# The neuropsychological profile of symptomatic AIDS and ADC patients in the pre-HAART era: A meta-analysis

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#### Abstract

It remains essential to document the neuropsychological profile of acquired immunodeficiency syndrome (AIDS) dementia complex (ADC) and minor forms human immunodeficiency virus (HIV)-associated neurocognitive impairment by quantifying the magnitude of impairment across eras of treatment. Indeed, with the introduction of the highly active antiretroviral therapy (HAART), there is evidence of changes in aspects of ADC. To allow quantitative and qualitative comparisons with the HAART era studies, we developed a summary of neuropsychological performance acquired in pre-HAART era studies in advanced HIV infection and ADC. Using a meta-analytical procedure and a test nomenclature that accounts for task complexity, we found that individuals with symptomatic infection (but no AIDS) demonstrated a global mild level of cognitive impairment, except for the domains complex attention/psychomotor speed, motor coordination, and learning, which showed moderate impairment. Individuals with AIDS demonstrated a global moderate level of cognitive impairment with a predominance of deficits in attention, complex attention/psychomotor speed, learning, motor coordination, with additional deficits in verbal memory and reasoning. Individuals with ADC demonstrated the most severe cognitive disturbances in domains of learning, motor coordination, with additional deficits in verbal fluency and verbal memory. Moderate impairment was evidenced in domains of complex attention/psychomotor speed, whereas naming and visuospatial functions were relatively preserved. The profile of deficits in ADC suggests that it may not be only interpreted as a worsening form of the impairment that is seen in the AIDS and symptomatic stages of HIV disease but that there are also additional deficits suggestive of an alternate pathogenetic process(es). (JINS, 2006, 12, 368–382.)

**Keywords:** AIDS dementia complex, HIV/AIDS, Neuropsychological functioning, Cognition, Neuropsychology, Meta-analysis

#### **INTRODUCTION**

It is well recognized that human immunodeficiency virus-1 (HIV-1) infection can lead to significant neurological complications. The acquired immunodeficiency syndrome (AIDS) dementia complex (ADC) is the most severe form of neurocognitive complication. Although the introduction of the highly active antiretroviral therapy (HAART) led to a reduction in incidence of ADC, this syndrome still occurs in 2 to 3% of HIV+ individuals, and this prevalence is rising due to longer survival rates (McArthur et al., 2003). Of importance to neuropsychologists is the evidence that the clinical presentation of the ADC has changed after the introduction of HAART (Brew, 2004) and the conceptualization of ADC as a subcortical dementia may no longer be accurate (Cysique et al., 2004a). To determine that the neuropsychological presentation of ADC is changing, it is crucial that there is a reliable model available of pre-HAART ADC. Although there is no debate that ADC is characterized by severe cognitive impairment, the precise

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nature and severity of these deficits are not well understood and surprisingly few studies have characterized ADC neuropsychologically. Another benefit of considering in detail the nature of ADC-related cognitive impairment in the pre-HAART era is that this information affords an opportunity to summarize relationships between biological disease markers and the nature and severity of cognitive impairments (Ellis et al., 1997). There is growing evidence that, after the introduction of HAART, known relationships between HIV-1 biological markers and the nature and severity of cognitive impairment (from the pre-HAART era) are no longer evident (Sevigny et al., 2004).

To our knowledge, there has been one meta-analysis of studies of cognitive impairment associated with HIV/ AIDS (Reger et al., 2002). However, this meta-analysis provides no theoretical or quantitative information about ADC or about the interaction between HAART and ADC as it did not separate the few neuropsychological studies conducted on individuals receiving HAART from those conducted in the pre-HAART era. More seriously, the metaanalysis did not include any studies that assessed specifically cognitive function in ADC. Finally, for aspects of the disease other than ADC, this meta-analysis may have overestimated the severity of cognitive impairment as it included neuropsychological studies that investigated cognitive performance in HIV+ intravenous drug users (IVDU; Grassi et al., 1993).

We, therefore, conducted a meta-analysis of neuropsychological studies of HIV infection, including those related to ADC and excluded individuals with IVDU. Because we are interested in the question of whether the clinical presentation of ADC is changing with the introduction of HAART, we considered only studies conducted before the introduction of HAART. Such data should provide a firm quantitative basis against which the extent to which HAARTrelated changes in cognitive dysfunction in advanced HIV infection can be determined. To disentangle cognitive impairment associated with HIV-related neurodegeneration from that with general illness, neuropsychological data from individuals with ADC were summarized and compared to neuropsychological data from individuals with advanced disease who did not meet clinical criteria for ADC. We did not consider cognitive impairment in asymptomatic stages of the illness. Although neuropsychological impairment has been detected in a minority of individuals with asymptomatic HIV infection, and this has often been claimed to reflect the earliest manifestation of HIV-related central nervous system (CNS) change, methodological and statistical limitations (e.g., lack of an appropriate control group, strict criteria to define impairment) in these studies threaten the reliability of such interpretations (e.g., Newman et al., 1995; White et al., 1995). By contrast, the qualitative and quantitative nature of HIV-related CNS dysfunction can be determined reliably from the profile of neuropsychological impairment in advanced HIV infection (Maruff et al., 1994).

# METHODS

#### **Study Selection**

The pre-HAART era was defined as the era preceding the introduction of triple combination therapy with antiretroviral (ART) drugs. Thus, data from studies that specified whether their sample of HIV+ individuals were currently untreated or treated with zidovudine monotherapy or dualtherapy of nucleoside analogues were included in the analysis.

We report a series of 13, 21, and 5 studies for groups of individuals with symptomatic AIDS and ADC that were conducted in the pre-HAART era and published between 1986 and 1999. These studies were identified through a search of the Medline and PsycINFO computer databases using the keywords: AIDS Dementia Complex; HIVassociated Dementia; cognitive; neuropsychological; AIDS and symptomatic HIV infection. In addition, we searched four reviews of the literature: Reger et al. (2002), White et al. (1995), Newman et al. (1995), and Skoraszewski et al. (1991). The total number of studies retained at this stage was 103. Studies exclusively addressing the neuropsychological impairment in IVDU HIV+ individuals were then excluded because IVDU is known to increase the neuropsychological impairment in certain cognitive domains (Grassi et al., 1993). One study of individuals with AIDS (Becker & Salthouse, 1999) was still included because only 11.9% of the HIV+ sample had acquired HIV through IVDU. A second study of individuals with ADC (Power et al., 1995) that included 27% of patients who acquired HIV through IVDU was also included as this study and defined encephalopathy due to substance abuse as an exclusion criterion.

