

CLIMATE CHANGE AND AGRICULTURE PAPER

Effect of household land management on cropland topsoil organic carbon storage at plot scale in a red earth soil area of South China

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SUMMARY

An inventory of topsoil soil organic carbon (SOC) content in household farms was performed in a village from a red earth region in Jiangxi Province, China in 2003. In this region, the farmland managed by each household is fragmented, consisting of several plots of land that are not necessarily adjacent to each other. A statistical analysis of SOC variation with land use and household management type, and with crop management practices was conducted. Plot size ranged from 0.007 to 0.630 ha with a mean of 0.1 ha, and SOC content ranged from 1.72 to 25.2 g/kg, varying widely with a variety of land management and agricultural practices, arising from individual household behaviours. The mean SOC content in plot size <0.1 ha was 20% lower than in plot size ≥ 0.1 ha. SOC of dry crop plots was 70% lower than that in rice paddies, and SOC of plots contracted from the village was almost double that of plots leased from other householders. Moreover, a 30% increase in SOC was observed with green manure cultivation, and a 55% increase under triple cropping. The difference in SOC levels between the least and most favourable cases of household land management and agricultural practice was up to 150%. The results suggest that policies targeted at crop management alone may not deliver the expected SOC benefits if household land management is also not improved.

INTRODUCTION

Maintaining or increasing soil organic carbon (SOC) storage in croplands has been promoted as a 'win-win' strategy by enhancing soil productivity and increasing the terrestrial C stock, thereby mitigating greenhouse gas (GHG) emissions in agriculture (Lal 2004a). Crop management may have great potential for mitigating climate change in agriculture through C sequestration (Smith *et al.* 2007). This potential,

however, will be realized only in the long-run through judicious land use and recommended management practices (Lal 2002, 2004a), whereas the choice among these may vary with the market C price (Lewandowski *et al.* 2004; Smith & Trines 2007).

Management practices that lead to an increase in organic inputs (biomass and manure) add C to the soil, improve microbial function and promote SOC accumulation. It is well known that improved crop management, such as reduced tillage and intensified cropping to enhance C input to the soil, favours SOC sequestration (Lal 2004a; Ogle *et al.* 2005). Land use changes and management, however, have significant

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Fig. 1. Sketch map of Jiangxi Province of China showing the location of the surveyed village in yellow solid circle.

effects on world SOC storage and sequestration for potential mitigation of global climate change (Guo & Gifford 2002; Schlamadinger *et al.* 2007; Smith 2008). There have been a few studies dealing with changes in SOC storage under land use and management change in croplands (Osher *et al.* 2003; Smith 2008).

In developing countries, constraints on land resource availability due to fragmented croplands and small-scale farm management systems impact on sustainable agriculture and food security (Simmons 1986; Niroula & Thapa 2005; Rahman & Rahman 2009). Soil organic matter has generally been recognized as providing a key soil function for sustainable agriculture (Smith *et al.* 1999; Carter 2002), and may be influenced by changes in land use intensity and land management effects (Grandy & Robertson 2007). The potential roles of improved land use and management in enhancing C stocks in agricultural soils for developing countries have often been addressed (García-Oliva & Maser 2004; Milne *et al.* 2007; Smith & Trines 2007; Smith *et al.* 2007). However, a number of land policy and management barriers will probably prevent soil C sequestration benefits for developing countries, and proper policies for world climate and trade are required for encouraging C sequestration and climate change mitigation in agriculture in less developed countries (de Costa & Sangakkara 2006; Smith & Trines 2007; Eitzinger *et al.* 2010). C sequestration in croplands of developing countries will depend on the existing performance of these management practices as implemented by household farmers, but there have been very few studies on the impacts of household land management (land use, land tenure status and land fragmentation) on SOC status and sequestration potential of soils.

