

## CYCLIC COHOMOLOGY, QUANTUM GROUP SYMMETRIES AND THE LOCAL INDEX FORMULA FOR $SU_q(2)$

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*Abstract* We analyse the non-commutative space underlying the quantum group  $SU_q(2)$  from the spectral point of view, which is the basis of non-commutative geometry, and show how the general theory developed in our joint work with Moscovici applies to the specific spectral triple defined by Chakraborty and Pal. This provides the pseudo-differential calculus, the Wodzicki-type residue, and the local cyclic co-cycle giving the index formula. The co-chain whose co-boundary is the difference between the original Chern character and the local one is given by the remainders in the rational approximation of the logarithmic derivative of the Dedekind eta function. This specific example allows us to illustrate the general notion of locality in non-commutative geometry. The formulae computing the residue are ‘local’. Locality by stripping all the expressions from irrelevant details makes them computable. The key feature of this spectral triple is its equivariance, i.e. the  $SU_q(2)$ -symmetry. We shall explain how this naturally leads to the general concept of invariant cyclic cohomology in the framework of quantum group symmetries.

*Keywords:* cyclic cohomology; quantum group symmetries; local index formula

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### 1. Introduction

In non-commutative (NC) geometry, a geometric space is described from a spectral point of view, as a triple  $(\mathcal{A}, \mathcal{H}, D)$  consisting of a  $*$ -algebra  $\mathcal{A}$  represented in a Hilbert space  $\mathcal{H}$  together with an unbounded self-adjoint operator  $D$ , with compact resolvent, which interacts with the algebra in a bounded fashion. This spectral data embodies both the metric and the differential structure of the geometric space.

An essential ingredient of the general theory is the Chern character in K-homology, which, together with cyclic cohomology and the spectral sequence relating it to Hochschild cohomology, were defined in 1981 (cf. [5–8]). The essence of the theory is to allow for computations of differential geometric nature in the non-commutative framework.

While basic examples, such as the non-commutative tori, were analysed as early as 1980 (cf. [4]), the case of the underlying NC-spaces to quantum groups has been left aside until

recently, mainly because of the ‘drop of dimension’ that occurs when the deformation parameter  $q$  affects non-classical values  $q \neq 1$ . Thus, for instance, the Hochschild dimension of  $SU_q(2)$  drops from the classical value  $d = 3$  to  $d = 1$  and these NC-spaces seem at first rather esoteric.

A very interesting spectral triple for  $SU_q(2)$ ,  $q \neq 1$ , has been proposed in [3]. Thus the algebra  $\mathcal{A}$  is the algebra of functions on  $SU_q(2)$  and the representation in  $\mathcal{H}$  is the co-regular representation of  $SU_q(2)$ . The operator  $D$  is very simple, and is invariant under the action of the quantum group  $SU_q(2)$ . (The ansatz proposed in a remark at the end of [12] provides the right formula for  $|D|$ , but not for the sign of  $D$ , as pointed out in [17].)

Our purpose in this paper is to show that the general theory developed by Moscovici and the author (cf. [13]) applies perfectly to the above spectral triple.

The power of the general theory comes from general theorems such as the local computation of the analogue of Pontrjagin classes, i.e. of the components of the cyclic co-cycle which is the Chern character of the K-homology class of  $D$  and which make sense in general. This result allows, using the infinitesimal calculus, to go from local to global in the general framework of spectral triples  $(\mathcal{A}, \mathcal{H}, D)$ . The notion of locality, which is straightforward for classical spaces, is more elaborate in the non-commutative situation and relies essentially on the non-commutative integral, which is the Dixmier trace in the simplest case and the analogue of the Wodzicki residue in general. Its validity requires the discreteness of the dimension spectrum, a subset of  $\mathbb{C}$  that is an elaboration of the classical notion of dimension. At an intuitive level, this subset is the set of ‘dimensions’, possibly complex, in which the NC-space underlying the spectral triple manifests itself non-trivially. At the technical level, it is the set of singularities of functions

$$\zeta_b(z) = \text{Trace}(b|D|^{-z}), \quad \text{Re } z > p, \quad b \in \mathcal{B}, \quad (1.1)$$

where  $b \in \mathcal{B}$  varies in a suitable algebra canonically associated to the triple and allowing to develop the pseudo-differential calculus.

Our first result is that, in the above case of  $SU_q(2)$ , the dimension spectrum is simple and equal to  $\{1, 2, 3\} \subset \mathbb{C}$ . Simplicity of the dimension spectrum means that the singularities of the functions (1.1) are at most simple poles. It then follows from the general results of [13] that the equality

$$\oint P = \text{Res}_{z=0} \text{Trace}(P|D|^{-z}) \quad (1.2)$$

defines a trace on the algebra generated by  $\mathcal{A}$ ,  $[D, \mathcal{A}]$  and  $|D|^z$ , where  $z \in \mathbb{C}$ .

Our second result is the explicit computation of this functional in the above case of  $SU_q(2)$ . In doing so, we shall also determine the analogue of the co-sphere bundle in that example and find an interesting space  $S_q^*$ . This space is endowed with a one-parameter group  $\gamma_t$  of automorphisms playing the role of the geodesic flow, and is intimately related to the product  $D_{q+}^2 \times D_{q-}^2$ , of two NC 2-discs, while the co-product gives its relation to  $SU_q(2)$ . The formulae computing the residue will be ‘local’ and very simple; locality by stripping all the expressions from irrelevant details makes them computable.

Our third result, which is really the main point of the paper, is the explicit formula for the local index co-cycle, which, owing to the metric dimension 3, is *a priori* given by the following co-cycle,

$$\begin{aligned} \varphi_1(a^0, a^1) &= \int a^0[D, a^1]|D|^{-1} \\ &\quad - \frac{1}{4} \int a^0 \nabla([D, a^1])|D|^{-3} + \frac{1}{8} \int a^0 \nabla^2([D, a^1])|D|^{-5} \end{aligned} \tag{1.3}$$

and

$$\varphi_3(a^0, a^1, a^2, a^3) = \frac{1}{12} \int a^0[D, a^1][D, a^2][D, a^3]|D|^{-3}, \tag{1.4}$$

where  $\nabla(T) = [D^2, T] \forall T$  operator in  $\mathcal{H}$ . We shall begin by working out the degenerate case  $q = 0$  with a luxury of details, mainly to show that the numerical coefficients involved in the above formula are in fact unique in order to get a (non-trivial) co-cycle. The co-boundary involved in the formula (Theorem 4.1) will then be conceptually explained (in § 5) and the specific values  $\zeta(0) = -\frac{1}{2}$  and  $\zeta(-1) = -\frac{1}{12}$  of the Riemann Zeta function will account for the numerical coefficients encountered in the co-boundary.

We shall then move on to the general case  $q \in ]0, 1[$  and construct the pseudo-differential calculus on  $SU_q(2)$  following the general theory of [13]. We shall determine the algebra of complete symbols by computing the quotient by smoothing operators. This will give the co-sphere bundle  $S_q^*$  of  $SU_q(2)$  already mentioned above. The analogue of the geodesic flow will give a one-parameter group of automorphisms  $\gamma_t$  of  $C^\infty(S_q^*)$ . We shall also construct the restriction morphism  $r$  to the product of two non-commutative 2-discs,

$$r : C^\infty(S_q^*) \rightarrow C^\infty(D_{q+}^2 \times D_{q-}^2). \tag{1.5}$$

We shall then show that the dimension spectrum of  $SU_q(2)$  in the above spectral sense is  $\{1, 2, 3\}$  and compute the residues in terms of the symbol  $\rho(b) \in C^\infty(S_q^*)$  of the operator  $b$  of order 0. If one lets  $\rho(b)^0$  be the component of degree 0 for the geodesic flow  $\gamma_t$ , the formulae for the residues are

$$\begin{aligned} \int b|D|^{-3} &= (\tau_1 \otimes \tau_1)(r\rho(b)^0), \\ \int b|D|^{-2} &= (\tau_1 \otimes \tau_0 + \tau_0 \otimes \tau_1)(r\rho(b)^0), \\ \int b|D|^{-1} &= (\tau_0 \otimes \tau_0)(r\rho(b)^0), \end{aligned}$$

where  $r$  is the above restriction map to  $D_{q+}^2 \times D_{q-}^2$ . The algebras  $C^\infty(D_{q\pm}^2)$  are Toeplitz algebras and as such are extensions of the form

$$0 \rightarrow \mathcal{S} \rightarrow C^\infty(D_{q\pm}^2) \xrightarrow{\sigma} C^\infty(S^1) \rightarrow 0, \tag{1.6}$$

where the ideal  $\mathcal{S}$  is isomorphic to the algebra of matrices of rapid decay. The functional  $\tau_1$  is the trace obtained by integrating  $\sigma(x)$  on  $S^1$ , while  $\tau_0$  is a regularized form of the trace

on the ideal  $\mathcal{S}$ . Due to the need of regularization,  $\tau_0$  is not a trace but its Hochschild co-boundary (which measures the failure of the trace property) is easily computed in terms of the canonical morphism  $\sigma$ .

A similar long exact sequence, and pair of functionals  $\tau_j$ , make sense for  $\mathcal{A} = C^\infty(SU_q(2))$ . They are invariant under the one-parameter group of automorphisms generated by the derivation  $\partial$ , which rotates the canonical generators in opposite ways. Using this derivation, together with the second derivative of  $\sigma(x)$ , to define the differential, we then show how to construct a one-dimensional cycle (in the sense of [6, 7]) whose character is extremely simple to compute. This shows how to bypass the shortage of traces on  $\mathcal{A} = C^\infty(SU_q(2))$  to obtain a significant calculus.

Our main result (Theorem 8.2) is that the local formula for the Chern character of the above spectral triple gives exactly the above cycle, thus completing the original computation. Another quite remarkable point is that the co-chain whose co-boundary is the difference between the original Chern character and the local one is given by the remainders in the rational approximation of the logarithmic derivative of the Dedekind eta function. The computation of this non-local co-chain is very involved.

One fundamental property of the above spectral triple is its equivariance (see [3]) under the action of the quantum group  $SU_q(2)$ . In the last section, we shall use this example to obtain and explain in general a new concept of quantum group invariance in cyclic cohomology.

## 2. Operator theoretic local index formula

Let  $(\mathcal{A}, \mathcal{H}, D)$  be a spectral triple. The Fredholm index of the operator  $D$  determines (in the odd case) an additive map  $K_1(\mathcal{A}) \rightarrow \mathbb{Z}$  given by the equality

$$\varphi([u]) = \text{Index}(PuP), \quad u \in GL_1(\mathcal{A}), \quad (2.1)$$

where  $P$  is the projector  $P = \frac{1}{2}(1 + F)$ ,  $F = \text{Sign}(D)$ .

This map is computed by the pairing of  $K_1(\mathcal{A})$  with the following cyclic co-cycle,

$$\tau(a^0, \dots, a^n) = \text{Trace}(a^0[F, a^1] \cdots [F, a^n]) \quad \forall a^j \in \mathcal{A}, \quad (2.2)$$

where  $F = \text{Sign} D$  and we assume that the dimension  $p$  of our space is finite, which means that the characteristic values  $\mu_k$  of  $(D + i)^{-1}$  decay like  $k^{-1/p}$ , also  $n \geq p$  is an odd integer. There are similar formulae involving the grading  $\gamma$  in the even case.

The cyclic cohomology  $HC^n(\mathcal{A})$  is defined as the cohomology of the complex of cyclic co-chains, i.e. those satisfying

$$\psi(a^1, \dots, a^n, a^0) = (-1)^n \psi(a^0, \dots, a^n) \quad \forall a^j \in \mathcal{A}, \quad (2.3)$$

under the co-boundary operation  $b$  given by

$$(b\psi)(a^0, \dots, a^{n+1}) = \sum_0^n (-1)^j \psi(a^0, \dots, a^j a^{j+1}, \dots, a^{n+1}) \\ + (-1)^{n+1} \psi(a^{n+1} a^0, \dots, a^n) \quad \forall a^j \in \mathcal{A}. \quad (2.4)$$

Equivalently,  $HC^n(\mathcal{A})$  can be described in terms of the second filtration of the  $(b, B)$  bicomplex of arbitrary (non-cyclic) co-chains on  $\mathcal{A}$ , where  $B : C^m \rightarrow C^{m-1}$  is given by

$$\left. \begin{aligned} (B_0\varphi)(a^0, \dots, a^{m-1}) &= \varphi(1, a^0, \dots, a^{m-1}) - (-1)^m \varphi(a^0, \dots, a^{m-1}, 1), \\ B &= AB_0, \\ (A\psi)(a^0, \dots, a^{m-1}) &= \sum (-1)^{(m-1)j} \psi(a^j, \dots, a^{j-1}). \end{aligned} \right\} \quad (2.5)$$

To an  $n$ -dimensional cyclic co-cycle  $\psi$ , one associates the  $(b, B)$  co-cycle  $\varphi \in Z^p(F^q C)$ ,  $n = p - 2q$  given by

$$(-1)^{[n/2]} (n!)^{-1} \psi = \varphi_{p,q}, \quad (2.6)$$

where  $\varphi_{p,q}$  is the only non-zero component of  $\varphi$ .

Given a spectral triple  $(\mathcal{A}, \mathcal{H}, D)$ , with  $D^{-1} \in \mathcal{L}^{(p,\infty)}$ , the precise normalization for its Chern character in cyclic cohomology is obtained from the following cyclic co-cycle  $\tau_n$ ,  $n \geq p$ ,  $n$  odd,

$$\tau_n(a^0, \dots, a^n) = \lambda_n \text{Trace}'(a^0[F, a^1] \cdots [F, a^n]) \quad \forall a^j \in \mathcal{A}, \quad (2.7)$$

where  $F = \text{Sign } D$ ,  $\lambda_n = \sqrt{2i}(-1)^{n(n-1)/2} \Gamma(\frac{1}{2}n + 1)$  and

$$\text{Trace}'(T) = \frac{1}{2} \text{Trace}(F(FT + TF)). \quad (2.8)$$

If one wants to regard the co-cycle  $\tau_n$  of (2.7) as a co-chain of the  $(b, B)$  bicomplex, one takes (2.6) into account and, instead of  $\lambda_n$ , uses the normalization constant  $\mu_n = (-1)^{[n/2]} (n!)^{-1} \lambda_n = \sqrt{2i}(\Gamma(\frac{1}{2}n + 1)/n!)$ .

It is difficult to compute the co-cycle  $\tau_n$  in general because the formula (2.7) involves the ordinary trace instead of the local trace  $f$ , and it is crucial to obtain a local form of the above co-cycle.

In [11], we obtained the following general formula for the Hochschild cohomology class of  $\tau_n$  in terms of the Dixmier trace:

$$\varphi_n(a^0, \dots, a^n) = \lambda_n \text{Trace}_\omega(a^0[D, a^1] \cdots [D, a^n]|D|^{-n}) \quad \forall a^j \in \mathcal{A}. \quad (2.9)$$

The problem of finding a local formula for the *cyclic cohomology* Chern character, i.e. for the class of  $\tau_n$ , is solved by a general formula [13], which is expressed in terms of the  $(b, B)$  bicomplex and which we now explain.

Let us make the following regularity hypothesis on  $(\mathcal{A}, \mathcal{H}, D)$ ,

$$a, [D, a] \in \cap \text{Dom } \delta^k \quad \forall a \in \mathcal{A}, \quad (2.10)$$

where  $\delta$  is the derivation  $\delta(T) = [|D|, T]$  for any operator  $T$ .

We let  $\mathcal{B}$  denote the algebra generated by  $\delta^k(a)$ ,  $\delta^k([D, a])$ . The usual notion of *dimension* of a space is replaced by the *dimension spectrum*, which is a subset of  $\mathbb{C}$ . The precise definition of the dimension spectrum is the subset  $\Sigma \subset \mathbb{C}$  of singularities of the analytic functions

$$\zeta_b(z) = \text{Trace}(b|D|^{-z}), \quad \text{Re } z > p, \quad b \in \mathcal{B}. \quad (2.11)$$

Note that  $D$  may have a non-trivial kernel, so that  $|D|^{-s}$  is ill defined there. However, the kernel of  $D$  is finite dimensional and the poles and residues of the above function are independent of the arbitrary choice of a non-zero positive value  $|D| = \varepsilon$  on this kernel. The dimension spectrum of a manifold  $M$  consists of relative integers less than  $n = \dim M$ ; it is simple. Multiplicities appear for singular manifolds. Cantor sets provide examples of complex points  $z \notin \mathbb{R}$  in the dimension spectrum.

We assume that  $\Sigma$  is discrete and simple, i.e. that  $\zeta_b$  can be extended to  $\mathbb{C}/\Sigma$  with simple poles in  $\Sigma$ . In fact, the hypothesis only matters in a neighbourhood of  $\{z, \operatorname{Re}(z) \geq 0\}$ .

Let  $(\mathcal{A}, \mathcal{H}, D)$  be a spectral triple satisfying the hypothesis (2.10) and (2.11).

We shall use the following notation:

$$\nabla(a) = [D^2, a], \quad a^{(k)} = \nabla^k(a) \quad \forall a \text{ operator in } \mathcal{H}.$$

The local index theorem is the following (see [13]).

**Theorem 2.1.**

- (1) *The equality*

$$\oint P = \operatorname{Res}_{z=0} \operatorname{Trace}(P|D|^{-z})$$

defines a trace on the algebra generated by  $\mathcal{A}$ ,  $[D, \mathcal{A}]$  and  $|D|^z$ , where  $z \in \mathbb{C}$ .

- (2) *There is only a finite number of non-zero terms in the following formula that defines the odd components  $(\varphi_n)_{n=1,3,\dots}$  of a co-cycle in the bicomplex  $(b, B)$  of  $\mathcal{A}$ ,*

$$\varphi_n(a^0, \dots, a^n) = \sum_k c_{n,k} \oint a^0 [D, a^1]^{(k_1)} \dots [D, a^n]^{(k_n)} |D|^{-n-2|k|} \quad \forall a^j \in \mathcal{A},$$

where  $k$  is a multi-index,  $|k| = k_1 + \dots + k_n$ ,

$$c_{n,k} = (-1)^{|k|} \sqrt{2i} (k_1! \dots k_n!)^{-1} ((k_1 + 1) \dots (k_1 + k_2 + \dots + k_n + n))^{-1} \Gamma(|k| + \frac{1}{2}n).$$

- (3) *The pairing of the cyclic cohomology class  $(\varphi_n) \in HC^*(\mathcal{A})$  with  $K_1(\mathcal{A})$  gives the Fredholm index of  $D$  with coefficients in  $K_1(\mathcal{A})$ .*

For the normalization of the pairing between  $HC^*$  and  $K(\mathcal{A})$ , see [11]. In the even case, i.e. when  $\mathcal{H}$  is  $\frac{1}{2}\mathbb{Z}$  graded by  $\gamma$ ,

$$\gamma = \gamma^*, \quad \gamma^2 = 1, \quad \gamma a = a\gamma \quad \forall a \in \mathcal{A}, \quad \gamma D = -D\gamma,$$

there is an analogous formula for a co-cycle  $(\varphi_n)$ ,  $n$  even, which gives the Fredholm index of  $D$  with coefficients in  $K_0$ . However,  $\varphi_0$  is not expressed in terms of the residue  $\oint$  because the character can be non-trivial for a finite-dimensional  $\mathcal{H}$ , in which case all residues vanish.

To give some concreteness to this general result, we shall undertake the computation in an example, that of the quantum group  $SU_q(2)$ . Its original interest is that it lies rather far from ordinary manifolds and is thus a good test case for the general theory.

**3. Dimension spectrum of  $SU_q(2)$ : case  $q = 0$**

Let  $q$  be a real number  $0 \leq q < 1$ . We start with the presentation of the algebra of coordinates on the quantum group  $SU_q(2)$  in the form

$$\left. \begin{aligned} \alpha^* \alpha + \beta^* \beta &= 1, & \alpha \alpha^* + q^2 \beta \beta^* &= 1, \\ \alpha \beta &= q \beta \alpha, & \alpha \beta^* &= q \beta^* \alpha, & \beta \beta^* &= \beta^* \beta. \end{aligned} \right\} \tag{3.1}$$

Let us recall the notation for the standard representation of that algebra. One lets  $\mathcal{H}$  be the Hilbert space with orthonormal basis  $e_{ij}^{(n)}$ , where  $n \in \frac{1}{2}\mathbb{N}$  varies among half-integers while  $i, j \in \{-n, -n + 1, \dots, n\}$ .