A majority of studies (34 of 39) excluded substance users (e.g., current substance abuse dependence or history of substance abuse). Fourteen studies specified that they excluded IVDU, and all the others specified that they excluded substance users (10 studies clearly included alcohol abuse; 5 studies excluded only IVDU, and 9 studies allowed both substance use and IVDU). Finally, studies that included groups of patients with a treatment overlap between the pre-HAART and HAART era were also excluded (n = 5).

All of the remaining neuropsychological studies were then screened to determine the extent to which they met the following criteria: (1) Conducted before the advent of HAART as previously defined; (2) Included individuals with symptomatic HIV infection, AIDS, ADC, and matched healthy seronegative controls for age and education; (3) Used standard neuropsychological instruments (as described in standard neuropsychological test compendia, for example, Lezak et al., 2004; Spreen & Strauss, 1998) or studies that thoroughly referenced the neuropsychological tests used (see additional details in Table 1); (4) Provided statistical information sufficient to calculate effect sizes (ESs) between HIV+ individuals and seronegative controls (sample size; mean and standard deviation (*SD*); t test; F test,

Cognitive Domains	Tests	k	%
Attention	Cancellation Test correct	6	15.7
	Digit Span WAIS/WAIS-R	18	47.3
	Digit Span words WMS-R	1	2.6
	Digit Vigilance time	0	5.2
	Mental control	1	2.6
	Sequencing Span Test span	4	10.5
	Stroop word	3	7.8
	Visual Pursuit	1	2.6
	Visual Span WMS/WMS-R	2 2	5.2
Simple speed processing	Cancellation Test completed	2	6.6 6.6
	Luria Nebraska Rhythm Trail Making Test part A	25	80
	Visual Search Test	23	3.3
Simple Deaction Time	Simple reaction time	9	5.3 100
Simple Reaction Time Complex Reaction Time	Choice Reaction Time	10	76.9
Complex Reaction Time	Choice reversal reaction time	10	70.9
	Go no Go RT	2	15.3
Complex attention	Digit Symbol WAIS/WAIS-R	21	30.4
complex attention	Luria Nebraska memory	21	2.8
	Paced Auditory Serial Addition	8	11.5
	Symbol Digit Modalities Test	8	11.5
	Stroop Colour Word-Interference	3	4.3
	Trail Making Test part B	27	39.1
Motor coordination	Finger Tapping	7	23.3
violor coordination	Grip Strength	2	6.0
	Grooved Pegboard	11	36.0
	Luria Nebraska motor	2	6.0
	Purdue Pegboard	4	13.
	Thumb-Finger Sequencing	2	6.0
Verbal learning Prose	Logical Memory WMS	18	90
forour rourning i rose	Story Learning Halstead-Reitan Battery	2	10
erbal learning words list	Supra-span learning task	1	3.3
8	15 words-Pairs Test	1	3.
	Associate Learning WMS	6	30
	California Verbal Learning Test	3	10
	Rey Auditory Verbal Learning Test	7	23.3
	Selective Reminding Test	12	40
Verbal memory-delayed Prose	Logical Memory WMS	18	81.8
5 5	Story Loss Halstead-Reitan Battery	2	9.0
	The Prose Passage Recall Test	2	9.0
Verbal memory-delayed words list	15 words-Pairs Test	1	5.5
5 5	California Verbal Learning Test	2	11.
	Rey Auditory Verbal Learning Test	4	22.2
	Selective Reminding Test	11	61.
/isual memory-delayed	Benton Visual Retention Test	3	10
5 5	Digit Symbol WAIS-R incidental memory	2	6.
	Figural memory WMS-R	5	16.
	Figure memory Loss Halstead-Reitan Battery	2	6.
	Rey-Osterreith delayed recall	8	26.
	The Visual Design Test	2	6.
	Visual Reproduction WMS/WMS-R	10	33.
/isuospatial abilities	Block Design WAIS-R	14	34.
•	Luria Nebraska visual	2	4.
	Object assembly WAIS-R	5	12.
	Picture completion WAIS-R	5	12.
	Rey-Osterreith copy	9	21.
	Spatial relations Halstead-Reitan Battery	2	4.
	Tactual Performance Test	2	4.8
	The Visual Design Test	2	4.8
	-		(continue

 Table 1. Details of neuropsychological tests and cognitive domains and frequency of tests use collapsed for the three meta-analyses

Table	1.	Continued

Cognitive Domains	Tests	k	%
Language Fluency	COWA Test (Category and/or Animal Fluency)	27	93.10
	Luria Nebraska expressed speech	2	6.89
Language Naming	Boston Naming Test	14	87.5
	Wingfield Picture Naming Test	2	12.5
Reasoning	BDAE Complex Ideation	2	5
	Colour Form Sorting Test	2	5
	Halstead Category Test	9	22.5
	Luria Nebraska Intelligence	2	5
	Milan-Wiegel Category Sorting Test	2	5
	Picture arrangement WAIS-R	4	10
	Proverb Test	1	2.5
	Similarities WAIS-R	12	30
	Verbal Concept Attainment Test	2	5
	Wisconsin Card Sorting Test	4	10

*Note.* The nomenclature for the cognitive domains follows the recommendations of Lezak et al. (2004), as well as definitions used by Australian researchers in human immunodeficiency virus (HIV) and neuropsychology (Cysique et al., 2004a; Maruff et al., 1994; Perdices & Cooper, 1990). In a majority of cases, we tried to respect a broadly accepted nomenclature. However, it should be also acknowledged that neuropsychological tests are often multidimensional and that one nomenclature may always have some limitations. There were few exceptions explained as follows:

- 1. Cancellation tests (when concerning the correct responses) were classified as measuring attention and not perception as in Lezak as this type of test relies on visuospatial attention, especially in HIV research. In doing this, we also avoided creating a domain with only one test.
- 2. Where cancellation tests defined performance as some timed response (i.e., time to complete the test), this outcome measure was defined as speed of processing (Cysique et al., 2004a) and not in perception as in Lezak. In HIV+ samples, speed of performance on cancellation tasks has been shown to be related to other measures of psychomotor speed (i.e., finger tapping) and attentional function (i.e., trial making A).
- 3. Seven tests included in the analysis had not been considered in the Lezak compendium (2004). However, these tests had all been referenced thoroughly in each of their studies. The original references of these tests were checked. In all cases, they used cognitive procedure described by Lezak. The selection of the cognitive domain followed the mapping in each original article. These tests were the Visual Search Test used by McCaffrey et al. (1995) and developed further by Rennick (1979) was classified in the domain of simple speed processing; the Visual Pursuit used by Gibbs et al. (1990) and developed further by Andrewes et al. (1986) was classified in the domain of attention; the Sequencing Span Test used by Krikorian and Wrobel (1991) and developed further by Mack (1982) was classified in the domain of attention; the 15 Words-Pair Test used by Lunn and developed further by Andresen 1976 was classified in the domain of verbal learning—word list; the Prose Passage used by Krikorian & Wrobel (1991) and developed further by Mack (1982) was classified in the domain of verbal learning word list; the Prose Passage used by Krikorian & Wrobel (1991) and developed further by Seltzer & Mack (1981) was classified in the domain of visual memory—delayed; and the Wingfield Picture Naming Test used by Krikorian & Wrobel (1991) and developed further by Oldfield and Wingfield (1965) was classified in the domain of language—naming.
- 4. The Luria-Nebraska memory subscale was included in the complex attention scale as it has been demonstrated that this subscale was based on complex attentional functions rather than learning or memory (Lezak et al., 2004).

