# Lock-in regions of laminar flows over a streamwise oscillating circular cylinder

# Ki-Ha Kim<sup>1</sup> and Jung-Il Choi<sup>1,†</sup>

<sup>1</sup>Department of Computational Science and Engineering, Yonsei University, Seoul 03722, Republic of Korea

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In this paper, flow over a streamwise oscillating circular cylinder is numerically simulated to examine the effects of the driving amplitude and frequency on the distribution of the lock-in regions in laminar flows. At Re = 100, lock-in is categorized according to the spectral features of the lift coefficient as two different lock-in phenomena: harmonic and subharmonic lock-in. These lock-in phenomena are represented as maps on the driving amplitude-frequency plane, which have subharmonic lock-in regions and two harmonic lock-in regions. The frequency range of the subharmonic region is shifted to lower frequencies with increasing amplitude, and the lower boundary of this subharmonic region is successfully predicted. A symmetric harmonic region with a symmetric vortex pattern is observed in a certain velocity range for a moving cylinder. Aerodynamic features induced by different flow patterns in each region are presented on the driving amplitude-frequency plane. The lock-in region and aerodynamic features at Re = 200 and 40 are compared with the results for Re = 100. A subharmonic region and two harmonic regions are observed at Re = 200, and these show the same features as for Re = 100 at a low driving amplitude. Lock-in at Re = 40 also shows one subharmonic region and two harmonic regions. However, compared with the Re = 100 case, the symmetric harmonic lock-in is dominant. The features of aerodynamic force at Re = 200 and 40 are represented on a force map, which shows similar characteristics in corresponding regions for the Re = 100 case.

Key words: vortex shedding, wakes

## 1. Introduction

This study investigates a circular cylinder with forced oscillation in the streamwise direction in a uniform flow. Flows over bluff bodies have attracted attention not only from the viewpoint of fluid–structure interaction but also from the perspective of flow control due to the wake behind the bluff body. The most common bluff-body shape is a circular cylinder, which is frequently observed in applications such as marine cables, power lines, bridges and buildings. A cylinder in a uniform flow induces unsteady flow, even though the cylinder is in a steady state over a certain range of Reynolds numbers. Unsteady flow for a stationary circular cylinder is observed as

periodic vortex shedding, the so-called von Kármán vortex street, and the Strouhal frequency ( $f_{St}$ ) of vortex shedding is determined by the Reynolds number. Because of this periodic vortex shedding, the oscillatory motion of the cylinder is generated by the vortex-induced force acting on the cylinder. A forced oscillating circular cylinder inherently has two frequency components: one is the applied driving frequency and the other is the Strouhal frequency corresponding to the flow over the circular cylinder. These two frequency components can induce synchronization at a certain oscillating condition. The flow of the circular cylinder undergoing forced oscillation showed features very close to those of a freely oscillating cylinder under matched oscillating parameters with such synchronization (Morse & Williamson 2009; Bearman 2011). Therefore, cylinders undergoing forced oscillation have attracted great interest in terms of the synchronization of fluid and cylinder motion.

Bishop & Hassan (1964) studied the lift force of a flow over a transversely oscillating circular cylinder and summarized its frequency behaviour. When the cylinder oscillated at a driving frequency far from the Strouhal frequency, the lift force was composed of the driving-frequency and Strouhal-frequency components. However, the lift signal was synchronized with the motion of the cylinder as the driving frequency  $(f_d)$  near the Strouhal frequency; therefore, in this case, the lift signal only had a driving-frequency component without a Strouhal-frequency component. This synchronization is also called lock-in because the flow was locked in with the cylinder motion. Many studies on the flow over a transversely oscillating cylinder have focused on the lock-in phenomenon (Koopmann 1967; Anagnostopoulos 2000; Kaiktsis, Triantafyllou & Özbas 2007). These studies commonly showed that a lock-in phenomenon was found in a frequency range close to  $f_d/f_{St} = 1$ , and that this frequency range expanded as the driving amplitude increased. Aerodynamic force in lock-in phenomena for a transversely oscillating cylinder also shows common features. The drag and lift forces show sinusoidal patterns in the lock-in region. The lift force increases in the lock-in region with driving frequency, and the phase between the lift force and cylinder motion shows a sudden change at a critical frequency, which is in the middle of the lock-in frequency range.

Flow over a streamwise oscillating cylinder shows features of lock-in phenomena different from those in the case of a transversely oscillating cylinder. A study on a cylinder freely oscillating simultaneously in the streamwise and transverse directions showed that the oscillating frequency in the streamwise direction is twice that in the transverse direction (Dahl *et al.* 2010). An experiment on flow over cylinders undergoing forced oscillations in the transverse and streamwise directions was performed by Tanida, Okajima & Watanabe (1973) to observe the lift and drag forces. The transversely oscillating cylinder showed lock-in in a frequency range close to  $f_d/f_{St} = 1$  as in previous studies, but the streamwise oscillating cylinder presented lock-in phenomena in a range close to  $f_d/f_{St} = 2$ . Griffin & Ramberg (1976) observed the vortex pattern behind a streamwise oscillating cylinder at various driving amplitudes and frequencies. The lock-in region was found at a driving frequency near  $f_d/f_{St} = 2$ , and the frequency range for the lock-in region was expanded as the driving amplitude increased. This expansion of the frequency range for lock-in was also reported in a numerical study (Al-Mdallal, Lawrence & Kocabiyik 2007).

Ongoren & Rockwell (1988) performed an experiment on flow over a circular cylinder oscillating at an angle  $\alpha$  ( $\alpha = 0^{\circ}$ , 45° and 90°) with a wide range of driving frequencies ( $f_d/f_{St} = 0.5$ –4.0) at a fixed amplitude and Reynolds number. They found two separate lock-in regions in the case of streamwise oscillation ( $\alpha = 0^{\circ}$ ): the first lock-in region occurred at a driving frequency close to  $f_d/f_{St} = 2$ , which

showed a von-Kármán-like asymmetric vortex pattern; and a new lock-in region was found at  $f_d/f_{St} = 3$ , which presented a symmetric vortex pattern. Yokoi & Kamemoto (1994) also observed lock-in phenomena that had symmetric vortex patterns. They investigated the average frequency of vortex shedding, and the ratio of the average vortex shedding frequency to driving frequency was  $n = 0.5, 1, 2, \dots, \infty$  at lock-in. The asymmetric vortex pattern was found at n = 0.5, while the symmetric vortex pattern was formed at n = 1. Xu, Zhou & Wang (2006) also observed such a symmetric vortex pattern through experiments and explained it using the concept of a relative Reynolds number, in which the Reynolds number has a velocity factor defined as the relative velocity between the flow and the moving cylinder. Barbi et al. (1986) experimentally visualized several vortex patterns in order to describe the lock-in phenomena in cylinder wake flows due to streamwise inflow perturbations. They found that a symmetric vortex pattern was observed at the higher values of driving frequency in the corresponding range for lock-in. Symmetric vortex patterns were also found in previous studies related to the responses of cylinder wake flows to streamwise inflow perturbations (Kim, Yoo & Sung 2006; Konstantinidis & Balabani 2007a; Feng & Wang 2010; Konstantinidis & Bouris 2016). An additional lock-in region was found by Cetiner & Rockwell (2001) from an experiment that observed the lift force history for various driving amplitudes and frequencies, and they classified the lift force signals into three categories (non-lock-in, intermittent lock-in and lock-in). The ordinary lock-in region was found over a frequency range close to  $f_d/f_{St} = 2$ , and an additional lock-in region was observed at a driving frequency near  $f_d/f_{St} = 1$  when the cylinder oscillated with a large driving amplitude.

An in-depth study of the flow over a streamwise oscillating cylinder for various Reynolds numbers, driving amplitudes and frequencies was performed by Leontini, Jacono & Thompson (2011, 2013). First, they observed the flow over an oscillating cylinder at  $f_d/f_{St} = 1$  for various amplitudes, and the lift signals of each amplitude were classified as quasi-periodic (QP), chaotic and  $P_n$ , which denotes the completion of a period of vortex shedding during n-cycle cylinder motion, using Poincaré maps. The primary frequency of the quasi-periodic flow spectrum peak was modelled by a power of the driving amplitude (A), and the remaining frequencies of peaks were modelled by  $f_d$ . Furthermore, they observed the relation between the primary frequency  $(f_s)$  of the peak of the quasi-periodic flow spectrum and the  $f_d$  of the cylinder at the lock-in region, which is represented as  $1/(1-f_d/f_s) = n$ , where  $n = 0, 1, 2, ..., \infty$ . Subsequent research considered various Reynolds numbers, driving amplitudes and frequencies as factors impacting the primary frequency of vortex shedding. The primary frequency of vortex shedding at OP is proportional to the driving amplitude, and the proportionality constant showed features different from those for the Reynolds number and driving frequency. Using the relation of vortex shedding frequency with driving amplitude, they presented a threshold amplitude for lock-in and thereby predicted a driving amplitude of  $P_2$ .

Previous studies (e.g. Griffin & Ramberg 1976; Ongoren & Rockwell 1988; Yokoi & Kamemoto 1994; Cetiner & Rockwell 2001; Al-Mdallal 2004; Xu et al. 2006; Al-Mdallal et al. 2007; Leontini et al. 2011, 2013) indicated that there are several lock-in regions, and that these additional lock-in regions are distributed in separate ranges depending on the driving amplitude and frequency. These previous conclusions suggest that investigating a narrow range of driving amplitudes and frequencies may be insufficient for the understanding of the features of lock-in behaviour. Therefore, a comprehensive study of the effects of both the driving amplitude and frequency on the lock-in region is necessary. In this study, two-dimensional flows induced

by an oscillating cylinder placed in the streamwise direction are observed in terms of various driving amplitudes and frequencies. As the baseline flow condition, we consider flows having low or moderate Reynolds numbers (up to Re = 200) for a stationary circular cylinder. It should be noted that a two-dimensional approximation of flows may not be valid for a case involving large-amplitude oscillations. For two-dimensional flow approximations, many researchers (including Blackburn & Henderson 1999; Anagnostopoulos 2000; Al-Mdallal 2004; Al-Mdallal et al. 2007; Kaiktsis et al. 2007; Leontini et al. 2011) have investigated the lock-in phenomenon for flow over an oscillating cylinder at moderate Reynolds numbers (even at Re = 500). However, in this work, we have primarily focused on the effects of driving amplitude and frequency on the lock-in phenomenon for two-dimensional flows over a streamwise oscillating cylinder. A lift coefficient spectrum is used to define the lock-in region, and it is found that there are two types of lock-in phenomena, which are classified according to the frequency of peaks of the lift coefficient spectrum into subharmonic lock-in and harmonic lock-in. Each driving amplitude and frequency that generates lock-in phenomena is marked on the driving amplitude-frequency plane to obtain a lock-in region map, which shows separate lock-in regions categorized as subharmonic or harmonic. The distribution of each lock-in region with respect to the driving amplitude and frequency is observed, and the lower boundary of the subharmonic region is predicted following the model presented by Leontini et al. (2013). Furthermore, the vortex pattern of each region is observed to explain fluid interactions with the cylinder, and these vortex effects in each region are represented on aerodynamic force maps that show the mean and root-mean-square (r.m.s.) values of aerodynamic force on the driving amplitude–frequency plane. The effect of Reynolds number is verified by the lock-in region maps and aerodynamic force maps for Re = 40 and Re = 200, which are compared with the results for Re = 100.

### 2. Governing equations

The two-dimensional incompressible Navier–Stokes and continuity equations in a non-inertial system are used in polar coordinates. The transformation to the non-inertial system produces the inertial force terms in the momentum equation. The governing equations are thus expressed as follows:

$$\frac{\partial u_r}{\partial t} + \frac{\partial u_r u_r}{\partial r} + \frac{u_r u_r - u_\theta u_\theta}{r} + \frac{1}{r} \frac{\partial u_r u_\theta}{\partial \theta} = -\frac{\partial p}{\partial r} + \frac{1}{Re} \left( \nabla^2 u_r - \frac{2}{r^2} \frac{\partial u_\theta}{\partial \theta} - \frac{u_r}{r^2} \right) - a_r, \tag{2.1}$$

$$\frac{\partial u_\theta}{\partial t} + \frac{\partial u_r u_\theta}{\partial r} + 2 \frac{u_r u_r}{r} + \frac{1}{r} \frac{\partial u_\theta u_\theta}{\partial \theta} = -\frac{1}{r} \frac{\partial p}{\partial \theta} + \frac{1}{Re} \left( \nabla^2 u_\theta + \frac{2}{r^2} \frac{\partial u_r}{\partial \theta} - \frac{u_\theta}{r^2} \right) - a_\theta, \tag{2.2}$$

$$\frac{\partial u_r}{\partial r} + \frac{u_r}{r} + \frac{1}{r} \frac{\partial u_\theta}{\partial \theta} = 0.$$

Here,  $u_r$  and  $u_\theta$  are the dimensionless radial and azimuthal velocity components, respectively; p is the dimensionless pressure; and  $a_r$  and  $a_\theta$  are dimensionless radial and azimuthal components of cylinder acceleration ( $a_{cyl}$ ), respectively, which gives the inertial force. The following non-dimensionalization is employed:

$$t = \frac{\hat{t}U_0}{D}, \quad r = \frac{\hat{r}}{D}, \quad u_r = \frac{\hat{u}_r}{U_0}, \quad u_\theta = \frac{\hat{u}_\theta}{U_0}, \quad p = \frac{\hat{p}}{\rho U_0^2}, \quad a_r = \frac{\hat{a}_r D}{U_0^2}, \quad a_\theta = \frac{\hat{a}_\theta D}{U_0^2}.$$
(2.4a-e)

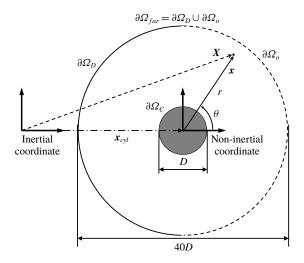


FIGURE 1. Computational domain in the coordinate systems for flow over a streamwise oscillatory circular cylinder.

