744, Motoooka, Nishi-ku, Fukuoka,
819-0395, Japan
e-mail: t-onozuka@math.kyushu-u.ac.jp