Thus the first elements are

$$e_{00}^{(0)}, \quad e_{ij}^{(1/2)}, \quad i, j \in \{-\frac{1}{2}, \frac{1}{2}\}, \dots$$

The following formulae define a unitary representation in  $\mathcal{H}$ ,

$$\left. \begin{aligned} \alpha e_{ij}^{(n)} &= a_+(n, i, j) e_{i-1/2, j-1/2}^{(n+1/2)} + a_-(n, i, j) e_{i-1/2, j-1/2}^{(n-1/2)}, \\ \beta e_{ij}^{(n)} &= b_+(n, i, j) e_{i+1/2, j-1/2}^{(n+1/2)} + b_-(n, i, j) e_{i+1/2, j-1/2}^{(n-1/2)}, \end{aligned} \right\} \tag{3.2}$$

where the explicit form of  $a_{\pm}$  and  $b_{\pm}$  is

$$\left. \begin{aligned} a_+(n, i, j) &= q^{2n+i+j+1} \frac{(1 - q^{2n-2j+2})^{1/2} (1 - q^{2n-2i+2})^{1/2}}{(1 - q^{4n+2})^{1/2} (1 - q^{4n+4})^{1/2}}, \\ a_-(n, i, j) &= \frac{(1 - q^{2n+2j})^{1/2} (1 - q^{2n+2i})^{1/2}}{(1 - q^{4n})^{1/2} (1 - q^{4n+2})^{1/2}} \end{aligned} \right\} \tag{3.3}$$

and

$$\left. \begin{aligned} b_+(n, i, j) &= -q^{n+j} \frac{(1 - q^{2n-2j+2})^{1/2} (1 - q^{2n+2i+2})^{1/2}}{(1 - q^{4n+2})^{1/2} (1 - q^{4n+4})^{1/2}}, \\ b_-(n, i, j) &= q^{n+i} \frac{(1 - q^{2n+2j})^{1/2} (1 - q^{2n-2i})^{1/2}}{(1 - q^{4n})^{1/2} (1 - q^{4n+2})^{1/2}}. \end{aligned} \right\} \tag{3.4}$$

Note that  $a_-$  does vanish if  $i = -n$  or  $j = -n$ , which gives meaning to

$$a_-(n, i, j) e_{i-1/2, j-1/2}^{(n-1/2)}$$

for these values while  $i - \frac{1}{2} \notin [-(n - \frac{1}{2}), n - \frac{1}{2}]$  or  $j - \frac{1}{2} \notin [-(n - \frac{1}{2}), n - \frac{1}{2}]$ . Similarly,  $b_-$  vanishes for  $j = -n$  or  $i = n$ .

Let now, as in [3],  $D$  be the diagonal operator in  $\mathcal{H}$  given by

$$D(e_{ij}^{(n)}) = (2\delta_0(n - i) - 1) 2n e_{ij}^{(n)}, \tag{3.5}$$

where  $\delta_0(k) = 0$  if  $k \neq 0$  and  $\delta_0(0) = 1$ . It follows from [3] that if we let  $\mathcal{A}_0$  be the involutive algebra generated by  $\alpha$  and  $\beta$ , then the triple

$$(\mathcal{A}_0, \mathcal{H}, D) \tag{3.6}$$

is a spectral triple. This will continue to hold when  $\mathcal{A}_0$  is replaced by a suitable closure under holomorphic functional calculus (HFC).

In order to simplify, we start the discussion with the case  $q = 0$ . We then have the simpler formulae

$$\left. \begin{aligned} a_+(n, i, j) &= 0, \\ a_-(n, i, j) &= 0 \quad \text{if } i = -n \text{ or } j = -n, \\ a_-(n, i, j) &= 1 \quad \text{if } i \neq -n \text{ and } j \neq -n \end{aligned} \right\} \tag{3.7}$$

and

$$\left. \begin{aligned} b_+(n, i, j) &= 0 \quad \text{if } j \neq -n, \\ b_+(n, i, j) &= -1 \quad \text{if } j = -n, \\ b_-(n, i, j) &= 0 \quad \text{if } i \neq -n \text{ or } j = -n, \\ b_-(n, i, j) &= 1 \quad \text{if } i = -n, j \neq -n. \end{aligned} \right\} \tag{3.8}$$

Thus, for  $q = 0$ , the operators  $\alpha$  and  $\beta$  in  $\mathcal{H}$  are given by

$$\alpha e_{ij}^{(n)} = e_{i-1/2, j-1/2}^{(n-1/2)} \quad \text{if } i > -n, j > -n, \tag{3.9}$$

and  $\alpha e_{ij}^{(n)} = 0$  if  $i = -n$  or  $j = -n$ ,

$$\beta e_{ij}^{(n)} = 0 \quad \text{if } i \neq -n \text{ and } j \neq -n, \tag{3.10}$$

$$\beta e_{-n, j}^{(n)} = e_{-(n-1/2), j-1/2}^{(n-1/2)} \quad \text{if } j \neq -n \tag{3.11}$$

and

$$\beta e_{i, -n}^{(n)} = -e_{i+1/2, -(n+1/2)}^{(n+1/2)}. \tag{3.12}$$

By construction,  $\beta\beta^* = \beta^*\beta$  is the projection  $e$  on the subset  $\{i = -n \text{ or } j = -n\}$  of the basis.

Also,  $\alpha$  is a partial isometry with initial support  $1 - e$  and final support  $1 = \alpha\alpha^*$ . The basic relations between  $\alpha$  and  $\beta$  are

$$\alpha^*\alpha + \beta^*\beta = 1, \quad \alpha\alpha^* = 1, \quad \alpha\beta = \alpha\beta^* = 0, \quad \beta\beta^* = \beta^*\beta. \tag{3.13}$$

For  $f \in C^\infty(S^1)$ ,  $f = \sum \hat{f}_n e^{in\theta}$ , we let

$$f(\beta) = \sum_{n>0} \hat{f}_n \beta^n + \sum_{n<0} \hat{f}_n \beta^{*(-n)} + \hat{f}_0 e \tag{3.14}$$

and the map  $f \rightarrow f(\beta)$  gives a (degenerate) representation of  $C^\infty(S^1)$  in  $\mathcal{H}$ .

Now let  $\mathcal{A}$  be the linear space of sums,

$$a = \sum_{k, \ell \geq 0} \alpha^{*k} f_{k\ell}(\beta) \alpha^\ell + \sum_{\ell \geq 0} \lambda_\ell \alpha^\ell + \sum_{k>0} \lambda'_k \alpha^{*k}, \tag{3.15}$$

where  $\lambda$  and  $\lambda'$  are sequences (of complex numbers) of rapid decay and  $(f_{k\ell})$  is a sequence of rapid decay with values in  $C^\infty(S^1)$ .

We let  $A$  be the  $C^*$  algebra in  $\mathcal{H}$  generated by  $\alpha$  and  $\beta$ .



**Proposition 3.1.** *The subspace  $\mathcal{A} \subset A$  is a subalgebra stable under HFC.*

**Proof.** Let  $\sigma$  be the linear map from  $\mathcal{A}$  to  $C^\infty(S^1)$  given by

$$\sigma(a) = \sum_{\ell \geq 0} \lambda_\ell u^\ell + \sum_{k > 0} \lambda'_k u^{-k}, \tag{3.16}$$

where  $u = e^{i\theta}$  is the generator of  $C^\infty(S^1)$ . Let  $\mathcal{J} = \text{Ker } \sigma$ . For  $a \in \mathcal{J}$ , one has  $a = \sum \alpha^{*k} f_{k\ell} \alpha^\ell$  and the equality

$$\alpha^{*k} f_{k\ell} \alpha^\ell \alpha^{*k'} g_{k'\ell'} \alpha^{\ell'} = \delta_{\ell,k'} \alpha^{*k} f_{k\ell} g_{k'\ell'} \alpha^{\ell'} \tag{3.17}$$

shows that  $\mathcal{J}$  is an algebra and is isomorphic to the topological tensor product

$$C^\infty(S^1) \otimes \mathcal{S} = C^\infty(S^1, \mathcal{S}), \tag{3.18}$$

where  $\mathcal{S}$  is the algebra of matrices of rapid decay.

Since  $\mathcal{S}$  is stable under HFC in its norm closure  $\mathcal{K}$  (the  $C^*$  algebra of compact operators), it follows from (3.18) that  $\mathcal{J}$  is stable under HFC in its norm closure  $\bar{\mathcal{J}} \subset A$ .

The equalities  $\alpha f(\beta) = 0 \ \forall f \in C^\infty(S^1)$  and  $\alpha \alpha^* = 1$  show that  $\mathcal{J}$  is stable under left multiplication by  $\alpha^*$  and  $\alpha$ . It follows using (3.13) that  $\mathcal{A}$  is an algebra,  $\mathcal{J}$  a two-sided ideal of  $\mathcal{A}$  and that one has the exact sequence

$$0 \rightarrow \mathcal{J} \rightarrow \mathcal{A} \xrightarrow{\sigma} C^\infty(S^1) \rightarrow 0. \tag{3.19}$$

By construction,  $\mathcal{A}$  is dense in  $A$ . Let us check that it is stable under HFC in  $A$ . Let  $a \in \mathcal{A}$  be such that  $a^{-1} \in A$ . Let us show that  $a^{-1} \in \mathcal{A}$ .

Let  $\partial_\alpha$  be the derivation of  $\mathcal{A}$  given by

$$\partial_\alpha \alpha = \alpha, \quad \partial_\alpha \beta = 0. \tag{3.20}$$

The one-parameter group  $\exp(it\partial_\alpha)$  of automorphisms of  $\mathcal{A}$  is implemented by unitary operators in  $\mathcal{H}$  (cf. (3.49) below) and extends to  $A$ . Moreover,  $\mathcal{A}$  is dense in the domain

$$\text{Dom } \partial_\alpha^j = \{x \in A; \partial_\alpha^j x \in A\} \tag{3.21}$$

in the graph norm.

Since  $a^{-1} \in \text{Dom } \partial_\alpha^j$ , we can, given any  $\varepsilon > 0$ , find  $b \in \mathcal{A}$  such that

$$\|\partial_\alpha^j(b - a^{-1})\| < \varepsilon, \quad j = 0, 1, 2. \tag{3.22}$$

Thus, given  $\varepsilon > 0$ , we can find  $b \in \mathcal{A}$  such that, with  $x = ab$ ,

$$\|\partial_\alpha^j(x - 1)\| < \varepsilon, \quad j = 0, 1, 2. \tag{3.23}$$

For  $\varepsilon$  small enough, it follows that if we let  $\sigma(x^{-1})_n^\wedge$  be the Fourier coefficients of  $\sigma(x^{-1})$ ,

$$c = \sum_{n \geq 0} \sigma(x^{-1})_n^\wedge \alpha^n + \sum_{n < 0} \sigma(x^{-1})_n^\wedge \alpha^{*-n} \tag{3.24}$$

is an element of  $\mathcal{A}$ , invertible in  $A$ , such that

$$\sigma(c) = \sigma(x^{-1}). \tag{3.25}$$

(Since one controls  $n^2\sigma(x^{-1})_n^\wedge$  from  $\|\partial_\alpha^j(x^{-1} - 1)\|$ .)

Thus  $\sigma(xc) = 1$  and, since  $xc$  is invertible in  $A$  (by (3.23), (3.25)) and  $1 - xc \in \mathcal{J}$ , the stability of  $\mathcal{J}$  under HFC shows that  $(xc)^{-1} = y \in \mathcal{A}$ . Then  $abcy = 1$  and  $a^{-1} = bcy \in \mathcal{A}$ .  $\square$

Our next result determines the dimension spectrum of the spectral triple  $(\mathcal{A}, \mathcal{H}, D)$  defined above in (3.6).

**Theorem 3.2.** *The dimension spectrum of the spectral triple  $(\mathcal{A}, \mathcal{H}, D)$  is simple and equal to  $\{1, 2, 3\} \subset \mathbb{C}$ .*

Thus we let  $\mathcal{B}$  be the algebra generated by the

$$\delta^k(a), \quad \delta^k([D, a]), \quad a \in \mathcal{A}, \quad k \in \mathbb{N}, \tag{3.26}$$

where  $\delta$  is the unbounded derivation of  $\mathcal{L}(\mathcal{H})$  given by the commutator with  $|D|$ ,

$$\delta(T) = |D|T - T|D|. \tag{3.27}$$

(It is part of the statement that the elements in (3.26) are in the domain of  $\delta^k$ .)

For  $b \in \mathcal{B}$ , we consider the function

$$\zeta_b(s) = \text{Trace}(b|D|^{-s}), \tag{3.28}$$

where we take care of the eigenvalue  $D = 0$  by replacing  $|D|$  by an arbitrary  $\varepsilon > 0$  there. The statement of the theorem is that all the functions  $\zeta_b(s)$ , which are *a priori* only defined for  $\text{Re}(s) > 3$ , do extend to meromorphic function on  $\mathbb{C}$  and only admit *simple* poles at the three points  $\{1, 2, 3\} \subset \mathbb{C}$ .

To prove it, we shall first describe the algebra  $\mathcal{B}$ . We let

$$F = \text{Sign } D, \tag{3.29}$$

so that  $F = 2P - 1$ , where  $P$  is the orthogonal projection on the subset  $\{i = n\}$  of the basis. Concerning the generator  $\alpha$ , one has

$$\delta(\alpha) = -\alpha, \quad \delta(\alpha^*) = \alpha^*, \quad [F, \alpha] = 0. \tag{3.30}$$

It follows that  $[D, \alpha^*] = F\delta(\alpha^*) = F\alpha^* = \alpha^*F$ . Thus  $\alpha[D, \alpha^*] = \alpha\alpha^*F = F$ ,

$$F = \alpha[D, \alpha^*]. \tag{3.31}$$

This shows that  $F \in \mathcal{B}$ .

Concerning the generator  $\beta$ , one has

$$\delta(\beta) = \beta K, \quad \delta(\beta^*) = -K\beta^*, \tag{3.32}$$

where  $K$  is the multiplication operator

$$K(e_{ij}^{(n)}) = k(n, i, j)e_{ij}^{(n)}, \tag{3.33}$$

with

$$\left. \begin{aligned} k(n, i, j) &= 0 && \text{unless } i = -n \text{ or } j = -n, \\ k(n, -n, j) &= -1 && \text{if } j \neq -n, \\ k(n, i, -n) &= 1. \end{aligned} \right\} \tag{3.34}$$

Thus the support of  $K$  is  $e = \beta^*\beta$  and

$$K^2 = e. \tag{3.35}$$

We let  $e_0 = \frac{1}{2}(K - \beta K \beta^*)$ . It is the orthogonal projection on the subset of the basis  $\{i = -n \text{ and } j = -n\}$ . For each  $m$ , one lets

$$e_m = \beta^m e_0 \beta^{*m}, \tag{3.36}$$

and the  $e_m$  are pairwise orthogonal projections such that

$$\sum_{m \in \mathbb{Z}} e_m = e. \tag{3.37}$$

We let  $\mathcal{L}$  be the algebra of double sums with rapid decay,

$$\mathcal{L} = \left\{ \sum \lambda_{n,m} \beta^n e_m; \lambda \in \mathcal{S} \right\} \tag{3.38}$$

(where  $\beta^{-\ell} = \beta^{*\ell}$  for  $\ell > 0$ ).

One has  $\delta(e_n) = 0$ ,  $[K, \beta] = 2e_0\beta$ ,  $Ke_m = \text{Sign}(m)e_m$  and, using (3.32),

$$\delta(\beta^n) = n\beta^n K \text{ mod } \mathcal{L}. \tag{3.39}$$

Thus  $\mathcal{L}$  is invariant (globally) under  $\delta$ . Also, for any  $f \in C^\infty(S^1)$ , one has

$$[K, f(\beta)] \in \mathcal{L} \tag{3.40}$$

and the algebra  $B_0$ ,

$$B_0 = \{f_0(\beta) + f_1(\beta)K + h; f_j \in C^\infty(S^1), h \in \mathcal{L}\}, \tag{3.41}$$

is stable by the derivation  $\delta$ .

A similar result holds if we further adjoin the operator

$$F_1 = eF = Fe. \tag{3.42}$$

Indeed,  $e'_0 = \frac{1}{2}(F_1 - \beta F_1 \beta^*)$  is the projection on the element  $\{e_{0,0}^{(0)}\}$  of the basis and the  $e'_n = \beta^n e'_0 \beta^{*n}$  are pairwise orthogonal projections on the one-dimensional subspaces spanned for  $n > 0$  by  $e_{n/2, -n/2}^{(n/2)}$  and for  $n < 0$ ,  $n = -k$ , by  $e_{-k/2, k/2}^{(k/2)}$ .

We let

$$\mathcal{L}' = \left\{ \sum \lambda_{n,m} \beta^n e'_m; \lambda \in \mathcal{S} \right\}. \tag{3.43}$$

One has

$$[F, f(\beta)] \in \mathcal{L}' \quad \forall f \in C^\infty(S^1) \tag{3.44}$$

and  $F_1 \mathcal{L}' = \mathcal{L}' F_1 = \mathcal{L}'$ .

Also,  $e'_n \leq e_n$  for each  $n$ , so that  $\mathcal{L} \mathcal{L}' \subset \mathcal{L}'$  and  $\mathcal{L}' \mathcal{L} \subset \mathcal{L}'$ , which shows that the sum

$$\mathcal{L}'' = \mathcal{L} + \mathcal{L}' \tag{3.45}$$

is an algebra.

Thus the algebra generated in  $e\mathcal{H}$  by the  $\delta^k(f(\beta))$ ,  $f \in C^\infty(S^1)$  and  $F_1$ , is contained in the algebra  $B_1$ ,

$$B_1 = \{f_0(\beta) + f_1(\beta)K + f_2(\beta)F_1 + h; f_j \in C^\infty(S^1), h \in \mathcal{L}''\}. \tag{3.46}$$

Note that  $F_1 K = 1 + 2(K - F_1)$ , so that we do not need terms in  $F_1 K$ .

We then let  $B$  be the algebra of double sums,

$$B = \left\{ \sum \alpha^{*k} b_{k\ell} \alpha^\ell + A_0 + A_1 F \right\}, \tag{3.47}$$

where  $b_{k\ell} \in B_1$  and the sequence  $(b_{k\ell})$  is of rapid decay, while  $A_0, A_1$  are sums of rapid decay of the form

$$A = \sum_{\ell \geq 0} a_\ell \alpha^\ell + \sum_{k > 0} a_{-k} \alpha^{*k}.$$

Since  $F$  commutes with  $\alpha$  and  $\alpha^*$ , it commutes with  $A_j$ . Thus one checks that  $B$  is an algebra, that it is stable under  $\delta$  and contains both  $F$  and  $\mathcal{A}$ . Thus it contains  $\mathcal{B}$ .

Let  $b \in B$  and consider the function

$$\zeta_b(s) = \text{Trace}(b|D|^{-s}), \tag{3.48}$$

which is well defined for  $\text{Re}(s) > 3$ .

There is a natural bigrading corresponding to the degrees in  $\alpha$  and  $\beta$ . It is implemented by the following action of  $\mathbb{T}^2$  in  $\mathcal{H}$ :

$$V(u, v) e_{k,\ell}^{(n)} = \exp i(-u(k + \ell) + v(k - \ell)) e_{k,\ell}^{(n)}. \tag{3.49}$$

Note that both  $k + \ell$  and  $k - \ell$  are integers, so that one gets an action of  $\mathbb{T}^2$ .

The indices  $k, \ell$  are transformed to  $k - \frac{1}{2}, \ell - \frac{1}{2}$  by  $\alpha$ , so that

$$V(u, v) \alpha(e_{k,\ell}^{(n)}) = \exp i(-u(k + \ell - 1) + v(k - \ell)) \alpha(e_{k,\ell}^{(n)}),$$

and we get

$$V(u, v) \alpha V(-u, -v) = e^{iu} \alpha. \tag{3.50}$$

The indices  $k, \ell$  are transformed to  $k + \frac{1}{2}, \ell - \frac{1}{2}$  by  $\beta$  and

$$V(u, v) \beta(e_{k,\ell}^{(n)}) = \exp i(-u(k + \ell) + v(k - \ell + 1)) \beta(e_{k,\ell}^{(n)}),$$

so that

$$V(u, v)\beta V(-u, -v) = e^{iv}\beta. \tag{3.51}$$

Moreover, since  $V$  is a multiplication operator, it commutes with  $|D|$ ,  $D$  and  $F = 2P - 1$ .

Using the restriction of this bigrading to  $B$  (which gives bidegree  $(0, 0)$  for diagonal operators,  $(1, 0)$  for  $\alpha$  and  $(0, 1)$  for  $\beta$ ), one checks that homogeneous elements of bidegree not equal to  $(0, 0)$  satisfy  $\zeta_b(s) \equiv 0$ . Thus one can assume that  $b$  is of bidegree  $(0, 0)$ .