Here  $U_0$  is the free-stream velocity in the inertial system; D is the diameter of the cylinder;  $\rho$  is the fluid density; and the symbol  $\hat{}$  indicates a dimensional variable. The Reynolds number is  $Re = U_0 D/v$ , where  $U_0$  is the free-stream velocity in the inertial system, D is the diameter of the cylinder and v is the kinematic viscosity. The Laplace operator,  $\nabla^2$ , is

$$\nabla^2 = \frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} + \frac{1}{r^2} \frac{\partial^2}{\partial \theta^2}.$$
 (2.5)

Figure 1 shows the physical model and coordinate systems of the streamwise oscillating cylinder in the free stream. The non-inertial system, which moves with the cylinder, is used to represent the motion of the cylinder, and the non-inertial coordinate is denoted by x. Momentum is conserved in the inertial system X. The non-inertial and inertial systems have the following relationship:

$$X = x + x_{cvl}, (2.6)$$

where  $x_{cyl}$  is the position of the accelerating non-inertial system, which is the same as the cylinder position. In this study, the cylinder has a streamwise forced oscillating motion,

$$x_{cyl} = A\sin(2\pi f_d t), \qquad (2.7)$$

where  $x_{cyl}$  is the streamwise component of  $x_{cyl}$  in Cartesian coordinates, and A and  $f_d$  are the driving amplitude and frequency of the cylinder, respectively. The dimensionless velocity U of a fluid in the inertial system can be expressed as

$$U = u + u_{cyl}, (2.8)$$

where u is the dimensionless velocity in the non-inertial system and  $u_{cyl}$  is the dimensionless velocity of the system, which is the same as that of the cylinder motion:

 $u_{cyl} = U_{cyl}/U_0 \cos(2\pi f_d t)$  and  $v_{cyl} = 0$ , where  $u_{cyl}$  and  $v_{cyl}$  represent streamwise and transverse components of  $u_{cyl}$ , respectively. Note that  $U_{cyl} = 2\pi f_d A$  is the magnitude of the velocity of the cylinder motion. The streamwise and transverse components of the initial velocity field are set to (1,0) in the inertial system, and so the initial velocity field in the non-inertial system can be written as

$$u_r(r,\theta) = (1 - U_{cvl}/U_0)\cos\theta, \quad u_\theta(r,\theta) = -(1 - U_{cvl}/U_0)\sin\theta.$$
 (2.9a,b)

In order to guarantee the divergence-free condition and numerical stability within the computational domain, the outflow boundary condition is modified for numerical simulations on open-bounded domains  $(\Omega)$  with inner boundary  $\partial\Omega_C$  and outer boundary  $\partial\Omega_{far}$ . The velocity on the inner boundary  $\partial\Omega_C$  is prescribed to have a no-slip condition, which implies that  $\mathbf{u}=0$ . We assume  $\partial\Omega_{far}=\partial\Omega_d\cup\partial\Omega_o$ , where  $\partial\Omega_d$  and  $\partial\Omega_o$  represent the inflow and outflow boundaries, respectively. The velocity  $\mathbf{u}$  on  $\partial\Omega_d$  is prescribed as  $\mathbf{u}=\mathbf{U}|_{\partial\Omega_d}-\mathbf{u}_{cyl}$ , where the streamwise and transverse components of  $\mathbf{U}|_{\partial\Omega_d}$  are  $\mathbf{U}|_{\partial\Omega_d}=1$  and  $\mathbf{V}|_{\partial\Omega_d}=0$ , respectively, whereas  $\mathbf{u}$  is unknown on  $\partial\Omega_o$ . To ensure that the emission of the energy influx is in the domain, we use a convective boundary condition at the open boundary of the domain  $(\partial\Omega_o)$ , which gives

$$\frac{\partial U}{\partial t} + c \frac{\partial U}{\partial X} = 0, \tag{2.10}$$

where U is the velocity of a fluid in the inertial system,  $\partial/\partial X$  is a partial differential in the free-stream direction and c is the space-averaged exit velocity.

#### 3. Numerical method

#### 3.1. Projection method

The governing equations in polar coordinates have coupled velocity components not only in the convective terms but also in the diffusion terms. The velocity-components decoupled projection method (Kim, Baek & Sung 2002; Pan et al. 2016) is used to avoid an iterative procedure in solving the governing equations. The Crank–Nicolson scheme is applied to the governing equations in time so that they are implicitly discretized. A staggered MAC (marker and cell) mesh, in which the pressure and velocities are placed at the centres and interfaces of cells, respectively, is used for spatial discretization, and the second-order central finite difference scheme is applied in space. The discrete form of the governing equations can be expressed as

$$\frac{u^{n+1} - u^n}{\Delta t} + Nu^{n+1} = -Gp^{n+1/2} + \frac{1}{2Re}(Lu^{n+1} + Lu^n) - F,$$
(3.1)

$$\mathbf{D}u^{n+1} = 0, (3.2)$$

where discrete operators G, L and D are the gradient, Laplacian and divergence operators, respectively, and F is the inertial force vector. The discrete operator N is a linearized convective operator, where the nonlinear convective term is linearized with second-order temporal accuracy. Here,  $\Delta t$  is the discretized time step, and the superscript n represents the nth time step. The discretized equations can be represented in matrix form as follows:

$$\begin{pmatrix} \mathbf{A} & \mathbf{G} \\ \mathbf{D} & 0 \end{pmatrix} \begin{pmatrix} \mathbf{u}^{n+1} \\ \delta p \end{pmatrix} = \begin{pmatrix} \mathbf{R} \\ 0 \end{pmatrix}, \tag{3.3}$$

where

$$\mathbf{A} = \frac{1}{\Delta t} \left[ \mathbf{I} + \Delta t \left( \mathbf{N} - \frac{1}{2Re} \mathbf{L} \right) \right], \tag{3.4}$$

$$\mathbf{R} = \frac{1}{\Delta t} \mathbf{u}^n - \mathbf{G} p^{n-1/2} + \frac{1}{2Re} \mathbf{L} \mathbf{u}^n - \mathbf{F},$$
 (3.5)

$$\delta p = p^{1+1/2} - p^{1-1/2}. (3.6)$$

The velocity vector and pressure are decoupled based on an approximate block LU decomposition. The procedure of velocity-pressure decoupling can be simplified as follows:

$$\mathbf{A}\mathbf{u}^* = \mathbf{R},\tag{3.7}$$

$$\Delta t \mathbf{DG} \delta p = \mathbf{D} u^*, \tag{3.8}$$

$$\boldsymbol{u}^{n+1} = \boldsymbol{u}^* - \Delta t \boldsymbol{G} \delta p, \tag{3.9}$$

$$p^{1+1/2} = p^{1-1/2} + \delta p, \tag{3.10}$$

where  $u^*$  is the intermediate velocity of  $u^{n+1}$ . The operator **A** in (3.7) is expressed as

$$\mathbf{A} = \frac{1}{\Delta t} \begin{pmatrix} \mathbf{I} + \Delta t \mathbf{M}_{11} & \Delta t \mathbf{M}_{12} \\ \Delta t \mathbf{M}_{21} & \mathbf{I} + \Delta t \mathbf{M}_{22} \end{pmatrix}, \tag{3.11}$$

where  $\mathbf{M}_{ij}$  is a submatrix  $\mathbf{M} = \mathbf{N} - (1/(2Re))\mathbf{L}$  in which the off-diagonal matrix  $\mathbf{M}$  is non-zero because of coupled velocity components not only in convection terms but also in the diffusion terms in polar coordinates. By applying block LU decomposition along with approximate factorization, velocity components are decoupled, and the intermediate velocity can be calculated in the following step using new variables  $\delta u^{**}$  without iteration:

$$\frac{1}{\Delta t}(I + \Delta t \mathbf{M}_{11})\delta u_1^{**} = R_1^*, \tag{3.12}$$

$$\frac{1}{\Delta t}(\mathbf{I} + \Delta t \mathbf{M}_{22})\delta u_2^{**} = R_2^* - \mathbf{M}_{21}u_1^{**}, \tag{3.13}$$

$$\delta u_2^* = \delta u_2^{**},\tag{3.14}$$

$$\delta u_1^* = \delta u_1^{**} - \Delta t \mathbf{M}_{12} u_2^*, \tag{3.15}$$

where  $\mathbf{R}^* = -\mathbf{A}\mathbf{u}^n + \mathbf{R}$ .

## 3.2. Poisson equation solver

The Poisson equation (3.8) needs to be solved at every time step to project the velocity field onto a divergence-free space. For simplicity, our equation (3.8) can be replaced by the following simple form:

$$\mathbf{L}\delta p = R_p. \tag{3.16}$$

Here,  $\mathbf{L} = \mathbf{DG}$  is the Laplacian operator,  $\delta p$  is the pressure increment and  $R_p$  is the right-hand side of the Poisson equation (3.8). Owing to the non-local nature of its solution, this elliptic system is one of the most time-consuming parts of the computation. In order to reduce the computational time, a direct Poisson solver is

used: a restricted Poisson solver for problems with one uniform periodic direction based on the Fourier diagonalization technique along the periodic direction. When we apply the Fourier transform to  $\boldsymbol{L}$ , the Laplacian operator in the spectral space,  $\hat{\boldsymbol{L}}$ , is obtained. Note that the Fourier-transformed  $\theta$ -directional differential operator in  $\hat{\boldsymbol{L}}$  only has  $\lambda_k$  on its diagonal, which is given by

$$\lambda_k = -\frac{1}{\pi} \left( 1 - \cos\left(\frac{2\pi k}{N_\theta}\right) \right) \quad \text{for } k = 0, 1, \dots, N_\theta/2, \tag{3.17}$$

where  $N_{\theta}$  is the number of grid points in the azimuthal direction. Therefore, the original system in (3.16) is decomposed into a set of mutually independent one-dimensional systems  $\hat{L}\hat{\delta p} = \hat{R_p}$  where the superscript indicates Fourier-transformed variables. In summary, we solve the Poisson equation by using the following procedure.

- (i) Apply Fourier transform to the right-hand side  $R_p$ :  $\hat{R_p} = \mathcal{F}(R_p)$ .
- (ii) Solve the transformed one-dimensional systems:  $\hat{L}\delta \hat{p} = \hat{R}_p$ .
- (iii) Apply inverse Fourier transform to the solution:  $\delta p = \mathcal{F}^*(\hat{\delta p})$ .

Here  $\mathcal{F}$  and  $\mathcal{F}^*$  are the Fourier transform and its inverse, respectively.

## 3.3. Details of numerical simulations

We can prescribe the outflow boundary condition (2.10) while controlling energy growth in the domain as follows:

$$U^{n+1} = U^n - c\Delta t \frac{\partial U^n}{\partial X}.$$
 (3.18)

However, this boundary condition fails to guarantee the divergence-free condition of the velocity in the domain; therefore, an additional mass-correction process is needed. It is clear from the continuity equation (2.3) that we have  $\int_{\Omega} \nabla \cdot \boldsymbol{U}^{n+1} = 0$ , and this condition should also be satisfied in the inertial system. The divergence theorem allows us to rewrite this equation as

$$\int_{\Omega} \nabla \cdot \boldsymbol{U}^{n+1} = \int_{\partial \Omega_d} \boldsymbol{U}^{n+1} \cdot \boldsymbol{n} + \int_{\partial \Omega_o} \boldsymbol{U}^{n+1} \cdot \boldsymbol{n}, \qquad (3.19)$$

where  $\partial \Omega_d$  is the inflow boundary. By introducing a mass-correction procedure, a convective boundary condition in the far field is used to maintain energy and conserve mass in the computational domain. The mass-correction process is as follows:

(i) Calculate an intermediate velocity at the open boundary  $\partial \Omega_o$ ,

$$\widetilde{U}\big|_{\partial\Omega_o} = U^n\big|_{\partial\Omega_o} - c\Delta t \frac{\partial U^n}{\partial X}\bigg|_{\partial\Omega_o}.$$
 (3.20)

(ii) Define a correction factor  $\alpha$ ,

$$\alpha = -\frac{\int_{\partial \Omega_d} \mathbf{U}^{n+1} \cdot \mathbf{n}}{\int_{\partial \Omega_o} \widetilde{\mathbf{U}} \cdot \mathbf{n}}.$$
 (3.21)

(iii) Obtain the corrected velocity at the open boundary  $\partial \Omega_o$ ,

$$U^{n+1}\big|_{\partial\Omega_o} = \alpha \widetilde{U}\big|_{\partial\Omega_o}. \tag{3.22}$$

The numbers of grid points in the radial and circumferential directions are set to 256. As a baseline grid, a non-uniform grid is used in the radial direction, whereas a uniform grid with  $\Delta\theta = 2\pi/256$  is used in the circumferential direction. The minimum and maximum grid spacings in the radial direction are chosen as  $\Delta r_{min} = 0.0016$  and  $\Delta r_{max} = 0.2$ , respectively, after a preliminary study on grid resolution, the details of which are described in appendix A, was carried out.