China has experienced profound changes in land use, land cover and land management systems over the last 50 years. Since the late 1970s, farmland management has shifted from collective farming to a Household Responsibility System, which has resulted in small-scale household farms with intense land fragmentation (Tan *et al.* 2006). The impacts of farmland management on cropland soil quality and productivity have been investigated, examining the impacts of land fragmentation (Tan *et al.* 2008), property rights settings (Yu *et al.* 2003) and/or household behaviours related to technology transfer (Zhang & Xu 1996; Ou-Yang *et al.* 2003). With growing concerns for climate change mitigation in agriculture, sequestration of SOC in croplands may play a key role in off-setting the increasing GHG emissions in China (Lal 2004b; Pan & Zhao 2005; Pan 2009). Changes in SOC storage and dynamics of China's croplands have been increasingly studied under land use change (Song *et al.* 2005; Hou *et al.* 2007; Li *et al.* 2007), fertilization practice (Wang *et al.* 2010), cropping and rotation (Xu *et al.* 2006) and tillage practice (Wang *et al.* 2009). While studies have indicated a significant biophysical potential for SOC sequestration in China's croplands (Lal 2002, 2004b; Pan *et al.* 2010), there has been little information on how, and to what extent, household farm management behaviours impact on SOC storage and the C sequestration capacity of China's croplands. This information gap limits the ability to assess the realizable contribution of SOC sequestration to the mitigation of GHGs in China in the future and weakens the development of climate policy for cropland management (Pan 2009).

The purpose of the present paper is to quantify the variation of SOC storage in household farms under different land management settings, with respect to the land tenure status, household farm size and land use, using the dataset from a farm inventory conducted in 2003. From this analysis, the aim is to provide information for policy-making for improving farm management to enhance C sequestration and GHG mitigation in China's agriculture.

MATERIALS AND METHODS

Household farm inventory

An inventory of crop production and soil management at household farm scale was conducted in Banqiao village in Honghu Township, Yujiang County (28°04'–28°37'N, 116°41'–117°09'E, 45–50 m asl), Jiangxi Province, China (Fig. 1) in February 2003. This village is considered representative of the typical rural conditions that can be found in Northeast Jiangxi Province and in the much larger hilly area of Southeast China with rice-based production systems (Kuiper *et al.* 2001). The topography

Table 1. Basic soil properties (mean \pm s.d.) of the topsoil samples (0–150 mm) (n = 105)

Soil property	Value
pH (H ₂ O)	5.2 \pm 0.3
SOC (g/kg)	13 \pm 6.1
Total N (g/kg)	2 \pm 0.7
Available P (mg/kg)	10 \pm 7.9
Available K (mg/kg)	101 \pm 54.0
Clay (<0.002 mm, g/kg)	15 \pm 6.8

of the area is terraced Quaternary red earth. The local climate is governed by a subtropical monsoon, with a mean annual temperature of 17.2–18.1 °C and annual precipitation of 1700–1800 mm, with 0.7 of that occurring in late April–early July for the last two decades (Jiangxi Bureau of Land Management – JBLM 1991). The croplands are mainly derived from red soils, which are extensive in this area. The soil type in the rice paddies was classified as hydro-agric Stagnic Anthrosols, according to Chinese Soil Taxonomy (Gong *et al.* 2007), and as typical Paleudults according to Soil Taxonomy (SSS-USDA 1999), whereas those for dry croplands were Inceptic Paleudults (SSS-USDA 1999). Poor soil fertility and crop productivity in this area are apparent due to degradation of the cultivated red-earth-derived soils (Zhao 2002).

The village surveyed was among those of poor agricultural productivity within the least developed economy in Jiangxi, China during 1970–90s, and most of the farmers lived on agricultural output before the survey was conducted in 2003 (Tan *et al.* 2008). The village had 220 households and a population of 900 in 2002, divided into four hamlets with 113.3 ha of farmland in total, giving a land-use density of 0.15 ha per capita. Of the total farmland area, irrigated rice paddies accounted for 82.3 ha mostly with double rice cropping, and a further 31.1 ha farmland was dry croplands, mainly peanuts and vegetables plus citrus trees. The average rice yield in 2002 was 5.1 t/ha. Chemical fertilizers were commonly applied, with very limited use of farmyard manure. The land tenure system shifted to the Household Responsibility System in 1984; farmlands were allocated to households according to family size and labour force, and by considering soil quality and distance from the village.

