

Social Cognition Impairments in Relapsing-Remitting Multiple Sclerosis

Audrey Henry,^{1,2} Ayman Tourbah,¹ Marie-Pierre Chaunu,¹ Lucien Rumbach,³ Michèle Montreuil,² AND Serge Bakchine¹

¹Department of Neurology, Hôpital Maison Blanche, CHRU de Reims, Reims, France

²Laboratory of Psychopathology and Neuropsychology, University Paris 8, Paris, France

³Department of Neurology, Hôpital Jean Minjot, CHU de Besançon, Besançon, France

(RECEIVED December 1, 2010; FINAL REVISION July 20, 2011; ACCEPTED July 20, 2011)

Abstract

Theory of Mind (ToM) is the ability to attribute independent mental states to self and others to explain and predict behavior. Impairment of ToM is well established in developmental pathologies. In neurological populations, investigation of ToM is still rare but data suggest that ToM impairment could contribute to behavioral and social disturbances. In addition to neurological signs, multiple sclerosis (MS) presents with disorders of cognition and behavior directly related to brain damage. The aim of this study was to assess ToM abilities and recognition of facial emotional expression in adults with MS. We compared 64 patients with relapsing MS and 30 matched healthy controls on three levels of ToM tasks, a facial emotion recognition task, and a neuropsychological assessment. MS patients performed significantly worse than controls in emotion recognition and all ToM tasks ($p < .02$). These deficits were not correlated with demographic variables or neuropsychological test performance. These findings underscore the importance of assessing ToM and facial recognition in MS, as dysfunction in these areas may impact upon social interaction and, thus, impair quality of life for both patients with MS and their families. (*JINS*, 2011, 17, 1122–1131)

Keywords: Theory of mind, Emotions, White matter, Faux pas, Social skills, False belief

INTRODUCTION

Social cognition refers to the processes that allow individuals to interact in complex social groups, make inferences about mental states (i.e., beliefs, intentions, feelings), and understand other people's behavior (Brüne & Brüne-Cohrs, 2006; Premack & Woodruff, 1978). Among these abilities, Theory of Mind (ToM), or the ability to make inferences, is a core component of social functioning. Most aspects of social interaction are made up of implicit meanings that encode numerous inferences and hypotheses about another's intentions, thoughts, and feelings (Adolphs, 2001). ToM was first studied in children and in developmental pathology, most prominently in autism and in adults with Asperger's syndrome (Baron-Cohen, Leslie, & Frith, 1985; Baron-Cohen, 2001), and it is considered an important contributing factor to behavioral symptoms in these patients (Baron-Cohen, Tager-Flusberg, & Cohen, 1993). More recently, research has focused on neurological populations, including those with

neurodegenerative diseases such as Parkinson's disease (PD), Huntington disease (HD), fronto-temporal dementia (FTD), and Alzheimer's disease (AD), as well traumatic brain injury (Gregory et al., 2002; Lough et al., 2006; Mengelberg & Siegert, 2003; Rowe, Bullock, Polkey, & Morris, 2001; Saltzman, Strauss, Hunter, & Archibald, 2000; Snowden et al., 2003; Stuss, Gallop, & Alexander, 2001). The research suggests that those patients with behavioral disturbances also show ToM impairment, which could contribute to the emergence of the behavioral problems. Lesion and functional magnetic resonance imaging studies have delineated a widespread cerebral network involved in social cognition, including the amygdala, ventromedial prefrontal cortex, cingulate cortex, and somatosensory-related cortices in the right hemisphere (Adolphs, 2001, 2003, 2009; Bird, Castelli, Malik, Frith, & Husain, 2004; Brunet, Sarfati, Hardy-Baylé, & Decety, 2000; Gallagher & Frith, 2003; Rowe et al., 2001; Shaw, Lawrence, Bramham, Brierley, & Radbourne, 2007; Stuss et al., 2001), with white matter integrity also identified as an associated factor (Ruffman, Henry, Livingstone, & Phillips, 2008).

Multiple sclerosis (MS) is a chronic, inflammatory disease of the central nervous system that is associated with a diffuse

Correspondence and reprint requests to: Serge Bakchine, Service de Neurologie, Hôpital Maison Blanche, 45 rue Cognacq Jay, F-51092, Reims, France. E-mail: sbakchine@chu-reims.fr

demyelination of white matter. The disease has early onset (typically between 20 and 40 years old) and a variable and unpredictable course. In addition to neurological deficits, cognitive impairments and psychological and psychiatric disorders are common features in MS. Cognitive deficits occur even in the early stages of MS, with prevalence rates ranging from 43% to 70%; cognitive domains most typically affected include the executive functions, memory, information processing speed, and attention (Chiaravalloti & DeLuca, 2008; Heesen et al., 2010; Langdon, 2011; Rogers & Panegyres, 2007). In addition, relative to individuals with similar degrees of disability, patients with MS have an elevated incidence and prevalence of psychological and psychiatric symptoms (Beiske et al., 2008; Chwastiak & Ehde, 2007; José Sá, 2008; Kalb, 2007; Kinsinger, Lattie, & Mohr, 2010). MS also involves psychosocial consequences such as disruptions to life goals, employment, relationships, and daily living activities (Feinstein, 2006; Morrow et al., 2010; Smith & Arnett, 2005). Furthermore, and in similarity with other neurodegenerative diseases (Adolphs, Tranel, Damasio, & Damasio, 1994; Clark, Nearing, & Cronin-Golomb, 2008; Hanks, Temkin, Machamer, & Dikmen, 1999; Lough, Gregory, & Hodges, 2001), patients with MS are prone to personality changes (Gainotti, 2006). These changes are typically attributed to psychological reactions to neurological deficits, fatigue, or social consequences of the disease. However, given that they may even be observed during the first MS event, when patients are not yet experiencing any significant limitation, a direct link to demyelinating lesion load in critical brain regions is likely (José Sá, 2008). Therefore, the high incidence of behavioral disturbances and white matter lesions in MS patients could be linked. In support of this, studies of other neurological diseases that produce behavioral changes also show deficits in social cognition (Gregory et al., 2002; Mengelberg & Siegert, 2003; Snowden et al., 2001, 2003). There has been little investigation of social cognition abilities in MS patients (Banati et al., 2009; Henry et al., 2009; Krause et al., 2009; Ouellet et al., 2010; Phillips et al., 2011), although existing studies have demonstrated that MS patients perform significantly worse compared to healthy participants on advanced ToM tasks: the eyes' test and the *faux pas* task (Banati et al., 2009; Henry et al., 2009). Henry et al. (2009) also showed that MS patients were significantly impaired in the ability to recognize facial expressions of anger and fear. Phillips et al. (2011) found a specific deficit in decoding both static and dynamic information about emotion in MS, as compared with non-emotional information. They also observed a relationship between emotion perception problems and poor social and psychological quality of life. When comparing two small subgroups of MS patients, with or without cognitive impairment, Ouellet et al. (2010) found that the former group had more difficulties attributing mental states to others. Banati et al. (2009) showed that MS patients with faster progressing disease were the most impaired in social cognition tasks.