For a reliable simulation, we carefully select the computational time step  $\Delta t$ , considering the Courant–Friedrichs–Lewy number (CFL) condition, the driving frequency  $f_d$  and the Strouhal frequency for the stationary cylinder  $f_{St}$ . The time step used here in the present study is defined as

$$\Delta t = \min\left(\frac{\Delta r_{min}}{U_0 + U_{cyl}}, \frac{1}{32 \cdot 2\pi \max(f_d, f_{St})}\right). \tag{3.23}$$

Here,  $CFL = (U_0 + U_{cyl}) \Delta t / \Delta r_{min}$  based on the relative speed due to the cylinder motion. Although the present numerical method is based on the implicit procedure with the linearization of the convection term, we choose a sufficiently small time step, satisfying  $CFL \leq 1$ . In addition,  $\Delta t$  is restricted to provide more than 32 time steps for resolving the motion of the oscillating cylinder as well as the dynamic patterns of wake flows. Because the motion was initiated impulsively, the Navier–Stokes equations exhibit a singularity at the initial state. We found that this singularity disappears as the time step progresses. Thus, we analysed simulation results after a transient period (at approximately  $t \geq 400$ ).

#### 3.4. Validation

The computation results are validated using the results of 2D flow over a stationary circular cylinder at Re=40, 100 and 200. The left side of table 1 presents drag coefficients, reattachment lengths (L/D) and separation angles  $(\theta)$  for the present calculation at Re=40 compared with values from the literature, and the right side of table 1 shows drag and lift coefficients  $(C_D \text{ and } C_L \text{, respectively})$  and Strouhal number  $(St=f_{St}D/U_0)$  for comparison at Re=100 and 200. The formulae of  $C_D$  and  $C_L$  are given in appendix B. Note that  $C_D$  and  $C_L$  are represented as  $a\pm b$  with a mean value of a and a maximum deviation of b. The present calculation accurately predicts steady and unsteady characteristics, such as shedding frequency and the oscillations of drag and lift coefficients for Re=40, 100 and 200.

Next, an oscillating cylinder in a fluid at rest is considered for validating the present calculation for a moving cylinder. The two characteristic parameters are chosen as the Reynolds number, Re, which is 100, and the Keulegan–Carpenter number, KC, which is 5, in order to compare the present results with the experimental data of Dütsch et~al.~(1998). The translational motion X(t) is given by  $X(t) = A \sin(2\pi f_d t)$ . Here, the Reynolds number is defined as  $Re = U_{max}D/\nu$ , where  $U_{max} = 2\pi f_d A$  is the maximum velocity of the cylinder, and KC is defined as  $KC = U_{max}/(f_d D)$ , where  $f_d$  is the oscillation driving frequency. Figure 2 shows streamwise  $(U_x)$  and transverse  $(U_y)$  velocity profiles along the direction for three different cylinder phases  $(\phi = 180^{\circ}, 210^{\circ})$  and  $(330^{\circ})$  at (X/D) = -0.6, 0.0, 0.6 and (1.2), where (1.2)0 is represented as

St					0.192	0.196	0.197
$C_L$		I	$\pm 0.75$		$\pm 0.69$	$\pm 0.66$	$\pm 0.70$
$C_D$		1	$1.40 \pm 0.05$	1	$1.31 \pm 0.05$	$1.32 \pm 0.01$	$1.35 \pm 0.05$
St				0.163	0.164	0.165	0.166
$C_L$			$\pm 0.25$	$\pm 0.33$	$\pm 0.34$	$\pm 0.30$	$\pm 0.34$
$C_D$		$1.06 \pm 0.01$	$1.36 \pm 0.02$	$1.33 \pm 0.01$	$1.35 \pm 0.01$	$1.31 \pm 0.01$	$1.35 \pm 0.01$
T/D		2.24	2.00	2.25			2.22
$C_D$		1.50	1.56	1.51			1.54
	Wu et al. (2004)		finh (1986)	(8661	(8661		
	$C_D \qquad C_L \qquad St$	$C_D$ $L/D$ $\theta$ (deg.) $C_D$ $C_L$ $St$ $ -$ 53.8 $   -$	$C_{D} = L/D  \theta \text{ (deg.)} \qquad C_{D} \qquad C_{L} \qquad St$ $- \qquad - \qquad 53.8 \qquad - \qquad $	$C_{D}$ $L/D$ $\theta$ (deg.) $C_{D}$ $C_{L}$ $St$ $C_{D}$ $C_{L}$ $St$ (inh (1986) 1.56 2.00 55.0 1.36 $\pm$ 0.02 $\pm$ 0.25 $-$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$

TABLE 1. Drag coefficients, lift coefficients and Strouhal number for flow over a stationary circular cylinder at different Reynolds numbers.

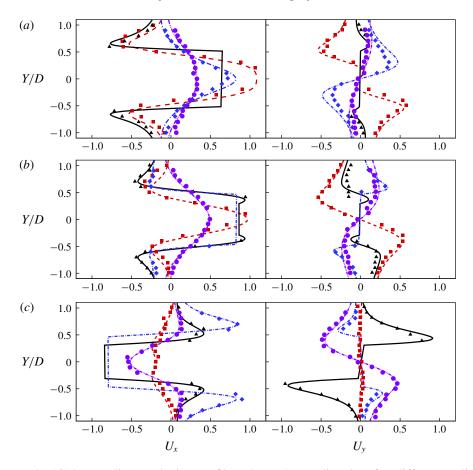


FIGURE 2. (Colour online) Velocity profiles along the X direction for different cylinder phases of (a)  $\phi=180^\circ$ , (b)  $\phi=210^\circ$  and (c)  $\phi=330^\circ$  at X locations of X/D=-0.6 (red), 0.0 (black), 0.6 (blue) and 1.2 (violet), where X and Y represent the transverse and streamwise components of the inertial coordinate (X), respectively. Solid curves show the present velocity results, while the experimental results of Dütsch  $et\ al.$  (1998) are marked as symbols.

 $0 \le \phi(t) = 2\pi f_d(t - t_0) \le 2\pi$ . Note that  $t_0$  represents an offset time used to set when the centre of the cylinder is located at  $x_{cyl} = 0$ . Figure 3 shows a comparison of time variations of the drag coefficient for an oscillating cylinder in a fluid at rest with those reported by Dütsch *et al.* (1998). Overall, the present numerical results are in good agreement with those of Dütsch *et al.* (1998) although the local extremum is slightly underpredicted compared to the corresponding values of Dütsch *et al.* (1998).

We have performed numerical simulations of flow over a streamwise oscillating cylinder at Re = 200, considering two oscillation conditions  $(A/D = 0.1, f_d/f_{St} = 1.95$  and  $A/D = 0.3, f_d/f_{St} = 2.0)$  at Re = 200, under which the corresponding flow patterns show subharmonic lock-in and symmetric harmonic lock-in regions, respectively. Figure 4 indicates that the present results show qualitatively good agreement with those of Al-Mdallal *et al.* (2007).

The present numerical method is validated by carrying out simulations of flow over a streamwise oscillating circular cylinder, by referring to the results of Leontini et al.

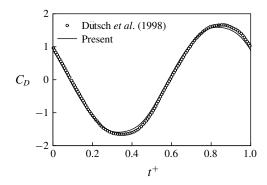


FIGURE 3. Drag coefficient with time variation for the oscillating cylinder. The curve and various symbols represent the present numerical results and the experimental results of Dütsch *et al.* (1998), respectively.

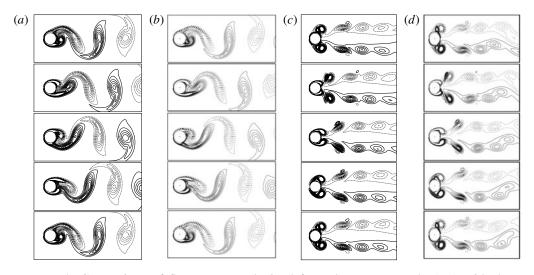


FIGURE 4. Comparison of flow patterns obtained from the present study (a,c) with those from Al-Mdallal *et al.* (2007) (b,d) for a streamwise oscillatory cylinder at Re = 200. The oscillation conditions are A/D = 0.1,  $f_d/f_{St} = 1.95$  for (a,b) and A/D = 0.3,  $f_d/f_{St} = 2.0$  for (c,d), respectively.

(2013) at Re = 175. Figure 5(a,b) shows the mean of drag coefficient  $\langle C_D \rangle_{mean}$ , and r.m.s. of lift coefficient  $\langle C_L \rangle_{rms}$ , for various driving amplitudes at the fixed driving frequency of  $f_d/f_{St} = 1$ , respectively. The results obtained from the present simulations are in good agreement with those of Leontini *et al.* (2013), which confirms that the present method provides accurate predictions of the aerodynamic forces acting on a moving cylinder.

#### 4. Results

# 4.1. Lock-in region

The majority of previous studies on flows over oscillating cylinders have investigated the lock-in phenomenon. For transverse oscillations, Bishop & Hassan (1964) reported

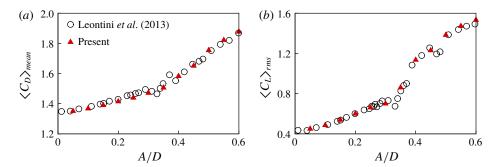


FIGURE 5. (Colour online) Comparison of the present results for (a) the mean drag coefficient and (b) r.m.s. lift coefficient on the streamwise oscillating circular cylinder with different driving amplitudes A/D and  $f_d/f_{St} = 1$  at Re = 175 with those of Leontini et al. (2013).

a lock-in phenomenon in which the system of the cylinder and its wake became synchronized at the driving frequency of the cylinder only. In this case, the lock-in signals of the lift coefficient are sinusoidal, and corresponding spectra are composed of a peak. In the case of a cylinder oscillating streamwise, on the other hand, Karanth, Rankin & Sridhar (1995) observed a non-sinusoidal lift coefficient even in lock-in. Cetiner & Rockwell (2001) and Al-Mdallal *et al.* (2007) used Lissajous patterns of lift-coefficient history to analyse the lock-in phenomenon, and Leontini *et al.* (2011, 2013) used primary frequency and Poincaré sections for analysing the lock-in phenomenon. In this study, lock-in phenomena are analysed using the spectra of lift coefficients in which spectral peaks sharply converged to frequencies that are explicit in the driving frequency,  $f_d$ .

Before proceeding further with the spectral analysis, we first investigate temporal oscillation patterns of the lift coefficient for the streamwise oscillating cylinder. The lift coefficient in figure 6(a) shows a predominant mode with very low amplitude after a short-time initial transition. From figure 6(c), a large amplitude of lift oscillations is observed in the predominant mode after the initial transition. In contrast, figure 6(b)shows a lift coefficient with a low amplitude in a long-time initial transition (see the inset of figure 6b), whereas the predominant mode after the transition has a lift coefficient with a high amplitude in figure 6(d). Note that the transition time in figure 6(b) is more than four times longer than that in figure 6(a). The long-time initial transition at the same oscillation condition was observed in several previous studies, which is called a mode competition. Ongoren & Rockwell (1988) and Konstantinidis, Balabani & Yianneskis (2007) reported vortex shedding mode competition, which involves competition between symmetrical and antisymmetrical vortex shedding modes. Cetiner & Rockwell (2001) found the generation of mode competition by observing the time history of the lift coefficient at  $f_d/f_0 = 1$ , which was related to a process of nonlinear bifurcation that yields two branches of solutions. In this study, lock-in phenomena are analysed after lift coefficients reach their predominant modes.

Figure 7 represents the spectra of lift coefficients. The x-axis  $(f/f_d)$  is the spectrum frequency normalized by the driving frequency, and the y-axis  $(C_L^*)$  is the spectrum density of the lift coefficient, which is normalized by its maximum peak value. Figure 7(a,b) shows that the spectral peaks are observed in the frequency where it is not related to the driving frequency. Figure 7(c,d), on the other hand, shows that the spectral peaks sharply converge to the driving frequency, indicating that

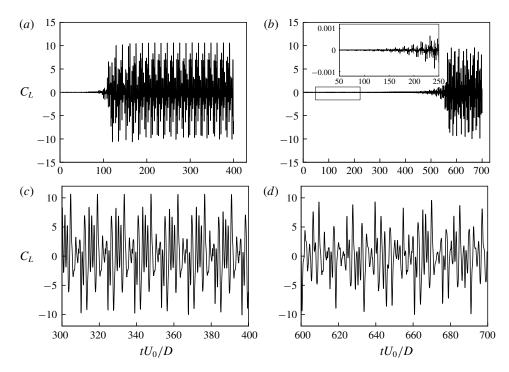


FIGURE 6. Time history of the lift coefficient: (a) A/D = 0.85 and  $f_d/f_{St} = 2.1$ , and (b) A/D = 0.85 and  $f_d/f_{St} = 2.0$ . These show an example of the comparison between histories of short-time and long-time initial transition lift coefficients. The lift coefficient after initial transient periods: (c) and (d) for (a) and (b), respectively.

the frequency components of the lift coefficient are represented without the natural Strouhal frequency. In this study, these phenomena are defined as lock-in. Furthermore, Figure 7(c,d) illustrates that there are two different kinds of features in lock-in phenomena: (c) one is that the spectral peaks are at  $f/f_d = 1/2 + n$ , and (d) the other is the occurrence of peaks at  $f/f_d = 1 + n$ , where  $n = 0, 1, 2, \ldots, \infty$ . These are classified as (c) subharmonic lock-in and (d) harmonic lock-in, respectively.

