

Original Article

Cite this article: Chu AOK, Chang WC, Chan SKW, Lee EHM, Hui CLM, Chen EYH (2019). Comparison of cognitive functions between first-episode schizophrenia patients, their unaffected siblings and individuals at clinical high-risk for psychosis. *Psychological Medicine* **49**, 1929–1936. <https://doi.org/10.1017/S0033291718002726>

Received: 9 April 2018

Revised: 22 August 2018

Accepted: 23 August 2018

First published online: 18 September 2018

Key words:

Cognitive impairment; at-risk mental state; genetic high-risk; processing speed; first-episode psychosis

Author for correspondence:

Wing Chung Chang, E-mail: changwc@hku.hk

Comparison of cognitive functions between first-episode schizophrenia patients, their unaffected siblings and individuals at clinical high-risk for psychosis

Angel On Ki Chu¹, Wing Chung Chang^{1,2}, Sherry Kit Wa Chan^{1,2},
Edwin Ho Ming Lee¹, Christy Lai Ming Hui¹ and Eric Yu Hai Chen^{1,2}

¹Queen Mary Hospital, Pokfulam, Hong Kong and ²Hong Kong Jockey Club Building for Interdisciplinary Research, Pokfulam, Hong Kong

Abstract

Background. Cognitive impairment is a core feature of schizophrenia and has been observed in both familial (FHR) and clinical high-risk (CHR) samples. Nonetheless, there is a paucity of research directly contrasting cognitive profiles in these two high-risk states and first-episode schizophrenia. This study aimed to compare cognitive functions in patients with first-episode schizophrenia-spectrum disorder (FES), their unaffected siblings (FHR), CHR individuals and healthy controls.

Method. A standardized battery of cognitive assessments was administered to 69 FES patients, 71 help-seeking CHR individuals without family history of psychotic disorder, 50 FHR participants and 68 controls. FES and CHR participants were recruited from territory-wide early intervention service for psychosis in Hong Kong. CHR status was ascertained using Comprehensive Assessment of At-Risk Mental State.

Results. Among four groups, FES patients displayed the largest global cognitive impairment and had medium-to-large deficits across all cognitive tests relative to controls. CHR and FHR participants significantly underperformed in most cognitive tests than controls. Among various cognitive tests, digit symbol coding demonstrated the greatest magnitude of impairment in FES and CHR groups compared with controls. No significant difference between two high-risk groups was observed in global cognition and all individual cognitive tests except digit symbol coding which showed greater deficits in CHR than in FHR participants.

Conclusion. Clinical and familial risk groups experienced largely comparable cognitive impairment that was intermediate between FES and controls. Digit symbol coding may have the greatest discriminant capacity in distinguishing FES and CHR from healthy controls, and between two high-risk samples.

Introduction

Cognitive impairment is a central feature of schizophrenia and related psychoses (Kahn and Keefe, 2013). Substantial evidence indicates that cognitive dysfunction precedes the onset of psychosis (MacCabe *et al.*, 2013; Meier *et al.*, 2014) and has been observed across all stages of the illness (Lewandowski *et al.*, 2011). Patients with established schizophrenia or first-episode psychosis have been found to exhibit moderate-to-large deficits across multiple cognitive domains relative to healthy participants, particularly in memory, attention, processing speed and executive functions (Heinrichs and Zakzanis, 1998; Mesholam-Gately *et al.*, 2009; Aas *et al.*, 2014). Literature has also shown that impaired cognition is critically associated with functional disability (Green *et al.*, 2000; Bowie *et al.*, 2006) and worse clinical outcome (Chen *et al.*, 2005; Chang *et al.*, 2013), and responds poorly to antipsychotic treatment (Keefe *et al.*, 2007; Davidson *et al.*, 2009; Nielsen *et al.*, 2015).

In fact, cognitive dysfunction has long been conceptualized as a vulnerability marker as well as a potential risk predictor for the development of psychotic disorder. Two research paradigms have been widely applied in investigating cognitive impairment prior to the onset of psychosis, namely familial (FHR) and clinical high-risk (CHR) approaches. A large body of research has revealed that unaffected first-degree relatives of patients with schizophrenia display modest degree of cognitive impairment that is intermediate between patients and healthy controls (Sitskoorn *et al.*, 2004; Snitz *et al.*, 2006; Agnew-Blais and Seidman, 2013; Bora *et al.*, 2014). Data from twin studies further indicate substantial genetic overlap between cognition and schizophrenia liability (Blokland *et al.*, 2017), supporting cognitive impairment as an important endophenotype for elucidating genetic risk architecture of the disorder (Mark and Toulopoulou, 2016). Alternatively, recent meta-analytic reviews have demonstrated that

people at CHR state (or known as at-risk mental state) (Yung and McGorry, 1996; Fusar-Poli *et al.*, 2013), a putatively prodromal phase of psychotic disorder, have widespread cognitive deficits (Bora *et al.*, 2014; Fusar-Poli *et al.*, 2012a,b; Giuliano *et al.*, 2012; De Herdt *et al.*, 2013) which are nonetheless less pronounced than those observed during first episode of psychosis (Hauser *et al.*, 2017). Accumulating evidence has also revealed that cognitive dysfunction in CHR individuals is related to functional impairment (Carrion *et al.*, 2011; Meyer *et al.*, 2014; Cotter *et al.*, 2014) and may enhance prediction of psychosis transition (Lin *et al.*, 2013; Michel *et al.*, 2014; Cannon *et al.*, 2016).