Any  $b \in B^{(0,0)}$  is of the form

$$b = \sum \alpha^{*k} b_k \alpha^k + a_0 + a_1 F, \tag{3.52}$$

where  $a_0, a_1$  are scalars and  $(b_k)$  is a sequence of rapid decay with  $b_k \in B_1^{(0,0)}$ . Elements  $c$  of  $B_1^{(0,0)}$  are of the form

$$c = \lambda_0 + \lambda_1 K + \lambda_2 F_1 + h, \tag{3.53}$$

where  $\lambda_j$  are scalars and  $h \in \mathcal{L}''^{(0,0)}$ . Finally, elements of  $\mathcal{L}''^{(0,0)}$  are of the form

$$h = \sum h_n e_n + \sum h'_m e'_m, \tag{3.54}$$

where  $(h_n)$  and  $(h'_m)$  are scalar sequences of rapid decay.

The equality

$$|D|^z \alpha^{*k} = \alpha^{*k} (|D| + k)^z, \quad z \in \mathbb{C}, \tag{3.55}$$

is checked directly ( $k \geq 0$ ).

Using  $\alpha^k \alpha^{*k} = 1$ , it follows that with  $b$  as in (3.52),

$$\text{Trace}(b|D|^{-s}) = \text{Trace}((a_0 + a_1 F)|D|^{-s}) + \sum_{k \geq 0} \text{Trace}(b_k (|D| + k)^{-s}). \tag{3.56}$$

Now, for  $h$  as in (3.54), one has

$$\text{Trace}(h|D|^{-s}) = \sum h_n \text{Trace}(e_n |D|^{-s}) + \sum h'_m \text{Trace}(e'_m |D|^{-s}).$$

Moreover,

$$\text{Trace}(e_n |D|^{-s}) = \sum_{\ell=0}^{\infty} \frac{1}{(|n| + \ell)^s} = \zeta(s) - \left( \sum_0^{|n|-1} \frac{1}{r^s} \right).$$

But

$$\sum h_n \left( \sum_0^{|n|-1} \frac{1}{r^s} \right) = \rho_1(s)$$

is a holomorphic function of  $s \in \mathbb{C}$  and, similarly, since  $\text{Trace}(e'_m |D|^{-s}) = 1/|m|^s$ , the function  $\rho_2(s) = \sum h'_m \text{Trace}(e'_m |D|^{-s})$  is holomorphic in  $s \in \mathbb{C}$ . Thus, modulo holomorphic functions, one has

$$\text{Trace}(h|D|^{-s}) \sim \left( \sum h_n \right) \zeta(s). \tag{3.57}$$

Next,

$$\left. \begin{aligned} \text{Trace}(e|D|^{-s}) &= \sum_0^\infty \frac{2n+1}{n^s} = 2\zeta(s-1) + \zeta(s) + \epsilon^{-s}, \\ \text{Trace}(K|D|^{-s}) &= \sum_{n \in \mathbb{Z}} \sum_{\ell \geq 0} \frac{\text{Sign}(n)}{(|n| + \ell)^s} = \zeta(s) + \epsilon^{-s}, \end{aligned} \right\} \tag{3.58}$$

and with  $F = 2P - 1$  we also have

$$\text{Trace}(eP|D|^{-s}) = \zeta(s) + \epsilon^{-s}. \tag{3.59}$$

Thus, with  $c$  as in (3.53), we get

$$\zeta_c(s) = \lambda\zeta(s-1) + \mu\zeta(s) + \rho(s), \tag{3.60}$$

where  $\lambda, \mu$  are scalars and  $\rho$  is a holomorphic function of  $s \in \mathbb{C}$ .

A similar result holds for

$$\sum_{k \geq 0} \text{Trace}(b_k(|D| + k)^{-s}).$$

For instance, one rewrites the double sum

$$\sum h_{n,k} \text{Trace}(e_m(|D| + k)^{-s}) = \sum_{n,k,\ell} h_{n,k} \frac{1}{(|n| + k + \ell)^s}$$

as

$$\sum_m \left( \sum_{|n|+k \leq m} h_{n,k} \right) \frac{1}{m^s} = a\zeta(s) + \rho(s)$$

where  $a = \sum h_{n,k}$  and  $\rho$  is holomorphic in  $s \in \mathbb{C}$ .

Finally,

$$\text{Trace}(P|D|^{-s}) = \sum_0^\infty \frac{(n+1)}{n^s} = \zeta(s-1) + \zeta(s) + \epsilon^{-s}$$

and

$$\text{Trace}(|D|^{-s}) = \sum_0^\infty \frac{(n+1)^2}{n^s} = \zeta(s-2) + 2\zeta(s-1) + \zeta(s) + \epsilon^{-s}.$$

Thus we conclude that, for any  $b \in B$ , one has

$$\zeta_b(s) = \lambda_3\zeta(s-2) + \lambda_2\zeta(s-1) + \lambda_1\zeta(s) + \rho(s), \tag{3.61}$$

where the  $\lambda_j$  are scalars and  $\rho$  is a holomorphic function of  $s \in \mathbb{C}$ , thus proving Theorem 3.2.

4. The local index formula for  $SU_q(2)$  ( $q = 0$ )

In this section, we shall compute the local index formula for the above spectral triple. Since the dimension spectrum is simple and equal to  $\{1, 2, 3\} \subset \mathbb{C}$ , the cyclic co-cycle given by the local index formula has two components  $\varphi_1$  and  $\varphi_3$  of degree 1 and 3 given, up to an overall multiplication by  $(2i\pi)^{1/2}$ , by

$$\begin{aligned} \varphi_1(a^0, a^1) &= \int a^0[D, a^1]|D|^{-1} \\ &\quad - \frac{1}{4} \int a^0 \nabla([D, a^1])|D|^{-3} + \frac{1}{8} \int a^0 \nabla^2([D, a^1])|D|^{-5} \end{aligned} \tag{4.1}$$

and

$$\varphi_3(a^0, a^1, a^2, a^3) = \frac{1}{12} \int a^0[D, a^1][D, a^2][D, a^3]|D|^{-3}. \tag{4.2}$$

With this notation, the co-cycle equation is

$$b\varphi_1 + B\varphi_3 = 0. \tag{4.3}$$

The following formulae define a cyclic co-cycle  $\tau_1$  on  $\mathcal{A}$ ,

$$\tau_1(\alpha^{*k}, x) = \tau_1(x, \alpha^{*k}) = \tau_1(\alpha^l, x) = \tau_1(x, \alpha^l) = 0 \tag{4.4}$$

for all integers  $k, l$  and any  $x \in \mathcal{A}$ ,

$$\tau_1(\alpha^{*k} f(\beta) \alpha^\ell, \alpha^{*k'} g(\beta) \alpha^{\ell'}) = 0 \tag{4.5}$$

unless  $\ell' = k, k' = \ell$  and

$$\tau_1(\alpha^{*k} f(\beta) \alpha^\ell, \alpha^{*k} g(\beta) \alpha^k) = \frac{1}{\pi i} \int_{S^1} f dg.$$

Let  $\varphi_0$  be the 0-co-chain given by  $\varphi_0(\alpha^{*k} f(\beta) \alpha^\ell) = 0$  unless  $k = \ell$  and

$$\varphi_0(\alpha^{*k} f(\beta) \alpha^k) = \rho(k) \frac{1}{2\pi} \int_{S^1} f d\theta, \tag{4.6}$$

where  $\rho(j) = \frac{2}{3} - j - j^2$ . Finally, let  $\varphi_2$  be the 2-co-chain given by the pullback by  $\sigma$  of the co-chain

$$\frac{-1}{24} \frac{1}{2\pi i} \int f_0 f_1' f_2'' d\theta$$

on  $C^\infty(S^1)$ .

Our next task is to prove the following result.

**Theorem 4.1.** *The local index formula of the spectral triple  $(\mathcal{A}, \mathcal{H}, D)$  is given by the cyclic co-cycle  $\tau_1$  up to the co-boundary of the co-chain  $(\varphi_0, \varphi_2)$ .*

The precise equations are

$$\varphi_1 = \tau_1 + b\varphi_0 + B\varphi_2, \quad \varphi_3 = b\varphi_2. \tag{4.7}$$

The proof is a computation, but we shall go through it in detail in order to get familiar with various ways of computing residues and manipulating ‘infinitesimals’ in the sense of the quantized calculus. In other words, our purpose is not concision but rather a leisurely account of the details.

**4.1. Restriction to  $C^\infty(\beta)$**

Let us first concentrate on the restriction of the co-cycle  $\varphi$  to the subalgebra  $C^\infty(\beta)$  generated by  $\beta$  and  $\beta^*$ . To see the subspace of  $\mathcal{H}$  responsible for the non-triviality of that co-cycle, we follow the action of  $\beta$  on the vectors

$$\xi_{-n} = e_{-n/2, n/2}^{(n/2)} \quad n \geq 0, \quad n \in \mathbb{N}, \tag{4.8}$$

and

$$\xi_n = e_{n/2, -n/2}^{(n/2)} \quad n \geq 0, \quad n \in \mathbb{N}. \tag{4.9}$$

For  $n > 0$ , equation (3.11) shows that  $\beta(\xi_{-n}) = \xi_{-(n-1)} = \xi_{-n+1}$ , with  $\beta(\xi_{-1}) = e_{0,0}^{(0)} = \xi_0$ . Next,  $\beta(\xi_0) = \beta(e_{0,0}^{(0)}) = -e_{(1/2), -1/2}^{(1/2)} = -\xi_1$  and, for  $n > 0$ , equation (3.12) shows that  $\beta(\xi_n) = -\xi_{n+1}$ . Thus

$$\beta(\xi_n) = -\text{Sign}(n)\xi_{n+1} \quad (\text{Sign}(0) = 1). \tag{4.10}$$

We let  $\ell^2(\mathbb{Z}) = \mathcal{H}_0 \subset \mathcal{H}$  be the subspace of  $\mathcal{H}$  spanned by the  $\xi_n$  and rewrite the above equality as

$$\beta = -UH \quad \text{on } \ell^2(\mathbb{Z}) \subset \mathcal{H}, \tag{4.11}$$

where  $H$  is the sign operator and  $U$  the shift

$$U\xi_n = \xi_{n+1}. \tag{4.12}$$

The operator  $D$  also restricts to the subspace  $\ell^2(\mathbb{Z}) = \mathcal{H}_0 \subset \mathcal{H}$  and its restriction  $D_0$  is given by

$$D_0\xi_n = \text{Sign}(n)|n|\xi_n = n\xi_n \quad \forall n. \tag{4.13}$$

The unitary  $W = e^{i\pi/2(|D_0| - D_0)}$  commutes with  $D_0$  and conjugates  $U$  to  $-UH$ ,

$$WUW^* = -UH. \tag{4.14}$$

Thus the triple  $(\beta, \mathcal{H}_0, D_0)$  is isomorphic to

$$\left( e^{i\theta}, L^2(S^1), (-i)\frac{\partial}{\partial\theta} \right). \tag{4.15}$$



In particular, the index and cyclic cohomology pairings with the restriction to  $\mathcal{H}_0$  are non-trivial and we control

$$\operatorname{Res}_{s=1} \operatorname{Trace}_{\mathcal{H}_0}(\beta^*[D_0, \beta]|D_0|^{-s}) = 2. \tag{4.16}$$

This, however, does not suffice to get the non-triviality of the restriction of  $\varphi$  to  $C^\infty(\beta)$ , since we need to control the residues on  $e\mathcal{H}$ , where, as above,  $e$  is the support of  $\beta$ . To see what happens, we shall conjugate the restriction of both  $\beta$  and  $D$  to the orthogonal complement of  $\mathcal{H}_0$  in  $e\mathcal{H}$  with a very simple triple. Let us define, for each  $k \in \mathbb{N}$ , the vectors

$$\left. \begin{aligned} \xi_{-n}^{(k)} &= e_{-((k+n)/2), (n-k)/2}^{((k+n)/2)}, \quad n \geq 0, \\ \xi_n^{(k)} &= e_{(n-k)/2, -((k+n)/2)}^{(k+n)/2}, \quad n \geq 0, \end{aligned} \right\} \tag{4.17}$$

so that  $\xi_0^{(k)} = e_{-k/2, -k/2}^{(k/2)}$ .

For  $n > 0$ , one has

$$\beta(\xi_{-n}^{(k)}) = \beta(e_{-((k+n)/2), (n-k)/2}^{((k+n)/2)}) = e_{-((k+n-1)/2), (n-1-k)/2}^{((k+n-1)/2)} = \xi_{-(n-1)}^{(k)} = \xi_{-n+1}^{(k)}.$$

For  $n = 0$ ,

$$\beta(\xi_0^{(k)}) = \beta(e_{-k/2, -k/2}^{(k/2)}) = -e_{-k/2+1/2, -((k+1)/2)}^{((k+1)/2)} = \xi_1^{(k)}$$

and

$$\beta(\xi_n^{(k)}) = \beta(e_{(n-k)/2, -((k+n)/2)}^{((k+n)/2)}) = -e_{(n+1-k)/2, -((n+1+k)/2)}^{((k+n+1)/2)} = -\xi_{n+1}^{(k)}.$$

Thus, as in (4.10), we have

$$\beta(\xi_n^{(k)}) = -\operatorname{Sign}(n)\xi_{n+1}^{(k)}. \tag{4.18}$$

Now  $\beta(e_{ij}^{(m)}) = 0$  unless  $i = -m$  or  $j = -m$  and, for any  $m \in \frac{1}{2}\mathbb{N}$ , the vectors  $e_{-m, j}^{(m)}$  and  $e_{i, -m}^{(m)}$  are of the form  $\xi_n^{(k)}$ . Indeed, in the first case, one takes  $n = m + j$ ,  $k = m - j$ , which are both in  $\mathbb{N}$ , and  $\xi_{-n}^{(k)} = e_{-m, j}^{(m)}$ . In the second case,  $n = m + i$ ,  $k = m - i$  are both in  $\mathbb{N}$  and  $\xi_n^{(k)} = e_{i, -m}^{(m)}$ .

We then let  $\mathcal{H}_k$  be the span of the  $\xi_n^{(k)}$ ,  $n \in \mathbb{Z}$ , and

$$\mathcal{H}' = \bigoplus_{k \geq 1} \mathcal{H}_k = \ell^2(\mathbb{Z}) \otimes \ell^2(\mathbb{N}^+). \tag{4.19}$$

The operator  $D$  restricts to  $\mathcal{H}'$  and is given there by

$$D' = |D_0| \otimes 1 + 1 \otimes N, \tag{4.20}$$

where  $N$  is the number operator  $N\varepsilon_k = k\varepsilon_k$ .

Also,  $\beta$  is  $-UH \otimes 1$  and we can conjugate it as in (4.14) back to  $U \otimes 1$ .

Thus the triple  $(\beta, \mathcal{H}', D')$  is isomorphic to

$$(U \otimes 1, \ell^2(\mathbb{Z}) \otimes \ell^2(\mathbb{N}^+), |D_0| \otimes 1 + 1 \otimes N). \tag{4.21}$$

The metric dimension is two in this situation, and the contribution  $\varphi'$  of  $\mathcal{H}'$  to the restriction of  $\varphi$  to  $C^\infty(\beta)$  only has a one-dimensional component  $\varphi'_1$ , which involves the two terms

$$\varphi'_1(a^0, a^1) = \int a^0[D', a^1]|D'|^{-1} - \frac{1}{4} \int a^0 \nabla([D', a^1])|D'|^{-3}, \quad a^j \in C^\infty(\beta). \quad (4.22)$$

Since  $D'$  is positive, it is K-homologically trivial and the above co-cycle must vanish identically on  $C^\infty(\beta)$ . As we shall see, this vanishing holds because of the precise ratio  $-\frac{1}{4}$  of the coefficients in the local index formula.

To see this, we need to compute the poles and residues of functions of the form  $\text{Trace}((T \otimes 1)|D'|^{-s})$  for operators  $T$  in  $\ell^2(\mathbb{Z})$ . For that purpose, it is most efficient to use the well-known relation between residues of zeta functions and asymptotic expansions of related theta functions. More specifically, for  $\lambda > 0$  and  $\text{Re}(s) > 0$ , the equality

$$\lambda^{-s} = \frac{1}{\Gamma(s)} \int_0^\infty e^{-t\lambda} t^s \frac{dt}{t} \quad (4.23)$$

gives

$$\begin{aligned} \text{Trace}((T \otimes 1)|D'|^{-s}) &= \frac{1}{\Gamma(s)} \int_0^\infty \text{Trace}((T \otimes 1)e^{-tD'}) t^s \frac{dt}{t} \\ &= \frac{1}{\Gamma(s)} \int_0^\infty \text{Trace}(Te^{-t|D_0|}) \left(\frac{1}{e^t - 1}\right) t^s \frac{dt}{t}. \end{aligned} \quad (4.24)$$

Thus, if we assume that one has an expansion of the form

$$\text{Trace}(Te^{-t|D_0|}) = \frac{a}{t} + b + ct + 0(t^2),$$

one gets, using

$$\frac{1}{e^t - 1} = \frac{1}{t} - \frac{1}{2} + \frac{1}{12}t + 0(t^2), \quad (4.25)$$

the equality, modulo holomorphic functions of  $s$ ,  $\text{Re}(s) > 0$ ,

$$\text{Trace}((T \otimes 1)|D'|^{-s}) \sim \frac{1}{\Gamma(s)} \int_0^1 \varphi(t) t^s \frac{dt}{t},$$

where

$$\varphi(t) = \frac{a}{t^2} + \frac{(b - \frac{1}{2}a)}{t} + \frac{1}{12}a - \frac{1}{2}b + c.$$

One has

$$\int_0^1 t^\alpha \frac{dt}{t} = \frac{1}{\alpha},$$

and thus one gets two poles,  $s = 2$  and  $s = 1$ , and the expansion

$$\text{Trace}((T \otimes 1)|D'|^{-s}) \sim \frac{a}{\Gamma(s)(s-2)} + (b - \frac{1}{2}a) \frac{1}{\Gamma(s)(s-1)} + \dots,$$

so that

$$\text{Res}_{s=2}(\text{Trace}((T \otimes 1)|D'|^{-s})) = a, \tag{4.26}$$

$$\text{Res}_{s=1}(\text{Trace}((T \otimes 1)|D'|^{-s})) = b - \frac{1}{2}a. \tag{4.27}$$

Let us compute  $\varphi'_1(\beta^*, \beta)$ . The first term in (4.22) is  $\int \beta^*[D', \beta]|D'|^{-1}$ . Thus we take  $T = U^*[[D_0], U]$ . One has  $[[D_0], U] = UH, T = H$  and

$$\text{Trace}(Te^{-t|D_0|}) = \sum_{\mathbb{Z}} \text{Sign}(n)e^{-t|n|} = -\sum_1^\infty e^{-tk} + 1 + \sum_1^\infty e^{-tk} = 1. \tag{4.28}$$

Thus, in that case,  $a = 0, b = 1$  and

$$\int \beta^*[D', \beta]|D'|^{-1} = 1. \tag{4.29}$$

The second term in (4.22) is  $\int \beta^*\nabla([D', \beta])|D'|^{-3}$ , where  $\nabla$  is the commutator with  $D'^2$ . If we let, as above,  $\delta$  be the commutator with  $|D'|$ , one has

$$\nabla T = \delta(T)|D'| + |D'|\delta(T).$$

Thus, permuting  $|D'|$  modulo operators of lower order, we get

$$\int \beta^*\nabla([D', \beta])|D'|^{-3} = 2\int \beta^*\delta([D', \beta])|D'|^{-2}. \tag{4.30}$$

To compute the right-hand side, we take  $T = U^*\delta^2(U)$  and look at the residue at  $s = 2$ . One has  $\delta(U) = UH, \delta^2(U) = (UH)H = U$  and  $T = 1$ . Thus

$$\text{Trace}(Te^{-t|D_0|}) = \sum_{\mathbb{Z}} e^{-t|n|} = 1 + 2\sum_1^\infty e^{-tn} = 1 + \frac{2}{e^t - 1} \sim \frac{2}{t} + 0(t),$$

so that  $a = 2, b = 0$ . Thus we get

$$\int \beta^*\delta([D', \beta])|D'|^{-2} = \text{Res}_{s=2} \text{Trace}((U^*\delta^2(U) \otimes 1)|D'|^{-s}) = 2. \tag{4.31}$$

Thus we get

$$\int_{\mathcal{H}'} \beta^*[D, \beta]|D|^{-1} = 1, \quad \int_{\mathcal{H}'} \beta^*\nabla([D, \beta])|D|^{-3} = 4 \tag{4.32}$$

and  $\varphi'_1(\beta^*, \beta) = 0$  precisely because of the coefficient  $-\frac{1}{4}$  in (4.22).

One proceeds similarly to compute  $\varphi'_1(\beta, \beta^*)$ . The first term in (4.22) comes from  $T = U\delta(U^*)$ . In the canonical basis  $\varepsilon_n$  of  $\ell^2(\mathbb{Z})$ , one has

$$U\delta(U^*)\varepsilon_n = (|n - 1| - |n|)\varepsilon_n = -\text{Sign}(n - 1)\varepsilon_n$$

and

$$\text{Trace}(Te^{-t|D_0|}) = \sum (-\text{Sign}(n - 1))e^{-t|n|} = 1.$$

Thus

$$\int \beta[D', \beta^*] |D'|^{-1} = 1. \tag{4.33}$$

Since  $U\delta^2(U^*) = 1$ , the computation of the second term of (4.22) is the same as above and we get

$$\int_{\mathcal{H}'} \beta[D, \beta^*] |D|^{-1} = 1, \quad \int_{\mathcal{H}'} \beta \nabla([D, \beta^*]) |D|^{-3} = 4, \tag{4.34}$$

so that  $\varphi'_1(\beta, \beta^*) = 0$ .

