

THE NEAT EMBEDDING PROBLEM FOR ALGEBRAS OTHER THAN CYLINDRIC ALGEBRAS AND FOR INFINITE DIMENSIONS

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Abstract. Hirsch and Hodkinson proved, for $3 \leq m < \omega$ and any $k < \omega$, that the class $SNr_m \mathbf{CA}_{m+k+1}$ is strictly contained in $SNr_m \mathbf{CA}_{m+k}$ and if $k \geq 1$ then the former class cannot be defined by any finite set of first-order formulas, within the latter class. We generalize this result to the following algebras of m -ary relations for which the neat reduct operator \mathfrak{Nr}_m is meaningful: polyadic algebras with or without equality and substitution algebras. We also generalize this result to allow the case where m is an infinite ordinal, using quasipolyadic algebras in place of polyadic algebras (with or without equality).

Cylindric algebra is an algebraic correspondent of first-order logic with no constants or functions, more specifically n -dimensional cylindric algebra, \mathbf{CA}_n , is an algebraic correspondent of first-order logic restricted to n indexed variables, for finite n . An algebra in \mathbf{CA}_n is a boolean algebra together with a cylindrifier c_i , which acts as a unary operator and corresponds to existential quantification of the i th variable, and a diagonal d_{ij} element corresponding to the equality of the i th and j th variable, for $i, j < n$. For $m < n$, the neat reduct $\mathfrak{Nr}_m \mathcal{C}$ of a $\mathcal{C} \in \mathbf{CA}_n$ is the m -dimensional cylindric algebra obtained by restricting to those elements $c \in \mathcal{C}$ such that $c_i c = c$ for $m \leq i < n$, and restricting to those cylindrifiers and diagonals indexed by m . If $\mathbf{K} \subseteq \mathbf{CA}_n$ we write $Nr_m \mathbf{K}$ for $\{\mathfrak{Nr}_m \mathcal{C} : \mathcal{C} \in \mathbf{K}\}$. It is not the case that every algebra in \mathbf{CA}_m is the neat reduct of an algebra in \mathbf{CA}_n , nor need it even be a subalgebra of a neat reduct of an algebra in \mathbf{CA}_n . Furthermore, $SNr_m \mathbf{CA}_{m+k+1} \neq SNr_m \mathbf{CA}_{m+k}$, whenever $3 \leq m < \omega$ and $k < \omega$ [10]. A consequence of this is that there are m -variable formulas that can be proved with $m + k + 1$ -variables, but not with $m + k$ -variables, in a certain, fairly typical, proof system.

Other algebras may be defined corresponding to restrictions or extensions of the n -variable first-order logic described above. Because our focus is on neat reducts, we will only consider n -dimensional algebras where the cylindrifiers c_i are included, or at least are definable, within the set of operators of the algebra. Without that restriction it would not be possible to define a neat reduct and our algebras would correspond to first-order logic without quantifiers, we do not consider that case here. But we might choose to drop the diagonals from our signature (corresponding

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to first-order logic without equality), or we may add permutation operators, corresponding to permutations of the variables in first-order logic. By generalizing the results about neat reducts of m -dimensional cylindric algebras to other m -dimensional algebras, such as polyadic algebras with or without equality, diagonal free cylindric algebras and substitution algebras, one may deduce that there are m -variable formulas provable with $m + k + 1$ -variables but not with $m + k$ -variables in logical proof systems similar to the one in [9], but with additional inference rules (corresponding to polyadic equality algebras (**PEA**)) or fewer weaker inference rules (corresponding to substitution algebras (**Sc**)).

§1. Preliminaries. For cardinals m, n we write ${}^m n$ for the set of maps from m to n . If U is an ultrafilter over $\varphi(I)$ and if \mathcal{A}_i is some structure (for $i \in I$) we write either $\prod_{i \in I} \mathcal{A}_i / U$ or $\prod_{i \in U} \mathcal{A}_i$ for the ultraproduct of the \mathcal{A}_i over U . Fix some ordinal $n \geq 2$. For $i, j < n$ the replacement $[i/j]$ is the map that is like the identity on n except that i is mapped to j and the transposition $[i, j]$ is like the identity on n except that i is swapped with j . A map $\tau : n \rightarrow n$ is finitary if the set $\{i < n : \tau(i) \neq i\}$ is finite, so if n is finite then all maps $n \rightarrow n$ are finitary. It is known, and not hard to show, that any finitary permutation is a product of transpositions and any finitary noninjective map is a product of replacements.

