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## Research Paper

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### Abstract

We present a tunable planar groove gap waveguide (PGGWG) resonant cavity at  $K_a$ -band. The cavity demonstrates varactor loading and biasing without bridging wires or annular rings, as commonly is required in conventional substrate-integrated waveguide (SIW) resonant cavities. A detailed co-simulation strategy is also presented, with indicative parametric tuning data. Measured results indicate a 4.48% continuous frequency tuning range of 32.52–33.98 GHz and a  $Q_u$  tuning range of 63–85, corresponding to the DC bias voltages of 0–16 V. Discrepancies between simulated and measured results are analyzed, and traced to process variation in the multi-layer printed circuit board stack, as well as unaccounted varactor parasitics and surface roughness.

## Introduction

There has been increased interest in frequency agile front-end components for millimeter (mm)-wave communication networks [1], as they provide flexibility to select different frequency bands using the same infrastructure through post-fabrication tuning methods [1]. Substrate-integrated waveguide (SIW) [2] frequency agile circuits have been demonstrated [3–5] but requires DC-isolated planes for varactor biasing [6]. As a result, multiple etched annular rings [5] and bridging wires [3, 4] are required for biasing, with or without additional floating pads [5] that require connection through wire leads.

Planar groove gap waveguide (PGGWG) [7] features propagation characteristics similar to groove gap waveguide (GGWG [8]) in a planar printed circuit board (PCB) process similar to what is used for SIW. Unlike SIW, however, it provides the benefit of DC-isolated conducting planes, which may be exploited for easy varactor biasing without the need for bridging wires as used in e.g. [4]. It has also been shown that the resonant cavity  $Q$ -factor of PGGWG is comparable to SIW [7], but that PGGWG exhibits a slow-wave response compared to SIW, which aids in reducing the resonant cavity size [9]. This was previously demonstrated through broadband propagation studies of PGGWG [9] and fixed frequency resonators [7], but has yet to be explored in tunable resonant cavities. The addition of varactor loading across the capacitive gap of the fixed frequency resonator in [7] would make the resonator tunable, but without the need for annular and bridging wires as is commonly required in varactor-loaded SIW tunable cavities.

This paper presents experimental results for a tunable  $K_a$ -band (commonly used for satellite communications and 5G base stations [10], radio astronomy [11], and cloud liquid water radiometry [12]) PGGWG resonant cavity exploiting the DC isolation advantage of the structure, using a simple varactor diode basing scheme previously analyzed theoretically [13, 14]. We extend on the prior simulation study by presenting a detailed circuit-electromagnetic (EM) co-simulation model, providing measurement results, investigating discrepancies between simulated and measured results through a detailed inspection of the multi-layer PCB stack-up (providing critical data for improved first-iteration modeling and prototyping accuracy for PGGWG development in future, which is not reported in [13, 14]), and systematically comparing the measured data to those of other approaches in the state-of-the-art literature.

## Tunable PGGWG cavity geometry

PGGWG is realized within parallel plate waveguide by using blind vias and catch pads to create an electromagnetic bandgap (EBG) medium on either sides of a groove (Fig. 1(a)) [7]. The groove allows for the propagation of  $TE_{10}$  mode similar to that in SIW. The EBG suppresses the parallel plate mode that would otherwise propagate along the sidewalls, similar to machined GGWG [15]. The advantage of biasing varactors using PGGWG is evident by

