

## HOMOMORPHISMS OF ABELIAN VARIETIES OVER GEOMETRIC FIELDS OF FINITE CHARACTERISTIC

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*Abstract* We study analogues of Tate’s conjecture on homomorphisms for abelian varieties when the ground field is finitely generated over an algebraic closure of a finite field. Our results cover the case of abelian varieties without non-trivial endomorphisms.

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### 1. Introduction

Let  $K$  be a field,  $\bar{K}$  its algebraic closure,  $\bar{K}_s \subset \bar{K}$  the separable algebraic closure of  $K$ , and  $\text{Gal}(K) = \text{Gal}(\bar{K}_s/K) = \text{Aut}(\bar{K}_s/K)$  the absolute Galois group of  $K$ . Let  $X$  be an abelian variety over  $K$ . Then we write  $\text{End}_K(X)$  for its ring of  $K$ -endomorphisms and put  $\text{End}_K^0(X) := \text{End}_K(X) \otimes \mathbf{Q}$ . We write  $\text{End}(X)$  for the ring  $\text{End}_{\bar{K}}(X)$  of  $\bar{K}$ -endomorphisms of  $X$  and write  $\text{End}^0(X)$  for the corresponding finite-dimensional semisimple  $\mathbf{Q}$ -algebra  $\text{End}(X) \otimes \mathbf{Q}$ . If  $Y$  is an abelian variety over  $K$ , then we write  $\text{Hom}_K(X, Y)$  for the (free) commutative group of  $K$ -homomorphisms from  $X$  to  $Y$ .

If  $n$  is a positive integer that is not divisible by  $\text{char}(K)$ , then we write  $X_n$  for the kernel of multiplication by  $n$  in  $X(\bar{K})$ ; it is well known that  $X_n$  is a free  $\mathbf{Z}/n\mathbf{Z}$ -module of rank  $2\dim(X)$  [8], which is a Galois submodule of  $X(\bar{K}_s)$ . We write  $\bar{\rho}_{n,X}$  for the corresponding (continuous) structure homomorphism

$$\bar{\rho}_{n,X} : \text{Gal}(K) \rightarrow \text{Aut}_{\mathbf{Z}/n\mathbf{Z}}(X_n) \cong \text{GL}(2\dim(X), \mathbf{Z}/n\mathbf{Z}).$$

In particular, if  $n = \ell$  is a prime, then  $X_\ell$  is a  $2\dim(X)$ -dimensional  $\mathbf{F}_\ell$ -vector space provided with

$$\bar{\rho}_{\ell,X} : \text{Gal}(K) \rightarrow \text{Aut}_{\mathbf{F}_\ell}(X_\ell) \cong \text{GL}(2\dim(X), \mathbf{F}_\ell).$$

If  $\ell$  is a prime that is different from  $\text{char}(K)$ , then we write  $T_\ell(X)$  for the  $\mathbf{Z}_\ell$ -Tate module of  $X$  and  $V_\ell(X)$  for the corresponding  $\mathbf{Q}_\ell$ -vector space

$$V_\ell(X) = T_\ell(X) \otimes_{\mathbf{Z}_\ell} \mathbf{Q}_\ell$$

provided with the natural continuous Galois action [11]

$$\rho_{\ell, X} : \text{Gal}(K) \rightarrow \text{Aut}_{\mathbf{Z}_\ell}(T_\ell(X)) \subset \text{Aut}_{\mathbf{Q}_\ell}(V_\ell(X)).$$

Recall [8] that  $T_\ell(X)$  is a free  $\mathbf{Z}_\ell$ -module of rank  $2\dim(X)$  and that  $V_\ell(X)$  is a  $\mathbf{Q}_\ell$ -vector space of dimension  $2\dim(X)$ . Notice that there are canonical isomorphisms of  $\text{Gal}(K)$ -modules

$$X_\ell = T_\ell(X)/\ell T_\ell(X). \tag{0}$$

There are natural algebra injections

$$\text{End}_K(X) \otimes \mathbf{Z}/n \hookrightarrow \text{End}_{\text{Gal}(K)}(X_n), \tag{1}$$

$$\text{End}_K(X) \otimes \mathbf{Z}_\ell \hookrightarrow \text{End}_{\text{Gal}(K)}(T_\ell(X)), \tag{2}$$

$$\text{End}_K(X) \otimes \mathbf{Q}_\ell \hookrightarrow \text{End}_{\text{Gal}(K)}(V_\ell(X)). \tag{3}$$

It is known [13, § 1] that for given  $\ell, K, X, Y$  the map in (2) is bijective if and only if the map in (3) is bijective.

The Tate conjecture on homomorphisms of abelian varieties [13] asserts that if  $K$  is finitely generated over its prime subfield then the last two injections are bijective. This conjecture was proven by Tate himself over finite fields [13], by the author for  $\text{char}(K) > 2$  [14, 15], by Faltings for  $\text{char}(K) = 0$  [4, 5], and by Mori for  $\text{char}(K) = 2$  [7]. The author, Faltings and Mori also proved (in the corresponding characteristics) that the Galois module  $V_\ell(X)$  is semisimple. (In the case of finite fields, the semisimplicity result is due to Weil. See also [19].) Let us state explicitly the following two well-known corollaries of the Tate conjecture. (Here we assume that  $K$  is finitely generated over its prime subfield.)

