

## On the transition to instability for compressible vortex sheets

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We study the linear stability of a vortex sheet in a limit case that corresponds to a transition between a weakly stable regime and a violently unstable regime. We prove an energy estimate that reflects the high degeneracy of the uniform Kreiss–Lopatinskii condition.

### 1. Introduction

The existence of compressible vortex sheets is a nonlinear hyperbolic free-boundary problem. In three space dimensions, all constant vortex sheets are violently unstable (see, for example, [3]). In two space dimensions, a constant vortex sheet is violently unstable if and only if

$$\|\mathbf{u}_r - \mathbf{u}_l\| < 2\sqrt{2}c,$$

where  $\mathbf{u}_r$  and  $\mathbf{u}_l$  are the fluid velocities on either side of the interface and  $c$  is the sound speed (which is constant on either side of the interface). In a recent work [2], we have studied the stability of vortex sheets that satisfy

$$\|\mathbf{u}_r - \mathbf{u}_l\| > 2\sqrt{2}c,$$

and we have shown that the solutions to the linearized problem obey an *a priori* energy estimate. In this paper, we study the limit case

$$\|\mathbf{u}_r - \mathbf{u}_l\| = 2\sqrt{2}c.$$

We shall show that the linearized problem about such a constant vortex sheet still obeys an *a priori* estimate. However, the energy estimate is very weak, due to the unusual fact that the so-called Lopatinskii determinant has a triple root.

To avoid overloading the paper, we shall often refer to [2], where the reader will find detailed calculations and a wider list of references on the subject. As was done

in [2], we consider the compressible Euler equations in the whole space  $\mathbb{R}^2$ ,

$$\left. \begin{aligned} \partial_t \rho + \nabla \cdot (\rho \mathbf{u}) &= 0, \\ \partial_t (\rho \mathbf{u}) + \nabla \cdot (\rho \mathbf{u} \otimes \mathbf{u}) + \nabla p &= 0, \end{aligned} \right\} \quad (1.1)$$

where  $p = p(\rho)$  is the pressure law. It is assumed to be  $\mathcal{C}^\infty$  and increasing. As in [2], the sound speed is denoted by  $c$ . We also decompose the velocity  $\mathbf{u}$  as follows:  $\mathbf{u} = (v, u) \in \mathbb{R}^2$ .

In this paper, we consider a piecewise constant solution of (1.1) that takes the following form:

$$(\rho, \mathbf{u}) := \begin{cases} (\rho, v_r, 0) & \text{if } x_2 > 0, \\ (\rho, v_l, 0) & \text{if } x_2 < 0. \end{cases} \quad (1.2)$$

We are interested in the linear stability of this piecewise constant solution. We assume that the vortex sheet defined by (1.2) satisfies

$$v_r + v_l = 0 \quad \text{and} \quad v_r = \sqrt{2}c > 0. \quad (1.3)$$

## 2. The linearized equations

Because we deal with a free-boundary problem, it is convenient to fix the (unknown) interface by a change of variables. Then we linearize the nonlinear equations about the particular solution given by (1.2). The linearized equations read (see [2] for details)

$$\left. \begin{aligned} \mathcal{L}W &:= \mathcal{A}_0 \partial_t W + \mathcal{A}_1 \partial_{x_1} W + \mathcal{A}_2 \partial_{x_2} W = f & \text{if } x_2 > 0, \\ \mathcal{B}(W^{\text{nc}}, \psi) &:= \underline{M}W^{\text{nc}}|_{x_2=0} + \underline{b} \begin{pmatrix} \partial_t \psi \\ \partial_{x_1} \psi \end{pmatrix} = g & \text{if } x_2 = 0, \end{aligned} \right\} \quad (2.1)$$

