

# Compassion-Focused Intervention for Highly Self-Critical Individuals: Pilot Study

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Self-criticism is a transdiagnostic process associated with a range of psychological problems. This uncontrolled pilot study evaluated the feasibility and acceptability of a six-session intervention using methods from compassion-focused therapy to reduce self-criticism, as well as investigating changes in a range of outcome measures. Twenty-three university student participants with significant impaired functioning associated with high levels of self-criticism received six individual weekly treatment sessions and a 2-month follow-up appointment. Acceptability was assessed through participant feedback. The intervention appeared to be feasible in terms of recruitment and retention of participants, and participant feedback indicated that overall the intervention seemed acceptable. There were statistically significant improvements between pre- and post-intervention for self-criticism, functional impairment, mood, self-esteem and maladaptive perfectionism with medium to large effect sizes at both post-intervention and follow-up. Gains were maintained or increased between post-treatment and 2-month follow-up. The study showed preliminary evidence of effectiveness of a compassion-focused intervention for self-critical students which appeared to be a feasible and acceptable treatment approach. This intervention now requires investigation in a randomized controlled trial.

*Keywords:* self-criticism, self-compassion, compassion-focused therapy, students

## Introduction

Self-criticism is a self-evaluative process where individuals judge themselves harshly (Shahar *et al.*, 2015a). Self-criticism is associated with lower self-esteem (Thompson and Zuroff, 2004). In a cognitive behavioural therapy (CBT) model of self-esteem, self-criticism is thought to maintain low self-esteem (Fennell, 1998). Self-criticism is associated with judgemental attitudes towards one's experience of negative emotions (James *et al.*, 2015). Self-criticism is also closely related to self-critical perfectionism (Dunkley and Kyparissis, 2008). Self-criticism has been found to be a significant predictor of clinical symptoms over and above other aspects of perfectionism, suggesting that it is a key component associated with clinical problems (Dunkley *et al.*, 2006).

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Self-criticism has been described as a transdiagnostic process as high levels are associated with a range of problems including depression (Luyten *et al.*, 2007), social anxiety (Shahar *et al.*, 2015b) and eating disorders (Fennig *et al.*, 2008).

Gilbert (2009, 2010a,b) has developed compassion-focused therapy (CFT) for individuals experiencing high levels of self-criticism and shame. CFT uses a 'threat/safety strategy' formulation (Gilbert, 2010a) which focuses on the development of self-criticism within the context of three emotion regulation systems. Self-critical individuals are thought to have over-active threat-protection and drive-motivation systems, and an under-active contentment-soothing-safeness system (Gilbert, 2009). CFT therefore aims to develop the contentment-soothing-safeness system using a range of self-compassion techniques.

There is a growing evidence base for CFT for a range of clinical difficulties including severe and enduring mental health problems (Gilbert and Procter, 2006; Judge *et al.*, 2012), personality disorders (Lucre and Corten, 2013), psychotic symptoms (Braehler *et al.*, 2013; Mayhew and Gilbert, 2008) and eating disorders (Gale *et al.*, 2014). However, the authors are not aware of this approach having been investigated for individuals presenting specifically with high levels of self-criticism that has caused significant functional impairment. This study developed an intervention based on CFT and general cognitive behavioural methods to reduce self-criticism in university students reporting high levels of self-criticism associated with clinically significant levels of impairment.

### **Aims and hypotheses**

This uncontrolled pilot study investigated a six-session intervention for students with impairing levels of self-criticism, with two main aims:

- (1) To assess the acceptability and feasibility of the intervention and the assessment methods used to investigate the impact of this intervention.
- (2) To investigate whether the intervention was associated with improvements in self-criticism, mood and other related constructs, comparing pre-treatment scores with those at post-treatment and 2-month follow-up.

### **Method**

#### *Design*

This was an uncontrolled pilot study of a new intervention. A mixed qualitative and quantitative design was utilized to assess acceptability through participant feedback. Standardized measures were completed at screening, prior to each weekly session and at the 2-month telephone follow-up appointment.

#### *Participants*

To participate, individuals had to be enrolled at the local university and have high levels of self-criticism that were causing significant functioning impairment as indicated by a score of 10 or above on the Work and Social Adjustment Scale (Mundt *et al.*, 2002). Individuals had to have sufficient English language proficiency and, if taking anti-depressants, be on a stable dose for at least 3 months. Individuals were excluded if they were receiving another psychological

intervention, if their current risk levels required formal input from mental health services, or if they met DSM-IV criteria for a psychotic disorder, substance dependence or anorexia nervosa, indicating that a more specialist intervention would be more clinically appropriate. In line with recommendations about sample sizes for pilot studies assessing intervention efficacy in a single group of participants (Hertzog, 2008), the target sample size was 16–25 participants.