Figure 8 shows Lissajous patterns for different driving frequencies  $f_d/f_{St} = 0.5, 2.5, 1.2$ , and 0.8 with the driving amplitude A/D = 0.8. Note that the four cases are the same as in figure 7 for lock-in classification with spectral density analysis. The Lissajous patterns in figure 8(a,b) show that the phases are unlocked; where the cases are classified as being in non-lock-in mode on the basis of the spectral density analysis. In contrast, a phase lock is clearly observed in the Lissajous patterns, as shown in figure 8(c,d), where the cases (c) and (d) are classified as demonstrating subharmonic lock-in and harmonic lock-in, respectively. This confirms that the lock-in classification based on the spectral density analysis is consistent with that from Lissajous patterns.

The spectra of lift coefficients show that lock-in phenomena are distributed over a range of driving frequencies and amplitudes, which is called the lock-in region. Leontini *et al.* (2011) presented the variation of the frequency content of the lift force with respect to the driving amplitude, which indicates that the spectral peaks are sharply converged when the cylinder oscillates with a certain driving amplitude range and that these series of frequency peaks are located at the driving frequency.

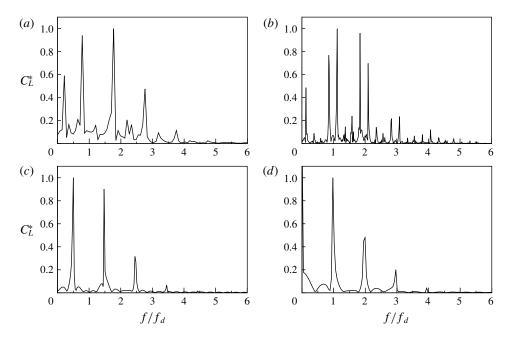


FIGURE 7. Spectra showing time histories of the lift coefficient: (a) non-lock-in case (low frequency) with A/D = 0.8 and  $f_d/f_{St} = 0.5$ ; (b) non-lock-in case (high frequency) with A/D = 0.8 and  $f_d/f_{St} = 2.5$ ; (c) subharmonic lock-in case with A/D = 0.8 and  $f_d/f_{St} = 1.2$ ; (d) harmonic lock-in case with A/D = 0.8 and  $f_d/f_{St} = 0.8$ .

In the present study, figure 9 shows the change of the spectrum of lift force with respect to the driving frequency. The *y*-axis of the figure represents the spectrum frequency normalized by the Strouhal frequency, and the *x*-axis represents the driving frequency. The magnitude of the spectra is represented in greyscale, and the spectral peaks appear as dark-grey curves. There are two separate regions where the spectral peaks are sharply converged to the frequency; these are shown as linear regions with respect to the driving frequency. Furthermore, these two regions have different slopes for linear peak lines. The line in the high-frequency region, which involves harmonic lock-in, are steeper than those in other regions, which are subharmonic. It is proposed that there are different kinds of lock-in regions, i.e. subharmonic lock-in regions and harmonic lock-in regions, which are characterized by the positions of the spectral peaks.

The lock-in regions with respect to various driving amplitudes and frequencies at Re = 100 are presented as a map in figure 10. The x- and y-axes represent driving frequency and amplitude, respectively. The axes are normalized by the Strouhal frequency and the diameter of the cylinder, respectively. The driving frequency was changed from  $f_d/f_{St} = 0.05$  to 3.0, and the driving amplitude was changed from A/D = 0.05 to 2.0 with an interval of 0.05. The oscillation cases that show lock-in behaviour are marked on the map as symbols: circles for subharmonic lock-in and triangles for harmonic lock-in. Driving forces acting on the cylinder with large amplitude and frequency induce the chaotic wake patterns behind the cylinder. Owing to highly irregular wake patterns, the two-dimensional flow assumption cannot hold for strong oscillation cases with a large amplitude and high frequency. In addition, simulations of such cases require a much smaller time step to avoid numerical

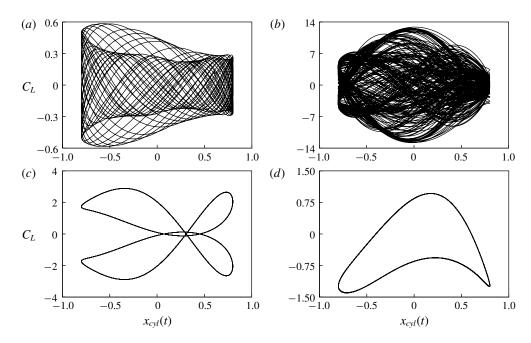


FIGURE 8. Lissajous patterns: (a) non-lock-in case (low frequency) with A/D=0.8 and  $f/f_d=0.5$ ; (b) non-lock-in case (high frequency) with A/D=0.8 and  $f/f_d=2.5$ ; (c) subharmonic lock-in case with A/D=0.8 and  $f/f_d=1.2$ ; (d) harmonic lock-in case with A/D=0.8 and  $f/f_d=0.8$ .

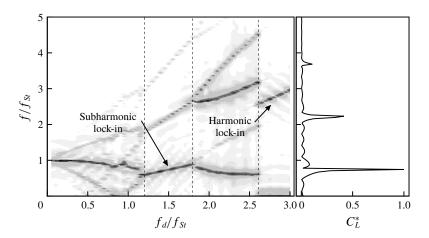


FIGURE 9. Frequency content of the lift force signal as a function of  $f_d$  at A/D=0.4. The greyscale contour plot represents the spectrum amplitude  $(C_L^*)$ , while the line plot indicates  $C_L^*$  at  $f_d/f_{St}=1.5$ .

instability, leading to a huge computational cost. Therefore, simulation cases having  $U_{cvl}/U_0 \le 2.5$  are considered in the present study.

The lower boundary of the subharmonic lock-in region is found at a larger driving amplitude when the driving frequency is shifted to a lower frequency from  $f_d/f_{St} = 2$ . This feature of lock-in threshold amplitude was observed in previous

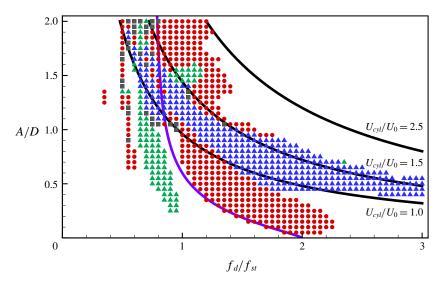


FIGURE 10. (Colour online) Lock-in region map showing the driving amplitude versus the driving frequency at Re=100. Subharmonic and harmonic lock-in cases are marked by circles and triangles, respectively. In addition, green and blue colours indicate non-symmetric and symmetric vortex shedding cases, respectively. Ambiguous lock-in regions are marked by grey-coloured squares. The black curves represent  $U_{cyl}/U_0=1.0,\ 1.5,\$ and 2.5. The violet curve indicates the lower bound of the driving amplitude at a given frequency for lock-in in Leontini *et al.* (2013).

studies (Griffin & Ramberg 1976; Hall & Griffin 1993; Konstantinidis, Balabani & Yianneskis 2003). Leontini et al. (2013) observed quasi-periodicity (QP) in the non-lock-in region and modelled the vortex shedding frequency  $(f_s)$  by a power law of the driving amplitude with computational data. Further, they proposed a threshold amplitude parametrized by the driving frequency  $(f_d)$ , where the vortex completed its own period during two cycles of the cylinder, by using the relation between  $f_d$  and  $f_s$  in the lock-in region. It is worth noting that the lower boundary of the subharmonic lock-in region observed in this study coincides with the threshold amplitude (Leontini et al. 2013) marked on the map as a solid line. The upper boundary of the subharmonic region is found at a lower driving frequency for a moderate driving amplitude  $(0.3 \le A/D \le 1)$  as the amplitude increases. However, the upper boundary shifts towards a higher driving frequency only when the cylinder oscillates with a small driving amplitude  $(A/D \le 0.3)$ . The characteristics of the upper boundary for a small driving amplitude were also found in Griffin & Ramberg (1976) and Al-Mdallal et al. (2007). For a large driving amplitude  $(A/D \ge 1)$ , the subharmonic region exists when  $f_d/f_{St} \leq 1.5$ . The lower boundary of the subharmonic region seems to follow the curve of  $U_{cvl}/U_0 = 1.5$  for a large driving amplitude.

The harmonic region marked with blue triangles on the map is a noticeable region called the symmetric harmonic region, where the flow shows symmetric vortex shedding. This has been observed in various previous studies (Ongoren & Rockwell 1988; Konstantinidis, Balabani & Yianneskis 2005; Nishihara, Kaneko & Watanabe 2005). Interestingly, the symmetric harmonic region is located between two black curves that represent  $U_{cyl}/U_0 = 1$  and 1.5. It is observed that a pair of counter-rotating vortices are symmetrically formed behind the cylinder moving upstream, while the

strength of the vortices is weakened and no additional vortices are formed behind the cylinder moving downstream. This may be explained by the fact that a low relative speed of the cylinder moving downstream weakens inertial effects on flows behind the cylinder, which enhances the symmetric pattern of the vortices.

The harmonic lock-in region having an asymmetric vortex shedding, the so-called non-symmetric harmonic region, is marked by green triangles on the map. This region is found at  $0.6 \le f_d/f_{St} \le 1$  for a moderate driving amplitude, and at approximately  $f_d/f_{St} = 1$  for a large driving amplitude  $(A/D \approx 1.5)$ . Similar to Leontini *et al.* (2013), it is observed that the upper and lower vortices behind the oscillating cylinder are asymmetric and imbalanced.

# 4.2. Flow structures and aerodynamic coefficients

The wake patterns induced by the oscillating cylinder under various conditions of driving amplitude and frequency are classified according to the distinct regions. Figure 11 shows snapshots of the vorticity contour during two periods of cylinder oscillation, at quarter-period intervals, along with time histories of the lift coefficient with the cylinder position, where  $t^+ = (t - t_0)U_0/D$ . Note that  $t_0$  is an offset time used to define the time at which the centre of the cylinder is located at  $x_{cyl} = 0$  after wake flows become quasi-periodic. The snapshots represent the wake patterns of the subharmonic lock-in region (a), two harmonic lock-in regions (b) and (c), and non-lock-in regions (d) and (e).

Flow structures for the subharmonic lock-in case are shown in figure 11(a). The cylinder moving downstream ( $t^+ = 0$ –2.01) induces an anticlockwise (ACW) rotating vortex behind the cylinder. When the cylinder moves upstream ( $t^+ = 1.01-3.02$ ), the vortex is convected downstream, and another ACW rotating vortex is formed behind the cylinder. At the end of the first oscillation period of the cylinder ( $t^+ = 4.02$ ), two vortices are merged and start to detach from the cylinder ( $t^+ = 5.03$ ). In the second period ( $t^+ = 4.02 - 7.04$ ), clockwise (CW) rotating vortices are formed behind the cylinder, convected downstream, and merged before detaching from the cylinder, similar to the behaviour of flow structures in the first period. These alternating CW and ACW rotating vortex-shedding patterns were frequently reported by previous studies (Griffin & Ramberg 1976; Ongoren & Rockwell 1988; Al-Mdallal et al. 2007; Perdikaris, Kaiktsis & Triantafyllou 2009; Leontini et al. 2013). The vortex shedding in subharmonic regions is synchronized with two cycles of cylinder motion (Ongoren & Rockwell 1988; Al-Mdallal et al. 2007; Perdikaris et al. 2009). The lift coefficient has a positive or negative peak when the cylinder motion changes from the upstream to downstream direction and vice versa ( $t^+ = 1.01$ , 3.02, 5.03 and 7.04). The lift coefficient is negative in the first period, in which the cylinder moved from the zero position to the upstream ( $t^+ = 2.01$ ), and it becomes positive in the next period  $(t^+ = 6.04)$ . This confirms that the lift coefficient is synchronized with two cycles of cylinder motion, similar to the vortex shedding.

