

# Unitary representations of wavelet groups and encoding of iterated function systems in solenoids

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*Abstract.* For points in  $d$  real dimensions, we introduce a geometry for general digit sets. We introduce a positional number system where the basis for our representation is a fixed  $d$  by  $d$  matrix over  $\mathbb{Z}$ . Our starting point is a given pair  $(A, \mathcal{D})$  with the matrix  $A$  assumed expansive, and  $\mathcal{D}$  a chosen complete digit set, i.e., in bijective correspondence with the points in  $\mathbb{Z}^d / A^T \mathbb{Z}^d$ . We give an explicit geometric representation and encoding with infinite words in letters from  $\mathcal{D}$ . We show that the attractor  $X(A^T, \mathcal{D})$  for an affine Iterated Function System (IFS) based on  $(A, \mathcal{D})$  is a set of fractions for our digital representation of points in  $\mathbb{R}^d$ . Moreover our positional ‘number representation’ is spelled out in the form of an explicit IFS-encoding of a compact solenoid  $\mathcal{S}_A$  associated with the pair  $(A, \mathcal{D})$ . The intricate part (Theorem 6.15) is played by the cycles in  $\mathbb{Z}^d$  for the initial  $(A, \mathcal{D})$ -IFS. Using these cycles we are able to write down formulas for the two maps which do the encoding as well as the decoding in our positional  $\mathcal{D}$ -representation. We show how some wavelet representations can be realized on the solenoid, and on symbolic spaces.

## 1. Introduction

Let  $A$  be a  $d \times d$  matrix over  $\mathbb{Z}$  (the integers), and assume that its eigenvalues  $\lambda$  satisfy  $|\lambda| > 1$ . In particular  $A$  is assumed invertible. Let  $\mathbb{Z}^d[A^{-1}]$  be the associated discrete group obtained as an inductive limit

$$\mathbb{Z}^d \xrightarrow{A} \mathbb{Z}^d \xrightarrow{A} \mathbb{Z}^d \xrightarrow{A} \dots$$

i.e.,  $\mathbb{Z}^d[A^{-1}] = \bigcup_{k=0}^{\infty} A^{-k}\mathbb{Z}^d$ , and let  $\mathcal{S}_A$  denote the corresponding dual compact Abelian group, where duality is in the sense of Pontryagin:  $\mathcal{S}_A$  is a *solenoid*.

Let  $A^T$  be the transposed matrix, and let  $i : \mathbb{Z}^d[A^{-1}] \rightarrow \mathbb{R}^d$  be the natural embedding (of groups), so  $i$  is a homomorphism of the respective additive groups. Now let  $\hat{i} : \mathbb{R}^d \rightarrow \mathcal{S}_A$  be the dual homomorphism. Hence  $\hat{i}$  embeds  $\mathbb{R}^d$  as a subgroup of the compact solenoid  $\mathcal{S}_A$ . We will need this generality in an application to the analysis of wavelet multiresolutions. Here we use  $\widehat{\mathbb{R}^d} = \mathbb{R}^d$ , i.e.,  $\mathbb{R}^d$  is its own Pontryagin dual. In a special case of this construction, for  $d = 1$ , our embedding  $\hat{i}$  corresponds to ergodic theoretic flows on compact spaces studied in a variety of contexts in dynamics.

Note  $\hat{i}(A^T x) = \sigma_A(\hat{i}(x))$ ,  $x \in \mathbb{R}^d$ , where  $\sigma_A : \mathcal{S}_A \rightarrow \mathcal{S}_A$  is the endomorphism induced by  $A$ .

Motivated by classical number-theoretic problems in digital representations of fractions, we explore here symbolic representations of points in  $\mathcal{S}_A$ . Our analysis uses an extension of George Mackey's semidirect product construction from representation theory (§3) combined with a study of a family of combinatorial cycles (§4.1). In §6 we show that our encoding theorems (§§4 and 5) apply to the construction of wavelet multiresolutions, i.e., for generalized wavelet constructions where scaling in  $\mathbb{R}^d$  corresponds to the matrix multiplication  $x \mapsto Ax$ ,  $x \in \mathbb{R}^d$ ; and the corresponding  $\mathbb{Z}$ -action  $\mathbb{Z} \times \mathbb{R}^d \ni (k, x) \mapsto A^k x \in \mathbb{R}^d$ .

**1.1. Motivation from physics: the renormalization group.** The idea of scale invariance is old. Its best known modern formulation in mathematics takes the form of Iterated Function Systems (IFSs), e.g., [Hut81]; and in physics it takes the form of a Renormalization Group (RG). But scaling arguments are commonplace in pure (e.g., wavelets) and applied mathematics; for example in attempts at explaining turbulence.

The renormalization group makes its appearance in physics in different guises, often as a mathematical trick to get rid of the infinities, for example in quantum field theory, see, e.g., [Fed87, BOS91]. As a pure technique, it obtained maturity with, for example, [Fed87, Fed94, KW88, KW91] among others. The technique was developed also in quantum electrodynamics by R. Feynman, K. Wilson and others. The physicists devised theories of mass and charge renormalization.

Old-style renormalization group (RG) techniques in physics have run into difficulties with non-renormalizability of gravity. Still they are used in various guises as tools in solid state physics, as they often get around divergence difficulties with the use of perturbation theory.

As with IFSs, renormalization groups in physics attempt to describe infinite systems in terms of block variables, i.e., some magnitudes which describe the average behavior of the various constituent blocks, often approximately true in practice, and good enough, to a first approximation. This more or less amounts to finding the long-term behavior of a suitable RG transformation. When iterated many times, this RG transformation leads to a certain number of fixed points analogous to those seen in (non-contractive) IFSs.

1.2. *Other motivations.* It was suggested in the 1970s by Don Knuth and others that there is an intriguing geometry behind computations in a positional number system. As is known since Euclid, representation of numbers in a fixed basis entails expansions in powers of the chosen base, say  $b$ . There is then a subset  $\mathcal{D}$  of the integers  $\mathbb{Z}$  of cardinality  $|b|$  such that the corresponding ‘digital’ expansion of real numbers is encoded by finite or infinite words in the ‘alphabet’  $\mathcal{D}$ . In fact Knuth [**Knu76**] stresses that for a fixed  $b$ , there are many choices of digit sets  $\mathcal{D}$  which yield a positional number system in a sense which is made precise. Similarly Knuth suggested the use of a matrix in place of  $b$ . In §5 and 6 below, we outline both the initial suggestion, the relevant literature, starting with [**Odl78**]; and we present our main results. The first sections of our paper address tools from representation theory central to our problem.

Both the choice of the base  $b$ , and the set of digits allows for a great deal of freedom, even if we restrict numbers on the real line. Don Knuth suggested that this idea works in higher dimensions, i.e., in encoding points in  $\mathbb{R}^d$  this way. The case  $d = 2$  of course includes positional representations of complex numbers, and associated computer-generated images in the plane. But by increasing the dimension further, this suggests using instead, for scaling basis, a fixed  $d$  by  $d$  expansive matrix over  $\mathbb{Z}$ , say  $A$ , in place of the base number  $b$ , and a subset  $\mathcal{D}$  of  $\mathbb{Z}^d$  of cardinality  $|\det A|$  for digits. This leads to the puzzling question, ‘In this geometric formulation, what are then the fractions as subsets of  $\mathbb{R}^d$ ?’ Early computer calculations by Knuth in two dimensions suggested that ‘fractions’ take the form of ‘dragon-like’ compact sets, ‘Twin Dragons’ etc. Knuth’s idea was taken up in later papers (by other authors, e.g., Lagarias and Wang) under the name affine iterated function systems (IFS), and the set of fractions associated to a fixed pair  $(A, \mathcal{D})$  in  $d$  real dimensions have been made precise in the form of attractors for the IFS defined from  $(A, \mathcal{D})$ , see, e.g., [**Hut81**] and [**BJ99**].

For points in Euclidian space, we introduce matrix scaling and digit sets. Our aim is to study the interplay between associated spectra and geometry. For a fixed matrix scaling and digit set we introduce a positional number system where the basis for our representation is a fixed  $d$  by  $d$  matrix  $A$  over  $\mathbb{Z}$ . Specifically, a pair  $(A, \mathcal{D})$  is given, the matrix  $A$  assumed expansive, and a finite set  $\mathcal{D}$  a chosen as a complete digit set, i.e., the points in  $\mathcal{D}$  are in bijective correspondence with the finite group  $\mathbb{Z}^d / A\mathbb{Z}^d$ .

Such higher-dimensional ‘number systems’ allow more flexibility than the classical one, introducing a computational device for the study of, for example, exotic tilings, wavelet sets and fractals. These are geometric structures in  $\mathbb{R}^d$ , studied recently in [**BMM99**, **Cho07**, **JKS07**, **Shu03**, **Hut81**, **BHS05**, **FMM06**, **Rud89**]. We take advantage of a natural embedding of  $\mathbb{R}^d$  in an associated solenoid, and we obtain an explicit solenoid encoding of geometries in  $\mathbb{R}^d$ , giving insight into notions of redundancy, and offering a computational tool.

This expanded view also introduces novelties such as non-commutativity into encoding. We give an explicit geometric representation and encoding for pairs pair  $(A, \mathcal{D})$  (Theorem 4.9), i.e., an encoding with specific infinite words in letters from  $\mathcal{D}$ .

Our positional ‘number representation’ takes the form of an explicit IFS encoding of points in a compact solenoid  $S_A$  associated with the pair  $(A, \mathcal{D})$ . A crucial part (Theorem 6.15) is played by certain extreme cycles in the integer lattice  $\mathbb{Z}^d$  for the initial

$(A, \mathcal{D})$ -IFS. Using these cycles we write down a formula for the two maps which do the encoding as well as the decoding in our positional  $\mathcal{D}$ -representation.

We will need basic tools from spectral theory (e.g., [Arv02]), but our aim is computational, still using operator algebraic tools, analogous to those used in the analysis of graphs and generalized multiresolutions, see, e.g., [BMM99, Cho07, JKS07, Shu03]. Our use of iterated function systems follows conventions from [Hut81, BHS05, FMM06].

When an invertible matrix  $A$  and a finite subset  $\mathcal{D}$  in  $\mathbb{R}^d$  are given, then we consider an associated finite set of affine mappings  $\tau_d$ , indexed by points  $d$  in  $\mathcal{D}$

$$\tau_d(x) := A^{-1}(x + d).$$

Under suitable conditions on the pair  $(A, \mathcal{D})$ , see Definition 6.1, repeated iterations of the combined system  $(\tau_d)_{d \in \mathcal{D}}$ , then yields certain limit concepts. They take the precise form of either certain compact subsets in  $\mathbb{R}^d$  (attractors) or the form of limiting measures, so called equilibrium measures. In this formulation, the theory was made precise by Hutchinson in the paper [Hut81], and this gave rise to what is now known as affine iterated function systems (IFS). As is known, e.g., [Hut81], to make the limit notions precise, one introduces metrics on families of compact subsets in  $\mathbb{R}^d$ , or on families of probability measures. (In their primitive form these metrics generalize the known Hausdorff distance.)

In harmonic analysis a subclass of the IFSs have been studied extensively by R. Strichartz and his co-authors, see [Str05, Str06]. The emphasis there is on discrete potential theory, while our present focus is on tiling and coding questions. However much of our motivation derives from harmonic analysis.

It was also realized that wavelet algorithms can be put into this framework, and, for fixed  $(A, \mathcal{D})$ , one is led to ask for Haar wavelets, and to wavelet sets. In this paper we show that there is a representation-theoretic framework for these constructions involving a certain discrete group that is studied in algebra under the name of the Baumslag–Solitar group.

In addition to our identifying wavelet sets in a solenoid encoding (§4), in §6 we further show that the positional number representation for  $\mathbb{R}^d$  associated to a given pair  $(A, \mathcal{D})$  takes an algorithmic form involving both ‘fractions’ and ‘integer points’. Here the fractions are represented by a Hutchinson attractor  $X(A^T, \mathcal{D})$  and the ‘ $(A, \mathcal{D})$ -integers’ by a certain lattice  $\Gamma$  which makes  $X(A^T, \mathcal{D})$  tile  $\mathbb{R}^d$  by  $\Gamma$  translations. To compute this lattice  $\Gamma$  which makes an  $X(A^T, \mathcal{D})$  tiling, we use a certain spectral duality (Lemma 6.25). Finally (§6.2) the last mentioned duality is illustrated with specific planar examples, where both cycles and lattices are worked out.

## 2. Iterated function systems

The setting of this paper is a fixed  $d$  by  $d$  matrix  $A$  over the integers  $\mathbb{Z}$ , satisfying a certain spectral condition, and its relation to the rank- $d$  lattice  $\mathbb{Z}^d$  in  $\mathbb{R}^d$ .

Traditional wavelet bases in  $L^2(\mathbb{R}^d)$  are generated by a distinguished finite family of functions in  $L^2(\mathbb{R}^d)$  and the operations translation with the rank- $d$  lattice  $\mathbb{Z}^d$ , and scaling with powers of  $A^j$ ,  $j \in \mathbb{Z}$ . But there are similar wavelet constructions, super-wavelets, in other Hilbert spaces which we explore here. See also [BJMP05, DJ06b, DJ07a].

From the initial matrix  $A$  we form the discrete group  $\mathbb{Z}^d[A^{-1}]$  generated by powers of  $A^j$ ,  $j \in \mathbb{Z}$ , applied to  $\mathbb{Z}^d$ ; and the compact dual solenoid group  $\mathcal{S}_A$ . This solenoid is

related to  $[0, 1)^d \times \Omega$  where  $\Omega$  is a compact infinite product of a fixed finite alphabet. But the two  $\mathcal{S}_A$  and  $[0, 1)^d \times \Omega$  are different, and their relationships are explored below.

First recall that matrix multiplication by  $A$  induces an automorphism  $\sigma_A$  in  $\mathcal{S}_A$ . We are interested in periodic points for this action, and in a certain family of extreme orbits called cycles. As is well known,  $\mathbb{R}^d$  is naturally embedded in  $\mathcal{S}_A$ .

Here we continue the study started in [Dut06] of the support of the representations associated to super-wavelets. Starting with an embedding  $\hat{i}$  of  $\mathbb{R}^d$  into the solenoid, we have some periodic characters  $\chi_i$  in  $\mathcal{S}_A$ , associated to the cycles. Then we show that the representation is supported on the union of  $\chi_i \hat{i}(\mathbb{R}^d)$  where the multiplication here is just the multiplication in  $\mathcal{S}_A$ .

We combine Mackey's theory of induced representations with the analysis of  $\mathcal{S}_A$ -cycles. In this connection, we find a dynamical obstruction for embeddings as follows. Intuitively, one wants to encode the solenoid into a symbol space  $[0, 1)^d \times \Omega$ . This can be a problem when one is dealing with matrices, as compared to the dyadic scaling in one dimension which is the traditional context for wavelet analysis.

The reason for the obstruction is that the candidate  $[0, 1)^d$  is not invariant under the inverse branches  $\tau_d(x) = (A^T)^{-1}(x + d)$ ,  $d \in \mathcal{D}$  where  $\mathcal{D}$  is a chosen finite set of vectors in  $\mathbb{R}^d$ . These maps serve as inverse branches to the action by  $A^T$  on  $\mathbb{R}^d/\mathbb{Z}^d$ . The other candidate different from  $[0, 1)^d$  is the Hutchinson attractor  $X(A^T, \mathcal{D})$  for  $\tau_d$ ,  $X(A^T, \mathcal{D})$  contained in  $\mathbb{R}^d$ . But  $X(A^T, \mathcal{D})$  might not tile  $\mathbb{R}^d$  by  $\mathbb{Z}^d$ .

So one question is 'Can one choose  $\mathcal{D}$  to be a complete set of representatives for the finite quotient group  $\mathbb{Z}^d/A^T\mathbb{Z}^d$  such that the attractor  $X(A^T, \mathcal{D})$  of  $\{\tau_d\}$  tiles  $\mathbb{R}^d$  by  $\mathbb{Z}^d$ ? If not, then how should one choose  $A$  such that this is possible? Or perhaps, one must replace  $A$  with an iterate  $A^p$  of  $A$  for a suitable  $p$ ?

The reader may find our use of the pair of scaling matrix  $A$ , and its transposed  $A^T$  confusing. It is unavoidable and is dictated by our essential use of Fourier duality: if  $A$  is acting in  $d$ -space, then  $A^T$  is acting in the dual vector variable (say frequency), see, e.g., Lemma 6.25. In deciding tiling properties for  $X = X(A^T, \mathcal{D})$  our use of spectral theory is essential as there seem to be no direct way of attacking the tiling problem for  $X$ .

While initially Knuth's analysis [Knu69] of what is now called affine iterated function systems (IFS) was motivated by the desire to introduce geometry into algorithms for general digit sets in positional number systems, the idea of turning 'digits' into geometry and tiling questions was followed up later by others, e.g., Odlyzko [Odl78], Hutchinson [Hut81], Bratteli-Jorgensen [BJ99], and Lagarias-Wang [LW96a, LW96b, LW96c, LW97, LW00]. In [LW96a] the authors suggest that the tiling issues implied by the geometric positional 'number' systems are directly connected with wavelet algorithms. In particular they pointed out that, for a fixed choice of  $A$  and  $\mathcal{D}$ , the corresponding attractor  $X(A^T, \mathcal{D})$  as described above does not always tile  $\mathbb{R}^d$  by translation vectors from the unit-grid lattice  $\mathbb{Z}^d$ . When it does, we say that  $X(A^T, \mathcal{D})$  is a Haar wavelet. The term 'Haar wavelet' is used because the corresponding indicator function is a scaling function (father function) for an orthonormal basis (ONB) wavelet system in  $L^2(\mathbb{R}^d)$ . The authors of [LW96a] showed that in two dimensions, every expansive  $d$  by  $d$  matrix over  $\mathbb{Z}$  has at least one 'digit' set  $\mathcal{D}$  such that  $X(A^T, \mathcal{D})$  is a Haar wavelet. It was later proved that in five dimensions, not every expansive  $5 \times 5$  matrix over  $\mathbb{Z}$  can be turned into a Haar wavelet; i.e., for such a matrix  $A$  that there is no choice of  $\mathcal{D}$  for which  $X(A^T, \mathcal{D})$  is a Haar wavelet.

The fact that there are exotic  $5 \times 5$  expansive matrices  $A$  over  $\mathbb{Z}$ , i.e.,  $A$  in  $\mathcal{M}_5(\mathbb{Z})$  for which no digit set  $\mathcal{D}$  may be found such that  $X(A^T, \mathcal{D})$  makes a  $\mathbb{Z}^5$ -tiling of  $\mathbb{R}^5$  was worked out in [LW96c, LW97, HLR02, HL04]. By digit set we mean a subset  $\mathcal{D}$  in  $\mathbb{Z}^5$ , in bijective correspondence with  $\mathbb{Z}^5/A^T\mathbb{Z}^5$ . Such exotic matrices  $A$  are said to not allow Haar wavelets. The question came up after Lagarias-Wang [LW97] showed that every expansive  $A$  in  $\mathcal{M}_2(\mathbb{Z})$  allows digit sets in  $\mathbb{Z}^2$  which make  $\mathbb{Z}^2$  tile, i.e., they allow Haar wavelets.

The aim of this paper is to revisit the geometry of sets  $X(A^T, \mathcal{D})$  in light of recent results on IFS involving dynamics and representation theory, see, e.g., [Dut06, DJ06a, DJ06d, DJ06c, DJ07a].

### 3. Definitions and notation

While standard wavelet bases built on wavelet filters and on a fixed expansive  $d$  by  $d$  matrix over  $\mathbb{Z}$ , say  $A$ , refer to the Hilbert space  $L^2(\mathbb{R}^d)$ , many naturally occurring wavelet filters [Dut06] suggest other Hilbert spaces than  $L^2(\mathbb{R}^d)$ , in fact Hilbert spaces containing a copy of  $L^2(\mathbb{R}^d)$ . This approach [Dut06] suggests the the name ‘super-wavelets’, and naturally leads to representations of a Baumslag–Solitar group built on the matrix  $A$ , called wavelet representations. Starting with a fixed  $A$ , there is a compact solenoid  $\mathcal{S}_A$  with the property that matrix multiplication by  $A$  on  $\mathbb{R}^d/\mathbb{Z}^d$  induces an automorphism  $\sigma_A$  on  $\mathcal{S}_A$ .