### Measures

Questionnaires were completed online. The full questionnaire set was completed at sessions 1, 3, 6 and follow-up (primary outcome measures were also collected before each session). All the questionnaires that were used have been shown to be reliable and valid, and Cronbach's alpha reported below were calculated for the present study.

#### Primary outcome measures

*The Habitual Index of Negative Thinking (HINT; Verplanken et al., 2007).* For this 12-item scale of habitual negative self-thinking, participants indicated agreement on a 5-point Likert scale; higher scores represented higher levels of negative self-thinking (Cronbach's alpha = 0.88).

*Self-Critical Rumination Scale (SCRS; Smart et al., 2015).* For this 10-item scale of self-critical rumination, participants indicated agreement on a 5-point Likert scale; higher scores represented higher levels of self-critical rumination (Cronbach's alpha = 0.75).

*Work and Social Adjustment Scale (WASAS; Mundt et al., 2002).* This 5-item scale was used to measure the impact of self-criticism on different areas of an individual's life. Participants indicated agreement on a 9-point Likert scale; higher scores represented more impaired levels of functioning (Cronbach's alpha = 0.80). Scores of 10 and above indicate significant functional impairment (Mundt et al., 2002).

#### Secondary outcome measures

*Patient Health Questionnaire (PHQ-9; Kroenke et al., 2001).* The PHQ-9 has nine items measuring depressive symptoms over the last 2 weeks. Participants indicated agreement on a 4-point Likert scale; higher scores represented more severe depression (Cronbach's alpha = 0.83).

*Generalized Anxiety Disorder (GAD-7; Spitzer et al., 2006).* The GAD-7 has seven items measuring anxiety over the last 2 weeks. Participants indicated agreement on a 4-point Likert scale; higher scores represented more severe anxiety (Cronbach's alpha = 0.90).

*Rosenberg's Self-Esteem Scale (Rosenberg, 1965).* For this 10-item scale of global self-esteem, participants indicated agreement on a 4-point Likert scale; higher scores represented higher self-esteem (Cronbach's alpha = 0.81).

*The Multi-Dimensional Perfectionism Scale (MDPS; Frost et al., 1990).* For this 35-item scale of perfectionism, participants indicated agreement on a 5-point Likert scale; higher scores represented higher levels of perfectionism. There are six subscales: 'concern over

mistakes' (CM), 'personal standards' (PS), 'parental expectations' (PE), 'parental criticism' (PC), 'doubts about actions' (DA) and 'organization' (O). For this study, the CM, DA, PE and PC subscales were totalled to measure 'maladaptive' perfectionism (Stumpf and Parker, 2000) (subscales Cronbach's alpha ranged from 0.67 to 0.90).

*Self-Compassion Scale (SCS; Neff, 2003)*. For this 26-item scale of self-compassion, participants indicated agreement on a 5-point Likert scale; higher scores represented higher levels of self-compassion (Cronbach's alpha = 0.88).

*Beliefs about Emotions scale (BES; Rimes and Chalder, 2010)*. This 12-item scale measures the unacceptability of experiencing or expressing negative emotions. Participants indicated agreement on a 7-point Likert scale; higher scores represented stronger beliefs about the unacceptability of negative emotions. (Cronbach's alpha = 0.83).

### *Participant feedback*

Online feedback was collected post-intervention and contained both quantitative rating scales and open-ended questions devised for this study.

### *Procedure*

Two recruitment drives were completed and, for each, the study was advertised twice through an email inviting volunteers for university research projects. Interested individuals were sent further information and an online link. Individuals who appeared to meet the inclusion criteria were offered a telephone screening to assess eligibility. Past and current mental health problems were assessed using the latest version of the Mini International Neuropsychiatric Interview (M.I.N.I.; English version 6.0.0), a structured interview that assesses DSM-IV and ICD-10 psychiatric disorders (Sheehan et al., 1998). The average time between screening and session 1 was 13 weeks ( $SD = 7.62$ ).

### *Intervention*

Two trainee clinical psychologists delivered the intervention supervised by a clinical psychologist. The therapists delivered the intervention during their second and third year of training and learnt the intervention techniques through their course training, reading about the Compassionate Mind approach and specific training and supervision sessions with their supervisor. The intervention consisted of six 1-hour individual sessions delivered approximately weekly, with written booklets to enhance learning (see Table 1 for a summary of intervention content). The treatment protocol and booklets were designed by the therapists and their supervisor, drawing heavily on CFT and general cognitive behavioural therapy principles. Every session was audio-recorded and listened to by the therapists' supervisor to ensure fidelity to the protocol and for supervision purposes.

### *Feasibility and acceptability objectives*

Feasibility was assessed in terms of recruitment and retention. For acceptability, participants provided feedback about the assessment methods and intervention content.

