

## ELATION GENERALIZED QUADRANGLES FOR WHICH THE NUMBER OF LINES ON A POINT IS THE SUCCESSOR OF A PRIME

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### Abstract

We show that an elation generalized quadrangle that has  $p + 1$  lines on each point, for some prime  $p$ , is classical or arises from a flock of a quadratic cone (that is, is a *flock quadrangle*).

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### 1. Introduction

A *generalized quadrangle* is an incidence structure of points and lines such that, if  $P$  is a point and  $\ell$  is a line not incident with  $P$ , then there is a unique line through  $P$  that meets  $\ell$  in a point. From this property, if there is a line containing at least three points or if there is a point on at least three lines, then one can see that there are constants  $s$  and  $t$  such that each line is incident with  $t + 1$  points, and each point is incident with  $s + 1$  lines. Such a generalized quadrangle is said to have *order*  $(s, t)$ , and hence its point–line dual is a generalized quadrangle of order  $(t, s)$ . Of the known generalized quadrangles, most admit a group of elations (see Section 2 for a definition) and are called *elation generalized quadrangles*. In this paper, we will be interested in elation generalized quadrangles where the parameter  $t$  is prime.

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If  $\mathcal{S}$  is an elation generalized quadrangle of order  $(p, t)$ , for some prime  $p$ , then the elation group  $G$  is a  $p$ -group (see [Fro88, Lemma 6], and note that  $s$  and  $t$  are interchanged!). In this situation, we have, by a deep result of Bloemen, Thas and Van Maldeghem [BTVM96], that  $\mathcal{S}$  is isomorphic to one of the classical generalized quadrangles  $W(p)$ ,  $Q(4, p)$ , or  $Q(5, p)$ . The same is not true if we interchange points and lines. Suppose that  $\mathcal{S}$  is an elation generalized quadrangle of order  $(s, p)$  (where  $p$  is a prime). Again, by a result of Frohardt [Fro88, Lemma 6], we have that the elation group is a  $p$ -group; however, there exist candidates for  $\mathcal{S}$  that are not classical but are known as *flock quadrangles*. These elation generalized quadrangles are obtained from a flock of  $PG(3, p)$  (a partition of the points of a quadratic cone of  $PG(3, p)$ , minus its vertex, into conics) and they have order  $(p^2, p)$ . Such a quadrangle is classical if and only if the flock is linear; and there do exist nonlinear flocks for  $p$  a prime at least 5 (see [PT84, Section 10.6]). In this paper, we prove the following result that is complementary to that of Bloemen, Thas and Van Maldeghem.

**THEOREM 1.1.** *If  $p$  is a prime, then an elation generalized quadrangle of order  $(s, p)$  is classical or a flock quadrangle.*

Note that the above theorem does not hold when  $p$  is replaced by a prime power since the duals of the Tits quadrangles  $T_3(O)$  arising from the Tits ovoids are elation generalized quadrangles of order  $(q^2, q)$  (for  $q = 2^h$  and  $h$  an odd number at least 3) that are not flock quadrangles, and the Roman elation generalized quadrangles of Payne are of order  $(q^2, q)$  (for  $q = 3^h$ ,  $h > 2$ ) but are not flock quadrangles.

The proof of Theorem 1.1 relies on the following result concerning Kantor families for groups of order  $p^5$  (see Section 2 for a definition of Kantor families).

**THEOREM 1.2.** *If  $p$  is an odd prime and  $G$  is a finite  $p$ -group of order  $p^5$  that admits a Kantor family of order  $(p^2, p)$ , then  $G$  is an extraspecial group of exponent  $p$ .*

In Sections 2 and 3, we briefly revise the basic background theory and definitions needed for this paper. Kantor families for groups of order  $p^5$  are then investigated in Section 4, and Theorem 1.2 is proved in Section 5. Finally in Section 6 we prove Theorem 1.1.

Though our group theoretic notation is standard, we briefly review it for the sake of a reader whose interest lies more in geometry than in group theory. If  $a$  is a group element of order  $p$  and  $\alpha \in \mathbb{F}_p$  then, identifying  $\alpha$  with an element in  $\{0, \dots, p-1\}$ , we may write  $a^\alpha$ . If  $a$  and  $b$  are group elements, then we define their *commutator* as  $[a, b] = a^{-1}b^{-1}ab$ . The properties of group commutators that we need in this paper are listed, for instance, in [Rob96, Section 5.1.5]. The *centre* of a group  $G$  consists of those elements  $z \in G$  that satisfy  $[g, z] = 1$  for all  $g \in G$ . If  $H, K$  are subgroups of a group  $G$ , then the *commutator subgroup*  $[H, K]$  is generated by all commutators  $[a, b]$  where  $a \in H$  and  $b \in K$ . The *derived subgroup*  $G'$  of  $G$  is defined as  $[G, G]$ . The symbol  $\gamma_i(G)$  denotes the  $i$ th term of the *lower central series* of  $G$ ; that is  $\gamma_1(G) = G$ ,  $\gamma_2(G) = G'$ , and, for  $i \geq 3$ ,  $\gamma_{i+1}(G) = [\gamma_i(G), G]$ . The *nilpotency class* of a  $p$ -group is the smallest  $c$  such that  $\gamma_{c+1}(G) = 1$ . The *Fratini subgroup*  $\Phi(G)$  of a finite group

$G$  is the intersection of all the maximal subgroups. If  $G$  is a finite  $p$ -group, then  $\Phi(G) = G'G^p$  and  $\log_p |G : \Phi(G)|$  is the size of a minimal set of generators for  $G$ . The basic properties of the Frattini subgroup of a  $p$ -group can be found, for instance, in [Rob96, Section 5.3]. The *exponent* of a finite group  $G$  is the smallest positive  $n$  such that  $g^n = 1$  for all  $g \in G$ .