Figure 11(b) shows the behaviour of wake flows in the non-symmetric harmonic region. A CW rotating vortex is formed behind the cylinder moving downstream  $(t^+ = 0)$ , and it pushes an existing ACW rotating vortex downstream  $(t^+ = 1.68)$ . When the cylinder moves upstream  $(t^+ = 3.35)$ , the pair of counter-rotating vortices is convected further downstream, and another pair of counter-rotating vortices is newly formed behind the cylinder  $(t^+ = 5.03)$ . As the cylinder moves downstream  $(t^+ = 6.71)$ , the new ACW rotating vortex remains near the cylinder, while the CW rotating vortex starts to detach from the cylinder. Within a cycle of the cylinder

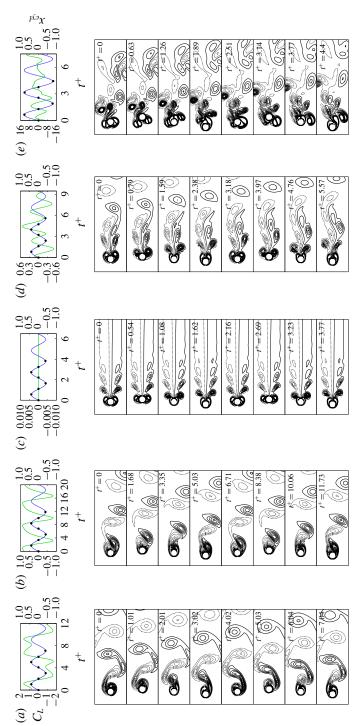


FIGURE 11. (Colour online) Time histories of lift coefficient and cylinder position, and snapshots of vorticity for each region during two periods of cylinder motion at Re = 100, where  $t^+ = (t - t_0)U_0/D$ , and  $t_0$  represents an offset time. (a) Subharmonic lock-in region  $(A/D = t_0)U_0/D$  $f_d/f_{St} = 1.5$ ); (b) non-symmetric harmonic lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (c) symmetric harmonic lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (c) symmetric harmonic lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (c) symmetric harmonic lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (d) symmetric harmonic lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (e) symmetric harmonic lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (e) symmetric harmonic lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (f) symmetric harmonic lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (e) symmetric harmonic lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (f) symmetric harmonic lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (f) symmetric harmonic lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (f) symmetric harmonic lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (e) symmetric harmonic lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (f) symmetric harmonic lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (f) symmetric harmonic lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (f) symmetric harmonic lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (f) symmetric harmonic lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (g) symmetric lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (g) symmetric lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (g) symmetric lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (g) symmetric lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (g) symmetric lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (g) symmetric lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (g) symmetric lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (g) symmetric lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (g) symmetric lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (g) symmetric lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (g) symmetric lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (g) symmetric lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (g) symmetric lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (g) sym 0.4,  $f_d/f_{Sl} = 2.8$ ); (d) non-lock-in region  $(A/D = 0.4, f_d/f_{Sl} = 1.9)$ ; and (e) non-lock-in region  $(A/D = 0.9, f_d/f_{Sl} = 2.4)$ . Solid and dashed lines represent anticlockwise and clockwise rotating vorticity, respectively. The minimum and maximum values of contour lines are -5 and +5, respectively, with 20 levels. 0.4,

oscillation, the dynamic pattern of the vortices is completed in the non-symmetric harmonic case. It should be noted that counter-rotating vortices are not symmetrically formed behind the cylinder and the convective direction of the vortex pair is not aligned with the horizontal centreline. Similar to the flow pattern, the lift coefficient is also completed within one cycle of the cylinder oscillation. While the cylinder is moving upstream, the lift coefficient is reduced from the maximum value to the minimum value ( $t^+ = 1.68-6.71$ ) and then back to a positive value ( $t^+ = 6.71-8.38$ ). As the cylinder moves downstream ( $t^+ = 8.38-11.73$ ), the lift coefficient continues to increase while maintaining a positive value. Therefore, the negative and positive lift coefficients are imbalanced during one cycle of the cylinder oscillation, leading to a non-zero mean lift coefficient. This is mainly due to non-symmetric counter-rotating vortices. Similar vortex patterns were reported in Leontini *et al.* (2013), where the oscillation case was A/D = 0.4,  $f_d/f_{St} = 0.8$  at Re = 175.

Figure 11(c) shows a symmetric vortex pattern in the symmetric harmonic region, which was observed in previous studies (Ongoren & Rockwell 1988; Kim *et al.* 2006; Konstantinidis & Balabani 2007a; Marzouk & Nayfeh 2009; Feng & Wang 2010; Leontini *et al.* 2013; Konstantinidis & Bouris 2016). As the cylinder moves downstream ( $t^+ = 0$ –0.54), two pairs of vortices are formed near the cylinder, where CW and ACW rotating vortices are dominant above and below the cylinder, respectively. The cylinder moving upstream ( $t^+ = 1.08$ ) pushes the two pairs of vortices downstream, while ACW and CW rotating vortices are weakened and disappear above and below the horizontal centreline, respectively. Moreover, the upper CW and lower ACW rotating vortices are eventually detached from the cylinder while keeping the symmetric pattern ( $t^+ = 1.62$ ). As time progresses, two pairs of vortices are newly formed immediately above and below the cylinder ( $t^+ = 2.16$ ). One cycle of vortex shedding is completed in a period of cylinder oscillation in the symmetric harmonic region. The symmetric vortex shedding is maintained during the entire oscillation period, which leads to a lift coefficient of zero.

Figure 11(d) shows flow patterns in the non-lock-in region. When the cylinder moves downstream ( $t^+ = 0$ –0.79), there are two strong slightly asymmetric vortices that are CW and ACW rotating vortices above and below the cylinder, respectively, unlike those in the harmonic lock-in region. As the cylinder moves upstream  $(t^{+}=1.59)$ , the two vortices are convected downstream and become more asymmetric. Two elongated large counter-rotating vortices are found near the wake region, while alternating CW and ACW rotating vortices are observed far from the wake region. The vortex shedding cycle is incomplete during two periods of cylinder oscillation in the non-lock-in region. In contrast to the temporal behaviour of the lift coefficient in lock-in cases, the phase difference between the local minimum or maximum of lift coefficients and cylinder position varies with time, and the periodicity of the lift coefficient is not observed during the two oscillation cycles of the cylinder. In the present study, a lock-in mode is classified based on the lift coefficient during two cycles of the cylinder oscillation, because the lift coefficient spectra of the subharmonic lock-in has their peaks at  $f/f_d = 1/2 + n$ . It should be noted that the lift coefficient in a non-lock-in mode may have a larger period than two cycles of cylinder oscillation. Leontini et al. (2011) observed a periodicity of the lift coefficient during the  $N \leq 8$  cycles of cylinder oscillation using the Poincaré map.

Figure 11(e) shows disordered vortex shedding due to the cylinder oscillation with high speed, which was also observed in Perdikaris et al. (2009) and Leontini et al. (2011). Depending on the cylinder position, vortex shedding is observed both in front of and behind the cylinder. The vortices shedding from the front of the cylinder

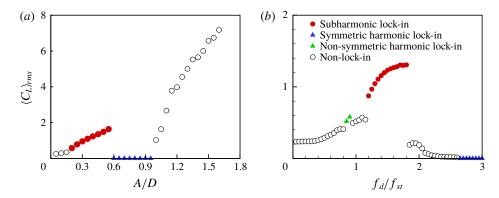


FIGURE 12. (Colour online) Effects of driving amplitude (A) and frequency  $(f_d)$  on the r.m.s. of lift coefficients,  $\langle C_L \rangle_{rms}$ . Variations of  $\langle C_L \rangle_{rms}$  with (a) A/D at  $f_d/f_{St}=1.5$  and (b)  $f_d/f_{St}$  at A/D=0.4.

are convected downstream, while the other vortices that shed behind the cylinder are convected upstream. These vortices interact with the moving cylinder, leading to steep velocity gradients and a strong lift force. The disordered vortex shedding and tangled interaction between the vortices and cylinder induce a chaotic pattern of the wake flow. Fluctuations of the lift coefficient are significantly greater in this region than in other regions. The larger fluctuations may result from steeper velocity gradients on the cylinder due to a higher driving amplitude of the cylinder oscillation. Moreover, temporal variations of the lift coefficient are not correlated with the cylinder motion, similar to the disordered vortex shedding pattern.

We investigate the changes of the r.m.s. value of the lift coefficient,  $\langle C_L \rangle_{rms}$ , with respect to the driving amplitude and frequency in order to characterize aerodynamic features in each region. Figure 12(a) shows the effect of the driving amplitude on  $\langle C_L \rangle_{rms}$  at  $f_d/f_{St} = 1.5$ . In the non-lock-in region with a low driving amplitude,  $\langle C_L \rangle_{rms}$  increases gradually as A/D increases. In the subharmonic lock-in region, it also appears that  $\langle C_L \rangle_{rms}$  increases with A/D, and the slope of the increment is greater than that in the non-lock-in region. For  $0.6 \le A/D \le 0.95$ ,  $\langle C_L \rangle_{rms}$  is almost zero, yielding the symmetric harmonic lock-in. As A/D increases further,  $\langle C_L \rangle_{rms}$ significantly increases with a steeper slope compared to that in the subharmonic lock-in region. The effect of driving frequency on  $\langle C_L \rangle_{rms}$  at a moderate driving amplitude A/D = 0.4 is also shown in figure 12(b). In the non-lock-in region,  $\langle C_L \rangle_{rms}$ gradually increases as  $f_d/f_{St}$  increases in  $0 < f_d/f_{St} < 1.15$ , except for  $f_d/f_{St} = 0.85$ or 0.90. These exceptional cases are in the non-symmetric harmonic lock-in region, where  $\langle C_L \rangle_{rms}$  is shifted slightly upwards from  $\langle C_L \rangle_{rms}$  at both ends of the non-lock-in region, similar to observations in Cetiner & Rockwell (2001). As  $f_d/f_{St}$  increases further,  $\langle C_L \rangle_{rms}$  suddenly increases at  $f_d/f_{St}=1.2$  and reaches its maximum at  $f_d/f_{St} = 1.9$  in the subharmonic lock-in region, which was also found in Marzouk & Nayfeh (2009). In the non-lock-in region following the subharmonic lock-in region, the present results show that  $\langle C_L \rangle_{rms}$  decreases with the increment of  $f_d/f_{St}$ , as reported in Al-Mdallal *et al.* (2007). For higher frequencies  $f_d/f_{St} \ge 2.65$ ,  $\langle C_L \rangle_{rms}$ becomes almost zero, leading to the symmetric harmonic lock-in.

To scrutinize the effects of driving amplitude and frequency on the aerodynamic forces acting on the oscillating cylinder, we analyse time-dependent aerodynamic forces for 1820 oscillation cases after each flow reaches its predominant mode, and

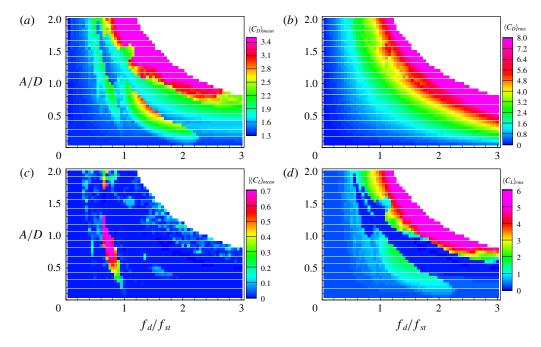


FIGURE 13. (Colour online) Aerodynamic force maps of an oscillating cylinder in  $A/D-f_d/f_{St}$  space for (a)  $\langle C_D \rangle_{mean}$ , (b)  $\langle C_D \rangle_{rms}$ , (c)  $|\langle C_L \rangle_{mean}|$ , and (d)  $\langle C_L \rangle_{rms}$  values at Re=100.

these are observed in terms of lock-in regions, as shown in figure 10. The contour maps in figure 13(a-d) show the mean drag coefficient  $\langle C_D \rangle_{mean}$ , r.m.s. drag coefficient  $\langle C_D \rangle_{rms}$ , absolute value of mean lift coefficient  $|\langle C_L \rangle_{mean}|$ , and r.m.s. lift coefficient  $\langle C_L \rangle_{rms}$ , respectively. Void regions in the contour plots are non-observed oscillating cases, where the driving amplitudes and frequencies are so high that the limitation on the cylinder oscillation speed,  $U_{cyl}/U_0 = 2.5$ , is exceeded.

Figure 13(a) indicates that  $\langle C_D \rangle_{mean}$  is larger in non-symmetric harmonic and subharmonic lock-in regions than in non-lock-in regions. In the non-symmetric harmonic region,  $\langle C_D \rangle_{mean}$  increases with the driving amplitude, and its maximum is approximately 1.3 times larger than that in the surrounding non-lock-in case. In the subharmonic region,  $\langle C_D \rangle_{mean}$  increases up to 2.5 because either the driving frequency or amplitude  $(0.3 \leq A/D \leq 1)$  increases. In a transitional region from the subharmonic lock-in region to the symmetric harmonic lock-in region,  $\langle C_D \rangle_{mean}$  sharply decreases to that for the case of a non-oscillating cylinder. In the symmetric harmonic region,  $\langle C_D \rangle_{mean}$  gradually increases with increments of either the driving amplitude or frequency. With a large driving amplitude and high frequency,  $\langle C_D \rangle_{mean}$  in the upper non-lock-in region becomes more than three times larger than that for the non-oscillating cylinder case.

Figure 13(b) clearly shows that, in general,  $\langle C_D \rangle_{rms}$  monotonically increases with both the driving amplitude and frequency, in contrast to the behaviour of  $\langle C_D \rangle_{mean}$ . Furthermore,  $\langle C_D \rangle_{rms}$  seems to be proportional to either the magnitude of the acceleration or velocity of cylinder motion because the oscillating motion is aligned with the flow direction, which may lead to a direct influence on variations of  $C_D$ .

