

Altered cingulate and amygdala response towards threat and safe cues in attention deficit hyperactivity disorder

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Background. Emotional dysregulation is becoming increasingly recognized as an important feature of attention deficit hyperactivity disorder (ADHD). In this study, two experiments were conducted investigating the neural response to either verbally instructed fear (IF) or uninstructed (classically conditioned) fear (UF) using the skin conductance response (SCR) and functional magnetic resonance imaging (fMRI).

Method. In the conditioning phase of the UF experiment (17 ADHD and 17 healthy controls), subjects experienced an unconditioned stimulus (UCS, unpleasant electrodermal stimulation) paired with a former neutral conditioned stimulus (CS+), whereas a control stimulus (CS–) was never paired with the UCS. In the subsequent test phase, only the CS+ and the CS– were presented. In the IF experiment (13 ADHD and 17 healthy controls), subjects were only told that an independently experienced UCS might occur together with the CS+ but not the CS– during testing. No UCS was presented.

Results. Groups did not detectably differ in SCR or neural responses to UF. In IF, ADHD patients showed a trend-line decreased SCR and significantly decreased activation of the dorsal anterior cingulate cortex (dACC), a region prominently involved in fear responding, to the CS+. This was accompanied by higher amygdala activation to the CS–.

Conclusions. During IF, ADHD patients showed deficits in regions centrally involved in fear learning and expression in terms of diminished CS+-related dACC and increased CS–-related amygdala signals. This suggests an impaired processing of verbally transmitted aversive information, which is central for conveying fear information in social contexts. This result extends the growing literature on emotional alterations in ADHD.

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Introduction

Attention deficit hyperactivity disorder (ADHD) is a severe mental disorder affecting 1–4% of the adult population (Faraone & Biederman, 2005; Kessler *et al.* 2006), with core symptoms of weak impulse control, hyperactivity and attentional deficits. However, deficient emotion processing is being increasingly regarded as another core problem. Emotional deficits in adult ADHD have been addressed with various

approaches, comprising physiological (Conzelmann *et al.* 2009; Herrmann *et al.* 2009), neuronal (Plichta *et al.* 2009), behavioural (Miller *et al.* 2011) and psychometrical studies (Friedman *et al.* 2003; Reimherr *et al.* 2005), and also in diagnostic (Wender *et al.* 1981; Ward *et al.* 1993; Retz-Junginger *et al.* 2002, 2003) and therapeutic manuals (Hesslinger *et al.* 2002a; Philipsen *et al.* 2007; review in Albert *et al.* 2008). Emotional deficits described in ADHD include poor regulation of affect leading to high affective instability (Reimherr *et al.* 2005; Herrmann *et al.* 2010), weak emotion recognition (Rapport *et al.* 2002; Friedman *et al.* 2003; Miller *et al.* 2011), including poor recognition of fear cues in facial expressions (Miller *et al.* 2011), and higher anxiety ratings in some studies (Kitchens *et al.* 1999). Psychophysiological measurements, however, specifically found

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lower responses to positive but not to neutral or negative stimuli (Conzelmann *et al.* 2009; Herrmann *et al.* 2009, 2010). Both deficient recognition of fear cues and poor regulation of affect might be factors contributing to risk-taking behaviours in ADHD (Matthies *et al.* 2012), such as substance abuse (Biederman *et al.* 1995; Wilson & Levin, 2005; Matthies *et al.* 2012), risky sexual practices (Flory *et al.* 2006) and driving (Barkley & Cox, 2007).

Imaging studies found abnormalities with respect to structure and function in anterior cingulate (Dickstein, 2006; Bush, 2011) and ventral prefrontal areas (Hesslinger *et al.* 2002; Dickstein, 2006), the striatum (Seidman *et al.* 2005; Scheres *et al.* 2007; Almeida Montes *et al.* 2010), amygdala and hippocampus (Plessen *et al.* 2006). Konrad *et al.* (2006) found a methylphenidate effect on insula activation in ADHD children. All these regions are involved in emotion processing (Kober *et al.* 2008); however, imaging studies investigating actual emotion processing in ADHD are sparse. Posner *et al.* (2011) investigated performance in a task involving the subliminal presentation of fearful faces and found higher activity in the right amygdala to fearful faces and greater connectivity between the amygdala and the lateral prefrontal cortex (LPFC), regardless of anxiety ratings. Brotman *et al.* (2010) compared brain activity towards facial stimuli in patients with ADHD *versus* other psychiatric disorders and healthy controls, and reported higher amygdala responses while rating fear in neutral faces.

Fear conditioning is of particular interest because other patient groups with impulse control and emotion regulation deficiencies (i.e. which have symptom overlap with ADHD) show abnormalities in fear conditioning compared to healthy controls. Patients with antisocial personality disorder (ASPD), who show high-risk behaviour and emotional blunting, do not show signs of fear conditioning regarding brain activation and psychophysiological patterns in a fear-conditioning paradigm (Birbaumer *et al.* 2005). By contrast, patients with borderline personality disorder (BPD), who display impulsive and high-risk behaviours combined with emotional dysregulation (Lieb *et al.* 2004), failed to decrease fear-related amygdala signals [and increase orbitofrontal cortex (OFC) activation] over time in an instructed fear paradigm (Kamphausen *et al.* 2012). Because affect-related deficits in ADHD comprise high emotional reactivity, 'blunted' responses when perceiving emotions and high-risk behaviour, the nature of fear processing is of particular interest in this disorder.

Intact fear recognition and regulation are vital for adapting to (changes in) the emotional significance of a stimulus and for making the right decisions when it comes to handling risky situations (Loewenstein

et al. 2001; Dahl, 2003). Clinical observations and psychological findings of risk-taking behaviour (Matthies *et al.* 2012) and excessive emotional reactivity or affective lability (Reimherr *et al.* 2005) in ADHD can possibly be understood in the context of impaired fear processing.