In the past decade the literature on self-affine sets, encoding and digit representations for radix matrices has grown; in part because of applications to such areas as number theory, to dynamics, and to combinatorial geometry. It is not possible here to give a complete list of these directions. Our present work has been motivated by [AS05, Cur06, GY06, HLR02, HL04, KLSW99, Li06, Li07, Saf98, ZLZ06, LW00].

*Definition 3.1.* Let  $A$  be a  $d \times d$  matrix with integer entries. We say that the matrix  $A$  is *expansive* if all its eigenvalues  $\lambda$  satisfy  $|\lambda| > 1$ .

Let  $A$  be a  $d \times d$  expansive matrix with integer entries. Let

$$\mathbb{Z}^d[A^{-1}] = \{A^{-j}k \mid j \in \mathbb{N}, k \in \mathbb{Z}^d\}. \quad (3.1)$$

Note that  $\mathbb{Z}^d[A^{-1}]$  is the inductive limit of the group inclusions

$$\mathbb{Z}^d \hookrightarrow A^{-1}\mathbb{Z}^d \hookrightarrow A^{-2}\mathbb{Z}^d \hookrightarrow \dots$$

or equivalently

$$\mathbb{Z}^d \xrightarrow{A} \mathbb{Z}^d \xrightarrow{A} \mathbb{Z}^d \xrightarrow{A} \dots$$

On  $\mathbb{Z}^d[A^{-1}]$  we consider the discrete topology (even though  $\mathbb{Z}^d[A^{-1}]$  is a subgroup of  $\mathbb{R}^d$ ).

On the group  $\mathbb{Z}^d[A^{-1}]$ , the map  $\alpha_A(x) = Ax$ ,  $x \in \mathbb{Z}^d[A^{-1}]$  defines an automorphism of the group  $\mathbb{Z}^d[A^{-1}]$ .

For the use of these groups in  $C^*$ -algebras, see, e.g., [BJ99, BJKR01].

3.1. *The group  $G_A := \mathbb{Z}^d[A^{-1}] \rtimes_{\alpha_A} \mathbb{Z}$ .* The group  $G_A := \mathbb{Z}^d[A^{-1}] \rtimes_{\alpha_A} \mathbb{Z}$  is the semidirect product of  $\mathbb{Z}^d[A^{-1}]$  under the action of  $\mathbb{Z}$  by the automorphisms  $\alpha_A$ . This

means that

$$G_A := \{(j, b) \mid j \in \mathbb{Z}, b \in \mathbb{Z}^d[A^{-1}]\},$$

$$(j, b) \cdot (k, c) = (j + k, \alpha_A^j(c) + b) \quad (j, k \in \mathbb{Z}, b, c \in \mathbb{Z}^d[A^{-1}]). \quad (3.2)$$

**PROPOSITION 3.2.** *The group  $G_A$  is generated by the elements  $u := (1, 0)$  and  $t_k = (0, k)$ ,  $k \in \mathbb{Z}^d[A^{-1}]$ . Moreover*

$$ut_k u^{-1} = t_{Ak} \quad (k \in \mathbb{Z}) \quad (3.3)$$

$$t_{A^{-n}k} := (0, A^{-n}k) = u^{-n} t_k u^n, \quad (j, A^{-n}k) = t_{A^{-n}k} u^j, \quad (n \geq 0, k \in \mathbb{Z}^d, j \in \mathbb{Z}). \quad (3.4)$$

**Remark 3.3.** From Proposition 3.2 we infer that a unitary representation of the group  $G_A$  is completely determined by giving some unitary operators  $T_k, k \in \mathbb{Z}^d$  and  $U$  subject to the relation

$$UT_k U^{-1} = T_{Ak} \quad (k \in \mathbb{Z}). \quad (3.5)$$

In [DJ07b] we use induced representations of  $G_A$  in the sense of Mackey in order to encode wavelet sets for a fixed expansive  $d$  by  $d$  matrix over  $\mathbb{Z}$ . Note that Mackey's method was developed for continuous groups, where encoding is done with co-adjoint orbits. In contrast we show in the present paper that encoding in the solenoid is required for wavelet representations, i.e., representations of discrete versions of higher rank  $ax + b$  groups.

3.2. *The dual group of  $\mathbb{Z}^d[A^{-1}]$ : the solenoid  $S_A$ .* The dual group of  $\mathbb{Z}^d$  is  $\mathbb{T}^d$ , where

$$\mathbb{T}^d := \{(z_1, \dots, z_d) \mid |z_i| = 1, i \in \{1, \dots, d\}\}.$$

For  $x = (x_1, \dots, x_d) \in \mathbb{R}^d$  let

$$e^{2\pi i x} := (e^{2\pi i x_1}, \dots, e^{2\pi i x_d}) \in \mathbb{T}^d.$$

For  $k = (k_1, \dots, k_d) \in \mathbb{Z}^d$  and  $z = (e^{2\pi i x_1}, \dots, e^{2\pi i x_d}) \in \mathbb{T}^d$ , we use the notation

$$z^k := e^{2\pi i k_1 x_1 + \dots + 2\pi i k_d x_d} = e^{2\pi i k \cdot x} \in \mathbb{T}.$$

The duality between  $\mathbb{Z}^d$  and  $\mathbb{T}^d$  is given by

$$\langle k, z \rangle = z^k \quad (k \in \mathbb{Z}^d, z \in \mathbb{T}^d). \quad (3.6)$$

For  $z = (e^{2\pi i x_1}, \dots, e^{2\pi i x_d}) \in \mathbb{T}^d$  we write

$$z^A := e^{2\pi i (A^T)x} = (e^{2\pi i \sum_{j=1}^d a_{j1} x_j}, \dots, e^{2\pi i \sum_{j=1}^d a_{jd} x_d}). \quad (3.7)$$

Note that  $z^{Ak} = (z^A)^k$  for all  $k \in \mathbb{Z}^d$ .

**Definition 3.4.** The dual group of  $\mathbb{Z}^d[A^{-1}]$  is the group  $S_A$  defined by

$$S_A := \{(z_n)_{n \in \mathbb{N}} \mid z_n \in \mathbb{T}^d, z_{n+1}^A = z_n, \text{ for all } n \in \mathbb{Z}\}.$$

The group  $S_A$  is called the *solenoid* of  $A$ . It is a compact Abelian group with the topology induced from the product topology on  $\mathbb{T}^{\mathbb{N}}$ .

The duality is given by

$$\langle A^{-j}k, (z_n)_{n \in \mathbb{N}} \rangle = \langle k, z_j \rangle = z_j^k, \quad (j \in \mathbb{N}, k \in \mathbb{Z}^d, (z_n)_{n \in \mathbb{N}} \in \mathcal{S}_A). \tag{3.8}$$

The dual of the automorphism  $\alpha_A$  on  $\mathbb{Z}^d[A^{-1}]$ ,  $\alpha_A(x) = Ax$  is the *shift*

$$\sigma_A(z_0, z_1, \dots) = (z_0^A, z_0, z_1, \dots) \quad ((z_0, z_1, \dots) \in \mathcal{S}_A). \tag{3.9}$$

We denote by  $\theta_n$  the projection maps  $\theta_n : \mathcal{S}_A \rightarrow \mathbb{T}^d$ ,  $\theta_n(z_0, z_1, \dots) = z_n$  for  $n \in \mathbb{N}$ . Note that

$$\theta_{n+1} \circ \sigma_A = \theta_n, \quad (\theta_{n+1}(z_0, z_1, \dots))^A = \theta_n(z_0, z_1, \dots), \quad (n \in \mathbb{N}, (z_0, z_1, \dots)). \tag{3.10}$$

4. *Embeddings of  $\mathbb{R}^d$  into the solenoid  $\mathcal{S}_A$*

We saw in Proposition 3.2 that there is a natural semidirect-product discrete group  $G_A$  which carries a unitary wavelet representation. For wavelets in  $\mathbb{R}^d$ , this construction begins with a fixed expansive  $d$  by  $d$  matrix  $A$ , and the unitary representation will be acting in the Hilbert space  $L^2(\mathbb{R}^d)$ . It is known that a certain redundancy [BDP05, HL00] in wavelet constructions dictates unitary representations in Hilbert spaces larger than  $L^2(\mathbb{R}^d)$ , i.e., with  $L^2(\mathbb{R}^d)$  embedded as an isomorphic copy in an ambient Hilbert space. By introducing a specific embedding of  $\mathbb{R}^d$  in an ambient solenoid  $\mathcal{S}_A$  we are able to account for super representations (Definition 4.5). The action of  $A$  induces an automorphism  $\sigma_A$  in  $\mathcal{S}_A$ . By computing periodic points for  $\sigma_A$  we are able (Theorem 4.9) to account for the super representations acting in an  $L^2$  space defined from an induced measure on  $\mathcal{S}_A$ .

In §§5 and 6 we will further study periodic points and cycles. It turns out that the notion of cycle is different when referring to the integer points and the fractions. Starting with a fixed radix pair  $(A, \mathcal{D})$ , we will make ‘integer points’ precise in terms of associated lattices (rank- $d$  subgroups in  $\mathbb{R}^d$ ), and the ‘fractions’ will take the form of compact subsets  $X$  in  $\mathbb{R}^d$  defined by an  $(A, \mathcal{D})$  self-similarity (Definition 6.1).

We begin by showing how the space  $\mathbb{R}^d$  can be seen as subspace of the solenoid  $\mathcal{S}_A$ .

PROPOSITION 4.1. *The inclusion  $i : \mathbb{Z}^d[A^{-1}] \rightarrow \mathbb{R}^d$  has a dual  $\hat{i} : \mathbb{R}^d \rightarrow \mathcal{S}_A$*

$$\hat{i}(x) = (e^{2\pi i(A^T)^{-n}x})_{n \in \mathbb{N}} \quad (x \in \mathbb{R}^d). \tag{4.1}$$

*The map  $\hat{i}$  is one-to-one, and onto the set of sequences  $(z_n)_{n \in \mathbb{N}} \in \mathcal{S}_A$  with the property that  $\lim_{n \rightarrow \infty} z_n = \mathbf{1}$  where  $\mathbf{1} = (1, \dots, 1)$  is the neutral element of  $\mathbb{T}^d$ .*

*The map  $\hat{i}$  satisfies the following relation*

$$\hat{i}(A^T x) = \sigma_A(\hat{i}(x)) \quad (x \in \mathbb{R}^d). \tag{4.2}$$

*Proof.* To see that  $\hat{i}$  is one-to-one, we notice that if  $x, x' \in \mathbb{R}^d$  and  $\hat{i}(x) = \hat{i}(x')$  then  $(A^T)^{-n}x - (A^T)^{-n}x' \in \mathbb{Z}^d$  for all  $n \in \mathbb{N}$ . Since  $A$  is expansive, the norm  $\|(A^T)^{-n}(x - x')\|$  converges to 0 as  $n \rightarrow \infty$ . Thus,  $x$  and  $x'$  must be equal.

Since  $A$  is expansive, so is  $A^T$ , so  $(A^T)^{-n}x$  converges to 0 for all  $x \in \mathbb{R}^d$ . Therefore  $e^{2\pi i A^{-n}x}$  converges to  $\mathbf{1}$ . Conversely, suppose  $(z_n)_{n \in \mathbb{N}}$  is in  $\mathcal{S}_A$  and  $z_n$  converges to  $\mathbf{1}$ . Then  $z_n = e^{2\pi i x_n}$  for some  $x_n \in \mathbb{R}^d$  and, for  $n$  large we can assume  $x_n$  is close to 0. Since we have  $z_{n+1}^A = z_n$ , this implies that  $A^T x_{n+1} \equiv x_n \pmod{\mathbb{Z}^d}$ , so  $A^T x_{n+1} = x_n + l$



for some  $l \in \mathbb{Z}^d$ . But since both  $x_{n+1}$  and  $x_n$  are close to 0, this implies that, for some  $n_0 \in \mathbb{N}$ , we have  $(A^T)x_{n+1} = x_n$  for  $n \geq n_0$ . Let  $x := (A^T)^{n_0}x_{n_0}$ . The previous argument shows that  $(A^T)^j x_{n_0} \equiv x_{n_0-j} \pmod{\mathbb{Z}^d}$  for all  $j \leq n_0$ , so  $\hat{i}(x) = (e^{2\pi i(A^T)^{-n}x})_{n \in \mathbb{N}}$ . Thus,  $\hat{i}$  is onto.

The other assertions follow from some direct computations, using the duality in (3.8).  $\square$

Now that we have the embedding of  $\mathbb{R}^d$  into the solenoid, we can transport the wavelet representation on  $L^2(\mathbb{R}^d)$  to the solenoid  $\mathcal{S}_A$ .

*Definition 4.2.* On  $L^2(\mathbb{R}^d)$  we denote by  $T_k$  the translation operator  $(T_k f)(x) = f(x - k)$ ,  $g \in L^2(\mathbb{R}^d)$ ,  $x \in \mathbb{R}^d$ ,  $k \in \mathbb{Z}^d$ , and by  $U$  the dilation operator  $(Uf)(x) = (1/\sqrt{|\det A|})f(A^{-1}x)$ . Their Fourier transform is

$$\begin{aligned} (\hat{T}_k h)(x) &= e^{2\pi i k \cdot x} f(x), \\ (\hat{U}h)(x) &= \sqrt{|\det A|}h(A^T x) \quad (h \in L^2(\mathbb{R}^d), x \in \mathbb{R}^d, k \in \mathbb{Z}^d). \end{aligned} \tag{4.3}$$

The operators  $\{U, T_k\}$  (or  $\{\hat{U}, \hat{T}_k\}$ ) define a representation of the group  $G_A$  on  $L^2(\mathbb{R}^d)$ .

*Definition 4.3.* We denote by  $\mathcal{S}_A(1)$  the set of sequences  $(z_n)_{n \in \mathbb{N}} \in \mathcal{S}_A$  such that  $\lim_{n \rightarrow \infty} z_n = \mathbf{1}$ . On  $\mathcal{S}_A(1)$  consider the measure  $\tilde{\mu}$  defined by

$$\int_{\mathcal{S}_A(1)} f \, d\tilde{\mu} = \int_{\mathbb{T}^d} \sum_{(z_n)_{n \in \mathbb{N}} \in \mathcal{S}_A(1), \theta_0((z_n)_{n \in \mathbb{N}}) = z} f((z_n)_{n \in \mathbb{N}}) \, d\mu(z), \tag{4.4}$$

where  $\mu$  is the Haar measure on  $\mathbb{T}^d$ .

On  $L^2(\mathcal{S}_A(1), \tilde{\mu})$  define the operators

$$(\tilde{T}_k f)(z_0, z_1, \dots) = z_0^k f(z_0, z_1, \dots), \quad ((z_0, z_1, \dots) \in \mathcal{S}_A(1), k \in \mathbb{Z}^d), \tag{4.5}$$

$$(\tilde{U}f)(z_0, z_1, \dots) = \sqrt{|\det A|}f(\sigma_A(z_0, z_1, \dots)) \quad ((z_0, z_1, \dots) \in \mathcal{S}_A(1)). \tag{4.6}$$

Our next theorem shows that this unitary representation of the reduced solenoid  $\mathcal{S}_A(1)$  is a universal super representation of the wavelet group in that when  $A$  is given, then via an intertwining isometry  $\mathcal{W} : L^2(\mathbb{R}^d) \rightarrow L^2(\mathcal{S}_A(1))$  the standard  $A$ -wavelet-unitary representation acting on  $L^2(\mathbb{R}^d)$  is naturally included in the  $\mathcal{S}_A(1)$  representation. The details of the symbolic encoding of this pair of representations depends on a choice of digit set  $\mathcal{D}$ , and the structure of the associated  $(A, \mathcal{D})$ -cycles (we will give these details in §6).

**THEOREM 4.4.** (i) *The measure  $\tilde{\mu}$  satisfies the invariance property*

$$\int_{\mathcal{S}_A(1)} f \circ \sigma_A \, d\tilde{\mu} = \frac{1}{|\det A|} \int_{\mathcal{S}_A(1)} f \, d\tilde{\mu} \quad (f \in L^1(\mathcal{S}_A(1), \tilde{\mu})). \tag{4.7}$$

(ii) *The operators  $\tilde{T}_k, k \in \mathbb{Z}^d$  and  $\tilde{U}$  are unitary and they satisfy the relation*

$$\tilde{U} \tilde{T}_k \tilde{U}^{-1} = \tilde{T}_{Ak} \quad (k \in \mathbb{Z}^d) \tag{4.8}$$

*so  $\{\tilde{U}, \tilde{T}_k\}$  generate a representation of the group  $G_A$  on  $L^2(\mathcal{S}_A(1), \tilde{\mu})$ .*

- (iii) The map  $\hat{i}$  is a measure-preserving transformation between  $\mathbb{R}^d$  and  $\mathcal{S}_A(1)$ .
- (iv) The operator  $\mathcal{W}: L^2(\mathbb{R}^d) \rightarrow L^2(\mathcal{S}_A(1), \tilde{\mu})$  defined by  $\mathcal{W}f = f \circ \hat{i}^{-1}$  is an intertwining isometric isomorphism,

$$\mathcal{W}\hat{T}_k = \tilde{T}_k\mathcal{W} \quad (k \in \mathbb{Z}^d), \quad \mathcal{W}\hat{U} = \tilde{U}\mathcal{W}. \tag{4.9}$$

*Proof.*

- (i) The Haar measure on  $\mathbb{T}^d$  satisfies the strong invariance property,

$$\int_{\mathbb{T}^d} f \, d\mu = \frac{1}{|\det A|} \int_{\mathbb{T}^d} \sum_{y^A=z} f(y) \, d\mu(z) \quad (f \in L^1(\mu)). \tag{4.10}$$

Using this, we have, for  $f \in L^1(\mathcal{S}_A(1), \tilde{\mu})$ ,

$$\begin{aligned} \int_{\mathcal{S}_A(1)} f \circ \sigma_A \, d\tilde{\mu} &= \int_{\mathbb{T}^d} \sum_{(z_n)_n \in \mathcal{S}_A(1), z_0=z} f(z_0^A, z_0, z_1, \dots) \, d\mu(z) \\ &= \frac{1}{|\det A|} \int_{\mathbb{T}^d} \sum_{y^A=z} \sum_{(z_n)_n \in \mathcal{S}_A(1), z_0=y} f(z_0^A, z_0, z_1, \dots) \, d\mu(z) \\ &= \frac{1}{|\det A|} \int_{\mathbb{T}^d} \sum_{(w_n)_n \in \mathcal{S}_A(1), w_0=z} f(w_0, w_1, \dots) \, d\mu(z) \\ &= \frac{1}{|\det A|} \int_{\mathcal{S}_A(1)} f \, d\tilde{\mu}. \end{aligned}$$

- (ii) Part(ii) follows from (i) and some direct computations.

- (iii) First note that, by Proposition 4.1  $(z_n)_n \in \mathcal{S}_A(1)$  with  $z_0 = e^{2\pi i x}$  if and only if  $(z_n)_n = \hat{i}(y)$  and  $e^{2\pi i y} = e^{2\pi i x}$ , i.e.,  $(z_n)_n = \hat{i}(x + k)$  for some  $k \in \mathbb{Z}^d$ .

Let  $f \in L^1(\mathcal{S}_A(1), \tilde{\mu})$ . Then

$$\begin{aligned} \int_{\mathbb{R}^d} f(\hat{i}(x)) \, dx &= \int_{[0,1]^d} \sum_{k \in \mathbb{Z}^d} f(\hat{i}(x + k)) \, dx \\ &= \int_{[0,1]^d} \sum_{(z_n)_n \in \mathcal{S}_A(1), z_0=e^{2\pi i x}} f(z_0, z_1, \dots) \, dx \\ &= \int_{\mathbb{T}^d} \sum_{(z_n)_n \in \mathcal{S}_A(1), z_0=z} f(z_0, z_1, \dots) \, d\mu(x) = \int_{\mathcal{S}_A(1)} f \, d\tilde{\mu}. \end{aligned}$$

This proves that  $\hat{i}$  is measure preserving.

- (iv) Since  $\hat{i}$  is measure preserving,  $\mathcal{W}$  is an isometric isomorphism. The intertwining relation (4.9) follows by a direct computation that uses (4.2). □

4.1. *Cycles.* Next we will show how the ‘super-wavelet’ representations from [BDP05] can be realized on the solenoid.