## 2. Generalized quadrangles and Kantor families

**2.1. The basics** A (finite) generalized quadrangle is an incidence structure of points  $\mathcal{P}$ , lines  $\mathcal{L}$ , together with a symmetric point–line incidence relation satisfying the following axioms:

- (i) each point lies on  $t + 1$  lines ( $t \geq 1$ ) and two distinct points are incident with at most one line;
- (ii) each line contains  $s + 1$  points ( $s \geq 1$ ) and two distinct lines are incident with at most one point; and
- (iii) if  $P$  is a point and  $\ell$  is a line not incident with  $P$ , then there is a unique point on  $\ell$  collinear with  $P$ .

We say that our generalized quadrangle has order  $(s, t)$  (or order  $s$  if  $s = t$ ), and the point–line dual of a generalized quadrangle of order  $(s, t)$  is again a generalized quadrangle but of order  $(t, s)$ . Higman's inequality states that the parameters  $s$  and  $t$  bound one another; that is, if  $s, t > 1$  then  $t \leq s^2$  and, dually,  $s \leq t^2$ . A collineation  $\theta$  of  $\mathcal{S}$  is an *elation* about the point  $P$  if it is either the identity collineation, or it fixes each line incident with  $P$  and fixes no point not collinear with  $P$ . If there is a group  $G$  of elations of  $\mathcal{S}$  about the point  $P$  such that  $G$  acts regularly on the points not collinear with  $P$ , then we say that  $\mathcal{S}$  is an *elation generalized quadrangle* with elation group  $G$  and *base point*  $P$ . Necessarily,  $G$  has order  $s^2t$ .

The *classical generalized quadrangles*  $W(q)$ ,  $Q(4, q)$ ,  $H(3, q^2)$ ,  $Q(5, q)$  and  $H(4, q^2)$  are elation generalized quadrangles and arise as polar spaces of rank 2. The first of these is the incidence structure of all points of  $PG(3, q)$  and totally isotropic lines with respect to a null polarity, and is a generalized quadrangle of order  $q$ . The point–line dual of  $W(q)$  is  $Q(4, q)$ , the parabolic quadric of  $PG(4, q)$ , and is therefore a generalized quadrangle of order  $q$  (see [PT84, 3.2.1]). The incidence structure of all points and lines of a nonsingular Hermitian variety in  $PG(3, q^2)$ , which forms the generalized quadrangle  $H(3, q^2)$  of order  $(q^2, q)$ , has as its point–line dual the elliptic quadric  $Q(5, q)$  in  $PG(5, q)$ , which is a generalized quadrangle of order  $(q, q^2)$  (see [PT84, 3.2.3]). The remaining classical generalized quadrangle,  $H(4, q^2)$ , is the incidence structure of all points and lines of a nonsingular Hermitian variety in  $PG(4, q^2)$ , and is of order  $(q^2, q^3)$  (see [PT84, 3.1.1]).

**2.2. Kantor families** Now standard in the theory of elation generalized quadrangles are the equivalent objects known commonly as *4-gonal families* or *Kantor families* (after their inventor). Let  $G$  be a group of order  $s^2t$  and suppose there exist two families of subgroups  $\mathcal{F} = \{A_0, \dots, A_t\}$  and  $\mathcal{F}^* = \{A_0^*, \dots, A_t^*\}$  of  $G$  such that:

- (a) every element of  $\mathcal{F}$  has order  $s$  and every element of  $\mathcal{F}^*$  has order  $st$ ;
- (b)  $A_i \leq A_i^*$  for all  $i$ ;
- (c)  $A_i \cap A_j^* = 1$  for  $i \neq j$  (the ‘tangency condition’); and
- (d)  $A_i A_j \cap A_k = 1$  for distinct  $i, j, k$  (the ‘triple condition’).

Then the triple  $(G, \mathcal{F}, \mathcal{F}^*)$  is called a *Kantor family*, but we will also say that  $(\mathcal{F}, \mathcal{F}^*)$  is a *Kantor family for  $G$* . The pair  $(s, t)$  is said to be the *order* of  $(\mathcal{F}, \mathcal{F}^*)$ . From a Kantor family as described above, we can define a point–line incidence structure as follows.

Points	Lines
elements $g$ of $G$	right cosets $A_i g$
right cosets $A_i^* g$	symbols $[A_i]$
a symbol $\infty$	

Note that  $A_i \in \mathcal{F}, A_i^* \in \mathcal{F}^*, g \in G$ . Incidence comes in four flavours (points on the left, lines on the right):

$$\begin{aligned}
 g &\sim A_i g \\
 A_i^* g &\sim [A_i] \\
 A_i^* g &\sim A_i h, \quad \text{where } A_i h \subseteq A_i^* g, \\
 \infty &\sim [A_i].
 \end{aligned}$$

It turns out that this incidence structure is an elation generalized quadrangle of order  $(s, t)$  with base point  $\infty$  and elation group  $G$ . Remarkably, all elation generalized quadrangles arise this way [PT84, Section 8.2], and we obtain a so-called *translation generalized quadrangle* when  $G$  is abelian [PT84, 8.2.3].

### 3. Flock quadrangles and special groups

**3.1. Flock generalized quadrangles** A  $q$ -clan is a set of  $2 \times 2$  matrices over  $\text{GF}(q)$ , of size  $q$ , the difference of any two being anisotropic. Payne introduced  $q$ -clans in [Pay85], and used them to construct elation generalized quadrangles of order  $(q^2, q)$ . A *flock* of the quadratic cone  $\mathcal{C}$  with vertex  $v$  in  $\text{PG}(3, q)$  is a partition of the points of  $\mathcal{C} \setminus \{v\}$  into conics. Thus [Tha87] showed that a flock gives rise to an elation generalized quadrangle of order  $(q^2, q)$ , which we call a *flock quadrangle*. The flocks of  $\text{PG}(3, q)$  have been classified by Law and Penttila [LP03] for  $q$  at most 29. A *BLT-set of lines* of  $\text{W}(q)$  is a set  $\mathcal{L}$  of  $q + 1$  lines of  $\text{W}(q)$  such that no line of  $\text{W}(q)$  is concurrent with more than two lines of  $\mathcal{L}$ . In [BLT90], it was shown that, for  $q$  odd, a flock of a quadratic cone in  $\text{PG}(3, q)$  gives rise to a BLT-set of lines of  $\text{W}(q)$ . Also for  $q$  odd, Knarr [Kna92] gave a direct geometric construction of an elation generalized quadrangle from a BLT-set of lines of  $\text{W}(q)$ . The ingredients of the Knarr construction are as follows:

- (i) a symplectic polarity  $\rho$  of  $\text{PG}(5, q)$ ;
- (ii) a point  $P$  of  $\text{PG}(5, q)$ ;
- (iii) a 3-space inducing a  $W(q)$  contained in  $P^\perp$ , but not containing  $P$ ; and
- (iv) a BLT-set of lines  $\mathcal{L}$  of  $W(q)$ .