- (i) **The isogeny theorem.** If for some  $\ell \neq \text{char}(K)$  the  $\text{Gal}(K)$ -modules  $V_\ell(X)$  and  $V_\ell(Y)$  are isomorphic, then  $X$  and  $Y$  are isogenous over  $K$ . (See [13, § 3, Theorem 1(b) and its proof] and [9, Proof of Corollary 1.3 on p. 118].)
- (ii) If  $\text{End}_K(X) = \mathbf{Z}$ , then the  $\text{Gal}(K)$ -module  $V_\ell(X)$  is absolutely simple.

In addition, if  $K$  is finitely generated over its prime subfield and  $\text{char}(K) \neq 2$ , then for all but finitely many primes  $\ell$  the  $\text{Gal}(K)$ -module  $X_\ell$  is semisimple and the injection

$$\text{Hom}_K(X, Y) \otimes \mathbf{Z}/\ell \hookrightarrow \text{Hom}_{\text{Gal}(K)}(X_\ell, Y_\ell)$$

in (1) is bijective ([16, Theorem 1.1], [18, Corollaries 5.4.3 and 5.4.5], [12, Proposition 3.4], [24, Theorem 4.4]). (See [23, Corollary 10.1] for a discussion of the case of finite fields.) It follows immediately that, if  $\text{End}_K(X) = \mathbf{Z}$ , then for all but finitely many primes  $\ell$  the Galois module  $X_\ell$  is absolutely simple. We discuss an analogue of the isogeny theorem with ‘finite coefficients’ in § 2.

Let  $p$  be a prime,  $\mathbf{F}$  a finite field of characteristic  $p$ , and  $\bar{\mathbf{F}}$  an algebraic closure of  $\mathbf{F}$ . The aim of this note is to discuss the situation when the ground field  $L$  is a field of characteristic  $p$  that (strictly) contains  $\bar{\mathbf{F}}$  and is finitely generated over it. We call such a field a *geometric field* of characteristic  $p$ . Geometric fields are precisely the fields of rational functions of irreducible algebraic varieties (of positive dimension) over  $\bar{\mathbf{F}}$ .

Our main results are the following four theorems.

**Theorem 1.1.** *Let  $p > 2$  be a prime,  $L$  a geometric field of characteristic  $p$ , and  $X$  an abelian variety of positive dimension over  $L$ . Suppose that  $\text{End}_L(X) = \mathbf{Z}$ . Then the following hold.*

- (i) *For all primes  $\ell \neq \text{char}(L)$ , the Galois module  $V_\ell(X)$  is absolutely simple.*
- (ii) *For all but finitely many primes  $\ell$ , the Galois module  $X_\ell$  is absolutely simple.*

**Remark 1.2.** When  $\text{End}(X) = \mathbf{Z}$ , the assertion (i) of Theorem 1.1 follows from [17, Corollary 1.4].

**Remark 1.3.** Theorem 1.1 gives a positive answer to a question of Gajda that was asked in connection with [1].

**Theorem 1.4.** *Let  $p > 2$  be a prime,  $L$  a geometric field of characteristic  $p$ , and  $X$  and  $Y$  abelian varieties of positive dimension over  $L$ . Suppose that  $\text{End}_L(X) = \mathbf{Z}$  and that one of the following two conditions holds.*

- (i) *There exists a prime  $\ell$  such that the  $\text{Gal}(L)$ -modules  $V_\ell(X)$  and  $V_\ell(Y)$  are isomorphic.*
- (ii) *The  $\text{Gal}(L)$ -modules  $X_\ell$  and  $Y_\ell$  are isomorphic for infinitely many primes  $\ell$ .*

*Then  $X$  and  $Y$  are isogenous over  $L$ .*

**Remark 1.5.** There are plenty of explicit examples in characteristic  $p > 2$  of abelian varieties  $X$  with  $\text{End}(X) = \mathbf{Z}$  [21, 22].

**Theorem 1.6.** *Let  $p > 2$  be a prime,  $L$  a geometric field of characteristic  $p$ , and  $X$  an abelian variety of positive dimension over  $L$ . Let  $\mathcal{Z}$  be the centre of  $\text{End}_L(X)$ . Then the following hold.*

- (i) *For all primes  $\ell \neq \text{char}(L)$ , the centre  $\mathcal{Z}_{\ell,X}$  of  $\text{End}_{\text{Gal}(L)}(V_\ell(X))$  lies in*

$$\mathcal{Z} \otimes \mathbf{Q}_\ell \subset \text{End}_L(X) \otimes \mathbf{Q}_\ell.$$