Let us now take  $n > 0$  and compute  $\varphi'_1(\beta^{*n}, \beta^n)$ . The first term of (4.22) involves  $T = U^{*n}\delta(U^n)$ . One has

$$\delta(U^n) = UHU^{n-1} + U^2HU^{n-2} + \dots + U^jHU^{n-j} + \dots + U^nH = \sum_{j=1}^n U^jHU^{n-j},$$

$$U^{*n}\delta(U^n) = (U^*)^{n-1}HU^{n-1} + \dots + H.$$

One has  $U^{*k}HU^k\varepsilon_\ell = \text{Sign}(k + \ell)\varepsilon_\ell$  and

$$\text{Trace}(U^{*k}HU^ke^{-t|D_0|}) = \text{Trace}(He^{-t|D_0|}) + 2 \sum_1^k e^{-t|j|} \sim 1 + 2k + 0(t).$$

Thus

$$\text{Trace}(U^{*n}\delta(U^n)e^{-t|D_0|}) \sim \sum_{j=1}^n (2(n-j) + 1) + 0(t) = \sum_{k=0}^{n-1} (2k + 1) + 0(t) = n^2 + 0(t)$$

and ( $n > 0$ )

$$\int \beta^{*n}[D', \beta^n] |D'|^{-1} = n^2. \tag{4.35}$$

Now, modulo finite-rank operators, one has  $\delta(U^n) = nU^nH$  and  $\delta^2(U^n) = n^2U^n$ . Thus, as above,

$$\int \beta^{*n}\nabla([D', \beta^n]) |D'|^{-3} = 4n^2, \tag{4.36}$$

so that  $\varphi'_1(\beta^{*n}, \beta^n) = 0$ .

Finally, the computation of  $\varphi'_1(\beta^n, \beta^{*n})$  involves  $T = U^n\delta(U^{*n})$ . One has

$$U^n\delta(U^{*n}) = - \sum_{k=1}^n U^kHU^{*k}$$

and  $U^kHU^{*k}\varepsilon_\ell = \text{Sign}(\ell - k)\varepsilon_\ell$ , so that

$$\text{Trace}(U^kHU^{*k}e^{-t|D_0|}) - \text{Trace}(He^{-t|D_0|}) = -2 \sum_0^{k-1} e^{-tj}$$

and

$$\text{Trace}(U^kHU^{*k}e^{-t|D_0|}) \sim 1 - 2k + 0(t).$$

Thus

$$\begin{aligned} \text{Trace}(U^n \delta(U^{*n}) e^{-t|D_0|}) &= - \sum_{k=1}^n \text{Trace}(U^k H U^{*k} e^{-t|D_0|}) \\ &\sim - \sum_{k=1}^n (1 - 2k) + 0(t) \sim n^2 + 0(t) \end{aligned}$$

and

$$\int \beta^n [D', \beta^{*n}] |D'|^{-1} = n^2. \tag{4.37}$$

Also, as above,

$$\int \beta^n \nabla([D', \beta^{*n}]) |D'|^{-3} = 4n^2, \tag{4.38}$$

so that we get the required vanishing

$$\varphi'_1 = 0.$$

What is instructive in the above computation is that this vanishing, which is required by Theorem 2.1, involves (because of the factorization (4.21)) terms such as ‘Trace( $H$ )’, which appear in (4.28) and are similar to eta-invariants.

We have thus shown that  $\varphi_1 = \tau_1$  on  $C^\infty(\beta)$  or, equivalently, that

$$\int \beta^{-n} [D, \beta^n] |D|^{-1} - \frac{1}{4} \int \beta^{-n} \nabla([D, \beta^n]) |D|^{-3} = 2n. \tag{4.39}$$

#### 4.2. Restriction to the ideal $\mathcal{J}$

Let us extend this computation to  $\mathcal{J} = \text{Ker } \sigma$ . The component  $\varphi_3$  vanishes on  $\mathcal{J}$  and we just need to compute  $\varphi_1 = \varphi_1^{(0)} - \frac{1}{4}\varphi_1^{(1)} + \frac{1}{8}\varphi_1^{(2)}$ . We begin by

$$\varphi_1^{(0)}(\mu', \mu) = \int \mu' [D, \mu] |D|^{-1},$$

and need only consider the case where  $\mu = \alpha^{*k} \beta^n \alpha^\ell$  and  $\mu' = \alpha^{*k'} \beta^{n'} \alpha^{\ell'}$  are monomials. (As above,  $\beta^{-n} = \beta^{*n}$  and  $\beta^0 = e$ .)

With  $F = 2P - 1$ , one has  $[F, \alpha] = 0$  and

$$[D, \alpha^\ell] = -\ell F \alpha^\ell = -\ell \alpha^\ell F \tag{4.40}$$

and

$$[D, \alpha^{*k}] = k F \alpha^{*k} = k \alpha^{*k} F. \tag{4.41}$$

Thus

$$\begin{aligned} [D, \mu] &= [D, \alpha^{*k}] \beta^n \alpha^\ell + \alpha^{*k} [D, \beta^n] \alpha^\ell + \alpha^{*k} \beta^n [D, \alpha^\ell] \\ &= k \alpha^{*k} F \beta^n \alpha^\ell + \alpha^{*k} [D, \beta^n] \alpha^\ell - \ell \alpha^{*k} \beta^n F \alpha^\ell, \end{aligned}$$

so that

$$[D, \mu] = \alpha^{*k}(kF\beta^n + [D, \beta^n] - \ell\beta^n F)\alpha^\ell. \tag{4.42}$$

The bigrading (3.49) shows that  $\int \mu'[D, \mu]|D|^{-1}$  vanishes unless both total degrees are 0, i.e.

$$\ell + \ell' - k - k' = 0, n' = -n. \tag{4.43}$$

The element  $X = (kF\beta^n + [D, \beta^n] - \ell\beta^n F)$  satisfies  $eXe = X$ , so that the product

$$\mu'[D, \mu] = \alpha^{*k'}\beta^{n'}\alpha^{\ell'}\alpha^{*k}X\alpha^\ell$$

vanishes unless  $\ell' = k$ . Combining with (4.43), we get  $\ell = k'$ , and can assume that  $\mu' = \alpha^{*\ell}\beta^{*n}\alpha^k$ . Then  $\mu'[D, \mu] = \alpha^{*\ell}\beta^{*n}X\alpha^\ell$ , so that we just need to compute

$$\int \alpha^{*\ell}\beta^{*n}X\alpha^\ell|D|^{-1}.$$

Now, by (3.55), one has

$$|D|^{-1}\alpha^{*\ell} = \alpha^{*\ell}|D|^{-1} - \ell\alpha^{*\ell}|D|^{-2} + 0(|D|^{-3}). \tag{4.44}$$

Thus

$$\int \mu'[D, \mu]|D|^{-1} = \int \beta^{*n}X|D|^{-1} - \ell \int \beta^{*n}X|D|^{-2}, \tag{4.45}$$

where

$$X = (kF\beta^n + [D, \beta^n] - \ell\beta^n F).$$

Note that  $\alpha, \alpha^*$  have now disappeared, so that we can compute using the subspaces  $\mathcal{H}_0$  and  $\mathcal{H}'$  of  $e\mathcal{H}$ . Note also that on  $\mathcal{H}'$  one has  $F = -1$  since  $P = 0$ . Only  $\mathcal{H}'$  matters for  $\int \beta^{*n}X|D|^{-2}$ . One has

$$\int \beta^{*n}kF\beta^n|D|^{-2} = -k \int_{\mathcal{H}'} |D|^{-2},$$

since  $F \sim -1$  and

$$\int \beta^{*n}(-\ell\beta^n F)|D|^{-2} = \ell \int_{\mathcal{H}'} |D|^{-2}.$$

Also,  $\int \beta^{*n}[D, \beta^n]|D|^{-2} = 0$ , since  $\int_{L^2(S^1)} U^{-n}[[D_0, U^n]|D_0|^{-1}] = 0$ . Thus

$$\int \beta^{*n}X|D|^{-2} = (\ell - k) \int_{\mathcal{H}'} |D|^{-2}. \tag{4.46}$$

One has

$$\int \beta^{*n}X|D|^{-1} = \int \beta^{*n}kF\beta^n|D|^{-1} + \int \beta^{*n}[D, \beta^n]|D|^{-1} - \ell \int eF|D|^{-1},$$

where the  $f$  are on  $\mathcal{H}' \oplus \mathcal{H}_0$ . One has

$$\int_{\mathcal{H}_0} \beta^{*n} F \beta^n |D|^{-1} = 0 \quad \text{and} \quad \int_{\mathcal{H}_0} F |D|^{-1} = 0.$$

On  $\mathcal{H}'$ , one has  $F = -1$ . Thus

$$\int k \beta^{*n} F \beta^n |D|^{-1} = -k \int_{\mathcal{H}'} |D|^{-1} \tag{4.47}$$

and

$$-\ell \int e F |D|^{-1} = \ell \int_{\mathcal{H}'} |D|^{-1}, \tag{4.48}$$

so that

$$\int \beta^{*n} X |D|^{-1} = \int \beta^{*n} [D, \beta^n] |D|^{-1} + (\ell - k) \int_{\mathcal{H}'} |D|^{-1}. \tag{4.49}$$

We now need to compute  $-\frac{1}{4} \int \mu' \nabla([D, \mu]) |D|^{-3}$ , with  $\mu, \mu'$  monomials in  $\mathcal{J}$  as above.

Since  $|D|^{-2}$  is order 1 on  $\mathcal{J}$ , we can replace the above by  $-\frac{1}{2} \int \mu' \delta([D, \mu]) |D|^{-2}$ , where  $\delta(x) = [|D|, x]$ . Moreover, using (4.42),

$$\delta([D, \mu]) = \delta(\alpha^{*k} X \alpha^\ell) = k \alpha^{*k} X \alpha^\ell + \alpha^{*k} \delta(X) \alpha^\ell - \ell \alpha^{*k} X \alpha^\ell, \tag{4.50}$$

$$\delta([D, \mu]) = (k - \ell) \alpha^{*k} X \alpha^\ell + \alpha^{*k} \delta(X) \alpha^\ell, \tag{4.51}$$

with

$$X = k F \beta^n + [D, \beta^n] - \ell \beta^n F.$$

As above for  $\varphi_1^{(0)}$ , we get that  $\varphi_1^{(1)}$  vanishes unless  $\ell' = k, k' = \ell, n' = -n$ , so that  $\mu' = \alpha^{*\ell} \beta^{*n} \alpha^k$  and we can replace  $\mu' \delta([D, \mu]) |D|^{-2}$  by

$$(k - \ell) \beta^{*n} X |D|^{-2} + \beta^{*n} \delta(X) |D|^{-2}. \tag{4.52}$$

Now, by (4.46),

$$\int \beta^{*n} X |D|^{-2} = (\ell - k) \int_{\mathcal{H}'} |D|^{-2}.$$

Moreover, one has

$$\int \beta^{*n} \delta(F \beta^n) |D|^{-2} = \int \beta^{*n} \delta(\beta^n F) |D|^{-2} = 0,$$

since only the  $f$  on  $\mathcal{H}'$  matters and  $\int_{L^2(S^1)} U^{-n} [|D_0, U^n] |D_0|^{-1} = 0$ . Thus

$$-\frac{1}{4} \int \mu' \nabla([D, \mu]) |D|^{-3} = -\frac{1}{2} (k - \ell) (\ell - k) \int_{\mathcal{H}'} |D|^{-2} - \frac{1}{2} \int \beta^{*n} \delta([D, \beta^n]) |D|^{-2}. \tag{4.53}$$

Since  $\varphi_1^{(2)} = 0$  on  $\mathcal{J}$ , we get

$$\begin{aligned} \varphi_1(\mu', \mu) &= \int \beta^{*n} [D, \beta^n] |D|^{-1} + (\ell - k) \int_{\mathcal{H}'} |D|^{-1} - \ell (\ell - k) \int_{\mathcal{H}'} |D|^{-2} \\ &\quad - \frac{1}{2} (k - \ell) (\ell - k) \int_{\mathcal{H}'} |D|^{-2} - \frac{1}{2} \int \beta^{*n} \delta([D, \beta^n]) |D|^{-2}. \end{aligned}$$

Now, by (4.39),

$$\int \beta^{*n}[D, \beta^n]|D|^{-1} - \frac{1}{2} \int \beta^{*n} \delta([D, \beta^n])|D|^{-2} = 2n. \tag{4.54}$$

Thus we get

$$\varphi_1(\mu', \mu) = 2n + (\ell - k) \int_{\mathcal{H}'} |D|^{-1} - \frac{1}{2}(\ell^2 - k^2) \int_{\mathcal{H}'} |D|^{-2}. \tag{4.55}$$

Let us show that  $\varphi_1$  is cohomologous to  $\tau_1$  on  $\mathcal{J}$ . Indeed, let  $\rho(k)$  be an arbitrary sequence of polynomial growth and  $\varphi_0$  be the 0-co-chain given by

$$\varphi_0(\alpha^{*k} \beta^n \alpha^\ell) = 0 \quad \text{unless } k = \ell, \quad \varphi_0(\alpha^{*k} \beta^0 \alpha^k) = \rho(k). \tag{4.56}$$

Then

$$(b\varphi_0)(\mu', \mu) = \varphi_0(\mu' \mu) - \varphi_0(\mu \mu'),$$

and both terms vanish unless  $k = \ell', k' = \ell, n' = -n$ . Moreover, in that case,

$$\mu \mu' = \alpha^{*k} \beta^n \alpha^\ell \alpha^{*k'} \beta^{n'} \alpha^{\ell'} = \alpha^{*k} \beta^0 \alpha^k,$$

while

$$\mu' \mu = \alpha^{*\ell} \beta^{-n} \alpha^k \alpha^{*k} \beta^n \alpha^\ell = \alpha^{*\ell} \beta^0 \alpha^\ell,$$

so that

$$(b\varphi_0)(\mu', \mu) = \rho(\ell) - \rho(k).$$

Thus, with

$$\rho(k) = k \int_{\mathcal{H}'} |D|^{-1} - \frac{1}{2} k^2 \int_{\mathcal{H}'} |D|^{-2}, \tag{4.57}$$

we have, on the ideal  $\mathcal{J}$ ,

$$\varphi_1 = \tau_1 + b\varphi_0. \tag{4.58}$$

Let us now extend this equality to the case when only one of the variables  $\mu, \mu'$  belongs to the ideal  $\mathcal{J}$ .

Assuming first that  $\mu$  belongs to the ideal  $\mathcal{J}$ , we just need to compute  $\varphi_1(\mu', \mu)$  for  $\mu = \alpha^{*k} \beta^0 \alpha^\ell$  and  $\mu' = \alpha^{*k'}$  if  $k' = \ell - k \geq 0$  or  $\mu' = \alpha^{\ell'}$  if  $\ell' = k - \ell > 0$ . One has, by (4.42),  $[D, \mu] = \alpha^{*k} X \alpha^\ell, X = (k - \ell) \beta^0 F$ , since  $[D, \beta^0] = 0$  and  $[F, \beta^0] = 0$ . Thus, for  $k' \geq 0$ ,

$$\int \mu' [D, \mu] |D|^{-1} = \int \alpha^{*k'} \alpha^{*k} (k - \ell) \beta^0 F \alpha^\ell |D|^{-1} = (k - \ell) \int \alpha^{*\ell} \beta^0 F \alpha^\ell |D|^{-1},$$

since  $k + k' = \ell$ .

For  $k' < 0, \ell' = k - \ell > 0$ , one gets

$$\int \alpha^{\ell'} \alpha^{*k} (k - \ell) \beta^0 F \alpha^\ell |D|^{-1} = (k - \ell) \int \alpha^{*\ell} \beta^0 F \alpha^\ell |D|^{-1}.$$



Thus, in both cases, we get, using

$$\int \alpha^{*\ell} \beta^0 F \alpha^\ell |D|^{-1} = \int \beta^0 F |D|^{-1} - \ell \int \beta_0 F |D|^{-2} = - \int_{\mathcal{H}'} |D|^{-1} + \ell \int_{\mathcal{H}'} |D|^{-2}, \tag{4.59}$$

the formula

$$\int \mu' [D, \mu] |D|^{-1} = (\ell - k) \int_{\mathcal{H}'} |D|^{-1} + \ell(k - \ell) \int_{\mathcal{H}'} |D|^{-2}. \tag{4.60}$$

One has

$$\delta([D, \mu]) = \delta((k - \ell) \alpha^{*k} \beta^0 F \alpha^\ell) = (k - \ell)^2 \alpha^{*k} \beta^0 F \alpha^\ell,$$

so that

$$\int \mu' \delta([D, \mu]) |D|^{-2} = -(k - \ell)^2 \int_{\mathcal{H}'} |D|^{-2}. \tag{4.61}$$

Thus

$$\begin{aligned} \varphi_1(\mu', \mu) &= (\ell - k) \int_{\mathcal{H}'} |D|^{-1} + (\ell(k - \ell) + \frac{1}{2}(k - \ell)^2) \int_{\mathcal{H}'} |D|^{-2} \\ &= (\ell - k) \int_{\mathcal{H}'} |D|^{-1} + \frac{1}{2}(k^2 - \ell^2) \int_{\mathcal{H}'} |D|^{-2}. \end{aligned}$$

Now one has  $\tau_1(\mu', \mu) = 0$  and

$$b\varphi_0(\mu', \mu) = \varphi_0(\mu' \mu) - \varphi_0(\mu \mu') = \varphi_0(\alpha^{*\ell} \beta^0 \alpha^\ell) - \varphi_0(\alpha^{*k} \beta^0 \alpha^k) = \rho(\ell) - \rho(k).$$

Thus we check that

$$\varphi_1(\mu', \mu) = \tau_1(\mu', \mu) + b\varphi_0(\mu', \mu). \tag{4.62}$$

Let us now assume that  $\mu'$  belongs to the ideal  $\mathcal{J}$ . We take  $\mu' = \alpha^{*k'} \beta^0 \alpha^{\ell'}$  and  $\mu$  to be  $\alpha^{*k}$  if  $k = \ell' - k' \geq 0$  and  $\alpha^\ell$  if  $\ell = k' - \ell' > 0$ . Assume first  $k \geq 0$ . One has  $[D, \mu] = k \alpha^{*k} F$  and  $\int \mu' [D, \mu] |D|^{-1} = k \int \alpha^{*k'} \beta^0 \alpha^{k'} F |D|^{-1}$ . Thus, using (4.59), we get

$$\int \mu' [D, \mu] |D|^{-1} = k \left( - \int_{\mathcal{H}'} |D|^{-1} + k' \int_{\mathcal{H}'} |D|^{-2} \right).$$

But  $k = \ell' - k'$ , so that

$$\int \mu' [D, \mu] |D|^{-1} = (k' - \ell') \int_{\mathcal{H}'} |D|^{-1} + k'(\ell' - k') \int_{\mathcal{H}'} |D|^{-2}. \tag{4.63}$$

Also,  $\delta([D, \mu]) = k^2 \alpha^{*k} F$  and

$$\int \mu' \delta([D, \mu]) |D|^{-2} = k^2 \int \alpha^{*k'} \beta^0 \alpha^{k'} F |D|^{-2} = -k^2 \int_{\mathcal{H}'} |D|^{-2}.$$

Thus

$$\varphi_1(\mu', \mu) = (k' - \ell') \int_{\mathcal{H}'} |D|^{-1} + (k'(\ell' - k') + \frac{1}{2}k^2) \int_{\mathcal{H}'} |D|^{-2}.$$

One has

$$k'(\ell' - k') + \frac{1}{2}k^2 = k'(\ell' - k') + \frac{1}{2}(\ell' - k')^2 = \frac{1}{2}\ell'^2 - \frac{1}{2}k'^2,$$

so that

$$\varphi_1(\mu', \mu) = (k' - \ell') \int_{\mathcal{H}'} |D|^{-1} + \frac{1}{2}(\ell'^2 - k'^2) \int_{\mathcal{H}'} |D|^{-2}. \tag{4.64}$$

Now  $\mu'\mu = \alpha^{*k'}\beta^0\alpha^{k'}$ ,  $\mu\mu' = \alpha^{*\ell'}\beta^0\alpha^{\ell'}$ , so that

$$b\varphi_0(\mu', \mu) = \varphi_0(\mu'\mu) - \varphi_0(\mu\mu') = \varphi_0(\alpha^{*k'}\beta^0\alpha^{k'}) - \varphi_0(\alpha^{*\ell'}\beta^0\alpha^{\ell'}) = \rho(k') - \rho(\ell').$$