In the present study we investigated two different fear-learning paradigms: uninstructed fear (UF) and instructed fear (IF). In UF (classical Pavlovian) conditioning, a neutral conditioned stimulus (CS) is associated with an aversive stimulus (unconditioned stimulus, UCS) by paired presentation. This leads to a conditioned response (CR) to the CS (Pavlov, 1927). By contrast, in IF, CS and UCS are only linked verbally (i.e. subjects are told that CS and UCS will occur together). The CR then occurs even if subjects never in fact experience this co-occurrence. Thus IF uses the aversive-predictive quality of warning, where fear information is passed from one individual to another (Olsson & Phelps, 2007). This form of fear transmission prevails in social contexts and can produce fear learning with similar neuronal and behavioural reactions like UF (Olsson & Phelps, 2007). In IF, anterior cingulate cortex (ACC) deficits reported in ADHD might diminish or even impede fear learning because social transmission of fear (Olsson & Phelps, 2007) requires ACC functioning to allow conscious fear appraisal (Mechias *et al.* 2010).

Neuronal networks related to fear conditioning and instructed fear include the ACC, insular cortex, basal ganglia, dorsolateral PFC (dlPFC), the amygdala, and the dorsomedial (dmPFC) and ventromedial prefrontal cortex (vmPFC) (Etkin & Wager, 2007; Delgado *et al.* 2008a,b; Sehlmeier *et al.* 2009; Mechias *et al.* 2010; Kamphausen *et al.* 2012). The ACC, amygdala, dlPFC and insula have been shown to be implicated in ADHD, in both structural and functional studies (Bush *et al.* 1999; Ernst *et al.* 2003; Konrad *et al.* 2006; Tian *et al.* 2006; Brotman *et al.* 2010). The amygdala serves as a major fear input and output channel and is the site where, during UF, CS and UCS information converge to form fear memories (Olsson & Phelps, 2007). The ACC is involved in conscious appraisal (together with the dorsal PFC) and motivational aspects of emotions (Mechias *et al.* 2010). The insula processes affective and autonomic fear information (Sehlmeier *et al.* 2009; Holtz *et al.* 2012) whereas the ventral PFCs deal with emotion regulation and valence coding (Phillips *et al.* 2003). Thus these areas are of particular interest in this study.

We therefore hypothesized that ADHD patients would show abnormal fear reactions and neural activation patterns in the above regions of interest (ROIs) in IF and UF. Considering affect-related deficits in ADHD we stated three hypotheses. First, because of

the symptom overlap with BPD (Kamphausen *et al.* 2012), we predicted a lack of down-regulation of the amygdala signal in both IF and UF as a result of an excessive emotional reactivity. Second, considering 'blunted' responses in emotion perception and symptom overlap with ASPD patients along with risk-taking behaviour and poor emotion perception, we hypothesized a diminished activation of brain areas involved in appraising harm-predicting stimuli (ACC, dorsal PFC) whereas fear-related physiological responses should be unaffected as seen in ADHD in children (Pliszka *et al.* 1993). Third, conscious fear appraisal occurs in both UF and IF, but only in IF is it a prerequisite for fear learning. Because the ACC and the dorsal PFC are essential for IF learning and have been shown to be affected in ADHD, we postulated a more pronounced deficit in IF physiological and functional measures compared to UF.

Method

Subject recruitment

For both studies, IF and UF, conditioning patients were recruited from an ADHD multicentre therapy study. All patients were diagnosed with ADHD by an experienced psychiatrist and exhibited a score >30 on the Wender Utah Rating Scale (WURS; Retz-Junginger *et al.* 2002, 2003) and a score >65 on the Conner's Adult ADHD Rating Scale (CAARS; Christiansen *et al.* 2012). In patients and healthy control subjects, co-morbid disorders were assessed using the Structured Clinical Interview for DSM-IV Axis I and II Disorders (SCID-I and SCID-II; for an overview of the diagnostic results see Table 1; First *et al.* 1996a,b; German versions: Fydrich *et al.* 1997; Wittchen *et al.* 1997). Depressive symptoms were assessed with the Beck Depression Inventory (BDI; Beck & Steer, 1987; German version: Hautzinger *et al.* 1994). Intelligence was measured by the Mehrfachwahl Wortschatz Test (MWT-B; Lehrl *et al.* 1995) and anxiety level with the State-Trait Anxiety Inventory (STAI; Spielberger *et al.* 1999). State and trait anxiety ratings of one ADHD patient in each study (IF and UF) respectively were missing. Healthy controls were assessed by trained staff and were excluded when fulfilling ADHD criteria in terms of a supra-threshold WURS and CAARS or when meeting any Axis I or II disorder or exceeding 12 points on the BDI. All participants gave informed consent prior to participation. The local ethics committee approved this study.

IF

Seventeen ADHD patients and 22 healthy control subjects were initially scanned. Four patients and

five controls were excluded because of excessive head movement, magnetic resonance (MR) or other technical artefacts. Groups were matched for age ($t_{28}=0.62$, $p=0.541$), sex ($z=-0.256$, $p=0.798$), years of school education ($t_{28}=-0.12$, $p=0.902$) and intelligence ($t_{28}=-0.12$, $p=0.908$) (Table 1). Assignment of the two neutral visual stimuli as either CS+ or CS- was counter-balanced between groups (CS+ in patients: Stim1: 54%, Stim2: 46%; in controls: Stim1: 53%, Stim2: 47%; $p=0.961$). Seven ADHD patients were of the inattentive type (ADHD-I) and six patients of the combined type (ADHD-C). ADHD patients and healthy controls differed significantly in the WURS ($t_{28}=7.67$, $p<0.001$) and CAARS ($t_{28}=9.65$, $p<0.001$) scores (Table 1). Group differences did not reach significance level for BDI ($t_{28}=1.81$, $p=0.081$), state ($t_{28}=0.40$, $p=0.693$; data missing for one ADHD patient) and trait anxiety ($t_{28}=1.88$, $p=0.071$) ratings (Table 1).