p value). In prospective studies, baseline scores were retained; (5) Contained clear definitions of Centers for Disease Control (CDC) stages (1987 and 1993 definitions) or in which HIV disease status could be re-classified according to the CDC 1993 definition; (6) Where patients with ADC were studied the diagnostic classification of these was made using the CDC definition of 1987 American Academy of Neurology (AAN, Janssen et al., 1991) and Price & Brew ADC scheme (1988).

Because we obtained access to unpublished data that had been collected in the pre-HAART era by Australian researchers (M. Perdices, N. Dunbar, P. Maruff), these data were also included in the meta-analysis as it conformed to the inclusion criteria detailed above. With patients from the three previously unpublished Australian samples and with consideration that one study presented data from different samples (Tross et al., 1988), the final group consisted of 89 different samples (6 with ADC, 25 with AIDS, 14 with symptomatic HIV infection, and 44 seronegative control groups). This yielded a total of sample size of 1420 HIV+ individuals and 1498 seronegative controls. For the metaanalysis, this total group was divided according to stage of HIV illness: 215 individuals with ADC (mean age = 38.5; SD = 2.4; mean educational level = 13.5; SD = 1.6; mean estimated IQ = 116; SD = 2.1), 851 individuals with AIDS (mean age = 37.3; SD = 1.8; mean educational level = 13.5; SD = 6.6), and 354 patients with symptomatic HIV infection (mean age = 34.9; SD = 2; mean educational level = 14.1; SD = 1; mean estimated IQ = 108; SD = 6.1).

Comparisons between these HIV disease status groups indicated that the group with symptomatic HIV infection was younger than both the AIDS and ADC groups (p < .01 in both cases), although there was no difference in age between the AIDS and ADC groups. Although estimated pre-morbid ability (Wechsler Adult Intelligence Scale-

Revised (WAIS-R) vocabulary or IQ scales and National Adult Reading Test (NART) IQ) was slightly higher in the ADC group than the symptomatic HIV and AIDS groups, this group difference did not meet the criteria for statistical significance (p < .06) and estimated premorbid ability was equivalent in the symptomatic and AIDS groups. Eight studies did not assess pre-morbid abilities, and two of these had investigated ADC (e.g., two of five studies). Hence, the estimate of premorbid abilities in the total ADC group was less reliable than for other groups. Educational level was reported in all but two studies and did not differ significantly between any of the HIV disease groups.

# **Data Coding**

The neuropsychological tests used in the different studies were classified into 1 of 15 cognitive domains on the basis of the classification used by Lezak et al. (2004) as well as classifications used previously by us in neuropsychological studies of HIV-1 infection (Cysique et al., 2004a; Maruff et al., 1994; Perdices & Cooper, 1990). The cognitive domains and the tests classified into each from the study sample are shown in Table 1. Tests that reflect similar cognitive functions, but for which neuropsychological procedures differ in terms of task difficulty, were distinguished (Perdices & Cooper, 1990). When a single study reported more than one test corresponding to one cognitive domain, the ESs were computed for all the distinct tests and an average ES was then computed for that cognitive domain. In cases where one neuropsychological construct such as Learning was represented by several submeasures of a same test, the performance measure reported most frequently was selected for meta-analysis. For tests from the Motor domain that reported performance for the dominant and nondominant hand, an average ES was calculated. From this total pool of 327 ESs, 32 were used in the meta-analysis of neuropsychological performance in ADC, 178 in AIDS and 117 in symptomatic HIV infection.

## **Effect Size Computation**

Effect sizes were computed for all individual studies and a Mean ES (MES) was computed for each cognitive domain (see additional information in Lipsey & Wilson, 2001, as well in Appendix B). To simplify the calculations, all individual ESs were converted to a positive value. Therefore, a positive ES indicates impairment.

# Homogeneity Analysis and Factors Influencing Neuropsychological Performance

The homogeneity of the distribution of ESs in each cognitive domain was explored with the Q statistic (Hedges & Olkin, 1985). In cases where a heterogeneous distribution was observed, two control analyses were performed. First, the effect of cognitive severity (for reports that included AIDS individuals who showed signs of early ADC or with memory complaints or suspected cognitive impairment) was determined. Second, the extent to which ART influenced the distribution of ESs was examined. Only reports that stated clearly the treatment status of patients were selected for this analysis (the definition of ART is detailed in Table A1). Studies including a portion of their group on ART were counted as "on-treatment" as it reached at least 60% of the group. The comparisons were made using the analog to the analysis of variance (ANOVA) method (Hedges & Olkin, 1985; Lipsey & Wilson, 2001).

#### **Fixed Model and Random Effect Model**

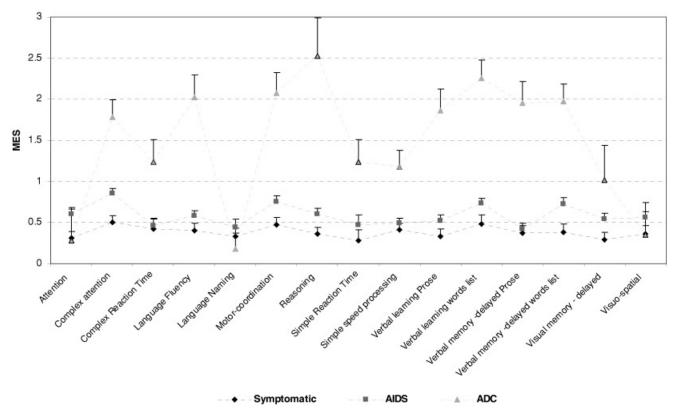
The computations conducted for these meta-analyses assume a fixed effect model (Figure 1; Hedges, 1994). Under this assumption, the ESs observed are assumed to be representative of the population from which they were derived. However, when the Q statistic is significant, this assumption is rejected (see additional information in Lispey & Wilson, 2001). In the analysis of data for symptomatic group, the Qstatistic was significant for three cognitive domains, and for analysis of data from the AIDS group, the Q statistic was significant for six cognitive domains.