As expected, figure 13(c) shows that  $\langle C_L \rangle_{mean}$  is nearly zero, except in the non-symmetric lock-in region, because counter-rotating vortices are symmetrically and alternately detached above and below the cylinder. However, in the non-symmetric lock-in region, counter-rotating vortices behind the cylinder introduce an imbalance between the negative and positive lift coefficients during one cycle of the cylinder oscillation, yielding a non-zero mean lift coefficient. At Re = 100, a non-zero  $\langle C_L \rangle_{mean}$  is found for  $0.6 \leq f_d/f_{St} \leq 1$  with a moderate driving amplitude;  $|\langle C_L \rangle_{mean}|$  increases up to 0.7 as the driving amplitude increases.

Figure 13(d) shows that  $\langle C_L \rangle_{rms}$  ranges from 0.24 to 0.5 in the non-lock-in region, which is larger than  $\langle C_L \rangle_{rms} = 0.24$  for the non-oscillating case. In the subharmonic lock-in region,  $\langle C_L \rangle_{rms}$  gradually increases from 0.9 to 1.8 as the driving amplitude or frequency increases, while  $\langle C_L \rangle_{mean}$  is nearly zero in figure 13(c). This is due to the symmetric and alternating counter-rotating vortex pattern similar to von Kármán vortex shedding. Interestingly, the fluctuations of  $C_L$  are negligible in the symmetric harmonic lock-in region, which results in  $\langle C_L \rangle_{rms} \approx 0$ . This is because the vortices near the cylinder are vertically symmetric. In the non-lock-in region for  $U_{cyl}/U_0 \geqslant 1.5$ ,  $\langle C_L \rangle_{rms}$  increases up to 8.5 as  $U_{cyl}$  increases, similar to the behaviour of  $\langle C_D \rangle_{mean}$  in figure 13(a).

Blackburn & Henderson (1999) investigated energy transfers from the fluid to the cylinder, considering forced transverse oscillating circular cylinders, where the energy transfer coefficient was determined by using the lift coefficient and transverse oscillating velocity. They found passive energy transfers from the fluid to the cylinder in the lock-in region. Later, Konstantinidis & Balabani (2007b) observed inflow-perturbation-induced positive energy transfer to the stationary cylinder. Similar to the work by Blackburn & Henderson (1999), we investigate energy transfers from the fluid to the streamwise oscillating cylinder by using the following energy coefficient:

$$E = \frac{1}{T_0 - t_0} \int_{t_0}^{T_0 + t_0} C_D(t) \dot{x}_{cyl}(t) \, \mathrm{d}t, \tag{4.1}$$

where  $\dot{x}_{cyl}(t)$  is streamwise oscillating velocity,  $t_0$  is an offset time used to define the time at which the centre of the cylinder is located at  $x_{cyl} = 0$  after wake flows become quasi-periodic, and  $T_0$  is set to 20 periods of the cylinder oscillation,  $T_0 = 20/(2\pi f_d)$ .

Figure 14 shows the energy coefficient E depending on the driving amplitude and frequency at Re = 100; E is negative for all the cases, implying that energy is transferred from the cylinder to the fluid. The absolute value of E increases as the driving amplitude or frequency of the cylinder increase. This indicates that the stronger cylinder oscillation transfers more energy from the cylinder to the fluid. When the streamwise forced oscillating cylinder moves upstream (negative velocity), the relative velocity to the free stream increases, resulting in an increase in drag force (passive drag fluctuations). Conversely, when moving downstream (passive velocity), the relative speed to the free stream decreases, resulting in a decrease in drag force (negative drag fluctuations). Thus, the drag and velocity are mostly out of phase for a streamwise forced oscillating cylinder, which leads to  $E \le 0$ .

# 4.3. Reynolds-number effects

Next, we perform parametric studies at Re = 40 and 200 by varying the driving frequency  $f_d/f_{St}$  and amplitude A/D in order to investigate the effect of Reynolds number on the lock-in region. For each Reynolds-number case,  $f_d/f_{St}$  is changed from 0.05 to 3.0 at intervals of 0.05, while A/D is changed from 0.05 to 2.0. However,

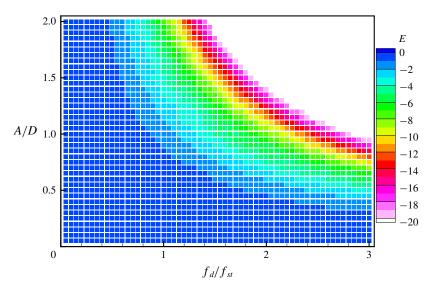


FIGURE 14. (Colour online) Energy transfer coefficient map of an oscillating cylinder in  $A/D-f_d/f_{St}$  space at Re=100.

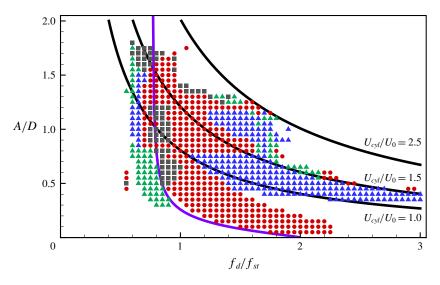


FIGURE 15. (Colour online) Lock-in region map showing the driving amplitude versus the driving frequency at Re = 200. Lock-in regions and lines are marked in the same manner as in figure 10.

for the Re=200 case, simulations are restricted to the cases satisfying  $U_{cyl}/U_0 \leqslant 2.5$  to avoid extremely small time steps for ensuring stable computation. The lock-in regions are classified in the same manner as for the Re=100 case; peak features of the subharmonic lock-in spectrum converged to  $f/f_d=0.5+n$ , while peak features of the harmonic lock-in spectrum converged to  $f/f_d=1+n$  for  $n=0,1,2,\ldots$ 

Figure 15 shows that the lower boundary of the subharmonic lock-in region of Re = 200 for  $A/D \le 0.75$  is found for a larger driving amplitude when the driving

frequency is shifted to a lower frequency from  $f_d/f_{St}=2$ . The boundary coincides with the threshold amplitude (Leontini *et al.* 2013) marked on the map as a solid line, similar to that for Re=100 in figure 10. The upper boundary of the subharmonic region is observed at a lower driving frequency for  $0.3 \leqslant A/D \leqslant 0.75$  as A/D increases. The symmetric harmonic region is bounded by  $1.0 \leqslant U_{cyl}/U_0 \leqslant 1.5$  with  $A/D \leqslant 0.75$ . Similarly, such a bounded region for the symmetric harmonic lock-in is also found at Re=100. When  $1.0 \leqslant U_{cyl}/U_0 \leqslant 1.5$ , the subharmonic region is observed at Re=200 for 0.75 < A/D < 1.7, but it is not observed at Re=100. The symmetric harmonic region is also found at Re=200 for 0.75 < A/D < 1.2 when  $1.5 \leqslant U_{cyl}/U_0 \leqslant 2.0$ . The non-symmetric harmonic region is found at  $0.3 \leqslant A/D \leqslant 0.8$  for a narrow driving frequency range  $0.6 \leqslant f_d/f_{St} \leqslant 1$ , which is also observed at Re=100. In contrast to the Re=100 case, non-lock-in regions are observed for a high driving amplitude 0.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75 < 1.75

Wake patterns for each distinct region at Re = 200 are presented in figure 16. The snapshots of figure 16 represent the vorticity contours during two periods of the cylinder oscillation. The wake patterns of the subharmonic lock-in region, two harmonic lock-in regions and non-lock-in regions are shown in figure 16(a-e). respectively. Figure 16(a) shows flow structures of subharmonic lock-in at Re = 200. Similar to the flow pattern for subharmonic lock-in at Re = 100, the ACW rotating vortex is located behind the cylinder when the cylinder moves downstream  $(t^{+} = 0-1.06)$ . As the cylinder moves upstream, the ACW rotating vortex begins to be detached after the newly formed ACW rotating vortex is merged with the existing one ( $t^+ = 1.06 - 3.17$ ). However, unlike Re = 100, the ACW rotating vortex is elongated by the CW rotating vortex formed by the cylinder moving downstream in the second period ( $t^+ = 3.17 - 5.28$ ). This flow pattern completes one period for two periods of the cylinder oscillation. As in the case of the lift coefficient of subharmonic lock-in for Re = 100, the peaks of lift coefficient are observed when the motion of the cylinder changes from upstream to the downstream direction, and the lift coefficient is synchronized with two cycles of the cylinder motion. The behaviour of wake flows in the non-symmetric harmonic region at Re = 200 is shown in figure 16(b), and this is very similar to that at Re = 100; that is, when the cylinder moves downstream, a pair of vortices is convected downstream, and the flow pattern of the vortices is synchronized with a cycle of cylinder motion. The ACW and CW rotating vortices formed behind the cylinder are not symmetric but are convected to a direction shifted slightly upwards, which leads to a non-zero mean lift coefficient. Figure 16(c) shows the flow patterns in the symmetric harmonic lock-in region at Re = 200. Similar to the Re = 100 case, two pairs of vortices are symmetrically formed above and below the cylinder in the phase of the cylinder moving downstream ( $t^+ = 0-0.53$ ), while two pairs of vortices are detached in the phase of the cylinder moving upstream ( $t^+ = 0.53-1.58$ ). However, it should be noted that, unlike the flow patterns at Re = 100, the two counter-rotating vortices in both the upper and lower regions coexist and convect downstream while maintaining a symmetric pattern. Unlike the flow pattern of the non-lock-in at Re = 100, figure 16(d)shows the occurrence of a quite symmetric flow pattern near the region behind the cylinder even in the non-lock-in region at Re = 200. Only wake flows in the region further downstream are slightly asymmetric. As shown in the time history of lift coefficient, the vortex shedding period is incomplete during the two periods of the cylinder motion. Figure 16(e) shows flow patterns due to the cylinder vibrating with a high speed in the non-lock-in region. Similar to those at Re = 100, the vortices shedding both from the front and back of the cylinder are disordered and convected

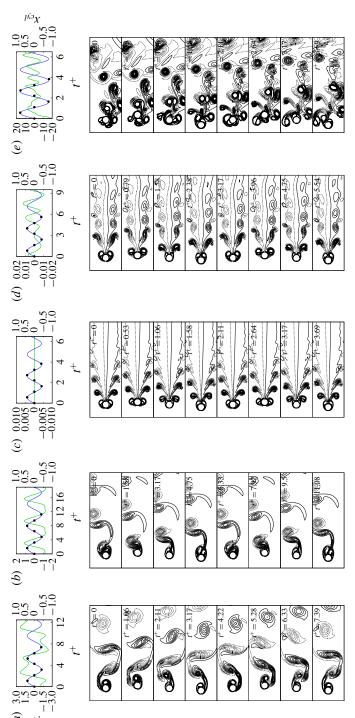


FIGURE 16. (Colour online) Time histories of lift coefficient and cylinder position, and snapshots of vorticity for each region during two periods of cylinder motion at Re = 200. (a) Subharmonic lock-in region  $(A/D = 0.4, f_d/f_{St} = 1.2)$ ; (b) non-symmetric harmonic lock-in region  $(A/D = 0.4, f_d/f_{St} = 0.8)$ ; (c) symmetric harmonic lock-in region  $(A/D = 0.4, f_d/f_{St} = 2.4)$ ; (d) non-lock-in region  $(A/D = 0.4, f_d/f_{St} = 1.6)$ ; and (e) non-lock-in region  $(A/D = 0.8, f_d/f_{St} = 2.4)$ . Vortices are represented in the same manner as in figure 11.

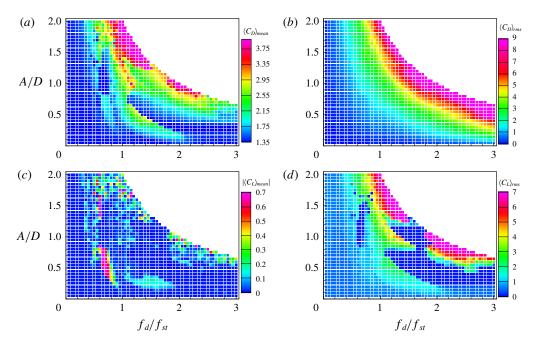


FIGURE 17. (Colour online) Aerodynamic force maps of an oscillating cylinder in  $A/D-f_d/f_{St}$  space for (a)  $\langle C_D \rangle_{mean}$ , (b)  $\langle C_D \rangle_{rms}$ , (c)  $|\langle C_L \rangle_{mean}|$ , and (d)  $\langle C_L \rangle_{rms}$  values at Re=200.

downstream due to the high-speed motion of the cylinder, which forms complex wake flows behind the cylinder. These disordered vortices interact with the cylinder, leading to steep velocity gradients and generate strong lift forces.