*Definition 4.5.* An ordered set  $C := \{\zeta_0, \zeta_1, \dots, \zeta_{p-1}\}$  in  $\mathbb{T}^d$  is called a *cycle* if  $\zeta_{j+1}^A = \zeta_j$  for  $j \in \{0, \dots, p-2\}$  and  $\zeta_0^A = \zeta_{p-1}$ , where  $p \geq 1$ . The number  $p$  is called the *period* of the cycle if the points  $\zeta_i$  are distinct.

*Definition 4.6.* Let  $C = \{\zeta_0, \zeta_1, \dots, \zeta_{p-1}\}$  be a cycle. Denote by

$$\mathcal{H}_C := \underbrace{L^2(\mathbb{R}^d) \oplus \dots \oplus L^2(\mathbb{R}^d)}_{p \text{ times}} = L^2(\mathbb{R}^d \times \mathbb{Z}_p), \tag{4.11}$$

where  $\mathbb{Z}_p = \{0, \dots, p - 1\}$  is the cyclic group of order  $p$ .

Define the operators on  $\mathcal{H}_C$

$$T_{C,k}(f_0, \dots, f_{p-1}) = (\zeta_0^k T_k f_0, \dots, \zeta_{p-1}^k T_k f_{p-1}), \quad ((f_0, \dots, f_{p-1}) \in \mathcal{H}_C, k \in \mathbb{Z}^d) \tag{4.12}$$

$$U_C(f_0, \dots, f_{p-1}) = (U f_{p-1}, U f_0, \dots, U f_{p-2}), \quad ((f_0, \dots, f_{p-1}) \in \mathcal{H}_C), \tag{4.13}$$

where  $T_k$  and  $U$  are the operators on  $L^2(\mathbb{R}^d)$  from Definition 4.2. We will denote by  $\hat{T}_{C,k}$  and  $\hat{U}_C$  the Fourier transform of these operators (the Fourier transform being applied on each component of  $\mathcal{H}_C$ ).

Then a simple calculations shows the following.

**PROPOSITION 4.7.** *The operators  $T_{C,k}, k \in \mathbb{Z}^d$  and  $U_C$  are unitary and satisfy the relation*

$$U_C T_{C,k} U_C^{-1} = T_{C, Ak} \quad (k \in \mathbb{Z}^d) \tag{4.14}$$

so they define a representation of the group  $G_A$  on  $\mathcal{H}_C$ .

Some examples and wavelet applications are also included in [Jor03].

*Definition 4.8.* Let  $C := \{\zeta_0, \dots, \zeta_{p-1}\}$  be a cycle,  $\zeta_j = e^{2\pi i \theta_j}$ , for some  $x_j \in \mathbb{R}^d$ , ( $j \in \{0, \dots, p - 1\}$ ). We will use the notation  $\theta_n := \theta_{n \bmod p}$ ,  $\zeta_n := \zeta_{n \bmod p}$  for all  $n \in \mathbb{Z}$ . We denote by

$$\chi_C := (\zeta_0, \dots, \zeta_{p-1}, \zeta_0, \dots, \zeta_{p-1}, \dots) \in \mathcal{S}_A.$$

Let

$$\mathcal{S}_A(C) := \bigcup_{j=0}^{p-1} \sigma_A^{-j}(\chi_C) \hat{i}(\mathbb{R}^d). \tag{4.15}$$

Let  $\hat{i}_C : \mathbb{R}^d \times \mathbb{Z}_p \rightarrow \mathcal{S}_A(C)$

$$\hat{i}_C(x, j) = \sigma_A^{-j}(\chi_C) \hat{i}(x) = (e^{2\pi i((A^T)^{-n}x + \theta_{n+j})})_{n \in \mathbb{N}} \quad (x \in \mathbb{R}^d, j \in \mathbb{Z}_p). \tag{4.16}$$

Define the measure  $\tilde{\mu}$  on  $\mathcal{S}_A(C)$  by an equation similar to (4.4) (the only difference here is the support  $\mathcal{S}_A(C)$  instead of  $\mathcal{S}_A(1)$ )

$$\int_{\mathcal{S}_A(C)} f d\tilde{\mu} = \int_{\mathbb{T}^d} \sum_{(z_n)_n \in \mathcal{S}_A(C), \theta_0((z_n)_{n \in \mathbb{N}}) = z} f((z_n)_{n \in \mathbb{N}}) d\mu(z).$$

Define the operators  $\tilde{T}_k, k \in \mathbb{Z}^d$  and  $\tilde{U}$  on  $L^2(\mathcal{S}_A(C), \tilde{\mu})$  by the same formulas as in (4.5) and (4.6).

THEOREM 4.9.

- (i) The point  $\chi_C$  is periodic for  $\sigma_A$ ,  $\sigma_A^p(\chi_C) = \chi_C$ , and  $\sigma_A$  permutes cyclically the sets  $\sigma^{-j}(\chi_C)\hat{i}(\mathbb{R}^d)$ ,  $j \in \mathbb{Z}_p$ .
- (ii) The set  $\mathcal{S}_A(C)$  consists of exactly the points  $(z_n)_{n \in \mathbb{N}}$  with the property that the distance from  $z_n$  to  $C$  converges to 0.
- (iii) Let  $\alpha_{A,p} : \mathbb{Z}^d \times \mathbb{Z}_p \rightarrow \mathbb{Z}^d \times \mathbb{Z}_p$

$$\alpha_{A,p}(x, j) = (A^T x, j - 1) \quad (x \in \mathbb{R}^d, j \in \mathbb{Z}_p). \tag{4.17}$$

The map  $\hat{i}_C$  is a bijective measure-preserving transformation that satisfies the relation

$$\hat{i}_C \circ \alpha_{A,p} = \sigma_A \circ \hat{i}_C. \tag{4.18}$$

- (iv) The operator  $\mathcal{W}_C : \mathcal{H}_C = L^2(\mathbb{R}^d \times \mathbb{Z}_p) \rightarrow L^2(\mathcal{S}_A(C), \tilde{\mu})$ ,  $\mathcal{W}_C f = f \circ \hat{i}_C^{-1}$ , is an intertwining isometric isomorphism:

$$\mathcal{W}_C \hat{T}_{C,k} = \tilde{T}_k \mathcal{W}_C \quad (k \in \mathbb{Z}^d), \quad \mathcal{W}_C \hat{U}_C = \tilde{U} \mathcal{W}_C. \tag{4.19}$$

*Proof.*

(i) Part (i) is trivial.

(ii) If  $(z_n)_n$  is in  $\mathcal{S}_A(C)$  then for some  $j$ , we have that  $(\sigma_A^{-j}(\chi_C))^{-1}(z_n)_n$  is in  $\hat{i}(\mathbb{R}^d)$  so  $\zeta_j^{-1} z_{np}$  converges to 0. Therefore  $z_{np}$  converges to  $\zeta_j$  so  $z_{np-l} = z_{np}^{A^l}$  converges to  $\zeta_{j-l}$  for all  $l \in \mathbb{Z}_p$ .

Conversely, suppose  $(z_n)_n \in \mathcal{S}_A$  and  $\text{dist}(z_n, C)$  converges to 0. We claim that  $z_{np}$  converges to one of the points of the cycle  $\zeta_i$ .

Pick an  $\epsilon > 0$  small enough such that for all  $i \in \mathbb{Z}_p$ ,  $\text{dist}(z_n, \zeta_i) < \epsilon$  implies  $\text{dist}(z_n, \zeta_{i'}) > \epsilon$  for  $i \neq i'$ . There exists a  $\delta > 0$ ,  $\delta < \epsilon$  such that for all  $i \in \mathbb{Z}_p$ , if  $\text{dist}(z, \zeta_i) < \delta$  then  $\text{dist}(z^{A^p}, \zeta_i) < \epsilon$ .

There exists an  $n_\epsilon$  such that if  $n \geq n_\epsilon$  then  $\text{dist}(z_n, C) < \delta < \epsilon$ . Then for some  $i \in \mathbb{Z}_p$  we have  $\text{dist}(z_{n_\epsilon}, \zeta_i) < \epsilon$ . Also for some  $i' \in \mathbb{Z}_p$  we have  $\text{dist}(z_{n_\epsilon+p}, \zeta_{i'}) < \delta$ . This implies that  $\text{dist}(z_{n_\epsilon}, \zeta_{i'}) < \epsilon$  so  $i' = i$ . By induction, we obtain that  $\text{dist}(z_{n_\epsilon+kp}, \zeta_i) < \delta < \epsilon$ . And since  $\text{dist}(z_n, C)$  converges to 0 this shows that  $\text{dist}(z_{n_\epsilon+kp}, \zeta_i)$  converges to 0. Applying the map  $z^A$  several times we conclude that  $z_{np}$  converges to one of the elements of the cycle,  $\zeta_j$ .

Then consider  $(w_n)_n := \sigma_A^j(\chi_C)^{-1}(z_n)_n \in \mathcal{S}_A$ . Clearly  $w_n$  converges to 1. By Proposition 4.1, there exists an  $x \in \mathbb{R}^d$  such that  $(w_n)_n = \hat{i}(x)$ . Thus  $(z_n)_n = \sigma_A^j(\chi_C)\hat{i}(x)$ , and this proves (ii).

(iii) Since  $\hat{i}$  is bijective (Proposition 4.1), clearly  $\hat{i}_C$  is also bijective. To check that  $\hat{i}_C$  is a measure-preserving transformation, since  $\hat{i}$  is measure preserving by Proposition 4.1, it is enough to check that multiplication by  $\sigma_A^{-j}(\chi_C)$  leaves the measure  $\tilde{\mu}$  invariant, i.e., for a function  $f$  defined on  $\sigma_A^{-j}(\mathbb{R}^d)$ ,

$$\int_{\sigma_A^{-j}(\chi_C)\hat{i}(\mathbb{R}^d)} f d\tilde{\mu} = \int_{\hat{i}(\mathbb{R}^d)} f(\sigma_A^{-j}(\chi_C)(z_n)_n) d\tilde{\mu}(z_n)_n \quad (j \in \mathbb{Z}_p). \tag{4.20}$$

It is enough to check this for  $j = 0$ . Using the translation invariance of the Haar measure  $\mu$  on  $\mathbb{T}^d$ , we have

$$\begin{aligned} \int_{\chi_C \hat{i}(\mathbb{R}^d)} f \, d\tilde{\mu} &= \int_{\mathbb{T}^d} \sum_{(z_n)_n \in \chi_C \hat{i}(\mathbb{R}^d), z_0=z} f((z_n)_n) \, d\mu(z) \\ &= \int_{\mathbb{T}^d} \sum_{(w_n)_n \in \hat{i}(\mathbb{R}^d), w_0=z\zeta_0^{-1}} f(\chi_C(w_n)_n) \, d\mu(z) \\ &= \int_{\mathbb{T}^d} \sum_{(w_n)_n \in \hat{i}(\mathbb{R}^d), w_0=z} f(\chi_C(w_n)_n) \, d\mu = \int_{\hat{i}(\mathbb{R}^d)} f(\chi_C(w_n)_n) \, d\tilde{\mu}(w_n)_n. \end{aligned}$$

Equation (4.18) follows by a direct computation.

(iv) Follows from (4.18).  $\square$

### 5. Encoding of integer points

The idea of using matrices and geometry in creating a positional number system for points in  $\mathbb{R}^d$  was initiated by Don Knuth, see especially [Knu76, Vol. 2, Ch. 4 (Arithmetic), and §4.1] which introduces this geometric and algorithmic approach to positional number system. In fact, the Twin Dragon appears in [Knu76, Vol. 2, page 206]. In our present discussion, with a fixed expansive matrix  $A^T$  playing the role of the basis number (or the radix) in our radix representations, the natural question arises: ‘What is the role of the integer lattice  $\mathbb{Z}^d$  relative to our radix system?’ This section gives a preliminary answer to the question, and the next section is a complete analysis involving cycles.

As before, we begin with a choice of expansive matrix  $A$  (i.e.,  $A$  is a fixed  $d$  by  $d$  matrix over  $\mathbb{Z}$ ), and we choose a subset  $\mathcal{D}$  in  $\mathbb{Z}^d$  (for digits), points in  $\mathcal{D}$  in bijective correspondence with  $\mathbb{Z}^d / A^T \mathbb{Z}^d$ . As it turns out, ‘the integers’ relative to the  $(A, \mathcal{D})$ -radix typically will not have finite radix (or Laurent) expansions in positive powers of  $A^T$ . The reason for this is the presence of certain non-trivial cycles  $C$  in  $\mathbb{Z}^d$  leading to infinite repetitions, which we will take up systematically in the next section.

In this section we will show that when the pair  $(A, \mathcal{D})$  is fixed, there is an encoding mapping  $\phi$  which records the finite words in the alphabet  $\mathcal{D}$  which will correspond to the cycles in  $\mathbb{Z}^d$  that are associated with our particular choice of  $(A, \mathcal{D})$ . However, once the cycles in  $\mathbb{Z}^d$  are identified, there is a much more detailed encoding directly for  $\mathbb{Z}^d$  which will be done in detail in Theorem 6.15.

So our present encoding mapping  $\phi : \mathbb{Z}^d \rightarrow \mathcal{D}^{\mathbb{N}}$ , depending on the pair  $(A, \mathcal{D})$ , is an introduction to our analysis of a refined solenoid encoding and of all cycles in the next section. Still our starting point is a fixed radix pair  $(A, \mathcal{D})$ . The fact that the encoding mapping  $\phi : \mathbb{Z}^d \rightarrow \mathcal{D}^{\mathbb{N}}$  is injective is a consequence of the expansive property. Corresponding to  $(A, \mathcal{D})$  there is a finite set of finite words  $F = F(A, \mathcal{D})$  in letters from  $\mathcal{D}$ . These words label the  $\mathbb{Z}^d$ -cycles, and the encoding mapping  $\phi : \mathbb{Z}^d \rightarrow \mathcal{D}^{\mathbb{N}}$  (infinite Cartesian product) maps onto the set of infinite words which terminate in an infinite repetition of one of the words from  $F$ .

Let  $d$  be given. Let  $B$  be a  $d \times d$  matrix over  $\mathbb{Z}$ , and assume

$$\bigcap_{k \geq 1} B^k \mathbb{Z}^d = \{0\}. \quad (5.1)$$

Note that this holds if  $B$  is assumed expansive.

Let  $\mathcal{D} \subset \mathbb{Z}^d$  be a complete set of representatives for  $\mathbb{Z}^d/B\mathbb{Z}^d$ . Assume  $0 \in \mathcal{D}$ . (This assumption is for convenience and can be easily removed *mutatis mutandis*.)

*Definition 5.1.* Define a  $(B, \mathcal{D})$  encoding of  $\mathbb{Z}^d$

$$\phi(x) := d_0 d_1 d_2 \dots, \phi : \mathbb{Z}^d \rightarrow \mathcal{D}^{\mathbb{N}}$$

as follows: when  $x \in \mathbb{Z}^d$  is given, there is a unique pair  $x_1 \in \mathbb{Z}^d$  and  $d_0 \in \mathcal{D}$  such that

$$x = d_0 + Bx_1. \tag{5.2}$$

By the same argument, now determine  $d_1, d_2, \dots \in \mathcal{D}$ , and  $x_2, x_3, \dots \in \mathbb{Z}^d$  recursively such that

$$x_k = d_k + Bx_{k+1}. \tag{5.3}$$

*Definition 5.2.* Set

$$\Omega := \mathcal{D}^{\mathbb{N}} = \prod_{n=0}^{\infty} \mathcal{D}.$$

Elements  $\omega \in \Omega$  are called infinite words in the alphabet  $\mathcal{D}$ . If  $v$  is a finite word, we denote by  $\underline{v}$  the infinite repetition of this word  $vvv\dots$ . If there are finite words  $v$  and  $w$  such that  $\omega = (vw)$ , we say that  $\omega$  ends in a cycle.

PROPOSITION 5.3.

- (i) *The encoding mapping  $\phi : \mathbb{Z}^d \rightarrow \Omega$  is well defined.*
- (ii)  *$\phi$  is one-to-one.*
- (iii)  *$\phi$  maps onto a subset of  $\Omega$  of all infinite words that end in cycles.*

*Proof.*

- (i) Part (i) is immediate from (5.2), (5.3).
- (ii) Suppose  $x, y \in \mathbb{Z}^d$  and  $\phi(x) = \phi(y)$ . Then an application of (5.3) shows that  $x - y \in \cap_{k \geq 1} B^k \mathbb{Z}^d$ , and we conclude that  $x = y$  by an application of (5.1).
- (iii) Part (iii) follows immediately from Theorem 6.15. □

*Remark 5.4.*

(i) For  $x \in \mathbb{Z}^d$ , the encoding  $\phi(x) = \underline{vw} = d_0 d_1 d_2 \dots$  with  $d_i \in \mathcal{D}$ , and  $v, w$  finite words is unique; but the formal sum

$$d_0 + Bd_1 + B^2 d_2 + \dots \tag{5.4}$$

is not convergent unless  $\underline{w} = \underline{0} = 000\dots$  infinite repetition. In that case there exists  $m \in \mathbb{N}$  such that  $v = d_0 \dots d_{m-1}$  and

$$x = d_0 + Bd_1 + \dots + B^{m-1} d_{m-1}.$$

(ii) Suppose  $v = \emptyset$  and  $\phi(x) = \underline{w}$ , with  $w = l_0 l_1 \dots l_{p-1}$ . Then  $-x$  has the following infinite, convergent, periodic fractional expansion:

$$-x = \sum_{k=0}^{\infty} \sum_{i=0}^{p-1} B^{-kp+i+1} l_{p-1-i}.$$

See Proposition 6.14 and Theorem 6.15 for the proof.

*Example 5.5.* The following simple example in one dimension illustrates the cases (i) and (ii) above.

Let  $d = 1$ ,  $B = 2$ , and  $\mathcal{D} = \{0, 3\}$ . Let  $\phi: \mathbb{Z} \rightarrow \Omega$  be the encoding. We have  $\phi(11) = 300330$ , i.e.,  $v = 3003$  and  $w = 30$ . Also, we have  $\phi(18) = 0330$ , i.e.,  $v = 033$  and  $w = 0$ , corresponding to the finite representation

$$18 = 0 + 3 \cdot 2 + 3 \cdot 2^2.$$

Finally  $\phi(-2) = 03$ , i.e.,  $v = \emptyset$ , and  $w = 03$ . Hence by (ii) we get the following infinite fractional dyadic representation

$$2 = 3 \cdot 2^{-1} + 0 \cdot 2^{-2} + 3 \cdot 2^{-3} + 0 \cdot 2^{-4} + 3 \cdot 2^{-5} + \dots$$

Proposition 6.14 shows that the cycles are obtained by intersecting  $\mathbb{Z}$  with the set  $-X(B, \mathcal{D})$ , where  $X(B, \mathcal{D})$  is the attractor of the maps  $\tau_0(x) = x/2$ ,  $\tau_3(x) = (x + 3)/2$ . In our example  $X(B, \mathcal{D}) = [0, 3]$ . There are two cycles of length one  $\{0\}$  and  $\{-3\}$  and one cycle of length two  $\{-1, -2\}$ .

The encoding mapping  $\phi$  records the cycles as follows: the one-cycles  $\phi(0) = 0$ ,  $\phi(-3) = 3$ ; the two-cycle  $\phi(-1) = 30$ ,  $\phi(-2) = 03$ .

The next section takes up the encodings in general.

## 6. Encodings of the solenoid

In this section we return to the geometry of general digit sets in positional number systems, turning ‘digits’ into geometry and tilings. The starting point is a given pair  $(A, \mathcal{D})$  with  $A$  expansive over  $\mathbb{Z}$ , and  $\mathcal{D}$  a complete digit set. With the aid of the solenoid we give an explicit encoding. Specifically, we show that the attractor  $X(A^T, \mathcal{D})$  for the corresponding affine IFS is a set of fractions for an  $(A, \mathcal{D})$ -digital representation of points in  $\mathbb{R}^d$ . Moreover our positional ‘number representation’ is spelled out in the form of an explicit IFS encoding of the compact solenoid  $\mathcal{S}_A$  associated with the pair  $(A, \mathcal{D})$ . The intricate part (Theorem 6.15) is played by the cycles in  $\mathbb{Z}^d$  for the initial  $(A, \mathcal{D})$ -IFS. Using the cycles we are able to write down formulas for the two maps which do the encoding as well as the decoding in our positional  $\mathcal{D}$ -representation.