UF

Twenty-four healthy controls and 26 subjects diagnosed with ADHD were initially scanned. Nine patients and seven controls were excluded because of excessive head movement, MR or other technical artefacts. Groups were matched for age ($t_{32}=0.70$, $p=0.490$), sex ($z=0.00$, $p=1.000$), years of school education ($t_{32}=-8.3$, $p=0.414$) and intelligence ($t_{32}=-1.11$, $p=0.912$) (Table 1). Stimuli were counter-balanced between groups (CS+ in patients: Stim1: 53%, Stim2: 47%; in controls: Stim1: 59%, Stim2: 41%; $p=0.730$). One patient was of the impulsive hyperactive type (ADHD-HI), nine patients were ADHD-I and seven were ADHD-C. ADHD patients and healthy controls differed significantly in BDI ($t_{32}=4.21$, $p<0.001$), WURS ($t_{32}=12.77$, $p<0.001$), CAARS ($t_{32}=12.00$, $p<0.001$), state anxiety ($t_{32}=3.66$, $p=0.001$) and trait anxiety ($t_{31}=6.01$, $p<0.001$; data missing for one ADHD patient) scores (Table 1).

Experimental procedure

UCS

In both studies, unpleasant electrodermal stimulation was used as the UCS. Unpleasant stimuli were applied through Ag-AgCl electrodes attached to the right wrist using a Digitimer DS7A stimulator (Digitimer, UK). To standardize perceived UCS aversiveness across subjects, prior to scanning, the current of electrodermal stimulation to be received was determined using a standardized dial-up procedure in which stimuli were increased gradually to a level of intensity experienced as 'uncomfortable but not painful' (Butler *et al.* 2007).

Table 1. Demographic and psychometric data

	Instructed fear (IF)			Uninstructed fear (UF)		
	Patients	Controls	<i>p</i> value	Patients	Controls	<i>p</i> value
Recruited	17	22		26	24	
Excluded	4	5		9	7	
Included	13	17		17	17	
Age (years)	36.5±8.2	34.8±7.4	0.541	33.6±10.1	31.1±11.0	0.490
Age range (years)	24–49	23–46		21–52	23–57	
Sex (F:M)	9:4	11:6	0.794	10:7	10:7	1.00
Years of school	10.92±1.75	10.06±1.71	0.934	11.88±1.58	12.29±1.31	0.528
BDI score	9.1±6.2	5.5±4.8	0.081	10.2±6.7	2.7±3.3	<0.001
WURS score	45.3±12.6	15.7±8.5	<0.001	36.8±4.7	10.4±7.1	<0.001
ADHD subtype	C:6 I:7	–		C:7 I:9 HI:1	–	
CAARS score	69.0±9.7	41.5±12.3	<0.001	71.8±9.7	39.9±5.0	<0.001
IQ (MWT-B)	111.1±17.7	111.9±19.4	0.908	116.0±16.2	116.6±14.4	0.912
STAI – State	41.3±10.2	40.1±5.8	0.693	42.4±9.9	31.9±6.5	0.001
STAI – Trait	46.5±10.0	39.9±9.0	0.071	50.1±10.9	32.4±5.2	<0.001
Axis I co-morbidity current (lifetime)						
Depression	2 (9)	0 (1)		4 (6)	0 (0)	
Alcohol abuse	0 (3)	0 (0)		1 (1)	0 (1)	
Eating disorder	0 (1)	0 (0)		1 (1)	0 (0)	
Panic disorder	0 (1)	0 (0)		0 (0)	0 (0)	
Phobia	4 (1)	0 (0)		3 (0)	0 (0)	
Substance misuse	0 (2)	0 (0)		1 (2)	0 (0)	
Dysthymia	1 (0)	0 (0)		1 (0)	0 (0)	
Adjustment disorder	0 (0)	0 (0)		1 (0)	0 (0)	
Axis II co-morbidity current						
OC PD	0	0		1	0	
Dependent PD	0	0		2	0	
PDNOS	0	0		1	0	
Avoidant PD	1	0		0	0	

BDI, Beck Depression Inventory; CAARS, Conner's Adult attention deficit hyperactivity disorder (ADHD) Rating Scale; MWT-B, Mehrfachwahl Wortschatz Intelligenz-Test; OC, obsessive compulsive; PD, personality disorder; PDNOS, personality disorder not otherwise specified; STAI, State-Trait Anxiety Scale; WURS-K, Wender Utah Rating Scale.

ADHD subtypes: I=Inattentive, HI=Hyperactive Impulsive, C=Combined Type.

Subjects were matched for age, sex, IQ and education.

Values given as *n* (%) or mean±standard deviation.

IF

This IF study strictly reproduced the experimental procedure of Butler *et al.* (2007), who adapted a paradigm initially published by Phelps *et al.* (2001). After the dial-up procedure and before entering the scanner room, subjects viewed the two neutral stimuli (yellow and blue squares) for the purpose of habituation and were then instructed that one of the two stimuli (CS+) might be accompanied by a UCS during the experimental procedure. For the CS+ (threat) condition, subjects were instructed that the UCS 'stimulation might occur anytime the corresponding coloured square was presented'. For the CS– (safe) condition,

participants were informed that 'no shock would occur at any time the corresponding colour was presented'. In the scanner, before the first run started, subjects were asked to recall during the presentation of which colour they might experience an unpleasant stimulus. Subjects still wore the same stimulation electrodes as during the 'dial-up' procedure and were now connected to mock stimulation cables. The scanning experiment consisted of two test runs (IF-Test1 and IF-Test2) of about 5 min each, between which scanning was stopped. Both runs began with a rest period of 20 s, after which each CS was presented five times in pseudo-random order. A CS lasted 12 s and was followed by an 18-s inter-trial interval (ITI) during

which a fixation cross was presented. No UCS was given at any time. Subjects were debriefed after the scanning with special regard to their expectancy of a UCS.