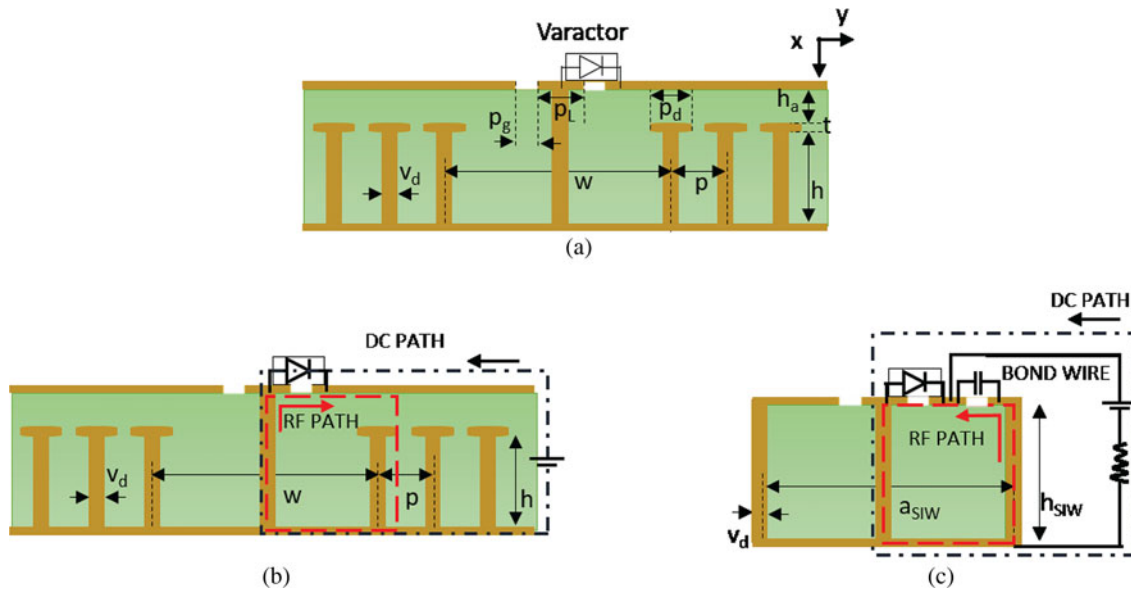


Fig. 1. (a) PGGWG cavity cross-section. (b) RF and DC signal path in PGGWG rectangular resonant cavity. (c) RF and DC signal path in SIW resonant cavity [4].

Table 1. Dimensions of the tunable PGGWG rectangular resonant cavity

Parameter	Value (mm)
$w$	5.48
$h$	0.508
$h_a$	0.168
$v_d$	0.3
$p_d$	0.7
$p$	0.95
$p_L$	0.75
$p_g$	0.15
$t$	0.017

the comparison in Figs 1(b) and 1(c). Although a varactor-loaded combline cavity in PGGWG may be loaded using only conventional surface-mount components and etched DC traces, the loading of varactors in coaxial SIW cavities requires a bridging wire and multiple annular rings. It is the bridging wires, in particular, that hamper mass production of the circuit, as it is a manufacturing step incompatible with automated pick-and-place PCB assembly. The disadvantage of PGGWG is that at least three copper routing layers are required, while SIW may be implemented on a single double-sided PCB. However, as multi-layer PCBs are commonly used for e.g. SatCom applications [10], this is not necessarily a major drawback to the topology.

The geometry of the rectangular tunable PGGWG cavity described here resembles a combline resonator topology, although the field pattern suggests a TE<sub>101</sub> operating mode. The rectangular resonant cavity shown in Fig. 2 uses three rows of EBG vias to form cavity sidewalls. This has been demonstrated to be sufficient to suppress parallel waves, ensuring that the field is confined within the groove [7]. The dimensions of the cavity are shown in Table 1. The non-PTFE, low-cost Mercurywave 9350 substrate with  $\epsilon_r = 3.5$  and loss tangent of 0.004 was used.