- (ii) *For all but finitely many primes  $\ell$ , the centre  $\bar{\mathcal{Z}}_{\ell,X}$  of  $\text{End}_{\text{Gal}(L)}(X_\ell)$  lies in*

$$\mathcal{Z}/\ell \subset \text{End}_L(X) \otimes \mathbf{Z}/\ell.$$

**Remark 1.7.** Clearly, for all  $\ell$ , the commutative  $\mathbf{Q}_\ell$ -algebra  $\mathcal{Z} \otimes \mathbf{Q}_\ell$  coincides with the centre of  $\text{End}_L(X) \otimes \mathbf{Q}_\ell$ . It is also clear that for all but finitely many primes  $\ell$  the commutative  $\mathbf{F}_\ell$ -algebra  $\mathcal{Z}/\ell$  coincides with the centre of  $\text{End}_L(X) \otimes \mathbf{Z}/\ell\mathbf{Z}$ . Notice also that

$$\text{End}_L(X) \otimes \mathbf{Q}_\ell \subset \text{End}_{\text{Gal}(L)}(V_\ell(X)), \quad \text{End}_L(X) \otimes \mathbf{Z}/\ell\mathbf{Z} \subset \text{End}_{\text{Gal}(L)}(X_\ell).$$

This implies that, for all  $\ell \neq \text{char}(L)$ ,

$$\mathcal{Z}_{\ell,X} \cap [\text{End}_L(X) \otimes \mathbf{Q}_\ell] \subset \mathcal{Z} \otimes \mathbf{Q}_\ell,$$

and, for all but finitely many  $\ell$ ,

$$\bar{Z}_{\ell,X} \cap [\text{End}_L(X) \otimes \mathbf{Z}/\ell] \subset Z/\ell.$$

It follows that, in order to prove Theorem 1.6, it suffices to check that, for all  $\ell \neq \text{char}(L)$ ,

$$Z_{\ell,X} \subset \text{End}_L(X) \otimes \mathbf{Q}_\ell,$$

and, for all but finitely many  $\ell$ ,

$$\bar{Z}_{\ell,X} \subset \text{End}_L(X) \otimes \mathbf{Z}/\ell.$$

**Remark 1.8.** Compare Theorem 1.6 with [3, Corollary 4.2.8(ii)].

**Theorem 1.9.** *Let  $p > 2$  be a prime,  $L$  a geometric field of characteristic  $p$ , and  $X$  an abelian variety of positive dimension over  $L$ . Then the following hold.*

- (i) *For all primes  $\ell \neq \text{char}(L)$ , the  $\text{Gal}(L)$ -module  $V_\ell(X)$  is semisimple.*
- (ii) *For all but finitely many primes  $\ell$ , the  $\text{Gal}(L)$ -module  $X_\ell$  is semisimple.*

**Example 1.10** (counterexample). Let  $K$  be a field of characteristic  $p > 2$  that is finitely generated over a finite field  $\mathbf{F}$ , and let  $L = K\bar{\mathbf{F}}$ .

Suppose that  $X$  is a *non-supersingular* abelian variety of positive dimension over  $K$  that is actually defined with all its endomorphisms over  $\mathbf{F}$ . (For example, one may take as  $X$  an *ordinary* elliptic curve over  $\mathbf{F}$ .) Then all the torsion points of  $X$  are defined over  $\bar{\mathbf{F}} \subset L$ . It follows that  $\text{Gal}(L)$  acts trivially on all  $X_n$  and  $V_\ell(X)$ . In particular,

$$\text{End}_{\text{Gal}(L)}(V_\ell(X)) = \text{End}_{\mathbf{Q}_\ell}(V_\ell(X))$$

has  $\mathbf{Q}_\ell$ -dimension  $[2\dim(X)]^2$ . However, the  $\mathbf{Q}$ -dimension of  $\text{End}^0(X)$  is strictly less than  $[2\dim(X)]^2$  [20, Lemma 3.1], and therefore the centralizer of  $\text{Gal}(L)$  in  $\text{End}_{\mathbf{Q}_\ell}(V_\ell(X))$  is strictly bigger than

$$\text{End}^0(X) \otimes_{\mathbf{Q}} \mathbf{Q}_\ell = \text{End}(X) \otimes \mathbf{Q}_\ell = \text{End}_L(X) \otimes \mathbf{Q}_\ell.$$

This implies that the analogue of the Tate conjecture does not hold for such  $X$  over  $L$ .

The paper is organized as follows. In §2, we discuss a variant of the isogeny theorem with finite coefficients. Section 3 contains auxiliary results from representation theory of groups with procyclic quotients. We prove the main results in §4.