Thus, since  $\tau_1(\mu', \mu) = 0$ , we get

$$\varphi_1(\mu', \mu) = \tau_1(\mu', \mu) + (b\varphi_0)(\mu', \mu). \tag{4.65}$$

Next, let us assume that  $\ell = k' - \ell' > 0$ . Then  $\mu = \alpha^\ell$ ,  $[D, \mu] = -\ell\alpha^\ell F$  and  $\mu'[D, \mu] = -\ell\alpha^{*k'}\beta^0\alpha^{k'}F$ , so that, by (4.59),

$$\int \mu'[D, \mu]|D|^{-1} = -\ell \left( -\int_{\mathcal{H}'} |D|^{-1} + k' \int_{\mathcal{H}'} |D|^{-2} \right), \tag{4.66}$$

$$\int \mu'[D, \mu]|D|^{-1} = (k' - \ell') \int_{\mathcal{H}'} |D|^{-1} + k'(\ell' - k') \int_{\mathcal{H}'} |D|^{-2}. \tag{4.67}$$

One has  $\delta([D, \mu]) = \ell^2\alpha^\ell F$  and

$$\int \mu'\delta([D, \mu])|D|^{-2} = \ell^2 \int \alpha^{*k'}\beta^0\alpha^{k'}F|D|^{-1} = -\ell^2 \int_{\mathcal{H}'} |D|^{-2} = -(k' - \ell')^2 \int_{\mathcal{H}'} |D|^{-2}. \tag{4.68}$$

Thus, as above, the coefficient of  $\int_{\mathcal{H}'} |D|^{-2}$  in  $\varphi_1(\mu', \mu)$  is

$$k'(\ell' - k') + \frac{1}{2}(k' - \ell')^2 = \frac{1}{2}\ell'^2 - \frac{1}{2}k'^2,$$

and

$$\varphi_1(\mu', \mu) = (k' - \ell') \int_{\mathcal{H}'} |D|^{-1} + \frac{1}{2}(\ell'^2 - k'^2) \int_{\mathcal{H}'} |D|^{-2}. \tag{4.69}$$

Thus, as above, we get

$$\varphi_1(\mu', \mu) = \tau_1(\mu', \mu) + b\varphi_0(\mu', \mu). \tag{4.70}$$

Before we proceed, note that (4.56), which defines  $\varphi_0$ , is only determined up to the addition of an arbitrary constant to  $\rho$ . As it turns out, this constant will play a role and will be uniquely specified by (4.7) with the value  $\frac{2}{3}$ . Also, in order to show that the above computation was largely independent of the specific numerical values of  $\int_{\mathcal{H}'} |D|^{-1}$  and  $\int_{\mathcal{H}'} |D|^{-2}$ , we did not replace these expressions by their values, which are

$$\int_{\mathcal{H}'} |D|^{-1} = -1, \quad \int_{\mathcal{H}'} |D|^{-2} = 2. \tag{4.71}$$

Note that to get (4.71), we use (4.19) and (4.20) and compute

$$\begin{aligned} \text{Trace}_{\mathcal{H}'}(e^{-t|D|}) &= \left( \sum_{k \in \mathbb{Z}} e^{-t|k|} \right) \left( \sum_1^\infty e^{-t\ell} \right) \\ &= \left( 1 + \frac{2}{e^t - 1} \right) \left( \frac{1}{e^t - 1} \right) \\ &\sim \frac{2}{t^2} - \frac{1}{t} + \frac{1}{3} - \frac{1}{12}t + \dots \end{aligned}$$

Thus (up to an additive constant) (4.57) gives

$$\rho(j) = -(j + j^2). \tag{4.72}$$

We extend the definition of  $\varphi_0$  to  $\mathcal{A}$  by  $\varphi_0(1) = 0$ , while, as above,  $\varphi_0(a)$  vanishes if the bidegree of  $a$  is  $\neq (0, 0)$ .

### 4.3. Three-dimensional components

It follows from (4.70) that  $\psi = \varphi_1 - \tau_1 - b\varphi_0$  vanishes if one of the arguments is in  $\mathcal{J}$  and thus  $\psi(a_0, a_1)$  only depends on the symbols  $\sigma(a_i) \in C^\infty(S^1)$ , where

$$0 \rightarrow \mathcal{J} \rightarrow \mathcal{A} \xrightarrow{\sigma} C^\infty(S^1) \rightarrow 0 \tag{4.73}$$

is the natural exact sequence, with  $\sigma(\alpha) = u$  and  $\sigma(\beta) = 0$ .

But the same holds for the component  $\varphi_3$ ,

$$\varphi_3(a_0, a_1, a_2, a_3) = \int a_0[D, a_1][D, a_2][D, a_3]|D|^{-3}. \tag{4.74}$$

Indeed, if one of the  $a_j$  belongs to the two-sided ideal  $\mathcal{J}$ , one is dealing with a trace-class operator, since  $|D|^{-3}$  is trace class on the support of  $\beta$ . Thus  $\varphi_3(a_0, a_1, a_2, a_3)$  only depends on the symbols  $f_j = \sigma(a_j)$  and is given by

$$\varphi_3(a_0, a_1, a_2, a_3) = \frac{-1}{2\pi i} \int_{S^1} f_0 f'_1 f'_2 f'_3 d\theta, \tag{4.75}$$

where  $f' = \partial/\partial\theta$ . Since  $F = 2P - 1$  introduces a minus sign, we use (4.41) and can replace  $[D, a_j]$  by  $-if'_j$ , so that (4.75) follows from

$$\int |D|^{-3} = 1. \tag{4.76}$$

Thus, to get the complete control of the co-cycle  $\varphi$ , it remains only to compute  $\psi(\alpha^k, \alpha^{*k})$  and  $\psi(\alpha^{*k}, \alpha^k)$ .

Let us compute  $\psi(\alpha^k, \alpha^{*k})$ . One has

$$b\varphi_0(\alpha^k, \alpha^{*k}) = \varphi_0(\alpha^k \alpha^{*k}) - \varphi_0(\alpha^{*k} \alpha^k) = -\varphi_0(\alpha^{*k} \alpha^k).$$

Let  $\lambda_k = \varphi_0(\alpha^{*k} \alpha^k)$ . Then

$$\lambda_k - \lambda_{k-1} = \varphi_0(\alpha^{*k-1}(\alpha^* \alpha - 1)\alpha^{k-1}) = -\varphi_0(\alpha^{*k-1}\beta^0\alpha^{k-1}) = -\rho(k-1),$$

since  $\alpha^* \alpha - 1 = -\beta^0$ . We get

$$\lambda_k = \sum_0^{k-1} (j + j^2) = \frac{1}{3}k^3 - \frac{1}{3}k \tag{4.77}$$

and

$$b\varphi_0(\alpha^k, \alpha^{*k}) = -\frac{1}{3}k^3 + \frac{1}{3}k. \tag{4.78}$$

Let us compute  $\varphi_1(\alpha^k, \alpha^{*k})$ . With  $\varphi_1 = \varphi_1^{(0)} - \frac{1}{4}\varphi_1^{(1)} + \frac{1}{8}\varphi_1^{(2)}$ , one has

$$\varphi_1^{(0)}(\alpha^k, \alpha^{*k}) = \int \alpha^k [D, \alpha^{*k}] |D|^{-1} = \int k\alpha^k \alpha^{*k} F |D|^{-1} = k \int F |D|^{-1},$$

using (4.41). Next,

$$\varphi_1^{(1)}(\alpha^k, \alpha^{*k}) = \int \alpha^k \nabla([D, \alpha^{*k}]) |D|^{-3} = k \int \alpha^k \nabla(\alpha^{*k} F) |D|^{-3}.$$

But  $\nabla(x) = |D|\delta(x) + \delta(x)|D| = \delta^2(x) + 2\delta(x)|D|$  and  $\delta^2(\alpha^{*k} F) = k^2 \alpha^{*k} F$ . Thus

$$\varphi_1^{(1)}(\alpha^k, \alpha^{*k}) = k^3 \int F |D|^{-3} + 2k^2 \int F |D|^{-2}.$$

Finally,

$$\varphi_1^{(2)}(\alpha^k, \alpha^{*k}) = \int \alpha^k \nabla^2([D, \alpha^{*k}]) |D|^{-5} = 4 \int \alpha^k \delta^2([D, \alpha^{*k}]) |D|^{-3} = 4k^3 \int F |D|^{-3}.$$

Thus

$$\varphi_1(\alpha^k, \alpha^{*k}) = k \int F |D|^{-1} - \frac{1}{2}k^2 \int F |D|^{-2} + (-\frac{1}{4}k^3 + \frac{1}{2}k^3) \int F |D|^{-3}. \tag{4.79}$$

One has  $\int F |D|^{-3} = -1$  and thus the term in  $k^3$  is  $-\frac{1}{4}k^3$ . Thus the term in  $k^3$  in  $\psi = \varphi_1 - \tau_1 - b\varphi_0$  is, using (4.78),

$$(\frac{1}{3} - \frac{1}{4})k^3 = \frac{1}{12}k^3. \tag{4.80}$$

As we shall see now, this  $\frac{1}{12}$  corresponds exactly to the coefficient  $\frac{1}{12}$  in the universal index formula (Theorem 2.1).

Indeed, the term in  $k^3$  corresponds to the co-chain  $\psi_3$  given in terms of the symbols  $f_0, f_1$  by

$$\psi_3(a_0, a_1) = \frac{1}{2\pi i} \int f_0 f_1''' d\theta. \tag{4.81}$$

Let us compute  $b\psi_3$ , where we only involve the symbols. One has

$$f_0 f_1 f_2''' - f_0 (f_1 f_2)''' + f_2 f_0 f_1''' = f_0 (-3f_1'' f_2' - 3f_1' f_2'').$$

Thus we get

$$b\psi_3(a_0, a_1, a_2) = \frac{1}{2\pi i} \int f_0 (-3f_1'' f_2' - 3f_1' f_2'') d\theta. \tag{4.82}$$

We have

$$B_0 \varphi_3(a_0, a_1, a_2) = -\frac{1}{2\pi i} \int f_0' f_1' f_2' d\theta = \frac{1}{2\pi i} \int f_0 (f_1'' f_2' + f_1' f_2'') d\theta.$$

This is already cyclic, so that

$$B\varphi_3(a_0, a_1, a_2) = \frac{3}{2\pi i} \int f_0(f_1'' f_2' + f_1' f_2'') d\theta, \tag{4.83}$$

and we get

$$b\psi_3 + B\varphi_3 = 0. \tag{4.84}$$

In fact,

$$\psi_3 = B\varphi_2, \quad \varphi_3 = b\varphi_2, \tag{4.85}$$

where  $\varphi_2$  is given by

$$\varphi_2(a_0, a_1, a_2) = \frac{-1}{2} \frac{1}{2\pi i} \int f_0 f_1' f_2'' d\theta. \tag{4.86}$$

Let us now compute  $\int F|D|^{-2}$ . One has

$$\int |D|^{-2} = 2 \tag{4.87}$$

and

$$\int P|D|^{-2} = 1. \tag{4.88}$$

Thus we get, since  $F = 2P - 1$ ,

$$\int F|D|^{-2} = 0 \tag{4.89}$$

and, by a similar computation,

$$\int F|D|^{-1} = 1. \tag{4.90}$$

This gives

$$\psi(\alpha^k, \alpha^{*k}) = \frac{2}{3}k + \frac{1}{12}k^3. \tag{4.91}$$

The computation of  $\psi(\alpha^{\ell*}, \alpha^\ell)$  is entirely similar and gives  $\psi(\alpha^{\ell*}, \alpha^\ell) = -\frac{2}{3}\ell - \frac{1}{12}\ell^3$ . We thus have  $\psi = -\frac{2}{3}\psi_1 + \frac{1}{12}\psi_3$ , where

$$\psi_1(a_0, a_1) = \frac{1}{2\pi i} \int f_0 f_1' d\theta. \tag{4.92}$$

It just remains to see why adding a constant to  $\rho$  allows us to eliminate  $-\frac{2}{3}\psi_1$  from  $\psi$ . This follows from (4.77) and (4.78), i.e.

$$b\varphi_0(\alpha^k, \alpha^{*k}) = \sum_0^{k-1} \rho(j). \tag{4.93}$$

Thus, adding  $\frac{2}{3}$  to  $\rho$  gives  $\psi = \frac{1}{12}\psi_3$  and ends the proof of Theorem 4.1.

We shall now understand the conceptual meaning of the above concrete computation.

**5. The  $\eta$ -co-chain**

In this section, we shall give two general formulae. The first will provide the conceptual explanation of Theorem 4.1, and of the co-chain  $(\varphi_0, \varphi_2)$  that appears there. The second will prepare for the computation of the local index formula in the general case  $q \in ]0, 1[$ .

The explanation of Theorem 4.1 and of the co-chains

$$\varphi_0(\alpha^{*j} f(\beta)\alpha^j) = \left(\frac{2}{3} - j - j^2\right) \frac{1}{2\pi} \int_{S^1} f \, d\theta, \tag{5.1}$$

$$\varphi_2(a_0, a_1, a_2) = \frac{-1}{24} \frac{1}{2\pi i} \int f_0 f_1' f_2'' \, d\theta, \quad f_j = \sigma(a_j), \tag{5.2}$$

is given by the following.

**Proposition 5.1.** *Let  $(\mathcal{A}, \mathcal{H}, D)$  be a spectral triple with discrete simple dimension spectrum not containing 0 and upper bounded by 3. Assume that  $[F, a]$  is trace class for all  $a \in \mathcal{A}$ . Let  $\tau_1(a_0, a_1) = \text{Trace}(a_0[F, a_1])$ .*

*Then the local Chern character  $(\varphi_1, \varphi_3)$  of  $(\mathcal{A}, \mathcal{H}, D)$  is equal to  $\tau_1 + (b + B)\varphi$ , where  $(\varphi_0, \varphi_2)$  is the co-chain given by*

$$\begin{aligned} \varphi_0(a) &= \text{Trace}(Fa|D|^{-s})_{s=0}, \\ \varphi_2(a_0, a_1, a_2) &= \frac{1}{24} \int a_0 \delta(a_1) \delta^2(a_2) F|D|^{-3}. \end{aligned}$$

Note that  $\varphi_0$  makes sense by the absence of pole at  $s = 0$ , i.e. the hypothesis  $0 \notin \text{Dimension Spectrum}$ . Its value for  $a = 1$  coincides with the classical  $\eta$ -invariant (see [1, 2]) and justifies the terminology of  $\eta$ -co-chain to qualify the co-chain  $(\varphi_0, \varphi_2)$ .

The proof of the proposition is a simple calculation based on the expansion (see [13])

$$\begin{aligned} |D|^{-s} a &\sim a|D|^{-s} - s\delta(a)|D|^{-s-1} + \frac{(-s)(-s-1)}{2!} \delta^2(a)|D|^{-s-2} \\ &\quad + \frac{(-s)(-s-1)(-s-3)}{3!} \delta^3(a)|D|^{-s-3} + so(|D|^{-s-3}), \end{aligned} \tag{5.3}$$

which allows us to express  $b\varphi_0$  in terms of residues. More specifically, one gets

$$\begin{aligned} b\varphi_0(a_0, a_1) &= -\tau_1(a_0, a_1) + \int a_0 \delta(a_1) F|D|^{-1} \\ &\quad - \frac{1}{2} \int a_0 \delta^2(a_1) F|D|^{-2} + \frac{1}{3} \int a_0 \delta^3(a_1) F|D|^{-3}, \end{aligned} \tag{5.4}$$

using the hypothesis  $[F, a]$  trace class for all  $a \in \mathcal{A}$ . This hypothesis also shows that

$$\varphi_1(a_0, a_1) = \int a_0 \delta(a_1) F|D|^{-1} - \frac{1}{2} \int a_0 \delta^2(a_1) F|D|^{-2} + \frac{1}{4} \int a_0 \delta^3(a_1) F|D|^{-3}. \tag{5.5}$$

Comparing equation (5.4) with (5.5) gives the required  $\frac{1}{12}$  and allows us to check that  $\varphi_{\text{odd}} = \tau_1 + (b + B)\varphi_{\text{ev}}$ .

Let us compute  $\varphi_{\text{ev}}$  in the above example. One has, as in (3.56),

$$\varphi_0(\alpha^{*k} e \alpha^k) = (\text{Trace}(Fe(|D| + k)^{-s}))_{s=0}. \tag{5.6}$$

Using  $F = 2P - 1$ , this gives

$$\varphi_0(\alpha^{*k} e \alpha^k) = 2 \left( \sum_0^\infty \frac{1}{(n+k)^s} \right)_{s=0} - \left( \sum_0^\infty \frac{2n+1}{(n+k)^s} \right)_{s=0}. \tag{5.7}$$

One has

$$\left( \sum_0^\infty \frac{1}{(n+k)^s} \right)_{s=0} = \zeta(0) - (k-1).$$

Also,

$$\begin{aligned} \left( \sum_0^\infty \frac{2n+1}{(n+k)^s} \right)_{s=0} &= 2\zeta(-1) + (1-2k)\zeta(0) - \sum_1^{k-1} (2\ell - 2k + 1) \\ &= 2\zeta(-1) + (1-2k)\zeta(0) + (k-1)^2. \end{aligned} \tag{5.8}$$

Thus we get

$$\varphi_0(\alpha^{*k} e \alpha^k) = 2(\zeta(0) - (k-1)) - (2\zeta(-1) + (1-2k)\zeta(0) + (k-1)^2), \tag{5.9}$$

which, using the values

$$\zeta(0) = -\frac{1}{2}, \quad \zeta(-1) = -\frac{1}{12}, \tag{5.10}$$

gives the desired result

$$\varphi_0(\alpha^{*k} e \alpha^k) = \frac{2}{3} - k - k^2. \tag{5.11}$$

The only other non-trivial value of  $\varphi_0$  is  $\eta = \varphi_0(1)$ , and the computation gives  $\eta = \frac{1}{2}$ . Finally, the equality

$$\int a_0 \delta(a_1) \delta^2(a_2) F |D|^{-3} = \frac{1}{2\pi i} \int f_0 f_1' f_2'' d\theta, \quad f_j = \sigma(a_j), \tag{5.12}$$

and the coincidence of the functional  $\tau_1$  of Theorem 4.1 with  $\text{Trace}(a_0[F, a_1])$  give a perfect account of Theorem 4.1.

In order to lighten the general computation, for  $q \in ]0, 1[$ , we shall state a small variant of Proposition 5.1, proved in a similar way. Given a spectral triple  $(\mathcal{A}, \mathcal{H}, D)$ , let us define the metric dimension  $\text{Dm}(P)$  of a projection  $P$  commuting with  $D$  as the lower bound of all  $d \in \mathbb{R}$  such that  $P(D+i)^{-1}$  is in the Schatten class  $L^d$ . We then have, as above, the following result.

**Proposition 5.2.** *Let  $(\mathcal{A}, \mathcal{H}, D)$  be a spectral triple with discrete dimension spectrum not containing 0 and upper bounded by 3. Assume that  $\text{Dm}(P) \leq 2$ ,  $P = \frac{1}{2}(1 + F)$ , and that  $[F, a]$  is trace class for all  $a \in \mathcal{A}$ .*

Then the local Chern character  $(\varphi_1, \varphi_3)$  of  $(\mathcal{A}, \mathcal{H}, D)$  is equal to  $\psi_1 - (b + B)\varphi$ , where  $\psi_1$  is the cyclic co-cycle

$$\psi_1(a_0, a_1) = 2 \int a_0 \delta(a_1) P |D|^{-1} - \int a_0 \delta^2(a_1) P |D|^{-1}$$

and  $(\varphi_0, \varphi_2)$  is the co-chain given by

$$\begin{aligned} \varphi_0(a) &= \text{Trace}(a |D|^{-s})_{s=0}, \\ \varphi_2(a_0, a_1, a_2) &= \frac{1}{24} \int a_0 \delta(a_1) \delta^2(a_2) |D|^{-3}. \end{aligned}$$

Combining Propositions 5.1 and 5.2, one obtains, under the hypothesis of Proposition 5.2, the equality

$$\psi_1 - \tau_1 = b(\psi_0), \quad (5.13)$$

where the co-chain  $\psi_0$  is given by

$$\psi_0(a) = 2 \text{Trace}(a P |D|^{-s})_{s=0}. \quad (5.14)$$

## 6. Pseudo-differential calculus and the co-sphere bundle on $SU_q(2)$ , $q \in ]0, 1[$

In this section, we shall construct the pseudo-differential calculus on  $SU_q(2)$  following the general theory of [13]. We shall determine the algebra of complete symbols by computing the quotient by smoothing operators. This will give the co-sphere bundle  $S_q^*$  of  $SU_q(2)$  and the analogue of the geodesic flow will yield a one-parameter group of automorphisms  $\gamma_t$  of  $C^\infty(S_q^*)$ . We shall also construct the restriction morphism  $r$  to the product of two 2-discs,

$$r : C^\infty(S_q^*) \rightarrow C^\infty(D_{q+}^2 \times D_{q-}^2). \quad (6.1)$$

Our goal is to prepare for the computation in the next section of the dimension spectrum and of residues. Let us recall from [13] that, given a spectral triple  $(\mathcal{A}, \mathcal{H}, D)$ , we say that an operator  $P$  in  $\mathcal{H}$  is of order  $\alpha$  when

$$|D|^{-\alpha} P \in \bigcap_{n=1}^{\infty} \text{Dom } \delta^n, \quad (6.2)$$

where  $\delta$  is the unbounded derivation given by

$$\delta(T) = |D|T - T|D|. \quad (6.3)$$

Thus

$$OP^0 = \bigcap_{n=1}^{\infty} \text{Dom } \delta^n$$

is the algebra of operators of order 0 and

$$OP^{-\infty} = \bigcap_{k>0} OP^{-k}$$

is a two-sided ideal in  $OP^0$ .