For each element  $\ell_i$  of  $\mathcal{L}$ , let  $\pi_i$  be the plane spanned by  $\ell_i$  and  $P$ . Then we construct an elation generalized quadrangle as follows.

Points	Lines
points of $\text{PG}(5, q)$ not in $P^\rho$	totally isotropic planes not contained
lines of $\text{PG}(5, q)$ not incident with $P$ but contained in some $\pi_i$	in $P^\rho$ and meeting some $\pi_i$ in a line the planes $\pi_i$
the point $P$	

Incidence is inherited from that of  $\text{PG}(5, q)$ .

Kantor [Kan91, Lemma] showed that a Kantor family of the flock elation group that is constructed from a  $q$ -clan gives rise to a BLT-set of lines of  $W(q)$ . We show in Section 6 that, for  $q$  prime, any Kantor family of a flock elation group gives rise to a BLT-set of lines of  $W(q)$ , and the resulting flock quadrangle obtained by the Knarr construction is isomorphic to the elation generalized quadrangle arising from the given Kantor family.

**3.2. Special and extraspecial groups** A finite  $p$ -group  $G$  is *special* if its centre, its derived subgroup and its Frattini subgroup coincide. Moreover, we say that a special group is *extraspecial* if its centre is cyclic of prime order. The exponent of a special group is either  $p$  or  $p^2$ . Further, the order of an extraspecial group is of the form  $p^{2m+1}$ , where  $m$  is a positive integer. For each such  $m$  there are, up to isomorphism, precisely two extraspecial groups of order  $p^{2m+1}$ , one with exponent  $p$ , and another with exponent  $p^2$  (see [Asc00, Section 8]). The elation groups of the flock quadrangles of order  $(p^2, p)$  are extraspecial of exponent  $p$  (see [Pay89]).

Here we recall a few facts about extraspecial  $p$ -groups that can be readily found in [Asc00, Section 8]. The quotient group  $E/Z(E)$  is an elementary abelian  $p$ -group forming a vector space  $V$  over  $\text{GF}(p)$ . Moreover, the map from  $V^2$  to  $Z(E)$  defined by

$$\langle Z(E)x, Z(E)y \rangle = [x, y]$$

defines an alternating form on  $V$ . Thus for  $m = 2$ , we obtain the generalized quadrangle  $W(p)$ , where the totally isotropic subspaces correspond to abelian subgroups of  $E$  properly containing  $Z(E)$ .

### 4. Kantor families for $p$ -groups of order $p^5$

Recall that the elation group of a generalized quadrangle of order  $(p^2, p)$ ,  $p$  prime, has order  $p^5$ . Thus we provide in this section some powerful tools that will enable us to prove Theorem 1.2.

**LEMMA 4.1.** *Let  $(G, \mathcal{F}, \mathcal{F}^*)$  be a Kantor family giving rise to an elation generalized quadrangle  $\mathcal{S}$  of order  $(s, t)$ . Suppose that  $H$  is a subgroup of  $G$  of order  $t^3$  such that, for all  $A \in \mathcal{F}$  and  $A^* \in \mathcal{F}^*$ ,*

$$|A^* \cap H| \geq t^2 \quad \text{and} \quad |A \cap H| \geq t.$$

Then

$$(\{A \cap H \mid A \in \mathcal{F}\}, \{A^* \cap H \mid A^* \in \mathcal{F}^*\})$$

is a Kantor family for  $H$  giving rise to an elation generalized quadrangle of order  $t$ .

**PROOF.** Suppose that  $A$  and  $B$  are a pair of distinct elements of  $\mathcal{F}$ , and let  $A^*$  and  $B^*$  be the respective elements of  $\mathcal{F}^*$  such that  $A \leq A^*$  and  $B \leq B^*$ . Since  $A$  and  $B^*$  intersect trivially, we have that

$$|A^* H| \geq |A^*(B \cap H)| = \frac{|A^*||B \cap H|}{|A^* \cap B \cap H|} \geq st^2.$$

Therefore

$$|A^* \cap H| = |A^*||H|/|A^* H| \leq t^2,$$

and so  $A^*$  and  $H$  intersect in  $t^2$  elements, for all  $A^* \in \mathcal{F}^*$ . Similarly,

$$|AH| \geq |A(B^* \cap H)| = |A||B^* \cap H| \geq st^2,$$

and so  $|A \cap H| = t$ , for all  $A \in \mathcal{F}$ . The ‘triple’ and ‘tangency’ conditions follow from those in  $(G, \mathcal{F}, \mathcal{F}^*)$ . □

**THEOREM 4.2.** *Let  $p$  be an odd prime. A generalized quadrangle of order  $(p^2, p)$  with an elation subquadrangle of order  $p$  is isomorphic to  $H(3, p^2)$ . Moreover, the subquadrangle here is isomorphic to  $W(p)$  and so is not a translation generalized quadrangle.*