Advanced ToM tasks (e.g., the eyes' test and the *faux pas* task) are able to detect subtle differences in mental state. However, within developmental theory, three levels of ToM

have been described: between 3 and 4 years old, children develop the ability to understand first-order false belief ("A thinks X"); between 6 and 7 years old, children begin to be able to understand second-order false belief ("A thinks that B thinks X"); and between 9 and 11 years old, children develop the ability to detect and understand a *faux pas* (Baron-Cohen, O'Riordan, Stone, Jones, & Plaisted, 1999; Baron-Cohen, 2001; Perner & Wimmer, 1985; Wimmer & Perner, 1983). Thus, it is possible that the ToM impairments observed in the studies of MS patients described above may be due to deficits in simpler levels of ToM, which could be detected by more basic ToM tasks.

The aim of our study is to assess whether MS patients correctly perform first- and second-order mental state attribution ToM tasks, and to replicate the results of Henry et al. (2009) and Banati et al. (2009) in facial emotion recognition and *faux pas* tasks.

METHOD

Participants and Procedure

Sixty-four Relapsing-Remitting MS (RRMS) patients were recruited from two regional MS clinics in France, and underwent neuropsychological evaluation for the present study. Inclusion criteria were a definite diagnosis of RRMS according to the criteria defined by McDonald et al. (2001), and an Expanded Disability Status Scale (EDSS; Kurtzke, 1983) score ≤ 5.5 . Patients were excluded if they showed any of the following characteristics: psychiatric disorder, nervous system disorder other than MS, substance abuse, severe motor or visual impairment that may interfere with psychometric testing, or MS relapse or corticosteroid pulse within the past 6 weeks. The performance of patients was compared to a control group comprising 30 healthy participants matched for age, gender, and education level. The controls had no history of neurological or psychiatric illnesses or of drug or alcohol abuse.

An *ad hoc* ethical review committee approved this study and all participants gave informed consent as required by the institutional review board.

Measures

Neuropsychological measures

MS patients underwent neuropsychological tests that were selected to evaluate global functioning and show sensitivity to the impairment of executive functions. The Ward seven-subtest short form of the revised Wechsler Adult Intelligence Scale (WAIS-R/7 SF) was used to estimate current intellectual functioning (Ward, 1990). This abbreviated version provides an efficient and accurate assessment of intellectual functioning (IQ) in a clinical population (Callahan, Schopp, & Johnstone, 1997; Ryan, Abraham, Axelrod, & Paolo, 1996; Ward, 1990). Weighted algorithms were used to estimate the verbal and performance raw scores, as suggested by Ward (1990). Verbal, performance, and full scale IQs were then calculated in the usual manner from Table 20 of the WAIS-R manual (Wechsler, 1981)

using the estimated sums of scaled scores. Age-corrected scores for the seven subtests were obtained from Table 21 in the manual. Given the good standardization of the WAIS-R, it was administered to MS patients only. A score below the 5th percentile was considered as an impaired performance, as suggested by Lezak, Howieson, and Loring (2004).

Executive functions were assessed using the Brixton Spatial Anticipation Test developed by Burgess and Shallice (1997) and the similarities subtest from the WAIS-R (Wechsler, 1981). The Brixton Spatial Anticipation Test primarily measures a person's ability to detect a rule, follow it, and switch to a new rule. The total number of errors across 55 trials was used as an outcome measure, with higher scores thus reflecting worse performance. The WAIS-R similarities subtest was used to assess verbal abstract thinking.

Self-report Measures

MS subjects were asked to complete the French version of the Modified Fatigue Impact Scale (MFIS; Debouverie, Pittion, Guillemain, & Vespignani, 2002). The MFIS is a 40-item self-report measure of fatigue that is commonly used in MS. It comprises three subscales measuring physical, social, and cognitive fatigue on a 0 (no problem) to 4 (extreme problem) Likert scale. Total MFIS scores were standardized from 0 ("no fatigue") to 100 ("high degree of fatigue"). All subjects were also screened for depression using the Beck Depression Inventory (BDI; Beck, Steer, and Brown, 1996). Each item is scored on a four-point Likert scale ranging from 0 to 3. French cutoff values are the following: 0–3 = no depressive symptoms, 4–7 = low depressive symptoms, 8–15 = moderate depressive symptoms, 16–39 = severe depressive symptoms.

Specific ToM Measures

ToM tasks

To test ToM, a total of six stories were used: two for the first-order false belief task, two for the second-order false belief

task, and two for the *faux pas* task. The stories were based on those used by Rowe et al. (2001) and Baron-Cohen et al. (1985) and were adapted for a French population. To reduce the demand on verbal working memory and comprehension during the test, each ToM task was presented as a short scenario illustrated by several pictures accompanied by a written text. To ensure a triple encoding (visual, oral, and written), participants were requested to examine each picture and read the text, which was also read aloud by the examiner. All participants underwent the same procedure.