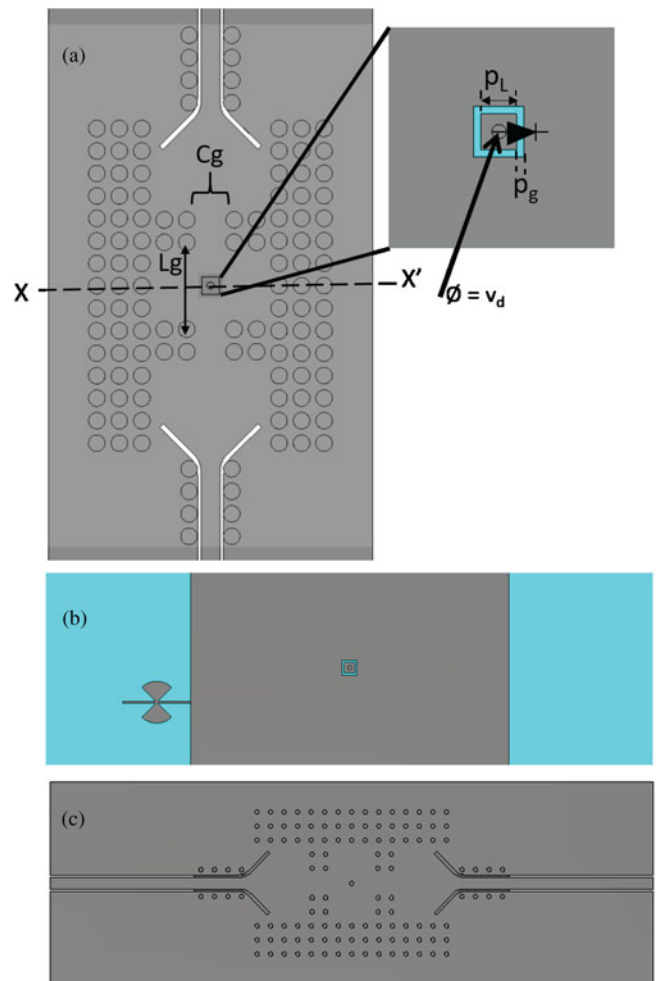


Fig. 2. PGGWG rectangular resonant cavity structure. (a) Inside view of the cavity. (b) Top view showing the center via and isolated metal patch and varactor diode attachment. (c) Bottom view.

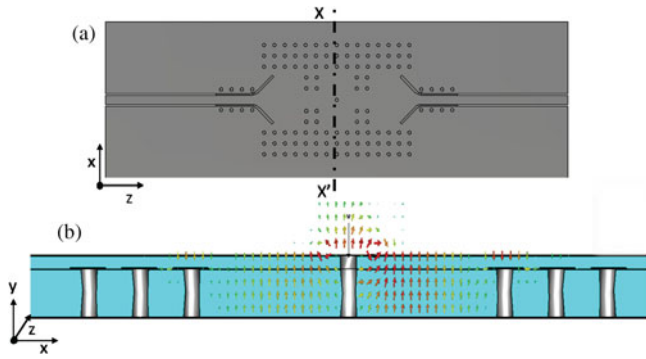


Fig. 3. Electric field vector plot inside the cavity.

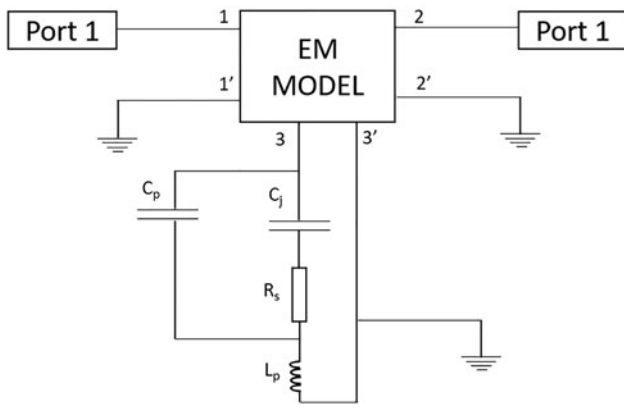


Fig. 4. 3D EM-circuit co-simulation set-up showing the equivalent circuit model for MACOM 46461-276 varactor diode connected.

The length of the cavity,  $L_g$  is chosen to ensure the fundamental  $TE_{101}$  mode resonates in the cavity while the coupling to the cavity, set by the iris width  $C_g$ , is chosen to minimize port loading effects, as is required by the three-point  $Q_0$  extraction method [16]. The application of this technique requires light coupling (as seen in e.g. Fig. 5) and values of  $S_{11} \approx 1$ , leading to the approximation of  $Q_0 \approx Q_e$  in extracting  $Q_0$  from  $S$ -parameters. A through-hole plated via of diameter  $v_d = 0.3$  mm is placed at the center of the cavity connecting the top isolated patch with the bottom conducting plane. The etched gap of width  $p_g$  ensures DC isolation despite the through-hole via in the middle of the cavity. The gap creates a capacitive loading between the center post and the top metal layer of the PGGWG through the fringing fields across the gap. This can be observed in Fig. 3 in the gap between the island patch and the top metal plate. As there is no experimentally defined definition of effective cavity width for PGGWG (as is available for SIW [17]), and since the analytically-defined coaxial resonant mode in [3] is not present here, the cavities are sized using full-wave parameter tuning.