Figure 17(a) shows that  $\langle C_D \rangle_{mean}$  of Re = 200 is larger in non-symmetric harmonic and subharmonic lock-in regions than in non-lock-in regions, similar to  $\langle C_D \rangle_{mean}$ at Re = 100. In a transitional region from the subharmonic to symmetric harmonic lock-in region,  $\langle C_D \rangle_{mean}$  sharply decreases. In the symmetric harmonic region  $\langle C_D \rangle_{mean}$ gradually increases with increments of either the driving amplitude or frequency. The upper non-lock-in region having a large amplitude and high frequency shows that  $\langle C_D \rangle_{mean}$  becomes more than four times as large as for the non-oscillating cylinder case. Figure 17(b) shows that  $\langle C_D \rangle_{rms}$  at Re = 200 monotonically increases with increase in both the driving amplitude and frequency, similar to  $\langle C_D \rangle_{rms}$  at Re = 100. Similar to  $\langle C_L \rangle_{mean}$  for Re = 100, figure 17(c) indicates that  $\langle C_L \rangle_{mean}$  is nearly zero, except in the non-symmetric lock-in region;  $|\langle C_L \rangle_{mean}|$  is less than 0.7, and its maximum is observed in the non-symmetric lock-in region. Figure 17(d) indicates that  $\langle C_L \rangle_{rms}$  is less than 0.8 in the non-lock-in region, while  $\langle C_L \rangle_{rms} = 0.5$  for the non-oscillating case. In the subharmonic lock-in region,  $\langle C_L \rangle_{rms}$  ranges from 1 to 4. Similar to  $\langle C_L \rangle_{rms}$  at Re = 100, it is clearly seen that  $\langle C_L \rangle_{rms} \approx 0$  for Re = 200 in the symmetric harmonic lock-in region. For the non-lock-in region with  $U_{cvl}/U_0 \geqslant 1.5$ ,  $\langle C_L \rangle_{rms}$  increases with the increment of  $U_{cvl}$ , similar to the behaviour of  $\langle C_D \rangle_{mean}$  in figure 17(a).

When the Reynolds number is sufficiently low  $(4 \le Re \le 40)$ , two small steady separation bubbles appear behind the cylinder. When Re increases, the wake behind the cylinder becomes unstable, and experiments have shown that, for  $Re \ge 47$ ,

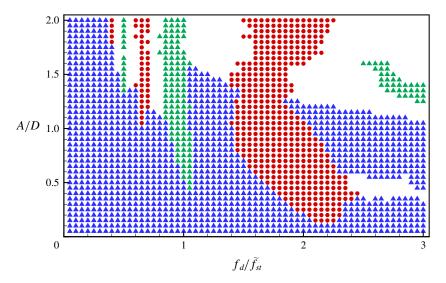


FIGURE 18. (Colour online) Lock-in region map showing the driving amplitude versus the driving frequency at Re = 40. Lock-in regions and lines are marked in the same manner as in figure 10.

the wake develops a slow oscillation in which the velocity is periodic. Since the Strouhal frequency  $f_{St}$  does not exist at Re = 40, the driving frequency  $f_d$  for the oscillating cylinder could not be normalized by  $f_{St}$ . Here, we consider a normalized driving frequency  $f_{d}/\tilde{f}_{St}$  with the estimated Strouhal frequency  $\tilde{f}_{St}$  based on the Strouhal–Reynolds number relationship,  $\tilde{f}_{St}(Re) = Sr^* + m/\sqrt{Re}$ , where constants  $Sr^*$  and m are 0.2684 and -1.0356, respectively, for  $47 \le Re \le 180$  (Fey, König & Eckelmann 1998). The estimated frequency  $\tilde{f}_{St}$  at Re = 40 is extrapolated from the relationship  $\tilde{f}_{St} = 0.105$ . It should be noted that  $\tilde{f}_{St}$  has no physical meaning and it is used only for plotting the lock-in region and aerodynamic force maps at Re = 40 in a similar manner to those for Re = 100 and 200.

Figure 18 indicates that the symmetric harmonic lock-in is found at most driving amplitudes and frequencies at Re = 40, which is significantly different compared to the lock-in for Re = 100 or 200. This can be explained by the fact that forced-oscillation-induced inertia is not sufficiently large to introduce asymmetric wake patterns by disturbing the symmetric wake patterns of flow over a stationary cylinder. Although the symmetric harmonic region is dominant at Re = 40, the subharmonic lock-in region with alternating vortex shedding patterns is found at  $1.45 < f_d/f_{St} < 2.55$  and  $A/D \ge 0.15$ . The lower boundary of the subharmonic lock-in region for  $A/D \le 1$  is found at a larger driving amplitude when the driving frequency is shifted to a lower frequency from  $f_d/f_{St}=2$ , whereas the upper boundary is observed at a lower driving frequency for  $0.3 \le A/D \le 1.15$  as A/D increases. A non-symmetric harmonic region is observed in a narrow driving frequency range  $(0.85 \le f_d/f_{St} \le 1.05)$  for  $A/D \ge 0.45$ , similar to the non-symmetric harmonic regions for Re = 100 and 200. However, a non-symmetric harmonic region is also found at  $f_d/f_{St} \ge 2.5$  and  $1.15 \le A/D \le 1.6$ , which is not observed for Re = 100 and 200. Three non-lock-in regions are observed in ranges of low amplitudes and high frequencies  $(0.35 \leqslant A/D \leqslant 0.55 \text{ and } f_d/f_{St} \geqslant 2.4)$ , high amplitudes and low frequencies  $(A/D \geqslant 1.1)$ 

and  $0.4 \le f_d/f_{St} \le 0.85$ ), and high amplitudes and high frequencies  $(A/D \ge 1.1)$  and  $f_d/f_{St} \le 1.1$ .

Figure 19 shows wake flow patterns in each distinct region at Re = 40. Figures 19(a), 19(b), 19(c) and 19(e) show subharmonic lock-in, non-symmetric harmonic lock-in, symmetric harmonic lock-in and non-lock-in regions, respectively, like those observed in figures 11 and 16 for Re = 100 and 200. However, it should be noted that figure 19(d) shows a flow pattern in the symmetric harmonic lock-in region at Re = 40, in contrast to those of the non-lock-in mode shown in figures 11(d) and 16(d) for Re = 100 and 200. This is because the flow behind the non-oscillatory cylinder at Re = 40 induces a stationary pattern with small steady separation bubbles. Thus, the symmetric harmonic region is observed at most driving amplitudes and frequencies, even where non-lock-in regions are observed at Re = 100 and 200. The flow patterns in the subharmonic region at Re = 40, shown in figure 19(a), show that the two counter-rotating vortices are alternately detached at the upper and lower parts of the cylinder. One cycle of the vortex pattern is completed by two cycles of the cylinder oscillation, similar to that observed in the subharmonic regions of Re = 100 and 200. Furthermore, the peaks of the lift coefficient are observed at the instants at which the cylinder changes its oscillating direction. Figure 19(b) shows flow patterns in the non-symmetric harmonic region at Re = 40. Similar to the flow patterns in the non-symmetric harmonic region at Re = 100 and 200, a pair of vortices are formed as the cylinder moves downstream, whereas the vortices are detached as the cylinder moves upstream. The pair of two counter-rotating vortices is not symmetric, which leads to a non-zero mean lift coefficient. A periodic vortex shedding is clearly observed, and the shedding pattern is completed within one cycle of the cylinder oscillation. The flow patterns for symmetric harmonic lock-in are clearly shown in figure 18(c,d), thus not yielding any lift force. The case of the low-frequency oscillation  $\tilde{f}_{St} = 1.0$  in figure 19(c) indicates that two dominant CW and ACW rotating vortices are formed near the cylinder moving upstream, and they are quickly separated from the cylinder moving downstream; this is similar to the behaviour of wake flows in the symmetric harmonic lock-in region for Re = 100 and 200. However, figure 19(d) for wake flows of the oscillatory cylinder with a high frequency  $\tilde{f}_{st} = 2.6$  shows that two dominant counter-rotating vortices are elongated further downstream while maintaining symmetry. This is a different observation, compared to the wake patterns in the symmetric harmonic lock-in region for other cases. Moreover, it is worth noting that non-lock-in was observed for Re = 100 and 200 at a similar oscillation condition. Flow patterns induced by a highly oscillating cylinder at Re = 40 are shown in figure 19(e). Similar to the flow patterns at Re = 100and 200 with a high oscillation amplitude and frequency, complex wake patterns are found due to the disordered vortex shedding. This leads to large variations in the lift

Figure 20(a) shows that the overall trends of  $\langle C_D \rangle_{mean}$  for Re = 40 are similar to those for Re = 100 and 200. Owing to the streamwise oscillatory cylinder motion,  $\langle C_D \rangle_{mean}$  is increased compared to that in the non-oscillating case. At the upper boundary of the subharmonic region,  $\langle C_D \rangle_{mean}$  sharply decreases as the cylinder acceleration increases, which is similar to that at Re = 100. It is noticeable that  $\langle C_D \rangle_{mean}$  in the non-lock-in region with a large amplitude and high frequency  $(A/D \geqslant 1.5)$  and  $f_d/f_{St} \leqslant 1.1$  is more than 2.5 times greater than that in other regions, which is mainly because of the forced inertial effects. Interestingly,  $\langle C_D \rangle_{mean}$  is relatively small and approximately 1.9 in the non-symmetric harmonic region with  $f_d/f_{St} \geqslant 2.5$  for  $1.15 \leqslant A/D \leqslant 1.6$ . This is because the cylinder-oscillation-induced

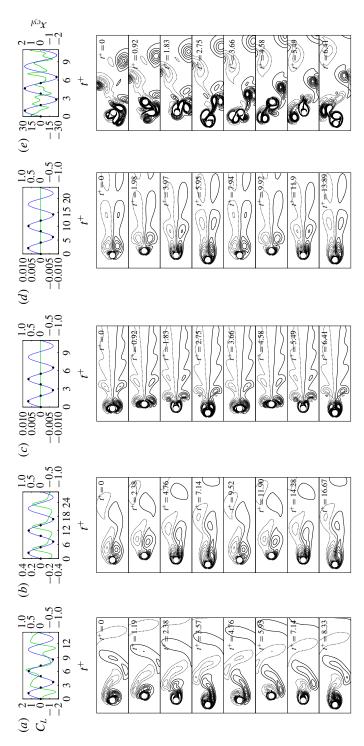


FIGURE 19. (Colour online) Time histories of lift coefficient and cylinder position, and snapshots of vorticity for each region during two periods of cylinder motion at Re = 40. (a) Subharmonic lock-in region  $(A/D = 0.7, f_d/\tilde{f}_{St} = 2.0)$ ; (b) non-symmetric harmonic lock-in region  $(A/D=0.7,\ f_d/\tilde{f}_{Sl}=1.0);\ (c)$  symmetric harmonic lock-in region  $(A/D=0.7,\ f_d/\tilde{f}_{Sl}=2.6);\ (d)$  symmetric harmonic lock-in region  $(A/D=0.7,\ f_d/f_{St}=1.2);$  and (e) non-lock-in region  $(A/D=0.8,\ f_d/f_{St}=2.6).$  Vortices are represented in the same manner as in figure 11.

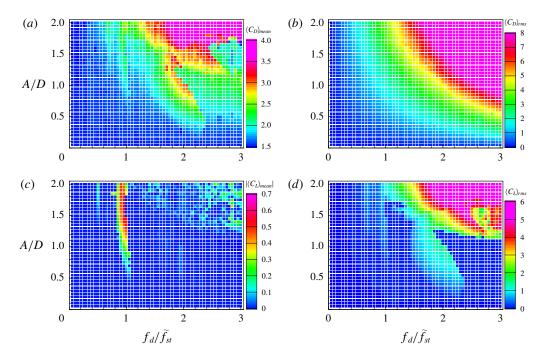


FIGURE 20. (Colour online) Aerodynamic force maps of an oscillating cylinder in  $A/D-f_d/f_{St}$  space for (a)  $\langle C_D \rangle_{mean}$ , (b)  $\langle C_D \rangle_{rms}$ , (c)  $|\langle C_L \rangle_{mean}|$ , and (d)  $\langle C_L \rangle_{rms}$  values at Re=40.

counter-rotating vortices are detached fairly far from the cylinder and have relatively low influence on the drag. Figure 20(b) shows that  $\langle C_D \rangle_{rms}$  at Re=40 monotonically increases with increase in both the driving amplitude and frequency, similar to  $\langle C_D \rangle_{rms}$  at Re=100 and 200. Figure 20(c) indicates that a non-zero  $\langle C_L \rangle_{mean}$  is only found in the non-symmetric lock-in region, similar to the cases of Re=100 and 200. Except for oscillating cases with a high cylinder acceleration (roughly  $1.25 \leqslant A/D$  and  $f_d/f_{St} \geqslant 1.5$ ), figure 20(d) shows that  $\langle C_L \rangle_{rms}$  is nearly zero in most cases because the symmetric harmonic lock-in is dominant at Re=40. However, in the subharmonic region,  $\langle C_L \rangle_{rms}$  gradually increases with increase in both the amplitude and frequency, which is similar to the cases of Re=100 and 200. In the non-lock-in region for the case of a highly accelerating cylinder  $(A/D \geqslant 1.5)$  and  $f_d/f_{St} \leqslant 1.1$ ,  $\langle C_L \rangle_{rms}$  is significantly larger (more than 4) because higher inertial effects due to the cylinder motion promote asymmetric and alternating vortex shedding behind the cylinder. However,  $\langle C_L \rangle_{rms}$  is relatively small in the non-symmetric lock-in region for the case of a highly accelerating cylinder.