**PROOF.** Let  $\mathcal{S}$  be a generalized quadrangle of order  $(p^2, p)$  with an elation subquadrangle  $\mathcal{S}'$  of order  $p$ . By [BTVM96], an elation generalized quadrangle of order  $p$  is isomorphic to either  $W(p)$  or  $Q(4, p)$ . Now every line of our given generalized quadrangle of order  $(p^2, p)$  induces a spread of the subquadrangle; but  $Q(4, p)$  has no spreads for  $p$  odd (see [PT84, 3.4.1(i)]). Therefore,  $\mathcal{S}'$  is isomorphic to  $W(p)$ . It was proved by Brown [Bro02], and independently by Brouns, Thas and Van Maldeghem [BTVM02], that if a generalized quadrangle  $\mathcal{S}$  of order  $(q, q^2)$  has

a subquadrangle  $\mathcal{S}'$  isomorphic to  $Q(4, q)$ , and if in  $\mathcal{S}'$  each ovoid  $\mathcal{O}_X$  consisting of all of the points collinear with a given point  $X$  of  $\mathcal{S} \setminus \mathcal{S}'$  is an elliptic quadric, then  $\mathcal{S}$  is isomorphic to  $Q(5, q)$ . By a result of Ball, Govaerts and Storme [BGS06], if  $p$  is a prime then every ovoid of  $Q(4, p)$  is an elliptic quadric. Therefore, by dualizing, we have that  $\mathcal{S}$  is isomorphic to  $H(3, p^2)$ .  $\square$

The reason why we have pointed out that the subquadrangle is not a translation generalized quadrangle will become apparent in Section 5. We obtain the following consequence of Theorem 4.2.

**LEMMA 4.3.** *Let  $p$  be a prime and let  $(G, \mathcal{F}, \mathcal{F}^*)$  be a Kantor family giving rise to an elation generalized quadrangle  $\mathcal{S}$  of order  $(p^2, p)$ . Suppose that  $H$  is a subgroup of  $G$  of order  $p^3$  with the property that, for all  $A^* \in \mathcal{F}^*$ , we have  $|A^* \cap H| \geq p^2$ . Then  $\mathcal{S}$  is isomorphic to  $H(3, p^2)$ .*

**PROOF.** Let  $A \in \mathcal{F}$  and  $A^* \in \mathcal{F}^*$  such that  $A \leq A^*$ . The condition  $|A^* \cap H| \geq p^2$  implies that  $A^*H \neq G$ . This gives  $AH \neq G$ , and so  $|A \cap H| \geq p$ . Now it follows from Lemma 4.1 that  $H$  gives rise to an elation subquadrangle  $\mathcal{S}'$  of order  $p$ . The remainder follows from Theorem 4.2.  $\square$

For  $p$  odd,  $W(p)$  is not a translation generalized quadrangle, which implies in the previous lemma that  $H$  is nonabelian. The next result gives more information about Kantor families for groups of order  $p^5$ .

**LEMMA 4.4.** *Suppose that  $G$  is a group with order  $p^5$  and let  $(\mathcal{F}, \mathcal{F}^*)$  be a Kantor family of order  $(p^2, p)$  for  $G$ . Then the following hold.*

- (i) *None of the members of  $\mathcal{F}$  is normal in  $G$ . In particular,  $G$  is nonabelian.*
- (ii) *If  $G$  is not extraspecial and  $H$  is a subgroup of  $G$  of order  $p^3$ , then there is a subgroup  $U$  of  $G$  such that  $|U| = p^3$  and  $HU = G$ .*
- (iii) *The group  $G$  is not generated by two elements.*
- (iv) *The nilpotency class of  $G$  is two.*
- (v) *The subgroup  $G'$  is elementary abelian.*

**PROOF.** If  $G$  is an extraspecial group with order  $p^5$ , then properties (i), (iii), (iv) and (v) are valid for  $G$ , and so we may assume, for the entire proof, that  $G$  is not extraspecial.

(i) Assume by contradiction that  $A \in \mathcal{F}$  is normal, and choose distinct  $B, C \in \mathcal{F} \setminus \{A\}$ . Then  $AB$  is a subgroup of  $G$  with order  $p^4$  and so  $AB \cap C = 1$  is impossible, violating the triple condition.

(ii) Let  $H$  be a subgroup of  $G$  with order  $p^3$ . Since the elation group of  $H(3, p^2)$  is extraspecial with exponent  $p$ , Lemma 4.3 implies that there is  $A^* \in \mathcal{F}^*$  such that  $|H \cap A^*| = p$ , and so  $HA^* = G$ .

(iii) Since  $G/\Phi(G)$  is not cyclic,  $|\Phi(G)| \leq p^3$ . Further,  $\Phi(G)U = G$  implies that  $U = G$ , and hence it follows from part (ii) that  $\Phi(G) \neq p^3$ . Therefore we obtain that  $|\Phi(G)| \leq p^2$ , and so a minimal generating set of  $G$  has at least three elements.

(iv) A group of order  $p^5$  has nilpotency class at most four. If the nilpotency class of  $G$  is four, then  $|G'| = |\Phi(G)| = p^3$ , which is a contradiction by the previous paragraph. We claim that the nilpotency class of  $G$  is not three. Suppose by contradiction that it is three. In this case, as  $G$  is not generated by two elements,  $G/G' \cong C_p \times C_p \times C_p$  and  $|G' : \gamma_3(G)| = |\gamma_3(G)| = p$ . Choose  $a, b \in G$  such that  $\langle [a, b] \gamma_3(G) \rangle = G'/\gamma_3(G)$ . Let  $c_1 \in G$  such that  $\langle aG', bG', c_1G' \rangle = G/G'$ . Then there are  $\alpha, \beta \in \mathbb{F}_p$  such that  $[a, c_1] \equiv [a, b]^\alpha \pmod{\gamma_3(G)}$  and  $[b, c_1] \equiv [a, b]^\beta \pmod{\gamma_3(G)}$ . Set  $c = c_1 a^\beta b^{-\alpha}$ . Then  $\langle aG', bG', cG' \rangle = G/G'$  and  $[a, c] \equiv [b, c] \equiv 1 \pmod{\gamma_3(G)}$ ; that is  $[a, c], [b, c] \in \gamma_3(G)$ . By the Hall–Witt identity,  $[a, b, c] = [c, b, a][a, c, b] = 1$ . As  $\gamma_3(G) = \langle [a, b, a], [a, b, b], [a, b, c] \rangle$ , this implies that either  $[a, b, a] \neq 1$  or  $[a, b, b] \neq 1$ . Hence the subgroup  $\langle a, b \rangle$  has nilpotency class three and order  $p^4$  (see also [Sch03, Corollary 2.2(i)]).