## 2. Isogeny theorem with finite coefficients

**Theorem 2.1.** *Let  $K$  be a field that is finitely generated over its prime subfield, and let  $\text{char}(K) \neq 2$ . Let  $X$  and  $Y$  be abelian varieties over  $K$ . Suppose that, for infinitely many primes  $\ell$ , the  $\text{Gal}(K)$ -modules  $X_\ell$  and  $Y_\ell$  are isomorphic. Then  $X$  and  $Y$  are isogenous over  $K$ .*

**Proof.** We may assume that  $\dim(X) > 0$  and  $\dim(Y) > 0$ . Since, for all primes  $\ell \neq \text{char}(K)$ ,

$$2\dim(X) = \dim_{\mathbf{F}_\ell}(X_\ell), \quad 2\dim(Y) = \dim_{\mathbf{F}_\ell}(Y_\ell),$$

we obtained that  $\dim(X) = \dim(Y)$ . Since, for all but finitely many primes  $\ell$ ,

$$\text{Hom}_K(X, Y) \otimes \mathbf{Z}/\ell\mathbf{Z} = \text{Hom}_{\text{Gal}(K)}(X_\ell, Y_\ell),$$

there exist a prime  $\ell \neq \text{char}(K)$  and a  $K$ -homomorphism  $u : X \rightarrow Y$  such that  $u$  induces an isomorphism between  $X_\ell$  and  $Y_\ell$ . In particular,  $\ker(u)$  does not contain points of order  $\ell$  on  $X$ , while the image  $u(X)$  contains all points of order  $\ell$  on  $Y$ . This implies that  $\ker(u)$  has dimension zero while irreducible closed  $u(Y)$  has dimension  $\dim(Y)$ . In other words,  $u : X \rightarrow Y$  is a surjective homomorphism with finite kernel, i.e., is an isogeny.  $\square$

**Remark 2.2.** It would be interesting to get an analogue of Theorem 2.1 in which, say, a number field  $K$  is replaced by its infinite  $\ell$ -cyclotomic extension  $K(\mu_{\ell^\infty})$ . Some important special cases of this analogue are investigated in [6].

### 3. Representation theory

Throughout this section,  $G$  is a profinite group and  $H$  is a closed normal subgroup of  $G$  such that the quotient  $\Gamma = G/H$  is a procyclic group. We call  $G$  a *procyclic extension* of  $H$ .

We write down the group operation in  $G$  (and  $H$ ) multiplicatively and in  $\Gamma$  additively. We write  $\pi : G \rightarrow \Gamma$  for the natural continuous surjective homomorphism from  $G$  to  $\Gamma$ . If  $n$  is a positive integer, then  $n\Gamma$  is the closed subgroup (as the image of compact  $\Gamma$  under  $\Gamma \xrightarrow{n} \Gamma$ ) in  $\Gamma$ , whose index divides  $n$ ; since the index is finite,  $n\Gamma$  is open in  $\Gamma$ . Notice that  $n\Gamma$  is also a procyclic group.

Let us put  $G_n = \pi^{-1}(n\Gamma)$ ; clearly,  $G_n$  is an open normal subgroup in  $G$ , whose index divides  $n$ . In addition, each  $G_n$  contains  $H$ , and the quotient  $G/G_n$  is canonically isomorphic to  $\Gamma/n\Gamma$ , while  $G_n/H \cong n\Gamma$ . In particular,  $H$  is a closed normal subgroup of  $G_n$ , and the quotient  $G_n/H$  is procyclic, i.e.,  $G_n$  is also a procyclic extension of  $H$ . In particular, for each positive integer  $m$ , we may define the open normal subgroup  $(G_n)_m$  of  $G_n$ ; clearly,

$$(G_n)_m = G_{mn},$$

because  $m(n\Gamma) = (mn)\Gamma$ .

**Remark 3.1.** Let  $c : G \rightarrow k^*$  be a continuous group homomorphism (character) of  $G$  with values in the multiplicative group of a locally compact field  $k$  that enjoys the following properties.

- (i)  $c$  kills  $H$ ; i.e.,  $c$  factors through  $G/H = \Gamma$ .
- (ii)  $c^n$  is the trivial character; i.e.,  $c^n$  kills the whole  $G$ .

Then obviously  $c$  kills  $\pi^{-1}(n\Gamma) = G_n$ ; i.e.,  $c$  factors through the finite cyclic quotient  $G/G_n = \Gamma/n\Gamma$ .

Let  $k$  be a locally compact field (e.g.,  $k$  is finite or  $\mathbf{Q}_\ell$ ). Let  $d$  be a positive number and  $V$  a  $d$ -dimensional  $k$ -vector space provided with natural topology induced by the topology on  $k$ . Let

$$\rho : G \rightarrow \text{Aut}_k(V) \cong \text{GL}(d, k)$$

be a continuous semisimple linear representation of  $G$ . As usual,  $\det(V)$  stands for the one-dimensional  $G$ -module  $\Lambda_k^d(V)$ .

**Lemma 3.2.** *Suppose that*

$$\text{End}_{G_d}(V) = k.$$

*Then the  $H$ -module  $V$  is absolutely simple. In particular,*

$$\text{End}_H(V) = k.$$

**Remark 3.3.** Lemma 3.2 asserts that, if  $V$  is an absolutely simple  $G_d$ -module, then it remains absolutely simple, being viewed as a  $H$ -module.

