

Transfers of energy and helicity in helical rotating turbulence

Running Hu^{1,2}, Xinliang Li^{1,2} and Changping Yu^{1,†}

¹LHD, Institute of Mechanics, Chinese Academy of Sciences, Beijing 100190, PR China ²School of Engineering Science, University of Chinese Academy of Sciences, Beijing 100049, PR China

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Helical rotating turbulence is a chiral and anisotropic flow. The energy and helicity transfers of helical rotating turbulence are studied in this paper. First, we discuss the antisymmetry and conservation of energy and helicity transfers. There are three expressions for helicity transfers due to the commutability of differential operators. The first expression is derived here. The second expression violates antisymmetry, and the third one introduces non-physical effects. The relations of these expressions are discussed in detail, including those about the sum of all triads and partial triads, as well as those about helical wave decomposition. Through direct numerical simulations, we find that helicity can reduce inverse energy cascades. The inhibition is mainly associated with transhelical energy fluxes and the interactions of two-dimensional modes. The inverse cascades of decomposed energy fluxes are related to the two-dimensionalization. For helicity, rotation does not affect the total helicity flux but generally suppresses the decomposed helicity fluxes. Positive homochiral and negative heterochiral helicity fluxes are associated with corresponding positive anisotropic transfers. Notably, the transhelical helicity fluxes increase the amplitudes of both positive and negative helicity, which is related to the chirality polarization.

Key words: rotating turbulence, turbulence simulation, turbulence theory

1. Introduction

Rotation is ubiquitous in geophysics (Pouquet & Marino 2013), astrophysics (Cho *et al.* 2008) and engineering (Dumitrescu & Cardos 2004). Moreover, in practical flows (Yang & Wu 2012), rotation is always accompanied by helicity. Lilly (1986) suggested that helicity is the primary factor that contributes to the stability of long-lived storms. In addition to energy, helicity, $H = \int u \cdot \omega \, dV$, is the sole quadratic inviscid invariant in three-dimensional (3-D) turbulent flows (Polifke & Shtilman 1989; Moffatt 2018).

†Email address for correspondence: cpyu@imech.ac.cn

Helicity is the circulation-weighted sum of the topological linking number between all vortex line pairs (Irvine 2018). For a thin vortex tube with a smooth core, helicity can be found in three typical structures: the linking; writhing; and twisting of vortex tubes (Berger 1999).

Unlike enstrophy in two-dimensional (2-D) turbulence, helicity in 3-D turbulence may be negative, which leads to different dynamics and cascades (Alexakis & Biferale 2018). Brissaud et al. (1973) analysed two possibilities of cascades in 3-D turbulence: the pure helicity cascade; and the joint cascades of energy and helicity. Kraichnan (1973) argued that the second possibility is more plausible. Furthermore, Kraichnan (1973) gave the absolute equilibrium spectrum of the Euler equation and showed that helicity suppresses the overall energy transfer. André & Lesieur (1977) further argued that in decaying helical turbulence, the suppression occurs in the early stages but disappears with the establishment of an inertial range. Waleffe (1992b) applied helical wave decomposition (HWD) and stability theory to predict the transfer direction of four typical triad interactions. In helical turbulence without rotation, both energy and helicity simultaneously cascade to small scales, and they have the same inertial range (Chen, Chen & Eyink 2003). Considering the positive chirality only, Biferale, Musacchio & Toschi (2012) found that the inverse energy cascade truly exists in homochiral transfers. Alexakis (2017) addressed the inverse energy cascades of homochiral fluxes in the complete Navier–Stokes (N–S) equation. Notably, if only the positive chirality is considered, the inverse energy cascade can also be deduced from helicity conservation (Alexakis & Biferale 2018). For helicity, Briard & Gomez (2017) found the inverse helicity transfers hidden in the total forward helicity transfer by the eddy-damped quasinormal Markovian closure. Alexakis (2017) investigated the helicity fluxes in detail by HWD. Recently, a dual-channel theory about the helicity cascade has been developed: the first channel mainly originates from the vortex-twisting process, and the second channel is mainly associated with the vortex-stretching process (Yan, Li & Yu 2020a; Yan et al. 2020b).

Non-helical rotating turbulence has been widely investigated as well. In such cases, energy presents simultaneous cascades to small and large scales, together with a two-dimensionalization process (Smith & Waleffe 1999). Regarding anisotropy, controversy persists about whether isotropy is restored at small scales. Zeman (1994) proposed a scale $k_{\Omega} \sim \epsilon^{-1/2} \Omega^{3/2}$ (named the Zeman scale) where the eddy turnover time equals the inertial wave time. When $k \ll k_{\Omega}$, the rotation effects prevail. The effects decay as smaller scales are considered. When $k \gg k_{\Omega}$, isotropy is recovered, which is consistent with the local isotropy hypothesis of Kolmogorov (1941). However, by an asymptotic quasi-normal Markovian model with a low Reynolds number $Re \approx 5$, Bellet et al. (2006) found that anisotropy increases with increasing wavenumber. Lamriben, Cortet & Moisy (2011) also discovered strong small-scale anisotropy in an experiment with a moderate Reynolds number. The anisotropy at the small scale is also supported by abundant numerical simulations (Clark Di Leoni et al. 2014; di Leoni & Mininni 2016; Sharma, Verma & Chakraborty 2019). Furthermore, by numerical simulations, Delache, Cambon & Godeferd (2014) showed that isotropy is only obtained under weak rotation and that there is a relation between k_{Ω} and the scale with the maximum anisotropy.

The cascade of non-helical rotating turbulence is anisotropic as well and has been deeply investigated from various perspectives (Cambon & Jacquin 1989). Slow–fast decomposition (Waleffe 1992*a*; Chen *et al.* 2005; Buzzicotti *et al.* 2018*a*) and HWD (Buzzicotti *et al.* 2018*a*) have been applied to study energy fluxes from the viewpoints of resonant waves and chiralities. Waleffe (1992*a*) found that under rapid rotation ($\Omega \rightarrow \infty$),

the transfers of non-resonant triads decay to zero. By resonant triads, energy is transferred towards wavevectors perpendicular to the rotating axis. However, resonant triads transfer energy towards smaller values of $\cos \theta$ but not $\cos \theta = 0$, where θ indicates the angle between the wavevector and the axis of rotation. Chen et al. (2005) further investigated the resonant wave theory by slow-fast decomposition, and Chen et al. (2005) also addressed the k^{-3} spectrum associated with the inverse cascade. By HWD, Buzzicotti *et al.* (2018*a*) measured the effects of homochiral and heterochiral interactions. Buzzicotti et al. (2018a) found that homochiral interactions prevail near the forcing wavenumber and are dominated by 3-D dynamics. Both heterochiral and homochiral interactions are significant at smaller wavenumbers, where the 2-D mechanism is dominant. Using direct numerical simulation (DNS) without 2-D modes, Buzzicotti, Di Leoni & Biferale (2018b) further studied the effects of 3-D modes in inverse cascades, which are carried by homochiral channels. Scale locality is another topic of the scale-transfer process. Mininni, Alexakis & Pouquet (2009) argued that the forward energy transfers are mediated by the energy-containing scale, while the inverse energy transfers are non-local. Furthermore, by ring-to-ring transfer analyses, Sharma et al. (2019) found that the energy transfers are equatorward at large scales and poleward at small scales.

To study the effects of chiralities, helicity can be introduced to rotating turbulence (Thalabard et al. 2011; Sen et al. 2012; Rodriguez Imazio & Mininni 2013). The decaying properties of helical rotating turbulence were investigated by Morinishi, Nakabayashi & Ren (2001) and Teitelbaum & Mininni (2009). Morinishi et al. (2001) found that in the absence of rotation, the decay rate of energy is independent of the helicity injection. In contrast, with the presence of both helicity and rotation, the decay process is slowed down by the scrambling effects (due to rotation) and the suppression of nonlinear interactions (due to helicity). By phenomenological models and numerical simulations, Teitelbaum & Mininni (2009) argued that energy decays as t^{-1} in non-helical rotating flows, while in the presence of helicity, the decay rate is reduced to $t^{-1/3}$. For scaling laws of energy and helicity, the relationship of energy and helicity can be recognized by phenomenological models (Mininni & Pouquet 2009): $E(k)H(k) \sim k^{-4}$. The scaling law is also verified by DNSs (Mininni & Pouquet 2010a; Mininni, Rosenberg & Pouquet 2012). Furthermore, considering anisotropy, Galtier (2014) derived the results of $E(k)H(k) \sim k_{\perp}^{-4}|k_{\parallel}|^{-1}$ by the asymptotic weak turbulence theory, where \parallel and \perp indicate the wavevectors parallel and perpendicular to the axis of rotation, respectively. Mininni & Pouquet (2010b) discussed flow structures in detail. They found that strongly helical structures exist in both laminar and time-varying vortex tangles and that the former tangles live for a much longer time. In helical rotating turbulence, anisotropy at small scales is also of interest to researchers. Mininni et al. (2012) presented a detectable trend of small-scale isotropy using a DNS of 3072^3 points, where the isotropy was recovered at k_{Ω} . Vallefuoco, Naso & Godeferd (2018) performed numerous simulations and found that as wavenumbers increase, the anisotropy decreases at first and then remains unchanged until the minimum scale. Considering the balance between the dissipative and rotating time scales, Vallefuoco et al. (2018) also proposed a new scale where the anisotropy becomes unchanged.

As discussed above, the helical rotating turbulence is still not fully understood. In this paper, we mainly address the energy and helicity transfers in helical rotating turbulence. The paper is organized as follows. In § 2, we give the derivation and properties of the energy and helicity transfers. The details of simulations and global behaviours are described in § 3. Next, in § 4, we analyse the transfers of energy and helicity from the perspectives of chirality and anisotropy. The flow structures are shown and illustrated in § 5. Finally, conclusions are given in § 6.

2. Transfers of energy and helicity

The incompressible N-S equations are

$$\frac{\partial \boldsymbol{u}}{\partial t} + (\boldsymbol{u} \cdot \boldsymbol{\nabla})\boldsymbol{u} = -\boldsymbol{\nabla}p + \boldsymbol{\nu}\boldsymbol{\nabla}^{2}\boldsymbol{u} + \boldsymbol{f} + 2\boldsymbol{u} \times \boldsymbol{\Omega}, \\ \boldsymbol{\nabla} \cdot \boldsymbol{u} = 0,$$
(2.1)

where u is the velocity, p is the total pressure including the centrifugal effects (Davidson 2010), v is the kinematic viscosity, f is the forcing term and Ω is the rotating vector and is aligned with the x_3 axis.

2.1. Energy transfers

The energy transfer equation in spectral space can be deduced from (2.1) as

$$\frac{\partial E(\boldsymbol{k})}{\partial t} + 2\nu |\boldsymbol{k}|^2 E(\boldsymbol{k}) = \sum_{\boldsymbol{p},\boldsymbol{q}}^{\Delta} T_E(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q}) + \operatorname{Re}\{\hat{\boldsymbol{u}}^*(\boldsymbol{k})\cdot\hat{\boldsymbol{f}}(\boldsymbol{k})\},$$
(2.2)

where $E(k) = \hat{u}(k) \cdot \hat{u}^*(k)/2$ is the energy at wavenumber k, represents the quantity in spectral space, the superscript * represents the conjugate of a complex value, Re{ \cdot } is the real part of a complex value and the symbol $\sum_{p,q}^{\Delta}$ represents a sum over all values of p and q with p + q + k = 0.

The nonlinear energy transfer $T_E(k|p|q)$ in (2.2) is written as

$$T_E(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q}) = \operatorname{Re}\{\hat{\boldsymbol{u}}(\boldsymbol{k}) \cdot [\hat{\boldsymbol{u}}(\boldsymbol{p}) \times \hat{\boldsymbol{\omega}}(\boldsymbol{q})]\}, \qquad (2.3)$$

where $\hat{\omega}(q) = iq \times \hat{u}(q)$ is the vorticity in spectral space at the wavenumber q. Utilizing the incompressible constraint $q \cdot \hat{u}(q) = 0$, the following property holds:

$$T_E(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q}) = -T_E(\boldsymbol{p}|\boldsymbol{k}|\boldsymbol{q}).$$
(2.4)

This property is named 'antisymmetry' for briefness hereafter (Mininni, Alexakis & Pouquet 2006; Mininni *et al.* 2009), and is the basis of the following analyses. The antisymmetry of $T_E(k|p|q)$ implies that the energy k receives is equal to the energy p loses. Here q plays the role of mediation only and does not gain or lose any energy. Therefore, $T_E(k|p|q)$ transfers energy from p to k through q.

The definition of the nonlinear energy transfer in (2.3) is based on a single triad interaction. To study the properties of the transfer process, the sum of triad interactions needs to be considered. The energy flux is defined as

$$\Pi_{E}(k=k_{1}) = -\sum_{|k| \le k_{1}} \sum_{p,q}^{\Delta} T_{E}(k|p|q).$$
(2.5)

Notably, $\Pi_E(k)$ is conservative, i.e.

$$\Pi_E(k=k_{max})=0,\tag{2.6}$$

which can be deduced from the antisymmetry. In fact, when a transfer is antisymmetric, the corresponding flux must be conservative.