Let  $H = \langle c, G' \rangle$ . Clearly,  $|H| = p^3$  and  $G/H = \langle aH, bH \rangle$ . Let  $U$  be a subgroup of  $G$  such that  $HU = G$ , and so  $HU/H = G/H = \langle aH, bH \rangle$ . This shows that there are  $h_1, h_2 \in H$  such that  $ah_1, bh_2 \in U$ . Since  $[a, h_1], [a, h_2] \in \gamma_3(G)$  and  $[a, b, h_1] = [a, b, h_2] = 1$ , we obtain that  $\langle [ah_1, bh_2] \gamma_3(G) \rangle = G'/\gamma_3(G)$  and either  $[ah_1, bh_2, ah_1] \neq 1$  or  $[ah_1, bh_2, bh_2] \neq 1$ . Thus  $U$  contains  $G'$  and  $U$  is a group of order at least  $p^4$ . This, however, is a contradiction, by part (ii). Therefore the nilpotency class of  $G$  is not three. Since, by part (i), the nilpotency class of  $G$  is not one, we obtain that the class of  $G$  must be two.

(v) By part (iv), we only need to show that the exponent of  $G'$  is  $p$ . By [Rob96, 5.2.5], the quotient  $G'/\gamma_3(G) = G'$ , as an abelian group, is an epimorphic image of the tensor product  $(G/G') \otimes_{\mathbb{Z}} (G/G')$ , which implies that the exponent of  $G'/\gamma_3(G) = G'$  divides the exponent of  $G/G'$ . As  $G$  is not generated by two elements, the size, and hence the exponent, of  $G'$  is at most  $p^2$ . However, if this exponent is  $p^2$ , then  $G/G' \cong (C_p)^3$ , which is impossible.  $\square$

The next lemma describes the case when either  $G'$  or  $\Phi(G)$  is small.

**LEMMA 4.5.** *Suppose that  $G$  is a group with order  $p^5$  and let  $(\mathcal{F}, \mathcal{F}^*)$  be a Kantor family for  $G$ .*

- (i) *If  $|G'| = p$ , then all members of  $\mathcal{F} \cup \mathcal{F}^*$  are abelian.*
- (ii) *If  $|\Phi(G)| = p$ , then all members of  $\mathcal{F} \cup \mathcal{F}^*$  are elementary abelian. Moreover, if  $p$  is odd, then, in this case,  $G$  has exponent  $p$ .*
- (iii) *If  $p$  is odd and  $G$  is extraspecial, then  $G$  has exponent  $p$  and all members of  $\mathcal{F} \cup \mathcal{F}^*$  are elementary abelian.*

**PROOF.** (i) Let us first assume that  $|G'| = p$ . It suffices to prove, for all  $A^* \in \mathcal{F}^*$ , that  $A^*$  is abelian. We argue by contradiction and assume that  $A^* \in \mathcal{F}^*$  is not abelian. In this case the derived subgroup  $(A^*)'$  of  $A^*$  is nontrivial, and, as  $(A^*)' \leq G'$ , we obtain that  $(A^*)' = G'$ . Let  $A \in \mathcal{F}$  such that  $A \leq A^*$ . Then  $A$  is a maximal subgroup of  $A^*$ , and so  $(A^*)' = G' \leq A$ . Thus  $A$  is normal in  $G$ , which is impossible by Lemma 4.4(i). Therefore  $A^*$  is abelian, as claimed.



(ii) The assertion that the members of the Kantor family are elementary abelian can be proved by substituting  $\Phi(A^*)$  in the place of  $(A^*)'$  and  $\Phi(G)$  in the place of  $G'$  in the previous paragraph. Let  $p$  be an odd prime. In this case, as  $|G'| = p$ , the elements of  $G$  with order  $p$  form a subgroup  $\Omega(G)$  of  $G$ . Let  $A \in \mathcal{F}$  and  $B^* \in \mathcal{F}^*$  such that  $A \cap B^* = 1$ . In this case  $AB^* = G$  and  $A, B^* \leq \Omega(G)$ . Therefore  $G = \Omega(G)$ , which amounts to saying that  $G$  has exponent  $p$ .

(iii) This part follows immediately from part (ii). □

The following lemma is a generalization of [Kan91, Lemma].

**LEMMA 4.6.** *Let  $p$  be an odd prime and let  $(\mathcal{F}, \mathcal{F}^*)$  be a Kantor family for an extraspecial group  $E$  of order  $p^5$ . Then the image of  $\mathcal{F}^*$  in  $E/Z(E)$  corresponds to a BLT-set of lines of  $W(p)$ .*

**PROOF.** First note that, by Lemma 4.5(iii), all the members of  $\mathcal{F}^*$  are abelian and hence each  $A^* \in \mathcal{F}^*$  induces an abelian subgroup of  $E/Z(E)$ , and so a totally isotropic line of the associated  $W(p)$  geometry. Therefore, every member of  $\mathcal{F}^*$  contains  $Z(E)$ . Suppose by way of contradiction that there is a line of  $W(p)$  concurrent with three elements of  $\mathcal{L} = \{A^*/Z(E) : A^* \in \mathcal{F}^*\}$ . Then there exists an abelian subgroup  $H$  of  $E$  of order  $p^3$ , and three elements  $A^*, B^*, C^*$  of  $\mathcal{F}^*$  such that  $H$  intersects each of these elements in a subgroup of order  $p^2$  properly containing  $Z(E)$  (note that  $H$  contains  $Z(E)$ ). Let  $A, B, C$  be the unique elements of  $\mathcal{F}$  contained in  $A^*, B^*, C^*$  respectively. Now  $(H \cap B)Z(E)$  is contained in  $B^*$  and so  $A \cap (H \cap B)Z(E) = 1$ . Also, we have that  $|H \cap A| = p$  as  $p^2 = |H \cap A^*| = |(H \cap A)Z(E)| = |H \cap A||Z(E)|$  (similarly,  $|H \cap B| = p$ ). Thus

$$\begin{aligned} |(H \cap A)(H \cap B)Z(E)| &= \frac{|H \cap A|| (H \cap B)Z(E)|}{|H \cap A \cap (H \cap B)Z(E)|} \\ &= |H \cap A|| (H \cap B)Z(E)| \\ &= |H \cap A||H \cap B||Z(E)| \\ &\geq p^3, \end{aligned}$$

and so one can see that  $H = (H \cap A)(H \cap B)Z(E)$ . So

$$C^* \cap H = (C \cap (H \cap A)(H \cap B))Z(E)$$

and, by the condition  $AB \cap C = 1$ , we have that  $C^* \cap H = Z(E)$ , giving us the desired contradiction. Therefore,  $\mathcal{L}$  is a BLT-set of lines of  $W(p)$ . □