This finding represents a 20%-40% significant variability among the cognitive domains explored. Thus, according to the Q statistic, a majority of the ESs observed were derived from a common population. Nevertheless, the Q statistic may lack statistical power in the case of small sample sizes (Lipsey & Wilson, 2001). Consequently, a random effect model was computed to increase the reliability of the magnitude of the neuropsychological impairment observed (Figure 2). A random model was not computed for the metaanalysis of data from studies of ADC as there were too few studies. However, we provided a descriptive analysis of the studies included when the Q statistic indicated that ESs differed significantly between studies within domains (Qstatistic was significant in the domains of motor coordination, learning with a prose procedure (p < .0001), and verbal memory with a list procedure (p < .01)).

# Analog to ANOVA for Between Group Comparisons

Analog to ANOVA allows group comparisons using the  $\chi^2$  distribution (Lipsey & Wilson, 2001). This technique was applied as a planned contrast to compare the neuropsychological data between (1) the symptomatic HIV and AIDS groups and (2) the AIDS and to ADC groups for all of the cognitive domains in both the fixed and random model. However, when an MES was estimated on the basis of a single ES (as in the ADC group), no between group comparisons were made.

The interpretation of the magnitude of ES for the metaanalyses followed the classification offered by Cohen (1988), where ESs between .20 and .40 were classified as small, ESs between .40 and .80 classified as medium, and ESs greater than .80 considered large. The classification was also retained because it corresponds to the range of ES



**Fig. 1.** Fixed effect model mean effect size (MES) for the symptomatic acquired immune deficiency syndrome (AIDS) and AIDS dementia complex (ADC) groups. MESs and standard errors (SEs, one-sided to keep the illustration clear) for each group in each cognitive domains are illustrated. In the ADC group, six MES were derived from one single ES. These MESs have been highlighted with a black foreground and are attention, reasoning, simple reaction time, complex reaction time, visual memory-delayed, and visuospatial abilities.

magnitude found in the meta-analysis of Reger et al. (2002) and, thus, offers a relevant scheme for interpretation (Zakzanis, 2001).

#### RESULTS

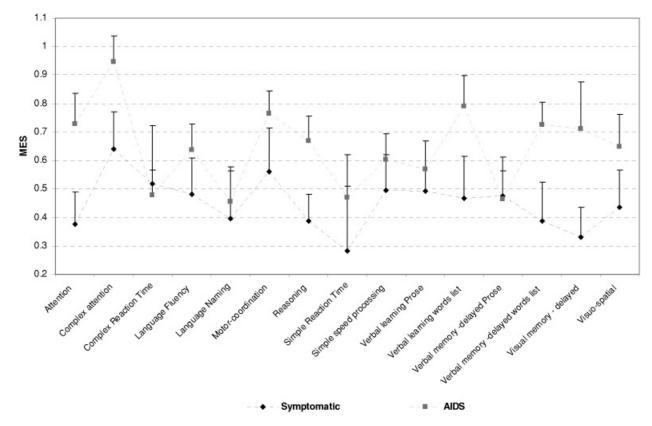
# Symptomatic HIV Infection (See also Table B1)

In the fixed effect model, the magnitude of the MESs (relative to controls) varied between .28 and .51 (.50 representing half a standard deviation compared to controls). The MESs for each of the 15 cognitive domains represented meaningful trends (Z test = 1.96; p < .05), with the exception of simple reaction time under the random model. Fixed model MESs of medium magnitude were found first for complex attention (.51), verbal learning (.48), motor coordination (.47), complex reaction time (.42), simple speed processing (.41), and language fluency (.41) domains. The MES estimates for all other domains were classified as small. Complex attention and motor coordination domains remained the highest MESs under the random model, whereas visuospatial, verbal learning and memory delayed for the prose procedure were classified as being of a medium size (>.40).

Analog to ANOVA was applied to the three cognitive domains that showed a significant heterogeneity of ESs distribution. These domains were complex attention, motor coordination, and verbal learning prose. This analysis indicated that symptomatic HIV+ individuals receiving ART obtained better performances in these functions compared to untreated patients, specifically, complex attention (respectively, MES = .35; SD = .12 vs. MES = 1.55; SD = .32; p < .0001) and verbal learning prose (MES = .08; SD = .30 vs. MES = 1.10; SD = .30; p < .01).

#### AIDS (See also Table B1)

In both effect models, the magnitude of the MES (relative to controls) was moderate to large for all cognitive domains. In the fixed model, MESs ranged from .45 to .85 (representing at least half a standard deviation compared to controls). These trends were significant in each of the cognitive domains (e.g., Z test <1.96; p < .05). In the fixed model, the largest MES was observed for complex attention (.85) and motor coordination (.76) domains, followed by verbal learning word list and verbal memory word list (.73) domains. MESs of a medium magnitude (.50–.60) were found for the reasoning, attention, language fluency, visuo-spatial abilities, visual memory, delayed verbal learning prose, and simple speed processing domains. MESs of between .40 and .50 were observed for simple and complex reaction time domains: naming and verbal memory delayed prose domains.



**Fig. 2.** Random effect model mean effect size (MES) for the symptomatic and acquired immunodeficiency syndrome (AIDS). MESs and standard errors (SE, one-sided to keep the illustration clear) for each group in each cognitive domains are illustrated.

Under the random model, estimates of the magnitude MESs were similar, with the exception of the attention (.72) and visual memory (.71) domains, which were larger.

The inclusion or exclusion of individuals with early signs of ADC or the inclusion or exclusion of individuals with suspected cognitive impairment did not affect the variability of ESs distribution. However, a significant effect was found for ART in the domains of attention and simple processing speed. For both domains, patients with AIDS receiving ART (attention MES = .61; SD = .10, simple processing speed MES = .51; SD = .09) performed better than untreated patients (attention MES = 1.27; SD = .27, simple processing speed MES = 1.24; SD = .31; p < .02 for both comparisons). Whether patients were receiving ART did not account significantly for variability in ESs in any other cognitive domain.

#### ADC (See also Table B1)

Due to the small number of studies, ESs from the ADC group were subjected to analysis using only the fixed model. The largest MES (>2.26) observed was for the verbal learning, motor coordination, and language fluency domains. The magnitude of impairment was large (>1) for all other cognitive domains except naming (.18). For six of the domains, the Q statistic could not be computed because the MES was estimated from a single ES.