Contrasting the cognitive profiles of FHR and CHR samples, who are presumably at different levels of psychosis risk and are free from the confounds of antipsychotic medications and illness chronicity, could shed light on the developmental course of cognitive dysfunction predating the onset of full-blown psychosis. Importantly, this would facilitate identification of impairment in specific cognitive functions that may be differentially linked to an imminent risk for psychosis *v.* trait (genetic risk) of the illness. A recent meta-analysis examining cognitive deficits in FHR and CHR individuals has suggested that the two high-risk groups were similarly impaired in cognitive functions as compared with healthy controls (Bora *et al.*, 2014). However, there is a paucity of research directly comparing cognitive functions in FHR and CHR samples. Until now, there are only five published reports (Myles-Worsley *et al.*, 2007; Seidman *et al.*, 2010; Mulkala *et al.*, 2011; Uçok *et al.*, 2013; Hou *et al.*, 2016) in this respect [including the only two studies (Uçok *et al.*, 2013; Hou *et al.*, 2016) which also recruited first-episode schizophrenia sample for cognitive comparison] and mixed findings were observed across studies. Of particular note, several important methodological limitations of earlier research merit attention. First, most studies recruited CHR individuals who were non-help-seeking in nature (Myles-Worsley *et al.*, 2007; Mulkala *et al.*, 2011; Hou *et al.*, 2016) which may significantly dilute the risk for psychosis, resulting in underestimation of cognitive impairment. Second, CHR samples in all of these five studies (Myles-Worsley *et al.*, 2007; Seidman *et al.*, 2010; Mulkala *et al.*, 2011; Uçok *et al.*, 2013; Hou *et al.*, 2016) comprised varying but significant proportion of participants who fulfilled clinical risk criteria by having positive family history of psychotic disorder and concurrent functional deterioration (i.e. trait and state risk factors). Including individuals at genetically increased risk for psychosis in CHR group membership for comparison analysis with FHR participants may compromise validity of study results owing to a substantial overlap between familial and clinical risk constructs in the study samples. Third, sample sizes of high-risk groups in some studies were small ($n \leq 30$) (Mulkala *et al.*, 2011; Uçok *et al.*, 2013) which may obscure potentially subtle yet significant between-group cognitive differences owing to insufficient statistical power.

To this end, we present a study which aimed to compare cognitive functions in three groups of participants lying across the psychosis risk spectrum including patients with first-episode schizophrenia-spectrum disorder (FES), their unaffected siblings (i.e. FHR group) and help-seeking, antipsychotic-naive CHR individuals with no family history of psychotic disorder, relative to healthy controls. Based on prior literature, we hypothesized that FES, FHR and CHR groups would exhibit significantly poorer cognitive performance than healthy controls. Furthermore, we predicted that FES patients would be the most cognitively impaired, while the two high-risk groups would display comparable cognitive functions.

Methods

Participants

A total of 258 Chinese participants aged 15–40 years were included in the study (between January 2014 and December 2016), comprising 69 FES patients, 71 individuals at CHR for psychosis, 50 unaffected siblings of FES patients and 68 healthy controls. Clinically stable FES patients who had DSM-IV (American Psychiatric Association, 1994) diagnosis of first-episode schizophrenia ($n = 51$), schizophreniform disorder ($n = 16$) or schizoaffective disorder ($n = 2$) were recruited from the out-patient units of territory-wide specialized early intervention service for first-episode psychosis (namely EASY programme) in Hong Kong (Chung and Chen, 2013) within 3 years (median: 255 days) following initiation of antipsychotic treatment. Diagnosis was determined using all available information including Chinese-bilingual Structured Clinical Interview for DSM-IV (CB-SCID-I/P) (So *et al.*, 2003) administered at intake, medical records and informant history. First-episode status and duration of untreated psychosis was ascertained using Interview for Retrospective Assessment of Onset of Schizophrenia (IRAOS) (Häfner *et al.*, 1992). Help-seeking individuals presenting with suspected prodromal symptoms to EASY programme were identified and assessed with Comprehensive Assessment of At-Risk Mental State (CAARMS) (Yung *et al.*, 2005) for verification of CHR status. Those who were antipsychotic-naive, did not have past history of psychotic disorder (by CB-SCID-I/P), and fulfilled one or more of the following CAARMS criteria were enrolled in the study: (i) attenuated psychotic symptoms ($n = 64$) or (ii) brief limited intermittent psychotic symptoms ($n = 7$). Thirteen individuals who met CAARMS criteria based on positive family history of psychosis in a first-degree relative (i.e. trait and state risk factors) were excluded from the current investigation so as to avoid overlap with FHR group. Among the 71 CHR participants, 13 were taking antidepressant medications. Unaffected siblings of FES participants (as FHR group) were invited to participate in the study. A group of healthy controls was recruited from the community via advertisements and word-of-mouth among recruited participants. Participants in FHR group and healthy controls were screened to confirm that they had no lifetime psychiatric diagnosis (by CB-SCID-I/P) and were not taking any psychotropic medications. Candidates for healthy controls were excluded if they had family history of psychotic disorder. General exclusion criteria for all study groups were intellectual disability, history of head injury, neurological disease or substance abuse (based on DSM-IV criteria) in the past 6 months. The study was approved by the local institutional review boards. All participants provided written informed consent. For those aged under 18 years, consent was also obtained from a parent.

Assessment

A standardized battery of cognitive tests were administered to all participants, comprising letter–number span (LNS) test (Gold *et al.*, 1997), digit symbol coding subtest of the Wechsler Adult Intelligence Scale–Revised (WAIS-R) (Hong Kong Psychological Society, 1989a), monotone counting test (Wilkins *et al.*, 1987), category verbal fluency, and logical memory and visual reproduction subtests of the Wechsler Adult Memory Scale–Revised (WMS-R) (Hong Kong Psychological Society, 1989b). Standardized *z*-score for each of the cognitive tests completed by participants in FES, CHR and FHR groups was computed

Table 1. Demographic and clinical characteristics of the study samples

Variables of interest	FES (N = 69)	CHR (N = 71)	FHR (N = 50)	HC (N = 68)	F/ χ^2 /t	p
<i>Demographics</i>						
Age, mean (s.d.)	25.3 (6.8)	20.8 (6.5)	25.4 (6.3)	24.5 (8.0)	6.8	<0.01
Male gender, N (%)	31 (44.9)	43 (44.3)	21 (42.0)	31 (45.6)	0.2	0.98
Years of education, mean (s.d.)	12.5 (3.0)	11.6 (2.8)	14.5 (2.6)	13.3 (2.8)	11.2	<0.01
Single marital status, N (%)	63 (91.3)	88 (90.7)	41 (82.0)	54 (79.4)	20.8	0.14
<i>Clinical characteristics</i>						
Age at onset of psychosis, mean (s.d.)	24.0 (6.6)	–	–	–	–	–
DUP, days, median ^a	78	–	–	–	–	–
CPZ equivalent dose, mg, mean (s.d.)	201.7 (194.9)					
PANSS positive symptoms, mean (s.d.)	9.0 (3.0)	9.4 (2.4)	–	–	0.7	0.41
PANSS negative symptoms, mean (s.d.)	10.8 (4.0)	10.8 (3.9)	–	–	0.0	0.99
PANSS general psychopathology, mean (s.d.)	20.8 (4.2)	25.0 (6.0)	–	–	4.8	<0.01

CHR, clinical high-risk; CPZ, chlorpromazine; DUP, duration of untreated psychosis; FHR, familial high-risk; FES, first-episode schizophrenia-spectrum disorder; HC, healthy controls; PANSS, Positive and Negative Syndrome Scale.