We let  $(\mathcal{A}, \mathcal{H}, D)$  be the spectral triple of [3] and we first determine the algebra  $\mathcal{B}$  generated by the  $\delta^k(a)$ ,  $a \in \mathcal{A}$ .

Recall that  $D$  is the diagonal operator in  $\mathcal{H}$  given by

$$D(e_{ij}^{(n)}) = (2\delta_0(n - i) - 1)2ne_{ij}^{(n)}, \tag{6.4}$$

where  $\delta_0(k) = 0$  if  $k \neq 0$  and  $\delta_0(0) = 1$ .

By construction, the generators  $\alpha, \beta$  of  $\mathcal{A}$  are of the form

$$\alpha = \alpha_+ + \alpha_-, \quad \beta = \beta_+ + \beta_-, \tag{6.5}$$

where

$$\delta(\alpha_{\pm}) = \pm\alpha_{\pm}, \quad \delta(\beta_{\pm}) = \pm\beta_{\pm}. \tag{6.6}$$

The explicit form of  $\alpha_{\pm}, \beta_{\pm}$  is, using  $\frac{1}{2}n$  instead of  $n$  for the notation of the  $\frac{1}{2}$  integer,

$$\alpha_{\pm}(e_{(i,j)}^{(n/2)}) = a_{\pm}(\frac{1}{2}n, i, j)e_{(i-1/2, j-1/2)}^{((n\pm 1)/2)}, \tag{6.7}$$

$$\beta_{\pm}(e_{(i,j)}^{(n/2)}) = b_{\pm}(\frac{1}{2}n, i, j)e_{(i+1/2, j-1/2)}^{((n\pm 1)/2)}, \tag{6.8}$$

where  $a_{\pm}, b_{\pm}$  are as in (3.3) and (3.4) above.

Thus the algebra  $\mathcal{B}$  is generated by the operators  $\alpha_{\pm}, \beta_{\pm}$  and their adjoints.

We shall now see that, modulo the smoothing operators, we can strip the complicated formulae for the coefficients  $a_{\pm}, b_{\pm}$  and replace them by extremely simple ones. Since we are computing *local formulae*, we are indeed entitled to mod out by smoothing operators and this is exactly where great simplifications do occur.

Let us first relabel the indices  $i, j$  using

$$x = \frac{1}{2}n + i, \quad y = \frac{1}{2}n + j. \tag{6.9}$$

By construction,  $x$  and  $y$  are *integers* that vary exactly in  $\{0, 1, \dots, n\}$ .

Working modulo  $OP^{-\infty}$  means that we can neglect in the formulae for  $a_{\pm}, b_{\pm}$  any modification by a sequence of rapid decay in the set

$$\Lambda = \{(n, x, y); n \in \mathbb{N}, x, y \in \{0, \dots, n\}\}. \tag{6.10}$$

Thus, first of all, we can get rid of the denominators, since both  $(1 - q^{2n})^{-1/2}$  or  $(1 - q^{(2n+2)})^{-1/2}$  are equivalent to 1 and the numerators are bounded.

Next, when we rewrite the numerators in terms of the variables  $n, x, y$ , we get, say, for  $a_+$ , the simplified form

$$a'_+(n, x, y) = q^{1+x+y}(1 - q^{2+2(n-x)})^{1/2}(1 - q^{2+2(n-y)})^{1/2}. \tag{6.11}$$

Modulo sequences of rapid decay, one has

$$q^x(1 - q^{2+2(n-x)})^{1/2} \sim q^x,$$

as one sees from the inequality  $(1 - (1 - u)^{1/2}) \leq u$  valid for  $u \in [0, 1]$  and the fact that

$$q^x q^{2(n-x)} \leq q^x q^{(n-x)} = q^n.$$

Thus we see that, modulo sequences of rapid decay, we can replace  $a'_+$  by

$$a''_+(n, x, y) = q^{1+x+y}. \quad (6.12)$$

To simplify formulae, let us relabel the basis as

$$f_{x,y}^{(n)} = e_{(x-n/2, y-n/2)}^{(n/2)}, \quad (6.13)$$

then the following operator agrees with  $\alpha_+$  modulo  $OP^{-\infty}$ :

$$\alpha'_+(f_{x,y}^{(n)}) = q^{1+x+y} f_{x,y}^{(n+1)}. \quad (6.14)$$

For  $\alpha_-$ , one has, as above,

$$a'_-(n, x, y) = (1 - q^{2x})^{1/2} (1 - q^{2y})^{1/2}, \quad (6.15)$$

and the corresponding operator  $\alpha'_-$  is

$$\alpha'_-(f_{x,y}^{(n)}) = (1 - q^{2x})^{1/2} (1 - q^{2y})^{1/2} f_{x-1, y-1}^{(n-1)}. \quad (6.16)$$

Note that  $\alpha'_-$  makes sense for  $x = 0, y = 0$ . For  $\beta_+$ , one gets

$$b'_+(n, x, y) = -q^y (1 - q^{2+2(n-y)})^{1/2} (1 - q^{2+2x})^{1/2}, \quad (6.17)$$

and, as above, we can replace it by

$$b''_+(n, x, y) = -q^y (1 - q^{2+2x})^{1/2}, \quad (6.18)$$

which gives

$$\beta'_+(f_{x,y}^{(n)}) = -q^y (1 - q^{2+2x})^{1/2} f_{x+1, y}^{(n+1)}. \quad (6.19)$$

In a similar way, one gets

$$\beta'_-(f_{x,y}^{(n)}) = q^x (1 - q^{2y})^{1/2} f_{x, y-1}^{(n-1)}, \quad (6.20)$$

which makes sense even for  $y = 0$ .

It is conspicuous in the above formulae that the new and much simpler coefficients *no longer depend upon the variable  $n$* .

To understand these formulae, we introduce the following representations  $\pi_{\pm}$  of  $\mathcal{A} = C^{\infty}(SU_q(2))^*$ . In both cases, the Hilbert spaces are  $\mathcal{H}_{\pm} = \ell^2(\mathbb{N})$ , with basis  $(\varepsilon_x)_{x \in \mathbb{N}}$ , and the representations are given by

$$\pi_{\pm}(\alpha)\varepsilon_x = (1 - q^{2x})^{1/2} \varepsilon_{x-1} \quad \forall x \in \mathbb{N}, \quad (6.21)$$

$$\pi_{\pm}(\beta)\varepsilon_x = \pm q^x \varepsilon_x \quad \forall x \in \mathbb{N}. \quad (6.22)$$

\* See the appendix for the notation.

With this notation, and if we ignore the  $n$  dependence in the above formulae, we have the correspondence

$$\left. \begin{aligned} \alpha'_+ &\cong -q\beta^* \otimes \beta, \\ \alpha'_- &\cong \alpha \otimes \alpha, \\ \beta'_+ &\cong \alpha^* \otimes \beta, \\ \beta'_- &\cong \beta \otimes \alpha, \end{aligned} \right\} \tag{6.23}$$

through the representation  $\pi = \pi_+ \otimes \pi_-$ . Now recall that  $\mathcal{A}$  is a Hopf algebra, with co-product corresponding to matrix tensor multiplication for the following  $2 \times 2$  matrix,

$$U = \begin{bmatrix} \alpha & -q\beta^* \\ \beta & \alpha^* \end{bmatrix}, \tag{6.24}$$

which gives

$$\left. \begin{aligned} \Delta\alpha &= \alpha \otimes \alpha - q\beta^* \otimes \beta, \\ \Delta\beta &= \beta \otimes \alpha + \alpha^* \otimes \beta. \end{aligned} \right\} \tag{6.25}$$

This shows, of course, that  $\alpha' = \alpha'_+ + \alpha'_-$  and  $\beta' = \beta'_+ + \beta'_-$  provide a representation of  $\mathcal{A}$  that is the tensor product in the sense of Hopf algebras of the representations  $\pi_+$  and  $\pi_-$  of  $\mathcal{A}$ . However, to really understand the algebra  $\mathcal{B}$  modulo  $OP^{-\infty}$  and its action in  $\mathcal{H}$ , we need to keep track of the shift of  $n$  in the formulae for  $\alpha'_\pm$  and  $\beta'_\pm$ .

One can encode these shifts using the  $\mathbb{Z}$ -grading of  $\mathcal{B}$  coming from the one-parameter group of automorphisms  $\gamma(t)$ , which plays the role of the geodesic flow

$$\gamma(t)(P) = e^{it|D|} P e^{-it|D|}. \tag{6.26}$$

For the corresponding  $\mathbb{Z}$ -grading, one has

$$\deg(\alpha_\pm) = \pm 1, \quad \deg(\beta_\pm) = \pm 1, \tag{6.27}$$

which are the correct powers of the shifts of  $n$  in the above formulae for  $\alpha'_\pm, \beta'_\pm$ . To  $\gamma$ , we associate the algebra morphism

$$\gamma : \mathcal{B} \rightarrow \mathcal{B} \otimes C^\infty(S^1) = C^\infty(S^1, \mathcal{B}), \tag{6.28}$$

given by  $\gamma(b)(t) = \gamma_t(b) \forall t \in S^1$ .

Finally, note that the representations  $\pi_\pm$  are not faithful on  $C^\infty(SU_q(2))$ , since the spectrum of  $\beta$  is real and positive in  $\pi_+$  and real and negative for  $\pi_-$ . We let  $C^\infty(D_{q\pm}^2)$  be the corresponding quotient algebras and  $r_\pm$  the restriction morphisms.

**Proposition 6.1.** *The following equalities define an algebra homomorphism  $\rho$  from  $\mathcal{B}$  to*

$$\begin{aligned} &C^\infty(D_{q+}^2) \otimes C^\infty(D_{q-}^2) \otimes C^\infty(S^1), \\ \rho(\alpha_+) &= -q\beta^* \otimes \beta \otimes u, & \rho(\alpha_-) &= \alpha \otimes \alpha \otimes u^*, \\ \rho(\beta_+) &= \alpha^* \otimes \beta \otimes u, & \rho(\beta_-) &= \beta \otimes \alpha \otimes u^*, \end{aligned}$$

where we omitted  $r_+ \otimes r_-$ .

**Proof.** Using (6.28), it is enough to show that the formulae

$$\begin{aligned}\rho_1(\alpha_+) &= -q\pi_+(\beta^*) \otimes \pi_-(\beta), & \rho_1(\alpha_-) &= \pi_+(\alpha) \otimes \pi_-(\alpha), \\ \rho_1(\beta_+) &= \pi_+(\alpha^*) \otimes \pi_-(\beta), & \rho_1(\beta_-) &= \pi_+(\beta) \otimes \pi_-(\alpha)\end{aligned}$$

define a representation of  $\mathcal{B}$ .

But this representation is weakly contained in the natural representation of  $\mathcal{B}$  in  $\mathcal{H}$ . To obtain  $\rho_1$  from the latter, one just considers vectors  $\varepsilon_{x,y}^N$  in  $\mathcal{H}$ , of the form

$$\varepsilon_{x,y}^N = \sum h_{(n)}^N f_{x,y}^{(n)}, \quad (6.29)$$

where  $h^N \in \ell^2(\mathbb{N})$  corresponds to the amenability of the group  $\mathbb{Z}$ , i.e. to the weak containment of the trivial representation of  $\mathbb{Z}$  by the regular one. Thus  $h^N$  depends on a large integer  $N$  and is  $1/\sqrt{N}$  for  $0 \leq n < N$  and 0 for  $n \geq N$ .

The almost invariance of  $h^N$  under translation of  $n$  shows that the  $n$  dependence of the formulae (6.17)–(6.20) disappears when  $N \rightarrow \infty$  and that  $\rho_1$  is a representation of  $\mathcal{B}$ . Finally,  $\rho$  is its amplification using (6.28).  $\square$

**Definition 6.2.** Let  $C^\infty(S_q^*)$  be the range of  $\rho$  in  $C^\infty(D_{q+}^2 \times D_{q-}^2 \times S^1)$ .

By construction,  $C^\infty(S_q^*)$  is topologically generated by  $\rho(\alpha_\pm)$ ,  $\rho(\beta_\pm)$ . The NC-space  $S_q^*$  plays the role of the *co-sphere bundle*. The algebra  $C^\infty(S_q^*)$  is strictly contained in  $C^\infty(D_{q+}^2 \times D_{q-}^2 \times S^1)$ , since its image under  $\sigma \otimes \sigma \otimes \text{Id}$  is the subalgebra of  $C^\infty(S^1 \times S^1 \times S^1)$  generated by  $u \otimes u \otimes u^*$ . Let  $\nu_t$  be the  $S^1$ -action on  $S_q^*$  given by the restriction of the derivation  $1 \otimes 1 \otimes \partial_u$ , where  $\partial_u(u) = u$ . By construction,

$$\rho(\gamma(t)(P)) = \nu_t(\rho(P)), \quad (6.30)$$

so that  $\nu_t$  is the analogue of the action of the geodesic flow on the co-sphere bundle. We let

$$r : C^\infty(S_q^*) \rightarrow C^\infty(D_{q+}^2 \times D_{q-}^2) \quad (6.31)$$

be the natural restriction morphism.

Viewing  $\rho$  as the total symbol map, we shall now define a natural lifting from symbols to operators. This will only be relevant on the range of  $\rho$ , but to define it we start from the representation  $\pi = \pi_+ \otimes \pi_- \otimes s$  of  $C^\infty(D_{q+}^2) \otimes C^\infty(D_{q-}^2) \otimes C^\infty(S^1)$  in  $\ell^2(\mathbb{N}) \otimes \ell^2(\mathbb{N}) \otimes \ell^2(\mathbb{Z})$ , where  $s(u)$  is the shift  $S$  in  $\ell^2(\mathbb{Z})$ . We let  $Q$  be the orthogonal projection on the subset  $\Lambda$  of the basis  $f_{x,y}^{(n)}$  determined by  $n \geq \sup(x, y)$  and identify the range of  $Q$  with the Hilbert space  $\mathcal{H}$ . By definition, the lifting  $\lambda$  is the compression

$$\lambda(g) = Q\pi(g)Q. \quad (6.32)$$

For  $g$  of the form  $\mu \otimes u^n$ , one has

$$\lambda(g)f_{x,y}^{(\ell)} = \sum \mu_{(x,y)}^{(x',y')} f_{x',y'}^{(\ell+n)}, \quad (6.33)$$

where  $\mu_{(x,y)}^{(x',y')}$  are the matrix elements for the action of  $\mu$  in  $\ell^2(\mathbb{N}) \otimes \ell^2(\mathbb{N})$ ,

$$\mu \varepsilon_{x,y} = \sum \mu_{(x,y)}^{(x',y')} \varepsilon_{x',y'}. \tag{6.34}$$

It may happen in (6.33) that the indices in  $f_{x',y'}^{(\ell+n)}$  do not make sense, i.e. that  $f_{x',y'}^{(\ell+n)}$  does not belong to  $\Lambda$ . In that case, the corresponding term is 0. We have now restored the shift of  $n$  in the formulae for  $\alpha'_\pm$  and  $\beta'_\pm$  and get the following result.

**Lemma 6.3.** *For any  $b \in \mathcal{B}$ , one has*

$$b - \lambda(\rho(b)) \in OP^{-\infty}.$$

We refer to the appendix for the implications of this lemma. We give there another general lemma proving the stability under HFC for the natural smooth algebras involved in our discussion.

**7. Dimension spectrum and residues for  $SU_q(2)$ ,  $q \in ]0, 1[$**

As above, let  $S_q^*$  be the co-sphere bundle of  $SU(2)_q$ ,  $\gamma_t$  its geodesic flow and

$$r : C^\infty(S_q^*) \rightarrow C^\infty(D_{q+}^2 \times D_{q-}^2) \tag{7.1}$$

the natural restriction morphism.

For  $C^\infty(D_{q\pm}^2)$ , we have an exact sequence of the form

$$0 \rightarrow \mathcal{S} \rightarrow C^\infty(D_q^2) \xrightarrow{\sigma} C^\infty(S^1) \rightarrow 0, \tag{7.2}$$

where the ideal  $\mathcal{S}$  is isomorphic to the algebra of matrices of rapid decay. Using the representations  $\pi_\pm$  of  $C^\infty(D_{q\pm}^2)$  in  $\ell^2(\mathbb{N})$  with basis  $(\varepsilon_x)$ ,  $x \in \mathbb{N}$ , we define two linear functionals  $\tau_0$  and  $\tau_1$  by

$$\tau_1(a) = \frac{1}{2\pi} \int_0^{2\pi} \sigma(a) \, d\theta \quad \forall a \in C^\infty(D_q^2) \tag{7.3}$$

and

$$\tau_0(a) = \lim_{N \rightarrow \infty} \text{Trace}_N(\pi(a)) - \tau_1(a)N, \tag{7.4}$$

where

$$\text{Trace}_N(a) = \sum_0^N \langle a\varepsilon_x, \varepsilon_x \rangle \tag{7.5}$$

(where we omitted  $\pm$  in the above formulae). For  $a \in \mathcal{S}$ , one has  $\sigma(a) = 0$  and  $\tau_1(a) = 0$ ,  $\tau_0(a) = \text{Trace}(a)$ . In general, both  $\tau_0$  and  $\tau_1$  are invariant under the one-parameter group generated by  $\partial_\alpha$  and on the fixed points of this group, one has

$$\tau_0(a) = \text{Trace}(\pi(a) - \tau_1(a)1) + \tau_1(a). \tag{7.6}$$

For all  $a \in \mathcal{A}$  one has (for all  $k > 0$ )

$$\text{Trace}_N(\pi(a)) = \tau_1(a)N + \tau_0(a) + O(N^{-k}). \tag{7.7}$$

We shall now prove a general formula computing residues of pseudo-differential operators in terms of their symbols.

**Theorem 7.1.**

- (1) *The dimension spectrum of  $SU_q(2)$  is  $\{1, 2, 3\}$ .*
- (2) *Let  $b \in \mathcal{B}$ ,  $\rho(b) \in C^\infty(S_q^*)$  its symbol. Then let  $\rho(b)^0$  be the component of degree 0 for the geodesic flow  $\gamma_t$ . One has*

$$\begin{aligned} \int b|D|^{-3} &= (\tau_1 \otimes \tau_1)(r\rho(b)^0), \\ \int b|D|^{-2} &= (\tau_1 \otimes \tau_0 + \tau_0 \otimes \tau_1)(r\rho(b)^0), \\ \int b|D|^{-1} &= (\tau_0 \otimes \tau_0)(r\rho(b)^0). \end{aligned}$$

**Proof.** By Lemma 6.3, the operator  $b - \lambda\rho(b)$  belongs to  $OP^{-\infty}$ . Thus  $\zeta_b(s) - \text{Trace}(\lambda\rho(b)|D|^{-s})$  is a holomorphic function of  $s \in \mathbb{C}$ .

One has  $\text{Trace}(\lambda\rho(b)|D|^{-s}) = \text{Trace}(\lambda\rho(b)^0|D|^{-s})$  and, with  $\rho(b)^0 = T$ ,

$$\text{Trace}(\lambda(T)|D|^{-s}) = \sum_{n=0}^{\infty} \left( \sum_{x=0}^n \sum_{y=0}^n \langle \pi(T)\varepsilon_{x,y}, \varepsilon_{x,y} \rangle \right) n^{-s}. \tag{7.8}$$

Thus, by (7.7), we get, modulo holomorphic functions of  $s \in \mathbb{C}$ ,

$$\begin{aligned} \text{Trace}(\lambda(T)|D|^{-s}) &\cong (\tau_1 \otimes \tau_1)(T)\zeta(s - 2) \\ &+ (\tau_1 \otimes \tau_0 + \tau_0 \otimes \tau_1)(T)\zeta(s - 1) + (\tau_0 \otimes \tau_0)(T)\zeta(s). \end{aligned} \tag{7.9}$$

This shows that  $\zeta_b(s)$  extends to a meromorphic function of  $s$  with simple poles at  $s \in \{1, 2, 3\}$  and gives the above values for the residues.

