## Review article

# Psychosocial interventions for bipolar disorder

Castle DJ, Berk L, Lauder S, Berk M, Murray G. Psychosocial interventions for bipolar disorder.

**Aim:** To provide a selected overview of the literature on psychosocial treatments for bipolar disorder

**Method:** Selective literature review

Results: Randomised controlled trials of psychosocial interventions in bipolar disorder fall largely into five categories, namely: psychoeducation, integrated treatments, family based therapy, cognitive behavioural therapy and interpersonal social rhythm therapy. Most studies have shown some benefit in terms of relapse prevention, but have tended to be effective for either the depressed or the manic pole, and not both. Broader outcome parameters such as quality of life have not been reported consistently. The mechanisms whereby treatments might exert their effects have not been clearly delineated. Many studies have excluded patients with bipolar II and other variants, and those with psychiatric and substance use comorbidities, reducing their generalisability.

**Discussion:** Whilst psychosocial treatments show promise in the area of bipolar disorder, more work is required to delineate the effective elements of such interventions, and to ensure generalisability to individuals with bipolar II and other forms of bipolar disorder, as well as those with psychiatric and substance use comorbidities. Other forms of delivery, such as via the internet, deserve further exploration.

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## Introduction

Despite the traditional view that bipolar disorder (BD) is a relapsing and remitting disorder, it is clear that in many cases the longitudinal trajectory is poor, with significant inter-episode subsyndromal symptomatology, a negative impact on relationships and vocational adjustment, and high rates of associated disability, psychiatric comorbidity, substance misuse and suicide (1,2).

Although pharmacotherapy is the mainstay of effective treatment for BD, many people do not respond adequately, with ongoing subsyndromal symptoms being common (3,4). Non-adherence is also problematic, but even when people are adherent to mood stabilisers, relapse rates are as high as 40% in the first year, 60% in the second year and 73% over five or more years (5). Psychosocial stressors contribute to relapse vulnerability (6). Furthermore, the greater the number of relapses an individual has, the higher the possibility of future relapse (7). Thus, non-pharmacological approaches as an adjunct to medication are critical in ensuring optimal outcomes

for people with BD. This paper presents an overview of published randomised controlled trials (RCTs) of various psychosocial modalities, as adjunctive treatment to pharmacotherapy for BD.

#### The literature

A number of comprehensive reviews of this area have recently been published (8–10) and it is not our intent to regurgitate those publications, here. Instead, we provide a brief overview of the relevant literature, updated with more recently published work; address the strengths and weaknesses of those studies and offer a critical reflection on the implications of the findings for clinical practice.

Table 1 outlines the published RCTs of psychosocial treatments in BD with more than 50 subjects. Following Miklowitz (10), we consider the studies under the following headings:

1. Psychoeducation, where the major emphasis is on teaching individuals about the nature of the illness and the ways of avoiding relapse.

Effects on depression reporting of mood Effects seen only at structured group recognition and improved family More drop-outs in Maybe artefact of communication (26 vs. 11%) mediated by symptoms increased 2 years manic and depressive time in manic relapse; manic relapse (27 vs. manic relapse but no 17%); stronger effects subsyndromal mood effect on depression Greater survival (52 vs. on depression than symptoms but less no 🖊 depressive relapse; combined relapse; improved intervention more No effect on mood Outcome psychosocial alcohol use parameters mania Duration of follow-up 24 months 24 months 24 months 36 months 8 months Two sessions of family based management intervention counselling Von-specific Control TAU Drug abuse TAU TAU group crisis intervention; adherence depressive relapse and 5 weeks, twice monthly Group-based focussed on challenging cognitions monitoring (weekly for Much as Bauer et al. (14) pertinent to relapse of both mood and drug Family focused therapy Table 1. Summary of published trials of psychosocial interventions for bipolar disorder (restricted to those with n>50) intervention (mostly Group-based, covering prodromal signs of Identification of early signs of manic or relapse and early psychoeducation Intervention systematic care; included phone integrated into (21 sessions) medication) for 3 years) Group-based advice (81% hospitalised) Bipolar I and II (87% depressed, mixed Bipolar I and II with Remitted Bipolar I comorbid SUD Bipolar I and II Bipolar I and II inpatients) Acute manic, began as 120 306 441 101 69 62 Colom et al. (12) Simon et al. (15) Weiss et al. (13) Bauer et al. (14) Perry et al. (11) et al. (16) Miklowitz Psychoeducation Family based Integrated