UF

Inside the scanner subjects viewed for the purpose of habituation the two neutral stimuli (two Rorschach pictures; Blechert *et al.* 2007), which later became the conditioned stimuli CS+ and CS− for the purpose of habituation. Subjects were instructed that the stimuli would be presented in a random order and that electrodermal stimulation might occur. Subjects were left unaware about stimulus contingencies, or time point or frequency of UCS delivery. Stimuli were presented in a pseudo-randomized order. The experimental procedure consisted of two acquisition runs [UF conditioning (UF-Cond)1 and UF-Cond2], each with 12 CS− trials never being paired with the UCS, and 12 CS+ trials, six of which were reinforced with a UCS (50% partial reinforcement). After acquisition, subjects experienced one paired CS+ ('refresher' CS) preceding extinction of 12 CS− and 12 unreinforced CS+ trials (UF-Test run). CS were presented for 5 s followed by an ITI of varying duration from 13.5 to 16.5 s in which subjects saw a fixation cross. UCS delivery occurred at the end of the paired CS+ trials. After habituation and before conditioning (baseline) and after each run, subjects rated their UCS expectancy and their perceived CS+ and CS− valences on an 11-point visual analogue scale (expectancy: from 'absolutely sure no shock will occur' to 'absolutely sure a shock will occur'; valence: from pleasant to unpleasant).

Skin conductance

The skin conductance response (SCR) signal was recorded with a BrainAmpsExG MR system (Brain Products, Germany) at a sampling rate of 5 kHz through Ag-AgCl electrodes attached to the distal phalanges of the second and third digits of the left hand. SCRs were further analysed using in-house software (Avg_q; Feige *et al.* 2005). Data were filtered for (mainly scanner-induced) high-frequency artefacts with a 0.5-Hz low-pass filter. SCR quantification involved the following steps. First, the SCR waveform was baseline corrected by subtracting the average skin conductance 2 s before the onset of the stimulus. Second, an SCR detection algorithm was applied, classifying an SCR as successful when the waveform reached its half maximum in a time window from 1.5 to 2.5 s after stimulus onset. Third, the amplitude of the SCR was registered as the mean of the corrected SCR waveform during a 2-s time window centred on

the local maximum within a 3–8-s window after stimulus onset. To extract the remaining amplitude information available in the signal in blocks where no SCR peak could be detected, we used the mean latency of unequivocally detected peaks to compute the 2-s time window amplitude.

Functional imaging

Functional images were acquired in a Siemens 3-T tim-TRIO magnetom (Erlangen, Germany) equipped with an eight-channel head coil. Blood oxygen level-dependent (BOLD)-sensitive functional images were recorded with a T2*-weighted echo-planar imaging (EPI) sequence [IF: repetition time (TR)=2 s, echo time (TE)=30 ms, flip angle=90°, field of view (FOV)=192 mm, voxel size=3 × 3 × 3 mm, water suppression; UF: TR=2.5 s, TE=30 ms, flip angle=90°, FOV=192 mm, voxel size=3 × 3 × 3 mm, fat suppression]. In the IF study every run comprised 177 EPI volumes, in the UF 197. Directly after image acquisition, all EPI volumes run through a rigid body transformation to correct for head motion and through a distortion correction algorithm to enhance the signal of orbitofrontal and middle temporal areas, which are distorted due to adjacent air enclosures (Zaitsev *et al.* 2006). After the functional runs a T1-weighted anatomical reference scan was recorded (TR=2200 ms, TE=4.11 ms, flip angle=12°, FOV=256 mm, voxel size 1 × 1 × 1 mm).

Data preprocessing and statistical analyses were performed using SPM8 (Wellcome Trust Centre of Imaging Neuroscience, UK; for details, see www.fil.ion.ucl.ac.uk/spm/software/spm8) running on Matlab R2009b for Linux (The Mathworks Inc., USA). After discarding the first five volumes of every run, the anatomical scan was manually rigid-body transformed to match the first functional volume of the first run. Then, all functional images were realigned to the first remaining functional volume of the first run to correct for head motion. The anatomical scan was co-registered to the first remaining functional volume of the first run. Functional images were spatially normalized (linear and non-linear transformations) into the Montreal Neurological Institute (MNI) reference system (Collins *et al.* 1998). A subsequent spatial smoothing step with a three-dimensional isotropic Gaussian kernel (8 × 8 × 8 mm full-width at half-maximum, FWHM) was applied to increase the signal-to-noise ratio and to compensate for inter-individual differences in location of corresponding functional areas. All data were high-pass filtered (128 s) to remove low-frequency noise.

On the single-subject level, separate multiple regression models (general linear model, GLM) for

the two studies were fitted voxel-wise to the BOLD signal time courses. In the IF study, the model contained an (unpaired) CS+ and a CS− regressor that were both constructed from 12-s ‘box cars’ at each stimulus onset, plus two constants for each run and one global constant. In the UF study, the model contained one paired CS+, one unpaired CS+ and one CS− regressor for each of the acquisition runs, and one refresher CS+, one unpaired CS+ and one CS− regressor for the test run, all constructed from 5-s box cars. In addition, there were three constants for each of the runs and one global constant. CS regressors were convolved with a canonical haemodynamic response function. The resulting parameter estimate (β) images for the (unpaired) CS+ and CS− regressors were entered in a voxel-wise group-level random effects analysis separately for each study using SPM’s ‘full factorial’ model. Specifically, group \times condition interactions were modelled in three separate ‘full factorial’ analyses (one for the IF-Test, one for UF-Cond and one for the UF-Test) with the factors group (ADHD and healthy controls) and stimulus (unpaired CS+ and CS−). For comparison with other studies (Kamphausen *et al.* 2012), an additional analysis was calculated for the IF study. At the single-subject level, the model comprised 10 CS+ and 10 CS− box car regressors (one for each trial), plus two constants for each run and one global constant. The group-level analysis of the trial-by-trial CS parameter estimates used a ‘full factorial’ model with factors Group (ADHD and control group), Stimulus (unpaired CS+, CS−) and Time (trial). According to Kamphausen *et al.* (2012), a planned parametric contrast was used to test for a linear increase in activation over CS− trials and a linear decrease in activation over CS+ trials, contrasting both groups.