For the survey, 15 households, managing a total of 105 plots, were randomly selected from a single hamlet within the whole area of 113.3 ha farmland affiliated with the village (Banqiao). Plot size, history of cultivation, yield and management (including land tenure status, crop rotation system, fertilization, straw return and soil fertility condition) for the past 5 years were recorded for each of the 105 plots. Rice paddies

in terraces with pump irrigation have been developed since the 1960s, whereas dry land crops have persisted in the village for over 100 years. The 105 plots sampled were distributed randomly among the total farmland affiliated with the village.

Soil sample collection

Topsoil samples of all the plots associated with the 15 households surveyed were collected at a depth of 0–150 mm using a soil core sampler (Eijkelkamp, The Netherlands) in late February 2003, when no crop growth was present. A composite sample was formed with three randomly selected subsamples for each plot, mixed after sampling in the field. Each sample was placed in a stainless-steel box and shipped to the laboratory within 3 days of sampling. The basic soil physical and chemical properties of the soils tested are summarized in Table 1.

Soil property determination

Soil total organic C and nitrogen (N) were measured using an Elementar Vario MAX CNS Analyser (Elementar GmbH, Germany). The determinations of soil pH (H₂O), available phosphorus and potassium and clay content were conducted following the protocol described by Lu (2000). All the extraction and determinations were performed in duplicate. The topsoil SOC values of croplands from the village surveyed and measured during the Second National Soil Survey, completed in 1985, were retrieved from the records available in the local soil survey service of Yujiang County.

Statistical analysis

Data were processed using MS-Excel 2003 in MS-Windows 2003. Statistical differences in SOC between the land use types and land tenure status were tested with analysis of variance (ANOVA) using the SPSS 11.0 statistical package (SPSS 2001). Statistical significance was defined at $P < 0.05$.

RESULTS

Land management status of the households surveyed

The basic information on the land tenure and land use status of the household farmland is presented in Table 2. The mean size of the households surveyed was 4.5 persons with, on average, 0.67 ha farmland per household. The land use density of 0.15 ha per capita is larger than the mean for China as a whole, which was 0.09 ha per capita in 2004, reported by the Ministry of Land and Resources through a national land use change survey (NACOC & FASC 1999). Of the total 105 plots surveyed, 83 were used for rice

Table 2. *General status of land management and farmland plot size (mean \pm s.d.) of the 15 households surveyed in Banqiao village*

Household farm size	Number of plots	Total area (ha)
	4.5 \pm 1.52	0.7 \pm 0.38
Land use		
Rice paddy	83	0.1 \pm 0.11
Dry cropland	22	0.1 \pm 0.07
Land tenure		
Contracted	84	0.1 \pm 0.12
Leased	21	0.1 \pm 0.07
Land fragmentation		
< 0.1 ha	47	0.1 \pm 0.02
\geq 0.1 ha	58	0.2 \pm 0.12

paddies and 22 were for dry croplands, reflecting the rice-dominated agriculture in South China. Rice cultivation occupied 0.9 of the total cultivated area in Jiangxi in the late 1980s (JBLM 1991) and 0.85 of the total of the local county of Yujiang (SSOYC 1986). Reform of the land tenure system was finalized in 1985; most of the plots surveyed were used by households who contracted them directly from the village collective. However, 21 plots were leased from other households within the same village for at least one crop growing season.

As shown in Fig. 2, the plot size of the household farmlands followed a skewed distribution and ranged from 0.01 to 0.63 ha, with the majority of plots being small. The mean plot size of 0.11 ha for the household farmland plots surveyed was close to that of China as a whole (0.09 ha). A large degree of land fragmentation occurred in these household farmlands; 47 plots had a mean size of 0.05 ha compared to a mean size of 0.22 ha in the 58 plots with a plot size more than 0.1 ha (Table 2). Furthermore, a significantly higher degree of land fragmentation was associated with leased plots and with dry croplands (Table 2). These features of the land tenure system make it more difficult to enhance productivity and, in turn, SOC accumulation of the croplands.