**Table 1.** Content of intervention

|   | Topic area  |
|---|---|
| Session 1   | <p>Assessment of personal experience of self-criticism throughout life and possible contributory factors</p> <p>Discussion about nature of self-criticism, e.g. the possible effects on thoughts, feelings, physiology and behaviours and the difference between unhelpful self-critical thinking vs helpful self-corrective thinking</p> <p>Developing a shared formulation using the 'threat/safety strategy' template</p> <p>Psychoeducation about the self-compassion approach including the three emotion regulation systems</p> |
| Session 2   | <p>Review of homework tasks and self-criticism over the past week (also included at the start of all subsequent sessions)</p> <p>Introduction to self-compassion including self-compassion attributes and skills</p> <p>Develop a compassionate reframe to self-critical thoughts using a self-compassionate thought record</p>   |
| Session 3   | <p>Decentring from the content of self-critical thoughts</p> <p>Changing the context of self-criticism including exploration of the contextual triggers of self-criticism and planning a behavioural experiment to change the context to reduce the likelihood of self-critical thinking</p> <p>Relaxation – using Progressive Muscle Relaxation (PMR) to 'dampen down' the threat system</p>   |
| Session 4   | <p>Developing a compassionate other image including a rationale for imagery and using a script to develop physical and compassionate attributes of the image</p>  |
| Session 5   | <p>Further developing the compassionate-self, including rationale about different 'mindsets' (patterns of thoughts, feelings and behaviours that we switch in and out of) and using a method acting approach to access own compassionate attitudes, thoughts, feelings, approach to distress or difficult emotions, behaviours and bodily sensations</p>  |
| Session 6   | <p>Review of strategies and developing a plan of how to use them over the next 2 months</p> <p>Loving Kindness Meditation</p>   |
| 2-month follow-up   | <p>Review of self-criticism over past 2 months</p> <p>Collection of quantitative ratings of frequency of use for each technique</p> <p>Review of individualized plan, including how to continue using strategies in future and option of practising a strategy over telephone</p>   |
| <p>After session 1, the sessions followed the same general structure: agenda setting and check in, review of the homework tasks, completion of an experiential exercise to practise a new technique and, finally, summarizing and homework setting.</p> |   |

### Data analysis

*Assessing acceptability.* Written responses to open-ended feedback questions were analysed using brief content analysis by the first author (Mayring, 2000). Inductive category development was utilized whereby responses were read through and preliminary categories were defined. These were then refined further after reading through approximately 50% of the text for each question.

*Changes in self-criticism and other outcomes.* As there were only 11 missing items across the dataset, for these, mean item scores were calculated (Fox-Wasylyshyn and El-Masri, 2005). As multiple tests were used, a more conservative cut-off  $p$ -value  $\leq 0.01$  was used to indicate statistical significance;  $p$ -values between 0.01 and 0.05 were considered a ‘non-significant trend’.

### *Therapist effects*

Independent  $t$ -tests were completed to determine whether there were differences in outcomes between therapists at each time point, but none was found.

### *Comparison between pre- and post-intervention*

To examine the effect of the intervention on the study measures, repeated measures ANOVAs were conducted for each measure with time as the repeated measure factor. The time points were screening (if completed), pre-intervention, mid-treatment (session 3), post-intervention and follow-up. When a significant effect of time was found, planned pairwise comparisons were completed to determine whether there were significant differences between measures at post-intervention and follow-up compared with pre-intervention and whether gains were maintained between post-intervention and follow-up. Contrasts between screening and pre-intervention were completed to determine whether there were any significant changes during the baseline period prior to treatment.

Effect sizes for post-intervention and follow-up were calculated by dividing the mean differences between post- and pre-intervention and follow-up and pre-intervention by the mean standard deviations at pre-intervention. Pre-treatment changes were also calculated in a similar way to see how participants changed over time without treatment. Effect sizes were calculated using Cohen’s  $d$  and interpreted using the following cut-offs: ‘negligible’ effect  $< 0.2$ ; small effect  $\geq 0.2$ , medium effect  $\geq 0.5$ , large effect  $\geq 0.8$  (Cohen, 1988).

Although a number of time-points for the PHQ-9 and GAD-7 violated the assumption of normality, as repeated measures ANOVA are considered ‘robust’ to deviations from normality (Laerd Statistics, 2017), the ANOVAs are presented.

For outcome measures that were completed at both screening and pre-intervention, paired  $t$ -tests were also completed to determine whether there were statistically significant differences between the mean change in scores between screening and pre-intervention and between pre-intervention and post-intervention.

Finally, for all primary outcome measures, the Reliable Change Index (RCI) was calculated to test whether the change in scores from pre- to post-intervention, and from pre-intervention to follow-up, was greater than that expected from random variation. If the change in scores was larger than the RCI, participants were described as making ‘clinically significant improvements’ (Jacobson and Truax, 1991). The overall number of participants who made clinically significant improvements was calculated. The proportion of those with and without a clinical diagnosis at baseline who showed clinically significant improvements were also calculated to gain preliminary information about whether those with clinical disorder benefited more from the intervention.