Extensive parametric studies on the effects of  $p$ ,  $h$ ,  $v_d$  and  $h_a$  variation on PGGWG have been presented previously [9, 13]. The results of these parametric studies are applied here, to ensure that the band gap generated by the blind via rows (which effectively form the cavity sidewalls) covers the frequency range of the loaded  $TE_{101}$  resonant mode of the cavity, as determined by  $L_g$  (selected to be approximately  $\lambda_g/2$  at the required  $f_0$ , given the value of  $\beta$  reported in [9]) and  $w$ .

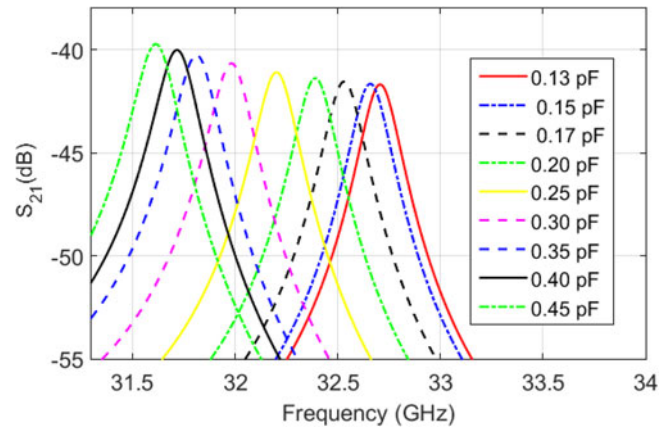


Fig. 5. EM co-simulation result of  $S_{21}$  (dB) of the two-port loaded rectangular PGGWG cavity.

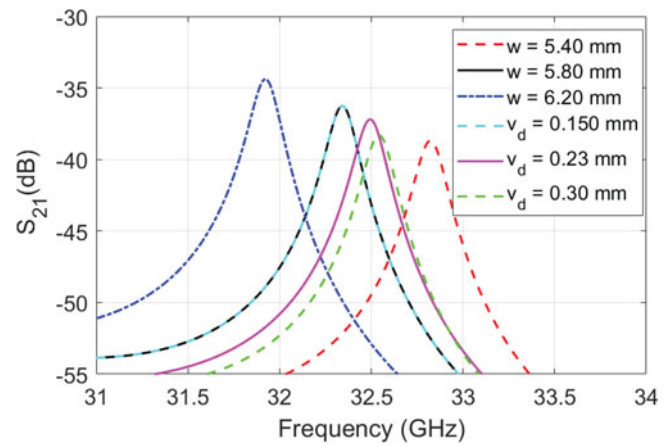


Fig. 6. Parameter sweep of tunable PGGWG cavity dimensions for a constant varactor  $C_j = 0.37$  pF. Variation in  $w$  and  $v_d$  is shown.