where  $\psi$  is the unknown perturbed front and  $W$  is the following vector,

$$W := \left( \dot{v}_+, \dot{v}_-, -\frac{\dot{\rho}_+}{2\rho} + \frac{\dot{u}_+}{2c}, \frac{\dot{\rho}_-}{2\rho} + \frac{\dot{u}_-}{2c}, \frac{\dot{\rho}_+}{2\rho} + \frac{\dot{u}_+}{2c}, -\frac{\dot{\rho}_-}{2\rho} + \frac{\dot{u}_-}{2c} \right)^T,$$

where  $\dot{\rho}_+$  (respectively,  $\dot{\rho}_-$ ) denotes the perturbed density on the right (respectively, on the left) of the interface, and so on. In (2.1), the vector  $W^{\text{nc}}$  is obtained by retaining only the four last components of  $W$ . Furthermore, we recall that the matrices  $\mathcal{A}_j$  are given by the following formulae,

$$\mathcal{A}_0 := \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 2c^2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 2c^2 & 0 & 0 \\ 0 & 0 & 0 & 0 & 2c^2 & 0 \\ 0 & 0 & 0 & 0 & 0 & 2c^2 \end{pmatrix},$$

$$\begin{aligned}
 \mathcal{A}_1 &:= \begin{pmatrix} v_r & 0 & -c^2 & 0 & c^2 & 0 \\ 0 & v_l & 0 & c^2 & 0 & -c^2 \\ -c^2 & 0 & 2c^2v_r & 0 & 0 & 0 \\ 0 & c^2 & 0 & 2c^2v_l & 0 & 0 \\ c^2 & 0 & 0 & 0 & 2c^2v_r & 0 \\ 0 & -c^2 & 0 & 0 & 0 & 2c^2v_l \end{pmatrix}, \\
 \mathcal{A}_2 &:= \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & -2c^3 & 0 & 0 & 0 \\ 0 & 0 & 0 & -2c^3 & 0 & 0 \\ 0 & 0 & 0 & 0 & 2c^3 & 0 \\ 0 & 0 & 0 & 0 & 0 & 2c^3 \end{pmatrix},
 \end{aligned}$$

while  $\underline{M}$  and  $\underline{b}$  are defined as follows:

$$\underline{b} := \begin{pmatrix} 0 & v_r - v_l \\ 1 & v_r \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 2v_r \\ 1 & v_r \\ 0 & 0 \end{pmatrix}, \quad \underline{M} := \begin{pmatrix} -c & c & -c & c \\ -c & 0 & -c & 0 \\ -1 & -1 & 1 & 1 \end{pmatrix}. \tag{2.2}$$

Before stating our energy estimate for (2.1), we introduce some notation. First define the half-space

$$\Omega := \{(t, x_1, x_2) \in \mathbb{R}^3 \text{ such that } x_2 > 0\} = \mathbb{R}^2 \times ]0, +\infty[.$$

The boundary  $\partial\Omega$  is identified to  $\mathbb{R}^2$ . For all real number  $s$  and all  $\gamma \geq 1$ , we define the following norm on the Sobolev space  $H^s(\mathbb{R}^2)$ ,

$$\|u\|_{s,\gamma}^2 := \frac{1}{(2\pi)^2} \int_{\mathbb{R}^2} (\gamma^2 + |\xi|^2)^s |\hat{u}(\xi)|^2 d\xi,$$

where  $\hat{u}$  is the Fourier transform of any function  $u$  defined on  $\mathbb{R}^2$ . The space  $L^2(\mathbb{R}^+; H^s(\mathbb{R}^2))$  is equipped with the norm

$$\| \|u\|_{s,\gamma}^2 := \int_0^{+\infty} \|u(\cdot, x_2)\|_{s,\gamma}^2 dx_2.$$

In the sequel, the variable in  $\mathbb{R}^2$  is  $(t, x_1)$ , while  $x_2$  is the variable in  $\mathbb{R}^+$ .