**Proof.** We have

$$k \subset \text{End}_G(V) \subset \text{End}_{G_d}(V) \subset \text{End}_H(V).$$

Since  $\text{End}_{G_d}(V) = k$ , we conclude that  $k = \text{End}_G(V)$ .

By Clifford’s lemma [2, Theorem (49.2)], the  $H$ -module  $V$  is semisimple and the  $G_d$ -module  $V$  is absolutely simple. Let us split  $V$  into a direct sum  $V = \bigoplus_{i=1}^r V_i$  of isotypic  $H$ -modules. Clearly,  $G$  permutes the  $V_i$ ; the simplicity of the  $G$ -module  $V$  implies that  $G$  acts on  $\{V_1, \dots, V_r\}$  transitively. In particular, all the  $V_i$  have the same dimension, and therefore

$$\dim(V_i) = \frac{\dim(V)}{r} = \frac{d}{r};$$

in particular,  $r|d$ . Clearly, the action of  $G$  on  $\{V_1, \dots, V_r\}$  factors through  $G/H$ . Since this action is transitive and  $G/H$  is procyclic, this action factors through finite cyclic  $G/G_r$  and therefore through  $G/G_d$ ; i.e., each  $V_i$  is a  $G_d$ -submodule. Since the  $G_d$ -module  $V$  is (absolutely) simple,  $V = V_i$ . In other words, the  $H$ -module  $V$  is isotypic. Then the centralizer

$$D = \text{End}_H(V)$$

is a simple  $k$ -algebra. Let  $k'$  be the centre of  $D$ : it is an overfield of  $k$ . Clearly,  $V$  becomes a  $k'$ -vector space; in particular,  $k'/k$  is a finite algebraic extension and  $[k' : k]|d$ . On the other hand, since  $H$  is normal in  $G$ ,

$$\rho(g)D\rho(g)^{-1} = D \quad \forall g \in G.$$

Clearly, the centre  $k'$  is also stable under the conjugations by elements of  $\rho(G)$  and  $\{k'\}^G = k$ . This gives us a continuous group homomorphism  $G/H \rightarrow \text{Aut}(k'/k)$  such that  $\{k'\}^{G/H} = k$ . It follows that  $k'/k$  is a finite cyclic Galois extension and that

$$G/H \rightarrow \text{Aut}(k'/k) = \text{Gal}(k'/k)$$

is a surjective homomorphism. Since  $\#(\text{Gal}(k'/k)) = [k' : k]$  divides  $d$ , the surjection  $\text{Gal}(k'/k) \twoheadrightarrow \text{Gal}(k'/k)$  factors through  $G/G_d$ , and therefore

$$k' \subset \text{End}_{G_d}(V);$$

since  $\text{End}_{G_d}(V) = k$ , we conclude that  $k' \subset k$ , and therefore  $k' = k$ . This means that  $D$  is a central simple  $k$ -algebra. Let  $t := \dim_k(D)$ . We need to prove that  $t = 1$ . Suppose that  $t > 1$ , pick a generator in  $\Gamma$ , and denote by  $g$  its preimage in  $G$ . Then the map

$$u \mapsto \rho(g)u\rho(g)^{-1}$$

is an automorphism of  $D$ , whose set of fixed points coincides with  $k$ . By the Skolem–Noether theorem, there exists an element  $z \in D^*$  such that

$$\rho(g)u\rho(g)^{-1} = zuz^{-1} \quad \forall u \in D.$$

Clearly,  $z$  itself is a fixed point of this automorphism, and therefore  $z \in k$ , which implies that the automorphism is the identity map, and therefore its set of fixed points must be the whole  $D$ , which is not the case, because  $t > 1$ . The obtained contradiction proves that  $t = 1$ , i.e.,

$$\text{End}_H(V) = D = k,$$

and we are done. □

**Lemma 3.4.** *Let  $\rho_1 : G \rightarrow \text{Aut}_k(W_1)$  be a continuous linear  $d$ -dimensional representation of  $G$  over  $k$ . Let  $\rho_2 : G \rightarrow \text{Aut}_k(W_2)$  be a linear finite-dimensional continuous representation of  $G$  over  $k$ . Suppose that  $\text{End}_H(W_1) = k$  and the  $H$ -modules  $W_1$  and  $W_2$  are isomorphic. Then there exists a continuous character*

$$\chi : G/H = \Gamma \rightarrow k^*$$

such that the  $G$ -module  $W_2$  is isomorphic to the twist  $W_1(\chi)$ . In particular, the one-dimensional  $G$ -modules  $\det(W_2)$  and  $[\det(W_1)](\chi^d)$  are isomorphic.