**Table 2.** Participant baseline demographic information

| Characteristics  |             |
|--|-------------|
| Age, mean ( <i>SD</i> ), years                                 | 25.3 (6.16) |
| Sex, <i>n</i> (%)  |             |
| Female   | 19 (82.61)  |
| Male   | 4 (17.39)   |
| Ethnicity, <i>n</i> (%)  |             |
| Caucasian  | 17 (73.91)  |
| Non-Caucasian  | 6 (26.09)   |
| Current anti-depressant medication, <i>n</i> (%)               | 2 (8.70)    |
| Current psychiatric diagnoses at screening, <i>n</i> (%)       |             |
| None   | 16 (69.57)  |
| Depression   | 1 (4.35)    |
| Social phobia  | 1 (4.35)    |
| Generalized anxiety disorder (GAD)                             | 1 (4.35)    |
| Social phobia and GAD  | 1 (4.35)    |
| Depression, social phobia and GAD                              | 1 (4.35)    |
| Depression, social phobia, GAD and agoraphobia                 | 1 (4.35)    |
| Depression, GAD, agoraphobia and obsessive compulsive disorder | 1 (4.35)    |
| Past diagnosis of depression, <i>n</i> (%)                     | 13 (56.52)  |
| Stage at university  |             |
| Undergraduate  | 7 (30.43)   |
| Postgraduate   | 16 (69.57)  |

## Results

### *Participant demographics*

Table 2 summarizes baseline demographic information.

### *Feasibility*

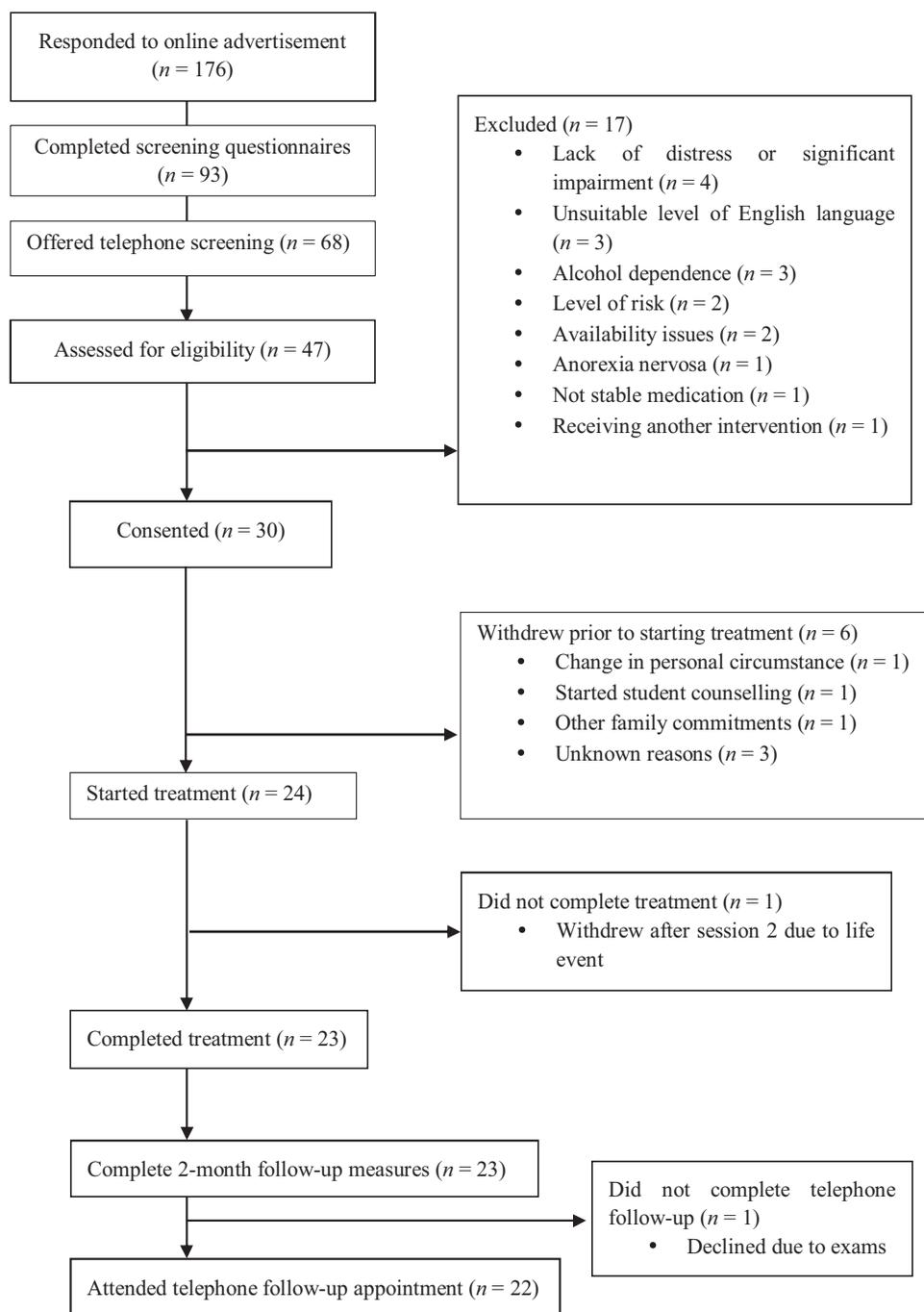
*Recruitment and retention.* Figure 1 shows the recruitment and retention numbers. A sufficient number of eligible participants were recruited and subsequently completed the intervention. The inclusion/exclusion criteria appeared to result in a group of participants with significant impairment associated with self-criticism that could complete the intervention.