Introducing  $\tilde{W} := \exp(-\gamma t)W$  and  $\tilde{\psi} := \exp(-\gamma t)\psi$ , we find that (2.1) is equivalent to

$$\left. \begin{aligned}
 \mathcal{L}^\gamma \tilde{W} &:= \gamma \mathcal{A}_0 \tilde{W} + \mathcal{L} \tilde{W} = \exp(-\gamma t)f && \text{if } x_2 > 0, \\
 \mathcal{B}^\gamma(\tilde{W}^{\text{nc}}, \tilde{\psi}) &:= \underline{M} \tilde{W}^{\text{nc}}|_{x_2=0} + \underline{b} \begin{pmatrix} \gamma \tilde{\psi} + \partial_t \tilde{\psi} \\ \partial_{x_1} \tilde{\psi} \end{pmatrix} = \exp(-\gamma t)g && \text{if } x_2 = 0.
 \end{aligned} \right\} \tag{2.3}$$

The main result of this paper is the following theorem.

**THEOREM 2.1.** *Assume that (1.3) holds. Then there exists a positive constant  $C$  such that, for all  $\gamma \geq 1$  and for all  $(\tilde{W}, \tilde{\psi}) \in H^4(\Omega) \times H^4(\mathbb{R}^2)$ , the following estimate holds:*

$$\gamma \| \tilde{W} \|_0^2 + \| \tilde{W}^{\text{nc}}|_{x_2=0} \|_0^2 + \| \tilde{\psi} \|_{1,\gamma}^2 \leq C \left( \frac{1}{\gamma^7} \| \mathcal{L}^\gamma \tilde{W} \|_{3,\gamma}^2 + \frac{1}{\gamma^6} \| \mathcal{B}^\gamma(\tilde{W}^{\text{nc}}, \tilde{\psi}) \|_{3,\gamma}^2 \right). \tag{2.4}$$

Recall that, under the assumption  $v_r > \sqrt{2}c$ , the main energy estimate we have proved in [2] involves the loss of only one derivative on the boundary and one derivative in the interior domain, that is,

$$\gamma \|\tilde{W}\|_0^2 + \|\tilde{W}^{nc}|_{x_2=0}\|_0^2 + \|\tilde{\psi}\|_{1,\gamma}^2 \leq C \left( \frac{1}{\gamma^3} \|\mathcal{L}^\gamma \tilde{W}\|_{1,\gamma}^2 + \frac{1}{\gamma^2} \|\mathcal{B}^\gamma(\tilde{W}^{nc}, \tilde{\psi})\|_{1,\gamma}^2 \right).$$

At the opposite, when  $v_r < \sqrt{2}c$ , the linearized equations (2.1) are violently ill-posed in any Sobolev or Hölder space (see [3]). In the limit case  $v_r = \sqrt{2}c$  that we are considering here, the Lopatinskii determinant associated with (2.1) has a triple root, which yields a very poor energy estimate. The transition case is thus really different from the one considered in [4]. As a matter of fact, it was shown in [1] that a situation where the Lopatinskii determinant has a multiple root corresponds to a transition between weak stability (here, the region  $v_r > \sqrt{2}c$ ) and violent instability (here, the region  $v_r < \sqrt{2}c$ ). However, we note that the result of [1] is derived when the root is double, and not triple as in our case.

### 3. Proof of the main result

We drop the tilde for convenience. Using the same argument as in [2, paragraph 4.1], we claim that it is sufficient to prove theorem 2.1 in the special case  $\mathcal{L}^\gamma W \equiv 0$ . Performing a Fourier transform in  $(t, x_1)$  and eliminating the unknown front in the boundary conditions, we are led to consider the following boundary-value problem,

$$\begin{aligned} (\tau \mathcal{A}_0 + i\eta \mathcal{A}_1) \hat{W} + \mathcal{A}_2 \frac{d\hat{W}}{dx_2} &= 0 \quad \text{if } x_2 > 0, \\ \beta(\tau, \eta) \hat{W}^{nc}(0) &= \hat{h}, \end{aligned} \tag{3.1}$$

where  $\hat{h}$  is a source term related to  $\mathcal{B}^\gamma(W^{nc}, \psi)$  and  $\beta$  is defined by

$$\beta(\tau, \eta) := \begin{pmatrix} -1 & -1 & 1 & 1 \\ -c(\tau + iv_1\eta) & c(\tau + iv_r\eta) & -c(\tau + iv_1\eta) & c(\tau + iv_r\eta) \end{pmatrix} \quad \forall (\tau, \eta) \in \Sigma.$$