In all analyses correction for multiple comparisons was limited to predefined ROIs (small volume correction, SVC) and followed Gaussian random field theory [family-wise error (FWE) rate method at $p < 0.05$]. Based on our *a priori* hypotheses for regions shown to be crucial for IF and UF, we defined ROIs in the dorsal anterior cingulate cortex (dACC)/dmPFC, insular cortex, basal ganglia, vmPFC, dlPFC and amygdala (Phelps *et al.* 2001; Butler *et al.* 2007), using the Automated Anatomical Labelling (AAL) set (Tzourio-Mazoyer *et al.* 2002). For loci outside the *a priori* areas, the statistical significance threshold for exploratory, descriptive analyses (except regression analyses) was $p < 0.001$ uncorrected exceeding 10 voxels. All analyses were corrected for effects of depressiveness in terms of BDI scores. Bar graphs of activity were generated by the rfx plot as described by Gläscher (2009).

Results

Behavioural data

IF

At debriefing after IF, all subjects indicated that they had expected to receive electrodermal stimulation during the presentation of the threat (CS+) stimulus, until some point in time when expectancy was starting to decrease.

UF

In the UF experiment, UCS expectancy and CS valence were rated formally. Repeated-measures analysis of variance (rm-ANOVA) of expectancy ratings before and after the UF runs showed a clear CS+/CS− discrimination [main effect of Stimulus (CS+, CS−): $F_{1,31} = 62.62$, $p < 0.001$]. Ratings changed over time [main effect of Time (before UF-Cond1, after UF-Cond1, UF-Cond2 and UF-Test): $F_{3,93} = 7.90$, $p < 0.001$]. The time effect was influenced by stimulus type (Stimulus \times Time interaction: $F_{3,93} = 22.20$, $p < 0.001$). No group effects were evident (all $p > 0.192$).

Rm-ANOVA of valence ratings before and after the UF runs showed a CS+/CS− discrimination [main effect of Stimulus (CS+, CS−): $F_{1,31} = 3.50$, $p = 0.071$] only with ongoing time [main effect of Time (before UF-Cond1, after UF-Cond1, UF-Cond2 and UF-Test): $F_{3,93} = 1.86$, $p = 0.141$; Stimulus \times Time interaction: $F_{3,93} = 5.42$, $p = 0.002$]. There were no group effects (all $p > 0.267$).

SCR

IF

Rm-ANOVA of SCR data showed a clear CS+/CS− discrimination; that is, threat response [main effect of Stimulus (CS+, CS−): $F_{1,28} = 24.65$, $p < 0.001$]. Responses declined over time [main effect of Time (IF-Test1, IF-Test2): $F_{1,28} = 15.08$, $p = 0.001$] in a manner that was affected by stimulus identity (Stimulus \times Time interaction: $F_{1,28} = 5.05$, $p = 0.033$). Figure 1a suggests that this was caused by a steeper decline in CS+ than CS− responses, in line with moderate extinction of CRs probably due to absence of reinforcement by the UCS. Groups did not differ in stimulus ($F_{1,28} = 0.73$, $p = 0.402$) or time ($F_{1,28} = 0.09$, $p = 0.764$) effects but there was a trend for extinction to be quicker in patients (Group \times Stimulus \times Time interaction: $F_{1,28} = 3.77$, $p = 0.062$).

UF

Analysis of UF SCR data was restricted to unpaired CSs only. Rm-ANOVA also showed clear

Table 2. Differential activation to CS+ v. CS– in healthy controls minus ADHD patients and ADHD patients minus healthy controls

Study	Contrast	Brain region	z score	p_{FWE} (peak)	p_{uncorr}	x	y	z
IF-Test	HC>ADHD	Left AC ^a	3.45	0.047 ^a	<0.001	0	15	21
		Right amygdala ^a	3.78	0.003 ^a	<0.001	27	0	–24
		Left superior temporal cortex	3.54	0.885 ^b	<0.001	–51	0	–6
IF-Test	ADHD>HC	No significant voxels						
UF-Cond	HC>ADHD	No significant voxels						
UF-Cond	ADHD>HC	Left dmPFC ^a	3.48	0.096 ^a	<0.001	–12	50	25
UF-Test	HC>ADHD	No significant voxels						
UF-Test	ADHD>HC	Left medial temporal cortex	4.15	0.2151	<0.001	–45	–7	–26
		Right medial temporal cortex	4.02	0.3211	<0.001	57	–7	–29

CS+, Excitatory conditioned stimulus; CS–, inhibitory conditioned stimulus; ADHD, attention deficit hyperactivity disorder; IF-Test, test phase of instructed fear; UF-Cond, conditioning phase of uninstructed fear; UF-Test, test phase of uninstructed fear; HC, healthy controls; ACC, anterior cingulate cortex; dmPFC, dorsomedial prefrontal cortex; FWE, family-wise error.

^a *A priori* regions with p_{FWE} values after small volume correction (SVC) at peak level.

^b Non-*a priori* regions with whole-brain p_{FWE} values at peak level.