^aMean (s.d.) of DUP of FES sample was 216.4 (287.1) days.

based on the performance of healthy controls. Cognitive composite score for each participant was then calculated as a measure of global cognitive function by averaging the *z*-scores of individual cognitive tests. Psychopathology was assessed in participants of FES and CHR groups only using Positive and Negative Syndrome Scale (PANSS) (Kay *et al.*, 1987).

Statistical analysis

To compare demographic characteristics between the FES, CHR, FHR and healthy control groups, one-way analysis of variance and χ^2 tests were conducted, as appropriate. Severity of symptom dimensions between FES and CHR participants were compared using independent *t* tests. Group differences in cognitive test performance were examined using multivariate analysis of covariance (MANCOVA), controlling for potential confounding effect of age which differed significantly between groups in preceding analyses, followed by a series of univariate analyses for individual cognitive tests, with Bonferroni correction performed for *post-hoc* pairwise comparisons. Effect sizes indicating the magnitude of standardized mean differences on cognitive performance between four groups were calculated using Cohen's *d*. Additional analyses focusing on cognitive comparisons between FES and CHR groups were conducted, with age and PANSS general psychopathology score (which was significantly different between these two groups) as covariates. To address the non-independence of observations within families (i.e. FES and FHR groups), we also performed linear mixed models for comparisons of cognitive performance among study groups using family as a random factor with random intercept, group (FES, CHR, FHR and control groups) as a fixed factor and age as a covariate. Restricted maximum likelihood method with compound symmetry structure was adopted (assuming constant variance and covariance across each family member within a family) for model parameter estimation. *Post-hoc* pairwise comparisons were then conducted with Bonferroni correction applied. Mixed-model analyses were conducted in R (version 3.4.4) using the lme4 package. All other analyses were performed using IBM SPSS, version 24.

Results

Characteristics of the samples

Table 1 summarizes the demographic and clinical characteristics of the participants. There were significant differences among the groups in age and education. Participants in CHR group were significantly younger and had lower educational attainment than those in the other three groups. Comparison between FES and CHR groups further found that CHR participants had significantly higher PANSS general psychopathology score than FES patients.

Comparison of cognitive performance among study groups

FES, CHR and FHR groups v. healthy controls

The overall MANCOVA was significant ($F = 8.14$, $p < 0.001$), with subsequent univariate analyses showing significant group difference in performance across all of the individual cognitive measures (Table 2, Fig. 1). *Post-hoc* pairwise comparisons revealed that FES patients significantly underperformed in all cognitive tests than healthy controls ($d = 0.56$ – 1.73). CHR participants exhibited significantly poorer performance in LNS, digit symbol coding, monotone counting and visual reproduction tests relative to healthy controls ($d = 0.61$ – 1.09). Unaffected siblings performed significantly worse than healthy controls in visual reproduction ($d = 0.58$) and category verbal fluency ($d = 0.63$) tests, and demonstrated a trend toward significance ($p = 0.069$, $d = 0.47$) in underperformance of logical memory test as compared with healthy controls. Participants in FES, CHR and FHR groups also displayed significantly lower cognitive composite scores than healthy controls. Linear mixed-model analyses controlling for within-family correlation replicated and confirmed the results derived from MANCOVA (Table S2).

FES group v. CHR group

As shown in Table 2 (and Table S2), FES patients had significantly poorer performance than CHR participants in LNS, digit symbol coding, logical memory and category verbal fluency tests. Between-group differences in these four cognitive functions

Table 2. Cognitive functions of the FES, CHR, FHR and control groups

Cognitive tests	FES	CHR	FHR	HC	Statistic ^a			Pairwise comparison ^b		
	Mean (s.d.)	Mean (s.d.)	Mean (s.d.)	Mean (s.d.)	<i>F</i>	<i>df</i>	<i>p</i>	<i>p</i> ^c	Effect size ^d	
Letter–number span	12.9 (3.5)	14.3 (3.3)	15.6 (3.5)	17.0 (4.1)	16.1	3	<0.001	FES<CHR	0.048	0.41
								FES<FHR	<0.001	0.77
								FES<HC	<0.001	1.08
								CHR<HC	0.001	0.73
Digit symbol coding	9.9 (2.7)	11.4 (3.0)	13.9 (3.0)	14.5 (2.6)	38.1	3	<0.001	FES<CHR	0.006	0.52
								FES<FHR	<0.001	1.39
								FES<HC	<0.001	1.73
								CHR<FHR	<0.001	0.82
Monotone counting	11.6 (0.8)	11.6 (0.8)	11.7 (0.7)	12.0 (0.2)	4.5	3	0.004	FES<HC	0.009	0.59
								CHR<HC	0.016	0.68
								FES<CHR	0.013	0.61
								FES<FHR	0.036	0.50
Logical memory	10.4 (4.3)	13.0 (4.4)	12.5 (4.3)	14.5 (3.6)	11.1	3	<0.001	FES<CHR	0.013	0.61
								FES<FHR	0.036	0.50
								FES<HC	<0.001	1.05
								CHR<FHR	<0.001	0.82
Visual reproduction	20.6 (1.4)	20.3 (1.5)	20.7 (1.0)	21.4 (1.5)	12.5	3	<0.001	FES<HC	<0.001	0.56
								CHR<HC	<0.001	0.78
								FHR<HC	0.002	0.58
								FES<CHR	0.013	0.50
Category verbal fluency	17.6 (5.7)	20.4 (5.4)	20.2 (5.6)	23.8 (5.8)	13.7	3	<0.001	FES<CHR	0.013	0.50
								FES<HC	<0.001	1.08
								CHR<HC	0.010	0.61
								FHR<HC	0.004	0.63
Cognitive composite score	−1.4 (1.8)	−1.0 (1.0)	−0.7 (1.0)	0.0 (0.6)	17.1	3	<0.001	FES<FHR	0.004	–
								FES<HC	<0.001	–
								CHR<HC	<0.001	–
								FHR<HC	0.016	–

CHR, clinical high-risk; FHR, family high-risk; FES, first-episode schizophrenia-spectrum disorder; HC, healthy controls.