**Proof.** It is well known that the vector space  $\text{Hom}_k(W_1, W_2)$  carries the natural structure of a  $G$ -module defined by

$$g : u \mapsto \rho_2(g)u\rho_1(g)^{-1} \quad \forall g \in G, u \in \text{Hom}_k(W_1, W_2).$$

Since  $H$  is normal in  $G$ , the subspace  $\text{Hom}_H(W_1, W_2)$  of  $H$ -invariants is a  $G$ -invariant subspace in  $\text{Hom}_k(W_1, W_2)$ . Our conditions on the  $H$ -module  $W_1$  and  $W_2$  imply that the  $k$ -vector space  $\text{Hom}_H(W_1, W_2)$  is one dimensional (and each of its non-zero elements  $W_1 \rightarrow W_2$  is an isomorphism of  $H$ -modules). Therefore the action of  $G$  on one-dimensional  $\text{Hom}_H(W_1, W_2)$  is defined by a certain continuous character  $\chi : G \rightarrow k^*$ , which obviously kills  $H$ , so we may view  $\chi$  as a continuous character

$$\Gamma = G/H \rightarrow k^*.$$

This means that, if  $u : W_1 \cong W_2$  is an isomorphism of  $H$ -modules, then

$$\rho_2(g)u\rho_1(g)^{-1} = \chi(g)u \quad \forall g \in G.$$

Multiplying this equality from the right by  $\rho_1(g)$ , we obtain that

$$\rho_2(g)u = \chi(g)u\rho_1(g) = u[\chi(g)\rho_1(g)] \quad \forall g \in G,$$

which means that  $u$  is an isomorphism of  $G$ -modules  $W_1(\chi)$  and  $W_2$ . It remains to notice that  $\det(W_1(\chi)) = [\det(W_1)](\chi^d)$ . □

**Corollary 3.5.** *We keep the notation and assumptions of Lemma 3.4. If, for some positive integer  $N$ , the  $G$ -modules  $[\det(W_1)]^{\otimes N}$  and  $[\det(W_2)]^{\otimes N}$  are isomorphic, then the character  $\chi^{Nd}$  is trivial.*

**Theorem 3.6.** *Suppose that the  $G$ -module  $V$  is semisimple. Then there exists a positive integer  $n$  that depends only on  $d$  and such that the centre of  $\text{End}_H(V)$  lies in  $\text{End}_{G_n}(V)$ .*

**Proof.** By a variant of Clifford’s lemma [24, Lemma 3.4], the  $H$ -module  $V$  is semisimple. In particular, the centralizer  $D = \text{End}_H(V)$  is a (finite-dimensional) semisimple  $k$ -algebra. Since  $H$  is normal in  $G$ ,

$$\rho(g)D\rho(g)^{-1} = D \quad \forall g \in G.$$

Let  $Z$  be the centre of  $D$ . Since  $D$  is semisimple,  $Z$  is isomorphic to a direct sum  $\bigoplus_{i=1}^r k_i$  of finitely many overfields  $k_i \supset k$ , where each  $k_i/k$  is a finite algebraic field extension. Clearly,

$$[k_i : k] \leq \dim_k(Z) \leq \dim_k(V) = d, \quad r \leq d,$$

and the  $k$ -algebra  $Z$  has exactly  $r$  minimal idempotents (the identity elements  $e_i$  of the  $k_i$ . Clearly, group  $\text{Aut}_k(Z)$  of  $k$ -linear automorphisms of  $Z$  permutes the  $e_i$ , which gives us the homomorphism from  $\text{Aut}_k(Z)$  to the full symmetric group  $\mathbf{S}_r$ , whose kernel leaves invariant each summand  $k_i$  and therefore sits in the product  $\prod_{i=1}^r \text{Aut}(k_i/k)$ , whose order does not exceed  $\prod_{i=1}^r [k_i : k] \leq d^d$ . It follows that  $\text{Aut}_k(Z)$  is a finite group, whose order does not exceed  $d! \cdot d^d$ . This implies that  $n := (d! \cdot d^d)!$  is divisible by the order of  $\text{Aut}_k(Z)$ .

On the other hand, clearly,

$$\rho(g)Z\rho(g)^{-1} = Z \quad \forall g \in G,$$

because every automorphism of  $D$  respects its centre. This gives us the group homomorphism

$$\phi : G \rightarrow \text{Aut}_k(Z), \quad \phi(g)(z) = \rho(g)z\rho(g)^{-1} \quad \forall z \in Z, g \in G,$$

which kills  $H$ , because

$$Z \subset D = \text{End}_H(V).$$

Clearly,  $\phi$  kills  $G_n$ , and we are done. □

**4. Proofs of main results**

There is a subfield  $K \subset L$  such that  $K$  is finitely generated over  $\mathbf{F} = \mathbf{F}_p$  and the compositum  $K\bar{\mathbf{F}} = L$ , while, given abelian varieties  $X$  and  $Y$ , their group laws and zeros



are defined over  $K$ . We also require that

$$\text{End}_K(X) = \text{End}_L(X), \quad \text{End}_K(Y) = \text{End}_L(Y). \tag{4}$$

Let us put

$$G = \text{Gal}(K), H = \text{Gal}(L), \quad \Gamma = \text{Gal}(L/K).$$

Since  $\bar{\mathbf{F}}/\mathbf{F}_p$  is a Galois extension and  $K\bar{\mathbf{F}} = L$ , the Galois group  $\Gamma = \text{Gal}(L/K)$  is canonically isomorphic to a closed subgroup of  $\text{Gal}(\bar{\mathbf{F}}/\mathbf{F}_p)$ ; since the latter is procyclic,  $\Gamma$  is also procyclic.