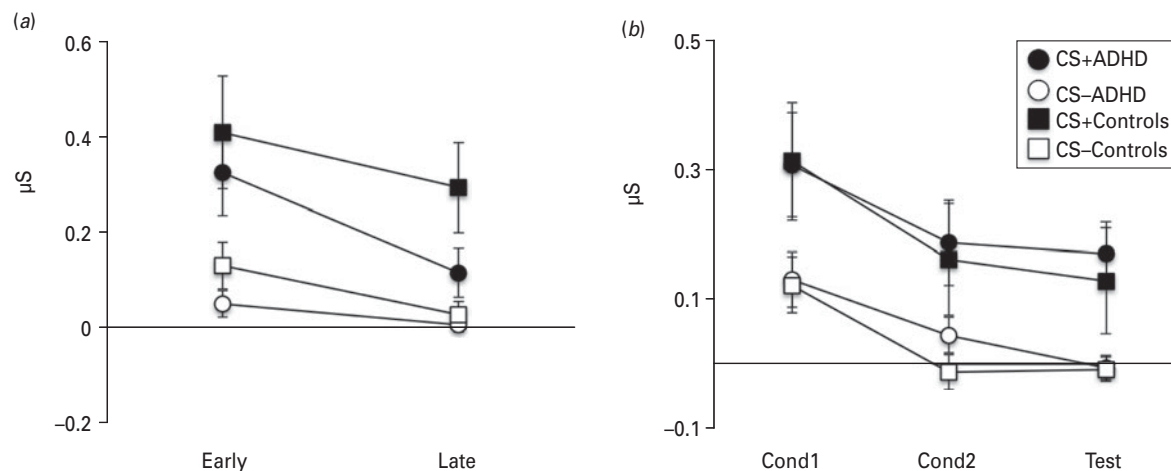


Fig. 1. Skin conductance response (SCR) during the instructed fear test (IF-Test), UF conditioning (UF-Cond) and the uninstructed fear test (UF-Test). (a) SCRs show stable threat responding across the two test runs (IF-Test1, IF-Test2) and no significant difference between the attention deficit hyperactivity disorder (ADHD) group and Controls. (b) SCRs during UF-Cond1, UF-Cond2 and UF-Test were restricted to unpaired conditioned stimulus (CS+) trials and show no significant difference between ADHD and Controls. Error bars show the standard error of the mean (S.E.M.).

CS+/CS– discrimination [main effect of Stimulus (CS+, CS–): $F_{1,32}=20.15$, $p<0.001$]. Responses were modulated by time [main effect of Time (UF-Cond1, UF-Cond2, UF-Test): $F_{2,64}=16.45$, $p<0.001$], an effect that was not affected by stimulus type (Stimulus \times Time interaction: $F_{2,64}=0.29$, $p=0.752$) (Fig. 1 b). There were no group effects (Stimulus \times Group interaction: $F_{1,32}=0.00$, $p=0.979$; Time \times Group: $F_{2,64}=0.27$, $p=0.768$; Stimulus \times Time \times Group: $F_{2,64}=0.41$, $p=0.665$).

Neuroimaging results

We focused our analysis on networks implicated in fear processing, namely the dACC/dmPFC, insular

cortex, basal ganglia, vmPFC, dlPFC and amygdala. All data reported here were FWE corrected for the afore-mentioned *a priori* ROIs defined by AAL masks at a threshold of $p<0.05$.

IF

Direct comparison of CS+ v. CS– activation differences between healthy controls and ADHD patients showed significant effects in the ACC and the right amygdala (Table 2; Fig. 2 a, b). Parameter estimates of the identified peak voxels (ACC=0, 15, 21; amygdala=27, 0, –24; Fig. 2 a, b) show that, in the ACC, this group difference results from lower responses towards

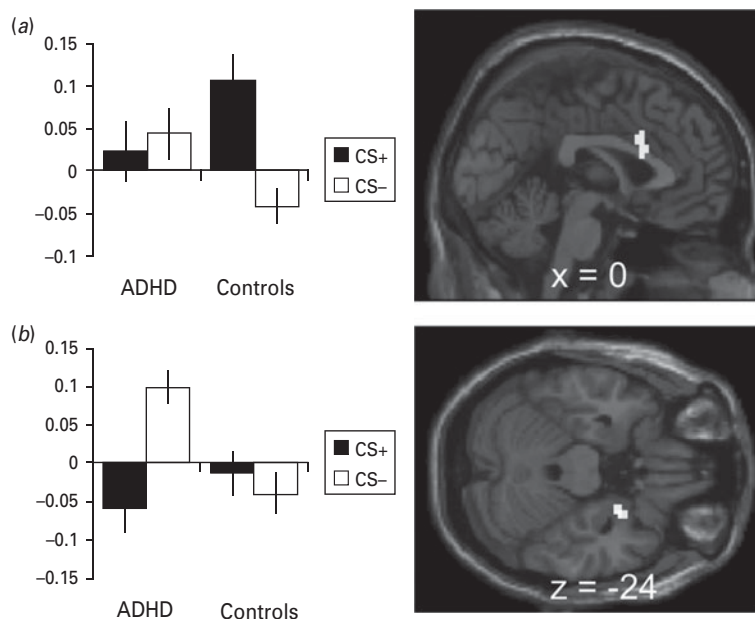


Fig. 2. Activation and β values during the instructed fear test (IF-Test). (a) Anterior cingulate activation during the IF-Test contrasting conditioned stimuli (CS+>CS-) in healthy controls > attention deficit hyperactivity disorder (ADHD) patients with the β values at the peak voxel (0, 15, 21). (b) Amygdala activation during the IF-Test contrasting CS+>CS- in healthy controls > ADHD patients with the β values at the peak voxel (27, 0, -24). Error bars show the standard error of the mean (S.E.M.). Activation plotted at $p < 0.001$, $k = 10$.

the CS+ in the patient group whereas, in the amygdala, ADHD patients show abnormally enhanced CS- responses (Fig. 2 a, b). These effects persisted despite correction for influences of co-morbidities assessed with SCID-I and SCID-II (ACC=0, 15, 21: $z = 3.45$, $p_{FWE} = 0.046$; amygdala=27, 0, -24: $z = 3.76$, $p_{FWE} = 0.004$) and correction for state and trait anxiety effects assessed with the STAI (ACC=0, 15, 27: $z = 3.52$, $p_{FWE} = 0.038$; amygdala=27, 0, -24: $z = 3.95$, $p_{FWE} = 0.002$). When correcting for STAI influences, an additional *a priori* area showed significantly elevated activation for CS+ compared to CS- (left insula=-39, 9, -9: $z = 3.73$, $p_{FWE} = 0.025$).

Regression models with CAARS subscores resulted in FEW-corrected significant negative correlation of ratings for inattentiveness with the right amygdala (27, 0, -21: $z = 3.82$, $p_{FWE} = 0.003$; Fig. 3 a) and ratings for impulsivity with the ACC (Fig. 3 b), bilateral insula and putamen (ACC=0, 15, 27: $z = 3.85$, $p_{FWE} = 0.014$; right insula=45, 9, -6: $z = 3.57$, $p_{FWE} = 0.045$; left insula=-36, 9, 6: $z = 3.8$, $p_{FWE} = 0.023$; putamen=24, 18, -6: $z = 3.66$, $p_{FWE} = 0.019$), whereas positive correlations resulted in no significant voxels. Regression models testing CAARS ratings for hyperactivity showed neither a positive nor a negative interaction surviving correction for multiple comparisons.