The definition of the hemisphere  $\Sigma$  is the one we adopted in [2],

$$\Sigma := \{(\tau, \eta) \in \mathbb{C} \times \mathbb{R} \text{ such that } \operatorname{Re} \tau \geq 0 \text{ and } |\tau|^2 + v_r^2 \eta^2 = 1\}.$$

Moreover,  $\beta$  is homogeneous of degree 0 with respect to  $(\tau, \eta)$ .

We emphasize that it is still possible to eliminate the front in the limit case  $v_r = \sqrt{2}c$ . Lemma 1 of [2] also applies in this case.

Using the two first scalar equations in (3.1), we obtain the following system of ordinary differential equations,

$$\left. \begin{aligned} \frac{d\hat{W}^{nc}}{dx_2} &= \mathcal{A}(\tau, \eta) \hat{W}^{nc} \quad \text{if } x_2 > 0, \\ \beta(\tau, \eta) \hat{W}^{nc}(0) &= \hat{h} \quad \text{if } x_2 = 0, \end{aligned} \right\} \tag{3.2}$$

where  $\mathcal{A}(\tau, \eta)$  is defined by

$$\mathcal{A}(\tau, \eta) := \begin{pmatrix} \mu_r & 0 & -m_r & 0 \\ 0 & \mu_l & 0 & -m_l \\ m_r & 0 & -\mu_r & 0 \\ 0 & m_l & 0 & -\mu_l \end{pmatrix},$$

with

$$\mu_{r,l} := \frac{(1/c)(\tau + iv_{r,l}\eta)^2 + \frac{1}{2}c\eta^2}{\tau + iv_{r,l}\eta}, \quad m_{r,l} := \frac{\frac{1}{2}c\eta^2}{\tau + iv_{r,l}\eta}.$$

If we denote by  $\omega_r$  (respectively,  $\omega_l$ ) the roots of the negative real part of the equation

$$\omega^2 = \frac{1}{c^2}(\tau + iv_r\eta)^2 + \eta^2 \quad \left(\text{respectively, } \omega^2 = \frac{1}{c^2}(\tau + iv_l\eta)^2 + \eta^2\right),$$

then the stable subspace of  $\mathcal{A}(\tau, \eta)$  has dimension 2 and is spanned by the two vectors

$$E_r(\tau, \eta) := \left(\frac{1}{2}c\eta^2, 0, \frac{1}{c}(\tau + iv_r\eta)^2 + \frac{1}{2}c\eta^2 - (\tau + iv_r\eta)\omega_r, 0\right)^T,$$

$$E_l(\tau, \eta) := \left(0, \frac{1}{2}c\eta^2, 0, \frac{1}{c}(\tau + iv_l\eta)^2 + \frac{1}{2}c\eta^2 - (\tau + iv_l\eta)\omega_l\right)^T.$$

The Lopatinskii determinant is defined in the classical way,

$$\Delta(\tau, \eta) := \det[\beta(\tau, \eta)(E_r(\tau, \eta), E_l(\tau, \eta))]. \tag{3.3}$$

It is continuous on the whole closed hemisphere  $\Sigma$  (while the symbol  $\mathcal{A}$  has some poles on the boundary of  $\Sigma$ ). The following result describes the failure of the uniform Lopatinskii condition.