Let  $n$  be a positive integer, and let us consider the open normal subgroup  $G_n$  of  $G$ . Since  $G_n$  contains  $H$ , the subfield  $K_n = \bar{K}_S^{G_n}$  of  $G_n$ -invariants is a finite (cyclic) Galois extension of  $K$  that lies in  $L$ . In particular,  $K_n$  is finitely generated over  $\mathbf{F}_p$  and  $\text{Gal}(K_n) = G_n$ . Since  $K \subset K_n \subset L$ , it follows from (4) that

$$\text{End}_{K_n}(X) = \text{End}_L(X), \quad \text{End}_{K_n}(Y) = \text{End}_L(Y). \tag{5}$$

If  $\ell$  is a prime different from  $p$ , we write

$$\bar{\chi}_\ell : \text{Gal}(K) \rightarrow (\mathbf{Z}/\ell\mathbf{Z})^* = \mathbf{F}_\ell^*, \quad \chi_\ell : \text{Gal}(K) \rightarrow \mathbf{Z}_\ell^* \subset \mathbf{Q}_\ell^*$$

for the cyclotomic characters that define the Galois action on all  $\ell$ th roots of unity (respectively, all  $\ell$ -power roots of unity). Clearly,

$$\bar{\chi}_\ell = \chi_\ell \pmod{\ell}. \tag{6}$$

Since  $K_n$  is finitely generated over  $\mathbf{F}_p$ , the cyclotomic characters enjoy the following properties.

- (i) The character  $\chi_\ell$  has infinite multiplicative order.
- (ii) If  $N$  is a positive integer, then, for all but finitely many primes  $\ell$ , the character  $\bar{\chi}_\ell^N$  is non-trivial.

Since every  $K_n$  is finitely generated over  $\mathbf{F}_p$ , the abelian variety  $X$  over  $K_n$  enjoys the following properties.

- (a) For all primes  $\ell \neq \text{char}(K)$ , the  $G_n$ -module  $V_\ell(X)$  is semisimple, and

$$\text{End}_{G_n}(V_\ell(X)) = \text{End}_{K_n}(X) \otimes \mathbf{Q}_\ell = \text{End}_L(X) \otimes \mathbf{Q}_\ell.$$

In particular, if  $\text{End}_L(X) = \mathbf{Z}$ , then  $G_n$ -module  $V_\ell(X)$  is absolutely simple.

- (b) For all but finitely many primes  $\ell$ , the  $G_n$ -module  $X_\ell$  is semisimple, and

$$\text{End}_{G_n}(X_\ell) = \text{End}_{K_n}(X) \otimes \mathbf{Z}/\ell = \text{End}_L(X) \otimes \mathbf{Z}/\ell.$$

In particular, if  $\text{End}_L(X) = \mathbf{Z}$ , then  $G_n$ -module  $X_\ell$  is absolutely simple for all but finitely many primes  $\ell$ .

**Proof of Theorem 1.1.** Let  $d = \dim(X)$ . Let us consider the open normal subgroup  $G_{2d}$  of  $G$ .

Since  $\text{End}_L(X) = \mathbf{Z}$ , (a) tells us that the  $G_{2d}$ -module  $V_\ell(X)$  is absolutely simple for each  $\ell \neq p$ ; in particular,

$$\mathbf{Q}_\ell = \text{End}_{G_{2d}}(V_\ell(X)) = \text{End}_G(V_\ell(X)).$$

On the other hand, (b) tells us that, for all but finitely many  $\ell$ , the  $G_{2d}$ -module  $X_\ell$  is absolutely simple; in particular,

$$\mathbf{F}_\ell = \text{End}_{G_{2d}}(X_\ell) = \text{End}_G(X_\ell).$$

Now, in order to finish the proof of Theorem 1.1, it suffices to apply Lemma 3.2 in the following situations (taking into account that  $2d = \dim_{\mathbf{Q}_\ell}(V_\ell(X)) = \dim_{\mathbf{F}_\ell}(X_\ell)$ ).

(i)  $k = \mathbf{Q}_\ell$ ,  $V = V_\ell(X)$ .