Take a point  $(z_n)_{n \in \mathbb{N}}$  in the solenoid  $\mathcal{S}_A$ . Then  $z_0 \in \mathbb{T}^d$ ,  $z_1^A = z_0$ ,  $z_2^A = z_1$ , and so on.

Since  $z_0$  is in  $\mathbb{T}^d$  it can be represented by  $e^{2\pi i x_0}$ , where  $x_0 \in \mathbb{R}^d$ . Note that one has several choices for  $x_0$ , any of its integer translates  $x_0 + k$ ,  $k \in \mathbb{Z}^d$ , will do.

Then  $z_1^A = z_0$ , so  $z_1$  is a ‘root’ of  $z_0$ . There are  $|\det A|$  choices: if  $z_0 = e^{2\pi i x_0}$  then  $z_1$  must be one of the points  $\exp(2\pi i (A^T)^{-1}(x_0 + d))$ ,  $d \in \mathcal{D}$ , where  $\mathcal{D}$  is some complete set of representatives for  $\mathbb{Z}^d / A^T \mathbb{Z}^d$ . Say  $z_1 = \exp(2\pi i (A^T)^{-1}(x_0 + d_0)) = e^{2\pi i x_1}$ .

At the next step  $z_2$  is a root of  $z_1$  so  $z_2 = \exp(2\pi i (A^T)^{-1}(x_1 + d_1))$  for some  $d_1 \in \mathcal{D}$ . By induction, we get a sequence  $d_1, d_2, \dots$  in  $\mathcal{D}$ .

Thus, picking a point  $(z_n)_{n \in \mathbb{N}}$  in  $\mathcal{S}_A$  amounts to choosing an  $x_0 \in \mathbb{R}^d$  and an infinite word  $d_0 d_1 \dots \in \Omega := \mathcal{D}^{\mathbb{N}}$ . Thus we say that  $(z_n)_{n \in \mathbb{N}}$  can be encoded as

$$(z_n)_{n \in \mathbb{N}} \leftrightarrow (x_0, d_0 d_1 \dots).$$

Now note that changing the choice of  $x_0$  (to say  $x_0 + k$ ), affects the entire sequence  $d_0, d_1, \dots$ . We want to make this choice unique in some sense. For this we need to find a

subset  $F$  of  $\mathbb{R}^d$ , such that for each  $z \in \mathbb{T}^d$ , there is a unique  $x \in F$  such that  $z = e^{2\pi i x}$ . In other words,  $F$  must tile  $\mathbb{R}^d$  by  $\mathbb{Z}^d$ -translations.

Of course a first choice of this set  $F$  would be  $[0, 1)^d$ . While this works in dimension  $d = 1$ , it may be inappropriate for higher dimensions. The problem is that we would need also  $z_1$  to come from  $e^{2\pi i x_1}$  with  $x_1 \in F$ , and this would mean that  $x_1 = (A^T)^{-1}(x_0 + d_0)$  is in  $F$ . Thus, our set  $F$  must have the following property

$$\bigcup_{d \in \mathcal{D}} (A^T)^{-1}(F + d) \subset F.$$

But since  $(z_1, z_2, \dots)$  is also an element of  $\mathcal{S}_A$  and  $z_1$  can be any point in  $\mathbb{T}^d$ , it follows that we must actually have

$$\bigcup_{d \in \mathcal{D}} (A^T)^{-1}(F + d) = F. \tag{6.1}$$

Of course, when we are interested only in measure theoretic notions, we can allow the equalities to hold only almost everywhere.

When  $F$  is compact, this equation identifies  $F$  as the attractor of an affine iterated function system.

*Definition 6.1.* Let  $\mathcal{D}$  be a complete set of representatives for  $\mathbb{Z}^d / A^T \mathbb{Z}^d$ . For every  $d \in \mathcal{D}$ , we denote by  $\tau_d$  the map on  $\mathbb{R}^d$  defined by

$$\tau_d(x) = (A^T)^{-1}(x + d) \quad (x \in \mathbb{R}^d). \tag{6.2}$$

With this notation, if  $F$  is compact, equation (6.1) says that  $F$  is the attractor of the affine iterated function system  $(\tau_d)_{d \in \mathcal{D}}$ . This identifies  $F$  as

$$F = X(A^T, \mathcal{D}) := \left\{ \sum_{j=1}^{\infty} (A^T)^{-j} d_j \mid d_j \in \mathcal{D} \right\}. \tag{6.3}$$

To find an encoding of the solenoid  $\mathcal{S}_A$  means to find a subset  $F$  of  $\mathbb{R}^d$  and a complete set of representatives  $\mathcal{D}$  of  $\mathbb{Z}^d / A^T \mathbb{Z}^d$  such that, if  $x \in F$  then  $\tau_d x \in F$  for all  $d \in \mathcal{D}$ , and the *decoding* map  $\mathfrak{d} : F \times \mathcal{D}^{\mathbb{N}} \rightarrow \mathcal{S}_A$  defined by

$$F \times \mathcal{D}^{\mathbb{N}} \ni (x_0, d_0 d_1 \dots) \mapsto (e^{2\pi i x_0}, e^{2\pi i \tau_{d_0} x_0}, e^{2\pi i \tau_{d_1} \tau_{d_0} x_0}, \dots) \in \mathcal{S}_A$$

is a bijection.

Thus, to find this encoding of  $\mathcal{S}_A$  we need a subset  $F$  of  $\mathbb{R}^d$  and a complete set of representatives  $\mathcal{D}$  that satisfy (6.1) and such that  $F$  tiles  $\mathbb{R}^d$  by integer translations, i.e.,

$$\bigcup_{k \in \mathbb{Z}^d} (F + k) = \mathbb{R}^d \text{ Lebesgue-a.e., and } (F + k) \cap (F + k') = \emptyset \text{ Lebesgue-a.e.} \tag{6.4}$$

So the problem of encoding the solenoid into the space  $F \times \mathcal{D}^{\mathbb{N}}$  is equivalent to the following question.

*Question.* Given an expansive  $d \times d$  integer matrix  $A$ , is it possible to find a complete set of representatives  $\mathcal{D}$  of  $\mathbb{Z}^d / A^T \mathbb{Z}^d$  such that the attractor  $X(A^T, \mathcal{D})$  of the iterated function system  $(\tau_d)_{d \in \mathcal{D}}$  tiles  $\mathbb{R}^d$  by  $\mathbb{Z}^d$ ?

As explained in the introduction, while this is known to be true for dimension  $d = 1$  or  $d = 2$ , there are some  $5 \times 5$  matrices for which such a  $\mathcal{D}$  does not exist.



Definition 6.2.

(a) We say that a subset  $F \subset \mathbb{R}^d$  tiles  $\mathbb{R}^d$  by a lattice  $\Gamma$  if and only if the following two properties hold

$$\mathbb{R}^d = \bigcup_{\gamma \in \Gamma} (F + \gamma),$$

$$(F + \gamma) \cap (F + \gamma') = \emptyset, \quad \gamma, \gamma' \in \Gamma, \gamma \neq \gamma'.$$

We say that  $F$  tiles  $\mathbb{R}^d$  up to measure zero by the lattice  $\Gamma$  if these two properties hold up to Lebesgue measure zero.

(b) By a lattice we mean a rank- $d$  subgroup of  $\mathbb{R}^d$ . We shall be interested in sublattices  $\Gamma \subset \mathbb{Z}^d$ . For a fixed  $\Gamma \subset \mathbb{Z}^d$  we say that  $\Gamma$  is of index  $k$  if the order of the quotient  $\mathbb{Z}^d / \Gamma$  is  $k$ .

LEMMA 6.3. *Suppose a relatively compact subset  $F \subset \mathbb{R}^d$  tiles by some lattice  $\Gamma \subset \mathbb{Z}^d$ . Then the lattice  $\Gamma$  is of index  $k$  if and only if the mapping  $F \ni x \mapsto e^{2\pi i x} \in \mathbb{T}^d$  is  $k$ -to-1 (up to measure zero).*

*Proof.* It follows from the definition that  $F$  tiles by  $\Gamma$  if and only if the restriction to  $F$  of the quotient mapping  $\mathbb{R}^d \rightarrow \mathbb{R}^d / \Gamma$  is bijective up to measure zero. Hence the assertion that the given map is  $k$ -to-one is equivalent to the natural mapping  $\mathbb{R}^d / \Gamma \rightarrow \mathbb{R}^d / \mathbb{Z}^d$  being a  $k$ -fold cover; but this is so by the induced isomorphism  $(\mathbb{R}^d / \Gamma) / (\mathbb{R}^d / \mathbb{Z}^d) \cong \mathbb{Z}^d / \Gamma$ .  $\square$

PROPOSITION 6.4. *Let  $\Omega := \mathcal{D}^{\mathbb{N}}$ . Define the map  $\mathfrak{d} : \mathbb{R}^d \times \Omega \rightarrow \mathcal{S}_A$  by*

$$\mathfrak{d}(x, \omega_0 \omega_1 \dots) = (e^{2\pi i x}, e^{2\pi i \tau_{\omega_0} x}, e^{2\pi i \tau_{\omega_1} \tau_{\omega_0} x}, \dots) \quad (x \in \mathbb{R}^d, \omega_0 \omega_1 \dots \in \Omega). \quad (6.5)$$

- (i) *For each  $x \in \mathbb{R}^d$ ,  $k \in \mathbb{Z}^d$ , and  $\omega \in \Omega$  there is a unique  $\omega' = \omega'(x, k, \omega) \in \Omega$  such that  $\mathfrak{d}(x, \omega) = \mathfrak{d}(x + k, \omega')$ . Moreover, if  $x, x' \in \mathbb{R}^d$  and  $\omega, \omega' \in \Omega$ , such that  $\mathfrak{d}(x, \omega) = \mathfrak{d}(x', \omega')$ , then  $x' = x + k$  for some  $k \in \mathbb{Z}^d$  and  $\omega' = \omega'(x, k, \omega)$ .*
- (ii) *Let  $F$  be a subset of  $\mathbb{R}^d$ . The restriction of the map  $\mathfrak{d}$  to  $F \times \Omega$  is injective if and only if  $F \cap (F + k) = \emptyset$  for all  $k \in \mathbb{Z}^d, k \neq 0$ .*
- (iii) *The restriction of  $\mathfrak{d}$  to  $F \times \Omega$  is onto if and only if*

$$\bigcup_{k \in \mathbb{Z}^d} (F + k) = \mathbb{R}^d.$$

- (iv) *The restriction of  $\mathfrak{d}$  to  $F \times \Omega$  is bijective if and only if  $F$  tiles  $\mathbb{R}^d$  by  $\mathbb{Z}^d$ -translations.*
- (v) *Define the map  $\rho : \mathbb{R}^d \times \Omega \rightarrow \mathbb{R}^d \times \Omega$*

$$\rho(x, \omega_0 \omega_1 \dots) = (\tau_{\omega_0} x, \omega_1 \omega_2 \dots), \quad (x \in \mathbb{R}^d, \omega_0 \omega_1 \dots \in \Omega). \quad (6.6)$$

Then

$$\mathfrak{d} \circ \rho = \sigma^{-1} \circ \mathfrak{d}. \quad (6.7)$$

*Proof.*

(i) We want  $\tau_{\omega_0} x \equiv \tau_{\omega'_0} (x + k)$ . So  $(A^T)^{-1} \omega_0 \equiv (A^T)^{-1} (k + \omega'_0)$ , i.e.,  $\omega'_0 \equiv \omega_0 - k \pmod{A^T \mathbb{Z}^d}$ . Since  $\mathcal{D}$  is a complete set of representatives for  $\mathbb{Z}^d / A^T \mathbb{Z}^d$ , there is a unique  $\omega'_0 \in \mathcal{D}$  such that this is satisfied. Proceeding by induction we see that  $\omega'_2, \omega'_3, \dots$  can be uniquely constructed such that  $e^{2\pi i \tau_{\omega_n} \dots \tau_{\omega_0} x} = e^{2\pi i \tau_{\omega'_n} \dots \tau_{\omega'_0} (x+k)}$  for all  $n \in \mathbb{N}$ .

If  $\vartheta(x, \omega) = \vartheta(x', \omega')$  then  $e^{2\pi i x} = e^{2\pi i x'}$  so  $x' = x + k$  for some  $k \in \mathbb{Z}^d$ . The rest follows from the uniqueness of  $\omega'(x, k, \omega)$ .

(ii) Suppose  $\vartheta$  restricted to  $F \times \Omega$  is injective. Then, by (i), we cannot have  $x$  and  $x + k$  in  $F$  for some  $k \neq 0$ . Conversely, if  $\vartheta$  is not injective on this set, then  $\vartheta(x, \omega) = \vartheta(x', \omega')$  for some  $x, x' \in F$  and  $\omega, \omega' \in \Omega$ . Using (i) again we get that  $x' = x + l$  for some  $l \in \mathbb{Z}^d$  so  $F \cap (F + l) \neq \emptyset$ .

(iii) Suppose the restriction of  $\vartheta$  to  $F \times \Omega$  is onto. Then for all  $y \in \mathbb{R}^d$ , there is  $x \in F$  and  $\omega \in \Omega$  such that  $\vartheta(x, \omega) = \hat{i}(y)$ . Then  $e^{2\pi i x} = e^{2\pi i y}$  so  $y = x + k$  for some  $k \in \mathbb{Z}^d$ , and therefore  $y \in F + k$ . This shows that  $\bigcup(F + k) = \mathbb{R}^d$ .

Conversely, take  $(z_n)_{n \in \mathbb{N}} \in \mathcal{S}_A$ . There exist  $x_n \in \mathbb{R}^d$  such that  $z_n = e^{2\pi i x_n}$  for all  $n$ . By hypothesis we can take  $x_0 \in F$ . Since  $z_1^A = z_0$  we have that,  $A^T x_1 \equiv x_0$  so for some  $\omega_0 \in \mathcal{D}$ ,  $\tau_{\omega_0} x_0 \equiv x_1$ . Then, by induction we can construct  $\omega_n \in \mathcal{D}$  such that  $\tau_{\omega_n} \cdots \tau_{\omega_0} x_0 \equiv x_{n+1}$ . This proves that  $\vartheta(x, \omega) = (e^{2\pi i x_n})_n = (z_n)_n$ .

(iv) Part (iv) follows directly from (ii) and (iii).

(v) Part (v) requires nothing more than a simple computation. □

**PROPOSITION 6.5.** *Suppose  $F$  is a subset of  $\mathbb{R}^d$  that tiles  $\mathbb{R}^d$  by a sublattice  $\Gamma$  of  $\mathbb{Z}^d$  with  $|\mathbb{Z}^d / \Gamma| = N$ . Then the restriction of the map  $\vartheta$  to  $F \times \Omega$  is  $N$ -to-one.*

*Proof.* Since  $\bigcup_{k \in \mathbb{Z}^d} (F + k) \supset \bigcup_{\gamma \in \Gamma} (F + \gamma) = \mathbb{R}^d$ , it follows from Proposition 6.4(iii) that the map is onto.

We claim that for each  $x \in F$  there are exactly  $N$  points  $k \in \mathbb{Z}^d$  such that  $x + k \in F$ . Indeed, let  $d_1, \dots, d_N$  be a complete list of representatives for  $\mathbb{Z}^d / \Gamma$ . Then for each  $i \in \{1, \dots, N\}$  there is a unique  $\gamma_i \in \Gamma$  such that  $x + d_i \in F + \gamma_i$ . Then we can not have  $d_i - \gamma_i = d_{i'} - \gamma_{i'}$  for  $i \neq i'$  (that would imply  $d_i \equiv d_{i'} \pmod{\Gamma}$ ) so the points  $d_i - \gamma_i$  are distinct and  $x + (d_i - \gamma_i) \in F$ .

Now we can use Proposition 6.4(i) to see that  $\vartheta$  restricted to  $F \times \Omega$  is  $N$ -to-1. □

Consider now the compact attractor  $X(A^T, \mathcal{D})$  of the iterated function system  $(\tau_d)_{d \in \mathcal{D}}$  given in (6.3). It is known (see [LW96c, LW97]) that  $X(A^T, \mathcal{D})$  always tiles  $\mathbb{R}^d$  (up to measure zero) by some sublattice  $\Gamma$  of  $\mathbb{Z}^d$ .

The connection between lattice tilings and spectral theory was studied systematically in [Fug74] and [Ped96], and we will introduce spectrum in §6.2 below. From the choice of digit set  $\mathcal{D}$  for a fixed matrix  $A$ , we conclude that  $X(A^T, \mathcal{D})$  has non-empty interior. In fact the  $d$ -dimensional Lebesgue measure of  $X(A^T, \mathcal{D})$  must be an integer. It is 1 if and only if  $X(A^T, \mathcal{D})$  tiles  $\mathbb{R}^d$  by the ‘unit lattice’  $\mathbb{Z}^d$ . By spectral theory we are referring to the Hilbert space  $L^2(X(A^T, \mathcal{D}))$ .

**Definition 6.6.** We say that  $(A, \mathcal{D})$  satisfy the tiling condition if  $X(A^T, \mathcal{D})$  tiles  $\mathbb{R}^d$  (up to measure zero) by the lattice  $\mathbb{Z}^d$ .

In §6.2 below we give examples for  $d = 2$  of pairs  $(A, \mathcal{D})$  which do not satisfy the tiling condition. Nonetheless, even if some particular pair  $(A, \mathcal{D})$  in the plane does not satisfy the tiling condition, it will be possible to change the digit set  $\mathcal{D}$  into a different one  $\mathcal{D}'$ , while keeping the matrix  $A$  fixed, such that the modified pair  $(A, \mathcal{D}')$  will satisfy the tiling

condition. But by going to higher dimensions ( $d = 5$ ) as we noted there are matrices  $A$  for which no  $\mathcal{D}$  may be chosen making  $(A, \mathcal{D})$  satisfy the tiling condition.

LEMMA 6.7. *For all  $d, d' \in \mathcal{D}, d \neq d'$  the intersection  $\tau_d(X(A^T, \mathcal{D})) \cap \tau_{d'}(X(A^T, \mathcal{D}))$  has Lebesgue measure zero.*

*Proof.* We have the following relations, with  $\mu$  the Lebesgue measure

$$X(A^T, \mathcal{D}) = \bigcup_{d \in \mathcal{D}} \tau_d(X(A^T, \mathcal{D})),$$

$$\mu(\tau_d(X(A^T, \mathcal{D}))) = \frac{1}{|\det A|} \mu(X(A^T, \mathcal{D})).$$

As a result we get

$$\mu(X(A^T, \mathcal{D})) = \sum_{d \in \mathcal{D}} \frac{1}{|\det A|} \mu(X(A^T, \mathcal{D})) - \mu(\text{combined overlap sets}).$$

Therefore the combined overlap sets must have measure zero. □

PROPOSITION 6.8. *Suppose  $(A, \mathcal{D})$  satisfy the tiling condition. Then the function  $\rho$  defined in (6.6) maps  $X(A^T, \mathcal{D}) \times \Omega$  onto itself and the restriction of  $\rho$  to  $X(A^T, \mathcal{D}) \times \Omega$  is injective almost everywhere in the sense that the set of points  $x \in X(A^T, \mathcal{D})$  with the property that there exist  $x' \in X(A^T, \mathcal{D}), \omega, \omega' \in \Omega$   $\rho(x, \omega) = \rho(x', \omega')$ , has Lebesgue measure zero. The inverse  $\rho^{-1}$  of this restriction is defined by*

$$\rho^{-1}(x, \omega_0 \omega_1 \dots) = (A^T x - \omega_x, \omega_x \omega_0 \omega_1 \dots) \quad (x \in X(A^T, \mathcal{D}), \omega_0 \omega_1 \dots \in \Omega), \tag{6.8}$$

where  $\omega_x$  is the unique element of  $\mathcal{D}$  with the property  $x \in \tau_{\omega_x}(X(A^T, \mathcal{D}))$ .

*Proof.* Since

$$X(A^T, \mathcal{D}) = \bigcup_{d \in \mathcal{D}} \tau_d(X(A^T, \mathcal{D})), \tag{6.9}$$

it follows that  $\rho$  maps  $X(A^T, \mathcal{D})$  onto itself.