The standard reference for all the classes of algebras mentioned previously is [5]. Each class in $\{\mathbf{Df}_n, \mathbf{Sc}_n, \mathbf{CA}_n, \mathbf{PA}_n, \mathbf{PEA}_n, \mathbf{QPA}_n, \mathbf{QPEA}_n\}$ consists of boolean algebras with extra operators, as shown in Figure 1, where d_{ij} is a nullary operator (constant), $c_i, s_\tau, s_{[i/j]}$ and $s_{[i,j]}$ are unary operators, for $i, j < n, \tau : n \rightarrow n$. For finite n , polyadic algebras are the same as quasi-polyadic algebra and for the infinite dimensional case we restrict our attention to quasi-polyadic algebras in $\mathbf{QPA}_n, \mathbf{QPEA}_n$. Each class is defined by a finite set of equation schema. Existing in a somewhat scattered form in the literature, equations defining $\mathbf{Sc}_n, \mathbf{QPA}_n$, and \mathbf{QPEA}_n are given in Section 4, Definition 4.1. For \mathbf{CA}_n we follow the standard axiomatization given in [4, Definition 1.1.1]. For any operator o of any of these signatures, we write $\dim(o) (\subseteq n)$ for the set of dimension ordinals used by o , e.g., $\dim(c_i) = \{i\}$, $\dim(s_{[i/j]}) = \dim(d_{ij}) = \{i, j\}$. An algebra \mathcal{A} in \mathbf{QPEA}_n has operators that can define any operator of $\mathbf{QPA}_n, \mathbf{CA}_n, \mathbf{Sc}_n$, and \mathbf{Df}_n . Thus, we may obtain the reducts $\mathfrak{Rd}_K(\mathcal{A})$ for $K \in \{\mathbf{QPEA}_n, \mathbf{QPA}_n, \mathbf{CA}_n, \mathbf{Sc}_n, \mathbf{Df}_n\}$ and it turns out that the reduct always satisfies the equations defining the relevant

Class	Extra operators
\mathbf{Df}_n	$c_i : i < n$
\mathbf{Sc}_n	$c_i, s_{[i/j]} : i, j < n$
\mathbf{CA}_n	$c_i, d_{ij} : i, j < n$
\mathbf{PA}_n	$c_i, s_\tau : i < n, \tau \in {}^n n$
\mathbf{PEA}_n	$c_i, d_{ij}, s_\tau : i, j < n, \tau \in {}^n n$
\mathbf{QPA}_n	$c_i, s_{[i/j]}, s_{[i,j]} : i, j < n$
\mathbf{QPEA}_n	$c_i, d_{ij}, s_{[i/j]}, s_{[i,j]} : i, j < n$

FIGURE 1. Nonboolean operators for the classes.

class so $\mathfrak{Rd}_K(\mathcal{A}) \in K$. Similarly from any algebra \mathcal{A} in any of the classes \mathbf{QPEA}_n , \mathbf{QPA}_n , \mathbf{CA}_n , \mathbf{Sc}_n we may obtain the reduct $\mathfrak{Rd}_{\mathbf{Sc}}(\mathcal{A}) \in \mathbf{Sc}_n$ [2].