### 5. Proof of Theorem 1.2

In this section we prove Theorem 1.2. By Lemma 4.5(iii), an extraspecial group with order  $p^5$  and exponent  $p^2$  does not admit a Kantor family with order  $(p^2, p)$ . Hence we may assume, for a proof by contradiction, that:

$G$  is a group of order  $p^5$  and  $(\mathcal{F}, \mathcal{F}^*)$  is a Kantor family for  $G$  with order  $(p^2, p)$ .

Our aim is to derive a contradiction. First note that Lemma 4.4 implies that one of the following must hold:

- (I)  $G/G' \cong C_p \times C_p \times C_{p^2}$  and  $G' \cong C_p$ ;
- (II)  $G/G' \cong (C_p)^3$  and  $G' \cong (C_p)^2$ ; or
- (III)  $G/G' \cong (C_p)^4$  and  $G' \cong C_p$ .

We show, case by case, that none of the above possibilities can occur. We let  $Z$  denote the centre of  $G$ .

Case (I). Using the argument in the proof of Lemma 4.4(iv), we can choose generators  $a, b, c$  of  $G$  such that  $G' = \langle [a, b] \rangle$  and  $c \in Z$ . It also follows that  $Z = \langle z, \Phi(G) \rangle$ , and so  $|Z| = p^3$ . By Lemma 4.5(ii), all members of  $\mathcal{F}^*$  must be abelian and so [Hac96, Theorem 3.2 and Lemma 2.2] imply that the subgroups  $A \cap Z$  and  $A^* \cap Z$  with  $A \in \mathcal{F}$  and  $A^* \in \mathcal{F}^*$  form a Kantor family for  $Z$  with order  $p$ . This, however, contradicts Theorem 4.2, since the subquadrangle here is not a translation generalized quadrangle (note that  $Z$  is abelian). Hence case (I) cannot occur.

Case (II). First we claim that it is possible to choose the generators  $x, y$  and  $z$  of  $G$  such that  $G' = \langle [x, y], [x, z] \rangle$  and  $[y, z] = 1$ . Let  $x, y, z$  be generators of  $G$ . Then  $G' = \langle [x, y], [x, z], [y, z] \rangle$ . Since  $G' \cong (C_p)^2$  we have that there are  $\alpha, \beta, \gamma \in \mathbb{F}_p$  such that at least one of  $\alpha, \beta, \gamma$  is nonzero and  $[x, y]^\alpha [x, z]^\beta [y, z]^\gamma = 1$ . If  $\alpha = \beta = 0$  then  $\gamma \neq 0$ , and  $[y, z] = 1$  follows. If  $\alpha = 0$  and  $\beta \neq 0$  then  $[x^\beta y^\gamma, z] = 1$ . Now replacing  $x$  by  $x^\beta y^\gamma$  we find that in the new generating set  $[x, z] = 1$  holds. Similarly, if  $\alpha \neq 0$  and  $\beta = 0$  then  $[y, x^{-\alpha} z^\gamma] = 1$  and replacing  $x$  by  $x^{-\alpha} z^\gamma$  we obtain that  $[x, y] = 1$  holds in the new generating set. Finally if  $\alpha\beta \neq 0$ , then we replace  $x$  by  $x^{\beta/\alpha} y^{\gamma/\alpha}$  and  $y$  by  $yz^{\beta/\alpha}$  to obtain that  $[x, y] = 1$ . Thus, after applying one of the substitutions above and possibly renaming the generators,  $[y, z] = 1$  holds, and the claim is valid.

We continue by verifying the following claim: if  $H$  is a subgroup in  $G$  with order  $p^2$  and  $H \cap Z = 1$  then there are  $c, d \in Z$  such that  $H = \langle yc, zd \rangle$ .

Assume that  $H$  is a subgroup of order  $p^2$  that does not intersect  $Z$ . Then  $HZ/Z \cong H/(H \cap Z) = H$  and so  $H \cong C_p \times C_p$ . In particular  $H$  can be generated by two elements of the form  $u = x^{\alpha_1} y^{\beta_1} z^{\gamma_1} c_1$  and  $v = x^{\alpha_2} y^{\beta_2} z^{\gamma_2} c_2$  where  $\alpha_i, \beta_i, \gamma_i \in \mathbb{F}_p, c_i \in Z$  and  $\langle uZ, vZ \rangle \cong C_p \times C_p$ . Since  $[u, v] = 1$  we obtain that

$$1 = [u, v] = [x^{\alpha_1} y^{\beta_1} z^{\gamma_1} c_1, x^{\alpha_2} y^{\beta_2} z^{\gamma_2} c_2] = [x, y]^{\alpha_1 \beta_2 - \alpha_2 \beta_1} [x, z]^{\alpha_1 \gamma_2 - \alpha_2 \gamma_1}.$$