Suppose now  $\rho(x, \omega) = \rho(x', \omega')$  for  $x, x' \in X(A^T, \mathcal{D}), \omega, \omega' \in \Omega$ , and  $(x, \omega) \neq (x', \omega')$ . So either  $x \neq x'$  or  $\omega \neq \omega'$ . When  $\omega \neq \omega'$ , since  $\rho(x, \omega) = \rho(x', \omega')$  it follows that  $\omega_1 \omega_2 \dots = \omega'_1 \omega'_2 \dots$  so  $\omega_0 \neq \omega'_0$ . Also  $\tau_{\omega_0} x = \tau_{\omega'_0} x'$ . But  $\tau_{\omega_0}(X(A^T, \mathcal{D})) \cap \tau_{\omega'_0}(X(A^T, \mathcal{D}))$  has measure zero (see Lemma 6.7), so  $x$  must be in a set of measure zero. If  $\omega = \omega'$  then  $\omega_0 = \omega'_0$  so  $\tau_{\omega_0} x = \tau_{\omega'_0} x'$  implies  $x = x'$ . This proves the injectivity of  $\rho$ .

Since  $\tau_d(X(A^T, \mathcal{D}))$  are mutually disjoint, the element  $\omega_x$  of  $\mathcal{D}$  is well defined. Then it is easy to check that  $\rho^{-1}$  is indeed the inverse of the restriction of  $\rho$ . □

6.1. *Encodings of cyclic paths.* Let  $\mathcal{D}$  be a complete set of representatives of  $\mathbb{Z}^d / A^T \mathbb{Z}^d$ . And let  $\Omega := \mathcal{D}^{\mathbb{N}}$ .

Consider now a cycle  $C := \{\zeta_0, \zeta_1, \dots, \zeta_{p-1}\}$  and suppose  $\zeta_j = e^{2\pi i \theta_j}$  for some  $\theta_j \in \mathbb{R}^d$ . If in addition,  $A$  and  $\mathcal{D}$  satisfy the tiling condition we can pick  $\theta_j$  in  $X(A^T, \mathcal{D})$ .

Then, since  $z_1^A = z_0$ , there is a  $l_0 \in \mathcal{D}$  such that  $\theta_1 = \tau_{l_0}\theta_0$ . Continuing this process, we can find  $l_0, l_1, \dots, l_{p-1}$  such that  $\tau_{l_j}\theta_j = \theta_{j+1}$  for  $j \in \{0, \dots, p-2\}$  and  $\tau_{l_{p-1}}\theta_{p-1} = \theta_0$ . Thus the point  $\chi_C = (\zeta_0, \dots, \zeta_{p-1}, \zeta_0, \dots, \zeta_{p-1}, \zeta_0, \dots)$  from  $\mathcal{S}_A$  can be encoded by  $(\theta_0, l_0 \cdots l_{p-1}l_0 \cdots l_{p-1}, l_0 \cdots)$ , i.e., by an infinite repetition of the finite word  $l_0 \cdots l_{p-1}$ .

*Definition 6.9.* A finite set in  $\mathbb{R}^d$ ,  $C = \{\theta_0, \dots, \theta_{p-1}\}$  is called a *cycle* if there exist  $l_0, \dots, l_{p-1} \in \mathcal{D}$  such that  $\tau_{l_0}\theta_0 = \theta_1, \tau_{l_1}\theta_1 = \theta_2, \dots, \tau_{l_{p-2}}\theta_{p-2} = \theta_{p-1}$  and  $\tau_{l_{p-1}}\theta_{p-1} = \theta_0$ . Thus  $\theta_0$  is the fixed point of  $\tau_{l_{p-1}} \cdots \tau_{l_0}$ ,  $\theta_1$  is the fixed point of  $\tau_{l_0}\tau_{l_{p-1}} \cdots \tau_{l_1}$ ,  $\dots$ ,  $\theta_{p-1}$  is the fixed point of  $\tau_{l_{p-2}} \cdots \tau_{l_0}\tau_{l_{p-1}}$ .

The points  $\theta_0, \dots, \theta_{p-1}$  are called *cyclic points*. We say that  $\theta_0$  is the *cyclic point associated to*  $l_0 \cdots l_{p-1}$ , and we say that  $C = \{\theta_0, \dots, \theta_{p-1}\}$  is the *cycle associated to*  $l_0 \cdots l_{p-1}$ .

Let  $C := \{e^{2\pi i\theta_0}, \dots, e^{2\pi i\theta_{p-1}}\}$  be a cycle associated to  $l_0 \cdots l_{p-1}$ . Take now a point  $(z_n)_{n \in \mathbb{N}}$  in  $\mathcal{S}_A(C)$ . We want to see how the encodings of points in  $\mathcal{S}_A(C)$  look like.

By Theorem 4.9, the point  $(z_n)_{n \in \mathbb{N}}$  is in one of the sets  $\sigma_A^{-j}(\chi_C)\hat{i}(\mathbb{R}^d)$ . Suppose  $z_0 = e^{2\pi ix}$  for some  $x \in \mathbb{R}^d$ . Then  $(z_n)_{n \in \mathbb{N}} = \sigma_A^{-j}(\chi_C)\hat{i}(y)$  for some  $y \in \mathbb{R}^d$ , and looking at the 0 position,  $x \equiv \theta_j + y$  so  $y = x + k - \theta_j$  for some  $k \in \mathbb{Z}^d$ . Thus

$$(z_n)_{n \in \mathbb{N}} = \sigma_A^{-j}(\chi_C)\hat{i}(x + k - \theta_j) = \hat{i}_C(x + k - \theta_j, j).$$

On the other hand, according to the previous discussion,  $(z_n)_{n \in \mathbb{N}}$  is equal to  $(e^{2\pi ix}, e^{2\pi i\tau_{\omega_0}x}, e^{2\pi i\tau_{\omega_1}\tau_{\omega_0}x}, \dots)$  for some infinite word  $\omega_0\omega_1 \cdots$ . Thus we must have some precise correspondence between the pair  $(k, j) \in \mathbb{Z}^d \times \mathbb{Z}_p$  and the infinite word  $\omega_0\omega_1 \cdots \in \Omega$ . Since  $z_n$  is approaching the cycle  $C$  as  $n \rightarrow \infty$  one might expect that the infinite word  $\omega_0\omega_1 \cdots$  ends in a repetition of the finite word  $l_0 \cdots l_{p-1}$  that generates the cycle  $C$ . While this is often true, there might be some other cycles  $C'$  that are congruent mod  $\mathbb{Z}^d$  to  $C$  that will affect this encoding  $\omega$ . In any case,  $\omega_0\omega_1 \cdots$  that corresponds to  $(k, j)$  will be eventually periodic, and it will end in an infinite repetition of a finite word that corresponds to such a cycle  $C'$ .

*Definition 6.10.* We denote by  $\overline{l_0 \cdots l_{p-1}}$  the infinite word in  $\Omega$  obtained by the infinite repetition of the word  $l_0 \cdots l_{p-1}$ . Let

$$\Omega_C := \{\omega_0 \cdots \omega_n \overline{l_0 \cdots l_{p-1}} \mid \omega_0, \dots, \omega_n \in \mathcal{D}, n \in \mathbb{N}\},$$

i.e., the set of infinite words that end in an infinite repetition of the word  $l_0 \cdots l_{p-1}$ .

There are some cycles which have cycle points that differ by integers. Such cycles would make our encoding ambiguous, so we avoid this situation.

*Example 6.11.* Let  $d = 1, A = 2$  and  $\mathcal{D} = \{0, 3\}$ . Then  $\tau_0x = x/2, \tau_3 = (x + 3)/2$ . Then it is easy to check that the attractor  $X(A^T, \mathcal{D})$  is  $[0, 3]$ . The set  $\{1, 2\}$  is a cycle that corresponds to  $\underline{30}$ , and its points differ by an integer.

*Definition 6.12.* We say that the cycle  $C = \{\theta_0, \dots, \theta_{p-1}\}$  is *simple* if  $\theta_j \not\equiv \theta_{j'} \pmod{\mathbb{Z}^d}$  for  $j \neq j'$ .

Following [BJ99], for a simple cycle  $C = \{\theta_0, \dots, \theta_{p-1}\}$ , we define an automorphism  $\mathcal{R}_C$  on the set  $\mathbb{Z}^d - C$ . Note that since the cycle is simple, the sets  $\mathbb{Z}^d - \theta_j$  are mutually disjoint. The map  $\mathcal{R}_C$  is an extension of the division with remainder. Here we ‘divide’ by  $A^T$ . For each point in  $a - \theta_j \in \mathbb{Z}^d - \theta_j$ , there is a unique ‘quotient’  $b - \theta_{j+1}$  in  $\mathbb{Z}^d - \theta_{j+1}$  and a unique ‘remainder’  $d_0 \in \mathcal{D}$  such that

$$a - \theta_j = A^T(b - \theta_{j+1}) + d_0.$$

Then  $\mathcal{R}_C(a - \theta_j)$  is defined as the quotient  $\mathcal{R}_C(a - \theta_j) = b - \theta_{j+1}$ .

We used here the fact that  $A^T \theta_j \equiv \theta_{j-1} \pmod{\mathbb{Z}^d}$ , because  $\tau_{j-1} \theta_{j-1} = \theta_j$ , for all  $j \in \mathbb{Z}$ . Recall also that we use the notation  $\theta_j := \theta_{j \pmod p}$  for  $j \in \mathbb{Z}$ .

*Definition 6.13.* Let  $C = \{\theta_0, \dots, \theta_{p-1}\}$  be a simple cycle. On  $\mathbb{Z}^d - C = \bigcup_{j=0}^{p-1} (\mathbb{Z}^d - \theta_j)$  we define the map  $\mathcal{R}_C$  as follows: for each  $a \in \mathbb{Z}^d$  and  $j \in \{0, \dots, p-1\}$  there exist a unique  $b \in \mathbb{Z}^d$  and  $d_0 \in \mathcal{D}$  such that

$$a - \theta_j = A^T(b - \theta_{j+1}) + d_0. \text{ We define } \mathcal{R}_C(a - \theta_j) := b - \theta_{j+1}. \tag{6.10}$$

Therefore  $\mathcal{R}_C(a - \theta_j)$  is defined by

$$(a - \theta_j) - A^T \mathcal{R}_C(a - \theta_j) \in \mathcal{D}.$$

Also,  $-\mathcal{R}_C(a - \theta_j) = \tau_{d_0}(-(a - \theta_j))$ , where  $d_0$  is the unique element of  $\mathcal{D}$  such that  $\tau_{d_0}(-(a - \theta_j)) \in \mathbb{Z}^d - \theta_{j+1}$ .

The encoding of  $(k, j) \in \mathbb{Z}^d \times \mathbb{Z}_p$  is obtained by a generalized Euclidean algorithm. Take  $k - \theta_j$  in  $\mathbb{Z}^d - \theta_j$ , then ‘divide’ by  $A^T$  and keep the remainder:  $k - \theta_j = A^T \mathcal{R}_C(k - \theta_j) + \omega_0$ . Then take the quotient  $\mathcal{R}_C(k - \theta_j)$ , divide by  $A^T$  and keep the remainder  $\omega_1$ , and so on to infinity. The infinite sequence of remainders will give us  $\omega$ .

But first, we need some properties of the map  $\mathcal{R}_C$ .

**PROPOSITION 6.14.** *Let  $C = \{\theta_0, \dots, \theta_{p-1}\}$  be a simple cycle. Let  $X(A^T, \mathcal{D})$  be the attractor of the iterated function system  $(\tau_d)_{d \in \mathcal{D}}$ .*

(i) *A point  $t \in C - \mathbb{Z}^d$  is a cycle point for the iterated function system  $(\tau_d)_{d \in \mathcal{D}}$  if and only if there is some  $n \geq 1$  such that  $\mathcal{R}_C^n(-t) = -t$ , i.e.,  $-t$  is a periodic point for  $\mathcal{R}_C$ . Moreover if  $t$  is associated to  $m_0 \cdots m_{q-1}$  then  $q$  is a multiple of  $p$  and*

$$m_n = \mathcal{R}_C^n(-t) - A^T \mathcal{R}_C^{n+1}(-t) \quad (n \in \mathbb{N}).$$

(ii) *For every  $t \in C - \mathbb{Z}^d$  there exists a  $l \geq 0$  such that  $\mathcal{R}_C^l(-t)$  is periodic for  $\mathcal{R}_C$ , i.e., every point in  $\mathbb{Z}^d - C$  is eventually periodic for  $\mathcal{R}_C$ . Moreover  $-\mathcal{R}_C^l(-t)$  is in  $(C - \mathbb{Z}^d) \cap X(A^T, \mathcal{D})$ .*

(iii) *The intersection  $(C - \mathbb{Z}^d) \cap X(A^T, \mathcal{D})$  consists exactly of negative the periodic points for  $\mathcal{R}_C$ .*

*Proof.*

(i) If  $t_0 = t \in \theta_j - \mathbb{Z}^d$  is a cyclic point for  $(\tau_d)_{d \in \mathcal{D}}$ , then  $\tau_{m_0} t_0 = t_1$ ,  $\tau_{m_1} t_1 = t_2, \dots, \tau_{m_{q-1}} t_{q-1} = t_0$  for some  $m_0, \dots, m_{q-1} \in \mathcal{D}$  and some  $t_1, \dots, t_{q-1} \in \mathbb{R}^d$ . Then  $t_{q-1} = A^T t_0 - m_{q-1}$  so  $t_{q-1} \in \theta_{j-1} - \mathbb{Z}^d$  (because  $A^T \theta_j \equiv \theta_{j-1}$ ). By induction  $t_l \in \theta_{j+l-q} - \mathbb{Z}^d$  for  $l \in \{q-1, q-2, \dots, 0\}$ .

Since  $t_0 \in \theta_j - \mathbb{Z}^d$  and also  $t_0 \in \theta_{j-q} - \mathbb{Z}^d$ , as the cycle is simple, it follows that  $q$  must be a multiple of  $p$ .

We have  $\tau_{m_0}t_0 = t_1$  so  $-t_0 = A^T(-t_1) + m_0$ . Also  $-t_1 \in \mathbb{Z}^d - \theta_{j+1}$ . This means that  $\mathcal{R}_C(-t_0) = -t_1$  and  $m_0 = -t_0 - A^T\mathcal{R}_C(-t_0)$ . By induction  $\mathcal{R}_C(-t_n) = -t_{n+1}$  and  $m_n = -t_n - A^T\mathcal{R}_C(-t_n)$ . This proves one direction.

For the converse, if  $\mathcal{R}_C^q(-t_0) = -t_0$ , for some  $t_0 \in \theta_j - \mathbb{Z}^d$ , then for each  $n$  there is some  $m_n \in \mathcal{D}$  such that  $\mathcal{R}_C^n(-t_0) = A^T\mathcal{R}_C^{n+1}(-t_0) + m_n$ . Thus the sequence  $\{m_n\}$  has period  $q$ , and  $\tau_{m_n}(-\mathcal{R}_C^n(-t_0)) = -\mathcal{R}_C^{n+1}(-t_0)$ , which proves that  $t_0$  is in the cycle  $\{-(-t_0), -\mathcal{R}_C(-t_0), \dots, -\mathcal{R}_C^{q-1}(-t_0)\}$ .

(ii) Since  $A$  is expansive there is a norm on  $\mathbb{R}^d$  such that for some  $0 < c < 1$ ,  $\|(A^T)^{-1}x\| \leq c\|x\|$  for all  $x \in \mathbb{R}^d$ . Then if  $R > (c \max_{d \in \mathcal{D}} \|d\|/1 - c)$ ,

$$\tau_d(B(0, R)) \subset B(0, R).$$

Indeed  $\|\tau_d x\| \leq c(\|x\| + \|d\|) < c(R + \|d\|) < R$  for all  $x \in B(0, R)$  and all  $d \in \mathcal{D}$ .

Take now  $a - \theta_j \in \mathbb{Z}^d$ . Take some  $R > \max\{\|a - \theta_j\|, (c \max_{d \in \mathcal{D}} \|d\|/1 - c)\}$ . Then note that  $\mathcal{R}_C(a - \theta_j) = -\tau_d(-(a - \theta_j))$  for some  $d \in \mathcal{D}$ . Therefore  $\mathcal{R}_C$  maps  $B(0, R) \cap \bigcup_j (\mathbb{Z}^d - \theta_j)$  into itself. So  $\{\mathcal{R}_C^n(a - \theta_j) \mid n \in \mathbb{N}\}$  is a finite set. Therefore there exists some  $n \in \mathbb{N}$ , and  $q \geq 0$  such that  $\mathcal{R}_C^n(a - \theta_j) = \mathcal{R}_C^{n+q}(a - \theta_j)$ . Thus  $\mathcal{R}_C^n(a - \theta_j)$  is periodic.

From (i) we have that  $-\mathcal{R}_C^n(a - \theta_j)$  is cyclic for  $(\tau_d)_{d \in \mathcal{D}}$ . So  $-\mathcal{R}_C^n(a - \theta_j)$  is in the attractor  $X(A^T, \mathcal{D})$ .

(iii) From (i) and (ii) it is clear that the periodic points for  $\mathcal{R}_C$  lie in  $(\mathbb{Z}^d - C) \cap (-X(A^T, \mathcal{D}))$ . For the other inclusion take  $t_1 \in (\mathbb{Z}^d - C) \cap (-X(A^T, \mathcal{D}))$ . Then using the formula (6.3), there exist  $d_1, d_2 \dots \in \mathcal{D}$  such that

$$-t_1 = (A^T)^{-1}d_1 + (A^T)^{-2}d_2 + \dots$$

Let  $-t_n := (A^T)^{-1}d_n + (A^T)^{-2}d_{n+1} + \dots$ . We have

$$A^T(t_n) + d_n = t_{n+1} \quad (n \in \mathbb{N}). \tag{6.11}$$

Since  $t_1 \in \mathbb{Z}^d - C$ , equation (6.11) implies that  $t_2$  is in  $\mathbb{Z}^d - C$  and  $t_1 = \mathcal{R}_C(t_2)$ . By induction  $t_{n+1}$  is in  $\mathbb{Z}^d - C$  and  $\mathcal{R}_C(t_{n+1}) = t_n$  for all  $n \in \mathbb{N}$ . But we have also  $t_n \in -X(A^T, \mathcal{D})$ . And, since  $(\mathbb{Z}^d - C) \cap (-X(A^T, \mathcal{D}))$  is finite, there exist  $n, m \geq 1$  such that  $t_n = t_{n+m}$ . This implies that  $\mathcal{R}_C^m(t_n) = \mathcal{R}_C^m(t_{n+m}) = t_n$ . Since  $\mathcal{R}_C^{n-1}(t_n) = t_1$ , it follows that  $t_1$  is periodic for  $\mathcal{R}_C$ . □

**THEOREM 6.15.** *Let  $C := \{\theta_0, \dots, \theta_{p-1}\}$  be a simple cycle.*

(i) *For each  $k \in \mathbb{Z}^d$  and each  $j \in \mathbb{Z}_p$  there is a unique  $\omega(k, j) = \omega_0\omega_1 \dots \in \Omega$  such that for all  $x \in \mathbb{R}^d$*

$$\begin{aligned} &(e^{2\pi i x}, e^{2\pi i \tau_{\omega_0} x}, e^{2\pi i \tau_{\omega_1} \tau_{\omega_0} x}, \dots) \\ &= \hat{i}_C(x + k - \theta_j, j) = \sigma_A^{-j}(\chi_C)\hat{i}(x + k - \theta_j) \\ &= (\exp(2\pi i((A^T)^{-n}(x + k - \theta_j) + \theta_{j+n})))_{n \in \mathbb{N}}. \end{aligned} \tag{6.12}$$

*Moreover there exists a cycle  $C' \in (C - \mathbb{Z}^d) \cap X(A^T, \mathcal{D})$  such that  $\omega(k, j) \in \Omega_{C'}$ .*

(ii) The infinite word  $\omega(k, j)$  can be constructed as follows:

$$\omega_n = \mathcal{R}_C^n(k - \theta_j) - A^T \mathcal{R}_C^{n+1}(k - \theta_j) \quad (n \in \mathbb{N}). \tag{6.13}$$

(iii) Suppose  $C'$  is a cycle in  $(C - \mathbb{Z}^d) \cap X(A^T, \mathcal{D})$ , and  $\omega \in \Omega_{C'}$ . Then there is a unique  $(k(\omega), j(\omega)) \in \mathbb{Z}^d \times \mathbb{Z}_p$  such that for all  $x \in \mathbb{R}^d$

$$(e^{2\pi i x}, e^{2\pi i \tau_{\omega_0} x}, e^{2\pi i \tau_{\omega_1} \tau_{\omega_0} x}, \dots) = \hat{i}_C(x + k(\omega) - \theta_{j(\omega)}, j(\omega)). \tag{6.14}$$

(iv)  $(k(\omega), j(\omega))$  can be constructed as follows. If  $\omega \in \Omega_{C'}$  has the form  $\omega_0 \cdots \omega_{np-1} \underline{m_0 \cdots m_{q-1}}$ , then the fixed point  $\eta_0$  of  $\tau_{m_{q-1}} \cdots \tau_{m_0}$  belongs to  $\theta_{j(\omega)} - \mathbb{Z}^d$  for some unique  $j(\omega) \in \mathbb{Z}_p$ . And

$$k(\omega) = \omega_0 + \cdots + (A^T)^{np-1} \omega_{np-1} + \theta_{j(\omega)} - (A^T)^{np} \eta_0. \tag{6.15}$$

(v) Let

$$\tilde{\Omega}_C := \bigcup \{ \Omega_{C'} \mid C' \text{ cycle in } (C - \mathbb{Z}^p) \cap X(A^T, \mathcal{D}) \}.$$

The maps

$$\epsilon_C : \mathbb{Z}^d \times \mathbb{Z}_p \rightarrow \tilde{\Omega}_C, \epsilon_C(k, j) = \omega(k, j)$$

and

$$\mathfrak{d}_C : \tilde{\Omega}_C \rightarrow \mathbb{Z}^d \times \mathbb{Z}_p, \mathfrak{d}_C(\omega) = (k(\omega), j(\omega))$$

are inverse to each other.