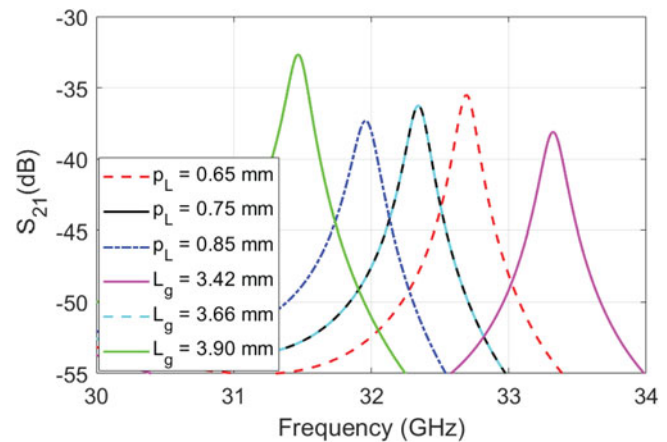
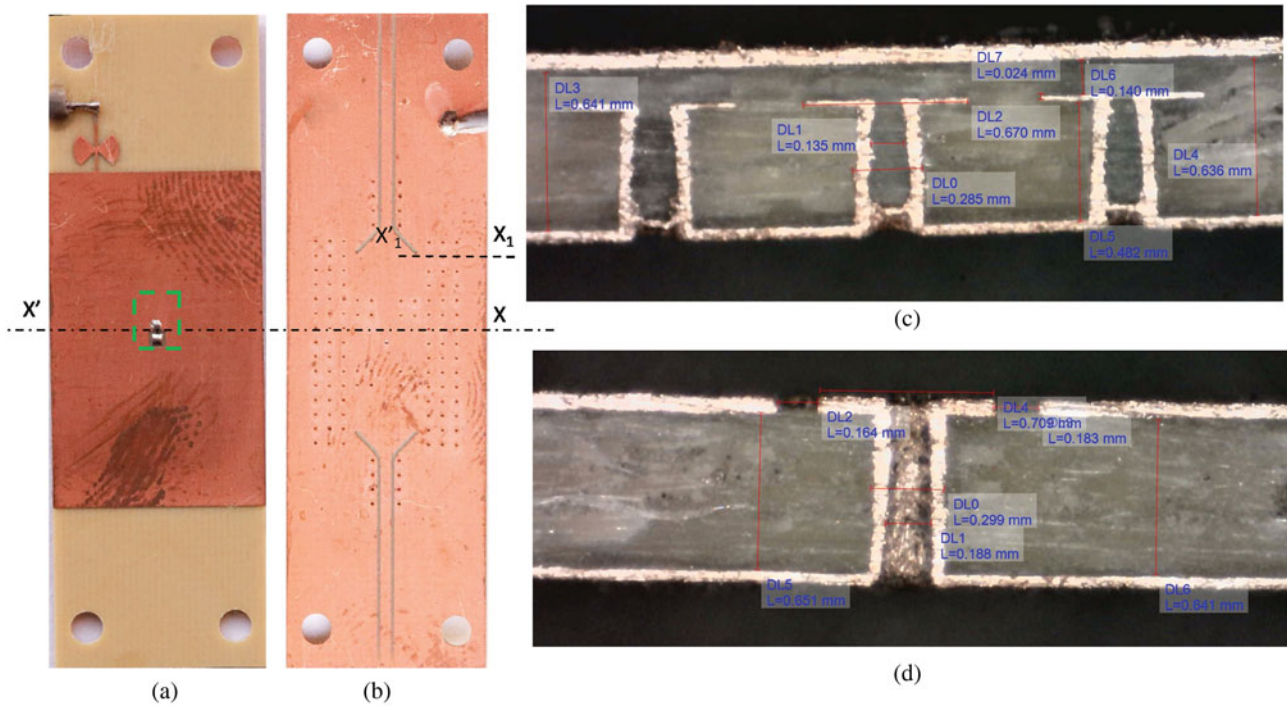


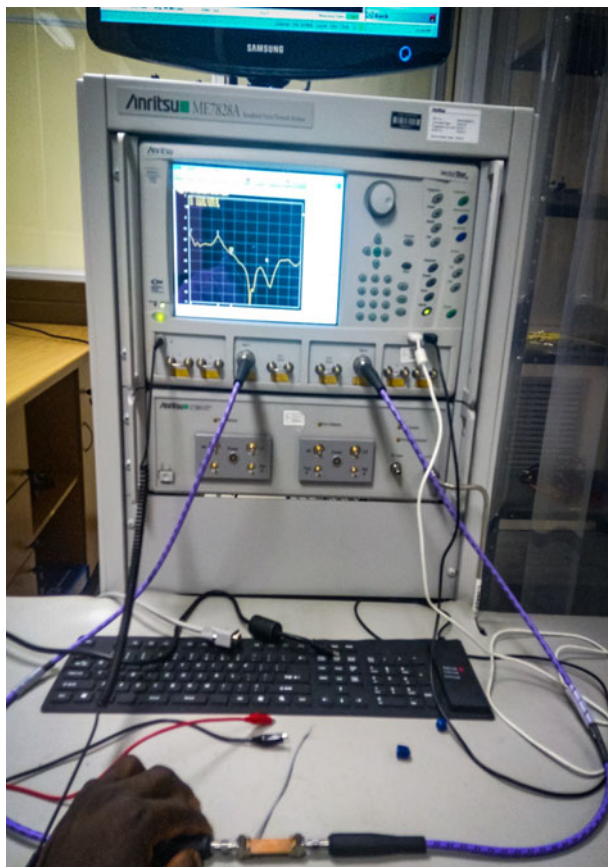
Fig. 7. Parameter sweep of tunable PGGWG cavity dimensions for a constant varactor  $C_j = 0.37$  pF. Variation in  $p_L$  and  $L_g$  is shown.