# Comparisons of MES Between the Three Groups

Detailed results are presented in Table 2. Individuals with symptomatic infection differed significantly from individuals with AIDS in the domains of attention, complex attention, motor coordination, verbal list learning, word list memory, visual memory, and reasoning. The random model indicated that homogeneity of variance was nonsignificant for all analyses and that the AIDS group performed worse than the symptomatic HIV+ group in the domains of attention, complex attention, memory word list, and reasoning. Compared to the AIDS group, the ADC group was significantly impaired in all cognitive domains except for naming, although within group variance was greater in the ADC.

#### DISCUSSION

The meta-analysis indicated that, in HIV-1 infection and in individuals who have not received HAART, the severity of cognitive impairment increases with the clinical stage of the disease. In symptomatic HIV infection, the magnitude of impairment is mild (ESs from .28 to .51) compared to seronegative controls matched according to major demographic factors. In individuals with AIDS the magnitude of impairment is moderate (ESs from .42 to .85), whereas in individuals who meet clinical criteria for dementia, this magnitude increases to very large (ESs from .18 to 2.26).

				comparisons

	Symptomatic versus AIDS		Symptomatic versus AIDS		AIDS versus ADC	
Cognitive Domains	Fixed model	p value	Random model	p value	Fixed model	p value
Attention	46.16	.01	25.91	ns	_	
	7.32	.01	4.92	.02	—	
Simple speed processing	45.19	.01	23.17	ns	33.42	.03
	.72	ns	.5	ns	10.74	<.0001
Simple Reaction Time	12.29	ns	6.69	ns		
-	1.3	ns	.48	ns	—	
Complex Reaction Time	11.82	ns	8.15	ns		
	.7	ns	.4	ns	—	
Complex attention	53.54	.005	27.74	ns	37.7	.03
	11.38	.005	4.03	.05	16.97	<.0001
Motor coordination	27.89	.05	20.39	ns	126.99	<.0001
	6.26	.02	1.41	ns	25.92	<.0001
Verbal learning Prose	29.92	.03	14.76	ns	18.44	.05
	2.68	ns	.15	ns	27.61	<.0001
Verbal learning words list	28.71	ns	10.09	ns	32.66	.005
	3.97	.05	3.18	ns	45.8	<.0001
Verbal memory-delayed Prose	26.88	ns	17.4	ns	16.77	ns
	.26	ns	.1	ns	31.57	<.0001
Verbal memory-delayed words list	10.61	ns	9.96	ns	19.10	.05
	6.77	.01	4.52	.04	32.03	<.0001
Visual memory-delayed	61.29	<.0001	20.13	ns		
	4.82	.03	3.71	ns	—	
Visuospatial abilities	31.5	.03	15.9	ns	—	
	2.68	ns	1.53	ns	—	
Language Fluency	31.42	.02	18.91	ns	20.88	ns
	2.59	ns	1.02	ns	27.58	<.0001
Language Naming	13.80	ns	9.33	ns	5.47	ns
	.7	ns	.09	ns	1.5	ns
Reasoning	32.70	ns	22.73	ns	—	
	5.49	.01	4.68	.03		

*Note.* In **bold**, the  $\chi^2(df = 1)$  results for comparisons between groups mean effect size (MES) and in regular font the homogeneity of variance analyses between the groups  $\chi^2(df = k - j)$ , where k = number of reports/samples and j = number of categories/groups compared. WAIS = Wechsler Adult Intelligence Scale; WMS = Wechsler Memory Scale; RT = reaction time; BDAE = Boston Diagnostic Aphasia Examination.

Our meta-analysis offers new quantitative and qualitative insight into the neuropsychological profile of ADC in the pre-HAART era. First, surprisingly there have been only a few neuropsychological studies of clinical recognizable dementia in HIV-1 infection. This finding is important, because it indicates that neuropsychological models of dementia in HIV infection are derived predominantly from the study of nondemented individuals. This is quite different from neuropsychological models of dementia such as Alzheimer's disease, which first characterized the extreme form of the syndrome and then examined the neuropsychological prodrome in nondemented individuals who were at risk for Alzheimer's disease (Collie & Maruff, 2000). This is also important because the current data indicate that the neuropsychological profile of ADC is both qualitatively and quantitative different from that observed in AIDS and in symptomatic HIV infection. We have argued previously that ADC can be characterized neuropsychologically best by comparing the nature and magnitude of cognitive impairment to that observed in patients with AIDS (Cysique et al., 2006; Maruff et al., 1994). This comparison controls to some extent the general and indirect CNS effects of advanced HIV – infection on performance on neuropsychological tests (e.g., fatigue, HIV-related physical symptoms, and impaired immune functions). From this perspective, the current analysis indicated that, in ADC, the domains of learning, motor coordination, language fluency, and memory were the most severely impaired, whereas the domains of complex attention and simple processing speed were moderately to severely impaired. In contrast, the domains of naming and visuospatial abilities were relatively preserved. However, because there were considerably fewer neuropsychological studies of ADC, these results should be considered with some caution.

For the ADC group, the variability in the magnitude of impairment observed for some of the cognitive domains may have been due to the inclusion of patients with different stage of dementia severity. For example, the magnitude of deficits was constant, as assessed by the Q statistic, for the domains of list learning, memory, language fluency, complex attention, simple speed, and naming. However, there was significant variability in impairment for the domains of motor coordination, learning with a prose procedure and verbal memory recall with a list procedure. These data suggest that motor function, aspects of learning, and memory may be more sen-

sitive to dementia severity in patients with ADC who have not received HAART. However, this hypothesis awaits further confirmation, especially given that, for some domains, the variability could not be explored as the estimates of impairment were based on a single study.

It is now widely accepted that CNS involvement also occurs in earlier stages of HIV infection, although it remains unclear if these earlier deficits are comparable in nature to the deficits found in ADC, as they may not be always predictive of further CNS involvement. Our meta-analysis showed that, when compared to seronegative controls, individuals with AIDS demonstrated a global moderate impairment that was of clinical significance (as assessed by the Ztest) in all cognitive domains explored. The neuropsychological performance was dominated by deficits in complex attention and motor coordination. This result is unsurprising as many of the individual studies of cognitive function in HIV+ patients pre-HAART, upon which this metaanalysis was based, concluded that tests of complex attention and psychomotor function were most sensitive to the presence of CNS involvement and even to progression of CNS disease (e.g., Sacktor et al., 1996; Selnes et al., 1995). The other domain to be classified as having a large impairment in AIDS was verbal learning, using the word list procedure. Importantly here, we demonstrated that the difference in learning task complexity as well as in verbal memory (i.e., word list test vs. prose test) led to substantially different MESs in the AIDS group but also in the symptomatic and ADC groups (e.g., Perdices & Cooper, 1990). Other domains in AIDS that showed a mild to moderate degree of cognitive impairment were attention, verbal and visual memory, language fluency, simple speed processing, and visuospatial abilities. Least impaired domains were naming and memory with a prose procedure.