Variation of SOC content with land management

Following a quasi-normal distribution (Fig. 3), which was statistically tested, the SOC contents of the 105 plots ranged from 1.7 to 25.2 g/kg with a mean (\pm s.d.) of 13 \pm 6.1 g/kg. Thus, the variability of plot SOC is significantly smaller than the variability of plot area itself. The variation of topsoil SOC content under different status of land tenure, land fragmentation and land use is presented in Fig. 4. Type of land use had profound impacts on SOC contents. The majority of the 105 plots were rice paddies with a mean SOC content of 13.95 g/kg, being significantly higher (70%

higher, on average) than the dry croplands. This is in general agreement with previous findings of much higher SOC contents in rice paddy soil than in dry croplands (Pan *et al.* 2004; Song *et al.* 2005). SOC contents were much higher in contracted than in leased plots, with the mean value of the former almost double the latter. The effect of land fragmentation on SOC content was observed to be significantly smaller, as plots < 0.1 ha had significantly lower SOC levels (by up to 20% on average) than those > 0.1 ha. Land fragmentation associated with farm size had an impact on SOC. For households with smaller numbers of plots, those managed by households with a greater total area of farmland showed significantly higher SOC contents (by 3.4 g/kg on average) than those managed by households with smaller total area of farmland in 2003 (Table 3). Compared to the original SOC level in 1985, when the Household Responsibility System was newly implemented, SOC accumulation of 6.12 g/kg was found in plots managed by households with > 0.7 ha total farmland area, whereas it was 1 g/kg for plots managed by households with < 0.7 ha total farmland. Plots managed by households with larger farm size and smaller numbers of plots were significantly richer in SOC than those managed by households with larger numbers of plots. Since the implementation of the Household Responsibility System, SOC has increased in the larger household farmlands, by over 6 g/kg on average, compared to no significant increase in those with smaller total farmland area. The findings suggest a large interactive impact of land tenure system and land use on both SOC storage and SOC dynamics of household farmlands.

Variation of topsoil SOC contents with agricultural management practices

The area is characterized as being of low productivity for rice due to the poor soil quality and low-nutrient pools, as the soils on the red soil terrace have suffered from acidity and severe soil erosion (Zhao 2002). Previously, in order to meet the demand for higher cereal production, rice was double- or triple-cropped, and without conservation practices this has largely exhausted the soil fertility. Improved agricultural management in the area would entail a cropping system with straw return and green manure cultivation (Tan *et al.* 2006, 2008). As seen in Table 4, most of the plots had been cultivated with double or triple cropping of rice, with very little green manure cultivation or straw return. For dry croplands, there was a single cropping of peanuts and fruit trees with poor soil conditions, with double and triple cropping predominantly used for rice–rice and rice–rice–winter vegetable, respectively (Tan *et al.* 2006, 2008). While the topsoil SOC under single cropping of dry crops tended to be much lower than the double and triple

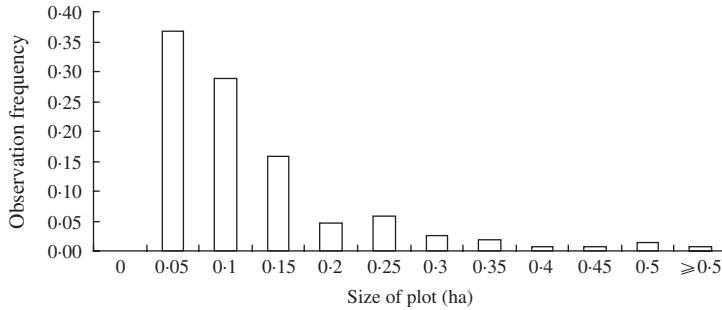


Fig. 2. Observation frequency of size distribution of the 105 plots occupied by the households surveyed.