In helical flows, since the reflection symmetry is broken, chiralities matter greatly. Helical wave decomposition (HWD), which has been employed in helical non-rotating turbulence (Chen *et al.* 2003), is also applied here. The details of HWD are summarized in Appendix A. By HWD, the energy transfer $T_E(k|p|q)$ can be divided into eight components as

$$T_{E}(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q}) = \sum_{s_{1}=\pm} \sum_{s_{2}=\pm} \sum_{s_{3}=\pm} T_{E}^{s_{1},s_{2},s_{3}}(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q})$$

=
$$\sum_{s_{1}=\pm} \sum_{s_{2}=\pm} \sum_{s_{3}=\pm} \operatorname{Re}\{\hat{\boldsymbol{u}}^{s_{1}}(\boldsymbol{k}) \cdot [\hat{\boldsymbol{u}}^{s_{2}}(\boldsymbol{p}) \times \hat{\boldsymbol{\omega}}^{s_{3}}(\boldsymbol{q})]\}, \qquad (2.7)$$

where s_1 , s_2 and s_3 represent the charities.

Similar to (2.5), the decomposed energy flux $\Pi_E^{s_1,s_2,s_3}(k)$ can be defined by $T_E^{s_1,s_2,s_3}(k|p|q)$. When $s_1 = s_2 = s$, $T_E^{s,s,s_3}(k|p|q)$ is antisymmetric, which is the energy transfer in a single chirality. Thus, the corresponding flux $\Pi_E^{s,s,s_3}(k)$ is conservative, which is called the conservative flux (Alexakis 2017). In contrast, when $s_1 = -s_2 = s$, $T_E^{s,-s,s_3}(k|p|q)$ is the energy transfer between the two chiralities, which is called the transhelical energy transfer (Alexakis 2017). This type of energy transfer is not antisymmetric. However, there is another relation describing the transhelical energy transfer:

$$T_E^{s,-s,s_3}(k|p|q) = -T_E^{-s,s,s_3}(p|k|q).$$
(2.8)

Therefore, adding in pairs can lead to an antisymmetric transfer, i.e.

$$T_E^{s_3,th}(k|p|q) = T_E^{s_1-s_1s_3}(k|p|q) + T_E^{-s_1s_3s_3}(k|p|q).$$
(2.9)

The related flux $\Pi_E^{s_3,th}(k)$ is defined by $T_E^{s_3,th}(k|p|q)$ in the same way as (2.5). It is conservative and is called the averaged transhelical energy flux.

To illustrate the transfer direction of different fluxes, the spectral space can be divided into two components by a certain wavenumber k_1 :

$$R_1(k_1) = \{ 0 \le k \le k_1 \}, \quad R_2(k_1) = \{ k_1 < k \le k_{max} \}, \tag{2.10a,b}$$

which is shown in figure 1. Considering chiralities, R_i (i = 1, 2) can be further partitioned into R_i^s , where the superscript *s* represents the chirality. By the division above, $\Pi_E^{s_1,s_2,s_3}(k = k_1)$ can be interpreted as follows.

- (i) The conservative energy flux: $s_1 = s_2 = s$, the flux of energy from R_1^s to R_2^s by the field with the chirality s_3 .
- (ii) The transhelical energy flux: $s_1 = -s_2 = s$, the flux of energy from R_1^s to R_1^{-s} and R_2^{-s} by the field with the chirality s_3 .

The above explanations are deduced from the antisymmetry of $T_E^{s_1,s_2,s_3}$ (2.8).

2.2. Helicity transfers

From (2.1), the helicity spectral transfer equation can be derived as

$$\frac{\partial H(\boldsymbol{k})}{\partial t} + 2\nu |\boldsymbol{k}|^2 H(\boldsymbol{k}) = \sum_{\boldsymbol{p},\boldsymbol{q}}^{\Delta} T_H(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q}) + \operatorname{Re}\{\hat{\boldsymbol{\omega}}^*(\boldsymbol{k})\cdot\hat{\boldsymbol{f}}(\boldsymbol{k})\}, \quad (2.11)$$

where $H(k) = \text{Re}\{\hat{u}(k) \cdot \hat{\omega}^*(k)\}/2$ is the helicity at the wavenumber k (Polifke & Shtilman 1989), $T_H(k|p|q)$ is the first expression of the nonlinear helicity transfer. See Appendix B.1 for the details of the derivations.

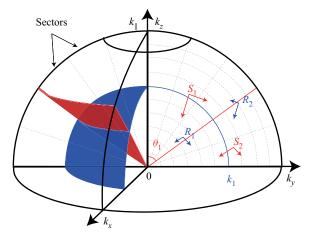


Figure 1. Division of the spectral space. The spectral space can be divided into five sectors. It can also be divided into R_1 and R_2 by k_1 or be divided into S_1 and S_2 by θ_1 . Here, k_1 and θ_1 are certain values of k and θ , where $\theta = \arccos |k_{\parallel}|/k$.

2.2.1. *Three expressions of the helicity transfer*

There are three expressions for the helicity transfer of a single triad,

$$T_H(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q}) = \frac{1}{2} \operatorname{Im}\{[\boldsymbol{k} \cdot \hat{\boldsymbol{\omega}}(\boldsymbol{q})][\hat{\boldsymbol{u}}(\boldsymbol{k}) \cdot \hat{\boldsymbol{u}}(\boldsymbol{p})]\} - \frac{1}{2} \operatorname{Im}\{[\boldsymbol{k} \cdot \hat{\boldsymbol{u}}(\boldsymbol{q})][\hat{\boldsymbol{u}}(\boldsymbol{k}) \cdot \hat{\boldsymbol{\omega}}(\boldsymbol{p})]\}$$

$$-\frac{1}{2} \operatorname{Im}\{[\boldsymbol{k} \cdot \hat{\boldsymbol{u}}(\boldsymbol{q})][\hat{\boldsymbol{\omega}}(\boldsymbol{k}) \cdot \hat{\boldsymbol{u}}(\boldsymbol{p})]\}, \qquad (2.12a)$$

$$T'_{H}(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q}) = -\mathrm{Im}\{[\boldsymbol{k}\cdot\hat{\boldsymbol{u}}(\boldsymbol{q})][\hat{\boldsymbol{\omega}}(\boldsymbol{k})\cdot\hat{\boldsymbol{u}}(\boldsymbol{p})]\},\tag{2.12b}$$

$$T''_{H}(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q}) = \operatorname{Re}\{\hat{\boldsymbol{\omega}}(\boldsymbol{k}) \cdot [\hat{\boldsymbol{u}}(\boldsymbol{q}) \times \hat{\boldsymbol{\omega}}(\boldsymbol{p})]\}, \qquad (2.12c)$$

where Im{ \cdot } denotes the imaginary part of a complex value. The first expression $T_H(k|p|q)$ can be decomposed into three components $(T_{H1}(k|p|q), T_{H2}(k|p|q))$ and $T_{H3}(k|p|q)$, respectively). Here, $T_{H1}(k|p|q)$ and $T_{H2}(k|p|q)$ are associated with the vortex stretching process, and $T_{H3}(k|p|q)$ is related to the vortex twisting process (Eyink 2006; Yan *et al.* 2020*a*,*b*). The first expression, $T_H(k|p|q)$, is derived by a similar approach in physical space (Yan *et al.* 2020*b*). In this paper, it is first discussed in the spectral analyses of helical flows, and its detailed derivations are given in Appendix B.1. The second expression, $T'_H(k|p|q)$, comes from the advection $u \cdot \nabla u$, which has been applied by Chen *et al.* (2003). The third expression, $T'_H(k|p|q)$, is derived from the Lamb vector $u \times \omega$ (Mininni *et al.* 2020; Alexakis 2017).

The three expressions are not equal when only one triad is considered. However, as proved in Appendix B.2.1, the three expressions are identical for the sum of all p and q with k + p + q = 0, i.e.

$$\sum_{p,q}^{\Delta} T_H(k|p|q) = \sum_{p,q}^{\Delta} T'_H(k|p|q) = \sum_{p,q}^{\Delta} T''_H(k|p|q).$$
(2.13)

As is well known, there is only one expression of helicity transfer in physical space (Yan *et al.* 2020*b*), which is related to (2.12a) here. The reason for the different three expressions here is the commutability of differential operators in spectral space.

Taking part of the derivations in Appendix B.2.1 as examples, the following relations hold:

$$\operatorname{Re}\{\hat{\boldsymbol{u}}^* \cdot \mathcal{F}\{\nabla \times (\boldsymbol{u} \times \boldsymbol{\omega})\}\} = \operatorname{Re}\{\hat{\boldsymbol{\omega}}^* \cdot \mathcal{F}\{\boldsymbol{u} \times \boldsymbol{\omega}\}\}, \qquad (2.14a)$$

$$\boldsymbol{u} \cdot [\nabla \times (\boldsymbol{u} \times \boldsymbol{\omega})] = \boldsymbol{\omega} \cdot (\boldsymbol{u} \times \boldsymbol{\omega}) + \nabla \cdot [(\boldsymbol{u} \times \boldsymbol{\omega}) \times \boldsymbol{u}], \qquad (2.14b)$$

where $\mathcal{F}\{\cdot\}$ represents the Fourier transform. Equation (2.14*a*) is in spectral space, and (2.14*b*) is in physical space. As shown by these relations, the differential operators commute with other terms in spectral space, while commuting in physical space could introduce another gradient.

Moreover, in §§ 2.2.2, 4.2 and Appendix B.2, more relations about helicity transfers and fluxes are discussed. It is demonstrated that the three expressions are truly different. Only the expression derived here (2.12a) is directly associated with the expression in physical space.

2.2.2. Properties of helicity transfers and fluxes

It can be deduced from the definition of the helicity transfer that $T_H(k|p|q)$ and $T''_H(k|p|q)$ satisfy antisymmetry, while $T'_H(k|p|q)$ does not. Therefore, $T_H(k|p|q)$ and $T''_H(k|p|q)$ have clear physical meanings. The first expression of the helicity flux is written as

$$\Pi_{H}(k=k_{1}) = -\sum_{|k| \le k_{1}} \sum_{p,q}^{\Delta} T_{H}(k|p|q).$$
(2.15)

Similar to (2.15), $\Pi_{Hi}(k)$ (i = 1, 2, 3), $\Pi'_{H}(k)$ and $\Pi''_{H}(k)$ can be defined by $T_{Hi}(k|p|q)$ (i = 1, 2, 3), $T'_{H}(k|p|q)$ and $T''_{H}(k|p|q)$, respectively. According to (2.13), the three fluxes are equal, i.e.

$$\Pi_H(k) = \Pi'_H(k) = \Pi''_H(k), \qquad (2.16)$$

where $\Pi_{H}(k)$ includes three components $\Pi_{Hi}(k)(i = 1, 2, 3)$.

For the results of HWD, $T''_H(k|p|q)$ can be decomposed as

$$T''_{H}(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q}) = \sum_{s_{1}=\pm} \sum_{s_{2}=\pm} \sum_{s_{3}=\pm} T''_{H}^{s_{1},s_{2},s_{3}}(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q})$$
$$= \sum_{s_{1}=\pm} \sum_{s_{2}=\pm} \sum_{s_{3}=\pm} \operatorname{Re}\{\hat{\boldsymbol{\omega}}^{s_{1}}(\boldsymbol{k}) \cdot \hat{\boldsymbol{u}}^{s_{2}}(\boldsymbol{q}) \times \hat{\boldsymbol{\omega}}^{s_{3}}(\boldsymbol{p})\},$$
(2.17)

where the superscripts s_1 , s_2 and s_3 correspond to the field at wavenumbers k, q and p, respectively (Alexakis 2017). Here $T_H^{s_1,s_2,s_3}(k|p|q)$ can be defined in the same way.

Similar to (2.15), the decomposed helicity fluxes $(\Pi_{H}^{s_{1},s_{2},s_{3}}(k) \text{ and } \Pi_{H}^{\prime\prime s_{1},s_{2},s_{3}}(k))$ are defined by $T_{H}^{s_{1},s_{2},s_{3}}(k|p|q)$ and $T_{H}^{\prime\prime s_{1},s_{2},s_{3}}(k|p|q)$, respectively. By the division shown in figure 1, $\Pi_{H}^{s_{1},s_{2},s_{3}}(k=k_{1})$ can be interpreted as follows.

- (i) The conservative helicity flux: $s_1 = s_3 = s$, the flux of helicity from R_1^s to R_2^s by the field with the chirality s_2 .
- (ii) The transhelical helicity flux: $s_1 = -s_3 = s$, the flux of helicity from R_1^s to R_1^{-s} and R_2^{-s} by the field with the chirality s_2 .