First- and second-order false belief tasks

The first-order false belief tasks were designed to test subjects' ability to infer that someone can have a mistaken belief that is different from their own true belief (of the form "A thinks X"). The second-order false belief tasks were used to test the ability to understand what someone else thinks about what another person thinks (of the form "A thinks B thinks X"). After reading (and hearing) the story, participants were asked to respond to the following four types of question (see Figure 1):

False belief questions addressed the ability to make an inference about another individual's mental state, namely, that a protagonist of the scenario is holding a false belief, and to justify this inference.

Inference questions evaluated the ability of the participant to draw inferences that do not involve reasoning about another individual's mental state.

Fact questions determined whether the participant comprehended relevant elements from the scenario that explain the false belief of the protagonist.

Memory questions assessed whether the participant was able to hold memory for scenario details.

One question of each type was asked per story, with the exception of the first story in the first-order false belief task where two false belief, inference, and fact questions (and one memory question), were posed. Questions were asked in an order from least to most explicit, to prevent cueing. Each

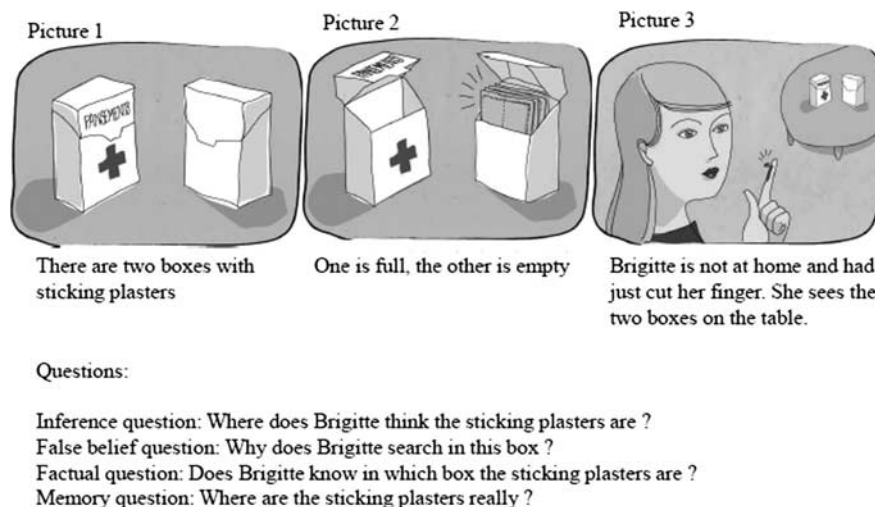


Fig. 1. Example of first-order theory of mind story and questions.

answer was scored as either 0 (incorrect or absent) or 1 (correct), except for the false belief question for which participants received a score of 1 for a full answer which correctly made an inference about the main character's false belief, 0.5 for a partial answer, and 0 for an incorrect answer. Global scores were calculated as the sum of the first three question scores (false belief, inference, and fact).

Faux pas tasks

Faux pas tasks were designed to assess participants' ability to detect when a person unintentionally says something that would hurt another person. After each story, the participant was asked the following questions:

Faux pas detection question: "Did anyone say something he/she shouldn't have said? Did anyone say something awkward?" If the participant answered "Yes," the second question was, "Who said something he/she should not have said?" After a correct answer, the following question was asked, "What shouldn't he/she have said?" If the participant identified the correct person, the *faux pas* was considered to have been correctly identified. Thereafter, three additional questions were asked (as follows).

False belief question checked if the participants understood that the *faux pas* was the consequence of the speaker's false belief rather than due to a malicious intent.

Unintentional question assessed understanding that the *faux pas* was unintentional.

Comprehension question assessed attention, memory, and comprehension.

Each question was scored as either 0 (incorrect or absent) or 1 (correct), except for the *faux pas* detection question, which was scored from 0 to 2 (2 for full explanation, 1 for partial). Global scores were calculated as the sum of the first three question scores (*faux pas* detection, false belief, unintentional).

Facial emotions recognition task

This task was derived from the *Facial Expressions of Emotion: Stimuli and Tests* (FEEST; Young, Perrett, Calder, Sprengelmeyer, & Ekman, 2002). The stimuli consisted of a series of 60 black and white photographs of the same female face, which showed the six primary emotions (anger, disgust, fear, surprise, sadness, and happiness) as described by Ekman and Friesen (1976). For each emotion, 10 levels of emotional intensity were displayed, ranging from minimal to full expression. The pictures were presented on a computer screen using a 4 × 3-inch format. Each face was presented once, for 5 s, and was followed by a screen showing six boxes bearing the name of the six basic emotions. Participants were asked to point to the label that best described the facial expression shown on the screen. The testing phase was preceded by a short training phase. During the testing phase, the 60 faces were presented in a pseudo-random order, avoiding more than two consecutive presentations of the same emotion. Participants were allowed to take as much time as they needed to make their decision, but they received no feedback. One point was attributed for each

correct answer. The maximum score was 10 for each emotion, and 60 for the whole task.

Statistical Analyses

All statistical analyses were performed using StatView for Windows (SAS Institute Inc. Copyright © 1992–1998, Version 5.0). Non-parametric statistical tests were used due to non-homogeneity of variances and non-normality of the distributions. An alpha-level of .05 was selected for the comparisons. A Mann-Whitney *U* test was performed to compare the two groups in terms of demographics, cognitive measures, and social cognition tests. The χ^2 test was used for categorical variables. The relationship between age, sex, years of education, disease duration, EDSS, executive function, and social cognition was determined using Spearman correlations.

RESULTS

Demographic data

No significant difference emerged between controls and MS patients with regard to age, years of education and sex ratio (see Table 1).

Data for the neuropsychological assessments and ToM tasks were available for all study participants, although only 50/64 MS patients correctly completed the two self-report questionnaires (all healthy controls completed the BDI correctly). The 14 subjects with missing data did not differ from others with respect to main demographic characteristics or cognitive performance.