### *Acceptability*

Twenty-one of the 24 participants completed the feedback questionnaire.

*The assessment methods.* All participants completed the study measures at each time point; however, a common theme identified by the researcher from the written feedback was that the questionnaire pack was too long.

*The intervention.* Participants post-intervention ratings about how useful they found the intervention are given in Table 3.



**Figure 1.** Study flow diagram showing recruitment process

**Table 3.** Post-intervention ratings of how useful participants found the intervention

| Feedback question   | Strongly disagree, <i>n</i> (%) | Disagree, <i>n</i> (%) | Neither agree or disagree, <i>n</i> (%) | Agree, <i>n</i> (%) | Strongly agree, <i>n</i> (%) | Mean | <i>SD</i> |
|---|---------------------------------|------------------------|---|---------------------|------------------------------|------|-----------|
| The intervention was useful   | 0                               | 0                      | 0                                       | 9 (42.9)            | 12 (57.1)                    | 4.6  | 0.49      |
| The intervention helped to reduce my self-critical thinking                           | 0                               | 0                      | 2 (9.5)                                 | 10 (47.6)           | 9 (42.9)                     | 4.3  | 0.64      |
| The intervention helped improve my ability to cope with my self-critical thinking     | 0                               | 0                      | 1 (4.8)                                 | 10 (47.6)           | 10 (47.6)                    | 4.4  | 0.58      |
| The intervention helped me to improve my self-compassion                              | 0                               | 1 (4.8)                | 3 (14.3)                                | 9 (42.9)            | 8 (38.1)                     | 4.1  | 0.83      |
| My facilitator understood my needs/difficulties                                       | 0                               | 0                      | 2 (9.5)                                 | 6 (28.6)            | 13 (61.9)                    | 4.5  | 0.66      |
| I would recommend the intervention to other people with high levels of self-criticism | 0                               | 0                      | 0                                       | 7 (33.3)            | 14 (66.6)                    | 4.7  | 0.47      |

Participants rated their agreement on a 5-point Likert scale: strongly disagree = 1; disagree = 2; neither agree or disagree = 3; agree = 4; strongly agree = 5. *SD*, standard deviation.

The mean percentage of the weekly booklets read by participants was 79.5% ( $SD = 27.5$ ). The mean time spent practising techniques each week was 140.8 min ( $SD = 155.58$ ). ‘Decentring’ and ‘compassionate reframes’ received the greatest proportion of the two highest usefulness ratings (both 76%,  $n = 16$ ). At follow-up, 15 participants (68%) had been using ‘decentring’ and 13 participants (59.3%) had been using ‘compassionate reframes’, at least ‘once a week’ and the therapists noted that, for majority of these participants, the ‘compassionate reframe’ appeared to have become fairly automatic rather than a deliberate process each time.

### *Changes in self-criticism and other outcomes*

#### *Comparison between pre- and post-intervention*

The results of one-way repeated ANOVAs for primary and secondary measures are given in [Tables 4](#) and [5](#), respectively. Results of the subsequent planned pairwise comparisons are summarized below.

*Primary outcome measures.* Planned comparisons showed that there were significant reductions between pre- and post-intervention and between pre-intervention and follow-up for all primary outcome measures ( $p$ -values  $\leq 0.002$ ). There were also significant reductions between post-intervention and follow-up ( $p$ -values  $\leq 0.009$ ). The Cohen’s  $d$  indicated that the intervention had a large effect size for self-criticism at both post-intervention and follow-up, compared with a small effect size for changes over the pre-treatment period. For impaired functioning, there was a small effect size for the pre-treatment period, medium effect size from pre-treatment to post-intervention, and a large effect size from pre-treatment to follow-up. No significant changes in the primary outcome measures were found over the baseline period between screening and pre-intervention ( $p$ -values  $> 0.08$ ). Comparing change during the baseline period with the treatment period directly, paired  $t$ -tests indicated significantly larger reductions in pre- to post-treatment mean scores than screening to pre-treatment changes for the HINT [ $t(22) = -6.23$ ,  $p < 0.001$ ], the SCRS [ $t(22) = -8.24$ ,  $p < 0.001$ ], and the WASAS [ $t(22) = -5.07$ ,  $p < 0.001$ ].