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It has been proved in Appendix B.2.3 that only when $s_2 = s_3 = s$ will the first and the third expressions of the decomposed helicity fluxes be equal, *viz*.

$$\Pi_{H}^{s_{1},s,s}(k) = \Pi_{H}^{\prime\prime s_{1},s,s}(k).$$
(2.18)

Since $T_H^{s_1,s_2,s_3}(k|p|q)$ and $T_H''^{s_1,s_2,s_3}(k|p|q)$ are the same when considering antisymmetry and conservation, only the properties of $T_H^{s_1,s_2,s_3}(k|p|q)$ are discussed here. When $s_1 = s_3 = s$, $T_H^{s,s_2,s}(k|p|q)$ satisfies antisymmetry, which can be interpreted as the helicity transfer in a single chirality. The corresponding flux is called the conservative helicity flux (Alexakis 2017). Otherwise, $T_H^{s,s_2,-s}(k|p|q)$ is the transfer between the two chiralities and is not antisymmetric. The corresponding flux $\Pi_H^{s,s_2,-s}(k)$ is called the transhelical helicity flux (Alexakis 2017). Here $T_H^{s_2,th}(k|p|q)$ can be obtained by adding $T_H^{s,s_2,-s}(k|p|q)$ in pairs,

$$T_{H}^{s_{2},th}(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q}) = T_{H}^{s,s_{2},s}(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q}) + T_{H}^{-s,s_{2},s}(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q}),$$
(2.19)

which is antisymmetric. The relative flux $\Pi_{H}^{s_2,th}(k)$ is conservative and is called the averaged transhelical helicity flux. Similar to energy, for the transhelical transfers of helicity, there is another relation:

$$T_{H}^{s,s_{2},-s}(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q}) = -T_{H}^{-s,s_{2},s}(\boldsymbol{p}|\boldsymbol{k}|\boldsymbol{q}).$$
(2.20)

In addition, $T_H^{\prime\prime s_2,th}(k|p|q)$ and $\Pi_H^{\prime\prime s_2,th}(k)$ are defined by $T_H^{\prime\prime s_1,s_2,s_3}(k|p|q)$ similar to (2.19) and (2.15), respectively.

In summary, the antisymmetry of transfers is the sufficient condition for the conservation of related fluxes, regardless of energy or helicity. The conservative flux represents the flux in a single chirality, while the (averaged) transhelical flux represents the flux between the two chiralities. The conservative and averaged transhelical fluxes are conservative. In contrast, the transhelical flux is not conservative. Additionally, when $s_1 = s_2 = s_3 = s$, $\Pi_E^{s,s,s}(k)$ and $\Pi_H^{s,s,s}(k)$ are homochiral fluxes. Otherwise, $\Pi_E^{s_1,s_2,s_3}(k)$ and $\Pi_H^{s_1,s_2,s_3}(k)$ are heterochiral fluxes.

3. Numerical simulations

3.1. Numerical set-up

Seven DNSs with 1536³ grid points are carried out. A 2-D parallel pseudospectral code is implemented to solve the incompressible N–S equations (2.1). In addition, the explicit second-order Adams–Bashforth technique is performed for temporal evolution.

There are two forcing schemes. Since different relative helicity injection rates are needed to study the influence of helicity, the forcing scheme of Teimurazov *et al.* (2018) is applied,

$$\hat{f}(k) = A\hat{u}(k) + B\hat{\omega}(k), \qquad (3.1)$$

which is named Tei18 hereafter. Given the energy injection ϵ_E and the helicity injection ϵ_H , the parameters A and B are determined as

$$A = \frac{1}{2} \frac{W_{f} \epsilon_{E} - H_{f} \epsilon_{H}}{E_{f} W_{f} - H_{f}^{2}}, \quad B = \frac{1}{2} \frac{E_{f} \epsilon_{H} - H_{f} \epsilon_{E}}{E_{f} W_{f} - H_{f}^{2}}, \quad (3.2a,b)$$

where $E_f = \sum_{|\boldsymbol{k}| \in k_f} \frac{1}{2} |\hat{\boldsymbol{u}}(\boldsymbol{k})|^2$, $H_f = \sum_{|\boldsymbol{k}| \in k_f} \frac{1}{2} \operatorname{Re}\{\hat{\boldsymbol{u}}(\boldsymbol{k}) \cdot \hat{\boldsymbol{\omega}}^*(\boldsymbol{k})\}$ and $W_f = \sum_{|\boldsymbol{k}| \in k_f} \frac{1}{2} k^2 |\hat{\boldsymbol{u}}(\boldsymbol{k})|^2$ are energy, helicity and enstrophy at the forcing scale k_f , respectively. **946** A19-8

Case	Ω	ϵ_E	ϵ_H	$\frac{\epsilon_H}{k_f \epsilon_E}$	$\frac{H_f}{k_f E_f}$	Re	Ro	Forcing	T_0
Т0	0.00	0.075	0.525	1.00	0.969	3434.3	∞	Tei 18	1.17
T1	7.00	0.075	0.00	0.00	0.013	5260.7	0.09328	Tei18	0.77
T2	7.00	0.075	0.225	0.43	0.401	5156.3	0.09147	Tei18	0.78
T3	7.00	0.075	0.525	1.00	0.843	5077.6	0.09008	Tei18	0.76
TD3	7.00	0.00	0.00	_	0.398	5077.6	0.09008		0.76
ABC3	7.00	0.075	0.525	1.00	0.918	5580.3	0.09895	ABC	0.72
T4	4.00	0.075	0.525	1.00	0.917	4401.9	0.13659	Tei18	0.92

Table 1. Descriptions of the data: rotation rate (Ω) ; energy injection rate (ϵ_E) ; helicity injection rate (ϵ_H) ; relative helicity injection rate $(\epsilon_H/k_f \epsilon_E)$; relative helicity at k_f $(H_f/k_f E_f)$; Reynolds number (*Re*); Rossby number (*Ro*); the forcing scheme (forcing); eddy turnover time (T_0).

In addition, to exclude the artificial effects of the forcing scheme, the Arnold–Beltrami– Childress (ABC) forcing scheme (Mininni & Pouquet 2009) is also considered, which can be written in physical space as

$$f(\mathbf{x}) = F_0\{[B\cos(k_f x_2) + C\sin(k_f x_3)]\mathbf{e}_1 + [C\cos(k_f x_3) + A\sin(k_f x_1)]\mathbf{e}_2 + [A\cos(k_f x_1) + B\sin(k_f x_2)]\mathbf{e}_3\},$$
(3.3)

where A = 0.9, B = 1.0, C = 1.1; e_i is the unit vector of corresponding axis; and F_0 is determined by the given energy injection rate ϵ_E . The ABC forcing scheme can only inject maximum helicity, i.e. $\epsilon_H = k_f \epsilon_E$. Notably, in both forcing schemes above, the forcing term is a solenoid vector, i.e. $i\mathbf{k} \cdot \hat{f}(\mathbf{k}) = 0$.

In helical rotating turbulence, the Reynolds and Rossby numbers are defined as

$$Re = \frac{L_f U}{\nu}, \quad Ro = \frac{U}{2\Omega L_f},$$
 (3.4*a*,*b*)

where *Re* represents the ratio of the inertial force and the viscous force, *Ro* is the ratio of the inertial force and the Coriolis force, $L_f = 2\pi/k_f$ is the forcing scale and $U = \sqrt{\langle u^2 \rangle}$ is the root mean square velocity. The main arguments are listed in table 1, where the eddy turnover time is $T_0 = L_f/U$. Since TD3 starts from T3 and freely decays, *Re*, *Ro* and T_0 of TD3 are the same as T3, its relative helicity is calculated at 2.5 eddy turnover times. Other common parameters include the forcing wavenumbers $k_f = 7$ and the kinematic viscosity $\nu = 2 \times 10^{-4}$.

The simulations first reach a balance with $\Omega = 0.06$ and 768^3 grid points. Then, T0 is interpolated to 1536^3 grid points and continues for 6.61 eddy turnover times with $\Omega = 0$, which is steady after the first 1.4 eddy turnover times. Other cases except for TD3 continue for approximately nine eddy turnover times with 768^3 grid points and Ω in table 1. Finally, these cases are interpolated to 1536^3 grid points and continue for approximately five eddy turnover times. The exact moments of interpolation are marked by triangles in figure 2, when the trend of evolution is monotonic. Starting from T3 at 11.6 eddy turnover times, TD3 continues for 4.9 eddy turnover times with no injection.

Here, T0 has a zero rotation rate but maximum helicity injection; T1, T2 and T3 have the same rotation rate but different helicity injection rates; T4 has a smaller rotation rate than T3; TD3 has no energy or helicity injection. Except for the forcing scheme, ABC3 has the same arguments as T3.

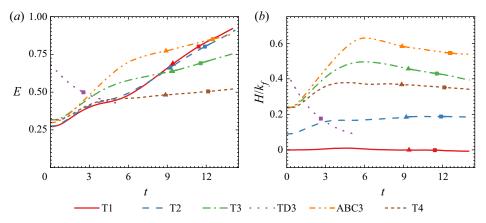


Figure 2. Temporal evolution of the averaged (*a*) energy and (*b*) helicity. The triangles represent the time when interpolation is performed. The blocks represent the time when numerical results are calculated.

3.2. Global behaviours

Figure 2 presents the evolution of the averaged energy $E = \langle u(x) \cdot u(x) \rangle / 2$ and helicity $H = \langle u(x) \cdot \omega(x) \rangle / 2$. The time in the figure is normalized by the eddy turnover time. In figure 2(*a*), after a transition of no more than six eddy turnover times, *E* of T1 and T2 develops faster than that of T3 and ABC3, which means that strong helicity can inhibit the rate of energy increase. In figure 2(*b*), *H* of T1 varies around zero, which involves no helicity injection. Additionally, *H* of T2 and T4 is approximately steady. In T3 and ABC3, where nearly maximum helicity is injected, the helicity initially increases and then decreases. The energy and helicity of TD3 decrease monotonically. If not specified, the numerical results hereafter are calculated at the time marked by blocks in figure 2.

The isotropic spectra of energy and helicity are defined as

$$E(k) = \sum_{|k|=k} E(k), \quad H(k) = \sum_{|k|=k} H(k).$$
(3.5*a*,*b*)

Figure 3 shows the energy and helicity spectra of all cases. Without rotation, the scaling laws of the energy and helicity spectra of T0 are shallower than $k^{-5/3}$. Similar results have been reported by other researchers (Mininni & Pouquet 2010b; Alexakis & Biferale 2018). Moreover, with a relatively small rotation rate ($\Omega = 4$), the scaling law of the energy spectrum of T4 presents two different behaviours: $E(k) \sim k^{-2.2}$ when k < 20, and $E(k) \sim k^{-5/3}$ when k > 20. This can be attributed to the recovery of isotropy at small scales and is consistent with the results of Mininni *et al.* (2012). In addition, except for T0 and T4, the scaling laws of the energy spectra are nearly $k^{-2.2}$ for all cases. However, as the helicity injection becomes stronger from T1 to T3, the energy spectra become slightly shallower from $k^{-2.2}$ to $k^{-2.15}$. Furthermore, ABC3 and T3 have the same slope in the inertial range, which means that the forcing schemes have negligible effects here. For the cases except for T0, T1 and T4, the scaling laws of the helicity of T1 is positive at a wide range of wavenumbers, which is just a special case. The helicity spectrum generally oscillates around zero during the whole evolution process.

Furthermore, Galtier (2014) has derived the cospectrum of $E(k)H(k) \sim k^{-4}$, which has also been addressed by numerical simulations (Mininni & Pouquet 2010*a*). In our

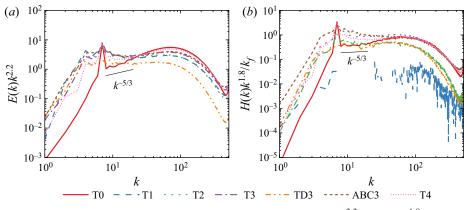


Figure 3. Compensated spectra of energy and helicity: (a) $E(k)k^{2.2}$; (b) $H(k)k^{1.8}$.

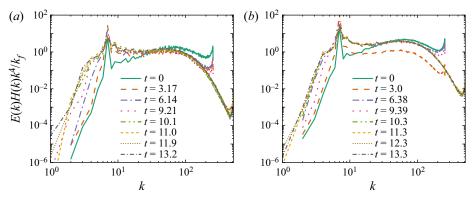


Figure 4. Temporal evolution of compensated spectra: (a) T2; (b) T3.

simulation, the scaling laws of the cospectra of TD3, T2, T3 and ABC3 vary from $k^{-4.0}$ to $k^{-3.9}$. Figure 4 gives the evolution of the compensated spectra $E(k)H(k)k^4$ of T2 and T3. The spectra mainly vary at the early six eddy turnover times. In the later stage, the small-scale spectra ($k \ge 15$) are almost unaffected, while the amplitudes of the large-scale spectra keep growing. In addition, the interpolation has small effects on the evolution of the spectra in the inertial range.