**PROPOSITION 3.1.** *Assume that (1.3) holds. Then one has  $\Delta(\tau, \eta) = 0$  if and only if  $\tau = 0$ . Furthermore, there exists a neighbourhood  $\mathcal{V}$  of  $(0, 1/v_r)$  in  $\Sigma$  and a  $C^\infty$  function  $h$  defined on  $\mathcal{V}$  such that*

$$\Delta(\tau, \eta) = \tau^3 h(\tau, \eta) \quad \text{and} \quad h(0, 1/v_r) \neq 0 \quad \forall (\tau, \eta) \in \mathcal{V}.$$

A similar result holds near  $(0, -1/v_r)$ .

*Proof.* We first compute

$$\Delta(\tau, \eta) = -c^2(\tau + iv_r\eta - c\omega_r)(\tau + iv_l\eta - c\omega_l)(\omega_r + \omega_l)(\omega_r\omega_l - \eta^2).$$

The first two factors  $(\tau + iv_r\eta - c\omega_r)$  and  $(\tau + iv_l\eta - c\omega_l)$  do not vanish on  $\Sigma$ . The sum  $\omega_r + \omega_l$  does not vanish when  $\tau$  has positive real part, since both numbers  $\omega_{r,l}$  have negative real part. When  $\tau$  is purely imaginary, one extends  $\omega_{r,l}$  by continuity. Using the formulae given in [2, paragraph 5.1], one shows that  $\omega_r + \omega_l = 0$  if and only if  $\tau = 0$ . Moreover, there exists a neighbourhood  $\mathcal{V}$  of  $(0, 1/v_r)$  and a  $C^\infty$  function  $h_1$  defined on  $\mathcal{V}$  such that

$$\omega_r + \omega_l = \tau h_1(\tau, \eta) \quad \text{and} \quad h_1(0, 1/v_r) \neq 0 \quad \forall (\tau, \eta) \in \mathcal{V}.$$

If the last term  $(\omega_r\omega_1 - \eta^2)$  vanishes, then  $\eta \neq 0$ , and we compute that  $V := \tau/(i\eta)$  satisfies

$$V^2(V^2 - 6c^2) = 0.$$

Here we have used the relation  $v_r = \sqrt{2}c$ . When  $V = \sqrt{6}c$ , we use the formulae given in [2, paragraph 5.1], and compute

$$\omega_r = -i\eta\sqrt{7 + 4\sqrt{3}}, \quad \omega_1 = -i\eta\sqrt{7 - 4\sqrt{3}}.$$

Therefore,  $\omega_r\omega_1 = -\eta^2 \neq \eta^2$  and  $\tau = i\sqrt{6}c\eta$  is not a root of the Lopatinskii determinant. Similar calculations show that  $\tau = -i\sqrt{6}c\eta$  is not a root either. When  $\tau = 0$ , we have

$$\omega_r = -i\eta \quad \text{and} \quad \omega_1 = i\eta,$$

so we have  $\omega_r\omega_1 = \eta^2$ . If we define  $\Omega_{r,1} := \omega_{r,1}/(i\eta)$ , then the function

$$f(V) := \Omega_r\Omega_1 + 1$$

is holomorphic near  $V = 0$ . Using the relations

$$\Omega_{r,1}^2 = \frac{1}{c^2}(V + v_{r,1})^2 - 1,$$

one shows that 0 is a double root of  $f$ , so we have

$$f(V) = V^2g(V),$$

for a suitable holomorphic function  $g$  that does not vanish at 0. This shows that there exists a neighbourhood  $\mathcal{V}$  of  $(0, 1/v_r)$  and a  $C^\infty$  function  $h_2$  defined on  $\mathcal{V}$  such that

$$\omega_r\omega_1 - \eta^2 = \tau^2h_2(\tau, \eta) \quad \text{and} \quad h_2(0, 1/v_r) \neq 0 \quad \forall (\tau, \eta) \in \mathcal{V}.$$

This completes the proof. □

In order to construct a *degenerate* symmetrizer near the roots of the Lopatinskii determinant, we need to precise the behaviour of the matrix  $\beta(\tau, \eta)$  restricted to the stable subspace of  $\mathcal{A}(\tau, \eta)$ . This is summarized in the following lemma.