At post-intervention, 8/23 (35%) of participants’ impaired functioning related to self-criticism reduced to below sub-clinical cut-off (Mundt et al., 2002). At follow-up, this had increased to 14/23 (61%) of participants.

Finally, the RCI for the HINT was 4.89; overall, at post-intervention, 14/23 (60.9%) of participants showed clinically significant improvements. Five out of seven (71.4%) of the participants who had a clinical diagnosis at baseline showed clinically significant improvement compared with 9/16 (56.3%) of the participants with no clinical diagnosis at baseline. At follow-up, 18/23 (78.3%) of participants showed clinically significant improvements on the HINT. Of those who had a clinical diagnosis at baseline, 6/7 (85.7%) of these participants showed clinically significant improvement compared with 12/16 (75%) of the participants who had not had a clinical diagnosis.

The RCI for the SCRS was 6.13; overall, at post-intervention, 17/23 (73.9%) of participants showed clinically significant improvements. Six out of seven (85.7%) of the participants who had a clinical diagnosis at baseline showed clinically significant improvement compared with 11/16 (68.8%) of the participants with no clinical diagnosis at baseline. At follow-up, 18/23 (78.3%) of participants showed clinically significant improvements on the SCRS. Of those with

**Table 4.** Primary outcome measures: results of one-way ANOVAs, means and standard deviations and effect sizes

|          | Mean scores ( <i>SD</i> ) |                 |                 |                 |                 |                 |                  |                 | ANOVA                  |                 | Effect sizes                            |            |          |
|----------|---------------------------|-----------------|-----------------|-----------------|-----------------|-----------------|------------------|-----------------|------------------------|-----------------|---|------------|----------|
|          | Screening                 | Session 1 (pre) | Session 2       | Session 3       | Session 4       | Session 5       | Session 6 (post) | Follow-up       | <i>F</i> (4,88)        | <i>p</i> -value | Pre-treatment changes (pre – screening) | Post – pre | FU – pre |
| HINT     | 48.91<br>(5.09)           | 47.35<br>(7.30) | 49.39<br>(5.15) | 46.52<br>(6.69) | 45.00<br>(5.05) | 43.00<br>(5.73) | 41.70<br>(5.76)  | 37.35<br>(6.70) | 22.76                  | <0.001          | –0.31                                   | –0.77      | –1.37    |
| SCRS (i) | 32.13<br>(4.42)           | 31.35<br>(4.83) | 31.04<br>(4.79) | 28.48<br>(5.60) | 27.48<br>(4.91) | 26.26<br>(5.75) | 23.61<br>(4.75)  | 20.61<br>(5.47) | 36.93<br>(2.42, 53.30) | <0.001          | –0.18                                   | –1.60      | –2.22    |
| WASA     | 21.39<br>(6.79)           | 18.48<br>(8.63) | 20.30<br>(7.86) | 17.70<br>(7.25) | 17.26<br>(7.68) | 15.87<br>(8.82) | 12.39<br>(7.15)  | 9.83<br>(6.81)  | 20.65                  | <0.001          | –0.43                                   | –0.71      | –1.00    |

HINT, the Habitual Index of Negative Thinking; SCRS, Self-Critical Rumination Scale; WASAS, Work and Social Adjustment Scale; FU, follow-up; *SD*, standard deviation. (i) The Mauchly’s test of sphericity indicated that the assumption of sphericity had been violated, therefore the Greenhouse-Geisser correction was applied and the degrees of freedom are listed in the table. Scores for session 2, 4 and 5 are included for information and were not included in any of the analyses.