The decomposed energy spectrum $E^{s}(k)$ is defined by (A5). Figure 5 shows $E^{s}(k)$ of T1 and T3. As shown in figure 5(*a*), without any helicity injection, the two chiralities of T1 are far from distinguishable. In contrast, in T3, the imbalance of chiralities mainly occurs around the forcing wavenumber k_{f} . At small scales ($k \gtrsim 10^{2}$) and the largest scale ($k \approx 1$), the reflection symmetry is recovered.

Considering the anisotropy, a spectrum can be divided into N sectors according to the angle ($\theta \in [0, \pi/2]$) between the wavevector and the rotating axis,

$$E(k,\alpha) = \sum_{|k|=k} \sum_{\theta_{\alpha-1} < \theta \le \theta_{\alpha}} E(k), \qquad (3.6)$$

where $\theta = \arccos(k_{\parallel}/k)$, $\theta_{\alpha} = \pi \alpha/2N$ is the angle of different sector boundaries and $\alpha = \{1, \dots, N\}$ is the index of sectors. Figure 1 gives the division of the spectral space into

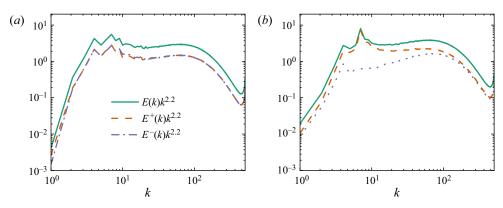


Figure 5. Decomposed energy spectra after HWD: (a) T1; (b) T3.

five sectors. To study the anisotropy in detail, the relative difference of the directional spectrum and corresponding isotropic spectrum is introduced (Vallefuoco *et al.* 2018),

$$\Delta E(k,\alpha) = \frac{1}{|\cos(\theta_{\alpha}) - \cos(\theta_{\alpha-1})|} \frac{E(k,\alpha)}{E(k)} - 1, \qquad (3.7)$$

where N = 5 in this paper. Since $E(k, \alpha) \ge 0$, $\Delta_E(k, \alpha) \ge -1$.

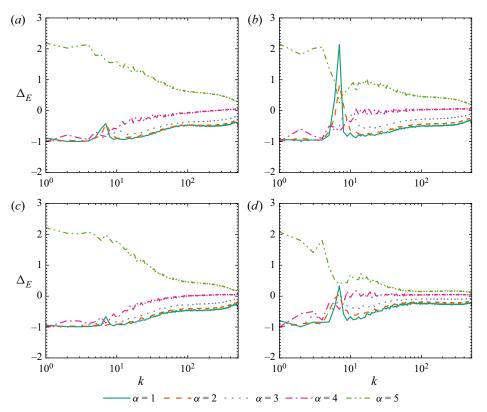
Figure 6 presents the results of anisotropy of T2, T3, ABC3 and T4. In general, for most wavenumbers, energy is concentrated in sector 5, which means the two-dimensionalization of flows. The comparison of figure 6(a) with figure 6(b) indicates that as the helicity injection becomes stronger, the energy at k_f tends to concentrate on sector 1. However, the comparison of figure 6(b) with figure 6(c) reveals that the abnormal distribution at k_f in figure 6(b) is introduced by the forcing scheme Teil8. The artificial effects are limited to wavenumbers [4, 20]. Similar artificial effects can also be identified in figure 6(d). Nonetheless, figure 6(d) still carries important information about the recovery of small-scale isotropy. As shown in the figure, the non-dimensional directional spectra at small scales do not recover to fully isotropic, and they do not reach constant values until k > 60, which is consistent with the results of Vallefuoco *et al.* (2018). Furthermore, Mininni *et al.* (2012) argued that small scales recover to isotropy mainly by the transition of energy scaling laws. A similar tendency is also shown in figure 3(a) in this paper. However, the results here reveal that even if the scaling law is similar to the isotropic case, the small scales are still not fully isotropic. At small scales (k > 70) of T4, sector 5 contains approximately 1.5 times as much energy as sector 1.

4. Results of transfers

The scale transfer is complicated, especially considering the chirality and anisotropy in helical rotating turbulence. However, transfer analyses are significant for understanding and modelling the process (Chen *et al.* 2003). In helical rotating turbulence, attributed to its complexity, detailed analyses of the transfer processes are still scarce.

4.1. Energy transfers

According to the antisymmetry of $T_E(\mathbf{k}|\mathbf{p}|\mathbf{q})$ (2.4), $\Pi_E(\mathbf{k} = k_1)$ represents the energy flux from $[0, k_1]$ to $(k_1, k_{max}]$. In figure 7, the energy fluxes of all cases are given. In this paper,



Transfers in helical rotating turbulence

Figure 6. The results of anisotropy: (a) T2; (b) T3; (c) ABC3; (d) T4.

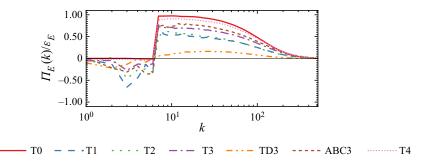


Figure 7. Overall energy fluxes. The black horizontal line represents zero flux for reference.

all fluxes are normalized by corresponding injection rates except for TD3. The fluxes of TD3 hereafter are normalized by the parameters of T3.

With a zero rotation rate, T0 cascades energy forward only. Other cases cascade energy upscale and downscale simultaneously. As more helicity is injected (from T1 to T3), the inverse cascades become weaker. As the rotation rate decreases from T3 to T4, the inverse cascades are also significantly suppressed. The suppression of inverse cascades can be associated with the smaller rate of energy increase shown in figure 2(a). This is because energy is mainly contained at large scales where dissipation is negligible. The rate of energy increase is approximately proportional to the strength of inverse cascades.

4.1.1. Energy fluxes decomposed by HWD

Figure 8 gives the results of decomposed energy fluxes of T0, T1, T3 and ABC3. The results in figure 8(a) are consistent with those of Alexakis (2017). With the zero rotation rate in T0, the inverse energy cascade is hidden in the overall forward cascade and is supported by the homochiral fluxes $(\Pi_E^{+++}(k) + \Pi_E^{---}(k))$. The comparison of figure $\delta(a)$ with figure $\delta(c)$ indicates that as rotation is introduced, the inverse cascade of the homochiral fluxes is strengthened. Additionally, inverse cascades are also introduced by conservative heterochiral fluxes $(\Pi_E^{+,+-}(k) + \Pi_E^{-,-+}(k))$ and averaged transhelical energy fluxes $(\Pi_E^{+,th}(k) + \Pi_E^{-,th}(k))$, where the former fluxes have a minor effect. Notably, the two classes of fluxes are heterochiral. Similar results were reported by Buzzicotti *et al.* (2018a), who associated homochiral energy fluxes with the interactions of 3-D modes and heterochiral energy fluxes with the interactions of 2-D modes. To evaluate the effects of helicity injection, figures 8(b) and 8(c) are compared. As helicity is injected, the overall inverse cascades are suppressed. The homochiral fluxes are relatively unaffected, while the inverse cascades of the averaged transhelical energy fluxes are suppressed. This is also supported by the results of ABC3 in figure 8(d) and of T3 at another instant (not shown here). The averaged transhelical energy fluxes are the fluxes between the two chiralities and are associated with the 2-D modes according to the research of Buzzicotti et al. (2018a). Therefore, the reduction of the inverse cascades and energy growth rate can be attributed to the injection of helicity suppressing the interactions of two chiralities as well as those of 2-D modes. The suppression of 2-D interactions is in agreement with previous results, since helicity does not work in 2-D dynamics (Biferale, Buzzicotti & Linkmann 2017).

Figure 9 shows the detailed energy flux decomposition of T3. The results of ABC3 in Appendix C are consistent with those in figure 9 and are not discussed here. As predicted, all four transhelical energy fluxes are not conservative. In addition, since the injected helicity is positive, the components with more positive modes have larger amplitudes than their symmetric components, such as $\Pi_E^{+++}(k)$ versus $\Pi_E^{---}(k)$ or $\Pi_E^{+-+}(k)$ versus $\Pi_E^{-+-}(k)$. Furthermore, according to the interpretation given in § 2, since $\Pi_E^{+-+}(k) + \Pi_E^{+---}(k) > 0$, energy is mainly injected into the positive chirality and then transferred to the negative chirality.

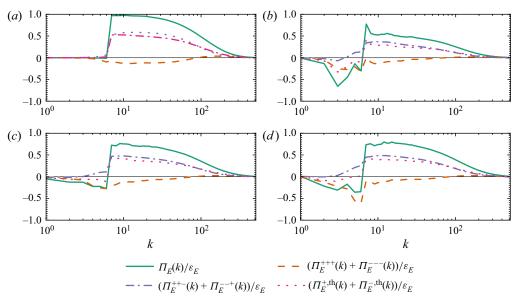
The findings of inverse cascades can also be explained in terms of reflection symmetry recovery. Inverse cascades only occur when $\Pi_E^{s_1,s_2,s_3}(k) < 0$, which means that the energy is transferred towards large scales. In helical cases, the positive chirality is dominant. The recovery of the reflection symmetry implies that the dominant chirality loses more energy than another chirality. Thus, $\Pi_E^{s_1,+,+}(k)$ ($\Pi_E^{+++}(k)$ and $\Pi_E^{-++}(k)$) dominate the inverse cascades, which is consistent with the numerical results in figure 9.

4.1.2. Anisotropic energy transfers

In helical rotating turbulence, another topic of the energy transfer is the anisotropy. Referring to the definition of the energy anisotropy (3.6), the energy transfer across the angle θ_1 is written as

$$\Pi_E(\theta = \theta_1) = -\sum_{p_{\parallel}/|\boldsymbol{p}| \ge \cos \theta_1} \sum_{k_{\parallel}/|\boldsymbol{k}| < \cos \theta_1} \sum_{\boldsymbol{q} = -\boldsymbol{p} - \boldsymbol{k}} T_E(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q}).$$
(4.1)

According to the results of figure 6, the forcing scheme Tei18 introduces artificial effects around the forcing wavenumber. Therefore, the small-scale anisotropic energy transfer is



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Figure 8. Results of energy flux decomposition: (a) T0; (b) T1; (c) T3; (d) ABC3.

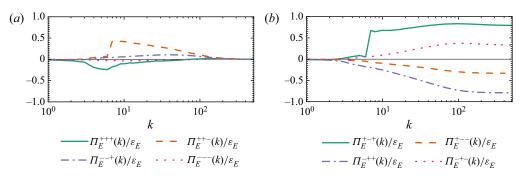


Figure 9. Detailed energy flux decomposition of T3: (*a*) conservative energy fluxes; (*b*) transhelical energy fluxes.

also considered here to exclude the effects:

$$\Pi_{E}^{>}(\theta = \theta_{1}) = -\sum_{p_{\parallel}/|\boldsymbol{p}| \ge \cos \theta_{1}} \sum_{\substack{k_{\parallel}/|\boldsymbol{k}| < \cos \theta_{1}}} \sum_{\boldsymbol{q} = -\boldsymbol{p} - \boldsymbol{k}} T_{E}(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q}).$$
(4.2)

The wavenumbers (k > 20) considered in $\Pi_E^>(\theta)$ include part of the inertial range and the whole dissipative range.

Figure 10 gives the results of $\Pi_E(\theta)$ and $\Pi_E^>(\theta)$. As shown in figure 10(*a*), $\Pi_E(\theta)$ of T3 is completely different from that of ABC3, which could be attributed to the artificial effects of the forcing scheme Tei18. Furthermore, considering TD3, even if this case freely decays for 2.5 eddy turnover times, it still maintains the artificial effects of the forcing scheme Tei18. When considering $\Pi_E^>(\theta)$ at small scales in figure 10(*b*), various cases lead to similar results. Notably, the results in figure 10(*b*) show small-scale anisotropy in T4, which is consistent with the anisotropy results in figure 6(*d*).

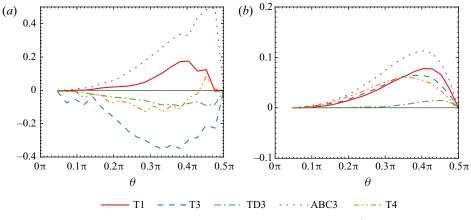


Figure 10. Anisotropic energy transfers: (a) $\Pi_E(\theta)$; (b) $\Pi_E^>(\theta)$.

Similar to (4.2), the decomposed anisotropic energy transfers $\Pi_E^{>s_1,s_2,s_3}(\theta = \theta_1)$ can be defined by $T_E^{s_1,s_2,s_3}(k|p|q)$. As illustrated in figure 1, the spectral space can be divided into two components by θ_1 :

$$S_1(k_1) = \{ 0 \le \theta \le \theta_1 \}, \quad S_2(k_1) = \{ \theta_1 < \theta \le \pi/2 \}.$$
(4.3*a*,*b*)

Furthermore, S_i (i = 1, 2) can be further partitioned into S_i^s when chiralities are considered. Here $\Pi_E^{s_1, s_2, s_3}(\theta = \theta_1)$ can be interpreted as follows.