Let $\mathbf{K} \in \{\mathbf{QPEA}, \mathbf{QPA}, \mathbf{CA}, \mathbf{Sc}, \mathbf{Df}\}$, let $\mathcal{A} \in \mathbf{K}_n$ and let $2 \leq m \leq n$ (possibly infinite ordinals). The *reduct to m dimensions* $\mathfrak{Rd}_m(\mathcal{A}) \in \mathbf{K}_m$ is obtained from \mathcal{A} by restricting to those operators o such that $\dim(o) \subseteq m$. The *neat reduct to m dimensions* is the algebra $\mathfrak{Nr}_m(\mathcal{A}) \in \mathbf{K}_m$ with universe $\{a \in \mathcal{A} : m \leq i < n \rightarrow c_i a = a\}$ where all operators o with $\dim(o) \subseteq m$ are induced from \mathcal{A} (see [4, Definition 2.6.28] for the \mathbf{CA} case). More generally, for $\Gamma \subseteq n$ we write $\mathfrak{Nr}_\Gamma \mathfrak{A}$ for the algebra whose universe is $\{a \in \mathcal{A} : i \in n \setminus \Gamma \rightarrow c_i a = a\}$ with all the operators o of \mathcal{A} where $\dim(o) \subseteq \Gamma$. Let $\mathcal{A} \in \mathbf{K}_m$, $\mathcal{B} \in \mathbf{K}_n$. An injective homomorphism $f : \mathcal{A} \rightarrow \mathcal{B}$ is a *neat embedding* if the range of f is a subalgebra of $\mathfrak{Nr}_m(\mathcal{B})$. The notions of neat reducts and neat embeddings have proved useful in analyzing the number of variables needed in proofs, as well as for proving representability results, via the so-called neat embedding theorems [1, 11, 12].

Let $m \leq n$ be ordinals and let $\rho : m \rightarrow n$ be an injection. For any n -dimensional algebra \mathcal{B} (substitution, cylindric, or quasi-polyadic algebra with or without equality) we define an m -dimensional algebra $\mathfrak{Rd}^\rho(\mathcal{B})$, with the same universe and boolean structure as \mathcal{B} , where the (ij) th diagonal of $\mathfrak{Rd}^\rho(\mathcal{B})$ is $d_{\rho(i)\rho(j)} \in \mathcal{B}$ (if diagonals are included in the signature of the algebra), the i th cylindrifier is $c_{\rho(i)}$, the i for j replacement operator is the operator $s_{\rho(j)}^{\rho(i)}$ of \mathcal{A} when it is not term definable from the other operations, namely, in the two cases of \mathbf{Sc} and \mathbf{QPA} (in the presence of diagonal elements and cylindrifiers these operations are term definable), the ij transposition operator is $s_{\rho(i)\rho(j)}$ if included in the signature, for $i, j < m$. It is easy to check, for $\mathbf{K} \in \{\mathbf{Df}, \mathbf{Sc}, \mathbf{CA}, \mathbf{QPA}, \mathbf{QPEA}\}$, that if $\mathcal{B} \in \mathbf{K}_n$ then $\mathfrak{Rd}^\rho(\mathcal{B}) \in \mathbf{K}_m$. Also, for $\mathcal{B} \in \mathbf{K}_n$ and $x \in \mathcal{B}$, we define $\mathfrak{Rl}_x(\mathcal{B})$ by ‘restriction to x ’, so the universe is the set of elements of \mathcal{B} below x , where the boolean unit is x , boolean zero and sum are not changed, boolean complementation is relative to x , and the result of applying any nonboolean operator is obtained by using the operator for \mathcal{B} and intersecting with x . It is not always the case that $\mathfrak{Rl}_x(\mathcal{B})$ is a \mathbf{K}_n (we can lose commutativity of cylindrifiers).

The main question we address in this paper is whether $\mathbf{SNr}_m \mathbf{K}_n = \mathbf{K}_m$, where $m < n$ are possibly infinite ordinals and $\mathbf{K} \in \{\mathbf{Df}, \mathbf{Sc}, \mathbf{CA}, \mathbf{QPA}, \mathbf{QPEA}\}$ and, if not, whether $\mathbf{SNr}_m \mathbf{K}_n$ may be defined within \mathbf{K}_m using only finitely many axioms (or finitely many axiom schemas, when m is infinite). The case $\mathbf{K} = \mathbf{Df}$ of diagonal free algebra is easily answered: $\mathbf{SNr}_m \mathbf{Df}_n = \mathbf{Df}_m$, for $3 \leq m \leq n$, see [5, Theorem 5.1.31]. We show that in all the other cases, the answers are negative. In order to generalize the results of [10] to these other classes of algebra, we define an m -dimensional polyadic equality type algebra $\mathfrak{C}(m, n, r)$ where $3 \leq m \leq n$, $r < \omega$ (see Definition 2.2 below). These algebras are based on a relation algebra construction that first appeared in [6, 7] or see [8, Section 15.2], modified here so that the elements become n -dimensional rather than two dimensional. Still, although they are n -dimensional, all of their elements are generated by two dimensional elements. We will then prove the following theorem.