Neuropsychological data

As shown in Table 2, mean IQ scores fell within the average range for MS patients (standard score = 90–109; 25–75th percentile), as defined by Wechsler (1981). No patient had an impaired performance level (<5th percentile) on the full scale IQ estimate. Only one patient had an impaired performance on both the verbal and performance IQ estimates (but not the full scale). Mean subtest scores were also all within the average range for MS patients (standard score = 8–12; 25–75th percentile). A few patients performed at below the 5th percentile for the information ($n = 7$), digit span ($n = 2$), and arithmetic ($n = 9$) subtests. Of interest, although processing speed is often impaired in MS, no patients had an impaired performance in the digit symbol subtest.

On the measures of executive functioning, MS patients performed significantly worse than healthy participants on the Brixton test and the WAIS-R similarities subtest (Table 2), although the similarities subtest means were within the average range for MS patients.

Fatigue and Depression Self-Report Data

MS patients reported elevated fatigue on the MFIS, as indicated by mean scores per item of above 3. In addition, the MS

Table 1. Summary of demographic characteristics

Characteristic	MS patients <i>n</i> = 64	Healthy participants <i>n</i> = 30	Significance of between-group differences ^a
	<i>Mean (SD; range)</i>	<i>Mean (SD; range)</i>	
Age, years	42.4 (9.8; 21–63)	38.6 (13.9; 21–67)	$U = 744; p > .05$
Gender	50 female (78%) 14 male (22%)	21 female (70%) 9 male (30%)	Chi-square: 0.24 $df = 1; p > .05$
Education, years	11.1 (3.14; 7–17)	12.4 (3.25; 7–17)	$U = 747.5; p > .05$
Illness duration, years	9.1 (5.37; 2–26)		
EDSS score	2.3 (1.7; 0–5.5)		

^aMann-Whitney U-test (unless otherwise indicated).

group scored significantly higher on the BDI as compared with controls (Table 2). However, the MS patient scores were low (6.2 ± 5.1), suggesting that no patient suffered from ongoing depression.

ToM Data

First-order false belief task

MS patients had a significantly lower global score as compared to controls (7.06 vs. 7.72; $p < .01$) on the first-order false belief task, as shown in Table 3. Regarding the four individual questions, the MS group achieved significantly lower scores than the control group on the false belief question only. The absence of a significant difference on the memory question suggests that difficulties encountered by

MS patients in first-order false belief tasks are not related to language or working memory difficulties.

Second-order false belief task

The MS group had a significantly lower global score as compared with controls on the second-order false belief task. In similarity with the first-order task results, the MS group performed significantly worse on the false belief question only.

Faux pas task

The global score for the *faux pas* task was significantly lower in the MS group compared to controls, but for the individual questions the two groups differed significantly for the unintentional question only. No significant difference was

Table 2. Neuropsychological assessments and self-report questionnaires (fatigue, depression)

Measure	MS patients <i>n</i> = 64	Healthy participants <i>n</i> = 30	Significance of between-group differences ^a
	<i>Mean (SD)</i>	<i>Mean (SD)</i>	
Neuropsychological tests			
IQ (WAIS-R/7 SF)			
Full score IQ	94.9 (11.9)		
Verbal IQ	93.5 (11.2)		
Performance IQ	97.2 (14.1)		
Subtests:			
Information	8.62 (3.0)		
Digit span	9.87 (3.0)		
Arithmetic	8.31 (3.0)		
Similarities	10.14 (2.4)		
Picture completion	9.36 (2.5)		
Block design	9.95 (3.9)		
Digit symbol	11.09 (7.4)		
Brixton test score [no. errors]	16.15 (8.4)	12.16 (5.0)	$U = 658; p = .01^{**}$
WAIS-R similarities subtest	10.14 (2.4)	11.86 (2.4)	$U = 573; p < .001^{***}$
Self-report questionnaires			
	<i>n</i> = 50	<i>n</i> = 30	
BDI [0–39]	6.2 (5.1)	2.2 (1.9)	$U = 369.5; p < .001^{***}$
MFIS [0–100]	79.4 (37.8)		

^aMann-Whitney U-test.

** $p < .01$.

*** $p < .001$.

Table 3. Performance of MS patients and healthy participants on theory of mind tasks

Measure	MS patients	Healthy participants	Significance of between-group differences ^a
	<i>n</i> = 64	<i>n</i> = 30	
	Mean (SD)	Mean (SD)	
First-order false belief task (global score)	7.06 (1.36)	7.72 (1.08)	$U = 646.7; p < .01^{**}$
False belief question ^b	1.43 (0.83)	1.95 (0.67)	$U = 611.5; p = .004^{***}$
Inference question ^b	2.75 (0.56)	2.86 (0.43)	$U = 864; p = .43$
Fact question ^b	2.87 (0.33)	2.90 (0.31)	$U = 936; p = .84$
Memory question	1.95 (0.21)	2 (0.00)	$U = 915; p = .71$
Second-order false belief task (global score)	4.57 (1.03)	5.40 (0.68)	$U = 486; p < .0001^{***}$
False belief question	0.85 (0.65)	1.43 (0.64)	$U = 500; p = .0002^{***}$
Inference question	1.82 (0.42)	1.97 (0.18)	$U = 841.5; p = .33$
Fact question	1.90 (0.34)	2 (0.00)	$U = 885; p = .54$
Memory question	1.72 (0.52)	1.90 (0.30)	$U = 813; p = .22$
Faux pas task (global score)	6.56 (2.13)	7.43 (1.27)	$U = 686; p < .02^*$
Faux pas detection question	3.09 (1.36)	3.66 (0.75)	$U = 752.5; p = .09$
False belief question	1.92 (0.32)	1.93 (0.36)	$U = 933.5; p = .83$
Unintentional question	1.48 (0.71)	1.83 (0.37)	$U = 725; p = .02^*$
Comprehension question	1.89 (0.36)	2 (0.00)	$U = 870; p = .08$

^aMann-Whitney U-test.

^bIn this first-order false belief task, two questions of this type were asked in the first story, one in the second, giving a maximum score of 3 points.

* $p < .05$.