*Proof.* Let  $(k, j) \in \mathbb{Z}^d \times \mathbb{Z}_p$  and let  $\omega(k, j)$  be defined as in (ii). We prove that the relation (6.12) is satisfied. We have

$$A^T \mathcal{R}_C(k - \theta_j) + \omega_0 = k - \theta_j, A^T \mathcal{R}_C^2(k - \theta_j) + \omega_1 = \mathcal{R}_C(k - \theta_j), \dots$$

Therefore

$$\begin{aligned} \mathcal{R}_C(k - \theta_j) &= (A^T)^{-1}(k - \theta_j) - (A^T)^{-1}\omega_0, \\ \mathcal{R}_C^2(k - \theta_j) &= (A^T)^{-2}(k - \theta_j) - (A^T)^{-2}\omega_0 - (A^T)^{-1}\omega_1, \dots \end{aligned}$$

By induction

$$\mathcal{R}_C^n(k - \theta_j) = (A^T)^{-n}(k - \theta_j) - (A^T)^{-n}\omega_0 - \dots - (A^T)^{-1}\omega_{n-1}.$$

So

$$\begin{aligned} \tau_{\omega_{n-1}} \cdots \tau_{\omega_0} x &= (A^T)^{-n}x + (A^T)^{-n}\omega_0 + \cdots + (A^T)^{-1}\omega_{n-1} \\ &= (A^T)^{-n}x + (A^T)^{-n}(k - \theta_j) - \mathcal{R}_C^n(k - \theta_j). \end{aligned}$$

But  $\mathcal{R}_C^n(k - \theta_j) \in \mathbb{Z}^d - \theta_{j+n}$  so

$$\tau_{\omega_{n-1}} \cdots \tau_{\omega_0} x \equiv (A^T)^{-n}(x + k - \theta_j) + \theta_{j+n}.$$

Therefore the relation (6.12) is satisfied.

Next we prove the uniqueness of  $\omega$ . Suppose  $\omega' \in \Omega$  also satisfies (6.12). Then  $\tau_{\omega'_0} x \equiv \tau_{\omega_0} x$  so  $(A^T)^{-1}\omega'_0 \equiv (A^T)^{-1}\omega_0$  which implies that  $\omega'_0 - \omega_0 \in A^T \mathbb{Z}^d$ . Since  $\mathcal{D}$

is a complete set of representatives for  $\mathbb{Z}^d/A^T\mathbb{Z}^d$ , it follows that  $\omega'_0 = \omega_0$ . By induction  $\omega'_n = \omega_n$  so  $\omega' = \omega$ .

To see that  $\omega(k, j)$  is in some  $\omega_{C'}$  for a cycle  $C'$  in  $(C - \mathbb{Z}^d) \cap X(A^T, \mathcal{D})$  we use Proposition 6.14. There exists an  $l$  such that  $\mathcal{R}_C^l(k - \theta_j)$  is periodic for  $\mathcal{R}_C$ , so  $-\mathcal{R}_C^l(k - \theta_j)$  is a cycle point for  $(\tau_d)_{d \in \mathcal{D}}$ . Let  $C'$  its corresponding cycle. By Proposition 6.14,  $C'$  is contained in  $(C - \mathbb{Z}^d) \cap X(A^T, \mathcal{D})$ . By the construction of  $\omega(k, j)$  given in (ii), and by Proposition 6.14(i), we see that  $\omega(k, p) \in \Omega_{C'}$ .

Now let  $\omega$  be of the form given in (iii). And let  $(k, j) := (k(\omega), j(\omega))$  be as in (iv). Since  $\eta_0 \in \theta_j - \mathbb{Z}^d$  it follows that  $(A^T)^{np}\eta_0$  is also in  $\theta_j - \mathbb{Z}^d$  so  $k = k(\omega)$  is indeed an integer.

We check that if for  $(k, j)$  we construct  $v = v_0v_1\dots = \omega(k, j)$  as in (ii), then  $v = \omega$ . This will prove also (v). From (6.15) we have, with  $t_0 = -(k - \theta_j)$

$$\begin{aligned} t_1 &:= \tau_{\omega_0}(t_0) = \tau_{\omega_0}(-(k - \theta_j)) \\ &= -\omega_1 - \dots - (A^T)^{np-2}\omega_{np-1} + (A^T)^{np-1}\eta_0 \in \theta_{j+1} - \mathbb{Z}^d, \end{aligned}$$

since  $\eta_0 \in \theta_j - \mathbb{Z}^d$  implies that  $(A^T)^{np-1}\eta_0 \in \theta_{j-(np-1)} - \mathbb{Z}^d = \theta_{j+1} - \mathbb{Z}^d$ . This shows that  $\mathcal{R}_C(-t_0) = -t_1$ , and  $v_0 = \omega_0$ . By induction we obtain  $v_1 = \omega_1, \dots, v_{np-1} = \omega_{np-1}$  and that  $-\eta_0 = \mathcal{R}_C^{np}(-t_0)$ . And since the cycle  $C'$  of  $\eta_0$  is given by  $\underline{m_0 \dots m_{q-1}}$ , it follows by Proposition 6.14(i) that  $v = \omega_0 \dots \omega_{np-1} \underline{m_0 \dots m_{q-1}}$ .

For the uniqueness of  $(k, j)$ , suppose  $(k, j)$  and  $(k', j')$  satisfy (6.14). Then

$$(A^T)^{-n}(k - \theta_j) + \theta_{n+j} \equiv (A^T)^{-n}(k' - \theta_{j'}) + \theta_{n+j'} \quad (n \in \mathbb{N}).$$

Taking the limit as  $np \rightarrow \infty$  we obtain  $\theta_j - \theta_{j'} \in \mathbb{Z}^d$ . Since the cycle is simple,  $j = j'$ . Therefore

$$(A^T)^{-n}(k - \theta_j) \equiv (A^T)^{-n}(k' - \theta_j) \quad (n \in \mathbb{N}).$$

But this means that  $\hat{i}(k - \theta_j) = \hat{i}(k' - \theta_j)$ , and by Proposition 4.1  $\hat{i}$  is injective so  $k = k'$ .  $\square$

We summarize our results in the following corollary.

**COROLLARY 6.16.** *Suppose  $(A, \mathcal{D})$  satisfy the tiling condition (Definition 6.6). Let  $C = \{\theta_0, \dots, \theta_{p-1}\}$  be a simple cycle. Let*

$$\tilde{\Omega}_C := \bigcup \{ \Omega_{C'} \mid C' \text{ cycle in } (C - \mathbb{Z}^d) \cap X(A^T, \mathcal{D}) \}.$$

(i) *The maps*

$$\begin{aligned} \mathfrak{d} : X(A^T, \mathcal{D}) \times \tilde{\Omega}_C &\rightarrow \mathcal{S}_A(C), \quad \mathfrak{d}(x, \omega) = (e^{2\pi i x}, e^{2\pi i \tau_{\omega_0} x}, e^{2\pi i \tau_{\omega_1} \tau_{\omega_0} x}, \dots), \\ \hat{i}_C : \mathbb{R}^d \times \mathbb{Z}_p &\rightarrow \mathcal{S}_A(C), \quad \hat{i}_C(x, j) = (\exp(2\pi i ((A^T)^{-n}x + \theta_{n+j}))_{n \in \mathbb{N}} \end{aligned}$$

*are bijections.*

(ii)

$$\hat{i}_C^{-1}(\mathfrak{d}(x, \omega)) = (x - \theta_{j(\omega)} + k(\omega), j(\omega)) \quad (x \in X(A^T, \mathcal{D}), \omega \in \tilde{\Omega}_C),$$

*where  $k(\omega), j(\omega)$  are defined in Theorem 6.15(iv).*

$$\mathfrak{d}^{-1}(\hat{i}_C(x, j)) = (y, \omega(k, j)) \quad (x \in \mathbb{R}^d, j \in \mathbb{Z}_p),$$

*where  $y \in X(A^T, \mathcal{D}), k \in \mathbb{Z}^d$  are uniquely defined by  $x + \theta_j = y + k$ , and  $\omega(k, j)$  is defined in Theorem 6.15(ii).*



(iii) The following diagram is commutative:

$$\begin{array}{ccccc}
 X(A^T, \mathcal{D}) \times \tilde{\Omega}_C & \xrightarrow{\mathfrak{d}} & \mathcal{S}_A(C) & \xleftarrow{\hat{i}_C} & \mathbb{R}^d \times \mathbb{Z}_p \\
 \downarrow \rho^{-1} & & \downarrow \sigma_A & & \downarrow \alpha_{A,p} \\
 X(A^T, \mathcal{D}) \times \tilde{\Omega}_C & \xrightarrow{\mathfrak{d}} & \mathcal{S}_A(C) & \xleftarrow{\hat{i}_C} & \mathbb{R}^d \times \mathbb{Z}_p.
 \end{array}$$

COROLLARY 6.17. With the notation in Theorem 6.15, we have

$$j(\omega_1\omega_2 \cdots) = j(\omega_0\omega_1 \cdots) + 1, \quad (\omega_0\omega_1 \cdots \in \tilde{\Omega}_C). \tag{6.16}$$

$$\begin{aligned}
 (A^T)^{-n}(x - \theta_{j(\omega_0\omega_1 \cdots)} + k(\omega_0\omega_1 \cdots)) \\
 = \tau_{\omega_{n-1}} \cdots \tau_{\omega_0}x - \theta_{j(\omega_0\omega_1 \cdots) + n} + k(\omega_n\omega_{n+1} \cdots)
 \end{aligned} \tag{6.17}$$

for all  $x \in X(A^T, \mathcal{D})$ ,  $\omega_0\omega_1 \cdots \in \tilde{\Omega}_C$ .

Proof. We apply the commutative diagram in Corollary 6.16 to  $\rho^n$ :

$$\begin{aligned}
 \hat{i}_C^{-1} \mathfrak{d} \rho^n(x, \omega) &= \hat{i}_C \mathfrak{d}(\tau_{\omega_{n-1}} \cdots \tau_{\omega_0}x, \omega_n\omega_{n+1} \cdots) \\
 &= (\tau_{\omega_{n-1}} \cdots \tau_{\omega_0}x - \theta_{j(\omega_n\omega_{n+1} \cdots)} + k(\omega_n\omega_{n+1} \cdots), j(\omega_n\omega_{n+1} \cdots)). \\
 \alpha_{A,p}^{-n} \hat{i}_C^{-1} \mathfrak{d}(x, \omega) &= \alpha_{A,p}^{-n}(x - \theta_{j(\omega_0\omega_1 \cdots)} + k(\omega_0\omega_1 \cdots), j(\omega_0\omega_1 \cdots)) \\
 &= ((A^T)^{-n}(x - \theta_{j(\omega_0\omega_1 \cdots)} + k(\omega_0\omega_1 \cdots)), j(\omega_0\omega_1 \cdots) + n).
 \end{aligned}$$

Since the two quantities are equal to each other (by the commutative diagram in Corollary 6.16), the relations follow. □

With the aid of our cycles and associated encoding/decoding mappings we are now able to state our main result regarding super representations. Notice that the introduction of cycles yields the following improvement of Theorem 4.9 in §4 above.

COROLLARY 6.18. Suppose  $(A, \mathcal{D})$  satisfies the tiling condition, and let  $C$  be a simple cycle of length  $p$ . On  $X(A^T, \mathcal{D}) \times \tilde{\Omega}_C$  define the measure  $\check{\mu}$  by

$$\int_{X(A^T, \mathcal{D}) \times \tilde{\Omega}_C} f d\check{\mu} = \int_{X(A^T, \mathcal{D})} \sum_{\omega \in \tilde{\Omega}_C} f(x, \omega) dx.$$

Define the operators  $\check{T}_k, k \in \mathbb{Z}^d$  and  $\check{U}$  on  $L^2(X(A^T, \mathcal{D}) \times \tilde{\Omega}_C, \check{\mu})$  by

$$\begin{aligned}
 \check{T}_k f(x, \omega) &= e^{2\pi i k \cdot x} f(x, \omega), \quad (x \in X(A^T, \mathcal{D}), \omega \in \tilde{\Omega}_C), \\
 \check{U} f &= \sqrt{|\det A|} f \circ \rho^{-1}.
 \end{aligned}$$

Then  $\{\check{T}_k, \check{U}\}$  define a unitary representation of  $G_A$  and  $\mathcal{W}: L^2(\mathbb{R}^d \times \mathbb{Z}_p) \rightarrow L^2(X(A^T, \mathcal{D}), \check{\mu})$ ,  $\mathcal{W}f = f \circ \mathfrak{d} \circ \hat{i}_C^{-1}$  is an isomorphism that intertwines this representation with the one in Definition 4.6.

When  $(A, \mathcal{D})$  satisfy the tiling condition we can say a bit more about the possible extra cycles in  $(C - \mathbb{Z}^d) \cap X(A^T, \mathcal{D})$ .

PROPOSITION 6.19. Suppose  $(A, \mathcal{D})$  satisfies the tiling condition. Assume that there is a cycle point  $\theta_0 \in X(A^T, \mathcal{D})$  such that  $\theta_0 - k \in X(A^T, \mathcal{D})$  for some  $k \in \mathbb{Z}^d, k \neq 0$ . Then the entire cycle of  $\theta_0$  is on the boundary of  $X(A^T, \mathcal{D})$ .

*Proof.* Let  $X(A^T, \mathcal{D})^\circ$  denote the interior of  $X(A^T, \mathcal{D})$ . We will prove first that if a point  $x$  is in  $X(A^T, \mathcal{D}) \cap (X(A^T, \mathcal{D}) + k)$  with  $k \in \mathbb{Z}^d$ ,  $k \neq 0$ , then  $x$  is on the boundary of  $X(A^T, \mathcal{D})$ . Suppose not, then  $x \in X(A^T, \mathcal{D})^\circ$ . By [LW96c] we know that the closure of  $X(A^T, \mathcal{D})^\circ$  is  $X(A^T, \mathcal{D})$ . This implies that the neighborhood  $X(A^T, \mathcal{D})^\circ$  of  $x$  must intersect the set  $X(A^T, \mathcal{D})^\circ + k$ . But since  $X(A^T, \mathcal{D})$  tiles  $\mathbb{R}^d$  by  $\mathbb{Z}^d$ , this implies that the interiors of  $X(A^T, \mathcal{D})$  and  $X(A^T, \mathcal{D}) + k$  cannot intersect (the intersection would have positive Lebesgue measure). So  $x$  must be on the boundary of  $X(A^T, \mathcal{D})$ .

Now consider  $\theta_0$  and let  $C = \{\theta_0, \dots, \theta_{p-1}\}$  be the cycle of  $\theta_0$  and let  $l_0, \dots, l_{p-1}$ , the corresponding digits. We have  $\tau_{l_{p-1}} \cdots \tau_{l_j} \theta_j = \theta_0$  for all  $j \in \{0, \dots, p-1\}$ . Suppose one of the points  $\theta_j$  of the cycle  $C$  is in  $X(A^T, \mathcal{D})^\circ$ . Since

$$X(A^T, \mathcal{D}) = \bigcup_{d \in \mathcal{D}} \tau_d(X(A^T, \mathcal{D})),$$

we obtain that  $\tau_d(X(A^T, \mathcal{D})^\circ) \subset X(A^T, \mathcal{D})^\circ$  ( $\tau_d$  is a homeomorphism). So if  $\theta_j$  is an interior point for  $X(A^T, \mathcal{D})$ , then  $\theta_0 = \tau_{l_{p-1}} \cdots \tau_{l_j} \theta_j$  is also in the interior of  $X(A^T, \mathcal{D})$ . This contradiction implies that  $C$  is contained in the boundary of  $X(A^T, \mathcal{D})$ . □

To help the reader appreciate our encoding results we present some examples which at the same time stress tiles versus spectrum. Since the technical points are illustrated already for the real line we begin with dimension one, and then turn to the plane  $\mathbb{R}^2$ .

*Example 6.20.* Let us take  $d = 1$ ,  $A = 2$  and  $\mathcal{D} = \{0, 1\}$ . The maps are  $\tau_0 x = x/2$ ,  $\tau_1 x = (x + 1)/2$ . Consider the simple cycle  $C := \{\theta_0\} = \{0\}$ . It corresponds to  $\underline{0}$ .

The attractor  $X(A^T, \mathcal{D})$  is  $[0, 1]$ . The intersection  $(C - \mathbb{Z}) \cap X(A^T, \mathcal{D}) = (-\mathbb{Z}) \cap [0, 1] = \{0, 1\}$ , so it consists of the cycles  $C'$ :  $\{0\}$  and  $\{1\}$ , which correspond to  $\underline{0}$  and  $\underline{1}$  respectively. Therefore  $\tilde{\Omega}_0 = \Omega_0 \cup \Omega_1$ , i.e., the words that end in an infinite repetition of 0 or an infinite repetition of 1.

We have the map

$$\hat{i}_0^{-1} \mathfrak{d} : [0, 1) \times (\Omega_0 \cup \Omega_1) \rightarrow \mathbb{R}, \quad \hat{i}_0^{-1} \mathfrak{d}(x, \omega) = x + k(\omega),$$

(we used  $[0, 1)$  here instead of  $[0, 1]$  to have that the map  $\hat{i}_0^{-1} \mathfrak{d}$  is a true bijection, not just up to measure 0), and with formula (6.15):

$$\begin{aligned} k(\omega_0 \cdots \omega_n \underline{0}) &= \omega_0 + 2 \cdot \omega_1 + \cdots + 2^n \omega_n, & k(\omega_0 \cdots \omega_n \underline{1}) \\ &= \omega_0 + 2 \cdot \omega_1 + \cdots + 2^n \omega_n - 2^{n+1}. \end{aligned}$$

Applying the commutative diagram in Corollary 6.16 to  $\rho^n$ , we have  $\hat{i}_0^{-1} \mathfrak{d} \rho^n(x, \omega) = (1/2^n) \hat{i}_0^{-1} \mathfrak{d}(x, \omega)$ , which implies that

$$\frac{1}{2^n} (x + k(\omega_0 \omega_1 \cdots)) = \tau_{\omega_{n-1}} \cdots \tau_{\omega_0} x + k(\omega_n \omega_{n+1} \cdots), \quad (x \in [0, 1), \omega \in \Omega_0 \cup \Omega_1).$$

*Example 6.21.* Let  $d = 1$ ,  $A = 2$  and  $\mathcal{D} = \{0, 1\}$ . Consider the simple cycle  $C := \{\theta_0, \theta_1\} = \{1/3, 2/3\}$ . It corresponds to  $\underline{10}$  (because  $\tau_1(1/3) = 2/3$ ,  $\tau_0(2/3) = 1/3$ ). The attractor is  $X(A^T, \mathcal{D}) = [0, 1]$ . Then  $(C - \mathbb{Z}) \cap [0, 1] = C$ , so  $\tilde{\Omega}_C = \Omega_C$ , i.e., the words that end in  $\underline{10}$ .