- (i) The conservative anisotropic energy transfer: $s_1 = s_2 = s$, the transfer of energy from S_1^s to S_2^s by the field with the chirality s_3 .
- (ii) The transhelical anisotropic energy transfer: $s_1 = -s_2 = s$, the transfer of energy from S_1^s to S_2^{-s} by the field with the chirality s_3 .

Strictly speaking, all components of decomposed anisotropic transfers are conservative, *viz.*, $\Pi_E^{s_1,s_2,s_3}(\theta = \pi/2) = 0$. However, for consistency, we still name those components conservative or transhelical anisotropic energy transfers.

Figure 11 gives $\Pi_E^{>s_1,s_2,s_3}(\theta)$ of T3, where conservative anisotropic energy transfers are given in figure 11(*a*) and transhelical anisotropic energy transfers are given in figure 11(*b*). Notably, $\Pi_E^{>s_1,s_2,s_3}(\theta)$ generally has the opposite sign to $\Pi_E^{s_1,s_2,s_3}(k)$. There is only one exception: $\Pi_E^{>--+}(\theta)$ crosses the zero line at $\theta = 0.4\pi$, but it still fits the sign results over the wide range [0, 0.4\pi]. The results of ABC3 in Appendix C are consistent with those in figure 11 and are not discussed for concision. The signs of anisotropic energy transfers mean that the inverse cascades are associated with two-dimensionalization, and the forward cascades are associated with the three-dimensionalization. This result is consistent with those of Yokoyama & Takaoka (2021), who used energy-flux vectors to reveal the relation between fluxes and anisotropic transfer directions.

4.2. Helicity transfers

The helicity flux $\Pi_H(k)$ is defined in (2.15). The results of helicity fluxes are simpler than those of energy fluxes. As shown in figure 12, helicity cascades forward for all cases. The overall helicity flux of T1 is nearly zero and is not shown here.

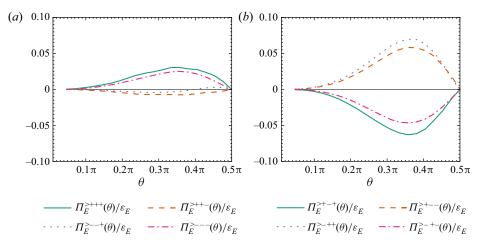


Figure 11. Detailed anisotropic energy transfer decomposition of T3: (*a*) conservative anisotropic energy transfers; (*b*) transhelical anisotropic energy transfers.

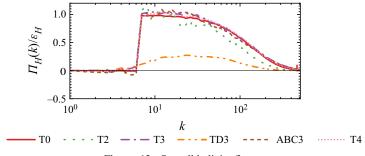


Figure 12. Overall helicity fluxes.

4.2.1. Relations of the three expressions of helicity fluxes

As mentioned in (2.13), the three expressions of the helicity transfers are equal when considering the sum of all triads. The numerical verification is given in figure 13(*a*). The helicity fluxes $\Pi_H(k)$, $\Pi'_H(k)$ and $\Pi''_H(k)$ are exactly the same, which verifies the identity (2.13). The results about the three components $\Pi_{Hi}(k)$ (i = 1, 2, 3) of the first expression are also shown in figure 13(*a*). The forward cascade of helicity is supported by $\Pi_{H1}(k)$ and $\Pi_{H3}(k)$, while $\Pi_{H2}(k)$ is mainly related to the inverse cascade. The inverse cascade of $\Pi_{H2}(k)$ at large scales is balanced by $\Pi_{H1}(k)$. The balance may be broken locally in physical space (Yan *et al.* 2020*b*). In addition, similar subdominant inverse helicity transfers have also been found by Briard & Gomez (2017).

In addition, as discussed in § 2, the equivalence is invalid for a single triad. To verify the issue, the following quantity is calculated:

$$\Pi_{H}(K,P) = -\sum_{|k| < K} \sum_{|p| = P} \sum_{q} T_{H}(k|p|q).$$
(4.4)

Similarly, $\Pi'_H(K, P)$ and $\Pi''_H(K, P)$ can also be defined in the same way. A comparison of $\Pi_H(K, P = 10)$, $\Pi'_H(K, P = 10)$ and $\Pi''_H(K, P = 10)$ is shown in figure 13(b). The results of the three expressions are distinct. Therefore, they are certainly not equivalent for a single triad. However, at the cutoff wavenumber, the first and the third expressions

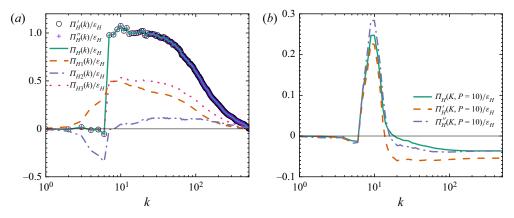


Figure 13. Numerical verification of the helicity transfer identity by T3.

are equal, viz.

$$\Pi_{H}(K = k_{max}, P) = \Pi_{H}^{"}(K = k_{max}, P),$$
(4.5)

which is proved in Appendix B.2.2.

Figure 14 presents the results of $\Pi_{H}^{s_{1},s_{2},s_{3}}(k)$ and $\Pi_{H}^{''s_{1},s_{2},s_{3}}(k)$ of T1. The results of $\Pi_{H}^{''s_{1},s_{2},s_{3}}(k)$ are consistent with those of Alexakis (2017). As mentioned in (2.18) and Appendix B.2.3, the two expressions $\Pi_{H}^{s_{1},s_{2},s_{3}}(k)$ and $\Pi_{H}^{''s_{1},s_{2},s_{3}}(k)$ are equal only for the components with $s_{2} = s_{3}$. Notably, the homochiral helicity fluxes (+++ and ---) are equal. This is verified in figure 14. In addition, the overall heterochiral fluxes are also not affected, which can be obtained by corresponding definitions. The results of other components with $s_{2} = s_{3}$ are also consistent with the derivation and are not shown here. In contrast, when $s_{2} = -s_{3} = s$, $\Pi_{H}^{s_{1},s,-s}(k)$ and $\Pi_{H}^{''s_{1},s,-s}(k)$ are totally different. Derived from the results in Appendix B.2.3, the difference between $\Pi_{H}^{s_{1},s,-s}(k)$ and $\Pi_{H}^{''s_{1},s,-s}(k)$ can be written as

$$\Pi_{H}^{''s_{1},s,-s}(k) - \Pi_{H}^{s_{1},s,-s}(k) = -\sum_{|k| \le k_{1}} \frac{1}{2} \operatorname{Re}\{\hat{\omega}^{s_{1}}(k) \cdot \mathcal{F}\{\boldsymbol{u}^{s} \cdot (\nabla \boldsymbol{u}^{-s})^{\mathrm{T}}\}^{*}(k)\}.$$
(4.6)

As mentioned in § 2 and Appendix B.1, the first expression $\Pi_{H}^{s_1,s_2,s_3}(k)$, which is derived in this study, is the only expression consistent with this in physical space. The difference of $\Pi_{H}^{s_1,s_2,s_3}(k)$ and $\Pi_{H}^{''s_1,s_2,s_3}(k)$ implies that in the derivation of the third expression $\Pi_{H}^{''s_1,s_2,s_3}(k)$, artificial effects are introduced. The non-physical effects are addressed more obviously when considering the anisotropic helicity transfers in § 4.2.3.

4.2.2. Helicity fluxes decomposed by HWD

Figure 15 gives the details of the helicity flux decomposition of T3, where figure 15(a) gives the conservative and averaged transhelical helicity fluxes and figure 15(b) gives the transhelical helicity fluxes. The comparison between figures 15(a) and 14(b) shows that rotation does not change the signs of the decomposed helicity fluxes in helical flows. However, the amplitudes of all components are generally suppressed. These are the same for the results of ABC3 in Appendix C.

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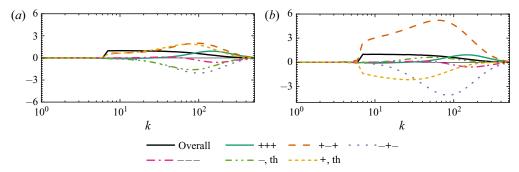


Figure 14. Results of helicity flux decomposition of T0: (a) HWD results of $\Pi_H^{''s_1,s_2,s_3}(k)/\epsilon_H$; (b) HWD results of $\Pi_H^{s_1,s_2,s_3}(k)/\epsilon_H$.

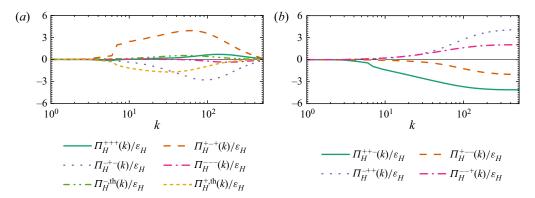


Figure 15. The HWD results for the helicity flux of T3: (*a*) conservative and averaged transhelical helicity fluxes; (*b*) transhelical helicity fluxes.

As shown in figure 15, the components of helicity fluxes are different from those of energy fluxes mainly in two aspects. First, compared with the overall helicity flux $\Pi_H(k)$, the amplitudes of $\Pi_H^{s_1,s_2,s_3}(k)$ are too large. The maximum of $\Pi_H^{s_1,s_2,s_3}(k)$ is approximately five times as large as the helicity injection ϵ_H . Another difference is that for the transhelical helicity fluxes, $\Pi_H^{-++}(k) + \Pi_H^{--+}(k) \ge 0$ and $\Pi_H^{++-}(k) + \Pi_H^{+--}(k) \le 0$. These components transfer helicity from the negative chirality to the positive chirality.

Since $H^{-}(k) < 0$ but $H^{+}(k) > 0$, the transhelical helicity fluxes increase both amplitudes of $|H^{s}(k)|$ ($s = \pm$). Similar phenomena were also addressed by Alexakis (2017), who qualitatively explained them by the sustainment of forward energy cascades.

To interpret the two phenomena quantitatively, the helicity transfer equation should be studied in detail. Summing over all k with $|k| \le k_1$ in the helicity transfer equation (2.11), we can obtain that

$$D_{H}^{s}(k=k_{1}) \approx F_{H}^{s}(k=k_{1}) + \Pi_{H}^{s}(k=k_{1}), \qquad (4.7)$$

where the time derivative is neglected for simplification, $D_H^s(k = k_1) = \sum_{k \le k_1} 2\nu k^2 H^s(k)$, $F_H^s(k = k_1) = \sum_{|k| \le k_1} \operatorname{Re}\{\hat{\omega}^{s*}(k) \cdot \hat{f}^s(k)\}$ and $\Pi_H^s(k = k_1) = \sum_{s_2, s_3} \Pi_H^{s, s_2, s_3}(k = k_1)$ are the dissipation, production and flux, respectively. Since $H^s(k) = skE^s(k)$, the dissipation

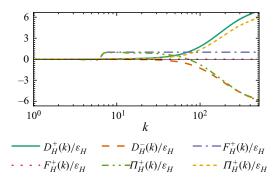


Figure 16. Balance of the helicity dissipation, production and flux of T3.

can be estimated as

$$|D_{H}^{s}(k=k_{1})| = \sum_{k \le k_{1}} 2\nu k^{3} E^{s}(k) \sim k_{1} \sum_{k \le k_{1}} 2\nu k^{2} E^{s}(k) \sim \frac{1}{2} k_{1} \sum_{k \le k_{1}} 2\nu k^{2} E(k).$$
(4.8)

Notably, $|D_H^s(k = k_1)|$ is overestimated, but its order is suitable. If $k_1 = k_{max}$, $|D_H^s(k = k_{max})| \sim \frac{1}{2}k_{max}\epsilon_E \sim \frac{1}{2}\epsilon_H k_{max}/k_f$. The production can be estimated as

$$|F_H^s(k=k_{max})| = \frac{1}{2}|\epsilon_E k_f + s\epsilon_H| \sim \frac{1}{2}\epsilon_E k_f \sim \frac{1}{2}\epsilon_H.$$
(4.9)

The ratio between dissipation and production is $k_{max}/k_f \gg 1$. According to (4.7), the decomposed helicity flux is assessed as

$$|\Pi_{H}^{s}(k=k_{max})| \sim |D_{H}^{s}(k=k_{max})| \sim \frac{1}{2} \frac{k_{max}}{k_{f}} \epsilon_{H}.$$
(4.10)

In addition, since the maximum of the overall flux $|\Pi_H(k = k_f)| \sim \epsilon_H$, the ratio of the decomposed helicity flux and the overall flux is

$$|\Pi_{H}^{s}(k=k_{max})|/|\Pi_{H}(k=k_{f})| \sim \frac{1}{2} \frac{k_{max}}{k_{f}} \gg 1.$$
(4.11)

The balance of helicity dissipation, production and flux is presented in figure 16, which verifies the deduction above. At the cutoff wavenumber k_{max} , the decomposed helicity flux $|\Pi_H^s(k = k_{max})|$ is comparable to the decomposed dissipation $|D_H^s(k = k_{max})|$, and they are both far larger than the decomposed production $|F_H^s(k = k_{max})|$. However, the ratio of the decomposed flux and production is less than k_{max}/k_f , which could mainly be attributed to the overestimation in (4.8). Through the analyses and numerical results, the first phenomenon of amplitudes is interpreted in detail. Considering the second phenomenon of $\Pi_H^{-++}(k) + \Pi_H^{--++}(k) \ge 0$ and $\Pi_H^{++-}(k) + \Pi_H^{+--}(k) \le 0$, the decomposed helicity dissipation $D_H^s(k)$ is far larger than the decomposed helicity production $F_H^s(k)$, and the dissipation is mostly balanced by the corresponding flux $\Pi_H^s(k)$. Moreover, since the conservative helicity fluxes are conservative, at the cutoff wavenumber k_{max} , the helicity dissipation is mostly balanced by the transhelical helicity flux. Then, $H^-(k)$ decreases and $H^+(k)$ increases. Since $H^-(k) < 0$, both amplitudes of $|H^s(k)|$ ($s = \pm$) increase. The two phenomena of the decomposed helicity fluxes have now been fully interpreted.