	Study	N	Entry	Intervention	Control	Duration of follow-up	Outcome	Comments
	Rae et al. (17)	53	Bipolar I hospitalised for mania	Family focused therapy (21 sessions)	Individual therapy	24 months	Frecurrence (28 vs. 60%) Frehospitalisation (12 vs. 60%)	Differences emerged only post-treatment
	Miklowitz et al. (18)	28	Adolescents with subsyndromal acute symptoms	Family focused therapy (21 sessions)	Three sessions of psychoeduca-tion	24 months	Less time depressed; no effect on mania	
	Miller et al. (19,20)	92	Bipolar I (75% manic)	Multifamily group	Pharmacotherapy alone or in conjunction with individual treatment	28 months	No overall difference in time to relapse but families with higher conflict ◆ improved depressive outcomes	
Caregivers	Reinares et al. (21)	107	Caregivers (62 parents and 45 partners) of 113 Bipolar I and II patients	Group psychoeducation (12 weeks)	TAU	12 months	Longer survival to manic but not depressive relapse	
СВТ	Lam et al. (22,23)	103	Bipolar I and II in recovery	CBT (12–18 sessions over 6 months)	TAU	12 and 30 months	Fewer relapses in CBT group (44 vs. 75%); at 30 months, significant only for thenession	Effects attenuated at 30 months follow-up
	Ball et al. (24)	52	Bipolar I and II; euthymic	CBT plus 'emotive techniques' (e.g. elements of narrative therapy) (20 sessions over 6 months)	TAU	18 months	No impact on overall relapse rates; trend effect for depression	Effects reduced over time
	Scott et al. (25)	253	Bipolar I and II; many had comorbidities (e.g. SUD); many acutely symptomatic	CBT (22 sessions over 26 weeks); many did not attend all sessions	TAU	18 months	No significant benefit but post hoc analysis should benefit for patients with < 12 previous mood episodes	Five-site study aimed at 'effectiveness' assessment

	Study	~	Entry	Intervention	Control	Duration of follow-up	Outcome	Comments
	Zaretsky	79	Bipolar I and II fully	Psychoeducation	Psychoeducation	12 months	Relapse-no overall	
	et al. (26)		remitted	(7 sessions) plus CBT	alone		effects; but CBT group	
				(13 sessions)	(6 sessions)		fewer days depressed	
	Parikh et al.	204	Bipolar I and II in full	Individual CBT (20 weeks)	Group psychoed-	18 months	Interim analysis; showed	Four-site
	(2008)		or partial remission	plus group	ucation alone		no difference between	effectiveness study
	Reported by			psychoeducation	(6 sessions)		arms	
	Miklowitz (10)			(6 sessions)				
	Castle et al. (27)	84	Remitted Bipolar I and	Group-based CBT plus	TAU	12 months	Significant reduction in	'Real world' sample
			II; many had	elements of social			both manic and	effectiveness
			comorbidities (e.g.	rhythm therapy; relapse			depressive relapse	study; multi-site
			SUD, anxiety)	prevention strategies				
				(12 sessions +				
				3 boosters?)				
IPSRT	Frank et al. (28)	175	Acutely ill Bipolar I	Interpersonal therapy	TAU	24 months	Overall no effect on	Initial acute phase
				(focus on relationships)			relapse prevention;	followed by
				and social rhythm			secondary analysis	maintenance
				therapy (stabilisation of			suggest effects for	
				social rhythms)			depression	

🔾, decreased; Ժ, led to; CBT, cognitive behavioural therapy; IPSRT, interpersonal social rhythm therapy; SUD, substance use disorder; TAU, treatment as usual.