** $p < .01$.

*** $p < .001$.

observed for the *faux pas* detection question, or the false belief question. This suggests that, when MS patients know that a *faux pas* has occurred, they seem less able, as compared to controls, to understand that it was not intentional.

In addition to group data, confidence intervals were calculated for each ToM level. The percentages of patients below the lower limit of these confidence intervals were 30% ($n = 21$), 50% ($n = 35$), and 20% ($n = 14$) for the

first- and second-order false belief tasks and the *faux pas* task, respectively.

Facial emotions recognition task

The mean values for overall decoding accuracy of facial emotions, and the decoding accuracy for each emotion are presented in Table 4. The total score was significantly lower

Table 4. Performance of MS patients and healthy participants on facial emotions recognition task

Measure	MS patients	Healthy participants	Significance of between-group differences ^a
	<i>n</i> = 64	<i>n</i> = 30	
	Mean (SD)	Mean (SD)	
Total score	51.6 (6.22)	56.7 (2.87)	$U = 432$ $p < .0001^{***}$
Fear	6.79 (2.63)	9.23 (1.13)	$U = 354$ $p < .0001^{***}$
Sadness	9.26 (1.31)	9.36 (1.15)	$U = 939.5$ $p = .86$
Anger	6.87 (2.73)	9.20 (1.34)	$U = 435.5$ $p < .0001^{***}$
Disgust	9.09 (2.06)	9.46 (1.27)	$U = 943$ $p = .89$
Happiness	9.95 (0.38)	9.80 (0.61)	$U = 880.5$ $p > .52$
Surprise	9.67 (1.00)	9.63 (1.00)	$U = 947.5$ $p = .92$

^aMann-Whitney U-test.

** $p < .01$.

*** $p < .001$.

in the MS group when compared to healthy controls ($p < .0001$). In MS patients, Mann-Whitney tests revealed impaired recognition of anger ($p < .0001$) and fear ($p < .0001$), but no other emotions (all $p > .50$). Within the MS group, performance in recognizing anger and fear was significantly correlated (corrected Spearman rho: 0.42; $p < .0001$). However, no significant correlation was demonstrated between the total recognition score and demographic characteristics (age, sex, education level, EDSS, disease duration, MFIS, or BDI), or with any cognitive assessment (full score IQ, verbal IQ, performance IQ, Brixton test, or WAIS-R similarities subtest) in the MS group. There was also no correlation between the total recognition score and demographic characteristics (age, sex, and education level), or with any cognitive assessment, in the control group.

In order to consider individual, we compared the performance of each MS patient with the 95% confidence interval of the mean result for the healthy participants group. The percentage of patients scoring below the lower limit of the confidence interval was 66% ($n = 42$) for the global score, 67% ($n = 43$) for anger and fear, 17% for disgust, surprise, and sadness ($n = 10, 12, \text{ and } 12$, respectively), and 1.6% ($n = 1$) for happiness.

Relationship Between ToM Tasks and Other Variables

In the MS group, we found no correlations that were significant (or that accounted for more than 10% of the variance) between the three ToM task global scores and demographic characteristics (age, disease duration, EDSS, MFIS, or BDI), or with any cognitive assessment. We specifically assessed the effect of cognitive impairment, as Ouellet et al. (2010) found that this may contribute to some of the difficulties shown by MS patients in attributing mental state to others. The analysis of correlations between full score IQ (or any subtest of the WAIS-R) and each of the three ToM tasks showed only a borderline result for first-order task (the full score IQ accounting for approximately 7.5% of the variance). When the MS population was split into two groups based on the level of full score IQ – high (>90 ; $n = 37$; mean IQ: 103) or low (≤ 90 ; $n = 27$; mean IQ: 83.9) – we found that patients with higher IQ performed better than patients with lower IQ on the three ToM tasks, but the differences were small (less than 10%) and none reached statistical significance. There was no significant correlation between performances in the facial emotions recognition task and the various ToM tasks, suggesting that they could be considered as two independent sources of social difficulties.

DISCUSSION

The present study compared the performance of patients with RRMS with matched healthy participants on tasks relating to three levels of ToM (first- and second-order mental state attribution and *faux pas*) and facial emotion recognition. Results demonstrate that MS patients obtained significantly

lower scores, as compared to healthy participants, on all ToM task global scores, indicating a disruption in social reasoning ability. This deficit was not accounted for by demographic or other clinical characteristics (including duration or severity of disease, intensity of fatigue, or depression), or by any specific cognitive deficit (including, most notably, executive functions). These results differ from previous studies (Banati et al., 2009; Henry et al., 2009; Ouellet et al., 2010), possibly because we used different tasks or because our patients were less severely cognitively impaired.

MS patients showed particular difficulty in responding to first- and second-order false belief questions; in contrast, they demonstrated no difficulty in understanding the specific portions of stories that were necessary for the identification of a false belief or the inferences to be drawn from them. This suggests that ToM impairment in MS patients is due to a specific failure to accurately attribute the mental states of others, rather than a deficit of comprehension, memory or pragmatics. In addition, while MS patients were usually able to identify a social *faux pas*, to correctly point to the person responsible for it, and to explain what should not have been said, they showed less ability to understand that the *faux pas* was unintentional. These results again demonstrate the difficulty that MS patients have with correctly attributing a mental state to another person.

As noted above, MS patients seemed to correctly detect and understand the *faux pas* but were misattributing it as an intentional insult. A possible explanation for this very specific deficit is that the first- and second-order false belief tasks require epistemic mental state inferences such as knowing or expecting (that something will occur), whereas *faux pas* tasks require intentional inferences and affective mental state inferences such as feeling happy. Therefore, MS patients may encounter difficulty while attempting to infer intentionality and epistemic mental states, but may be more effective when inferring affective mental states. Several studies have emphasized the role of the amygdala in epistemic, intentional and affective mental state inferences (Fine, Lumsden, & Blair, 2001; Stone, Baron-Cohen, Calder, Keane, & Young, 2003).

Thus, errors on the ToM tasks revealed difficulty with several aspects of mental state attribution. The present study extends the results from Banati et al. (2009), which showed that people with MS were impaired on *faux pas* tasks compared to matched healthy participants, by demonstrating that social cognition (ToM) is disrupted even at less complex levels of the ability to make mental state attributions.