Helicity can diminish in two ways: by dissipation and by the cancellation of positive and negative helicity. The numerical results above reveal that the second way is not a sink but

a source of helicity. In other words, the dissipation of decomposed helicity is too large. Therefore, the transhelical fluxes are the sources of balancing the dissipation. It could be a mechanism of chirality polarization, *viz.*, a mechanism to separate the two chiralities.

4.2.3. Anisotropic helicity transfers

For the anisotropy, similar to the corresponding definitions of energy (4.1), the transfers of helicity across the angle θ_1 can be written as

$$\Pi_H(\theta = \theta_1) = -\sum_{p_{\parallel}/|\boldsymbol{p}| \ge \cos \theta_1} \sum_{k_{\parallel}/|\boldsymbol{k}| < \cos \theta_1} \sum_{\boldsymbol{q} = -\boldsymbol{p} - \boldsymbol{k}} T_H(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q}), \qquad (4.12a)$$

$$\Pi_{H}^{>}(\theta = \theta_{1}) = -\sum_{p_{\parallel}/|p| \ge \cos \theta_{1}} \sum_{\substack{k_{\parallel}/|k| < \cos \theta_{1}}} \sum_{q=-p-k} T_{H}(k|p|q), \qquad (4.12b)$$

$$|k| > 20$$

which are the anisotropic helicity transfers at all scales and small scales (k > 20). Similar to (4.12), the decomposed anisotropic helicity transfers $\Pi_{H}^{>s_{1},s_{2},s_{3}}(\theta = \theta_{1})$ and $\Pi_{H}^{''>s_{1},s_{2},s_{3}}(\theta = \theta_{1})$ can be defined by $T_{H}^{s_{1},s_{2},s_{3}}(k|p|q)$ and $T_{H}^{''s_{1},s_{2},s_{3}}(k|p|q)$, respectively. Notably, since only partial p and q are considered in the definition of anisotropic helicity transfers, when $s_{2} = s_{3} = s$, $\Pi_{H}^{>s_{1},s_{3}}(\theta)$ is not equal to $\Pi_{H}^{''>s_{1},s_{3}}(\theta)$. Now $\Pi_{H}^{>s_{1},s_{2},s_{3}}(\theta = \theta_{1})$ can be interpreted as follows.

- (i) The conservative anisotropic helicity transfer: $s_1 = s_3 = s$, the transfer of helicity from S_1^s to S_2^s . When s = +, it means a two-dimensionalization process of the positive helicity. On the contrary, when s = -, since $H^-(k) < 0$, it makes $H^-(k)$ more isotropic.
- (ii) The transhelical anisotropic helicity transfer: $s_1 = -s_3 = s$, the transfer of helicity from S_1^s to S_2^{-s} . Whether s = + or -, the transfer always makes $H^+(k)$ more anisotropic but makes $H^-(k)$ more isotropic.

The numerical results of the anisotropic helicity transfers are shown in figure 17, where only the cases related to maximum helicity injection are considered. The non-physical effects of the forcing scheme Tei18 are also addressed in figure 17(a), while the results at small scales (k > 20) in figure 17(b) are relatively reliable. In figure 17(b), the helicity is transferred to the 2-D modes, which is a tendency of two-dimensionalization.

The decomposed anisotropic helicity transfers of T3 at small scales are given in figure 18, where figure 18(*a*) gives the results of the first expressions of the conservative anisotropic helicity transfers $\Pi_H^{>s,s_2,s}(\theta)$, figures 18(*b*) and 18(*c*) give the results of the first ($\Pi_H^{>s,s_2,-s}(\theta)$) and the third expressions ($\Pi_H''^{>s,s_2,-s}(\theta)$) of transhelical anisotropic helicity transfers, respectively. In addition, those of ABC3 are shown in Appendix C. The results of the first expressions in figures 18(*a*) and 18(*b*) are more complicated than those of the anisotropic energy transfers. The homochiral anisotropic helicity fluxes ($\Pi_H^{s,s,s}(k)$) in figure 15, whereas the heterochiral anisotropic helicity transfers have the opposite sign as corresponding decomposed helicital fluxes towards small scales and heterochiral fluxes towards large scales are associated with positive values of the corresponding anisotropic helicity transfers. Relative physical meanings cannot be fully interpreted by two- or three-dimensionalization, which have been discussed in detail in the interpretation of $\Pi_H^{>s_1,s_2,s_3}(\theta)$.

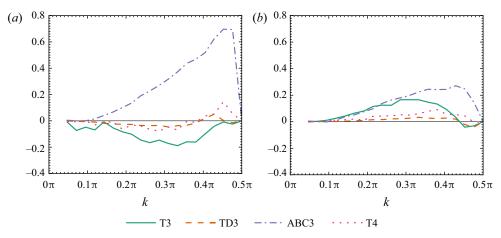


Figure 17. Anisotropic helicity transfers: (a) $\Pi_H(\theta)$; (b) $\Pi_H^>(\theta)$.

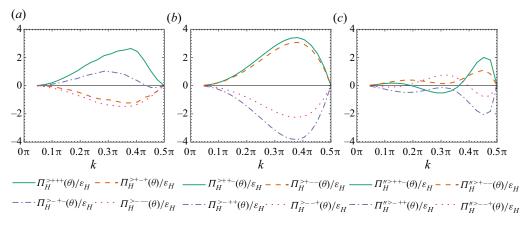


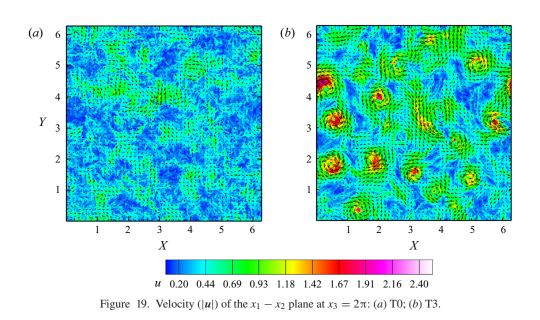
Figure 18. Details of anisotropic helicity transfer decomposition of T3. (*a*) Conservative anisotropic helicity transfers $\Pi_H^{>s,s_2,s}(k)/\epsilon_H$. (*b*) Transhelical anisotropic helicity transfers (the first expression) $\Pi_H^{>s,s_2,s}(k)/\epsilon_H$. (*c*) Transhelical anisotropic helicity transfers (the third expression) $\Pi_H^{''>s,s_2,s}(k)/\epsilon_H$.

Figures 18(*b*) and 18(*c*) show a comparison of different expressions of transhelical anisotropic helicity transfers. The third expressions $\Pi_H^{''>s,s_2,-s}(\theta)$, which have been applied by Alexakis (2017), present obviously non-physical tendencies. In particular, the term $\Pi_H^{''>++-}(\theta)$ crosses the zero line three times, which means that the transfer directions change three times.

The properties and phenomena of energy and helicity transfers are summarized in table 2. The inverse energy cascades are mainly supported by the homochiral and transhelical energy fluxes. The inhibition by strong helicity is mainly associated with the latter fluxes. For all components of energy transfers, the inverse energy cascades are always related to two-dimensionalization. For helicity, rotation does not change the sign of helicity fluxes, but generally suppresses all components of HWD. In addition, the homochiral anisotropic helicity transfers have the same sign as corresponding fluxes, while it is the opposite for the heterochiral anisotropic helicity transfers and corresponding fluxes.

Terms	$\Pi^{s,s,s}_E, \Pi^{s,s,s}_H$	$\Pi_E^{s,s,-s}, \Pi_H^{s,-s,s}$	$ \begin{array}{c} \Pi_{E}^{s,-s,s_{3}}, \Pi_{H}^{s,s_{2},-s}, \\ (\Pi_{E}^{s,th}, \Pi_{H}^{s,th}) \end{array} $
Properties and names	Homochiral,	Heterochiral,	Heterochiral,
	conservative	conservative	(averaged) transhelical
	transfer/flux	transfer/flux	transfer/flux
Energy results	IEF, 2DAT, small IEF inhibition by helicity	FEF and small IEF, 3DAT	IEF (2DAT) and FEF (3DAT), IEF inhibition by helicity
Helicity results	s = +, PHF, PAHT;	s = +, PHF, NAHT;	s = +, NHF, PAHT;
	s = -, NHF, NAHT	s = -, NHF, PAHT	s = -, PHF, NAHT

Table 2. Summary of energy and helicity transfers: forward/inverse energy flux (FEF/IEF); two-dimensionalized/three-dimensionalized anisotropic energy transfer (2DAET/3DAET); positive/negative helicity flux (PHF/NHF); positive/negative anisotropic energy transfer (PAHT/NAHT).



5. Structures

As discussed above, in helical rotating turbulence, helicity and rotation deeply affect the behaviours and transfer processes of flows. The effects can also be found in flow structures. In this section, flow structures are investigated in detail and are associated with the results above.

Figure 19 displays the flow structures of T0 and T3 by the contour of velocity amplitudes and velocity vectors. The comparison shows that rotation could introduce energetic large-scale structures. As shown by velocity vectors, most large-scale structures are anticlockwise vortices, aligning with the rotation vector Ω .

In figure 20, the vortices of T0, T1, T3 and T4 are shown in more detail by the Q criterion $(Q = \frac{1}{8}[2|\nabla \times u|^2 - |\nabla u + (\nabla u)^T|^2] > 500)$. As shown in figure 20(*a*), there is no columnar structure in T0. As rotation becomes stronger (from T0, T4 to T3), the columnar vortices appear and become more energetic. Notably, for T4, there are anticlockwise

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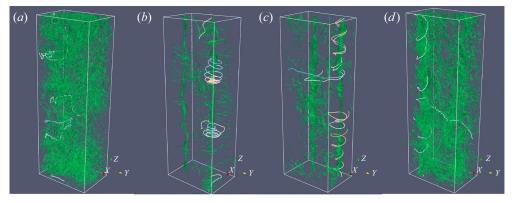


Figure 20. Vortex structure of Q > 500: (a) T0; (b) T1; (c) T3; (d) T4. The solid lines are streamlines. Only partial domains with the size of $0.25\pi \times 0.7\pi \times 2\pi$ are shown.

columnar large-scale structures as well as roughly isotropic small-scale structures. The observation is consistent with the spectral characteristics of T4 in figure 3. However, quantitative anisotropy results in figure 6 reveal that small scale is actually anisotropic. As helicity injection becomes stronger (from T1 to T3), the large-scale columnar vortices become weaker, and more 3-D vortices appear. This is the artificial effects of the forcing scheme Tei18. The solid lines in figure 20 represent the streamlines near the vortex cores, which are integrated by fourth-order Runge–Kutta methods. As the integral step increases, the colour changes from blue to yellow. Upward-spiralling streamlines represent $H(x) = u(x) \cdot \omega(x)/2 > 0$, downward-spiralling streamlines represent H(x) < 0 and circular streamlines represent H(x) = 0. In T1, attributed to the zero injection of helicity, the mean helicity $\langle H(x) \rangle \approx 0$. However, as shown in figure 20(b), the streamlines are upward-spiralling (H(x) > 0), downward-spiralling (H(x) < 0) or circular (H(x) = 0). In figure 20(c,d), since the maximum helicity is injected in T3 and T4, the streamlines are upward-spiralling only. The upward-spiralling streamlines are the main carriers of strong helicity.

6. Conclusions

Helicity often appears in rotating flows, such as in thunderstorms and turbomachinery. Based on traditional rotating turbulence, the introduction of helicity is of great practical significance. To investigate the effects of rotation and helicity, we have performed seven DNSs and studied the chirality and anisotropy of transfers.

First, the antisymmetry of transfers and the conservation of fluxes are discussed in detail. In addition, three expressions of the helicity transfer in spectral space are compared. The first expression is derived here by a similar approach in physical space. The second and third expressions were proposed in previous studies (Chen *et al.* 2003; Alexakis 2017). The first and the third expressions satisfy antisymmetry, while the second one does not. The relations of the three expressions have been discussed in depth, including those about the sum of all triads and partial triads, as well as those about HWD components. The different expressions are due to the commutability of differential operators in spectral space. The first expression is the only one consistent with the expression in physical space.