**Table 5.** Secondary outcome measures: results of one-way ANOVAs, means and standard deviations and effect sizes

|                                 | Mean scores ( <i>SD</i> ) |                    |                  |                     |                  | ANOVA                     |                 | Effect sizes                                  |            |          |
|---------------------------------|---------------------------|--------------------|------------------|---------------------|------------------|---------------------------|-----------------|---|------------|----------|
|                                 | Screening                 | Session 1<br>(pre) | Session 3        | Session 6<br>(post) | Follow-up        | <i>F</i> (d.f.)           | <i>p</i> -value | Pre-treatment<br>changes (pre –<br>screening) | Post – pre | FU – pre |
| d.f.                            |                           |                    |                  |                     |                  | (4,88)                    |                 |   |            |          |
| PHQ-9                           | 7.87<br>(4.07)            | 8.13<br>(5.15)     | 7.52<br>(4.64)   | 4.87<br>(4.53)      | 4.83<br>(4.54)   | 7.30                      | <0.001          | 0.06  | –0.63      | –0.64    |
| GAD-7                           | 8.78<br>(4.32)            | 7.78<br>(5.08)     | 7.39<br>(5.05)   | 4.91<br>(4.09)      | 3.83<br>(3.51)   | 12.58                     | <0.001          | –0.23   | –0.56      | –0.78    |
| RSES (i)                        | 12.22<br>(3.77)           | 13.22<br>(3.95)    | 13.09<br>(3.90)  | 17.57<br>(3.79)     | 18.48<br>(3.84)  | 30.11<br>(2.26,<br>49.73) | <0.001          | 0.27  | 1.10       | 1.33     |
| d.f.                            |                           |                    |                  |                     |                  | (3,66)                    |                 |   |            |          |
| ‘Maladaptive’<br>perfectionism  | n/a                       | 69.70<br>(14.64)   | 68.13<br>(14.47) | 61.48<br>(14.30)    | 56.83<br>(13.79) | 14.62                     | <0.001          | n/a   | –0.56      | –0.88    |
| Self-compassion<br>scale        | n/a                       | 60.13<br>(12.65)   | 62.13<br>(12.75) | 81.30<br>(11.75)    | 85.04<br>(14.97) | 46.82                     | <0.001          | n/a   | 1.67       | 1.97     |
| Beliefs about<br>Emotions Scale | n/a                       | 45.04<br>(10.98)   | 41.87<br>(11.98) | 33.91<br>(12.75)    | 29.78<br>(11.33) | 17.57                     | <0.001          | n/a   | –1.01      | –1.39    |

PHQ-9, Patient Health Questionnaire; GAD-7, Generalized Anxiety Disorder; RSES, Rosenberg Self-Esteem Scale; d.f., degrees of freedom; FU, follow-up; *SD*, standard deviation. (i) Greenhouse-Geisser correction was applied and degrees of freedom are listed in the table.

a clinical diagnosis at baseline, 5/7 (71.4%) of these participants showed clinically significant improvement compared with 13/16 (81.3%) of participants who had not had a clinical diagnosis.

The RCI for the WASAS was 8.42; at post-intervention, 12/23 (52.2%) of participants showed clinically significant improvements. Five out of seven (71.4%) of the participants who had a clinical diagnosis at baseline showed clinically significant improvement compared to 7/16 (43.8%) of the participants with no clinical diagnosis at baseline. At follow-up, 14/23 (60.9%) of participants showed clinically significant improvements on the WASAS. Of those with a clinical diagnosis at baseline, 6/7 (85.7%) of these participants showed clinically significant improvement compared with 8/16 (50%) of participants who had not had a clinical diagnosis.

*Secondary outcome measures.* Planned comparisons showed that there were significant differences between pre- and post-intervention and between pre-intervention and follow-up for the secondary outcome measures ( $p$ -values  $\leq 0.005$ ). Cohen's  $d$  indicated that the intervention had a medium effect size for depression at both post-intervention and follow-up, compared with a 'negligible' effect size for change over the pre-treatment period. For anxiety, there was a small effect size for change over the pre-treatment period, medium effect size at post-intervention, and a large effect size at follow-up. For self-esteem, there was a small effect size for change over the pre-treatment period and a large effect size at both post-intervention and follow-up. The effect sizes for 'maladaptive' perfectionism was medium at post-intervention and large at follow-up. For self-compassion and negative beliefs about emotions there were large effect sizes at both post-intervention and follow-up.

No significant differences were found for depression, anxiety and self-esteem between screening and pre-intervention ( $p$ -values  $> 0.24$ ). Indeed, additional paired  $t$ -tests indicated significantly larger changes in scores between pre-intervention to post-intervention than over the baseline period for the PHQ-9 [ $t(22) = -3.61, p = 0.002$ ], the GAD-7 [ $t(22) = -4.14, p < 0.001$ ], and the RSES [ $t(22) = 6.38, p < 0.001$ ].

## Discussion

The results indicate that a six-session intervention based on CFT was feasible to deliver and overall the participants appeared to find the intervention acceptable. Significant improvements were found between pre- and post-intervention on all outcome measures, with medium to large effect sizes. Gains made post-treatment were either maintained or increased at follow-up. On the Self-Critical Rumination Scale, 74% of participants showed clinically significant improvements at post-intervention and this number increased to 78% at follow-up.