To show (1), we still need to adjoin  $F = \text{Sign } D$  to the algebra  $\mathcal{B}$ , but, by [3], one has

$$[F, a] \in OP^{-\infty} \quad \forall a \in \mathcal{B}, \tag{7.10}$$

so that the only elements that were not handled above are those of the form

$$bP, \quad b \in \mathcal{B}, \quad P = \frac{1}{2}(1 + F). \tag{7.11}$$

Thus, with the above notation, we still need to analyse

$$\text{Trace}(\lambda(T)P|D|^{-s}). \tag{7.12}$$

Since  $P$  corresponds to the subset of the basis  $f_{x,y}^{(n)}$  given by  $\{x = n\}$  in the above notation, the trace (7.12) can be expressed as

$$\text{Trace}(\lambda(T)P|D|^{-s}) = \sum_{n=0}^{\infty} \sum_{y=0}^n \langle \pi(T)\varepsilon_{n,y}, \varepsilon_{n,y} \rangle n^{-s} \tag{7.13}$$

and the structure of the representation  $\pi_+$  shows that the right-hand side gives, modulo holomorphic function of  $s \in \mathbb{C}$ ,

$$\text{Trace}(\lambda(T)P|D|^{-s}) \cong (\tau_1 \otimes \tau_1)(T)\zeta(s - 1) + (\tau_1 \otimes \tau_0)(T)\zeta(s). \tag{7.14}$$

This shows (7.1) and also gives the two formulae

$$\int bP|D|^{-2} = (\tau_1 \otimes \tau_0)(\rho(b)^0) \tag{7.15}$$

and

$$\int bP|D|^{-1} = (\tau_0 \otimes \tau_0)\rho(b)^0, \tag{7.16}$$

which we shall now exploit to do the computation of the local index formula for  $SU_q(2)$ . □

### 8. The local index formula for $SU_q(2)$ , $q \in ]0, 1[$

The local index formula for the spectral triple of  $SU_q(2)$  uniquely determines a cyclic 1-co-cycle and hence, by [6, 7], a corresponding one-dimensional cycle. We shall first describe independently the obtained cycle, since the NC-differential calculus it exhibits is of independent interest.

Let  $\mathcal{A} = C^\infty(SU_q(2))$  and  $\partial$  the derivation

$$\partial = \partial_\beta - \partial_\alpha. \tag{8.1}$$

We extend the functional  $\tau_0$  of (7.4) to  $\mathcal{A}$  by

$$\tau(a) = \tau_0(r_-(a^{(0)})) \quad \forall a \in \mathcal{A}, \tag{8.2}$$

where  $a^{(0)}$  is the component of degree 0 for  $\partial$ . By construction,  $\tau$  is  $\partial$  invariant, but fails to be a trace. It is the average of the transformed of  $\tau_0 \circ r_-$  by the automorphism  $\nu_t \in \text{Aut}(\mathcal{A})$ ,

$$\nu_t = \exp(it\partial). \tag{8.3}$$

Thus  $\tau$  fails to be a trace because  $\tau_0$  does. However, we can compute the Hochschild co-boundary  $b\tau_0$ ,  $b\tau_0(a_0, a_1) = \tau_0(a_0a_1) - \tau_0(a_1a_0)$ . It only depends upon the symbols  $\sigma(a_j) \in C^\infty(S^1)$  and is given by

$$b\tau_0(a_0, a_1) = \frac{-1}{2\pi i} \int \sigma(a_0) d\sigma(a_1). \tag{8.4}$$

One has

$$b\tau(a_0, a_1) = \frac{1}{2\pi} \int b\tau_0(a_0(t), a_1(t)) dt,$$

where  $a(t) = \nu(t)(a)$  and, for homogeneous elements of  $\mathcal{A}$ ,  $b\tau(a_0, a_1) = 0$  unless the total degree is  $(0, 0)$ . For such elements, we thus get

$$b\tau(a_0, a_1) = \frac{1}{2\pi} \int b\tau_0(a_0(t), a_1(t)) dt = b\tau_0(a_0, a_1) = -\frac{1}{2\pi i} \int \sigma(a_0) d\sigma(a_1),$$

so that

$$b\tau(a_0, a_1) = \frac{-1}{2\pi i} \int \sigma(a_0) d\sigma(a_1) \quad \forall a_j \in \mathcal{A}. \quad (8.5)$$

Thus, even though  $\tau$  is not a trace, we do control by how much it fails to be a trace, and this allows us to define a *cycle*, in the sense of [6, 7], using both first and second derivatives to define the differential

$$\mathcal{A} \xrightarrow{d} \Omega^1. \quad (8.6)$$

More precisely, let us define the  $\mathcal{A}$ -bimodule  $\Omega^1$  with underlying linear space the direct sum,  $\Omega^1 = \mathcal{A} \oplus \Omega^{(2)}(S^1)$ , where  $\Omega^{(2)}(S^1)$  is the space of differential forms  $f(\theta) d\theta^2$  of weight 2 on  $S^1$ . The bimodule structure is defined by

$$\left. \begin{aligned} a(\xi, f) &= (a\xi, \sigma(a)f), \\ (\xi, f)b &= (\xi b, -i\sigma(\xi)\sigma(b)' + f\sigma(b)) \end{aligned} \right\} \quad (8.7)$$

for  $a, b \in \mathcal{A}$ ,  $\xi \in \mathcal{A}$  and  $f \in \Omega^{(2)}(S^1)$ .

The differential  $d$  of (8.6) is then given by

$$da = \partial a + \frac{1}{2}\sigma(a)'' d\theta^2, \quad (8.8)$$

as in a Taylor expansion.

The functional  $f$  is defined by

$$\int (\xi, f) = \tau(\xi) + \frac{1}{2\pi i} \int f d\theta. \quad (8.9)$$

We then have the following result.

**Proposition 8.1.** *The triple  $(\Omega, d, f)$  is a cycle, i.e.  $\Omega = \mathcal{A} \oplus \Omega^1$  equipped with  $d$  is a graded differential algebra (with  $\Omega^0 = \mathcal{A}$ ) and the functional  $f$  is a closed graded trace on  $\Omega$ .*

**Proof.** One checks directly that  $\Omega^1$  is an  $\mathcal{A}$ -bimodule, so that  $\Omega = \mathcal{A} \oplus \Omega^1$  is a graded algebra. The equality  $\sigma(\partial a) = i\sigma(a)'$ , together with (8.7), shows that  $d(ab) = (da)b + adb \forall a, b \in \mathcal{A}$ . It is clear also that  $\int da = 0 \forall a \in \mathcal{A}$ . It remains to show that  $f$  is a (graded) trace, i.e. that  $\int a\omega = \int \omega a \forall \omega \in \Omega^1, a \in \mathcal{A}$ .



With  $\omega = (a_1, f)$ , one has

$$a\omega - \omega a = (aa_1 - a_1a, \sigma(a)f + i\sigma(a_1)\sigma(a)' - f\sigma(a)) = (aa_1 - a_1a, i\sigma(a_1)\sigma(a)').$$

Thus (8.5) shows that

$$\tau(aa_1 - a_1a) + \frac{1}{2\pi} \int i\sigma(a_1)\sigma(a)' d\theta = 0.$$

□

We let  $\chi$  be the cyclic 1-co-cycle, which is the character of the above cycle, explicitly

$$\chi(a_0, a_1) = \int a_0 da_1 \quad \forall a_0, a_1 \in \mathcal{A}. \tag{8.10}$$

As above in Proposition 5.2, we let  $\varphi$  be the co-chain

$$\begin{aligned} \varphi_0(a) &= \text{Trace}(a|D|^{-s})_{s=0}, \\ \varphi_2(a_0, a_1, a_2) &= \frac{1}{24} \int a_0 \delta(a_1) \delta^2(a_2) |D|^{-3}. \end{aligned}$$

**Theorem 8.2.** *The local index formula of the spectral triple  $(\mathcal{A}, \mathcal{H}, D)$  is given by the cyclic co-cycle  $\chi$  up to the co-boundary of the co-chain  $(\varphi_0, \varphi_2)$ .*

In other words, the co-cycle  $\psi_1$  of Proposition 5.2 is equal to  $\chi$ . This follows from (7.15), (7.16).

We leave it as an exercise for the reader to compute the (non-zero) pairing between the above cyclic co-cycle  $\chi$  and the K-theory class of the basic unitary,

$$U = \begin{bmatrix} \alpha & -q\beta^* \\ \beta & \alpha^* \end{bmatrix}. \tag{8.11}$$

Applying (5.14), we obtain the following corollary.

**Corollary 8.3.** *The character  $\text{Trace}(a_0[F, a_1])$  of the spectral triple  $(\mathcal{A}, \mathcal{H}, D)$  is given by the cyclic co-cycle  $\chi$  up to the co-boundary of the co-chain  $\psi_0$  given by  $\psi_0(a) = 2 \text{Trace}(aP|D|^{-s})_{s=0}$ .*

The co-chain  $\psi_0$  is only non-zero on elements that are functions of  $\beta^*\beta$ , as one sees for homogeneity reasons, using the bigrading and the natural basis of  $\mathcal{A}$  given by the  $\alpha^k(\beta^*)^n\beta^m$ . It is thus entirely determined by the values  $\psi_0((\beta^*\beta)^n)$ . It is an interesting problem to compute these functions of  $q$ . In order to state the result, we recall that the Dedekind eta-function for the modulus  $q^2$  is given by

$$\eta(q^2) = q^{1/12} \prod_1^\infty (1 - q^{2n}). \tag{8.12}$$

We let  $G$  be its logarithmic derivative  $q^2 \partial_{q^2} \log(\eta(q^2))$  (up to sign and after subtraction of the constant term)

$$G(q^2) = \sum_1^\infty nq^{2n}(1 - q^{2n})^{-1}. \tag{8.13}$$

**Theorem 8.4.** *The functions  $\frac{1}{2}\psi_0((\beta^*\beta)^r)$  of the variable  $q$  are of the form*

$$q^{-2r}(q^2R_r(q^2) - G(q^2)),$$

where  $R_r(q^2)$  are rational fractions of  $q^2$  with poles only at roots of unity.

**Proof.** The first step is to prove that the diagonal terms  $d(n, i, j)$  of the matrix  $(\beta^*\beta)^r$  fulfil the equality

$$d(n, n, j) = \prod_{l=0}^{r-1} \frac{q^{2n+2j} - q^{4n+2+2l}}{1 - q^{4n+4+2l}}. \tag{8.14}$$

This follows by writing  $(\beta^*\beta)^r = \beta^{*r}\beta^r = (\beta_+^* + \beta_-^*)^r(\beta_+ + \beta_-)^r$  and observing that, since  $i = n$ , the only term which contributes is  $(\beta_+^*)^r(\beta_+)^r$ .

We then change variables as above, replacing  $n$  by  $\frac{1}{2}n$  and  $j$  by  $-\frac{1}{2}n + y$ , which gives for the value of  $\frac{1}{2}\psi_0((\beta^*\beta)^r)$  the value at  $s = 0$  of the sum,

$$Z(s) = \sum_{n=0}^{\infty} n^{-s} \sum_{y=0}^n \prod_{l=0}^{r-1} \frac{q^{2y} - q^{2n+2+2l}}{1 - q^{2n+4+2l}} \tag{8.15}$$

(with the usual convention for  $n = 0$ ).

To understand the appearance of  $G(q^2)$  and the corresponding coefficient, let us take the constant term in

$$P(q^{2y}) = \prod_{l=0}^{r-1} \frac{q^{2y} - q^{2n+2+2l}}{1 - q^{2n+4+2l}}, \tag{8.16}$$

viewed as a polynomial in  $q^{2y}$ , which gives, with  $x = q^{2n}$ ,

$$R(x) = \prod_{l=0}^{r-1} \frac{(-q^{2+2l}x)}{1 - q^{4+2l}x}. \tag{8.17}$$

The fraction  $R(1/z)$  has simple distinct poles at  $z = q^{4+2l}$  and vanishes at  $\infty$ . Thus we can express it in the form

$$R\left(\frac{1}{z}\right) = \sum_{l=0}^{r-1} \frac{\lambda_l}{z - q^{4+2l}}. \tag{8.18}$$

Each term contributes to (8.15) by the value at  $s = 0$  of

$$\lambda_l \sum_{n=0}^{\infty} n^{-s}(n+1) \frac{q^{2n}}{1 - q^{2n+4+2l}}. \tag{8.19}$$

The value at  $s = 0$  makes sense as a convergent series, and the coefficient of  $G(q^2)$  is obtained by setting  $n' = n + l + 2$ , which gives  $\lambda_l q^{-4-2l}G(q^2)$ . Thus the overall coefficient for  $G(q^2)$  is, using (8.17), (8.18) and the behaviour for  $z = 0$ ,

$$\sum_{l=0}^{r-1} \frac{\lambda_l}{q^{4+2l}} = -q^{-2r}. \tag{8.20}$$

One has  $n + 1 = n' - l - 1$  and the above terms also generate a non-zero multiple of the function

$$G_0(q^2) = \frac{q^{2n}}{1 - q^{2n}}. \tag{8.21}$$

The coefficient is given by

$$c_0 = - \sum_{l=0}^{r-1} \lambda_l(l + 1)q^{-2l-4}. \tag{8.22}$$

We need to show that the other terms coming from the non-constant terms in  $P(q^{2y})$  exactly cancel the above multiple of  $G_0(q^2)$ , modulo rational functions of  $q^2$ .

Using the  $q^2$ -binomial coefficients  $\binom{r}{k}_{q^2}$ , one obtains, with  $P$  as in (8.16), that

$$P(z) = N(z) \prod_{l=0}^{r-1} (1 - q^{2n+4+2l})^{-1}, \tag{8.23}$$

where

$$N(z) = \sum_{k=0}^r (-1)^k q^{k(k+1)} q^{2kn} \binom{r}{k}_{q^2} z^{r-k}. \tag{8.24}$$

The constant term (in  $z^0$ ) has already been taken care of, and for the others the effect of the summation  $\sum_{y=0}^n$  in (8.15) is to replace  $z^{r-k}$  in the above sum by

$$\sum_{y=0}^n q^{2y(r-k)} = \frac{1 - q^{2(r-k)(n+1)}}{1 - q^{2(r-k)}}. \tag{8.25}$$

Thus the contribution of the other terms is governed by the rational fraction of  $x = q^{2n}$ ,

$$Q(x) = \left( \sum_{k=0}^{r-1} (-1)^k q^{k(k+1)} \binom{r}{k}_{q^2} \frac{x^k - x^r q^{2(r-k)}}{1 - q^{2(r-k)}} \right) \prod_{l=0}^{r-1} (1 - xq^{4+2l})^{-1}. \tag{8.26}$$

The degree of the numerator is the same as the degree of the denominator, all poles are simple, and we can thus expand  $Q(x)$  as

$$Q(x) = \mu + \sum_{l=0}^{r-1} \frac{\mu_l}{1 - xq^{4+2l}}. \tag{8.27}$$

The same reasoning as above shows that, modulo rational functions of  $q^2$ , each term contributes to (8.15) by a multiple of  $G_0(q^2)$ , while the overall coefficient is the sum of the  $\mu_l$ ,

$$c_1 = Q(0) - Q(\infty). \tag{8.28}$$

Using (8.26), one obtains

$$c_1 = (1 - q^{2r})^{-1} + (-1)^r q^{-r(r+1)} \sum_{k=0}^{r-1} (-1)^k \binom{r}{k}_{q^2} \frac{q^{k(k-1)}}{1 - q^{2(r-k)}}. \tag{8.29}$$

To compute the  $\lambda_j$ , one takes the residues of (8.18), which gives the formula

$$\lambda_j = -(-1)^j q^{(4+j^2+j(3-2r)-3r+r^2)} \binom{r-1}{j}_{q^2} \rho(r-1)^{-1}, \tag{8.30}$$

where

$$\rho(r-1) = \prod_{a=1}^{r-1} (q^{2a} - 1). \tag{8.31}$$

This gives the following formula for the coefficient  $c_0$ :

$$c_0 = \rho(r-1)^{-1} \sum_{j=0}^{r-1} (-1)^j (j+1) q^{(j^2+j-2rj-3r+r^2)} \binom{r-1}{j}_{q^2}. \tag{8.32}$$

The fundamental cancellation now is the identity

$$c_0 + c_1 = 0, \tag{8.33}$$

which is proved by differentiation of the  $q^2$ -binomial formula.

The above discussion provides an explicit formula for the rational fractions  $R_r$ , which allows us to check that their only poles are roots of unity.  $\square$

The simple expression  $q^{-2r}G(q^2)$  blows up exponentially for  $r \rightarrow \infty$  and, if it were alone, it would be impossible to extend the co-chain  $\psi_0$  from the purely algebraic to the smooth framework. However,

$$\psi_0((\beta^* \beta)^r) \rightarrow 1 + \frac{2q^2}{q^2 - 1} \quad \text{when } r \rightarrow \infty.$$

Thus it is only by the virtue of the rational approximations  $q^2 R_r(q^2)$  of  $G(q^2)$  that the tempered behaviour of  $\psi_0((\beta^* \beta)^r)$  is insured.

The list of the first  $R_r(q)$  is as follows:

$$R_1[q] = \frac{3}{2(1-q)}, \tag{8.34}$$

$$R_2[q] = \frac{2+5q-3q^2}{2(-1+q)^2(1+q)}, \tag{8.35}$$

$$R_3[q] = \frac{2+8q+13q^2+11q^3-q^4-3q^5}{2(-1+q^2)^2(1+q+q^2)}, \tag{8.36}$$

$$R_4[q] = \frac{2+10q+24q^2+43q^3+50q^4+46q^5+24q^6+4q^7-4q^8-3q^9}{2(1+q^2)(-1-q+q^3+q^4)^2}. \tag{8.37}$$

Finally, note that the appearance of the function  $G(q^2)$  in  $\psi_0$  is not an artefact that could be eliminated by a better choice of co-chain with the same co-boundary. Indeed, since

$$\alpha\alpha^* - \alpha^*\alpha = (1-q^2)\beta^*\beta,$$

the co-boundary  $b\psi_0(\alpha, \alpha^*)$  still involves  $G(q^2)$ .

**9. Quantum groups and invariant cyclic cohomology**

The main virtue of the above spectral triple for  $SU_q(2)$  is its invariance under left translations (cf. [3]). More precisely, the following equalities define an action of the enveloping algebra  $\mathcal{U} = U_q(SL(2))$  on  $\mathcal{H}$ , which commutes with  $D$  and implements the translations on  $C^\infty(SU_q(2))$ ,

$$ke_{ij}^{(n)} = q^j e_{ij}^{(n)}, \tag{9.1}$$

$$ee_{ij}^{(n)} = q^{-n+1/2}(1 - q^{2(n+j+1)})^{1/2}(1 - q^{2(n-j)})^{1/2}(1 - q^2)^{-1}e_{ij+1}^{(n)}, \tag{9.2}$$

while  $f = e^*$ .

With this notation, one has

$$ke = qek, \quad kf = q^{-1}fk, \quad [e, f] = \frac{k^2 - k^{-2}}{q - q^{-1}}. \tag{9.3}$$

The vector  $\Omega = e_{(0,0)}^{(0)}$  is preserved by the action and one has a natural densely defined action of  $\mathcal{U}$  on  $\mathcal{A} = C^\infty(SU_q(2))$  such that

$$h(x)\Omega = h(x\Omega) \quad \forall x \in \mathcal{A}, h \in \mathcal{U}. \tag{9.4}$$

The co-product is given by

$$\Delta k = k \otimes k, \quad \Delta e = k^{-1} \otimes e + e \otimes k, \quad \Delta f = k^{-1} \otimes f + f \otimes k, \tag{9.5}$$

and the action of  $\mathcal{U}$  on  $\mathcal{A}$  fulfils

$$h(xy) = \sum h_{(1)}(x)h_{(2)}(y) \quad \forall x, y \in \mathcal{A} \tag{9.6}$$

$\forall h \in \mathcal{U}$  with  $\Delta h = \sum h_{(1)} \otimes h_{(2)}$ .

On the generators  $\alpha, \alpha^*, \beta, \beta^*$  of  $\mathcal{A}$ , one has

$$\begin{aligned} k(\alpha) &= q^{-1/2}\alpha, & k(\beta) &= q^{-1/2}\beta, \\ e(\alpha) &= q\beta^*, & e(\beta) &= -\alpha, & e(\alpha^*) &= 0, & e(\beta^*) &= 0. \end{aligned}$$

This representation of  $\mathcal{U}$  in  $\mathcal{H}$  generates the regular representation of the compact quantum group  $SU_q(2)$  and we let  $M = \mathcal{U}''$  be the von Neumann algebra it generates in  $\mathcal{H}$ . It is a product  $M = \prod_{n \in \mathbb{N}/2} M_{2n+1}(\mathbb{C})$  of matrix algebras, where  $M_{2n+1}(\mathbb{C})$  acts with multiplicity  $2n + 1$  in the space  $\mathcal{H}_{(n)} = \{\text{span of } e_{i,j}^{(n)}\}$ .