- 2. Integrated treatments, where the psychosocial intervention is imbedded into usual clinical care.
- 3. Family therapy, where family psychoeducation is the main focus of therapy.
- 4. Cognitive-behaviour therapy (CBT) focuses on skill development using cognitive strategies such as exploration of negative cognitions relating to mood, and teaches elements of stimulus control, such as how to respond to triggers and mood changes.
- 5. Interpersonal social rhythm therapy (IPSRT), where the emphasis is on regulation of daily rhythms such as sleep and activities, in conjunction with interpersonal therapy emphasising the impact of the illness on relationships.

#### **Discussion**

Do the interventions work, and for what?

Relapse. Overall relapse rates have generally been reduced by psychosocial interventions for BD, irrespective of modality. In the main, the success of the interventions have been more in terms of a reduction in relapse of one pole of the illness; i.e. either depressive or manic episodes. To some extent, this relates to the type of treatment. In terms of psychoeducational programmes, that of Perry et al. (11) showed the benefit for manic but not for depressive relapse, while the psychoeducation programme of Colom et al. (12) showed efficacy for both depressive and manic relapses, although there were more drop-outs in the intervention arm (26 vs. 11% in the control intervention).

CBT interventions have tended to be more effective for the depressive pole, probably reflecting the emphasis on negative cognitive styles in that type of therapy. Castle et al. (27) and Castle (29) developed an intervention that is CBT-based but which includes a substantial psychoeducational component, and which also incorporates other parameters such as elements of social rhythm therapy. This package was effective in reducing both manic and depressive relapses. Zaretsky et al. (26) tried to parcel out the effects of CBT plus psychoeducation versus psychoeducation alone, and found no overall differences apart from a tendency for the CBT group to have fewer days in depression; this finding is potentially confounded by the greater contact time with participants in the CBT arm (overall 20 sessions vs. 6 for those in the psychoeducation alone arm). An interim analysis of a similar study by Parikh and colleagues (reported by Miklowitz (10)) also failed to show any substantial additional benefit for CBT over psychoeducation alone, despite the fact that the CBT group had 20 additional weeks of contact with the study therapists.

Miklowitz (10) postulated that interventions with a particular emphasis on interpersonal coping skills would be most effective in reducing depressive episodes, and the IPSRT intervention of Frank et al. (28) showed benefit for depression only. Family based interventions have also tended to impact the depressive more than the manic pole of the illness, putatively mediated by improved family communication and reduced family conflict (16,18-20). Rae et al. (17) showed that family focussed therapy could, compared with individual therapy, reduce hospitalisations over and above reduced relapse rates, suggesting more tolerance of the family to their loved one being unwell, and their feeling more competent to deal with them in the family environment. The single study that targeted caregivers directly (21) showed a longer time to manic, but not depressive relapse: the mechanism is unclear.

Studies [other than those of Colom et al. (12), Castle et al. (27), Castle (29) and Reinares et al. (21)] have tended not to differentiate between manic and hypomanic relapse. Early recognition of the prodrome of mania reducing the severity of the 'highs', and prevention of full-blown mania is clinically important for the individual as well as in terms of service utilisation, notably hospitalisation. The study of Castle et al. (27) and Castle (29), which incorporated elements of psychoeducation, CBT and social rhythm therapy, obviated manic relapse but more patients in the intervention arm had hypomanic relapses, suggesting they could recognise imminent signs of mania and take appropriate remedial action. Of interest is that at 120 days post-intervention, hypomanic relapses were also significantly reduced, suggesting an ongoing learning effect of the intervention.

Hospitalisation. Reduction in hospitalisation is clearly an important goal for bipolar interventions, as this reduces disruption to the individual's life and also saves costs. Not all studies have reported on hospitalisation outcomes, and intriguingly reduced manic relapse was not always associated with reduced time in hospital [e.g. Bauer et al. (14)]. Family focussed interventions do seem to reduce hospitalisations [e.g. Rae et al. (17) and Solomon et al. (30)], and those CBT interventions that have been successful in reducing relapse have also shown reduction in time in hospital [e.g. Lam et al. (23)]. We require a better understanding of pathways to hospitalisation for people with bipolar and how psychosocial interventions might impact these.