^aMultivariate analysis of covariance (MANCOVA) with age being adjusted as a covariate, followed by univariate analyses for individual cognitive measures were conducted.

^bBonferroni correction was applied in *post-hoc* pairwise comparison analyses on all individual cognitive tests

^cBonferroni-corrected *p* values were presented, with *p* < 0.05 indicating statistical significance.

^dEffect sizes were calculated with Cohen's *d* following significant pairwise comparisons.

remained statistically significant in subsequent comparison analyses even when PANSS general psychopathology score was adjusted as a covariate in addition to age (Table S1). FES patients also had significantly lower cognitive composite score than CHR participants when both age and PANSS general psychopathology score were adjusted (Table S1; *p* = 0.077 when only age was adjusted in univariate analysis).

FES group v. FHR group

Pairwise comparisons indicated that unaffected siblings performed significantly better in LNS, digit symbol coding and logical memory tests, and had higher cognitive composite score than FES patients (Table 2 and Table S2).

CHR group v. FHR group

Among six cognitive tests, CHR and FHR groups differed from each other only in digit symbol coding performance, with the

former exhibiting greater degree of impairment than the latter (Table 2 and Table S2). There was no significant group difference in cognitive composite score.

Discussion

The current study sought to investigate cognitive functions across FES patients, their unaffected siblings and individuals at CHR for psychosis. To our knowledge, this is one of the few studies which directly compared cognitive performance between familial and clinical risk groups for psychosis. The study is also among the very few reports which included first-episode patient sample for direct comparison of cognitive functions with the two high-risk groups. Three major findings emerged from the study. First, FES, CHR and FHR participants had significantly poorer cognitive functions than healthy controls, with FES patients exhibiting the most severe cognitive impairment among the four groups.

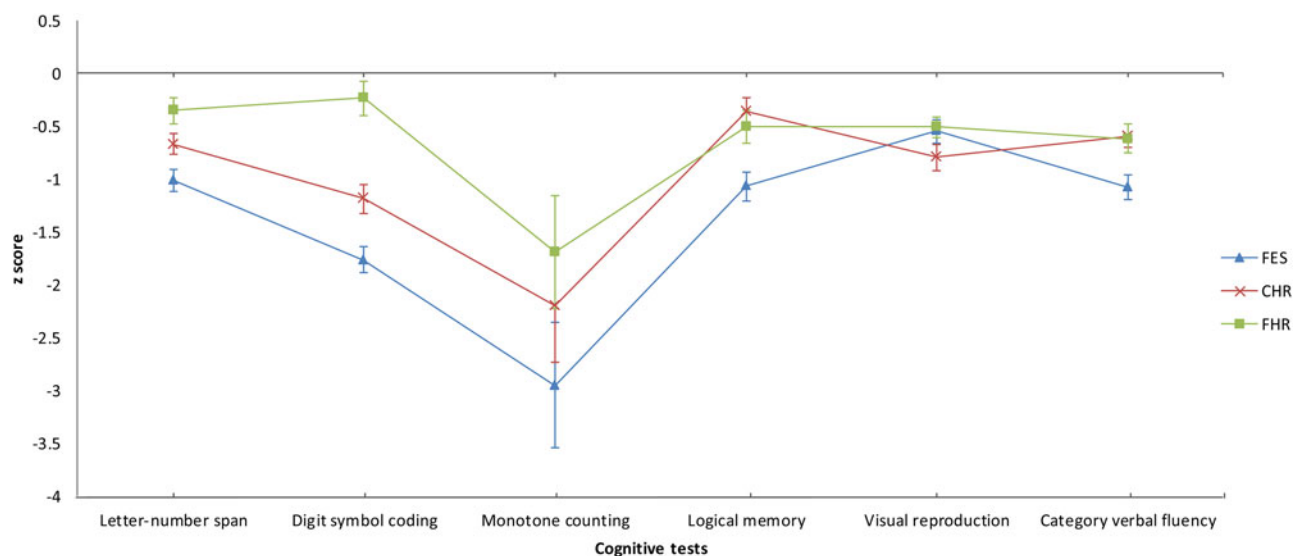


Fig. 1. Cognitive profiles of the FES, CHR and FHR groups standardized against the healthy control group. CHR, clinical high-risk; FHR, familial high-risk; FES, first-episode schizophrenia-spectrum disorder. Note: The straight line, which was set to zero score, represents cognitive performance of the healthy control group.

Second, CHR and FHR groups displayed largely comparable performance across most of the cognitive tests. Third, digit symbol coding was the most sensitive discriminator of FES and CHR groups from healthy controls, as well as the only cognitive task significantly distinguishing between CHR and FHR participants.

Consistent with the well-established evidence indicating severe generalized cognitive dysfunction in first-episode populations (Meshulam-Gately *et al.*, 2009; Aas *et al.*, 2014), we found that FES patients had medium-to-large impairments across all individual cognitive tests relative to healthy controls. Our findings of widespread cognitive deficits affecting multiple domains in CHR participants also concur with the extant literature showing that impaired cognition is already evident prior to the onset of full-blown psychosis (Bora *et al.*, 2014; Fusar-Poli *et al.*, 2012a,b; Giuliano *et al.*, 2012; De Herdt *et al.*, 2013; Hauser *et al.*, 2017). Additionally, our results revealed that unaffected siblings of FES patients were significantly cognitively impaired as compared with healthy participants. This is thus in agreement with substantial body of research demonstrating that people with familial risk for schizophrenia are associated with compromised cognitive abilities (Sitskoorn *et al.*, 2004; Snitz *et al.*, 2006; Agnew-Blais and Seidman, 2013; Bora *et al.*, 2014) which represent vulnerability markers for the disorder (Mark and Touloupoulou, 2016).