As the independence of ToM and executive skills is still widely debated, we examined whether performance of MS patients on ToM tasks was correlated with tests of executive function. Although MS patients displayed poorer performances on executive tasks as compared to healthy subjects, no correlation was found between ToM tasks and executive function scores. To increase feasibility, we used relatively simple tasks (Brixton test, similarities subtest of the WAIS-R 7SF) which show high correlation with more complex tests of executive functions (such as the Wisconsin Card Sorting Test, WSCT, or the Trail-Making Test, TMT). Our results are

consistent with other studies which suggest that ToM may be an independent module (Gregory et al., 2002; Lough et al., 2001; Rowe et al., 2001).

The second major finding was that MS patients were less accurate than healthy participants in decoding the emotions of anger and fear in facial expressions. These data were consistent with other studies (Henry et al., 2009; Phillips et al., 2011) who also found a selective impairment in the perception of anger and fear. At first glance, the selective nature of this impairment appears counterintuitive, given the diffuse distribution of plaques within the brain. The result could be artifactual due to the small sample size (i.e., our random selection of patients may have had lesions concentrated within networks involved in the recognition of anger and fear, such as the amygdala, frontal orbital cortex, and their connections; Adolphs, 2002; Calder, Keane, Lawrence, & Manes, 2004). If the finding is unrelated to a selection bias, this pattern could instead indicate that these networks are at greatest risk of damage by MS lesions due to, for instance, their localization in the brain or their large size. Unfortunately, we were unable to test these hypotheses because available neuroimaging data were obtained at variable intervals relative to the ToM evaluation, without standardized imaging techniques, which precluded quantitative analysis. Regardless of the precise etiology, impairment of the recognition of anger and fear in facial expressions in MS patients may contribute to disruption in adaptive behavior, as has been observed in other pathologies (Clark et al., 2008). A specific impairment in anger or fear recognition could have particularly negative repercussions in situations involving high levels of tension and interpersonal conflict.

Deficits both in anger and fear recognition, and in mental state attribution, are consistent with previous studies which highlighted the role of the ventromedial prefrontal cortex and the amygdala in these abilities. Most of these studies focused on brain activity during the attribution of mental states to others, and have delineated a cerebral network involving structures in the limbic-paralimbic system, including the amygdala, orbito-frontal cortex, ventromedial prefrontal cortex, and anterior cingulate cortex (Abu-Akel, 2003). A large number of functional imaging and lesion studies have also demonstrated the key role of the amygdala and orbito-frontal cortex in the recognition of negative emotions and, in particular, anger and fear (Adolphs, 2002). In MS, a subcortical disruption in the limbic-paralimbic system is more likely to explain the observed specific deficits, rather than a volumetric loss of grey matter.

The current study has potential limitations beyond the lack of neuroimaging data, including the absence of information regarding the timeline of social cognitive disturbance, and whether these problems occur in other types of MS (e.g., primary or secondary progressive forms). Further research is in progress to examine these issues. Nevertheless, we believe the current study shows that social cognition abilities may be significantly disturbed even in the early or moderate stages of relapsing remitting forms of MS. We have also confirmed previous work showing that both the response to *faux pas* tasks,

and the recognition of anger and fear in facial expressions, are defective in patients with MS. In addition, the current study demonstrates impairments in the attribution of mental states, even for very simple inferences such as false beliefs. From a clinical perspective, further studies will be needed to evaluate the exact consequences of impaired social cognition abilities in MS patients. We hypothesize that even a mild impairment in processing of the facial emotions of anger and fear, and in ToM abilities could alter the fine tuning necessary to properly adjust behavior and social interactions, as already demonstrated with more crude deficits in other pathologies such as FTD (Gregory et al., 2002; Lough et al., 2006). Thus, measuring deficits in social cognition could be important for MS patients. Such evaluation should be performed with psychometrically sound tools that have been validated for measuring ToM functions independent of other cognitive domains. The current study demonstrates that a series of easily administered, time-efficient tasks can demonstrate subtle disturbances of high clinical relevance. This work underscores the importance of the clinical assessment of social cognition abilities in MS, as it may improve the overall management of this complex disease.

ACKNOWLEDGMENTS

We thank the study subjects for their kind participation and Mélanie Hisland, Marie-Cécile Huck, and Mathilde Robert for their help with testing. We also thank Nathalie Ehrlé for the conceptual framework of this study. Mary Beth Spitznagel (PhD) and Juliet George provided invaluable help with the English manuscript preparation and for their very helpful comments. This work has been presented in part as an oral communication at the World Congress on Treatment and Research in Multiple Sclerosis (ACTRIMS, ECTRIMS, and LACTRIMS Joint meeting), Montreal, September 2008. The authors received no financial support for this work and have no conflicts of interest.

REFERENCES

- Abu-Akel, A. (2003). A neurobiological mapping of theory of mind. *Brain Research Reviews*, *43*, 29–40.
- Adolphs, R. (2001). The neurobiology of social cognition. *Current Opinion in Neurobiology*, *11*, 231–239.
- Adolphs, R. (2002). Neural systems for recognizing emotion. *Current Opinion in Neurobiology*, *22*, 169–177.
- Adolphs, R. (2003). Cognitive neuroscience of human social behavior. *Nature Reviews. Neuroscience*, *4*(3), 165–178.
- Adolphs, R. (2009). The social brain: Neural bases of social knowledge. *Annual Review of Psychology*, *60*, 693–716.
- Adolphs, R., Tranel, D., Damasio, H., & Damasio, A. (1994). Impaired recognition of emotion in facial expressions following bilateral damage to the human amygdala. *Nature*, *372*(6507), 669–672.
- Banati, M., Sandor, J., Mike, A., Illes, E., Bors, L., Feldmann, A., ... Illes, Z. (2009). Social cognition and Theory of Mind in patients with relapsing-remitting multiple sclerosis. *European Journal of Neurology*, *17*(3), 426–433.
- Baron-Cohen, S. (2001). Theory of mind and autism: A review. *Special Issue of the International Review of Mental Retardation*, *23*, 169–184.