Individuals with symptomatic HIV infection showed a global mild degree of cognitive impairment. The deficits were characterized by moderate impairment in complex attention and motor coordination. Mild to moderate impairments were found for learning and simple speed processing. Mild impairment was observed for domains of memory, attention, naming, and visuospatial abilities. The overall pattern was similar to that found in individuals with AIDS but of a less severe magnitude.

Comparison of the nature and magnitude of cognitive impairment between the three HIV+ groups shows that individuals with ADC were more severely impaired on all domains, except naming, when compared with individuals with AIDS. Compared to individuals with symptomatic HIV infection, individuals with AIDS show greater impairment in complex attention, verbal memory recall, attention, and reasoning. Although attention and reasoning have been described previously as sensitive to the advancement of HIV-1 infection (Heaton et al., 1995), verbal memory deficits as evidenced in the word list procedure have been less well documented. As for learning, the magnitude of deficit appeared to be related to the type of task used (White et al., 1997). Compared to asymptomatic controls (e.g., in Reger et al., 2002), HIV+ patients with symptomatic disease and mostly advanced HIV+ individuals show robust and clinically relevant level of cognitive impairment.

It is possible that the small difference in age between the HIV disease severity groups contributed to the different patterns of cognitive impairment observed. We think this possibility unlikely because, when considered as a whole, the entire group was aged in their mid-thirties and the differences in age observed between groups were only of 2 or 3 years. Furthermore, correlational analyses showed no association between the magnitude of cognitive impairment and age within any of the disease severity groups. Differences between groups in the pattern of cognitive impairment could not be attributed to different estimated premorbid IQ or educational level, as these did not differ between groups.

Importantly, in individuals with symptomatic infection but not in AIDS, ART was found to have a beneficial effect on complex attention performance. This finding could indicate that, for complex attentional functions, monotherapy was beneficial only when impairment had reached a threshold of moderate impairment (Cysique et al., 2004b). It also suggests that, in individuals with AIDS, tests of complex attention are the most sensitive to the beneficial effects of monotherapy. However, the current analysis included only studies that indicated whether individuals were or were not receiving ART and this meant that some studies were omitted that would have reduced the power to determine whether ART use effected other aspects of cognitive function. Nevertheless, a monotherapy and dual-therapy benefit in the domains of complex attention, attention, and processing speed is in accord with the results of therapeutic trials (Brouwers et al., 1997; Sidtis et al., 1993) and a retrospective study (Baldeweg et al., 1995) in symptomatic and AIDS stages.

Considerations of the variability in ESs in the overall metaanalysis, using the *Q* statistic, revealed that 12 of 39 studies (30.76%) showed significant variability. Reger et al. (2002) noted that 20 of 30 studies provided significant variability, representing a large proportion of their MESs (66.66%). Differences between our study and Reger's meta-analysis are potentially due to our classification of neuropsychological tests that distinguishes between certain cognitive domains on the basis of on task difficulty. Our exclusion of studies including IVDU subjects also would have reduced variability in cognitive performance by both reducing variability in premorbid IQ and educational level and by also diminishing the number and type of social and demographic variables that could influence neuropsychological test performance.

Importantly, the finding that part of the variability in cognitive performance in HIV+ patients would be explained by the use of ART is new and also illustrates the power of quantitative summaries for reviewing the literature. This last finding also highlights the importance of distinguishing between pre- and post-HAART studies, as it is known that, in some cases, HAART can act to improve cognitive functioning in patients with AIDS and mild ADC (Tozzi et al., 1999). Finally, additional factors that were not explored in this meta-analysis could account for the remaining unexplained variability such as the diversity of neuropsychological tests used and strictness of criteria of exclusion.

The magnitude of cognitive deficits across the stages of HIV-1 infection (i.e., in symptomatic and AIDS) was similar to the findings of Reger et al. (2002) This finding is probably because there was some overlap between their study selection and ours for the pre-HAART studies. Nevertheless, important differences between this and the Reger et al. study exist. First, we did not find that motor coordination was the most affected domain in symptomatic and AIDS stages but, rather, that complex attention was most impaired. With the caution that the meta-analytical ADC group is small, we demonstrated that complex attention/psychomotor speed functions and simple speed processing are not the most severely affected (as opposed to motor coordination). Importantly, these results were observed in the dementia stage but not in symptomatic infection and AIDS, highlighting differences in the pattern of neuropsychological dysfunctions when dementia is actually present. Moreover, our meta-analysis also highlights the importance of impairment in learning and memory in ADC. Whereas learning deficits are one of the early signs of cognitive impairment, they are comparable in magnitude to impairments in complex attention. In ADC, the extent of memory disturbances is particularly severe and much greater than that observed for complex attention and speed processing functions. These findings are not consistent with the hypothesis that impairment in learning and memory in patients with advanced HIV infection is an indirect consequence of cognitive slowing and attentional impairment (e.g., Becker & Salthouse, 1999), at least in the case of ADC. The neuropsychological profile of ADC, therefore, appears to be both qualitatively and quantitatively different from that observed in earlier stages of HIV illness. This qualitative difference could reflect neuropathologic processes that operate when there is severe CNS tissue injury. It is conceivable, therefore, that the predominance of learning and memory deficits could reflect more extensive involvement of brain tissue beyond the striatofrontal circuits. This possibility is supported by the neuropathological studies of Petito et al. (2001), which show that the hippocampus is often involved in ADC and advanced HIV infection.

The increasing severity of motor incoordination with the occurrence of dementia is consistent with a subcortical model of the dementia (Brouwers et al., 1996) where basal ganglia are the primary target of HIV-related neuropathological processes (Navia et al., 1986). Increased disturbances in learning, fluency, and memory may be more interpretable in a frontostriatal model (Cummings, 1993; Dubois & Pillon, 1997) where increasing dysfunction of the fronto-subcortical loops alters the reciprocal functionality of the network. Interestingly, visuospatial abilities, despite being impaired, are not a predominant feature of HIV/AIDS-associated cognitive impairment in accordance with Maruff et al. (1994). This finding is in contrast with other subcortical dementia where visuospatial dysfunctions are a predominant sign as in Parkinson's disease (Stelmach et al., 1989) or Huntington's disease (Josiassen et al., 1983). This discrepancy suggests that distinctions may be needed between the so-called subcortical dementia and that ADC may represent a particular subtype where the cortical burden is greater. Hypothetically, it may mean that increasingly severe ADC is characterized by cortical involvement in addition to pathological changes in the basal ganglia (Dunbar, 1996).