Thus  $\alpha_1 \beta_2 - \alpha_2 \beta_1 = \alpha_1 \gamma_2 - \alpha_2 \gamma_1 = 0$ . Note that these two expressions can be viewed as determinants of suitable  $2 \times 2$  matrices. If  $(\alpha_1, \alpha_2) \neq (0, 0)$  then the vectors  $(\beta_1, \beta_2)$  and  $(\gamma_1, \gamma_2)$  are both multiples of  $(\alpha_1, \alpha_2)$  and so the matrix

$$\begin{pmatrix} \alpha_1 & \alpha_2 \\ \beta_1 & \beta_2 \\ \gamma_1 & \gamma_2 \end{pmatrix}$$

has row-rank 1. Since the row-rank of a matrix is the same as the column-rank, this also shows that the vector  $(\alpha_2, \beta_2, \gamma_2)$  is a multiple of the vector  $(\alpha_1, \beta_1, \gamma_1)$  and so  $uZ = vZ$ , which gives  $HZ/Z \cong C_p$ , a contradiction. Thus  $(\alpha_1, \alpha_2) = (0, 0)$ ; that is  $u = y^{\beta_1}z^{\gamma_1}c_1$  and  $v = y^{\beta_2}z^{\gamma_2}c_2$ . Since  $\langle uZ, vZ \rangle \cong C_p \times C_p$ , we must have that  $\beta_1\gamma_2 - \beta_2\gamma_1 \neq 0$ . Also, if  $\beta_1, \beta_2 = 0$  then  $HZ/Z \cong C_p$ , and so we may assume that  $\beta_1 \neq 0$ . Change  $v$  to  $u^{-\beta_2/\beta_1}v$ ; then  $\langle u, v \rangle = H$  and  $v$  is of the form  $z^\gamma d'$ , where  $d' \in Z$ . Now change  $u$  to  $uv^{-\gamma_1/\gamma_2}$ . Then  $\langle u, v \rangle = H$  still holds and now  $u$  is of the form  $y^\beta c'$ , where  $c' \in Z$ . Now  $u^{\beta^{-1}}$  and  $v^{\gamma^{-1}}$  are as required.

Let us now prove that  $G$  does not admit a Kantor family. We argue by contradiction and assume that  $(\mathcal{F}, \mathcal{F}^*)$  is a Kantor family of order  $(p^2, p)$  for  $G$ . If  $A, B$  are distinct elements of  $\mathcal{F}$  such that  $A \cap Z = B \cap Z = 1$ , then the claim above implies that  $[A, B] = 1$ , and so  $AB$  is a subgroup of  $G$  with order  $p^4$ . Thus, if  $C \in \mathcal{F} \setminus \{A, B\}$ , then  $AB \cap C \neq 1$ , which contradicts the triple condition. Thus  $\mathcal{F}$  has at most one member that avoids the centre. Let us suppose now that  $A, B, C$  are pairwise distinct members of  $\mathcal{F}$  such that  $A \cap Z, B \cap Z$  and  $C \cap Z$  are nontrivial. As  $A \cap B = A \cap C = B \cap C = 1$ , we obtain that  $|A \cap Z| = |B \cap Z| = |C \cap Z| = p$  and that  $A \cap Z, B \cap Z, C \cap Z$  are three distinct subgroups of  $Z$ . This, however, implies that  $Z = (A \cap Z)(B \cap Z)$ , and, in turn, that  $C \cap Z \leq (A \cap Z)(B \cap Z)$ , which violates the triple condition.

The argument in the last paragraph implies that at most two members of  $\mathcal{F}$  can intersect  $Z$  nontrivially, and at most one member of  $\mathcal{F}$  can avoid the centre. Thus  $|\mathcal{F}| \leq 3$ , which is a contradiction as  $p$  is odd and  $|\mathcal{F}| = p + 1$ . Therefore, case (II) is impossible.

Case (III). As  $G$  is not extraspecial,  $|Z| = p^3$ , and Lemma 4.4(iv) implies that the members of  $\mathcal{F}^*$  are abelian. In this case [Hac96, Theorem 3.2, Lemmas 2.1 and 2.2] show that the subgroups  $A \cap Z$  and  $A^* \cap Z$  (with  $A \in \mathcal{F}$  and  $A^* \in \mathcal{F}^*$ ) form a Kantor family of order  $p$  for  $Z$ . However, we have a contradiction to Theorem 4.2 since the associated subquadrangle of order  $p$  is not a translation generalized quadrangle.

As none of the possibilities listed at the beginning of the section can occur, Theorem 1.2 must hold.

### 6. Proof of Theorem 1.1

Here we prove Theorem 1.1, but first we show that applying the Knarr construction to a BLT-set of lines arising from a Kantor family  $(\mathcal{F}, \mathcal{F}^*)$  of the flock elation group results in an elation generalized quadrangle isomorphic to that directly associated to  $(\mathcal{F}, \mathcal{F}^*)$ .

**THEOREM 6.1.** *Let  $G$  be the flock elation group of order  $p^5$ ,  $p$  odd, and suppose that  $G$  admits a Kantor family  $(\mathcal{F}, \mathcal{F}^*)$  giving rise to an elation generalized quadrangle  $\mathcal{E}$ . Consider the BLT-set of lines  $\mathcal{L}$  of  $\mathbb{W}(p)$  obtained by taking the image of  $\mathcal{F}^*$  under the natural projection map from  $G$  onto  $G/Z(G)$ . Then the flock quadrangle arising from  $\mathcal{L}$  via the Knarr construction is equivalent to  $\mathcal{E}$ .*

**PROOF.** First note that  $G$  is extraspecial of exponent  $p$ , and observe that the matrices of the form

$$\begin{pmatrix} 1 & a & b & c & d & e \\ 0 & 1 & 0 & 0 & 0 & d \\ 0 & 0 & 1 & 0 & 0 & c \\ 0 & 0 & 0 & 1 & 0 & -b \\ 0 & 0 & 0 & 0 & 1 & -a \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}, \quad a, b, c, d, e \in \text{GF}(p),$$

define a representation of  $G$  into the symplectic group  $\text{PSp}(6, p)$  with its associated null polarity given by the matrix

$$\begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 & 0 \\ -1 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}.$$