- Baron-Cohen, S., Leslie, A.M., & Frith, U. (1985). Does the autistic child have a 'theory of mind'? *Cognition*, *21*, 37–46.
- Baron-Cohen, S., O'Riordan, M., Stone, V., Jones, R., & Plaisted, K. (1999). Recognition of faux pas by normally developing children and children with Asperger syndrome or high-functioning autism. *Journal of Autism and Developmental Disorders*, *29*, 407–418.
- Baron-Cohen, S., Tager-Flusberg, H., & Cohen, D. (1993). *Understanding other minds: Perspectives from autism*. Oxford: Oxford University Press.
- Beck, A., Steer, R., & Brown, G. (1996). *Manual for the Beck depression inventory*. San Antonio, TX: Psychological Corporation.
- Beiske, G., Svensson, E., Sandanger, I., Czujko, B., Pedersen, E.D., Aarseth, J.H., & Myhr, K.M. (2008). Depression and anxiety among multiple sclerosis patients. *European Journal of Neurology*, *15*(3), 239–245.
- Bird, C.M., Castelli, F., Malik, O., Frith, U., & Husain, M. (2004). The impact of extensive medial frontal lobe damage on 'Theory of Mind' and cognition. *Brain*, *127*, 914–928.
- Brüne, M., & Brüne-Cohrs, U. (2006). Theory of mind-evolution, ontogeny, brain mechanisms and psychopathology. *Neuroscience and Behavioral Reviews*, *30*(4), 437–455.
- Brunet, E., Sarfati, Y., Hardy-Baylé, M.C., & Decety, J. (2000). A PET investigation of the attribution of intentions with a nonverbal task. *Neuroimage*, *11*, 157–166.
- Burgess, P., & Shallice, T. (1997). *The Hayling and Brixton Tests. Test manual*. Bury St Edmunds, UK: Thames Valley Test Company.
- Calder, A.J., Keane, J., Lawrence, A.D., & Manes, F. (2004). Impaired recognition of anger following damage to the ventral striatum. *Brain*, *127*, 1958–1969.
- Callahan, C.D., Schopp, L., & Johnstone, B. (1997). Clinical utility of a seven subtest WAIS-R short form in the neuropsychological assessment of traumatic brain injury. *Archives of Clinical Neuropsychology*, *12*(2), 133–138.
- Chiaravalloti, N.D., & DeLuca, J. (2008). Cognitive impairment in multiple sclerosis. *Lancet Neurology*, *7*(12), 1139–1151.
- Chwastiak, L.A., & Ehde, D.M. (2007). Psychiatric issues in multiple sclerosis. *Psychiatric Clinics of North America*, *30*, 803–817.
- Clark, U.S., Neargarder, S., & Cronin-Golomb, A. (2008). Specific impairments in the recognition of emotional facial expressions in Parkinson's disease. *Neuropsychologia*, *46*(9), 2003–2009.
- Debouverie, M., Pittion, S., Guillemin, F., & Vespignani, H. (2002). Fatigue scales used in multiple sclerosis. *Revue Neurologique*, *158*(11), 1139–1143.
- Ekman, P., & Friesen, W. (1976). *Pictures of the facial affect*. Palo Alto, CA: Consulting Psychologists Press.
- Feinstein, A. (2006). Mood disorders in multiple sclerosis and the effects on cognition. *Journal of the Neurological Sciences*, *245*, 63–66.
- Fine, C., Lumsden, J., & Blair, R.J. (2001). Dissociation between 'theory of mind' and executive functions in a patient with early left amygdala damage. *Brain*, *124*, 287–298.
- Gainotti, G. (2006). Measures of cognitive and emotional changes in multiple sclerosis and underlying models of brain dysfunction. *Journal of the Neurological Sciences*, *245*, 15–20.
- Gallagher, H.L., & Frith, C.D. (2003). Functional imaging of 'theory of mind'. *Trends in Cognitive Sciences*, *7*(2), 77–78.
- Gregory, C., Sinclair, L., Stone, V., Erzinclioglu, S., Martin, L., Baron-Cohen, S., & Hodges, J.R. (2002). Theory of mind in patients with frontal variant frontotemporal dementia and Alzheimer's disease: Theoretical and practical implications. *Brain*, *125*, 752–764.
- Hanks, R.A., Temkin, N., Machamer, J., & Dikmen, S.S. (1999). Emotional and behavioral adjustment after traumatic brain injury. *Archives of Physical Medicine and Rehabilitation*, *80*(9), 991–997.
- Heesen, C., Schulz, K.H., Fiehler, J., Von der Mark, U., Otte, C., Jung, R., ... Gold, S.M. (2010). Correlates of cognitive dysfunction in multiple sclerosis. *Brain, Behavior and Immunity*, *24*, 1148–1155.
- Henry, J.D., Phillips, L.H., Beatty, W.W., McDonald, S., Longley, W.A., Joscelyne, A., & Rendell, P.G. (2009). Evidence for deficits in facial affect recognition and theory of mind in multiple sclerosis. *Journal of International Neuropsychology Society*, *15*, 277–285.
- José Sá, M. (2008). Psychological aspects of multiple sclerosis. *Clinical Neurology and Neurosurgery*, *110*(9), 868–877.
- Kalb, R. (2007). The emotional and psychological impact of multiple sclerosis relapses. *Journal of the Neurological Sciences*, *256*, S29–S33.
- Kinsinger, S.W., Lattie, E., & Mohr, D.C. (2010). Relationship between depression, fatigue, subjective cognitive impairment, and objective neuropsychological functioning in patients with multiple sclerosis. *Neuropsychology*, *24*, 573–580.
- Krause, M., Wendt, J., Dressel, A., Berneiser, J., Kessler, C., Hamm, A.O., & Lotze, M. (2009). Prefrontal function associated with impaired emotion recognition in patients with multiple sclerosis. *Behavioural Brain Research*, *205*(1), 280–285.
- Kurtzke, J.F. (1983). Rating neurologic impairment in multiple sclerosis: An expanded disability status scale (EDSS). *Neurology*, *33*, 1444–1452.
- Langdon, D.W. (2011). Cognition in multiple sclerosis. *Current Opinion in Neurology*, *24*, 244–249.
- Lezak, M.D., Howieson, D.B., & Loring, D.W. (2004). *Neuropsychological Assessment* (4th ed.). New York: Oxford University Press.
- Lough, S., Gregory, C., & Hodges, J.R. (2001). Dissociation of social cognition and executive function in frontal variant frontotemporal dementia. *Neurocase*, *7*, 123–130.
- Lough, S., Kipps, C.M., Treise, C., Watson, P., Blair, J.R., & Hodges, J.R. (2006). Social reasoning, emotion and empathy in frontotemporal dementia. *Neuropsychologia*, *44*, 950–958.
- McDonald, W.I., Compston, A., Edan, G., Goodkin, D., Hartung, H.P., Lublin, F.D., ... Wolinsky, J.S. (2001). Recommended diagnostic criteria for multiple sclerosis: Guidelines from the International Panel on the diagnosis of multiple sclerosis. *Annals of Neurology*, *50*, 121–127.
- Mengelberg, A., & Siegert, R.J. (2003). Is theory-of-mind impaired in Parkinson's disease? *Cognitive Neuropsychiatry*, *8*(3), 191–209.
- Morrow, S.A., Drake, A., Zivadinov, R., Munschauer, F., Weinstock-Guttman, B., & Benedict, R.H. (2010). Predicting loss of employment over three years in multiple sclerosis: Clinically meaningful cognitive decline. *Clinical Neuropsychology*, *24*, 1131–1145.
- Ouellet, J., Scherzer, P.B., Rouleau, I., Métras, P., Bertrand-Gauvin, C., Djerroud, N., ... Duquette, P. (2010). Assessment of social cognition in patients with multiple sclerosis. *Journal of the International Neuropsychological Society*, *16*, 287–296.
- Perner, J., & Wimmer, H. (1985). "John thinks that Mary thinks that..." attribution of second-order false beliefs by 5- to 10-year-old children. *Journal of Experimental Child Psychology*, *39*, 437–471.