Other parameters. Few studies have specifically addressed broader psychosocial outcomes such as quality of life and vocational parameters. This is surprising, given that symptom measures alone

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constitute a limited assessment of BD outcomes (31), and it may be in functional/quality of life outcomes that psychosocial interventions make their strongest contribution (32). The small evidence base suggests that quality of life improves relatively slowly after treatment (perhaps paralleling functional recovery), and designs should include 12- to 24-month follow-up assessments to investigate these effects.

It is reasonable to expect that better symptom control and reduced hospitalisations will impact beneficially on psychosocial adjustment, and our experience has been that participants generally feel much more 'in control' of their lives and better equipped to handle life's ongoing challenges. In those studies that have measured psychosocial functioning objectively (11,14,23), the intervention groups have shown improvement in most domains examined. Whether these changes are a direct consequence of better symptom control and reduced relapse rates, or are mediated by improvement in factors such as adjustment to having a chronic illness, psychosocial support, cognition or issues such as stigma, remains to be comprehensively studied.

#### What elements work?

In addition to the generic effects of therapy that are mediated through pathways including therapeutic alliance and support, what appears to be crucial in the efficacy of psychosocial interventions in BD is that a number of core components are addressed. These include psychoeducation, enhanced adherence to medication, early identification of prodromes, dealing with stress (including their cognitive mediation) and rhythm stabilisation (33). However, the benefit of an additional systematic approach to skill development and enhancement is less clear from the literature. The provision of booster sessions by Lam et al. (22) provided some ongoing skill enhancement after termination and showed more comprehensive results than similar studies without booster sessions (11). The study of Castle et al. (27) and Castle (29) also included booster sessions, and the outcomes were promising (Table 1). Thus, booster sessions seem to be of value. What is not yet clear, however, is how many boosters should be provided and over what period. Very long-term follow-up studies are required to start answering such questions.

There is a problem with the generalisability of many of the interventions, with limits to their utility and applicability in routine clinical practice. For example, the interventions tend to require a large number of sessions, potentially limiting cost-effectiveness (16–18). One way of addressing this issue is to offer group-based interventions, which may be more cost-effective. Group therapy can have

added benefits over individual intervention, with the context of group process encouraging social functioning and providing the buffering effects of social support (34). Controlled studies using group-based, time-limited interventions that provide education, coping skills and behaviour modification, such as CBT, have been shown to improve secondary outcomes that contribute to relapse, e.g. understanding early warning signs. However, these changes may not be sustained, as their effectiveness in controlled trials is difficult to generalise to daily life.

To date, Colom et al. (12), Castle et al. (27) and Castle (29) have published data on group-based RCTs for BD; the former study was essentially psychoeducational, the latter eclectic. In the Colom et al. (12) study, sessions incorporated a number of approaches, including stress management techniques, problem-solving, establishment of routines and strategies for managing warning signs. In comparison with a befriending group, the intervention group experienced a significant reduction in number of participants who relapsed; the number and length of hospitalisations were also lower. The study of Castle et al. (27) and Castle (29) adopted a group-based approach and used a number of elements of therapies found beneficial in previous studies (see above and Table 1). The intervention was effective, relative to a 'treatment as usual' control, in reducing both depressive and manic relapses. Thus, group-based programmes are effective for BD, but whether they have particular benefits over individual care awaits investigation with a controlled trial.

Peer support in the context of group-based programmes may be of value in sustaining outcomes, and the 5-year follow-up from the group psychoed-ucation programme of Colom et al. (12) will shed some light on this. Of interest is that those integrated interventions that involved ongoing group therapy (14), as well as family focussed therapy (17), have reported more sustained benefits (albeit that these are initially delayed). Ongoing formal or informal support and reinforcement of self-management skills may help maintain positive outcomes.