We have that the map

$$\hat{i}_C^{-1} \mathfrak{d} : [0, 1) \times \Omega_C \rightarrow \mathbb{R} \times \mathbb{Z}_2, \quad \hat{i}_C^{-1} \mathfrak{d}(x, \omega) = (x - \theta_{j(\omega)} + k(\omega), j(\omega))$$

is a bijection, and

$$\begin{aligned} j(\omega_0 \cdots \omega_{2n-1} \underline{10}) &= 0, & j(\omega_0 \cdots \omega_{2n-1} \underline{01}) &= 1, \\ k(\omega_0 \cdots \omega_{2n-1} \underline{10}) &= \omega_0 + 2 \cdot \omega_1 + \cdots + 2^{2n-1} \omega_{2n-1} + \frac{1}{3} - 2^{2n} \cdot \frac{1}{3}, \\ k(\omega_0 \cdots \omega_{2n-1} \underline{01}) &= \omega_0 + 2 \cdot \omega_1 + \cdots + 2^{2n-1} \omega_{2n-1} + \frac{2}{3} - 2^{2n} \cdot \frac{2}{3}. \end{aligned}$$

The map  $\Omega_C \ni \omega \mapsto (k(\omega), j(\omega)) \in \mathbb{Z} \times \mathbb{Z}_2$  is a bijection.

As an example, let us show how to compute the  $\omega \in \Omega_C$  associated to  $(k, j) = (15, 0)$ . Take  $k - \theta_j = 15 - \frac{1}{3}$ . We want a  $k_1 \in \mathbb{Z}$  and  $\omega_0 \in \mathcal{D}$  such that  $15 - \frac{1}{3} = 2(k_1 - \frac{2}{3}) + \omega_0$ . We have

$$\begin{aligned} 15 - \frac{1}{3} &= 2(8 - \frac{2}{3}) + 0 & 1 - \frac{1}{3} &= 2(1 - \frac{2}{3}) + 0 \\ 8 - \frac{2}{3} &= 2(4 - \frac{1}{3}) + 0 & 1 - \frac{2}{3} &= 2(0 - \frac{1}{3}) + 1 \\ 4 - \frac{1}{3} &= 2(2 - \frac{2}{3}) + 1 & 0 - \frac{1}{3} &= 2(0 - \frac{2}{3}) + 1 \\ 2 - \frac{2}{3} &= 2(1 - \frac{1}{3}) + 0 & 0 - \frac{2}{3} &= 2(0 - \frac{1}{3}) + 0 \\ & & & \vdots \end{aligned}$$

Thus  $\omega(15, 0) = 00100110$ .

*Remark 6.22.* Our next example is in the plane, but it illustrates a more general picture in  $\mathbb{R}^d$  for any  $d$ . Start with a given pair  $(A, \mathcal{D})$  with the matrix  $A$  assumed expansive, and  $\mathcal{D}$  a chosen complete digit set, i.e., in bijective correspondence with the points in  $\mathbb{Z}^d / A^T \mathbb{Z}^d$ . So in particular,  $|\mathcal{D}| = |\det A|$ . In general it is not true that the same set  $\mathcal{D}$  is a digit set for  $A$ , i.e., that it is a bijective image of  $\mathbb{Z}^d / A \mathbb{Z}^d$ . Here for  $d = 2$ , we give an explicit geometric representation of a pair  $(A, \mathcal{D})$  for which the same  $\mathcal{D}$  is a digit set for both the radix representation with  $A$  and with the transposed matrix  $A^T$ . Hence we get two attractors  $X(A^T, \mathcal{D})$  and  $X(A, \mathcal{D})$ . Both will be referred to as Cloud Nine, a left-handed version, and a right-handed version. That is because there are nine integer points, i.e., the intersections with  $\mathbb{Z}^2$  consists of nine points, and it is the same set for the two fractals. For each, there are three one-cycles, and one six-cycle. While the six-cycles (for  $A$  and for  $A^T$ ) are the same as sets, we will see that they are traveled differently under the actions discussed in our encodings from §§5 and 6 from above; the difference being essentially a reversal of orientation. Hence our encoding with infinite words in letters from  $\mathcal{D}$  will also be different for the two cases, and the details are worked out below. Recall the attractor  $X(A^T, \mathcal{D})$  is the set of ‘fractions’ for our digital representation of points in  $\mathbb{R}^2$ . The attractor  $X(A, \mathcal{D})$  is also an affine IFS based on  $(A, \mathcal{D})$ . Thus the Cloud Nine examples further illustrate the intricate part played by the cycles in  $\mathbb{Z}^2$  for the initial  $(A, \mathcal{D})$ -IFS. In each case, using these cycles we are able to write down formulas for the two maps which do the encoding as well as the decoding in our positional  $\mathcal{D}$ -representation.

For use of matrices in radix representation, the distinction between the radix matrix  $A$  and its transpose  $A^T$  is important. First the two matrices sit on separate sides in a Fourier duality; and secondly, even if the chosen set of digits  $\mathcal{D}$  is the same, the two attractors may be different. In fact, in general the same  $\mathcal{D}$  may not work for both  $A$  and  $A^T$ . There is not a natural connection between the two quotients  $\mathbb{Z}^d/A\mathbb{Z}^d$  and  $\mathbb{Z}^d/A^T\mathbb{Z}^d$ , i.e., the one for  $A$  and the other one for the transposed.

But in the particular two-dimensional example below, Example 6.23, called Cloud Nine, one may check by hand that, for this matrix  $A$ , with  $\det A = 5$ , each of the two quotients  $\mathbb{Z}^2/A\mathbb{Z}^2$  and  $\mathbb{Z}^2/A^T\mathbb{Z}^2$  are in bijective correspondence with the same subset  $\mathcal{D}$  in  $\mathbb{Z}^2$ . (See details!)

As a result it makes sense to analyze the two different Hutchinson attractors  $X(A^T, \mathcal{D})$  and  $X(A, \mathcal{D})$ ; both compact and with non-empty interior. The first one, in a different context, was studied earlier in [BJ99] and [Jor03], but both are interesting. Note that while [BJ99] and [Jor03] use these examples the questions addressed in these papers are completely different.

As we see, there is an intriguing connection between cycles, solenoids, and encodings for the two.

*Example 6.23.* Take

$$d = 2, A = \begin{pmatrix} 1 & -2 \\ 2 & 1 \end{pmatrix} \text{ so } A^T = \begin{pmatrix} 1 & 2 \\ -2 & 1 \end{pmatrix},$$

$$\text{and } \mathcal{D} = \left\{ \begin{bmatrix} 0 \\ 0 \end{bmatrix}, \begin{bmatrix} \pm 3 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \pm 2 \end{bmatrix} \right\}.$$

We consider the trivial cycle  $C := \{[0, 0]^T\}$ . We want to compute  $(C - \mathbb{Z}^d) \cap X(A^T, \mathcal{D})$ , i.e.,  $\mathbb{Z}^d \cap X(A^T, \mathcal{D})$ .

First, we need to locate the attractor  $X(A^T, \mathcal{D})$ . For this we use the proof of Proposition 6.14(ii), and conclude that if  $R := \|(A^T)^{-1}\| \max_{d \in \mathcal{D}} \|d\| / (1 - \|(A^T)^{-1}\|)$  then the ball  $B(0, R)$  is invariant under all the maps  $\tau_d$ , which implies that  $X(A^T, \mathcal{D})$  is contained in this ball.

Since  $\|(A^T)^{-1}\| = 1/\sqrt{5}$ , we conclude that  $R = (3/(\sqrt{5} - 1)) = 2.427 \dots$ . There are 21 points in  $\mathbb{Z}^d \cap B(0, R)$  and we can check how  $\mathcal{R}_C$  acts on each of them.

If we want

$$\begin{bmatrix} x \\ y \end{bmatrix} = A^T \begin{bmatrix} a \\ b \end{bmatrix} + \begin{bmatrix} d_1 \\ d_2 \end{bmatrix} \text{ with } \begin{bmatrix} a \\ b \end{bmatrix} \in \mathbb{Z}^2 \text{ and } \begin{bmatrix} d_1 \\ d_2 \end{bmatrix} \in \mathcal{D},$$

then we must have

$$\frac{(x - d_1) - 2(y - d_2)}{5} = a, \quad \frac{2(x - d_1) + (y - d_2)}{5} = b. \tag{6.18}$$

Thus, given  $[x, y]^T$ , to find

$$\mathcal{R}_C \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} a \\ b \end{bmatrix} \text{ and } \begin{bmatrix} d_1 \\ d_2 \end{bmatrix} \in \mathcal{D},$$

TABLE 1. Remainders for the elements in  $\mathcal{D}$ .

$\begin{bmatrix} d_1 \\ d_2 \end{bmatrix}$	$\begin{bmatrix} 0 \\ 0 \end{bmatrix}$	$\begin{bmatrix} 3 \\ 0 \end{bmatrix}$	$\begin{bmatrix} -3 \\ 0 \end{bmatrix}$	$\begin{bmatrix} 0 \\ 2 \end{bmatrix}$	$\begin{bmatrix} 0 \\ -2 \end{bmatrix}$
$(d_1 - 2d_2) \bmod 5$	0	3	2	1	4

we first look for an element in  $\mathcal{D}$  with  $d_1 - 2d_2 \equiv x - 2y \pmod 5$  and  $2d_1 + d_2 \equiv 2x + y \pmod 5$ . Then we compute  $a, b$  as in (6.18).

It is interesting to note here also that if  $d_1 - 2d_2 \equiv x - 2y \pmod 5$  then the other equivalence mod 5 is satisfied too. This is because  $2d_1 + d_2 \equiv 2(d_1 - 2d_2) \pmod 5$  etc. We can use Table 1.

We apply these ideas to the points in  $\mathbb{Z}^2 \cap B(0, R)$ .

$$\begin{bmatrix} 0 \\ 0 \end{bmatrix} = A^T \begin{bmatrix} 0 \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \end{bmatrix} \text{ so } \mathcal{R}_C \begin{bmatrix} 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix} \text{ and } - \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

is a cycle that corresponds to  $\underline{\begin{bmatrix} 0 \\ 0 \end{bmatrix}}$ .

$$\begin{bmatrix} 1 \\ 0 \end{bmatrix} = A^T \begin{bmatrix} 1 \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ 2 \end{bmatrix} \text{ so } \mathcal{R}_C \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \end{bmatrix} \text{ and } \begin{bmatrix} -1 \\ 0 \end{bmatrix}$$

is a cycle that corresponds to  $\underline{\begin{bmatrix} 0 \\ 2 \end{bmatrix}}$ .

$$\begin{bmatrix} -1 \\ 0 \end{bmatrix} = A^T \begin{bmatrix} -1 \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ -2 \end{bmatrix} \text{ so } \mathcal{R}_C \begin{bmatrix} -1 \\ 0 \end{bmatrix} = \begin{bmatrix} -1 \\ 0 \end{bmatrix} \text{ and } \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

is a cycle that corresponds to  $\underline{\begin{bmatrix} 0 \\ -2 \end{bmatrix}}$ .

$$\begin{aligned} \begin{bmatrix} 0 \\ 1 \end{bmatrix} &= A^T \begin{bmatrix} -1 \\ -1 \end{bmatrix} + \begin{bmatrix} 3 \\ 0 \end{bmatrix}, & \begin{bmatrix} -1 \\ -1 \end{bmatrix} &= A^T \begin{bmatrix} 1 \\ -1 \end{bmatrix} + \begin{bmatrix} 0 \\ 2 \end{bmatrix}, \\ \begin{bmatrix} 1 \\ -1 \end{bmatrix} &= A^T \begin{bmatrix} 0 \\ -1 \end{bmatrix} + \begin{bmatrix} 3 \\ 0 \end{bmatrix}, \\ \begin{bmatrix} 0 \\ -1 \end{bmatrix} &= A^T \begin{bmatrix} 1 \\ 1 \end{bmatrix} + \begin{bmatrix} -3 \\ 0 \end{bmatrix}, & \begin{bmatrix} 1 \\ 1 \end{bmatrix} &= A^T \begin{bmatrix} -1 \\ 1 \end{bmatrix} + \begin{bmatrix} 0 \\ -2 \end{bmatrix}, \\ \begin{bmatrix} -1 \\ 1 \end{bmatrix} &= A^T \begin{bmatrix} 0 \\ 1 \end{bmatrix} + \begin{bmatrix} -3 \\ 0 \end{bmatrix}. \end{aligned}$$

So

$$\begin{bmatrix} 0 \\ 1 \end{bmatrix} \xrightarrow{\mathcal{R}_C} \begin{bmatrix} -1 \\ -1 \end{bmatrix} \xrightarrow{\mathcal{R}_C} \begin{bmatrix} 1 \\ -1 \end{bmatrix} \xrightarrow{\mathcal{R}_C} \begin{bmatrix} 0 \\ -1 \end{bmatrix} \xrightarrow{\mathcal{R}_C} \begin{bmatrix} 1 \\ 1 \end{bmatrix} \xrightarrow{\mathcal{R}_C} \begin{bmatrix} -1 \\ 1 \end{bmatrix} \xrightarrow{\mathcal{R}_C} \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

and

$$\left\{ \begin{bmatrix} 0 \\ -1 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \begin{bmatrix} -1 \\ 1 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \begin{bmatrix} -1 \\ -1 \end{bmatrix}, \begin{bmatrix} 1 \\ -1 \end{bmatrix} \right\}$$

is a cycle that corresponds to the word

$$\underline{\begin{bmatrix} 3 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 2 \end{bmatrix} \begin{bmatrix} 3 \\ 0 \end{bmatrix} \begin{bmatrix} -3 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ -2 \end{bmatrix} \begin{bmatrix} -3 \\ 0 \end{bmatrix}}.$$

Similar computations show that

$$\begin{aligned} \begin{bmatrix} 2 \\ 0 \end{bmatrix} &\xrightarrow{\mathcal{R}_C} \begin{bmatrix} 1 \\ 2 \end{bmatrix} \xrightarrow{\mathcal{R}_C} \begin{bmatrix} 0 \\ 2 \end{bmatrix} \xrightarrow{\mathcal{R}_C} \begin{bmatrix} 0 \\ 0 \end{bmatrix}, & \begin{bmatrix} -2 \\ 0 \end{bmatrix} \xrightarrow{\mathcal{R}_C} \begin{bmatrix} -1 \\ -2 \end{bmatrix} \xrightarrow{\mathcal{R}_C} \begin{bmatrix} 0 \\ -2 \end{bmatrix} \xrightarrow{\mathcal{R}_C} \begin{bmatrix} 0 \\ 0 \end{bmatrix}, \\ \begin{bmatrix} 1 \\ -2 \end{bmatrix} &\xrightarrow{\mathcal{R}_C} \begin{bmatrix} 1 \\ 0 \end{bmatrix}, & \begin{bmatrix} 2 \\ 1 \end{bmatrix} \xrightarrow{\mathcal{R}_C} \begin{bmatrix} 0 \\ 1 \end{bmatrix}, & \begin{bmatrix} 2 \\ -1 \end{bmatrix} \xrightarrow{\mathcal{R}_C} \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \\ \begin{bmatrix} -2 \\ 1 \end{bmatrix} &\xrightarrow{\mathcal{R}_C} \begin{bmatrix} 0 \\ -1 \end{bmatrix}, & \begin{bmatrix} -2 \\ -1 \end{bmatrix} \xrightarrow{\mathcal{R}_C} \begin{bmatrix} 0 \\ -1 \end{bmatrix}. \end{aligned}$$

Thus we have three cycles of length one, and one cycle of length six.

Consider now the pair  $(A^T, \mathcal{D})$ , that is, replace the matrix  $A$  by  $A^T$ , and  $\mathcal{D}$  is also a complete set of representatives for  $\mathbb{Z}^d / (A^T)^T \mathbb{Z}^d$ . As above we get the same cycles:

$$\begin{aligned} \begin{bmatrix} 0 \\ 0 \end{bmatrix} &\text{ is a one-cycle that corresponds to } \underline{\begin{bmatrix} 0 \\ 0 \end{bmatrix}}; \\ \begin{bmatrix} 1 \\ 0 \end{bmatrix} &\text{ is a one-cycle that corresponds to } \underline{\begin{bmatrix} 0 \\ 2 \end{bmatrix}}; \\ \begin{bmatrix} -1 \\ 0 \end{bmatrix} &\text{ is a one-cycle that corresponds to } \underline{\begin{bmatrix} 0 \\ -2 \end{bmatrix}}. \end{aligned}$$

We also obtain the six-cycle

$$\left\{ \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ -1 \end{bmatrix}, \begin{bmatrix} -1 \\ -1 \end{bmatrix}, \begin{bmatrix} 0 \\ -1 \end{bmatrix}, \begin{bmatrix} -1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \end{bmatrix} \right\}.$$

This cycle corresponds to a different periodic word:

$$\underline{\begin{bmatrix} 3 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ -2 \end{bmatrix} \begin{bmatrix} 3 \\ 0 \end{bmatrix} \begin{bmatrix} -3 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 2 \end{bmatrix} \begin{bmatrix} -3 \\ 0 \end{bmatrix}}.$$

This means that the six-cycle is traveled by two different paths according to the matrix  $A$  or  $A^T$ .

6.2. *Tiling and spectra in some examples.* One of the uses of encoding is applications to tiling questions in  $\mathbb{R}^d$ . The simplest tiles  $X$  in  $\mathbb{R}^d$  are measurable subsets which make a tiling of  $\mathbb{R}^d$  by translations with vectors from some lattice, say  $\Gamma$  (i.e, a rank  $d$  subgroup). Since we work in the measurable category we allow different translates in the tiling  $X + \gamma$ , for  $\gamma \in \Gamma$  to overlap on sets of measure zero.

One might think that when  $X$  is given, then the presence of a suitable lattice  $\Gamma$  making  $X$  into a translation tile for  $\mathbb{R}^d$  could be decided by visual inspection, at least in the case of  $d = 2$ . After all, when a pair  $(A, \mathcal{D})$  is given, then there are fast Mathematica programs which produce excellent plots of the attractor sets  $X = X(A^T, \mathcal{D})$ , black on white; see for

example [BJ99]. But except for isolated cases, it turns out that when the planar sets  $X$  are represented in black on white, then there will typically be many white spots, or gaps, disconnecting  $X$  in complicated ways. If some lattice  $\Gamma$  will make  $X$  into a translation tile, then the white areas must be filled in by black under translations  $X + \gamma$ ,  $\gamma \in \Gamma$ . An inspection of [BJ99] reveals that this is not easy to discern by visual inspection. Hence, instead we resort below to spectral theoretic tools for locating the lattices which do the job.

A more complicated form of tilings (still with a single base tile) refer to the case when the set  $\Gamma$  of translation vectors is a set which is not a lattice, e.g., translation sets of quasiperiodic tilings. But for our present considerations lattice tilings will suffice.

The sets  $X$  which will interest us are the attractors  $X = X(A^T, \mathcal{D})$  from affine IFSs as described in Corollary 6.16. It is known that every such  $X$  is compact with non-empty interior, and so in particular it has positive  $d$ -dimensional Lebesgue measure.

Hence it is of interest to ask for a spectral analysis of the Hilbert space  $L^2(X)$ , referring to  $d$ -dimensional Lebesgue measure. In fact, using Pontryagin duality for Abelian groups, one can check that  $X$  tiles  $\mathbb{R}^d$  with a lattice if and only if the dual lattice makes an orthogonal basis of complex exponentials in  $L^2(X)$ . The result is often referred to as Fuglede's theorem. For background, see [Fug74] and [Rud62].

To understand the correspondence between choice of translation lattice on the one hand and spectrum on the other we need the following.