The effect of Re on the lock-in phenomenon for flow over a streamwise oscillatory cylinder is summarized as follows: subharmonic lock-in, symmetric harmonic lock-in, non-symmetric harmonic lock-in and non-lock-in regions are found at all three Re numbers. For both Re = 100 and 200, the threshold amplitude of the subharmonic lock-in region agrees with that given by Leontini et~al.~(2013). For a small driving amplitude  $(A/D \le 0.75)$ , the symmetric harmonic region is found at  $1.0 \le U_{cyl}/U_0 \le 1.5$  for both Re = 100 and 200. In particular, for Re = 200 the subharmonic region is also found in 0.75 < A/D < 1.2 and  $1.0 \le U_{cyl}/U_0 \le 1.5$ , and the non-lock-in regions exist at a high driving amplitude A/D > 1.75 regardless of driving frequency. For

Re = 40, the symmetric harmonic lock-in is found at most driving amplitudes and frequencies. The non-symmetric harmonic lock-in region is found at  $f_d/f_{St} \ge 2.5$  and  $1.15 \le A/D \le 1.6$  for Re = 40, whereas the non-symmetric harmonic lock-in region is mostly found near the Strouhal frequency (approximately  $0.8 < f_d/f_{St} < 1$ ) for all three Re numbers. Similar flow patterns are found at all three Re numbers, depending on the lock-in regions. In the subharmonic lock-in region, two alternating vortex shedding patterns are synchronized with two cycles of the cylinder motion. In the non-symmetric harmonic lock-in region, two counter-rotating vortices induced by the cylinder moving upstream are convected downstream in a slightly upward- or downward-shifted direction, which leads to a non-zero mean lift force. For symmetric lock-in, two pairs of counter-rotating vortices are symmetrically formed near the cylinder and convected downstream while their strengths are weakened. In particular, a different type of symmetric lock-in is observed only for Re = 40 with a high driving frequency, where two dominant counter-rotating vortices are elongated further downstream while forming a larger separation bubble. In the non-lock-in region, the vortex shedding cycle is incomplete during the two periods of the cylinder oscillation. Depending on Re, the symmetric patterns in the wake flows are slightly different for the moderate driving amplitude and frequency. However, wake flows with a large driving amplitude and high driving frequency show disordered vortex shedding patterns, which induce large lift fluctuations. The overall trends of the aerodynamic forces with a driving amplitude and frequency can be characterized as per the distinctive lock-in region. The values of  $\langle C_D \rangle_{mean}$  are larger in the subharmonic region than those in other regions, and larger driving amplitude and higher driving frequency lead to an increased  $\langle C_D \rangle_{rms}$ . Except for the non-symmetric harmonic lock-in case,  $\langle C_L \rangle_{mean}$  is almost zero; and  $\langle C_L \rangle_{rms}$  has a large value in the subharmonic region whereas it is relatively small in the non-symmetric harmonic lock-in region.

# 5. Conclusion

Numerical simulations were performed for flow over a circular cylinder oscillating streamwise at various driving amplitudes and frequencies in order to identify lock-in regions. We considered three different Reynolds numbers (Re = 40, 100 and 200) with the driving amplitude and frequency in the ranges A/D = 0.05-2.0 and  $f_d/f_{St} = 0.05-3.0$ , respectively. The Fourier spectrum of the lift coefficient was used to define lock-in as regions where the spectrum peaks are sharply converged to  $f_d$ . The present study showed that there are two types of lock-in: one is lock-in where spectrum peaks are converged to  $f/f_d = 1/2 + n$ , which is called subharmonic lock-in; and the other is harmonic lock-in where spectrum peaks are converged to  $f/f_d = 1 + n$ , with  $n = 0, 1, 2, ..., \infty$ . The oscillating conditions for which lock-in phenomena occur were marked on a non-dimensional driving amplitude–frequency plane. These maps classify the lock-in regions: one is a subharmonic lock-in region, and the others are a non-symmetric harmonic region and a symmetric harmonic region. The features of aerodynamic forces at each region are characterized by the distributions of mean and r.m.s. values of drag and lift coefficients with respect to the driving amplitude and frequency.

The subharmonic lock-in region was found in a lower frequency range when the driving amplitude was increased. The lower threshold amplitude of the subharmonic lock-in region follows the characteristic line proposed by Leontini *et al.* (2013). Alternating vortex shedding patterns are dominant in the subharmonic region for Re = 100 and 200. Interestingly, the subharmonic lock-in was also observed at Re = 40

	$\langle C_D  angle_{mean}$	$\langle C_D \rangle_{rms}$	$\langle C_L  angle_{mean}$	$\langle C_L \rangle_{rms}$
$(1/2)\Delta r_{min}$	4.06	9.72	0.00	8.43
$\Delta r_{min}$	4.07	9.75	0.00	8.50
$2\Delta r_{min}$	4.02	9.77	0.00	8.41

TABLE 2. Effect of the radial grid resolution on drag and lift coefficients for the case of A/D = 1.0 and  $f_d/f_{St} = 2.4$  ( $U_{cvl}/U_0 = 2.48$ ) at Re = 100.

for  $1.45 < f_d/f_{St} < 2.55$ . In the subharmonic lock-in region,  $\langle C_D \rangle_{mean}$  and  $\langle C_L \rangle_{rms}$  are evidently increased by forced cylinder oscillations. The non-symmetric harmonic region was observed near the Strouhal frequency (approximately  $0.8 < f_d/f_{St} < 1$ ), where counter-rotating vortices do not symmetrically form behind the cylinder, yielding non-zero values of  $\langle C_L \rangle_{mean}$ . The symmetric harmonic region for Re = 100 is bounded in  $1.0 \le U_{cyl}/U_0 \le 1.5$ , while two symmetric harmonic regions  $(A/D \le 0.75$  in  $1.0 \le U_{cyl}/U_0 \le 1.5$  and  $0.75 \le A/D \le 1.25$  in  $1.5 \le U_{cyl}/U_0 \le 2.0$ ) were observed for Re = 200. However, most oscillating cases for Re = 40 showed symmetric harmonic lock-in because the vortex patterns induced by oscillating cylinder motion are not sufficient to destroy the symmetric behaviour of wake patterns originating from the non-oscillating case. Owing to the vertically symmetric vortex pattern,  $\langle C_L \rangle_{rms}$  values are almost zero in the symmetric harmonic lock-in region. Overall,  $\langle C_D \rangle_{rms}$  monotonically increases with both the driving amplitude and frequency, irrespective of the lock-in patterns.

In summary, we found that the subharmonic lock-in region due to a small driving amplitude and low frequency at Re = 100 and 200 is similar to that identified in Leontini *et al.* (2013), where the threshold amplitude (Leontini *et al.* 2013) of the subharmonic lock-in depends on Re. The subharmonic lock-in region is also found even at Re = 40 where steady wake flows are observed for a stationary cylinder. Moreover, the present parametric study with a wide range of driving forces shows that a new lock-in region (non-symmetric harmonic lock-in region) exists for a large driving amplitude, whereas a different lock-in region (symmetric harmonic lock-in region) is observed at a high driving frequency.

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# Appendix A. Note on resolution requirements

We have performed a grid resolution study for the case of a highly oscillating cylinder in which the maximum speed of the oscillating cylinder is  $U_{max}/U_0 = 2.48$ ; the case of A/D = 1.0 and  $f_d/f_{St} = 2.4$ . As a baseline grid, a non-uniform grid with 256 points is used in the radial direction, whereas a uniform grid with  $\Delta\theta = 2\pi/256$  is used in the circumferential direction. The minimum and maximum grid spacings in the radial direction are set to  $\Delta r_{min} = 0.0016$  and  $\Delta r_{max} = 0.2$ , respectively. For the grid resolution study, we consider three different grid spacings in the radial direction

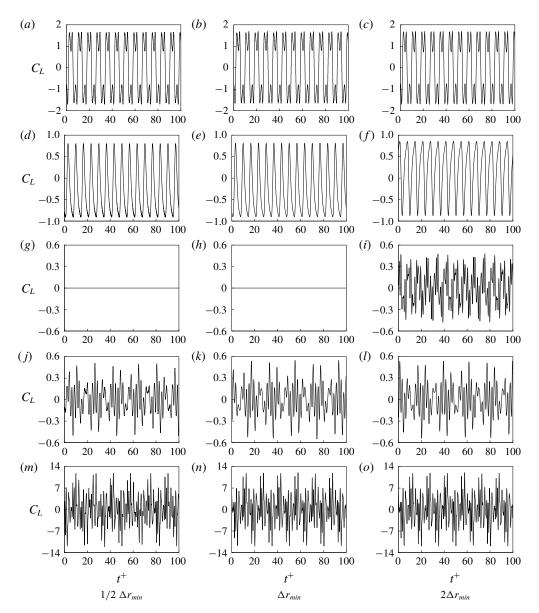


FIGURE 21. Effect of grid resolutions on  $C_L$  for different lock-in regions: (a-c) subharmonic lock-in region  $(A/D=0.4,\ f_d/f_{St}=1.5),\ (d-f)$  non-symmetric harmonic lock-in region  $(A/D=0.4,\ f_d/f_{St}=0.8),\ (g-i)$  symmetric harmonic lock-in region  $(A/D=0.4,\ f_d/f_{St}=2.8),\ (j-l)$  non-lock-in region  $(A/D=0.4,\ f_d/f_{St}=1.9),\$ and (m-o) non-lock-in region  $(A/D=0.9,\ f_d/f_{St}=2.4).$ 

by setting the minimum grid spacing to  $\Delta r_{min}$ ,  $(1/2)\Delta r_{min}$  and  $2\Delta r_{min}$ . Table 2 indicates no significant difference pertaining to the mean and r.m.s. values of  $C_D$  and  $C_L$  obtained from numerical simulations with different grid resolutions.

We also investigated the effect of grid resolutions on the classification of lock-in regions. To this end, we performed numerical simulations of five different lock-in cases that are shown in figure 11 for Re = 100. The rows and columns in figure 21

indicate the time histories of  $C_L$  for different lock-in regions and grid resolutions, respectively. Except for the case of the symmetric harmonic lock-in region, numerical simulations with all three grid resolutions provide a similar trend of temporal variations of  $C_L$ . This confirms that time histories of  $C_L$  obtained from numerical simulations with the present grid resolution  $(\Delta r_{min})$  are identical to those obtained with a finer grid resolution  $((1/2)\Delta r_{min})$ , leading to the same classification of the lock-in phenomenon.

## Appendix B. Formulae for drag and lift coefficients

This appendix provides the formulae of drag and lift coefficients for flow over a circular cylinder. The drag  $(C_D)$  and lift  $(C_L)$  coefficients can be defined as

$$C_D = \frac{F_x}{\frac{1}{2}\rho U_0^2 D}$$
 and  $C_L = \frac{F_y}{\frac{1}{2}\rho U_0^2 D}$ , (B 1*a*,*b*)

where  $F_x$  and  $F_y$  are the streamwise and transverse components of the force F induced by the flow, respectively,  $U_0$  is the free-stream velocity,  $\rho$  is the density of fluid and D is the diameter of the cylinder. Force F can be obtained by integrating the pressure and the normal and shear stresses acting on the cylinder surface in cylindrical coordinates  $(r_0, \theta)$ :

$$F_x = \int_0^{2\pi} \left( -\hat{p}(r_0, \theta) \cos \theta + \hat{\sigma}_{rr}(r_0, \theta) \cos \theta - \hat{\sigma}_{r\theta}(r_0, \theta) \sin \theta \right) r_0 \, \mathrm{d}\theta, \tag{B 2}$$

$$F_{y} = \int_{0}^{2\pi} \left( -\hat{p}(r_{0}, \theta) \sin \theta + \hat{\sigma}_{rr}(r_{0}, \theta) \sin \theta + \hat{\sigma}_{r\theta}(r_{0}, \theta) \cos \theta \right) r_{0} d\theta, \tag{B 3}$$

where  $r_0$  indicates the cylinder surface  $(r_0 = D/2)$ . Note that  $\hat{p}$ ,  $\hat{\sigma}_{rr}$  and  $\hat{\sigma}_{r\theta}$  represent the dimensional pressure, and normal and shear stress, respectively:

$$\hat{p} = \rho U_0^2 p$$
,  $\hat{\sigma}_{rr} = 2 \frac{\mu U_0}{D} \frac{\partial U_r}{\partial r}$  and  $\hat{\sigma}_{r\theta} = \frac{\mu U_0}{D} \frac{\partial U_{\theta}}{\partial r}$ , (B 4a-c)

where  $\mu$  is dynamic viscosity, and  $U_r$  and  $U_\theta$  represent the dimensionless radial and azimuthal velocity components, respectively, in the inertial system. Finally,  $C_D$  and  $C_L$  can be defined as

$$C_D = \int_0^{2\pi} \left( -p(r_0, \theta) \cos \theta + \frac{2}{Re} \left. \frac{\partial U_r}{\partial r} \right|_{(r_0, \theta)} \cos \theta - \frac{1}{Re} \left. \frac{\partial U_\theta}{\partial r} \right|_{(r_0, \theta)} \sin \theta \right) r_0 \, d\theta, \quad (B 5)$$

$$C_L = \int_0^{2\pi} \left( -p(r_0, \theta) \sin \theta + \frac{2}{Re} \left. \frac{\partial U_r}{\partial r} \right|_{(r_0, \theta)} \sin \theta + \frac{1}{Re} \left. \frac{\partial U_\theta}{\partial r} \right|_{(r_0, \theta)} \cos \theta \right) r_0 \, d\theta. \quad (B 6)$$

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