Those studies that have explicitly incorporated the psychosocial interventions into everyday care are particularly important in terms of their potential 'reach.' The US-based studies of Bauer et al. (14) and Simon et al. (15) both used a group-based approach, with five weekly groups followed by twice monthly for up to 2 (15) or 3 (14) years. Both these studies showed benefit for manic rather than depressive symptoms. The NIHM-sponsored STEP-BD programme (35) compared a comprehensive intervention incorporating elements of CBT, IPSRT and family focussed therapy (30 sessions delivered over 9 months), and compared this with

a brief (three sessions) psychoeducational intervention. All patients were acutely depressed at baseline. Those patients in the intensive group recovered more rapidly and were more likely to remain well, but the effect was only significant for depression. These integrated interventions, although encouraging in terms of outcomes, do not show benefit for both poles of the illness, and the interventions themselves are complex (especially in STEP-BD) and presumably costly given the number of sessions and time-period of delivery.

The complexity and resource implications of many of the aforementioned models have led to the investigation of internet-based psychosocial treatment models, which have overt advantages in cost and reach. Such models could be conceptualised as psychosocial 'primary care' in counterpoint to the more complex 'tertiary' models discussed; these models require evaluation in formal studies, a number of which are underway (see below).

There is generally a lack of clarity regarding the mechanisms whereby psychosocial interventions exert their effect. An obvious candidate is simply better medication adherence, but the positive effect of psychoeducation was found even in highly adherent patients (12), and as has been stated above, adherence alone is not a guarantee of relapse prevention. Separate mechanisms may operate on different outcomes. Anti-manic effects of psychosocial interventions may in part be mediated by increased adherence, although effects on depression may be through mechanisms including cognition and reduction of negative cognitions, interpersonal and family stress (36). Certainly those studies based on CBT techniques would address dysfunctional cognitions and provide behavioural skills around monitoring, problem-solving and goal setting, whereas social rhythm therapies emphasise regulation of lifestyle and psychoeducation interventions include monitoring of early warning signs and early intervention should these manifest. The extent to which therapeutic gains are due to factors common across psychotherapies [e.g. the support, learning and action domains of Lambert and Ogles (37)] has received negligible attention. In summary, little is known about how adjunctive psychosocial interventions achieve their effects, precluding the refinement, targeting and rationalisation of interventions.

## Which patients respond?

There is a deficit in published studies, in terms of generalisability. For example, studies have relied on referrals from mental health services (22,25) or University clinics (12), while in reality many people with BD are either not in treatment at all

or are looked after exclusively by their general practitioner (GP).

A number of studies excluded people with other Axis I comorbidities including substance dependence (11,12,22). Such comorbidities are extremely common in BD, and their exclusion refines study samples unrealistically; indeed, it has been suggested that psychosocial interventions may also be effective in addressing comorbid symptomatology (38). The largest RCT in this area, namely that of Scott et al. (25), explicitly adopted a 'pragmatic' approach, with relatively few exclusion criteria. However, their subjects had to have been in contact with mental health services in the 6 months prior to enrolment, again raising questions of generalisability. The study of Castle et al. (27) and Castle (29) specifically recruited patients from multiple sources, including specialist mental health clinics, GPs, and direct to patients through support groups and media. Referral source was not a predictor of outcome. There were few exclusion criteria, and comorbidities were the rule rather than the exception; again, these were effectively managed during the intervention (e.g. there were very few drop-outs from this study), and again the number of comorbidities did not predict worse outcome.

Also, most studies have concentrated on bipolar I disorder, whereas bipolar II has been relatively neglected. Given the relatively higher prevalence of bipolar II, and the disabilities associated, this is an important gap in the literature (39). To the best of our knowledge, bipolar III and cyclothymia have not been systematically addressed by psychosocial interventions. Likewise, few studies have included patients with mixed states or rapid cycling disorder, again a gap in the literature because those variants carry particularly high levels of morbidity and are notoriously difficult to manage effectively with medication alone (40,41).