By DNSs, we find that helicity injection can inhibit the energy increase rate. The scaling law $E(k)H(k) \sim k^{-4}$ is also verified. Moreover, the imbalance of the chiralities mainly occurs at large scales. By HWD, we find that the inhibition of inverse energy

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cascades is mainly related to transhelical energy fluxes and 2-D mode interactions. The two-dimensionalization of energy is associated with the inverse energy cascades. In terms of helicity transfers, the relations of the three expressions are verified at first. Furthermore, we find that the third expressions could introduce artificial effects, especially corresponding anisotropic transhelical helicity transfers. In addition, the results show that rotation suppresses the decomposed helicity fluxes in general, whereas the signs are not affected. The transhelical components increase both the amplitudes of positive and negative helicity. The process can be regarded as the polarization of chiralities. The anisotropic transfers of helicity fluxes are related to corresponding positive anisotropic transfers. Finally, we have investigated the effects of rotation and helicity on flow structures and identified the main carriers of helicity.

Through rigorous derivations and detailed analyses, we have discussed energy and helicity transfers in helical rotating turbulence at great length. In particular, the first expression of helicity transfers is closely related to the helicity transform in physical space. We expect that the expression will contribute to the extension of results from spectral to physical space and from homogeneous to inhomogeneous flows. Finally, the dynamics of helical rotating turbulence also need further investigation, such as the locality of energy and helicity transfers.

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Author ORCIDs.

Running Hu https://orcid.org/0000-0002-3423-5583;

Changping Yu https://orcid.org/0000-0002-2126-1344.

Appendix A. Helical wave decomposition

According to the incompressible constraint $k \cdot \hat{u}(k) = 0$, the velocity fields can be decomposed by projection onto $h^{s}(k)$, where $h^{s}(k)$ ($s = \pm$) and k form an orthogonal base. The vector $h^{s}(k)$ is defined as

$$\boldsymbol{h}^{s}(\boldsymbol{k}) = \frac{1}{\sqrt{2}} (\boldsymbol{\nu} \times \boldsymbol{\kappa} + s \mathbf{i} \boldsymbol{\nu}), \tag{A1}$$

where $1/\sqrt{2}$ is a normalization factor, $\mathbf{v} = (\mathbf{z} \times \mathbf{\kappa})/|\mathbf{z} \times \mathbf{\kappa}|$, $\mathbf{\kappa} = \mathbf{k}/|\mathbf{k}|$ and \mathbf{z} is an arbitrary non-zero vector. It can be verified that $\mathbf{i}\mathbf{k} \times \mathbf{h}^s(\mathbf{k}) = s|\mathbf{k}|\mathbf{h}^s(\mathbf{k})$. By the orthogonal base, the velocity can be decomposed as

$$\hat{u}(k) = \hat{u}^{+}(k) + \hat{u}^{-}(k)$$

= $a^{+}(k)h^{+}(k) + a^{-}(k)h^{-}(k),$ (A2)

where $a^{s}(k) = h^{s*}(k) \cdot \hat{u}(k)$. The vorticity can be decomposed as

$$\hat{\omega}(k) = \hat{\omega}^{+}(k) + \hat{\omega}^{-}(k) = |k| (\hat{u}^{+}(k) - \hat{u}^{-}(k)),$$
(A3)

where $\hat{\omega}^{s}(k) = i\mathbf{k} \times \hat{u}^{s}(k) = s|\mathbf{k}|\hat{u}^{s}(k)$. Thus, after projection onto $h^{s}(k)$, the decomposed vorticity $\hat{\omega}^{s}(k)$ is collinear with the decomposed velocity $\hat{u}^{s}(k)$, leading to the decomposition of helicity.

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Similarly, the decomposed energy and helicity can be defined as

$$E^{s}(\mathbf{k}) = \hat{\mathbf{u}}^{s}(\mathbf{k}) \cdot \hat{\mathbf{u}}^{s*}(\mathbf{k})/2, \\ H^{s}(\mathbf{k}) = \hat{\mathbf{u}}^{s}(\mathbf{k}) \cdot \hat{\boldsymbol{\omega}}^{s*}(\mathbf{k})/2, \end{cases}$$
(A4)

from which $H^{s}(\mathbf{k}) = s|\mathbf{k}|E^{s}(\mathbf{k})$ can be deduced. Notably, $\text{Im}\{H^{s}(\mathbf{k})\} = 0$. Therefore, the definition of $H^{s}(\mathbf{k})$ is consistent with the definition of net helicity in (2.11). By the definitions of decomposed energy and helicity, we can obtain that

$$E^{s}(\mathbf{k}) = \frac{1}{2} (E(\mathbf{k}) + sH(\mathbf{k})/|\mathbf{k}|), H^{s}(\mathbf{k}) = \frac{1}{2} (s|\mathbf{k}|E(\mathbf{k}) + H(\mathbf{k})).$$
(A5)

Appendix B. Derivations and proofs about helicity transfers

B.1. Derivation of the first expression $T_H(\mathbf{k}|\mathbf{p}|\mathbf{q})$

From N-S (2.1), the vorticity equation can be derived as

$$\frac{\partial \boldsymbol{\omega}}{\partial t} - \boldsymbol{\omega} \cdot \nabla \boldsymbol{u} + \boldsymbol{u} \cdot \nabla \boldsymbol{\omega} = \boldsymbol{v} \nabla^2 \boldsymbol{\omega} + \nabla \times \boldsymbol{f} + 2\boldsymbol{\Omega} \cdot \nabla \boldsymbol{u}, \tag{B1}$$

where the nonlinear terms are derived by the Lamb vector $\boldsymbol{\omega} \times \boldsymbol{u} (=\boldsymbol{u} \cdot \nabla \boldsymbol{u} - \frac{1}{2} \nabla |\boldsymbol{u}|^2)$. The vorticity equation can be written in spectral space as

$$\frac{\partial \hat{\omega}(k)}{\partial t} + \nu k^2 \hat{\omega}(k) = \sum_{p,q}^{\Delta} i[k \cdot \hat{\omega}^*(q)] \hat{u}^*(p) - \sum_{p,q}^{\Delta} i[k \cdot \hat{u}^*(q)] \hat{\omega}^*(p) + ik \times \hat{f}(k) + 2i[\boldsymbol{\Omega} \cdot k] \hat{u}(k).$$
(B2)

Contracting its conjugate equation with $\hat{u}(k)$, partial helicity transfer equation can be obtained as

$$\hat{u}(k) \cdot \frac{\partial \hat{\omega}^*(k)}{\partial t} + \nu k^2 \hat{u}(k) \cdot \hat{\omega}^*(k) = -\sum_{p,q}^{\Delta} i[k \cdot \hat{\omega}(q)][\hat{u}(p) \cdot \hat{u}(k)] + \sum_{p,q}^{\Delta} i[k \cdot \hat{u}(q)][\hat{\omega}(p) \cdot \hat{u}(k)] - i\hat{u}(k) \cdot [k \times \hat{f}^*(k)] - 2i[\boldsymbol{\Omega} \cdot k][\hat{u}(k)^* \cdot \hat{u}(k)].$$
(B3)

The velocity equation in (2.1) can be written in spectral space as

$$\frac{\partial \hat{\boldsymbol{u}}(\boldsymbol{k})}{\partial t} + \nu k^2 \hat{\boldsymbol{u}}(\boldsymbol{k}) = -\boldsymbol{P}(\boldsymbol{k}) \cdot \left[\sum_{\boldsymbol{p},\boldsymbol{q}}^{\Delta} i(\boldsymbol{k} \cdot \hat{\boldsymbol{u}}^*(\boldsymbol{q})) \hat{\boldsymbol{u}}^*(\boldsymbol{p})\right] + \hat{\boldsymbol{f}}(\boldsymbol{k}) + 2\hat{\boldsymbol{u}}(\boldsymbol{k}) \times \boldsymbol{\Omega}, \quad (B4)$$

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where the projection operator $P_{ij}(\mathbf{k}) = \delta_{ij} - k_i k_j / k^2$. Contracting the equation with $\hat{\boldsymbol{\omega}}^*(\mathbf{k})$, part of the helicity transfer equation can be obtained as

$$\hat{\omega}^{*}(k) \cdot \frac{\partial \hat{u}(k)}{\partial t} + \nu k^{2} \hat{\omega}^{*}(k) \cdot \hat{u}(k) = -\sum_{p,q}^{\Delta} \mathbf{i} [k \cdot \hat{u}^{*}(q)] [\hat{u}^{*}(p) \cdot \hat{\omega}^{*}(k)] + \hat{\omega}^{*}(k) \cdot \hat{f}(k) + 2\hat{\omega}^{*}(k) \cdot [\hat{u}(k) \times \boldsymbol{\Omega}]. \quad (B5)$$

Considering the forcing term and the Coriolis term in (B3), (B5), the following relations hold:

$$-i\hat{\boldsymbol{u}}(\boldsymbol{k}) \cdot [\boldsymbol{k} \times \hat{\boldsymbol{f}}^{*}(\boldsymbol{k})] = i\hat{\boldsymbol{f}}^{*}(\boldsymbol{k}) \cdot [\boldsymbol{k} \times \hat{\boldsymbol{u}}(\boldsymbol{k})]$$

$$= \hat{\boldsymbol{f}}^{*}(\boldsymbol{k}) \cdot \hat{\boldsymbol{\omega}}(\boldsymbol{k}), \qquad (B6a)$$

$$2\hat{\boldsymbol{\omega}}^{*}(\boldsymbol{k}) \cdot [\hat{\boldsymbol{u}}(\boldsymbol{k}) \times \boldsymbol{\Omega}] = -2i[\boldsymbol{k} \times \hat{\boldsymbol{u}}^{*}(\boldsymbol{k})] \cdot [\hat{\boldsymbol{u}}(\boldsymbol{k}) \times \boldsymbol{\Omega}]$$

$$= 2i[\boldsymbol{\Omega} \cdot \boldsymbol{k}][\hat{\boldsymbol{u}}(\boldsymbol{k})^{*} \cdot \hat{\boldsymbol{u}}(\boldsymbol{k})]. \qquad (B6b)$$

Taking into account the relations (B6) and $\operatorname{Re}\{\hat{f}^*(k)\cdot\hat{\omega}(k)\} = \operatorname{Re}\{\hat{f}(k)\cdot\hat{\omega}^*(k)\}\)$, the sum of (B3), (B5) leads to

$$\frac{\partial H(\boldsymbol{k})}{\partial t} + 2\nu |\boldsymbol{k}|^2 H(\boldsymbol{k}) = \sum_{\boldsymbol{p},\boldsymbol{q}}^{\Delta} T_H(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q}) + \operatorname{Re}\{\hat{\boldsymbol{\omega}}^*(\boldsymbol{k}) \cdot \hat{\boldsymbol{f}}(\boldsymbol{k})\},\tag{B7}$$

where $H(\mathbf{k}) = \text{Re}\{\hat{u}(\mathbf{k}) \cdot \hat{\omega}^*(\mathbf{k})\}/2$ is the helicity at wavenumber \mathbf{k} . The nonlinear helicity transfer $T_H(\mathbf{k}|\mathbf{p}|\mathbf{q})$ is the sum of three components $T_{Hi}(\mathbf{k}|\mathbf{p}|\mathbf{q})$ (i = 1, 2, 3):

$$T_{H}(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q}) = T_{H1}(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q}) + T_{H2}(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q}) + T_{H3}(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q})$$

= $\frac{1}{2} \text{Im}\{[\boldsymbol{k} \cdot \hat{\boldsymbol{\omega}}(\boldsymbol{q})][\hat{\boldsymbol{u}}(\boldsymbol{k}) \cdot \hat{\boldsymbol{u}}(\boldsymbol{p})]\} - \frac{1}{2} \text{Im}\{[\boldsymbol{k} \cdot \hat{\boldsymbol{u}}(\boldsymbol{q})][\hat{\boldsymbol{u}}(\boldsymbol{k}) \cdot \hat{\boldsymbol{\omega}}(\boldsymbol{p})]\}$
- $\frac{1}{2} \text{Im}\{[\boldsymbol{k} \cdot \hat{\boldsymbol{u}}(\boldsymbol{q})][\hat{\boldsymbol{\omega}}(\boldsymbol{k}) \cdot \hat{\boldsymbol{u}}(\boldsymbol{p})]\}.$ (B8)

B.2. Relations of the three helicity transfer expressions

B.2.1. *Relations of overall helicity fluxes* For the sum of all p and q with k + p + q = 0, the three expressions are identical, i.e.

$$\sum_{p,q}^{\Delta} T_{H}(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q}) = \sum_{p,q}^{\Delta} T'_{H}(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q}) = \sum_{p,q}^{\Delta} T''_{H}(\boldsymbol{k}|\boldsymbol{p}|\boldsymbol{q}),$$

$$\Pi_{H}(\boldsymbol{k}) = \Pi'_{H}(\boldsymbol{k}) = \Pi''_{H}(\boldsymbol{k}).$$
(B9)

Proof. The three expressions of the overall helicity transfer can be written in a more straightforward form,

$$\sum_{p,q}^{\Delta} T_{H} = \frac{1}{2} \operatorname{Re}\{\hat{u}^{*} \cdot \mathcal{F}\{\omega \cdot \nabla u\}\} - \frac{1}{2} \operatorname{Re}\{\hat{u}^{*} \cdot \mathcal{F}\{u \cdot \nabla \omega\}\} - \frac{1}{2} \operatorname{Re}\{\hat{\omega} \cdot \mathcal{F}\{u \cdot \nabla u\}^{*}\},$$

$$\sum_{p,q}^{\Delta} T_{H}' = -\operatorname{Re}\{\hat{\omega}^{*} \cdot \mathcal{F}\{u \cdot \nabla u\}\},$$

$$\sum_{p,q}^{\Delta} T_{H}'' = \operatorname{Re}\{\hat{\omega}^{*} \cdot \mathcal{F}\{u \times \omega\}\},$$
(B10)

where $\mathcal{F}{\{\cdot\}}$ represents the Fourier transform.