A sequential multilayer PCB build is applied in the manufacturing of the PGGWG. The EBG via holes are first drilled and plated on the substrate of height  $h$ , followed by through-hole plating and etching of the catch pads from the  $17.5 \mu\text{m}$  copper cladding. The top substrate layer  $h_a$  is then added. The center via of the cavity is then drilled through the stack-up and through-hole plated,

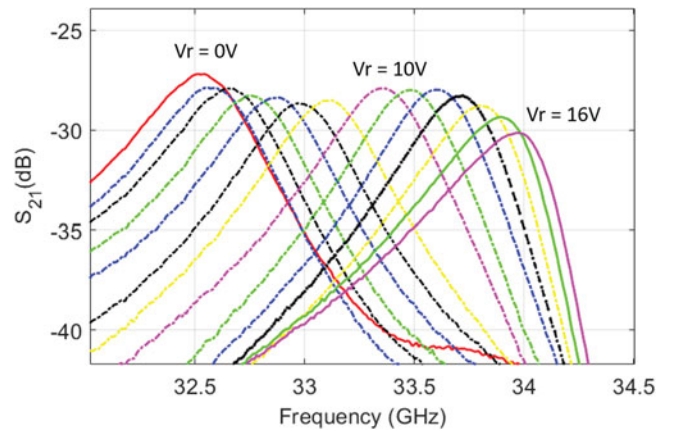




**Fig. 8.** Photographs of the fabricated PGGWG tunable cavity circuit. (a) Top view with varactor diode attached. (b) Bottom view. (c) Micrographs showing the cross section  $X_1-X_1'$ . (d) Micrographs showing the cross section  $X-X'$ . (e) Varactor diode attachment on the top plane. (f) DC bias line.



**Fig. 9.** Photograph of the fabricated circuit attached to the network analyzer.

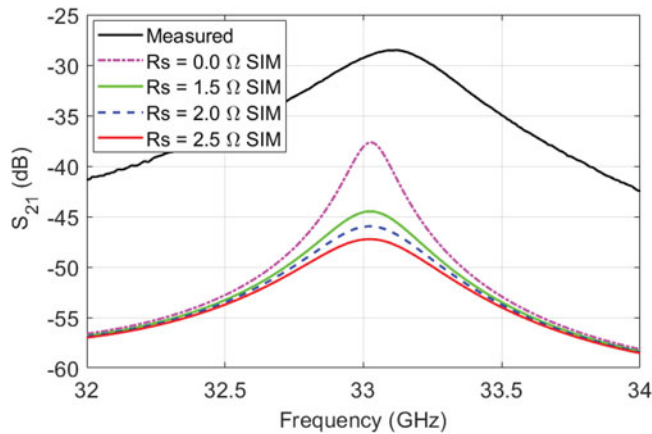


**Fig. 10.** Measured  $S_{21}$  (dB) of the tunable PGGWG cavity.

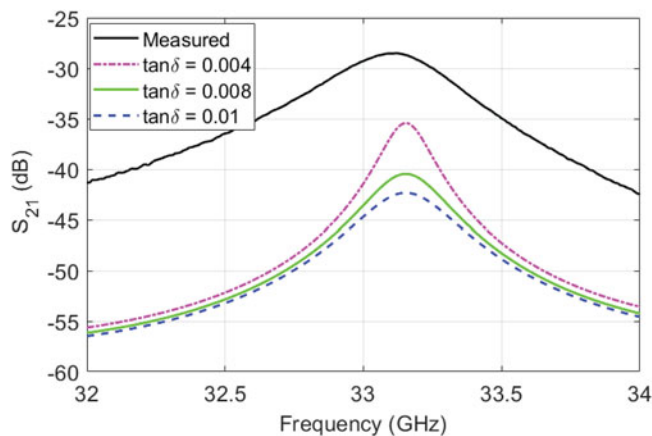
after which the floating pad is etched. As the center via is only drilled and plated after lamination, there is no risk for misalignment of separately drilled and plated vias (which may have been the case if the  $h$  and  $h_a$  substrates were drilled and plated separately prior to lamination). Consequently, there is no need for a catch-pad to provide for possible misalignment in the center via.