Another contentious issue is what phase of the illness and level of severity patients should be at, to benefit from inclusion in psychosocial interventions. Most of the psychoeducation and CBT studies recruited patients who were remitted, and these arguably showed the best overall outcomes with relatively brief interventions (8,9). Family focussed studies tended to accept 'partially stabilised' patients, possibly because the families could begin therapy in any event. The integrated studies of Bauer et al. (14) and of Simon et al. (15), as well as STEP-BD (35), recruited patients who were acutely unwell, and in the IPSRT of Frank et al. (28) a two-phase approach was adopted, with an initial remission phase followed by ongoing relapse prevention; it was only phase 2 that showed benefit in terms of reduction in relapse. Interestingly, in the integrated

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study of Simon et al. (15), it was those participants who were more severely ill at baseline who benefited most from the intervention.

One of the criticisms of the negative study of Scott et al. (25) was that they included many patients who were very unwell at baseline (nearly a third were in acute episode), although we are not aware of any subanalyses according to the level of initial symptomatology. The study of Scott et al. (25) also raises the issue of whether patients are more likely to benefit from psychosocial interventions if they have suffered fewer episodes of illness. Scott et al. (25) used the mean number of prior episodes (12) as their cut-off, but this approach has been criticised (42), and other studies have foreshadowed a cut-off at six prior episodes (11). This is concordant with the pharmacotherapy literature, where individuals with fewer episodes being more likely to respond to treatment (43). These data support the notion of early intervention and staging in BD (44,45). Psychosocial interventions should therefore be instituted as early as possible in the course of the illness, so that fewer episodes are experienced and less damage done in terms of the illness itself, and the psychosocial consequences. Having said this, there is insufficient evidence to preclude patients further along in their illness course, from participating usefully in psychosocial interventions; indeed, we have found a number of individuals who have had numerous prior episodes but who respond well to such an intervention.

#### **Conclusions**

It seems obvious that psychosocial interventions are important for people with BD, given the tendency for the disorder to be a relapsing illness even in the setting of adequate pharmacotherapy. Those studies that have been rigorously conducted, using randomised controlled designs, provide some encouragement to clinicians and patients alike, in that they point to benefit in terms of reduction of relapse overall, reduced hospitalisation rates and improved psychosocial outcomes. However, the studies thus far have tended to impact one rather than both poles of the illness, and the manic pole has been less consistently ameliorated. Also, the precise elements that work for which individual remains understudied. The field also needs to clarify which patients are most likely to benefit, from what and at what stage of their illness. Programmes need to be expanded to include patients with other psychiatric and drug and alcohol comorbidities, as these are common and disabling, and show independent benefit from psychosocial treatments. There is also a pressing need to make these interventions more widely available, and further dissemination of the technology and training of clinicians is imperative. Studies that have incorporated psychosocial treatment into mainstream clinical practice are most welcome, but it is important that fidelity of such interventions is maintained and continual upskilling of clinicians ensured. The role of bibliotherapy (46) and internet-driven programmes deserves further attention, as adjuncts to face-toface psychosocial treatments, and/or including therapists available online: such programmes have shown promise in the depression sphere (47). Several studies are currently in progress investigating the efficacy of online interventions in BD. These studies all take slightly different approaches (48). Two are RCTs with relapse as the major outcome measure. Barnes et al. (49) are comparing an online disease management intervention with an attention control condition. whereas Lauder and colleagues (50,51) are comparing psychoeducation with CBT in an online self-help modality. Proudfoot et al. (52) are investigating a peer support model for those recently diagnosed, with outcomes focused on illness symptoms, functional and psychological variables. We await the definitive outcomes from these studies. The potential of online approaches to reduce costs, increase availability and remove other help-seeking barriers such as stigma has been much anticipated. However, cost-benefit analyses need to be rigorously conducted and results disseminated, if clinicians are to be able to advocate for resources to be channelled into this area of need.

## Acknowledgements

The authors are most grateful for financial support from beyondblue and the Medical Benefits Fund for our bipolar programme.

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