The elements of  $\mathcal{U}$  are unbounded operators affiliated to  $M$  and at the qualitative level we shall leave the freedom to choose a weakly dense subalgebra  $\mathcal{C}$  of  $M$ . Since all the constructions performed so far in this paper were canonically dependent on the spectral triple, the  $SU_q(2)$  equivariance of  $(\mathcal{A}, \mathcal{H}, D)$  should entail a corresponding *invariance* of all the objects we dealt with. We shall concentrate on the cyclic cohomology aspect and show that, indeed, there is a fairly natural and simple notion of *invariance* fulfilled by all co-chains involved in the above computation.

The main point is that we can enlarge the algebra  $\mathcal{A}$  to the algebra  $\mathcal{D} = \mathcal{A} \rtimes \mathcal{C}$  generated by  $\mathcal{A}$  and  $\mathcal{C}$ , extend the co-chains on  $\mathcal{D}$  by similar formulae and use the commutation

$$[D, c] = 0 \quad \forall c \in \mathcal{C} \tag{9.7}$$

to conclude that the extended co-chains fulfil the following key property.

**Definition 9.1.** Let  $\mathcal{D}$  be a unital algebra,  $\mathcal{C} \subset \mathcal{D}$  a (unital) subalgebra and  $\varphi \in C^n(\mathcal{D})$  an  $n$ -co-chain. We shall say that  $\varphi$  is  $\mathcal{C}$ -constant if and only if both  $\varphi(a^0, \dots, a^n)$  and  $(b\varphi)(a^0, \dots, a^{n+1})$  vanish if one of the  $a^j, j \geq 1$ , is in  $\mathcal{C}$ .

When  $\mathcal{C} = \mathbb{C}$ , this is a normalization condition.

When  $\varphi$  is  $\mathcal{C}$ -constant, then  $B_0\varphi(a^0, \dots, a^{n-1}) = \varphi(1, a^0, \dots, a^{n-1})$ , so that  $B\varphi = AB_0\varphi$  is also  $\mathcal{C}$ -constant. It follows that  $\mathcal{C}$ -constant co-chains form a subcomplex of the  $(b, B)$  bicomplex of  $\mathcal{D}$  and we can develop cyclic cohomology in that context, parallel to [6-8]. We shall denote by  $HC_{\mathcal{C}}^*(\mathcal{D})$  the corresponding theory.

In the above context, we take, for  $\mathcal{D}$ , the algebra  $\mathcal{A} \rtimes \mathcal{C}$  and use the lighter notation  $HC_{\mathcal{C}}^*(\mathcal{A})$  for the corresponding theory.

Let us now give examples of specific co-chains on  $\mathcal{A} = C^\infty(SU_q(2))$  that extend to  $\mathcal{C}$ -constant co-chains on  $\mathcal{A} \rtimes \mathcal{C} = \mathcal{D}$ . We start with the non-local form of the Chern character of the spectral triple,

$$\psi_1(a^0, a^1) = \text{Trace}(a^0[F, a^1]) \quad \forall a^0, a^1 \in \mathcal{A}. \tag{9.8}$$

Let us show how to extend  $\psi_1$  to an  $M$ -constant co-chain on  $\mathcal{A} \rtimes M = \mathcal{D}$ . An element of  $\mathcal{D}$  is a finite linear combination of monomials  $a_1 m_1 a_2 m_2 \dots a_\ell m_\ell$ , where  $a_j \in \mathcal{A}, m_\ell \in M$ . But  $[F, a]$  is a trace-class operator for any  $a \in \mathcal{A}$ , while  $[F, m] = 0 \forall m \in M$ , thus we get

$$[F, x] \in \mathcal{L}^1 \quad \forall x \in \mathcal{D} = \mathcal{A} \rtimes M. \tag{9.9}$$

We can thus define  $\tilde{\psi}_1$  as the character of the module  $(\mathcal{H}, F)$  on  $\mathcal{D}$ , namely,

$$\tilde{\psi}_1(x_0, x_1) = \text{Trace}(x_0[F, x_1]). \tag{9.10}$$

It is clear that  $\tilde{\psi}_1$  is  $M$ -constant and that  $b\tilde{\psi}_1 = 0$ , so that  $b\tilde{\psi}_1$  is also  $M$ -constant,  $\tilde{\psi}_1 \in HC_M^1(\mathcal{A})$ . This example is quite striking in that we could extend  $\psi_1$  to a very large algebra. Indeed, if we stick to bounded operators,  $M$  is the largest possible choice for  $\mathcal{C}$ . A similar surprising extension of a cyclic 1-co-cycle in a von Neumann algebra context already occurred in the anabelian 1-traces of [9].

As a next example, let us take the functional on  $\mathcal{A}$ , which is the natural trace

$$\psi_0(x) = \frac{1}{2\pi} \int \sigma(x) d\theta \quad \forall x \in C^\infty(SU_q(2)). \tag{9.11}$$

When written like this, its  $SU_q(2)$ -invariance is not clear and, in fact, cannot hold in the simplest sense, since this would contradict the uniqueness of the Haar state on

$C^\infty(SU_q(2))$ . Let us, however, show that  $\psi_0$  extends to an  $M$ -constant co-chain (in fact, an  $M$ -constant trace) on  $\mathcal{D} = \mathcal{A} \rtimes M$  as above. To do this, we rewrite (9.11) as

$$\psi_0(x) = \text{Trace}_\omega(x|D|^{-3}) \quad \forall x \in C^\infty(SU_q(2)), \tag{9.12}$$

where  $\text{Trace}_\omega$  is the Dixmier trace (see [11, 16]), and simply write the extension as

$$\tilde{\psi}_0(x) = \text{Trace}_\omega(x|D|^{-3}). \tag{9.13}$$

For any monomial  $\mu = a_1 m_1 \cdots a_m m_m$  as above, one has  $[D, \mu]$  bounded and  $[|D|, \mu]$  bounded. Thus it follows from the general properties of  $\text{Trace}_\omega$  that

$$\tilde{\psi}_0(xy) = \tilde{\psi}_0(yx) \quad \forall x, y \in \mathcal{D} = \mathcal{A} \rtimes M. \tag{9.14}$$

This shows, of course, that  $\tilde{\psi}_0$  is a 0-cycle in the invariant cyclic cohomology  $HC_M^0(\mathcal{A})$ . After giving these simple examples, it is natural to wonder whether the above notion of  $\mathcal{C}$ -constant co-chain is restrictive enough. Here is a simple consequence of this hypothesis.

**Proposition 9.2.** *Let  $\mathcal{C} \subset \mathcal{D}$  be unital algebra and  $\varphi \in C_{\mathcal{C}}^n$  be a  $\mathcal{C}$ -constant co-chain on  $\mathcal{D}$ . Then, for any invertible element  $u \in \mathcal{C}$ , one has*

$$\varphi(ua^0u^{-1}, ua^1u^{-1}, \dots, ua^nu^{-1}) = \varphi(a^0, \dots, a^n).$$

**Proof.** One has  $b\varphi(a^0, u, a^1, \dots, a^n) = 0$ , so that

$$\varphi(a^0u, a^1, \dots, a^n) - \varphi(a^0, ua^1, \dots, a^n) = 0,$$

since all other terms have  $u$  as an argument and hence vanish. Similarly,

$$\varphi(a^0, \dots, a^{j-1}u, a^j, \dots, a^n) = \varphi(a^0, \dots, a^{j-1}, ua^j, \dots, a^n)$$

for all  $j \in \{1, \dots, n\}$  and  $\varphi(ua^0, \dots, a^n) = \varphi(a^0, \dots, a^nu)$ . Applying these equalities yields the statement.  $\square$

Let us now consider the more sophisticated co-chains that appeared throughout and show how to extend them to  $\mathcal{C}$ -constant co-chains on  $\mathcal{D} = \mathcal{A} \rtimes \mathcal{C}$  for suitable algebra  $\mathcal{C}$  describing the quantum group  $SU_q(2)$ .

We first note that the action of the enveloping algebra  $\mathcal{U} = U_q(SL(2))$  on  $\mathcal{A}$  extends to an action on the algebra of pseudo-differential operators. First it extends to  $\mathcal{B}$  with the following action on the generators  $\alpha_\pm, \alpha_\pm^*, \beta_\pm, \beta_\pm^*$ ,

$$k(\alpha_\pm) = q^{-1/2}\alpha_\pm, \quad k(\beta_\pm) = q^{-1/2}\beta_\pm \tag{9.15}$$

and

$$e(\alpha_\pm) = q\beta_\mp^*, \quad e(\beta_\pm) = -\alpha_\mp, \quad e(\alpha_\pm^*) = 0, \quad e(\beta_\pm^*) = 0. \tag{9.16}$$

Moreover,  $\mathcal{U}$  acts through the trivial representation on  $D, |D|$  and  $F$ .

In fact, it is important to describe the action of  $\mathcal{U}$  on arbitrary pseudo-differential operators by a closed formula and this is achieved by the following result.

**Proposition 9.3.** *The action of the generators  $k, e, f$  of  $\mathcal{U}$  on pseudo-differential operators  $P$  is given by*

- (a)  $k(P) = kPk^{-1}$ ;
- (b)  $e(P) = ePk^{-1} - qk^{-1}Pe$ ;
- (c)  $f(P) = fPk^{-1} - q^{-1}k^{-1}Pf$ .

**Proof.** These formulae just describe the tensor product of the action of  $\mathcal{U}$  in  $\mathcal{H}$  by the contragredient representation, since the antipode  $S$  in  $\mathcal{U}$  fulfils

$$S(k) = k^{-1}, \quad S(e) = -qe, \quad S(f) = -q^{-1}f. \tag{9.17}$$

One checks directly that they agree with (9.15) and (9.16) on the generators  $\alpha_{\pm}, \dots, \beta_{\pm}^*$ , as well as on  $D, |D|$  and  $F$ . Thus we are just using the natural implementation of the action of  $\mathcal{U}$  that extends this action to operators.  $\square$

The only technical difficulty is that the generators of  $\mathcal{U}$  are unbounded operators in  $\mathcal{H}$ , so that to extend co-chains to  $\mathcal{A} \rtimes \mathcal{U}$  requires a little more work. In fact, the only needed extension is for the residue

$$\int P = \operatorname{Res}_{s=0} \operatorname{Trace}(P|D|^{-s}). \tag{9.18}$$

Using (4.23), we can re-express (9.18) as follows:

$$\int P = \frac{1}{2} \operatorname{coefficient of } \log t^{-1} \text{ in } \operatorname{Trace}(Pe^{-tD^2}). \tag{9.19}$$

Thus, more precisely, we let  $\theta_P(t) = \operatorname{Trace}(Pe^{-tD^2})$  and assume that it has an asymptotic expansion for  $t \rightarrow 0$  of the form

$$\theta_P(t) \sim \sum a_{\alpha} t^{-\alpha} + \lambda \log t^{-1} + a_0 + \dots, \tag{9.20}$$

then the equality between (9.18) and (9.19) holds, both formulae giving  $\frac{1}{2}\lambda$ . In our context, we could use (9.19) above instead of (9.18), since we always controlled the size of  $\zeta_b(s)$  on vertical strips to perform the inverse Mellin transform.

Now let  $L$  be an arbitrary extension of the linear form on function  $f \in C^{\infty}(]0, \infty[)$ , which satisfies

$$L(f) = \frac{1}{2} \operatorname{coefficient of } \log t^{-1} \text{ if } f \text{ admits an asymptotic expansion (9.20)}. \tag{9.21}$$

We then extend the definition (9.18) by

$$\int_L P = L(\theta_P(t)). \tag{9.22}$$

With this notation, we have the following result.



**Proposition 9.4.** *Let  $(k_1, \dots, k_n)$  be a multi-index. Then the formula*

$$\tilde{\psi}(a^0, \dots, a^n) = \int_L a^0 [D, a^1]^{(k_1)} \dots [D, a^n]^{(k_n)} |D|^{-n-|k|},$$

where  $T^{(k)} = \delta^k(T)$ , defines a  $\mathcal{U}$ -constant extension of the restriction  $\psi$  of  $\tilde{\psi}$  to  $\mathcal{A}$  to the algebra  $\mathcal{D} = \mathcal{A} \rtimes \mathcal{U}$ .

**Proof.** In computing  $b\tilde{\psi}$ , one uses the equality

$$\delta^k([D, ab]) = \delta^k([D, a]b) + a\delta^k([D, b]) + \sum_{j=0}^{k-1} C_k^j \delta^j([D, a])\delta^{k-j}(b) + \sum_{\ell=1}^k \delta^\ell(a)\delta^{k-\ell}([D, b]). \tag{9.23}$$

Thus, in  $b\tilde{\psi}(a_0, \dots, a_{n+1})$ , the only term that does not involve a derivative of  $a$  is of the form

$$\int a_{n+1} T |D|^{-n-|k|} - \int T |D|^{-n-|k|} a_{n+1}. \tag{9.24}$$

This shows that  $b\tilde{\psi}$  vanishes if any of the  $a_j \in \mathcal{U}$  for  $j = 1, \dots, n$ . For  $j = n + 1$ , i.e. for  $a_{n+1} = v \in \mathcal{U}$ , one has the term (9.24), but since  $v$  commutes with  $D$ , one has

$$\theta_{vT} = \theta_{Tv}, \tag{9.25}$$

and one gets the desired result. □

This proposition shows the richness of the space of  $\mathcal{U}$ -constant co-chains, but it does not address the more delicate issue of computing the cyclic cohomology  $HC_{\mathcal{U}}^*(\mathcal{A})$ . A much more careful choice of  $L$  would be necessary if one wanted to lift co-cycles to co-cycles.

We shall now show that  $HC_{\mathcal{U}}^*(\mathcal{A})$ , which obviously maps to the ordinary cyclic theory  $HC^*(\mathcal{A})$ ,

$$HC_{\mathcal{U}}^*(\mathcal{A}) \xrightarrow{\rho} HC^*(\mathcal{A}), \tag{9.26}$$

also maps, in fact, to the ‘twisted’ cyclic cohomology  $HC_{\text{inv}}^*(\mathcal{A}, \theta)$  proposed in [20], where  $\theta$  is the inner automorphism implemented by  $k^2$ . This will allow us to put the latter proposal in the correct perspective. Indeed, the drawback of this simple variation on [8] is that it lacks the relation to  $K$ -theory that is the back-bone of cyclic cohomology. This was a good reason to refrain from developing such a ‘twisted’ form of the general theory in spite of its previous appearance in [15, equation 2.28, p. 14] and of its merit, which is to connect with the various ‘differential calculi’ on quantum groups (see [21, 22]). However, the next proposition shows that it would be very interesting to use it as a ‘detector’ of classes in  $HC_{\mathcal{U}}^*(\mathcal{A})$ .

To see what happens, let us start with a  $\mathcal{U}$ -constant zero-dimensional co-chain  $\psi$  on  $\mathcal{A} \rtimes \mathcal{U}$  and get an analogue of the group invariance provided by Proposition 9.2. One has, of course,  $\psi(kak^{-1}) = \psi(a)$ , but this is not much. We would like a similar statement for the other generator  $e$  of  $U_q(SL(2))$ . Now, by Proposition 9.3, one has  $e(a) = eak^{-1} - qk^{-1}ae$ ,

so that  $e(a)k^2 = eak - qk^{-1}aek^2$ . But  $\mathcal{U}$  is in the centralizer of  $\psi$  by Proposition 9.2, and thus

$$\psi(eak) = \psi(kea), \quad \psi(k^{-1}aek^2) = \psi(eka),$$

and hence  $\psi(e(a)k^2) = 0$ . One gets, in general,

$$\psi(h(a)k^2) = \varepsilon(h)\psi(ak^2), \quad (9.27)$$

which is the usual invariance of a linear form. More generally, one has the following result.

**Proposition 9.5.** *The equality  $\rho_\theta(\psi)(a_0, \dots, a_n) = \psi(a_0, \dots, a_n k^2)$  defines a morphism*

$$HC_{\mathcal{U}}^*(\mathcal{A}) \xrightarrow{\rho_\theta} HC_{\text{inv}}^*(\mathcal{A}, \theta),$$

where  $\theta$  is the inner automorphism implemented by  $k^2$ .

We have seen above (9.10), (9.13) that the basic cohomology classes in the ordinary cyclic theory  $HC^*(\mathcal{A})$  of  $\mathcal{A}$  lift to actual co-cycles in  $HC_M^*(\mathcal{A})$ , where  $M$  is the von Neumann algebra bicommutant of  $\mathcal{U}$ . It is, however, not clear that they lift to  $HC_{\mathcal{U}}^*(\mathcal{A})$ , since the generators of  $\mathcal{U}$  are unbounded operators. We can, however, insure that such liftings exist in the entire cyclic cohomology (see [10, 19]), since the  $\theta$ -summability of the spectral triple continues to hold for the algebra  $\mathcal{A} \rtimes \mathcal{U}$ . This point is not unrelated to the attempt by Goswami in [18].

What we have shown here is that the local formulae work perfectly well in the context of quantum groups, and that the framework of non-commutative geometry needs no change whatsoever, at least as far as  $SU_q(2)$  is concerned. The only notion that requires more work is that of invariance in the  $q$ -group context.

Finally, the above notion of invariant cyclic cohomology is complementary to the theory developed in [14, 15]. In the latter, the Hopf action is used to construct ordinary cyclic co-cycles from twisted traces. In the  $q$ -group situation, co-cycles thus constructed from the right translations should be left-invariant in the above sense.

## Appendix A.

We have not defined carefully the smooth algebras  $C^\infty$  involved in § 6. A careful definition can, however, be deduced from their structure and the exact sequence involving  $OP^{-\infty}$  and the symbol map provided by Lemma 6.3. What really matters is that the obtained algebras are stable HFC and we shall now provide the technical lemma that allows us to check this point.

Let  $(B, \mathcal{H}, D)$  be a spectral triple. As above, we say that an operator  $P$  in  $\mathcal{H}$  is of order  $\alpha$  when

$$|D|^{-\alpha} P \in \bigcap_{n=1}^{\infty} \text{Dom } \delta^n, \quad (\text{A.1})$$

where  $\delta$  is the unbounded derivation given by

$$\delta(T) = |D|T - T|D|. \quad (\text{A.2})$$

Thus  $OP^0 = \bigcap_{n=1}^{\infty} \text{Dom } \delta^n$  is the algebra of operators of order 0 and  $OP^{-\infty}$  is a two-sided ideal in  $OP^0$ .

Let  $\rho : B \rightarrow C$  be a morphism of  $C^*$ -algebras,  $\mathcal{C} \subset C$  a subalgebra stable under HFC and  $\lambda : \mathcal{C} \rightarrow \mathcal{L}(\mathcal{H})$  be a linear map such that  $\lambda(1) = 1$  and

$$\left. \begin{aligned} \lambda(c) &\in OP^0 & \forall c \in \mathcal{C}, \\ \lambda(ab) - \lambda(a)\lambda(b) &\in OP^{-\infty} & \forall a, b \in \mathcal{C}. \end{aligned} \right\} \quad (\text{A.3})$$

We then have the following.

**Lemma A.1.** *Let*

$$\mathcal{B} = \{x \in B; x \in OP^0, \rho(x) \in \mathcal{C}, x - \lambda(\rho(x)) \in OP^{-\infty}\}.$$

*Then  $\mathcal{B} \subset B$  is a subalgebra stable under HFC.*

**Proof.** Let  $x \in \mathcal{B}$  be invertible in  $B$ . Let us show that  $x^{-1} \in \mathcal{B}$ . Let  $a = \rho(x)$ . Then, since  $\mathcal{C}$  is stable under HFC, the inverse  $b = \rho(x^{-1})$  of  $a$  belongs to  $\mathcal{C}$ . Also, since  $x \in OP^0$ , we have  $x^{-1} \in OP^0$ . Let us show that  $x^{-1} - \lambda(b) \in OP^{-\infty}$ . Since  $ab = 1$ , one has, by (A.3),  $\lambda(a)\lambda(b) - 1 \in OP^{-\infty}$ . But  $x - \lambda(a) \in OP^{-\infty}$  and  $OP^{-\infty}$  is a two-sided ideal in  $OP^0$ . Thus, multiplying  $x - \lambda(a)$  by  $\lambda(b)$  on the right, we get  $x\lambda(b) - 1 \in OP^{-\infty}$ . Finally, since  $x^{-1} \in OP^0$ , we get, multiplying  $x\lambda(b) - 1$  on the left by  $x^{-1}$ , that  $\lambda(b) - x^{-1} \in OP^{-\infty}$ .  $\square$

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