- Phillips, L.H., Henry, J.D., Scott, C., Summers, F., Whyte, M., & Cook, M. (2011). Specific impairments of emotion perception in multiple sclerosis. *Neuropsychology*, *25*, 131–136.
- Premack, D., & Woodruff, G. (1978). Does the chimpanzee have a “theory of mind”? *Behavioral Brain Science*, *4*, 515–526.
- Rogers, J.M., & Panegyres, P.K. (2007). Cognitive impairment in multiple sclerosis: Evidence-based analysis and recommendations. *Journal of Clinical Neuroscience*, *14*, 919–927.
- Rowe, A.D., Bullock, P.R., Polkey, C.E., & Morris, R.G. (2001). ‘Theory of mind’ impairments and their relationship to executive functioning following frontal lobe excisions. *Brain*, *124*, 600–616.
- Ruffman, T., Henry, J.D., Livingstone, V., & Phillips, L.H. (2008). A meta-analytic review of emotion recognition and aging: Implications for neuropsychological models of aging. *Neuroscience and Biobehavioral Reviews*, *32*, 863–881.
- Ryan, J.J., Abraham, E., Axelrod, B.M., & Paolo, A.M. (1996). WAIS-R verbal-performance IQ discrepancies in persons with lateralized lesions: Utility of a seven subtest short form. *Archives of Clinical Neuropsychology*, *11*(3), 207–213.
- Saltzman, J., Strauss, E., Hunter, M., & Archibald, S. (2000). Theory of mind and executive functions in normal human aging and Parkinson’s disease. *Journal of International Neuropsychology Society*, *6*, 781–788.
- Shaw, P., Lawrence, E., Bramham, J., Brierley, B., & Radbourne, A.S. (2007). A prospective study of the effects of anterior temporal lobectomy on emotion recognition and theory of mind. *Neuropsychologia*, *45*(12), 2783–2790.
- Smith, M., & Arnett, P.A. (2005). Factors related to employment status changes in individuals with multiple sclerosis. *Multiple Sclerosis*, *11*, 602–609.
- Snowden, J.S., Bathgate, D., Varma, A., Blackshaw, A., Gibbons, Z.C., & Neary, D. (2001). Distinct behavioural profiles in frontotemporal dementia and semantic dementia. *Journal of Neurology, Neurosurgery, and Psychiatry*, *70*(3), 323–332.
- Snowden, J.S., Gibbons, Z.C., Blackshaw, A., Doubleday, E., Thompson, D., Craufurd, D., ... Neary, D. (2003). Social cognition in frontotemporal dementia and Huntington’s disease. *Neuropsychologia*, *41*, 688–701.
- Stone, V.E., Baron-Cohen, S., Calder, A., Keane, J., & Young, A. (2003). Acquired theory of mind impairments in individuals with bilateral amygdala lesions. *Neuropsychologia*, *41*, 209–220.
- Stuss, D.T., Gallup, G.G., & Alexander, M.P. (2001). The frontal lobes are necessary for ‘theory of mind’. *Brain*, *124*, 279–286.
- Ward, L.C. (1990). Prediction of verbal, performance, and full scale IQs from seven subtests of the WAIS-R. *Journal of Clinical Psychology*, *46*, 436–440.
- Wechsler, D. (1981). *WAIS-R manual: Weschler Adult Intelligence Scale-Revised*. New York: Psychological Corporation.
- Wimmer, H., & Perner, J. (1983). Beliefs about beliefs: Representation and constraining function of wrong beliefs in young children’s understanding of deception. *Cognition*, *13*, 103–128.
- Young, A.W., Perrett, D., Calder, A., Sprengelmeyer, R., & Ekman, P. (2002). *Facial expressions of emotion: Stimuli and tests (FEEST)*. Suffolk (UK): Thurston, Thames Valley Test Company.