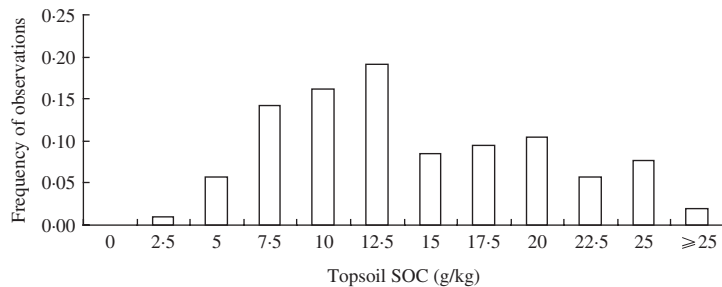


Fig. 3. Frequency distribution of topsoil organic C content of the 105 plots occupied by the households surveyed.

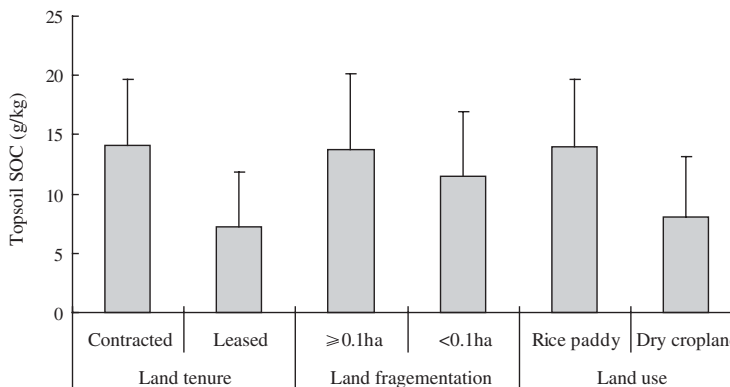


Fig. 4. Variation of topsoil organic carbon content with land management, land use and fragmentation of the 105 plots surveyed. Different capital letters above the bar indicate significant difference at $P < 0.05$ between types in a same block.

cropping with rice, there was only a small difference in SOC contents between the double- and triple-cropped plots. Cultivation of green manure crops, mainly alfalfa in this region, yielded significantly higher SOC contents than the non-green manure cultivated plots (3.3 g/kg more on average). However, straw return resulted in an increase in SOC by *c.* 5 g/kg compared to plots without straw return. Comparatively, the effect of management practices on topsoil SOC was greatest with straw return, followed by green manure cultivation and least with rice cropping intensity.

DISCUSSION

Topsoil SOC dynamics of the surveyed farmlands

The mean (\pm s.d.) topsoil SOC level of the village farmlands, analysed and reported for the Second National Soil Survey of Jiangxi in 1985 for the same soil sampling depth, were 12 ± 4.8 and 7 ± 2.9 g/kg for rice paddies and dry croplands, respectively (Q. Zhang, personal communication). However, in the present study, the household farmlands surveyed in 2003 had topsoil SOC contents of 14 ± 5.7 and 8 ± 5.2 g/kg for rice paddies and dry croplands,

Table 3. Changes in farmland topsoil SOC (g/kg; mean ± SD) with number and area of plots affiliated to the 15 surveyed households

Item	Measurement value		
Number of plots occupied	≤ 7		> 7
Total area of farmland (ha)	< 0.7	≥ 0.7	≥ 0.7
Number of households	6	7	2
Household land endowment (ha)	0.4 ± 0.06	0.9 ± 0.18	1.4
Topsoil SOC (g/kg) in 1985	12 ± 2.0	10 ± 1.8	11
Topsoil SOC (g/kg) in 2003	13 ± 2.7	17 ± 1.0	9
Mean SOC increase (g/kg)	1 ± 2.1	6 ± 2.0	-2

respectively (Fig. 4). The changes in deep profile with management practices seemed insignificant (Zhou *et al.* 2006) and were not taken into account in the present paper. There was an increase in topsoil SOC at 2.3 and 1.2 g/kg of the surveyed plots, although the current level was lower than the provincial mean of 17 ± 6.2 and 9 ± 4.4 g/kg reported by Huang (1999) for rice paddies and dry croplands, respectively.