**LEMMA 3.2.** *There exists a neighbourhood  $\mathcal{V}$  of  $(0, 1/v_r)$  in  $\Sigma$  and a constant  $\kappa_0 > 0$  such that the following estimate holds for all  $(\tau, \eta) \in \mathcal{V}$ :*

$$|\beta(\tau, \eta)(E_r(\tau, \eta), E_1(\tau, \eta))Z^-|^2 \geq \kappa_0\gamma^6|Z^-|^2 \quad \forall Z^- \in \mathbb{C}^2. \tag{3.4}$$

*A similar result holds near  $(0, -1/v_r)$ .*

*Proof.* We have

$$\beta \begin{pmatrix} E_r & E_1 \end{pmatrix} = \begin{pmatrix} (\tau + iv_r\eta)(c^{-1}(\tau + iv_r\eta) - \omega_r) & (\tau + iv_1\eta)(c^{-1}(\tau + iv_1\eta) - \omega_1) \\ -c\omega_r(\tau + iv_1\eta)(c\omega_r - (\tau + iv_r\eta)) & c\omega_1(\tau + iv_r\eta)(c\omega_1 - (\tau + iv_1\eta)) \end{pmatrix}$$

for all  $(\tau, \eta) \in \Sigma$ . Consequently, the upper left-hand corner coefficient of  $\beta(E_rE_1)$  does not vanish near the point  $(0, 1/v_r)$ . Writing

$$\beta \begin{pmatrix} E_r & E_1 \end{pmatrix} = \begin{pmatrix} \zeta_1 & \zeta_2 \\ \zeta_3 & \zeta_4 \end{pmatrix},$$

we easily obtain the equality

$$\begin{pmatrix} 1/\zeta_1 & 0 \\ -\zeta_3/\zeta_1 & 1 \end{pmatrix} \beta(E_r \ E_1) \begin{pmatrix} 1 & -\zeta_2 \\ 0 & \zeta_1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & \Delta \end{pmatrix}.$$

In particular, we obtain the estimate

$$|\beta(E_r \ E_1) Z^-|^2 \geq \kappa \min(1, |\Delta|^2) |Z^-|^2,$$

for a suitable constant  $\kappa > 0$  that is independent on  $(\tau, \eta)$  in a neighbourhood of  $(0, 1/v_r)$ . One uses the factorization given in proposition 3.1 to conclude the proof.  $\square$

We are now able to construct a degenerate Kreiss symmetrizer near the points where the Lopatinskii determinant vanishes. Following [2], we already know that there exists a neighbourhood  $\mathcal{V}$  of  $(0, 1/v_r)$  in  $\Sigma$  and a  $C^\infty$  mapping  $T$  on  $\mathcal{V}$  such that

$$T(\tau, \eta) \mathcal{A}(\tau, \eta) T(\tau, \eta)^{-1} = \begin{pmatrix} \omega_r & 0 & 0 & 0 \\ 0 & \omega_1 & 0 & 0 \\ 0 & 0 & -\omega_r & 0 \\ 0 & 0 & 0 & -\omega_1 \end{pmatrix} \quad \forall (\tau, \eta) \in \mathcal{V}.$$

The first two columns of  $T(\tau, \eta)^{-1}$  are the vectors  $E_r(\tau, \eta)$  and  $E_1(\tau, \eta)$ . We define our symmetrizer  $r$  in the following way,

$$r(\tau, \eta) := \begin{pmatrix} -\gamma^6 & 0 & 0 & 0 \\ 0 & -\gamma^6 & 0 & 0 \\ 0 & 0 & K & 0 \\ 0 & 0 & 0 & K \end{pmatrix} \quad \forall (\tau, \eta) \in \mathcal{V},$$

with  $K \geq 1$  to be fixed large enough. The matrix  $r(\tau, \eta)$  is hermitian and we have