A second analysis testing for increases over trials in CS- responses and decreases in CS+ responses that differed between healthy controls and ADHD patients

(according to Kamphausen *et al.* 2012) yielded no significant effects.

UF

Comparison of CS+ *v.* CS- activation differences between healthy controls and ADHD patients yielded no significant effects in either UF-Cond or UF-Test (Table 2), regardless of co-morbidity influences assessed by SCID-I and SCID-II and influences of state and trait anxiety assessed with the STAI.

Discussion

In this study we investigated the neural and psychophysiological response of ADHD patients to fear predictive cues compared to healthy controls. This is the first functional magnetic resonance imaging (fMRI) study to investigate neural responses to threat and safe cues in adult ADHD, and the first functional study using IF and UF to address emotional deficits in this patient group.

For both experiments and both groups, neurophysiological data in terms of SCR resulted in an initially higher response towards threat-predicting stimuli compared to the control stimuli. There were no significant differences in SCR between groups for both studies; this is in line with our second hypothesis and an earlier UF study by Pliszka *et al.* (1993) reporting similar SCRs

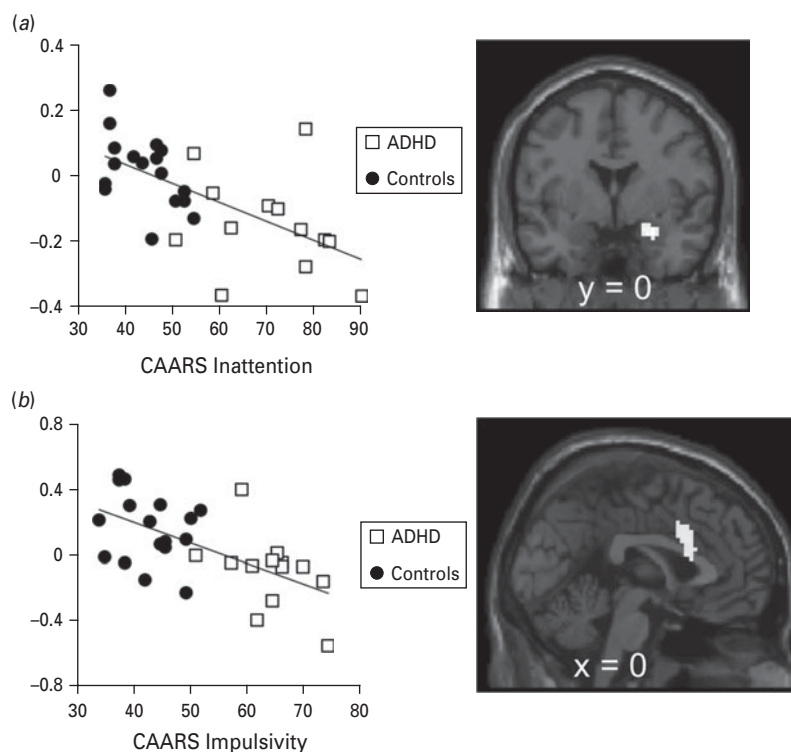


Fig. 3. Regression of Conner's Adult attention deficit hyperactivity disorder (ADHD) Rating Scale (CAARS) scores and β values [excitatory conditioned stimulus (CS+) versus inhibitory CS (CS-)] during the instructed fear test (IF-Test). (a) Amygdala β values at the peak voxel (27, 0, -21) plotted against CAARS inattention scores and t map of the according regression analysis. (b) Anterior cingulate β values at the peak voxel (0, 15, 27) plotted against CAARS impulsivity scores and t map of the according regression analysis. Activation plotted at $p < 0.001$, $k = 10$.

towards CS+ in ADHD and controls. In contrast to our third hypothesis, subject acquired a comparable fear-related SCR in IF. Furthermore, we found no significant functional differences for the contrasts of interest (CS+>CS-) in the uninstructed fear paradigm. In IF, unlike healthy controls, ADHD patients failed to differentially recruit the caudal part of the dACC in response to the threat-predicting stimulus (CS+) compared to the control stimulus (CS-). The amygdala showed an inverse activation pattern in ADHD patients, reacting more strongly towards CS- than towards CS+ stimuli, whereas control subjects showed similar activation towards both conditions. The IF ACC findings are in line with the second and third hypotheses of dysfunctional high-level processing of fear in ADHD, whereas in UF, patients and controls were comparable.

Our findings regarding the dACC are in line with prior research. The dACC is the most consistently reported region to be dysfunctional in ADHD (Bush, 2011), with a crucial physiological role in attention, cognition and emotion processing (Bush *et al.* 2000; Dolan, 2002; Milad *et al.* 2007; Pessoa, 2008; Vogt, 2009). Volumetric differences in the ACC have been reported in children, adolescents (Semrud-Clikeman

et al. 2006; Shaw *et al.* 2006) and adults with ADHD (Seidman *et al.* 2006, 2011; Makris *et al.* 2007). ADHD patients further showed deficits in ACC activation during attention and executive function tasks (Bush *et al.* 1999; Rubia *et al.* 1999; Ernst *et al.* 2003; Konrad *et al.* 2006; Pliszka *et al.* 2006).