### Simulation results

The loading capacitance  $C_g$  across the gap  $p_g$  of Fig. 2 is controlled electrically by placing a varactor diode in reverse bias across the gap [13]. The varactor diode is biased by applying the DC voltage directly to the top conducting plane via a butterfly stub, which



**Fig. 11.** Comparison for  $R_s$  and  $\tan \delta$  with surface roughness  $1.6 \mu\text{m}$  included in EM-co simulation of the tunable cavity.  $R_s$  is varied with  $C_{jo} = 0.37 \text{ pF}$ ,  $\tan \delta = 0.004$ .



**Fig. 12.** Comparison for  $R_s$  and  $\tan \delta$  with surface roughness  $1.6 \mu\text{m}$  included in EM-co simulation of the tunable cavity.  $\tan \delta$  is varied with  $R_s = 1.0 \Omega$ ,  $C_{jo} = 0.37 \text{ pF}$ .

presents an RF open circuit at the point of contact with the top plate of the PGGWG cavity and an RF short circuit at the DC side of the stub.

An EM-circuit co-simulation is performed in CST Microwave Studio using the time domain solver as shown in Fig. 4. A MACOM 46580 varactor diode is selected in this design example, with  $C_{jo} = 1.57 \text{ pF}$ . The parasitics of the varactor diode packaging,  $C_p$  and  $L_p$  are also included, as detailed in the manufacturer's datasheet.

Figure 5 shows the resulting S-parameters, which indicates a variation of  $f_0$  from 31.63 to 32.71 GHz (3.36% tuning range) achieved by varying the junction capacitance from 0.15 to 0.45 pF. Neglecting surface roughness, the unloaded Q-factor varies over the tuning range from 143 to 160. In addition to the effect of parametric variations on  $h$ ,  $v_d$  and  $p_d$  reported previously [7–9], the parametric sweeps shown in Figs 6 and 7 indicate that the resonant frequency of the PGGWG cavity could be selected from a combination of parameters. In Fig. 6, it can be observed that an increase in the width  $w$  (parameter indicated in Fig. 1) decreases the resonant frequency of the cavity. Similarly, the changes in the cavity length  $L_g$  as shown in Fig. 7 influence the resonant frequency of the cavity. The inverse relationship between  $f_0$  and  $w$ , as well as  $L_g$ , supports the view that the cavity exhibits

**Table 2.** Comparison between the dimensions of simulated and fabricated circuits

	Simulation (mm)	Fabricated (mm)	Error ( $\mu\text{m}$ )
$h$	0.508	0.482	26 (5.1%)
$h_a$	0.168	0.140	28 (16.6%)
$p_d$	0.7	0.670	30 (4.28%)
$v_d$	0.3	0.285	15 (5%)
$p_L$	0.75	0.709	41 (5.7%)
$p_g$	0.15	0.165	15 (10%)

**Table 3.** Comparison between simulated and measured results

	Simulation	Measurement
Frequency range (GHz)	31.61–32.53	32.52–33.98
Tuning range (%)	3.36	4.48
$Q_u$	143–160	463–85

a TE<sub>101</sub>-type resonant mode, although the effective width  $a_{\text{eff}}$  is not well-defined as with SIW, which complicates an analytical calculation of  $f_0$ .

A variation in the square catch pad dimension,  $p_L$  is shown in Fig. 7. A larger pad results in lower resonant frequency, due to an increased capacitive load to the PGGWG cavity. In comparison, variation in the via diameter,  $v_d$ , has a much smaller effect on resonant frequency, as shown in Fig. 6.

### Construction and measurement results

Figures 8(a) and 8(b) show the fabricated circuit (top and bottom views) with the varactor diode attached. Micrographs of sectioned views along the sidewall  $X_1-X_1'$  and along the center  $X-X'$  are shown in (Figs 8(c) and 8(d)), respectively.