Our comparison analyses of FES, CHR and FHR participants showed that FES patients displayed the worst performance in most of the individual tests as well as the poorest global cognitive function relative to the two high-risk groups. This is in line with the results of most previous studies contrasting cognitive performance of first-episode sample with either CHR or FHR group (Bora *et al.*, 2014; Hauser *et al.*, 2017). Specifically, our observation that cognitive impairment was more pronounced in FES patients than in CHR participants appears to lend support to the proposition of decline in cognitive functions over transition from CHR state to overt psychosis (Addington and Barbato, 2012). However, this may partly be explained by the nature of CHR construct. It is well recognized that CHR status is clinically heterogeneous, with conversion rate to full-blown psychosis of approximately 18–36% over 6–30 months after presentation (Fusar-Poli *et al.*, 2012a,b). Hence, CHR comprises true prodrome for psychosis

and false-positive cases with various non-psychotic mental disorders including depression and anxiety disorder. Accumulating data has demonstrated that CHR individuals who later convert to psychotic disorder experience more severe cognitive impairment at baseline than those non-converted counterparts (Bora *et al.*, 2014; Fusar-Poli *et al.*, 2012a,b; Giuliano *et al.*, 2012; De Herdt *et al.*, 2013; Hauser *et al.*, 2017). As a group, CHR participants are thus expected to be less cognitively impaired than FES patients. Alternatively, there is evidence suggesting that cognitive impairment associated with schizophrenia is neurodevelopmental in origin (Bora, 2015) and is characterized by slower gain (i.e. developmental lag) instead of cognitive deterioration across illness stages (Reichenberg *et al.*, 2010). A recent meta-analysis has also revealed an absence of significant cognitive decline over time in CHR individuals (Bora and Murray, 2014). Given the cross-sectional nature of the current study, we were not able to adequately address whether there is cognitive decline from CHR state to psychotic disorder or to further delineate baseline cognitive differences between converters and non-converters among CHR participants. Reassessment for conversion status and cognitive functions of our CHR cohort at follow-up is required to clarify these unresolved issues.

Of note, we found that CHR and FHR groups did not differ significantly in global cognition and were, in general, similarly impaired across most of the cognitive tasks administered. In fact, this accords with the majority of prior studies which directly compared cognitive functions between CHR and FHR individuals and revealed lack of significant group differences (Seidman *et al.*, 2010; Mikkala *et al.*, 2011; Ucok *et al.*, 2013). Conversely, one recent study, which recruited CHR participants who were exclusively of first-degree relatives of schizophrenia patients and presented with prodromal symptoms, found greater cognitive deficits in CHR than in FHR group (Hou *et al.*, 2016). This contrary finding, however, might be due to the combined effect of clinical and familial risks in CHR group membership, with co-occurrence of both risk states being associated with more severe cognitive impairment (Bora *et al.*, 2014; Seidman *et al.*, 2010).

Our findings that digit symbol coding demonstrated the largest effect size among various cognitive tests in FES *v.* control

comparison agree with substantial evidence showing that digit symbol measure is the most sensitive individual cognitive test discriminating patient status of either established schizophrenia (Dickinson *et al.*, 2007) or first-episode populations (Mesholam-Gately *et al.*, 2009) from healthy participants. Our results that digit symbol coding also yielded the largest impairment in CHR group are in line with the literature which suggested digit symbol measure as one of the most sensitive cognitive tests distinguishing CHR individuals from healthy controls (Fusar-Poli *et al.*, 2012a,b; Hauser *et al.*, 2017; Lin *et al.*, 2013; Seidman *et al.*, 2010). More importantly, digit symbol coding was shown as the cognitive measure of greatest discriminant capacity in differentiating CHR from FHR participants. In fact, this study is the first to provide empirical evidence, based on direct comparison between two high-risk samples, indicating that digit symbol coding performance might be the most sensitive cognitive parameter in differentiation between CHR and FHR groups. Intriguingly, this is also consistent with a recent meta-analysis which compared CHR or FHR individuals with healthy controls on cognitive functions and indicated digit symbol as the only cognitive test showing significantly greater impairment in CHR relative to FHR group (Bora *et al.*, 2014). Digit symbol coding task, which requires participants to correctly substitute symbols and digits using a key under timed conditions, is regarded as a measure of processing speed. It is acknowledged that processing speed is a fundamental cognitive function influencing the execution of many higher order cognitive operations and may represent a core cognitive deficit in schizophrenia (Dickinson, 2008). Previous studies showed that deficient processing speed was associated with poor functional outcome in schizophrenia (Bowie *et al.*, 2008) and CHR samples (Carrion *et al.*, 2011; Meyer *et al.*, 2014). Recent data have further revealed that digit symbol coding added modest but significant independent contribution above clinical measures to a risk calculator algorithm for psychosis prediction in CHR individuals (Cannon *et al.*, 2016). It should, however, be noted that a growing body of evidence has indicated that digit symbol coding performance was predicted by multiple cognitive functions (Knowles *et al.*, 2012) and its adequate performance might be more reliant on executive function than cognitive components constituting processing speed domain (Knowles *et al.*, 2015). Owing to the paucity of existing data, further research which directly compares cognitive functions between the two high-risk groups is warranted to confirm our findings that digit symbol coding performance may best discriminate CHR from FHR individuals. Additionally, future investigations should carefully parse cognitive components of digit symbol coding so as to verify whether processing speed deficit is a major contributor to the discriminant capacity of digit symbol coding in delineating the two high-risk samples.

Several methodological limitations need to be acknowledged in interpreting the study results. First, the current study was cross-sectional in design which precludes us from examining the trajectories of cognitive functions over time, in particular the possibility of cognitive deterioration from high-risk state to full-blown psychosis. Likewise, we were not able to determine the predictive capacity of specific cognitive measures on psychosis conversion owing to lack of longitudinal clinical data. Second, we used a relatively brief battery of cognitive assessments which may not adequately capture the breadth and degree of impairment across multiple cognitive domains. Third, social cognition, which was found to be impaired in FES, CHR and FHR individuals to a different extent (Lavoie *et al.*, 2013; Van Donkersgoed *et al.*, 2015;

Healey *et al.*, 2016), was not evaluated in the study. Fourth, our modest sample size may compromise the statistical power to detect subtle group differences in cognitive test performance.