The general trend of SOC increase in the present croplands by plot survey is in accordance with previous findings at the county level in Yixing (Zhang *et al.* 2004) and Wujiang (Hou *et al.* 2007) from the Tai Lake region, for Jiangsu province level (Liao *et al.* 2009) and across China’s croplands (Pan *et al.* 2010). Greater SOC enhancement in rice paddies than in dry croplands has also been reported at the regional scale (Hou *et al.* 2007; Xu *et al.* 2009), and with long-term experiments (Wang *et al.* 2009, 2010) for generally higher inputs and lower decomposition under submerged conditions in rice paddies than in dry croplands. The mean rate of increase for rice paddies and dry croplands of 0.14 and 0.07 g/kg/yr, respectively, are somewhat higher than those of 0.11 and 0.06 g/kg/yr across China’s croplands (Pan *et al.* 2010). This could be, in part, attributed to the chemical protection of SOC by binding to oxyhydrates in the soils derived from red earth (Zhou *et al.* 2009a). Zhou *et al.* (2009b) reported a faster and greater C sequestration in a red-soil-derived paddy under long-term experiments when comparing with other two rice paddy soils lower in free oxyhydrates.

However, higher increases have been reported for croplands from adjacent areas: Xu *et al.* (2009) showed mean increases of 0.25 and 0.09 g/kg/yr in rice paddies and dry croplands, respectively, at the county level in Guichi, Anhui Province, with predominantly lowland croplands derived mainly from wetlands along the Yangtze River. In a previous study, an increase in SOC of 0.28 g/kg/yr was observed during 1985–2002 in the rice paddies in Yixing County, Jiangsu Province (Zhang *et al.* 2004), where larger-scale farms had been developed, and the cropping

Table 4. Topsoil SOC content (mean ± S.D.) of plots with different agricultural management practices

Crop and land management	Number of plots	Topsoil SOC (g/kg)
Cropping system		
Single cropping	24	9 ± 6.9
Double cropping	47	13 ± 5.3
Triple cropping	34	15 ± 5.7
Green manure		
Cultivated	34	15 ± 5.8
Non-cultivated	71	11 ± 5.1
Straw return		
Performed	9	17 ± 3.9
Non-performed	96	12 ± 6.1

system of rice–rape and rice–winter fallow had been dominant since the late 1980s, with rapid industrialization (Ma & Liu 2009). Thus, the increase in SOC of the surveyed household farmlands seemed comparatively smaller. This, together with variation of SOC contents with the different farm scales and plot sizes may reflect the impacts of land tenure arrangements and land fragmentation on cropland SOC storage and enhancement.

Land tenure effects on cropland SOC in interaction with crop management

The wide variation (Fig. 3, Tables 3 and 4) of plot topsoil SOC level reflected the interactive effects of agricultural management practices and household land management. Agricultural management practices had differing effects on topsoil SOC; the increases were: 29.3% for green manure cultivation; 41.6% for straw return; 43.8% for rice cropping intensity (16 ± 5.4 g/kg under double rice to 11 ± 4.9 g/kg under single rice); and 54.9% for total cropping intensity (15 ± 5.7 g/kg under triple cropping to 9 ± 6.9 g/kg under single crop, mainly of dry crops). In contrast, aspects of household land management showed land fragmentation to have an effect on topsoil SOC: plots over 0.1 ha had 19.3% more SOC than plots smaller than 0.1 ha; as did land use type: rice paddies had 72.2% more SOC than dry croplands; and land tenure status showed land managed under direct contract had 96.5% more SOC than leased land (Fig. 4). While the effects of land fragmentation on crop productivity and resource use efficiency have been widely debated (Wan & Cheng 1996; Su & Wang 2002; Tan *et al.* 2006, 2008), fragmentation was not a critical factor in the present study. A large reduction in SOC did occur when paddy was converted to dry cropland, which has also been observed elsewhere (Hou *et al.* 2007; Li *et al.* 2007). While agricultural management practices had direct effects on SOC