The results of the current meta-analysis provide a quantitative foundation against which to compare the effect of HAART on cognitive function. This strategy is important as there are now new confounds and there is the unknown effect on cognition of increasing duration of HIV-1 infection with HAART. Quantitative comparisons of cognitive profile across treatment eras may allow the generation of new hypotheses regarding the neuropathogenesis of the disease. Future studies investigating neuropsychological aspects of HIV/AIDS cognitive impairment and ADC should include effect size. Because the demographics of the epidemic are changing in some parts of the world, appropriate controls groups may be a solution to correctly infer magnitude of impairment in the HAART era. More attention should now be given to the dementia stage of HIV infection.

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# **APPENDIX** A

SYMPTOMATIC	HIV+ sample	Ν	CDC 87/93	Treatment
Ayers et al., 1987	Homosexual males	15	ARC	NR
Basso & Bornstein, 2000	Homosexual males	31	CDC B1-B2	21 on ART
Bornstein et al., 1993	Homosexual or bisexual men	61	ARC	NR
Gibbs et al., 1990	Homosexual men	20	ARC 87	14 on AZT
Grant et al., 1987	Homosexual men	13	ARC	NR
Heaton et al., 1995	Homosexual or bisexual men	86	CDC B	70.9 % on ART
Jansen et al., 1989	Homosexual bisexual men	26	ARC 87	NR
Krikorian and Wrobel, 1991	Homosexual bisexual men	18	ARC	NR
Martin et al., 1992	Male and female military personnel	15	Symptomatic	NR
Perdices & Cooper, 1989	Homosexual and bisexual men	18	ARC	On AZT
Perdices & Cooper, 1990	Homosexual men	17	ARC	No ART
Saykin et al., 1991	Homosexual and bisexual men	8	ARC	No ART
Van Gorp et al., 1989	Homosexual men	14	ARC	NR
Australian monotherapy cohort	Homosexual and bisexual men	12	CDC B1-B2	On AZT
(Dr. Perdices) AIDS				
Ayers et al., 1987	Homosexual males	30	AIDS	NR
Basso et al., 2000	Homosexual males	33	AIDS 93	30 on ART
Becker & Salthouse	Mixed group, 85.8% male;	134	AIDS 93	NR
	61.2% gay men			
Bornstein et al., 1993	Homosexual or bisexual men	39	AIDS 87	NR
Grant et al., 1987	Homosexual men	15	AIDS	NR
Harrison et al., 1998	Homosexual or bisexual men	45	AIDS 93	On AZT
Heaton et al., 1995	Homosexual or bisexual men	54	AIDS 93	87% on ART
Joffe et al., 1986	Homosexual men	13	AIDS	Most patients were medication-free
Lunn et al., 1991	Homosexual men	20	AIDS 87	NR
Krikorian and Wrobel, 1991	Homosexual bisexual men	14	AIDS 87	NR
Maruff et al., 1995	Homosexual bisexual men	30	AIDS 87	On AZT and/or DDI
McCaffrey et al., 1995	Homosexual and heterosexual men and woman (1 female)	12	AIDS 93	NR
Peavy et al., 1994	Males, military personal, mainly homosexuals	31	AIDS 87	65.5% on ART
Perdices & Cooper, 1989	Homosexual and bisexual men	18	AIDS 87	On AZT
Perdices & Cooper, 1990	Homosexual men	17	AIDS 87	No ART
Power et al., 1995	Mixed population	35	AIDS 93	NR
Rubinow et al., 1988	Males	13	AIDS	Medication-free
Skoraszewski et al., 1991	Homosexual men	26	AIDS 87	On AZT
Tross et al., 1988	Homosexual men	44	Early AIDS	NR
Tross et al., 1988 bis	Homosexual men	40	Late AIDS	NR
Van Gorp et al., 1989	Homosexual men	20	AIDS 87	NR
Van Gorp et al., 1992	Gay or bisexual men	20	AIDS 87	14 on AZT
Australian monotherapy cohort (Dr. Perdices, 1989 Sydney)	Homosexual and bisexual men	51	AIDS 93	All on AZT
Australian dual-therapy cohort (Prof. Maruff, 1994, Melbourne)	Homosexual and bisexual men	60	AIDS 93	On dual-therapy AZT and DDI
Australian dual-therapy cohort (Dr. Dunbar, 1994, Sydney)	Homosexual and bisexual men	41	AIDS 93	On dual-therapy ZDV and/or DDI; DDC NVP
ADC Hinkin et al. 1000	Meloc	10	HIV an aan halon at hy	ND
Hinkin et al., 1990	Males	12	HIV encephalopathy	NR
Maruff et al., 1995	Homosexual and bisexual men	12	Mild ADC stage 1/AAN 1991	On AZT and/or DDI
Power et al., 1995 White et al., 1997	Mixed population Males/transmission was sexual activity	30 9	Mild ADC HAD AAN 1991	NR On AZT; and/or DDI;
Worth et al., 1993	Males and females	32	ADC stage 1 & 2 selected; AAN 1990	DDC. On ART
Australian dual-therapy cohort (Prof. Maruff, 1994, Melbourne)	Homosexual and bisexual men	29	ADC stage 1 & 2	On dual-therapy ZDV/DD

Table A1. Details of reports/samples included in the three meta-analyses

*Note.* HIV = human immunodeficiency virus; CDC = Centers for Disease Control; NR = not reported; AIDS = acquired immunodeficiency syndrome; ARC = AIDS-related complex; ART = antiretroviral treatment; AZT, azidothymidine; ZDV = zidovudine; DDI = didanosine; DDC = dideoxycytidine; NVP = nevirapine; ADC = AIDS dementia complex; HAD = HIV-associated dementia; AAN = American Academy of Neurology.