The prototype is characterized on an Anritsu MS4647A VNA (Fig. 9). The measured results shown in Fig. 10 indicate a 4.48% continuous frequency tuning range from 32.52 to 33.98 GHz, corresponding to DC bias voltage range of 0–16 V. The resonator  $Q_0$  varies from 63 to 85 across the tuning range. Table 3 compares simulated and measured results.

After including  $1.6 \mu\text{m}$  RMS copper foil surface roughness [18] in the EM co-simulation of the tunable cavity, the discrepancy between simulated and measured Q-factors can be replicated, in simulation, by increasing  $R_s$  to  $2.0 \Omega$  (100% increase) resulting in an unloaded Q-factor of 76, or increasing  $\tan \delta$  to 0.01 (150% increase) with unloaded Q-factor of 87. These changes can be observed in Figs 11 and 12. The cause for the reduced Q-factor is, therefore, more likely to be underestimation of  $R_s$  in the circuit model than underestimation of  $\tan \delta$ .

The discrepancy between the simulated and manufactured geometries, as determined by the micrograph, is shown in Table 2. This manufacturing error can explain the shift in the resonant frequency of the circuit. A variation in the catch pad size  $p_d$  changes the resonance frequency of the cavity [9]. A decrease in the pad dimension increases the suppression band of the EBG, therefore increasing the resonant frequency of the cavity. Also, as observed in Table 3, the gap height  $h_a$  indicates a manufacturing error of  $28 \mu\text{m}$  (16.6%). This changes the capacitance between the top conducting plane

**Table 4.** Comparison of tunable resonant cavities

Ref.	$f_0$ (GHz)	$Q_0$	Tuning range (%)	Number of varactors	DC routing
[19]	9.635	132–138	6.54	1	Bridging wires
[20]	13.03	N/A	1.23	1	Bridging wires
[4]	2.85	40–150	17.55	1	Bridging wires
[5]	0.82	90–214	73.17	20	Bridging lead resistors
[5]	2.22	35–100	55.86	1	Bridging lead resistors
[21]	2.1	280–296	28.57	1	Multi-layer routing
[22]	3.8/5.8	55	3.6	2	Bridging wires
[23]	11.6	286–299	4.3	1	Multi-layer routing
[24]	10	130–140	2.1	1	Bridging wires
This study	33.25	63–85	4.39	1	Uni-planar

and the round catch pad, resulting in a shift of the suppression band of the PGGWG structure. Furthermore, the shift in frequency can also be attributed to an underestimation of the varactor parasitics in simulation. The 12 dB discrepancy between simulated and measured  $S_{21}$  maxima represents a variation of only 2.4% in transmission magnitude, and may safely be attributed to increased strength in coupling resulting from the reduced values of  $p_d$  and  $v_d$ . The three-point  $Q_0$  characterization method [16] is not affected by this discrepancy, although this variation should be carefully considered in other applications where a specific  $Q_e$  is sought (e.g. in filter or voltage controlled oscillator (VCO) circuits).

Table 4 compares our study to the state-of-the-art research studies in terms of achieved  $f_0$ ,  $Q_0$ , tuning range, number of varactors used, and the necessity for bridging wires or multi-layer routing.  $S$ -parameters and external  $Q$ -factor  $Q_e$  are omitted from the comparison, as these are functions of resonator coupling (as determined by the synthesis of the application filter or VCO) and are not intrinsic performance metrics of the resonator itself [16]. From this table, it is evident that to enable varactor diode biasing, state-of-the-art schemes require multi-layer routing or bridging wires bridging wires or multi-layer routing, to which this study is an exception.

## Conclusion

Experimental validation of a tunable PGGWG resonant cavity is presented. This prototype demonstrates the benefit of PGGWG over SIW by exploiting the DC-isolated conducting planes to bias a varactor diode, without annular rings or bridging wires to create a frequency agile combline resonator. Future research will extend this approach to other frequency agile applications, such as tunable filters and VCOs, establish analytical methods to synthesize the cavity, as well as experimental comparison with other planar guided media with similarly DC-isolated planes, e.g. corrugated SIW [6].

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technologies used in front-end radio receivers.



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