(ii)  $k = \mathbf{F}_\ell$ ,  $V = X_\ell$ . □

**Proof of Theorem 1.4.** Clearly,  $d := \dim(X) = \dim(Y)$ . It is well known that the existence of Galois-equivariant nondegenerate alternating bilinear (Weil–Riemann) forms on Tate modules [10, § 1.3], [24, Proof of Proposition 2.2] implies that  $\det(V_\ell(X))$  and  $\det(V_\ell(Y))$  are one-dimensional  $G$ -modules defined by the character  $\chi_\ell^d$ . Now, applying Lemma 3.4, we conclude that the  $G$ -module  $V_\ell(Y)$  is isomorphic to the twist  $V_\ell(X)(\chi)$  for a certain continuous character  $\chi : G/H = \Gamma \rightarrow \mathbf{Q}_\ell^*$ . It follows from the corollary to Lemma 3.4 that  $\chi^{2d}$  is trivial. This implies that  $\chi$  kills  $G_{2d}$ , and therefore the  $G_{2d}$ -modules  $V_\ell(X)$  and  $V_\ell(Y)$  are isomorphic. Now, the isogeny theorem over  $K_{2d}$  implies that  $X$  and  $Y$  are isogenous over  $K_{2d}$ , and therefore are also isogenous over  $L$ . This proves (i).

Similar arguments work in case (ii). Clearly,  $d := \dim(X) = \dim(Y)$ , and the structure of  $\text{Gal}(K)$ -modules on the rank-1 free  $\mathbf{Z}_\ell$ -modules  $\Lambda_{\mathbf{Z}_\ell}^{2d} T_\ell(X)$  and  $\Lambda_{\mathbf{Z}_\ell}^{2d} T_\ell(Y)$  is defined by  $\chi_\ell^d$ , because

$$\Lambda_{\mathbf{Z}_\ell}^{2d} T_\ell(X) \subset \Lambda_{\mathbf{Q}_\ell}^{2d} V_\ell(X) = \det(V_\ell(X)), \quad \Lambda_{\mathbf{Z}_\ell}^{2d} T_\ell(Y) \subset \Lambda_{\mathbf{Q}_\ell}^{2d} V_\ell(Y) = \det(V_\ell(Y)).$$

It follows from (0) that

$$\det(X_\ell) = \Lambda_{\mathbf{Z}_\ell}^{2d} X_\ell = \Lambda_{\mathbf{Z}_\ell}^{2d} (T_\ell(X)/\ell) = [\Lambda_{\mathbf{Z}_\ell}^{2d} (T_\ell(X))/\ell],$$

and therefore the structure of the Galois module on  $\det(X_\ell)$  is defined by the character  $\chi_\ell^d \bmod \ell = \bar{\chi}_\ell^d$ . By the same token, the structure of the Galois module on the one-dimensional  $\det(Y_\ell)$  is also defined by  $\bar{\chi}_\ell^d$ . Now, applying Lemma 3.4, we conclude that the  $G$ -module  $Y_\ell$  is isomorphic to the twist  $Y_\ell(\bar{\chi})$  for a certain continuous character  $\bar{\chi} : G/H = \Gamma \rightarrow \mathbf{F}_\ell^*$ . It follows from the corollary to Lemma 3.4 that  $\bar{\chi}^{2d}$  is trivial. As above, this implies that  $\bar{\chi}$  kills  $G_{2d}$ , and therefore the  $G_{2d}$ -modules  $X_\ell$  and  $Y_\ell$  are isomorphic for infinitely many  $\ell$ . Now, Theorem 2.1 implies that  $X$  and  $Y$  are isogenous over  $K_{2d}$ , and therefore over  $L$ . This proves (ii). □

**Proof of Theorem 1.6.** As above,  $G = \text{Gal}(K)$ ,  $H = \text{Gal}(L)$ .

(i) Let us put  $k = \mathbf{Q}_\ell$ ,  $V = V_\ell(X)$  and apply Theorem 3.6. We obtain that there exists a positive integer  $n$  such that the centre of  $\text{End}_{\text{Gal}(L)}(V_\ell(X))$  lies in  $\text{End}_{G_n}(V_\ell(X)) \otimes \mathbf{Q}_\ell$ . By (a),

$$\text{End}_{G_n}(V_\ell(X)) = \text{End}_{K_n}(X) \otimes \mathbf{Q}_\ell = \text{End}_L(X) \otimes \mathbf{Q}_\ell,$$

and we are done.

(ii) Let us put  $k = \mathbf{F}_\ell$ ,  $V = X_\ell$ , and apply Theorem 3.6. We obtain that there exists a universal positive integer  $n$  that depends only on  $2\dim(X)$  such that, for all but finitely many primes  $\ell$ , the centre of  $\text{End}_{\text{Gal}(L)}(X_\ell)$  lies in  $\text{End}_{G_n}(X_\ell)$ . By (b),

$$\text{End}_{G_n}(X_\ell) = \text{End}_{K_n}(X) \otimes \mathbf{Z}/\ell = \text{End}_L(X) \otimes \mathbf{Z}/\ell,$$

and we are done, taking into account Remark 1.7.  $\square$

**Proof of Theorem 1.9.** Recall that  $H = \text{Gal}(L)$  is a normal subgroup in  $G = \text{Gal}(K)$ . By the variant of Clifford's lemma [24, Lemma 3.4], the semisimplicity of the  $\text{Gal}(K)$ -modules  $V_\ell(X)$  and  $X_\ell$  implies that they are semisimple  $\text{Gal}(L)$ -modules.  $\square$

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