The second expression can be written as

$$\sum_{p,q}^{\Delta} T'_{H} = -\operatorname{Re}\{\hat{\omega}^{*} \cdot \mathcal{F}\{u \cdot \nabla u\}\} = -\operatorname{Re}\left\{\hat{\omega}^{*} \cdot \mathcal{F}\left\{-u \times \omega + \frac{1}{2}\nabla |u|^{2}\right\}\right\}$$
$$= \operatorname{Re}\{\hat{\omega}^{*} \cdot \mathcal{F}\{u \times \omega\}\} - \frac{1}{2}\operatorname{Re}\{\mathrm{i}\hat{\omega}^{*} \cdot k\mathcal{F}\{|u|^{2}\}\}$$
$$= \operatorname{Re}\{\hat{\omega}^{*} \cdot \mathcal{F}\{u \times \omega\}\} = \sum_{p,q}^{\Delta} T''_{H}, \tag{B11}$$

where $\hat{\boldsymbol{\omega}}^* \cdot \boldsymbol{k} = 0$.

Then, the first expression could be simplified as

$$\sum_{p,q}^{\Delta} T_{H} = \frac{1}{2} \operatorname{Re}\{\hat{u}^{*} \cdot \mathcal{F}\{\omega \cdot \nabla u\}\} - \frac{1}{2} \operatorname{Re}\{\hat{u}^{*} \cdot \mathcal{F}\{u \cdot \nabla \omega\}\} - \frac{1}{2} \operatorname{Re}\{\hat{\omega} \cdot \mathcal{F}\{u \cdot \nabla u\}^{*}\}$$

$$= \frac{1}{2} \operatorname{Re}\{\hat{u}^{*} \cdot \mathcal{F}\{\nabla \times (u \times \omega)\}\} - \frac{1}{2} \operatorname{Re}\{\hat{\omega} \cdot \mathcal{F}\{u \cdot \nabla u\}^{*}\}$$

$$= \frac{1}{2} \operatorname{Re}\{i\hat{u}^{*} \cdot (k \times \mathcal{F}\{u \times \omega\})\} + \frac{1}{2} \operatorname{Re}\{\hat{\omega} \cdot \mathcal{F}\{u \times \omega\}^{*}\}$$

$$= \frac{1}{2} \operatorname{Re}\{\mathcal{F}\{u \times \omega\} \cdot (-ik \times \hat{u}^{*})\} + \frac{1}{2} \operatorname{Re}\{\hat{\omega}^{*} \cdot \mathcal{F}\{u \times \omega\}\}$$

$$= \operatorname{Re}\{\hat{\omega}^{*} \cdot \mathcal{F}\{u \times \omega\}\} = \sum_{p,q}^{\Delta} T_{H}^{\prime\prime}.$$
(B12)

The proof is completed.

B.2.2. Relation between $\Pi_H(K, P)$ and $\Pi''_H(K, P)$

Terms $\Pi_H(K, P)$ and $\Pi''_H(K, P)$ are defined by (4.4). There is a relation between the two terms:

$$\Pi_{H}(K = k_{max}, P) = \Pi_{H}^{"}(K = k_{max}, P).$$
(B13)

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Proof. Since T_H and T''_H satisfy antisymmetry, there are the following relations:

$$\Pi_{H}(K = k_{max}, P) = -\sum_{|k| < k_{max}} \sum_{|p| = P} \sum_{q} T_{H}(k|p|q)$$

$$= \sum_{|p| < k_{max}} \sum_{|k| = P} \sum_{q} T_{H}(k|p|q)$$

$$= \sum_{|k| = P} \sum_{p,q}^{\Delta} T_{H}(k|p|q),$$

$$\Pi_{H}''(K = k_{max}, P) = \sum_{|p| < k_{max}} \sum_{|k| = P} \sum_{q} T_{H}''(k|p|q)$$

$$= \sum_{|k| = P} \sum_{p,q}^{\Delta} T_{H}''(k|p|q).$$
(B14)

Then, according to (B9), (B13) can be proved.

B.2.3. Relation of decomposed helicity fluxes $\Pi_{H}^{s_1,s_2,s_3}(k)$ and $\Pi_{H}^{\prime\prime s_1,s_2,s_3}(k)$ The following relations describe the decomposed helicity fluxes:

$$\sum_{p,q}^{\Delta} T_{H}^{s_{1},s,s}(\mathbf{k}) = \sum_{p,q}^{\Delta} T_{H}^{\prime\prime s_{1},s,s}(\mathbf{k}), \\ \Pi_{H}^{s_{1},s,s}(\mathbf{k}) = \Pi_{H}^{\prime\prime s_{1},s,s}(\mathbf{k}).$$
(B15)

In other words, only when $s_2 = s_3$ do the two expressions $\Pi_H^{s_1, s_2, s_3}(k)$ and $\Pi_H''^{s_1, s_2, s_3}(k)$ give the same results.

Proof. The third expression of the overall helicity transfer can be written as

$$\sum_{p,q}^{\Delta} T_{H}^{''s_{1},s_{2},s_{3}} = \operatorname{Re}\{\hat{\omega}^{s_{1}*} \cdot \mathcal{F}\{u^{s_{2}} \times \omega^{s_{3}}\}\}$$

$$= \frac{1}{2}\operatorname{Re}\{\mathcal{F}\{u^{s_{2}} \times \omega^{s_{3}}\} \cdot (-ik \times \hat{u}^{s_{1}*})\} + \frac{1}{2}\operatorname{Re}\{\hat{\omega}^{s_{1}*} \cdot \mathcal{F}\{u^{s_{2}} \times \omega^{s_{3}}\}\}$$

$$= \frac{1}{2}\operatorname{Re}\{i\hat{u}^{s_{1}*} \cdot (k \times \mathcal{F}\{u^{s_{2}} \times \omega^{s_{3}}\})\} + \frac{1}{2}\operatorname{Re}\{\hat{\omega}^{s_{1}} \cdot \mathcal{F}\{u^{s_{2}} \times \omega^{s_{3}}\}^{*}\}$$

$$= \frac{1}{2}\operatorname{Re}\{\hat{u}^{s_{1}*} \cdot \mathcal{F}\{\nabla \times (u^{s_{2}} \times \omega^{s_{3}})\}\} + \frac{1}{2}\operatorname{Re}\{\hat{\omega}^{s_{1}} \cdot \mathcal{F}\{u^{s_{2}} \times \omega^{s_{3}}\}^{*}\}$$

$$= \frac{1}{2}\operatorname{Re}\{\hat{u}^{s_{1}*} \cdot \mathcal{F}\{\omega^{s_{3}} \cdot \nabla u^{s_{2}}\}\} - \frac{1}{2}\operatorname{Re}\{\hat{u}^{s_{1}*} \cdot \mathcal{F}\{u^{s_{2}} \cdot \nabla u^{s_{3}}\}^{*}\}$$

$$+ \frac{1}{2}\operatorname{Re}\{\hat{\omega}^{s_{1}} \cdot \mathcal{F}\{u^{s_{2}} \cdot (\nabla u^{s_{3}})^{T}\}^{*}\} - \frac{1}{2}\operatorname{Re}\{\hat{\omega}^{s_{1}} \cdot \mathcal{F}\{u^{s_{2}} \cdot \nabla u^{s_{3}}\}^{*}\}.$$
 (B16)

The first expression of the helicity transfer $\sum_{p,q}^{\Delta} T_{H}^{\prime\prime s_{1},s_{2},s_{3}}$ can be written as

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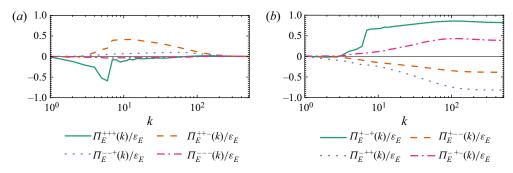


Figure 21. Detailed energy flux decomposition of ABC3: (*a*) conservative energy fluxes; (*b*) transhelical energy fluxes.

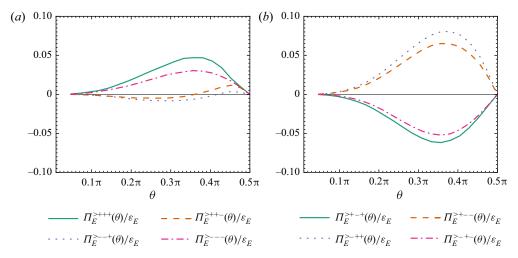


Figure 22. Detailed anisotropic energy transfer decomposition of ABC3: (*a*) conservative anisotropic energy transfers; (*b*) transhelical anisotropic energy transfers.

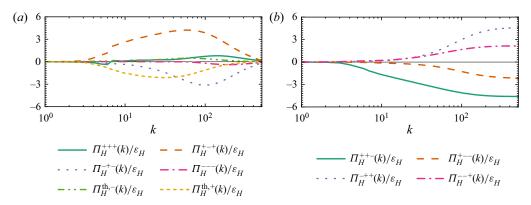


Figure 23. The HWD results of the helicity flux of ABC3: (*a*) conservative and averaged transhelical helicity fluxes; (*b*) transhelical helicity fluxes.

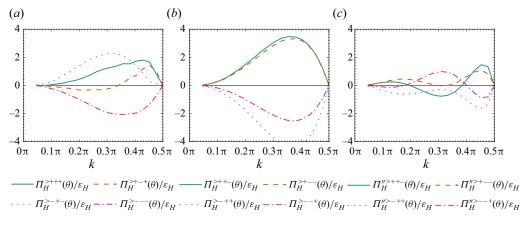


Figure 24. Details of anisotropic helicity transfer decomposition of ABC3. (a) Conservative anisotropic helicity transfers $\Pi_H^{>s,s_2,s}(k)/\epsilon_H$. (b) Transhelical anisotropic helicity transfers (the first expression) $\Pi_H^{>s,s_2,s}(k)/\epsilon_H$. (c) Transhelical anisotropic helicity transfers (the third expression) $\Pi_H^{''>s,s_2,s}(k)/\epsilon_H$.

$$\sum_{p,q}^{\Delta} T_{H}^{s_{1},s_{2},s_{3}} = \frac{1}{2} \operatorname{Re}\{\hat{\boldsymbol{u}}^{s_{1}*} \cdot \mathcal{F}\{\boldsymbol{\omega}^{s_{2}} \cdot \boldsymbol{\nabla}\boldsymbol{u}^{s_{3}}\}\} - \frac{1}{2} \operatorname{Re}\{\hat{\boldsymbol{u}}^{s_{1}*} \cdot \mathcal{F}\{\boldsymbol{u}^{s_{2}} \cdot \boldsymbol{\nabla}\boldsymbol{\omega}^{s_{3}}\}\} - \frac{1}{2} \operatorname{Re}\{\hat{\boldsymbol{\omega}}^{s_{1}} \cdot \mathcal{F}\{\boldsymbol{u}^{s_{2}} \cdot \boldsymbol{\nabla}\boldsymbol{u}^{s_{3}}\}^{*}\}.$$
(B17)

The difference of the two expressions leads to

$$\sum_{p,q}^{\Delta} T_{H}^{\prime\prime s_{1},s_{2},s_{3}} - \sum_{p,q}^{\Delta} T_{H}^{s_{1},s_{2},s_{3}} = \sum_{|\mathbf{k}| \le k_{1}} \frac{1}{2} \operatorname{Re}\{\hat{\boldsymbol{\omega}}^{s_{1}} \cdot \mathcal{F}\{\boldsymbol{u}^{s_{2}} \cdot (\nabla \boldsymbol{u}^{s_{3}})^{\mathrm{T}}\}^{*}\}.$$
 (B18)

Then, it can be proved that only when $s_2 = s_3$ are the two expressions equal.

Appendix C. Results of ABC3

Figures 21 and 23 give the detailed energy and helicity flux decomposition of ABC3, respectively. The results of energy and helicity anisotropic transfer decomposition of ABC3 can be seen in figures 22 and 24, respectively.

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