In conclusion, our results indicate that FES patients displayed the largest deficits in cognitive functions while CHR participants and unaffected siblings exhibited largely comparable cognitive impairment that was intermediate between FES and control groups. Among various cognitive tests, digit symbol coding showed the greatest magnitude of impairment in FES and CHR samples relative to healthy controls, and was the only cognitive measure that significantly discriminated between clinical and familial risk groups. Our findings thus suggest that digit symbol coding performance may constitute a sensitive cognitive parameter indexing illness risk and severity for schizophrenia and related psychoses.

Supplementary material. The supplementary material for this article can be found at <https://doi.org/10.1017/S0033291718002726>

Acknowledgements. This study was supported by the Hong Kong Research Grants Council (GRF: 762713). The funding body had no involvement in any aspect of the study or manuscript preparation. We thank all the coordinating clinicians and staff from the participating hospitals, clinics and medical records departments for their kind assistance. We are also grateful to the individuals who participated in the study.

Conflict of interest. Author E.Y.H.C. has participated in the paid advisory board for Otsuka, has received educational grant support from Janssen-Cilag, and has received research funding from Astra-Zeneca, Janssen-Cilag, Eli Lilly, Sanofi-Aventis and Otsuka. E.H.M.L. has been a member of the paid advisory boards for Eli Lilly and AstraZeneca. The authors report no conflicts of interest. The authors alone are responsible for the content and writing of the paper.

References

- Aas M, Dazzan P, Mondelli V, Melle I, Murray RM and Pariante CM (2014) A systematic review of cognitive function in first-episode psychosis, including a discussion on childhood trauma, stress, and inflammation. *Frontiers in Psychiatry* 4, 182.
- Addington J and Barbato M (2012) The role of cognitive functioning in the outcome of those at clinical high risk for developing psychosis. *Epidemiology and Psychiatric Sciences* 21, 335–342.
- Agnew-Blais J and Seidman LJ (2013) Neurocognition in youth and young adults under age 30 at familial risk for schizophrenia: a quantitative and qualitative review. *Cognitive Neuropsychiatry* 18, 44–82.
- American Psychiatry Association (1994) *Diagnostic and Statistical Manual of Mental Disorders*, 4th edn. Washington, DC: American Psychiatric Association.
- Blokland GAM, Mesholam-Gately RI, Touloupoulou T, Del Re EC, Lam M, DeLisi LE, Donohoe G, Walters JTR, GENUS Consortium, Seidman LJ and Petyrshen TL (2017) Heritability of neuropsychological measures in schizophrenia and nonpsychiatric populations: a systematic review and meta-analysis. *Schizophrenia Bulletin* 43, 788–800.
- Bora E (2015) Neurodevelopmental origin of cognitive impairment in schizophrenia. *Psychological Medicine* 45, 1–9.
- Bora E and Murray RM (2014) Meta-analysis of cognitive deficits in ultra-high risk to psychosis and first-episode psychosis: do the cognitive deficits progress over, or after, the onset of psychosis? *Schizophrenia Bulletin* 40, 744–755.
- Bora E, Lin A, Yung AR, McGorry PD and Pantelis C (2014) Cognitive deficits in youth with familial and clinical high risk to psychosis: a systematic review and meta-analysis. *Acta Psychiatrica Scandinavica* 130, 1–15.
- Bowie CR, Reichenberg A, Patterson TL, Heaton RK and Harvey PD (2006) Determinants of real-world functional performance in schizophrenia subjects: correlations with cognition, functional capacity, and symptoms. *American Journal of Psychiatry* 163, 418–425.