### *Changes in self-criticism and other outcomes*

The results provide a preliminary indication that the intervention may be an efficacious treatment for self-criticism. A possible limitation could be that the measures were collected prior to each session, which may account for the fact that most of the changes seemed to occur during the later sessions of the intervention. Nonetheless, this study tentatively adds to the body of evidence indicating that compassion-focused interventions may be helpful for a range of presentations. Two previous studies found significant reductions on self-report measures of self-criticism in clinical populations; however, they were longer group-based interventions for patients in secondary mental health care (Judge *et al.*, 2012; Lucre and Corten, 2013). This is

the first study that appears to show that a brief individual compassion-focused approach may be beneficial in reducing self-criticism in a university student sample with impairing levels of self-criticism.

In the present study, the proportions of participants with a clinical diagnosis at baseline who showed clinically significant improvements were higher than the proportions of 'non-clinical' participants (e.g. 71 versus 56%) but the numbers in each group were too small to compare these groups statistically. The issue of whether the intervention is more beneficial for those with a clinical diagnosis could be investigated in future research.

Due to the uncontrolled nature of the study, however, other explanations for these results cannot be ruled out. Self-criticism may have reduced naturally over time. This explanation is less likely, however, given that the average time between screening and pre-intervention was 13 weeks (i.e. longer than the time taken to complete treatment) and the changes between screening and pre-intervention for all measures were non-significant, with 'negligible' to small effect sizes, compared with medium to large effect sizes across the treatment phase. It is also possible that participants may have improved through some other non-specific factor rather than related to the intervention content. Further research using controlled study designs would be needed to confirm these findings.

As self-criticism is possibly a cognitive vulnerability for clinical problems (Dunkley *et al.*, 2009), a similar intervention focused on targeting self-criticism could be explored as an 'early intervention' approach. Although university maybe a promising setting for the early intervention of psychological problems (Hunt and Eisenberg, 2010), the majority of participants in this study were postgraduate students, and over 50% had experienced depression in the past. Participants in this study were seeking help for self-criticism which results in a 'mixed' sample of those with and without clinical diagnoses, thus the intervention as an 'early intervention' was not formally explored. However, given the sample characteristics noted, it may be helpful to intervene even earlier; future research could examine the prevalence of self-criticism in the secondary school/college population and assess the feasibility and acceptability of a similar intervention for this age group.

#### *Changes in secondary outcome measures*

The results indicate that the intervention may have had a broader impact than simply reducing self-criticism, consistent with conceptualizations of self-criticism as a transdiagnostic process (Shahar *et al.*, 2015a).

At pre-treatment, the mean level of self-esteem was lower than in previous research using the RSES (Sinclair *et al.*, 2010). It is therefore encouraging that participants' scores increased post-intervention to a level almost the same as other general population samples (Sinclair *et al.*, 2010). The 'threat/safety strategy formulation' (Gilbert, 2010a) aimed to help participants to identify their 'key internal fears', often corresponding to global, self-devaluative 'core' beliefs such as 'I am not good enough'. The intervention may have helped participants re-evaluate and update these with a more compassionate view of themselves.

#### *Treatment methods and mediators*

There was a significant increase in self-compassion from pre- to post-intervention, which is consistent with the aim of the intervention. However, it should be noted that the Self

Compassion Scale used in this study has been criticized as confirmatory factor analyses have not supported its 6-factor hierarchical structure (Williams *et al.*, 2014). This scale can also be criticized for including reverse-scored items that assess self-criticism. Future research should assess self-compassion as a possible treatment mediator but using a measure that does not include self-criticism items. ‘Decentring’ received the highest proportion of the top usefulness ratings and this could also be investigated as a possible treatment mediator.

The ‘compassionate reframe’ (Gilbert, 2005), was the second most popular technique. At follow-up, the therapists noted that a number of participants explained that they were completing these ‘in their head’ rather than in written format. Thus, it appeared important for self-critical individuals to change their self-to-self relating (i.e. their internal dialogue with themselves) to a more compassionate stance (Gilbert, 2009).

This technique highlights one of the key differences between CFT and other CBT protocols that focus on reducing self-criticism. CBT protocols (e.g. Fennell, 2013) suggest targeting self-critical thoughts through thought challenging and behavioural interventions. However, Gilbert suggests it is important to support individuals to activate their contentment-soothing-safeness system, thus a compassionate reframe is less focused on finding ‘evidence’ for and against a thought, and more on helping individuals generate statements associated with warmth, kindness and self-compassion, taking account of the specific context of a given situation.

### *Limitations*

As discussed above, this was an uncontrolled pilot study. The study sample also consisted of a small group of mainly White self-selecting female student participants at one university. It is therefore unknown to what extent these findings are generalizable to students with other characteristics or to a clinical population. The written participant feedback was analysed by one of the therapist, thus, a possible risk of bias should be noted.

### **Conclusions**

The intervention appeared to be feasible and acceptable, and intervention effect sizes ranged from medium to large at post-intervention and two-month follow-up. Overall, these findings suggest that a six-session compassion-focused intervention is a promising treatment approach for self-critical students. The intervention now requires investigation using a RCT.

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