Moreover, the centre of  $G$  consists only of those upper triangular matrices with zeros everywhere above the diagonal except possibly the top right corner, and  $G$  fixes the projective point  $P$  represented by  $(1, 0, 0, 0, 0, 0)$ . Hence  $G$  induces an action on the quotient  $P^\perp/P \cong \text{W}(p)$ . It is not difficult to show that the right coset action of  $G$  on  $G/Z(G)$  is permutationally isomorphic to the action of  $G$  on  $P^\perp/P$  (as a projective right-module). To be more specific, the representatives of  $G/Z(G)$  are in a bijection with matrices of the form

$$\begin{pmatrix} 1 & a & b & c & d & 0 \\ 0 & 1 & 0 & 0 & 0 & d \\ 0 & 0 & 1 & 0 & 0 & c \\ 0 & 0 & 0 & 1 & 0 & -b \\ 0 & 0 & 0 & 0 & 1 & -a \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}, \quad a, b, c, d \in \text{GF}(p),$$

and  $P^\perp/P$  can naturally be identified with vectors of the form  $(0, a, b, c, d, 1)$ . Thus we have a bijection from  $G/Z(G)$  onto  $P^\perp/P$  given by

$$\begin{pmatrix} 1 & a & b & c & d & 0 \\ 0 & 1 & 0 & 0 & 0 & d \\ 0 & 0 & 1 & 0 & 0 & c \\ 0 & 0 & 0 & 1 & 0 & -b \\ 0 & 0 & 0 & 0 & 1 & -a \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix} \mapsto P + (0, a, b, c, d, 1)$$

such that the right coset action of  $G$  is equivalent to the right-module action of  $G$  on  $P^\perp/P$ .

Let  $(\mathcal{F}, \mathcal{F}^*)$  be a Kantor family for  $G$  and let  $\mathcal{E}$  be the associated elation generalized quadrangle with points:

- (i) elements of  $g$ ;
- (ii) right cosets  $A_i^*g$  of elements of  $\mathcal{F}^*$ ;
- (iii)  $\infty$ ;

and lines:

- (a) right cosets  $A_i g$  of elements of  $\mathcal{F}$ ;
- (b) symbols  $[A_i]$  where  $A_i \in \mathcal{F}$ .

Let  $Q = (0, 0, 0, 0, 0, 1)$  and note that  $Q$  is opposite to  $P$ . Let  $\mathcal{K}$  be the flock quadrangle associated to  $\mathcal{L}$  constructed from the point  $P$ , and define a map from  $\mathcal{E}$  to  $\mathcal{K}$  as follows:

$$\infty \mapsto P, \quad [A_i] \mapsto \pi_i, \quad A_i^*g \mapsto z_i^g, \quad A_i g \mapsto M_i^g, \quad g \mapsto Q^g.$$

We will show that this map defines an isomorphism of generalized quadrangles. Since the action of  $G$  on  $P^\perp/P$  is permutationally isomorphic to the right coset action of  $G$  on  $G/Z(G)$ , we have that the stabilizer of the subspace corresponding to a subgroup  $H$  containing  $Z(G)$  is just  $H$  itself. Therefore  $A_i$  fixes  $z_i$  and  $A_i^*$  fixes  $M_i$  (for all  $i$ ), and so the map above is well defined. Now we verify that the four types of incidences are compatible.

**Incidence of  $\infty$  and  $[A_i]$ .** It is clear that  $P \sim \pi_i$  for all  $i$ .

**Incidence of  $A_i^*g$  and  $[A_i]$ .** We want to show that  $\pi_i \sim z_i^g$  given that we know that  $\pi_i \sim z_i$ . Now  $G$  fixes every subspace of  $P^\perp$  on  $P$ , and hence  $G$  fixes  $\pi_i$ . Therefore  $z_i^g \sim \pi_i^g = \pi$  (note that  $g$  is a collineation).

**Incidence of  $A_i^*g$  and  $A_i h$ .** So  $A_i h \subset A_i^*g$ . We want to show that  $M_i^h \sim z_i^g$ . By definition,  $z_i$  is the unique line of  $\pi_i$  (not on  $P$ ) that is on a plane  $M_i$  on  $Q$ . We know that  $M_i \sim z_i$ . Since  $A_i h \subset A_i Zg$ , then there exists an element  $e$  of  $Z(G)$  such that  $hg^{-1}e \in A_i$ . It suffices to show that  $M_i^{hg^{-1}} \sim z_i$ . Now  $A_i$  fixes  $M_i$  and so  $M_i^{hg^{-1}} = M_i^{e^{-1}}$ . Now  $e^{-1}$  fixes  $z_i$  and so  $M_i^{hg^{-1}} \sim z_i$ .

**Incidence of  $g$  and  $A_i g$ .** It is clear that  $G$  acts regularly on the points opposite  $P$ . Since for all  $i$  we have  $Q \sim M_i$ , it follows that  $Q^g \sim M_i^g$ .

Therefore, the flock quadrangle arising from  $\mathcal{L}$  via the Knarr construction is equivalent to  $\mathcal{E}$ . □

**6.1. Theorem 1.1 and its proof** In general, we do not know that a Kantor family of the flock elation group must arise from a  $q$ -clan (possibly after applying an automorphism of the flock elation group), but we can establish this for  $q$  prime, which is the essence of Theorem 1.1, restated slightly differently below.

*An elation generalized quadrangle of order  $(s, p)$ , with  $p$  prime, is a flock quadrangle, isomorphic to  $Q(4, p)$  or isomorphic to  $W(p)$ .*

**PROOF OF THEOREM 1.1** Let  $\mathcal{S}$  be an elation generalized quadrangle of order  $(s, p)$ , where  $p$  is prime, and suppose that  $(G, \mathcal{F}, \mathcal{F}^*)$  is the corresponding Kantor family. By [BTVM96], we may assume that  $s = p^2$ , and so  $G$  has order  $p^5$ . By Theorem 1.2,  $G$  must be extraspecial. Now the Frattini subgroup of  $G$  has order  $p$  and so has nontrivial intersection with every subgroup of  $G$  that has order at least  $p^3$ . Hence  $Z(G)$  is contained in every element of  $\mathcal{F}^*$ . Therefore, by Lemma 4.6 and Theorem 6.1, our generalized quadrangle  $\mathcal{S}$  is a flock quadrangle.  $\square$

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