# **APPENDIX B**

**Table B1.** Details of the mean effect size (MES) in the symptomatic acquired immunodeficiency syndrome (AIDS) and AIDS dementia complex (ADC) groups

SYMPTOMATIC									
		Fixed		95% CI	95% CI	Random		95% CI	95% CI
Cognitive domains	k	MES	SE	Lower	Upper	MES	SE	Lower	Upper
Simple Reaction Time	4	0.28	0.13	0.02	0.53	0.28	0.23	-0.16	0.73
Visual memory-delayed	9	0.29	0.09	0.11	0.47	0.33	0.11	0.12	0.54
Attention	10	0.31	0.08	0.15	0.48	0.37	0.11	0.15	0.6
Language Naming	6	0.33	0.1	0.13	0.53	0.4	0.17	0.07	0.72
Verbal learning Prose	8	0.33	0.1	0.14	0.52	0.49	0.18	0.15	0.84
Reasoning	11	0.36	0.08	0.2	0.53	0.39	0.1	0.2	0.57
Visuospatial abilities	8	0.37	0.1	0.17	0.56	0.43	0.13	0.18	0.69
Verbal memory-delayed Prose	9	0.37	0.09	0.19	0.55	0.48	0.14	0.21	0.74
Verbal memory-delayed words list	5	0.38	0.11	0.17	0.59	0.39	0.14	0.12	0.66
Language Fluency	8	0.41	0.09	0.23	0.58	0.48	0.13	0.23	0.73
Simple speed processing	9	0.41	0.08	0.24	0.57	0.5	0.12	0.25	0.74
Complex reaction time	4	0.42	0.13	0.17	0.68	0.52	0.21	0.11	0.92
Motor coordination	7	0.47	0.09	0.3	0.65	0.56	0.15	0.26	0.86
Verbal learning words list	7	0.48	0.11	0.28	0.69	0.47	0.15	0.18	0.75
Complex attention	10	0.51	0.08	0.35	0.67	0.64	0.13	0.38	0.9
AIDS									
Verbal memory-delayed Prose	10	0.42	0.07	0.28	0.57	0.46	0.09	0.27	0.66
Language Naming	7	0.42	0.07	0.25	0.64	0.45	0.12	0.27	0.00
Complex Reaction Time	6	0.43	0.08	0.23	0.62	0.43	0.12	0.22	0.65
Simple Reaction Time	4	0.40	0.08	0.31	0.02	0.47	0.08	0.18	0.05
Simple speed processing	18	0.48	0.06	0.23	0.62	0.47	0.13	0.18	0.78
Verbal learning Prose	10	0.5	0.00	0.38	0.62	0.00	0.09	0.43	0.78
Visual memory-delayed	10	0.55	0.07	0.38	0.68	0.30	0.10	0.37	1.04
Visuospatial abilities	12	0.55 0.57	0.07	0.41	0.08	0.71	0.10	0.38	0.87
	13	0.57	0.07	0.45	0.7	0.64	0.11	0.43	
Language Fluency									0.81
Attention	15	0.6	0.06	0.47	0.73	0.72	0.11	0.51	0.94
Reasoning	16	0.61	0.06	0.48	0.73	0.66	0.08	0.49	0.84
Verbal memory-delayed words list	10	0.73	0.08	0.57	0.88	0.72	0.07	0.57	0.88
Verbal learning words list	13	0.73	0.07	0.6	0.86	0.79	0.10	0.58	1
Motor coordination	12	0.76	0.07	0.62	0.89	0.76	0.07	0.61	0.92
Complex attention	19	0.85	0.06	0.73	0.97	0.94	0.09	0.77	1.13
ADC									
		Fixed		95% CI	95% CI				
Cognitive domains	k	MES	SE	Lower	Lower				
Language Naming	3	0.18	0.19	-0.2	0.56	—		—	—
Attention <sup>a</sup>	1	0.29	0.4	-0.5	1.06		—		—
Visuospatial abilities <sup>a</sup>	1	0.35	0.4	-0.4	1.13	—		—	—
Visual memory-delayed <sup>a</sup>	1	1.02	0.42	0.2	1.84	—		—	—
Simple speed processing	3	1.18	0.2	0.79	1.57	—		—	—
Complex Reaction Time <sup>a</sup>	1	1.24	0.27	0.71	1.77	—			
Simple Reaction Time <sup>a</sup>	1	1.24	0.27	0.71	1.77	—	—	—	—
Complex attention	3	1.78	0.22	1.35	2.2			—	—
Verbal learning Prose	2	1.86	0.26	1.35	2.38	_	_	—	—
Verbal memory-delayed Prose	2	1.96	0.26	1.44	2.47	_	_	—	—
Verbal memory-delayed words list	4	1.98	0.21	1.57	2.38		_	—	—

#### Table B1. Continued

	Fixed			95% CI	95% CI				
Cognitive domains	k	MES	SE	Lower	Lower	_	_	_	_
Language Fluency	2	2.03	0.27	1.5	2.55			_	
Motor coordination	3	2.08	0.25	1.59	2.57				
Verbal learning words list	4	2.26	0.22	1.84	2.68				
Reasoning <sup>a</sup>	1	2.52	0.47	1.61	3.44				

<sup>a</sup>MESs in the ADC group that were derived from one single ES.

*Note.* SE = standard error; CI = confidence interval; AIDS = acquired immunodeficiency syndrome; ADC = AIDS dementia complex. Random effect model was not computed for the ADC group.

All individual effect sizes (ESs) were converted to a positive value to simplify the calculations. For a majority of study means, standard deviations (*SD*) and sample sizes were clearly reported. ESs were computed between the human immunodeficiency virus (HIV)-seropositive samples and the -seronegative samples using the formula of the Cohen's d (Howell, 2002; Cohen, 1988):

$$\mathbf{ES} = \mathbf{X1} - \mathbf{X2}/Sp$$

$$Sp = \sqrt{(n1-1)s1^2} + (n2-1)s2^2/n1 + n2 - 2$$

Where X1 is the mean of the control group and X2 is the mean of the experimental group; n1 & n2 = sample size for group 1 and 2, respectively;  $s1^2 \& s2^2 =$  variance for group 1 & 2, respectively; and *Sp* is the square root pooled variance.

In a minority of studies, only sample sizes and Student *t* test, *F* test, or *p* value were reported; computation of the ESs was derived from these values using formulas described by Lipsey & Wilson (2001). Because the traditional Cohen's *d* ES tends to be upwardly biased when computed from small samples (< 20), an unbiased ES was calculated for the reports that provided a sample size inferior to 20 (Hedges & Olkin, 1985). The mean ES (MES) was computed by weighting each ES by the inverse of its variance with the following formula (Hedges & Olkin, 1985; Lipsey & Wilson, 2001):

#### $\mathbf{MES} = \sum (\mathrm{wiESi}) / \sum \mathrm{wi}$

Where **ES**i is the value on the effect size statistic used, wi is the inverse variance weight for effect size I, and i is equal to k, the number of effect sizes. Subsequently, confidence intervals were computed around the MES for each cognitive domain. (Hedges & Olkin, 1985; Lipsey & Wilson, 2001).