*Definition 6.24.* Let  $X \subset \mathbb{R}^d$  be measurable with  $0 < \mu(X) < \infty$  where  $\mu$  denotes the  $d$ -dimensional Lebesgue measure. For  $\xi \in \mathbb{R}^d$  set  $e_\xi(x) = e^{2\pi i \xi \cdot x}$ , where  $\xi \cdot x := \langle \xi, x \rangle = \xi_1 x_1 + \cdots + \xi_d x_d$  and  $x = (x_1, \dots, x_d) \in \mathbb{R}^d$ . If  $\Gamma \subset \mathbb{R}^d$  is a discrete subgroup (in this case a rank  $d$  lattice) set

$$E_X(\Gamma) := \{e_\xi|_X : \xi \in \Gamma\}$$

where  $|_X$  denotes restriction to the set  $X$ .

If  $\Gamma$  is a lattice we set

$$\Gamma^\circ := \{\lambda \in \mathbb{R}^d \mid \lambda \cdot \xi \in \mathbb{Z} \text{ for all } \xi \in \Gamma\}$$

called the dual lattice.

If  $\Lambda \subset \mathbb{R}^d$  is a discrete subset we say that it is a *spectrum* for  $X$  or that the pair  $(X, \Lambda)$  is a *spectral pair* if and only if  $E_X(\Lambda)$  is an orthogonal basis in the Hilbert space  $L^2(X) = L^2(X, \mu)$ .

LEMMA 6.25. (Fuglede [Fug74]) *Let  $0 < \mu(X) < \infty$  and  $\Lambda$  be a rank- $d$  lattice. The following conditions are equivalent.*

- (i)  $E_X(\Lambda)$  is an orthonormal basis in  $L^2(X)$ .
- (ii)  $X$  tiles  $\mathbb{R}^d$  by the dual lattice  $\Lambda^\circ$ .

*Remark 6.26.* We can draw the following stronger conclusion. When  $X$  is given, there are no other tiling lattices for  $X$  than those which arise as in (ii) by spectral duality. The reason is as follows. Every lattice  $\Lambda$  satisfies  $\Lambda^{\circ\circ} = \Lambda$ , i.e., the double dual yields back the initial lattice. To see this, use the following general observations which also serve to make explicit the standard lattice operations which we will be using in the proof.

Referring to the IFS of Definition 6.1 we note the following formula for the computation of the  $L^2(X(A^T, \mathcal{D}))$ -inner products. Set  $X = X(A^T, \mathcal{D})$  and  $\hat{\chi}_X(\xi) := \int_X e_\xi(x) dx$ . Then

$$\hat{\chi}_X(\xi) = \prod_{n=1}^{\infty} m_{\mathcal{D}}(A^{-n}\xi)$$

where

$$m_{\mathcal{D}}(\xi) := \frac{1}{|\det A|} \sum_{d \in \mathcal{D}} e_d(\xi).$$

Recall that  $|\det A| =$  number of elements in  $\mathcal{D}$ . Some remarks about lattices in  $\mathbb{R}^d$  are in order. Every lattice is by definition a rank- $d$  subgroup of  $\mathbb{R}^d$  and it can be shown that it has the form  $\Gamma = M\mathbb{Z}^d$ , where  $M$  is an invertible  $d \times d$  matrix and where points in  $\mathbb{Z}^d$  are represented by column vectors. We will write  $\Gamma_M$  to emphasize the matrix  $M$  that completely determines the lattice. The next lemma is elementary.

LEMMA 6.27.

- (i)  $\Gamma_M \subset \mathbb{Z}^d$  if and only if  $M \in \mathcal{M}_d(\mathbb{Z})$ .
- (ii) If  $\Gamma = \Gamma_M$  then  $\Gamma^\circ = \Gamma_{(M^T)^{-1}}$ . In other words if  $\Gamma$  is given by  $M$  then its dual is given by  $(M^T)^{-1}$ .
- (iii)  $\Gamma^{\circ\circ} = \Gamma$ .

We will use names from [BJ99] for the fractals  $X = X(A^T, \mathcal{D})$  in  $\mathbb{R}^2$ . These names refer both to their geometric appearance as planar sets  $X$ , as well as to a counting of  $\mathbb{Z}^2$ -cycles, i.e., the number of points in  $(-X) \cap \mathbb{Z}^2$ . See [BJ99, end of §9.3] for details. For example, Cloud Nine has three one-cycles and one six-cycle in  $\mathbb{Z}^2$ .

What follows is a family of examples in two dimensions. In each case, we are asking the following question. How much flexibility is there in selecting digits when the base for the 2D number system is fixed? In our case, we are using the positional radix representation for vectors, and thus the base for our number system is a chosen matrix  $A$ . For several of the examples below, we fix a particular  $A$ , and then we vary our choices of ‘digit’ sets  $\mathcal{D}$  in  $\mathbb{Z}^2$ . The points in  $\mathcal{D}$  will serve as ‘digits’ in a positional representation.

We are motivated by Knuth’s algorithmic approach mentioned in §1. What are the ‘integers’ and what are the ‘fractions’ in a number system specified by a particular pair  $(A, \mathcal{D})$ ? What is the encoding, and what is the decoding? When the matrix  $A$  is fixed, how do changes in  $\mathcal{D}$  reflect themselves in the answer to the questions?

The examples below are sketched with Mathematica programming in [BJ99], and the names we use for the fractals  $X$  are consistent with [BJ99], i.e., the the respective names of the sets  $X$ , Cloud Nine etc. The examples when  $A$  is the same but  $\mathcal{D}$  changes are referred to by the name Cloud, followed by a number. The number indicates the cardinality of  $(-X) \cap \mathbb{Z}^2$ .

However the questions addressed here are different from those of [BJ99].

It is of interest to understand how much flexibility there is in selecting digits when the base for the number system is fixed. In our case, the base for our vector number system is the matrix  $A$ , and so we vary the choices for the companion set  $\mathcal{D}$ . But when  $A$  is given, the choice of  $\mathcal{D}$  is always restricted by demanding a bijection  $\mathcal{D} \leftrightarrow \mathbb{Z}^2/A^T\mathbb{Z}^2$ .



- *Cloud Three*

$$A = \begin{pmatrix} 1 & -2 \\ 2 & 1 \end{pmatrix}, \quad \mathcal{D} = \left\{ \begin{bmatrix} 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \pm 1 \end{bmatrix}, \begin{bmatrix} 0 \\ \pm 2 \end{bmatrix} \right\}.$$

Here the lattice  $2\mathbb{Z} \times \mathbb{Z}$  makes  $X$  tile  $\mathbb{R}^2$ . Cloud Three only has one-cycles on  $\mathbb{Z}^2$ , i.e.,  $(-X) \cap \mathbb{Z}^2 = \mathcal{C}_1$ . Moreover  $X$  is not a Haar wavelet. It has measure equal to 2.

- *Cloud Five*

$$A = \begin{pmatrix} 1 & -2 \\ 2 & 1 \end{pmatrix}, \quad \mathcal{D} = \left\{ \begin{bmatrix} 0 \\ 0 \end{bmatrix}, \begin{bmatrix} \pm 3 \\ 0 \end{bmatrix}, \begin{bmatrix} \pm 1 \\ 0 \end{bmatrix} \right\}.$$

Cloud Five is a lattice tile with lattice  $\mathbb{Z} \times 2\mathbb{Z}$ . So  $X$  is not a Haar wavelet. It has measure equal to 2.

- *Cloud Nine*

$$A = \begin{pmatrix} 1 & -2 \\ 2 & 1 \end{pmatrix}, \quad \mathcal{D} = \left\{ \begin{bmatrix} 0 \\ 0 \end{bmatrix}, \begin{bmatrix} \pm 3 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \pm 2 \end{bmatrix} \right\}.$$

Cloud Nine is a lattice tile with the lattice  $\mathbb{Z} \times 2\mathbb{Z}$ . Cloud Nine  $X$  has three one-cycles and one six-cycle. So Cloud Nine is not a Haar wavelet. It has measure equal to 2.

- *Twin Dragon*

$$A = \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix}, \quad \mathcal{D} = \left\{ \begin{bmatrix} 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \end{bmatrix} \right\}.$$

The Twin Dragon is a lattice tile with lattice  $\mathbb{Z}^2 = \Gamma$ . So the Twin Dragon is a Haar wavelet. It has measure equal to 1.

We are using Lemma 6.25 in identifying lattices which make the various Cloud examples  $X$  tile  $\mathbb{R}^2$ . For this purpose we must identify our cycles relative to so-called Hadamard systems as defined in [DJ07c]. A Hadamard system consists of a matrix  $A$  and two sets  $\mathcal{D}$  and  $\mathcal{L}$  as dual digits,  $\#\mathcal{D} = \#\mathcal{L} = |\det A|$ . By ‘dual’ we mean that the matrix formed from the exponentials as

$$\frac{1}{\sqrt{|\det A|}} (\exp(2\pi i (A^T)^{-1} d \cdot l))_{d \in \mathcal{D}, l \in \mathcal{L}}. \quad (6.19)$$

is a unitary  $|\det A| \times |\det A|$  matrix.

Let  $N$  be the absolute value of the determinant, and let  $\mathbb{Z}_N$  be the cyclic group of order  $N$ . Then the matrix  $U_N$  for the Fourier transform on  $\mathbb{Z}_N$  is an example of a Hadamard matrix as in (6.19); specifically the  $j, k$  entry in  $U_N$  is  $(1/\sqrt{N})\zeta^{jk}$ ,  $j, k \in \mathbb{Z}_N$ , where  $\zeta = \zeta_N$  is a fixed principal  $N$ 'th root of 1.

*Proof.* To find the lattices that give tiles for these examples, we use Lemma 6.25, and find the dual lattices that give orthogonal bases of exponentials. For this we use the techniques introduced in [DJ06b, DJ07c].

First let us look at the matrix  $A = \begin{pmatrix} 1 & -2 \\ 2 & 1 \end{pmatrix}$  for the cloud examples. We want to find what is the lattice that makes  $X(A^T, \mathcal{D}) = \{\sum_{j=1}^{\infty} (A^T)^{-j} d_j \mid d_j \in \mathcal{D}\}$  tile  $\mathbb{R}^2$ . For this we need a set  $\mathcal{L}$  such that  $(1/\sqrt{|\det A|})(\exp(2\pi i (A^T)^{-1} d \cdot l))_{d \in \mathcal{D}, l \in \mathcal{L}}$  is a unitary matrix, i.e.,

$(A^T, \mathcal{D}, \mathcal{L})$  is a Hadamard triple. It is enough to take  $\mathcal{L}$  a complete set of representatives for  $\mathbb{Z}^2/A\mathbb{Z}^2$ . We will take  $\mathcal{L}$  to be

$$\mathcal{L} := \left\{ \begin{bmatrix} 0 \\ 0 \end{bmatrix}, \begin{bmatrix} \pm 3 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \pm 2 \end{bmatrix} \right\}.$$

With this choice of  $\mathcal{L}$  the reader may check that for each of the Cloud examples listed above, the corresponding Hadamard matrix from (6.19) turns out, up to permutation, to simply agree with the matrix  $U_5$  for the Fourier transform on  $\mathbb{Z}_5$ .

According to [DJ07c] we have to see if there are any proper invariant subspaces for  $A$ . But those would give rise to real eigenvalues of  $A$ , and this is not the case. Thus, by [DJ07c], the spectrum of  $X(A^T, \mathcal{D})$ , is determined only by the ‘ $m_{\mathcal{D}}$ -cycles’. These are the cycles  $C = \{x_0, \dots, x_{p-1}\}$  for the ‘dual’ IFS  $\sigma_l(x) = A^{-1}(x + l)$ ,  $l \in \mathcal{L}$  with the property that  $|m_{\mathcal{D}}(x_i)| = 1$  for all  $i \in \{0, \dots, p - 1\}$ .

Then, by [DJ06b, DJ07c], the spectrum of  $X(A^T, \mathcal{D})$  is the smallest set  $\Lambda$  that contains  $-C$  for all the  $m_{\mathcal{D}}$ -cycles, and such that  $A\Lambda + \mathcal{L} \subset \Lambda$ .

*Cloud Three.* We have

$$m_{\mathcal{D}}(x, y) = \frac{1}{5}(1 + e^{2\pi iy} + e^{-2\pi iy} + e^{2\pi i2y} + e^{-2\pi i2y}).$$

If we want  $|m_{\mathcal{D}}(x, y)| = 1$  then we must have that all the terms in the sum are 1 so  $y \in \mathbb{Z}$ , and  $x$  is arbitrary.

We are looking for  $m_{\mathcal{D}}$ -cycles, so  $|m_{\mathcal{D}}(\sigma_l(x, y))| = 1$  for some  $l \in \mathcal{L}$  so  $\sigma_l(x, y)$  must have the second component in  $\mathbb{Z}$ . The inverse of  $A$  is

$$A^{-1} = \frac{1}{5} \begin{pmatrix} 1 & -2 \\ 2 & 1 \end{pmatrix}.$$

Thus  $(2(x + l_x) + (y + l_y))/5 \in \mathbb{Z}$ . This implies that  $x \in (1/2)\mathbb{Z}$ .

We claim that  $\Lambda = (1/2)\mathbb{Z} \times \mathbb{Z}$ . For this, note first that  $A((1/2)\mathbb{Z} \times \mathbb{Z}) + \mathcal{L} \subset (1/2)\mathbb{Z} \times \mathbb{Z}$ . By the previous computation,  $(1/2)\mathbb{Z} \times \mathbb{Z}$  contains the negative of all the  $m_{\mathcal{D}}$ -cycles. Then, take  $w_0 := (k/2, k') \in (1/2)\mathbb{Z} \times \mathbb{Z}$ . Then for  $l \in \mathcal{L}$

$$\sigma_l \left( -\frac{k}{2}, -k' \right) = \left( \frac{1}{10}(-k + 2l_x + 4k' - 4l_y), \frac{1}{5}(-k + 2l_x - k' + l_y) \right).$$

Note that there is a unique  $l_0 \in \mathcal{L}$  such that  $w_1 := -\sigma_{l_0}(-k/2, -k') \in (1/2)\mathbb{Z} \times \mathbb{Z}$ . As in the proof of Proposition 6.14, there is a sequence  $l_0, l_1 \dots \in \mathcal{L}$  such that if  $w_{n+1} = -\tau_{l_n}(-w_n)$ ,  $n \in \mathbb{N}$ , then  $w_n \in (1/2)\mathbb{Z} \times \mathbb{Z}$  and, for some  $m$ ,  $-w_m$  is a cycle point for  $(\sigma_l)_{l \in \mathcal{L}}$ . Note that since  $w_n \in (1/2)\mathbb{Z} \times \mathbb{Z}$ ,  $-w_m$  is a point in a  $m_{\mathcal{D}}$ -cycle.

Since  $w_m = -\tau_{l_{m-1}}(w_{m-1})$ , we have that  $w_{m-1} = Aw_m + l_{m-1} \in A(-C) + \mathcal{L}$ , where  $C$  is the  $m_{\mathcal{D}}$ -cycle of  $-w_m$ . By induction we obtain that  $w_0$  must be in  $\Lambda$ . Thus  $\Lambda = (1/2)\mathbb{Z} \times \mathbb{Z}$  is the spectrum. Taking the dual we obtain that  $X(A^T, \mathcal{D})$  tiles  $\mathbb{R}^2$  by  $2\mathbb{Z} \times \mathbb{Z}$ .

*Cloud Five.* We have

$$m_{\mathcal{D}}(x, y) = \frac{1}{5}(1 + e^{2\pi i3x} + e^{-2\pi i3x} + e^{2\pi ix} + e^{-2\pi ix}).$$

Therefore  $|m_{\mathcal{D}}(x, y)| = 1$  if and only if  $x \in \mathbb{Z}$ . For  $m_{\mathcal{D}}$ -cycles we must have that the first component  $\sigma_l(x, y)$  must be in  $\mathbb{Z}$  for some  $l \in \mathcal{L}$ . This implies that  $y \in (1/2)\mathbb{Z}$ .

We claim that  $\Lambda = (1/2)\mathbb{Z} \times \mathbb{Z}$ . The proof works just as for the Cloud Three example so we will leave it to the reader. Thus the dual lattice is  $2\mathbb{Z} \times \mathbb{Z}$  and  $X(A^T, \mathcal{D})$ .

*Cloud Nine.* We have

$$m_{\mathcal{D}}(x, y) = \frac{1}{5}(1 + e^{2\pi i 3x} + e^{-2\pi i 3x} + e^{2\pi i 2y} + e^{-2\pi i 2y}).$$

So  $|m_{\mathcal{D}}(x, y)| = 1$  if and only if  $x \in (1/3)\mathbb{Z}$  and  $y \in (1/2)\mathbb{Z}$ . For  $m_{\mathcal{D}}$ -cycles we must have  $(x, y) = (k/3, k'/2)$  with  $k, k' \in \mathbb{Z}$  and also  $|m_{\mathcal{D}}(\sigma_l(k/3, k'/2))| = 1$  for some  $l \in \mathcal{L}$ . This implies that  $(2(k/3 + d_x) - (k'/2 + d_y))/5 \in (1/2)\mathbb{Z}$  so  $k$  must be divisible by three. Thus the  $m_{\mathcal{D}}$ -cycles are contained in  $\mathbb{Z} \times (1/2)\mathbb{Z}$ .

Just as in the previous examples we get that  $\Lambda = \mathbb{Z} \times (1/2)\mathbb{Z}$  so the tiling lattice is  $\mathbb{Z} \times 2\mathbb{Z}$ .

*Twin Dragon.* For the matrix

$$A = \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix},$$

there are no proper invariant subspaces so the analysis of the  $m_{\mathcal{D}}$ -cycles will suffice. We can take

$$\mathcal{L} := \left\{ \begin{bmatrix} 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \end{bmatrix} \right\},$$

$$m_{\mathcal{D}}(x, y) = \frac{1}{2}(1 + e^{2\pi i x}).$$

Therefore  $|m_{\mathcal{D}}(x, y)| = 1$  if and only if  $x \in \mathbb{Z}$ .

$$A^{-1} = \frac{1}{2} \begin{pmatrix} 1 & -1 \\ 1 & 1 \end{pmatrix}.$$

We want the first component of  $\sigma_l(x, y)$  to be in  $\mathbb{Z}$  so  $(x - y)/2 \in \mathbb{Z}$ , therefore  $y \in \mathbb{Z}$ .

As in the previous examples we can check that  $\Lambda = \mathbb{Z}^2$  so the dual lattice is  $\mathbb{Z}^2$ . □

The method used in our analysis of the examples may be formalized as follows. Stated in general terms it applies to a large class of IFSs which carry a fairly minimal amount of intrinsic duality. For the convenience of the reader, we begin with two definitions. This is of interest as there are few results in the literature which produce formulas for lattices which turn particular attractors  $X$  into tiles under the corresponding translations. For details we refer to [DJ07c, DJ06b].

*Definition 6.28.*

- (i) A Hadamard triple in  $\mathbb{R}^d$  is a system  $(A, \mathcal{D}, \mathcal{L})$  where  $A$  is expansive in  $\mathcal{M}_d(\mathbb{Z})$ ,  $\mathcal{D}, \mathcal{L}$  are in  $\mathbb{Z}^d$ , and  $\mathcal{L}$  is such that the matrix (6.19) is unitary.
- (ii) For a Hadamard triple  $(A, \mathcal{D}, \mathcal{L})$  the cycles  $C$ , that correspond to the IFS  $(\sigma_l)_{l \in \mathcal{L}}$ , for which the absolute value of  $m_{\mathcal{D}}$  is 1 are called extreme relative to  $m_{\mathcal{D}}$ , or  $m_{\mathcal{D}}$ -cycles.

We are now ready to state our general tiling result.

**COROLLARY 6.29.** *Let  $(A, \mathcal{D}, \mathcal{L})$  be a Hadamard triple in  $\mathbb{R}^d$ , and such that  $\mathcal{D}$  is a complete set of representatives for  $\mathbb{Z}^d / A^T \mathbb{Z}^d$ , and let  $X = X(A^T, \mathcal{D})$  be the corresponding Hutchinson attractor. Suppose  $A$  has no proper invariant subspaces. Let  $\Lambda$  be the smallest lattice in  $\mathbb{R}^d$  containing all the sets  $-C$  where  $C$  runs over the  $m_{\mathcal{D}}$ -extreme cycles, and which is invariant under the affine mappings  $x \mapsto Ax + l$ , for  $l \in \mathcal{L}$ . Then the dual lattice  $\Gamma = \Lambda^\circ$  makes  $X$  tile  $\mathbb{R}^d$  with  $\Gamma$  translations.*

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