- Bowie CR, Leung WW, Reichenberg A, McClure MM, Patterson TL, Heaton RK and Harvey PD (2008) Predicting schizophrenia patients' real-world behavior with specific neuropsychological and functional capacity measures. *Biological Psychiatry* 63, 505–511.
- Cannon TD, Yu C, Addington J, Bearden CE, Cadenhead KS, Cornblatt BA, Heissen R, Jeffries C, Mathalon DH, McGlashan TH, Perkins DO, Seidman LJ, Tsuang MT, Walker EF, Woods SW and Kattane M (2016) An individualized risk calculator for research in prodromal psychosis. *American Journal of Psychiatry* 173, 980–988.
- Carrion RE, Goldberg TE, McLaughlin D, Auther AM, Correll CU and Cornblatt BA (2011) Impact of neurocognition on social and role functioning in individuals at clinical high risk for psychosis. *American Journal of Psychiatry* 168, 806–813.
- Chang WC, Hui CLM, Wong GHY, Chan SKW, Lee EHM and Chen EYH (2013) Symptomatic remission and cognitive impairment in first-episode schizophrenia: a prospective 3-year follow-up study. *Journal of Clinical Psychiatry* 74, e1046–e1053.
- Chen EYH, Hui CLM, Dunn ELW, Miao MY, Yeung WS, Wong CK, Chan WF and Tang WN (2005) A prospective 3-year longitudinal study of cognitive predictors of relapse in first-episode schizophrenic patients. *Schizophrenia Research* 77, 99–104.
- Chung DWS and Chen EYH (2013) Early psychosis services in an Asian urban setting: EASY and other services in Hong Kong. In Chen EYH, Chan GHK and Wong GHY (eds) *Early Psychosis Intervention: A Culturally Adaptive Clinical Guide*, pp. 17–27. Hong Kong: Hong Kong University Press.
- Cotter J, Drake R, Bucci S, Frith J, Edge D and Yung AR (2014) What drives poor functioning in the at-risk mental state? A systematic review. *Schizophrenia Research* 159, 267–277.
- Davidson M, Galderisi S, Weiser M, Werbeloff N, Fleischhacker WW, Keefe RS, Boter H, Keet IP, Prelipceanu D, Rybakowski JK, Libiger J, Hummer M, Dollfus S, López-Ibor JJ, Hranov LG, Gaebel W, Peuskens J, Lindfors N, Riecher-Rössler A and Kahn RS (2009) Cognitive effects of antipsychotic drugs in first-episode schizophrenia and schizophreniform disorder: a randomized, open-label clinical trial (EUFEST). *American Journal of Psychiatry* 166, 675–682.
- De Herdt A, Wampers M, Vancampfort D, De Hert M, Vanhees L, Demunter H, Van Bouwel L, Brunner E and Probst M (2013) Neurocognition in clinical high risk young adults who did or did not convert to a first schizophrenic psychosis: a meta-analysis *Schizophrenia Research* 149, 48–55.
- Dickinson D (2008) Digit symbol coding and general cognitive ability in schizophrenia: worth another look?. *British Journal of Psychiatry* 193, 354–356.
- Dickinson D, Ramsey MB and Gold JM (2007) Overlooking the obvious: a meta-analytic comparison of digit symbol coding tasks and other cognitive measures in schizophrenia. *Archives of General Psychiatry* 64, 1–11.
- Fusar-Poli IP, Bonoldi I, Yung AR, Borgwardt S, Kempton MJ, Valmaggia L, Barale F, Caverzasi E and McGuire P (2012a) Predicting psychosis: meta-analysis of transition outcomes in individuals at high clinical risk. *Archives of General Psychiatry* 69, 220–229.
- Fusar-Poli P, Deste G, Smieskova R, Barlati S, Yung AR, Howes O, Stieglitz RD, Vita A, McGuire P and Borgwardt S (2012b) Cognitive functioning in prodromal psychosis: a meta-analysis. *Archives of General Psychiatry* 69, 562–571.
- Fusar-Poli P, Borgwardt S, Bechdolf A, Addington J, Riecher-Rössler A, Schultze-Lutter F, Keshavan M, Wood S, Ruhrmann S, Seidman LJ, Valmaggia L, Cannon T, Velthorst E, De Haan L, Cornblatt B, Bonoldi I, Birchwood M, McGlashan T, Carpenter W, McGorry P, Klosterkötter J, McGuire P and Yung A (2013) The psychosis high-risk state: a comprehensive state-of-the-art review. *Archives of General Psychiatry* 70, 107–120.
- Giuliano AJ, Li H, Meshulam-Gately RI, Sorenson SM, Woodberry KA and Seidman LJ (2012) Neurocognition in the psychosis risk syndrome: a quantitative and qualitative review. *Current Pharmaceutical Design* 18, 399–415.
- Gold JM, Carpenter C, Randolph C, Goldberg TE and Weinberger DR (1997) Auditory working memory and Wisconsin Card Sorting Test performance in schizophrenia. *Archives of General Psychiatry* 54, 159–165.
- Green MF, Kern RS, Braff DL and Mintz J (2000) Neurocognitive deficits and functional outcome in schizophrenia: are we measuring the 'right stuff'? *Schizophrenia Bulletin* 26, 119–136.
- Häfner H, Riecher-Rössler A, Hambrecht M, Maurer K, Meissner S, Schmidtke A, Fätkenheuer B, Löffler W and van der Heiden W (1992) IRAOS: an instrument for the assessment of onset and early course of schizophrenia. *Schizophrenia Research* 6, 209–223.
- Hauser M, Zhang JP, Sheridan EM, Burdick KE, Mogil R, Kane JM, Auther A, Carrión RE, Cornblatt BA and Correll CU (2017) Neuropsychological test performance to enhance identification of subjects at clinical high risk for psychosis and be most promising for predictive algorithms for conversion to psychosis: a meta-analysis. *Journal of Clinical Psychiatry* 78, e28–e40.
- Healey KM, Bartholomeusz CF and Penn DL (2016) Deficits in social cognition in first-episode psychosis: a review of the literature. *Clinical Psychology Review* 50, 108–137.
- Heinrichs RW and Zakzanis WW (1998) Neurocognitive deficit in schizophrenia: a quantitative review of the evidence. *Neuropsychology* 12, 426–445.
- Hong Kong Psychological Society (1989a) *The Wechsler Adult Intelligence Scale-Revised (Cantonese Version)*. Hong Kong Psychological Society: Hong Kong.
- Hong Kong Psychological Society (1989b) *Wechsler Adult Memory Scale-Revised (Cantonese Version)*. Hong Kong Psychological Society: Hong Kong.
- Hou CL, Xiang YT, Wang ZL, Everall I, Tang Y, Yang C, Xu MZ, Correll CU and Jia FJ (2016) Cognitive functioning in individuals at ultra-high risk for psychosis, first-degree relatives of patients with psychosis and patients with first-episode schizophrenia. *Schizophrenia Research* 174, 71–76.
- Kahn RS and Keefe RSE (2013) Schizophrenia is a cognitive illness: time for a change in focus. *JAMA Psychiatry* 70, 1107–1112.
- Kay SR, Opler LA and Fiszbein A (1987) Positive and Negative Syndrome Scale (PANSS) for schizophrenia. *Schizophrenia Bulletin* 13, 261–276.
- Keefe RSE, Bilder RM, Davis SM, Harvey PD, Palmer BW, Gold JM, Meltzer HY, Green MF, Capuano G, Stroup TS, McEvoy JP, Swartz MS, Rosenheck RA, Perkins DO, Davis CE, Hsiao JK and Lieberman JA, CATIE Investigators; Neurocognitive Working Group (2007) Neurocognitive effects of antipsychotic medications in patients with chronic schizophrenia in the CATIE trial. *Archives of General Psychiatry* 64, 633–647.
- Knowles EEM, Weiser M, David AS, Glahn DC, Davidson M, Gold J, Davidson M and Reichenberg A (2012) Dedifferentiation and substitute strategy: deconstructing the processing-speed impairment in schizophrenia. *Schizophrenia Research* 142, 129–136.
- Knowles EEM, Weiser M, David AS, Glahn DC, Davidson M and Reichenberg A (2015) The puzzle of processing speed, memory, and executive function impairment in schizophrenia: fitting the pieces together. *Biological Psychiatry* 78, 786–793.
- Lavoie MA, Plana I, Bedard LJ, Godmaire-Duhaime F, Jackson PL and Achim AM (2013) Social cognition in first-degree relatives of people with schizophrenia: a meta-analysis. *Psychiatry Research* 209, 129–135.
- Lewandowski KE, Cohen BM and Ongur D (2011) Evolution of neuropsychological dysfunction during the course of schizophrenia and bipolar disorder. *Psychological Medicine* 23, 315–336.
- Lin A, Yung AR, Nelson B, Brewer WJ, Riley R, Simmons M, Pantelis C and Wood SJ (2013) Neurocognitive predictors of transition to psychosis: medium- to long-term findings from a sample at ultra-high risk for psychosis. *Psychological Medicine* 43, 2349–2360.
- MacCabe JH, Wicks S, Lofving S, David AS, Berndtsson Å, Gustafsson JE, Allebeck P and Dalman C (2013) Decline in cognitive performance between age 13 and 18 years and the risk for psychosis in adulthood. *JAMA Psychiatry* 70, 261–270.
- Mark W and Touloupoulou T (2016) Cognitive intermediate phenotype and genetic risk for psychosis. *Current Opinion in Neurobiology* 36, 23–30.
- Meier MH, Caspi A, Reichenberg A, Keefe RS, Fisher HL, Harrington H, Houts R, Poulton R and Moffitt TE (2014) Neuropsychological decline in schizophrenia from the premorbid to the postonset period: evidence from a population-representative longitudinal study. *American Journal of Psychiatry* 171, 91–101.