$$\operatorname{Re}(r(\tau, \eta) T(\tau, \eta) \mathcal{A}(\tau, \eta) T(\tau, \eta)^{-1}) \geq \kappa \gamma \begin{pmatrix} \gamma^6 & 0 & 0 & 0 \\ 0 & \gamma^6 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad \forall (\tau, \eta) \in \mathcal{V}, \quad (3.5)$$

for a suitable  $\kappa > 0$ . We have used the standard notation

$$\operatorname{Re} M := \frac{1}{2} M + M^*.$$

Now we let

$$\tilde{\beta}(\tau, \eta) := \beta(\tau, \eta) T(\tau, \eta)^{-1}.$$

Recall that the first two columns of  $T(\tau, \eta)^{-1}$  are  $E_r$  and  $E_1$ . Let

$$Z = (Z^-, Z^+) \in \mathbb{C}^4,$$

with  $Z^-, Z^+ \in \mathbb{C}^2$ . Writing

$$\tilde{\beta}(\tau, \eta) Z = \tilde{\beta}(\tau, \eta) \begin{pmatrix} Z^- \\ 0 \end{pmatrix} + \tilde{\beta}(\tau, \eta) \begin{pmatrix} 0 \\ Z^+ \end{pmatrix},$$

and using (3.4), we obtain

$$\kappa_0 \gamma^6 |Z^-|^2 \leq C_0 (|\tilde{\beta}(\tau, \eta)Z|^2 + |Z^+|^2),$$

for some appropriate  $\kappa_0 > 0$  and  $C_0 > 0$ . In the definition of the symmetrizer  $r$ , we choose  $K := 2C_0/\kappa_0 + 1$ . This choice yields the inequality

$$\langle r(\tau, \eta)Z, Z \rangle_{\mathbb{C}^4} + \frac{2C_0}{\kappa_0} |\tilde{\beta}(\tau, \eta)Z|^2 \geq \gamma^6 |Z^-|^2 + |Z^+|^2 \geq \gamma^6 |Z|^2,$$

that is,

$$r(\tau, \eta) + C(\tilde{\beta}(\tau, \eta))^* \tilde{\beta}(\tau, \eta) \geq \gamma^6 I \quad \forall (\tau, \eta) \in \mathcal{V}. \quad (3.6)$$

The construction of the symmetrizer near the other points of  $\Sigma$  is the same as what was done in [2], so we shall not detail it. To derive the energy estimate, we proceed as in [2, paragraph 4.9], using a finite covering of  $\Sigma$  and a partition of unity  $(\chi_i)_{1 \leq i \leq I}$ . In particular, when the support of  $\chi_i$  is a neighbourhood of a point where the Lopatinskii condition fails, we use (3.5) and (3.6) to derive an estimate that reads

$$\begin{aligned} \gamma \chi_i(\tau, \eta)^2 \int_0^{+\infty} |\hat{W}^{\text{nc}}(\tau, \eta, x_2)|^2 dx_2 + \chi_i(\tau, \eta)^2 |\hat{W}^{\text{nc}}(\tau, \eta, 0)|^2 \\ \leq \frac{C}{\gamma^6} \chi_i(\tau, \eta)^2 |\hat{h}|^2 (|\tau|^2 + v_r^2 \eta^2)^3. \end{aligned}$$

When the support of  $\chi_i$  is a neighbourhood of a point where the Lopatinskii condition is satisfied, we obtain the following energy estimate (see [2] for details):

$$\gamma \chi_i(\tau, \eta)^2 \int_0^{+\infty} |\hat{W}^{\text{nc}}(\tau, \eta, x_2)|^2 dx_2 + \chi_i(\tau, \eta)^2 |\hat{W}^{\text{nc}}(\tau, \eta, 0)|^2 \leq C \chi_i(\tau, \eta)^2 |\hat{h}|^2.$$

Integrating with respect to the frequencies, and using Plancherel's theorem, we obtain (2.4). This completes the proof.

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