In healthy subjects, both IF and UF tasks recruit the dACC. However, the caudal and rostral parts of the dACC and dmPFC seem to be functionally different. The rostral parts are thought to be implicated in the conscious appraisal of fear whereas the caudal areas are associated with sympathetic and (because of its vicinity to the pre-supplementary motor area, pre-SMA) also motor-related fear expression (Meyer *et al.* 1973; Critchley *et al.* 2003; Gentil *et al.* 2009; Mechias *et al.* 2010; Raczka *et al.* 2010). We found a trend for quicker extinction of SCRs in patients that might be related to the weaker activation in this group of the caudal dACC. Together, this might indicate that the sympathetic path is affected in ADHD patients. Because motor fear expression in the narrower sense has not been assessed, we cannot draw definite conclusions. Given that behavioural experimental research shows increased risk-taking behaviour reactions in ADHD patients, our ACC results

may indicate an impaired fear expression network in ADHD; for example, patients with ADHD may be capable of perceiving fear but are impaired to show an adequate reaction. This is not necessarily in contrast to earlier studies (e.g. Corbett & Glidden, 2000) reporting impaired fear recognition for facial fear cues.

The finding of increased amygdala response to CS– stimuli in the ADHD group resembles earlier findings with the same paradigm in patients with panic disorder (Tuescher *et al.* 2011). Furthermore, Plessen *et al.* (2006) reported altered amygdala shape in ADHD. Volumetric differences have not been shown (Plessen *et al.* 2006; Perlov *et al.* 2008). Of note, Brotman *et al.* (2010) found increased left amygdala responses in childhood ADHD as compared to healthy controls, contrasting fear and emotionally neutral ratings of neutral facial stimuli. Although these results are not directly comparable to our findings because the rating of fear in neutral facial images differs qualitatively from eliciting an instructed fear response by coloured squares, both studies show an increased amygdala response when subjects perceive an emotionally neutral stimulus (neutral faces and CS– respectively). In addition, amygdala hyperactivation to neutral stimuli is only eminent when conscious emotional appraisal is required because consciously rating the emotional content of neutral faces requires conscious appraisal and instructed fear requires conscious appraisal to link CS+ and UCS as well. On the contrary, Posner *et al.* (2011) showed greater amygdala activity towards subliminal presentation of fearful faces in adolescent ADHD patients. As the amygdala is known to be involved in processing fear input and output, our amygdala findings can be interpreted in different ways: (1) in line with the discussion of the ACC results presented earlier, they may hint at impaired expression of fear (output); (2) alternatively, they may be a sign of disturbed saliency detection (input), resulting in deficient appraisal of stimuli as threatening or harmless; (3) a further possible explanation is an increased amygdala response in ADHD as a signal of delay aversion during CS– when no exciting US delivery is to be expected (Plichta *et al.* 2009; Sonuga-Barke *et al.* 2010). In ADHD patients the amygdala might code the aversion towards a no-task/no-risk condition, such as the CS– stimulus, as more aversive because the imminent threat of a US delivery during CS+.

ADHD patients showed higher self-rated anxiety trait and state measures in the UF study. However, subjective anxiety measures had no effect on the results reported in this study. After controlling for effects of state and trait anxiety and co-morbid disorders, the UF study still exhibited no group effect and the ACC and amygdala findings in the IF study remained

comparable. Furthermore, regression analyses of fear activation with inattention and impulsivity scores showed a positive correlation in these areas. These findings support the interpretation of the reported group effects in IF being a result of ADHD traits, rather than of differences in anxiety level or associated co-morbid disorders.

Compared to other often co-morbid disorders with deficits in emotional processing and regulation, our findings in ADHD did not parallel findings of similar studies in ASPD or BPD, thus indicating at least some disease specificity of emotional dysregulation in ADHD. When comparing ASPD (Birbaumer *et al.* 2005) with our ADHD results: (1) in UF, ASPD but not ADHD show altered SCR (i.e. ASPD do not show conditioned SCR), valence ratings and activation in limbic-prefrontal areas; (2) in ASPD (UF), amygdala abnormalities are related to lower activation towards CS+, whereas in ADHD (IF), activation is higher towards CS–; and (3) in ASPD (UF), lower activation in the dACC/dmPFC is bound to the rostral dACC/dmPFC (fear appraisal), but in ADHD (IF) the posterior dACC/dmPFC (fear expression) is dysfunctional.

Regarding BPD, the main finding of a lack of fear habituation reflected by a weaker decrease in SCR and prolonged amygdala activation (Kamphausen *et al.* 2012) to fear cues is clearly different to our ADHD data (compare first hypothesis).

If our findings indeed indicate problems in sustaining fear expression or appraisal in ADHD with regard to instructed fear, they may have therapeutic implications. It may be important for ADHD patients to understand that they have problems adapting behaviourally to threat-predicting stimuli, when threat is only indicated verbally. Although our data do not allow a direct statistical comparison of UF and IF, this study might suggest that ADHD patients have less problems learning adequate fear when they are indirectly exposed to the fear-eliciting stimulus or event (UF). Deficient processing of verbally transmitted fear would affect patients mainly in a social context (Olsson & Phelps 2007), such as responding adequately to a verbal warning, and thus might account for impulsive risk-taking behaviour in ADHD. Transferred to psychotherapy, ADHD patients might particularly benefit from therapeutic approaches where emotion-eliciting events or situations can be experienced directly.

This study has several limitations. Because of the relatively small sample size (although in the normal range for functional imaging studies), our findings warrant replication by further investigations; larger group sizes might also allow conclusions regarding ADHD subtypes. The absence of findings in UF does not necessarily imply that ADHD patients do not

have any problems in UF learning, especially in fear extinction because this was not formally tested. Both studies are comparable in terms of age, gender, IQ and current ADHD symptomatology according to CAARS. Differing results in IF and UF might be partly due to varying effect sizes in the two studies and different stimulus material, timing and length. Further studies should investigate amygdala activation in ADHD in more detail and further disentangle the differential effects of threat and safe cues in particular. As ACC findings have been reported in a variety of ADHD studies, a closer look at the subregions and their different functions might be desirable.

Summary

Although basic fear-learning mechanisms in terms of UF seem to be unaffected in ADHD, the neural response to IF is altered in brain regions centrally involved in emotion regulation. Further investigation is needed to determine whether ADHD patients show difficulties specifically when responding to emotional cues requiring conscious appraisal and how these difficulties apply to behavioural and therapeutic outcomes.

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Declaration of Interest

None.

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