- Mesholam-Gately RL, Giuliano AJ, Goff KP, Faraone SV and Seidman LJ (2009) Neurocognition in first-episode schizophrenia: a meta-analytic review. *Neuropsychology* **23**, 315–336.
- Meyer EC, Carrion RE, Cornblatt BA, Addington J, Cadenhead KS, Cannon TD, McGlashan TH, Perkins DO, Tsuang MT, Walker EF, Woods SW, Heinssen R and Seidman LJ, NAPLS group. (2014) The relationship of neurocognition and negative symptoms to social and role functioning over time in individuals at clinical high risk in the first phase of the North American Prodrome Longitudinal Study. *Schizophrenia Bulletin* **40**, 1452–1461.
- Michel C, Ruhrmann S, Schimmelmann BG, Klosterkötter J and Schultze-Lutter A (2014) A stratified model for psychosis: prediction in clinical practice. *Schizophrenia Bulletin* **40**, 1533–1542.
- Mukkala S, Ilonen T, Nordström T, Miettunen J, Loukkola J, Barnett JH, Murray GK, Jääskeläinen E, Mäki P, Taanila A, Moilanen I, Jones PB, Heinimaa M and Vejjola J (2011) Different vulnerability indicators for psychosis and their neuropsychological characteristics in the Northern Finland 1986 Birth Cohort. *Journal of Clinical and Experimental Neuropsychology* **33**, 385–394.
- Myles-Worsley M, Ord LM, Ngiralmu H, Weaver S, Blalies F and Faraone SV (2007) Palau early Psychosis Study: neurocognitive functioning in high-risk adolescents. *Schizophrenia Research* **89**, 299–307.
- Nielsen RE, Lavader S, Kjaersdam TG, Jensen SO, Østergaard CT and Leucht S (2015) Second-generation antipsychotic effect on cognition in patients with schizophrenia – a meta-analysis of randomized clinical trials. *Acta Psychiatrica Scandinavica* **131**, 185–196.
- Reichenberg A, Caspi A, Harrington H, Houts R, Keefe RS, Murray RM, Poulton R and Moffitt TE (2010) Static and dynamic cognitive deficits in childhood preceding adult schizophrenia: a 30-year study. *American Journal of Psychiatry* **167**, 160–169.
- Seidman LJ, Giuliano AJ, Meyer EC, Addington J, Cadenhead KS, Cannon TD, McGlashan TH, Perkins DO, Tsuang MT, Walker EF, Woods SW, Bearden CE, Christensen BK, Hawkins K, Heaton R, Keefe RS, Heinssen R and Cornblatt BA, North American Prodrome Longitudinal Study (NAPLS) Group (2010) Neuropsychology of the prodrome to psychosis in the NAPLS Consortium: relationship to family history and conversion to psychosis. *Archives of General Psychiatry* **67**, 578–588.
- Sitskoorn MM, Aleman A, Eibsch SJH, Appels MCM and Kahn RS (2004) Cognitive deficits in relatives of patients with schizophrenia: a meta-analysis. *Schizophrenia Research* **71**, 285–295.
- Snitz BE, MacDonald III AW and Carter CS (2006) Cognitive deficits in unaffected first-degree relatives of schizophrenia patients: a meta-analytic review of putative endophenotypes. *Schizophrenia Bulletin* **32**, 179–194.
- So E, Kam I, Leung CM, Chung D, Lui Z and Fong S (2003) The Chinese-bilingual SCID-I/P Project: Stage 1: reliability for mood disorders and schizophrenia. *Hong Kong Journal of Psychiatry* **13**, 7–18.
- Uçok A, Direk N, Koyuncu A, Keskin-Ergen Y, Yüksel Ç, Güler J, Karadayı G, Akturan E and Devrim-Üçok M (2013) Cognitive deficits in clinical and familial high risk groups for psychosis are common as in first episode schizophrenia. *Schizophrenia Research* **151**, 265–269.
- van Donkersgoed RJM, Wunderink L, Nieboer R, Aleman A and Pijnenborg GHM (2015) Social cognition in individuals at ultra-high risk for psychosis: a meta-analysis. *PLoS ONE* **10**, e0141075.
- Wilkins AJ, Shallice T and McCarthy R (1987) Frontal lesions and sustained attention. *Neuropsychologia* **25**, 359–365.
- Yung AR and McGorry PD (1996) The prodromal phase of first-episode psychosis: past and current conceptualizations. *Schizophrenia Bulletin* **22**, 353–370.
- Yung AR, Yuen HP, McGorry PD, Phillips LJ, Kelly D, Dell’Olio M, Francey SM, Cosgrave EM, Killackey E, Stanford C, Godfrey K and Buckley J (2005) Mapping the onset of psychosis: the comprehensive assessment of at-risk mental state. *Australian and New Zealand Journal of Psychiatry* **39**, 964–971.