through changes in organic matter input from the crop (especially with straw return and green manure cultivation), land tenure status had indirect effects through the above-mentioned organic matter input. Conservation practices have largely been neglected by the household farmers over the last decade (Wan & Cheng 1996, 2001, 2005; Yu *et al.* 2003; Wang 2004; Wu *et al.* 2008). For leased farmlands, the land managers were more concerned with obtaining adequate yield rather than ensuring soil quality and fertility (Yu *et al.* 2003; Wu *et al.* 2008). In addition, most of the fragmented, small cropland plots in the village were the dry croplands on degraded red earth and with less accessible irrigation. Due to the relatively high cost of managing these fragmented lands of poor productivity, there is no incentive for the farmers to manage the land carefully (Tan *et al.* 2008). In fact, the fragmented, poor croplands some distance away from the village were those usually put for lease and used for dry crop production (Tan *et al.* 2008), which has resulted in reduced storage of SOC overall.

To examine the effect of good *v.* poor household and agricultural management, a subset of the plots was grouped into two extreme management scenarios. Scenario I consisted of 19 plots under double-cropped rice with straw return or green manure application, under direct contract and >0.1 ha. Scenario II comprised 15 plots of dry croplands without green manure or straw return, under lease and <0.1 ha. Land tenure and the agricultural management practices of croplands can have large synergistic effects on SOC storage. Storage of topsoil SOC was much smaller (7 ± 2.10 g/kg on average) in poorly managed plots (Scenario II) compared to those under the beneficial land use and management of rice paddies of Scenario I (18 ± 5.3 g/kg on average). This suggests a large gap in the SOC stock of farmlands due to household land management, which may thus have great impacts on SOC levels in China's croplands superimposing the agricultural management effects at farm scale.

Concern has been expressed previously that China's agriculture has been constrained by both the shortage of arable land and land fragmentation (Wan & Cheng 1996; Su & Wang 2002; Wu *et al.* 2005). The need for improved management to enhance cereal production and increase agricultural production efficiency has often been highlighted (Zhang & Xu 1996; Luo 2008; Lu & Zhang 2008; Wu *et al.* 2008; Jiang 2009; Ma & Liu 2009). Management for enhancing crop productivity will benefit soil C sequestration (Lal

2004a), and organic C accumulation favours crop productivity in China's croplands, especially for rice paddies (Pan & Zhao 2005; Pan *et al.* 2009a, b). Thus, developing a sound household land management system, in addition to good agricultural management practices, is necessary to help ensure cereal production, and C sequestration for mitigating GHG emissions in China. Therefore, incentives for encouraging good household farm management practices at the appropriate scale should be taken into account in China's national action plan for climate mitigation.

More broadly, the land tenure and household land management system seen in this region is very common throughout China, and has a number of similarities with systems in many other developing countries. The village studied was among those living on agricultural production in a rural area far from the cities (at least 150 km from the nearest city of Yingtang, Jiangxi as shown in Fig. 1). The size and total area, as well as the crop production, were typical for the south China's rural area; the mean size of total farmlands per household was <1 ha. Crop production was mainly rice, plus some dry crops in the rolling uplands with infertile soils. Most of the south Asian and African countries previously had such landform and agriculture as well as land tenure systems in rural areas (McPherson 1982; Otsuka *et al.* 2001; Suyanto *et al.* 2001; Niroula & Thapa 2005; de Costa & Sangakkara 2006). The present case study provides rare quantitative information linking limits in the efficacy in soil C storage with potential barriers to implementation, and may serve as a template for investigating barriers to implementation of climate mitigation practices in agriculture elsewhere in the developing world.

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