THEOREM 1.1. *Let $3 \leq m \leq n$ and $r < \omega$.*

- I. $\mathfrak{C}(m, n, r) \in \mathbf{Nr}_m \mathbf{QPEA}_n$,
- II. $\mathfrak{Rd}_{\mathbf{Sc}} \mathfrak{C}(m, n, r) \notin \mathbf{SNr}_m \mathbf{Sc}_{n+1}$,

III. $\Pi_{r/U} \mathfrak{C}(m, n, r)$ is elementarily equivalent to a countable polyadic equality algebra $\mathfrak{C} \in \text{Nr}_m \mathbf{QPEA}_{n+1}$.

The proof of this theorem is the substantial part of this paper. The proofs are similar to proofs of corresponding results in [7] but modified for the signatures considered here and with some further modifications. To prove the first two parts, the algebras we consider will only have elements generated by two dimensional elements, however for the third part we will also consider elements that are essentially three dimensional (hence we will introduce three dimensional hypernetworks, for this part only). From this theorem we deduce the following.

COROLLARY 1.2. *Let $\mathbf{K} \in \{\mathbf{QPEA}, \mathbf{QPA}, \mathbf{CA}, \mathbf{Sc}\}$, let $3 \leq m < n < \omega$. Then $\text{SNr}_m \mathbf{K}_{n+1}$ is a proper subclass of $\text{SNr}_m \mathbf{K}_n$ which cannot be defined, within $\text{SNr}_m \mathbf{K}_{n+1}$, by any finite set of first-order sentences.*

PROOF. We remarked earlier that for each choice of \mathbf{K} and each n , the operators of \mathbf{Sc}_n are definable by the operators of \mathbf{K}_n which are themselves definable by the operators of \mathbf{QPEA}_n . Hence, it follows from (I) that $\mathfrak{Rd}_{\mathbf{K}} \mathfrak{C}(m, n, r) \in \text{Nr}_m \mathbf{K}_n$, from (II) $\mathfrak{Rd}_{\mathbf{K}} \mathfrak{C}(m, n, r) \notin \text{SNr}_m \mathbf{K}_{n+1}$, for $r < \omega$, and from (III) $\mathfrak{Rd}_{\mathbf{K}}(\mathfrak{C}) \in \text{Nr}_m \mathbf{K}_{n+1}$. Now suppose for contradiction that ϕ is a sentence defining $\text{SNr}_m \mathbf{K}_n$ within $\text{SNr}_m \mathbf{K}_{n+1}$. Let U be any nonprincipal ultrafilter over ω . Since $\mathfrak{Rd}_{\mathbf{K}} \mathfrak{C}(m, n, r) \in \text{Nr}_m \mathbf{K}_n \setminus \text{SNr}_m \mathbf{K}_{n+1}$, $\mathfrak{Rd}_{\mathbf{K}}(\mathfrak{C}(m, n, r)) \not\models \phi$, for each $r < \omega$. By Łoś's theorem, $\Pi_{r/U} \mathfrak{Rd}_{\mathbf{K}} \mathfrak{C}(m, n, r) \models \neg \phi$. By elementary equivalence $\mathfrak{C} \models \neg \phi$, contradicting (III). \dashv

We will prove (I), (II), (III) below, after we have defined the algebras $\mathfrak{C}(m, n, r)$. For some time to come we restrict our attention to finite ordinals, which we denote by m, n, \dots , etc.

§2. Main construction. Now we define algebras $\mathfrak{C}(m, n, r) \in \mathbf{QPEA}_m$ for $3 \leq m \leq n < \omega$ and any linear order r . These algebras are based on the relation algebras defined in [6, Section 3].

DEFINITION 2.1. *Define a function $\kappa : \omega \times \omega \rightarrow \omega$ by $\kappa(x, 0) = 0$ (all $x < \omega$) and $\kappa(x, y + 1) = 1 + x \times \kappa(x, y)$ (all $x, y < \omega$). For $n, r < \omega$ let*

$$\psi(n, r) = \kappa((n - 1)r, (n - 1)r) + 1.$$

All of this is simply to ensure that $\psi(n, r)$ is sufficiently big compared to n, r for the proof of nonembeddability to work. The second parameter $r < \omega$ may be considered as a finite linear order of length r . We may extend the definition of ψ to the case where its second parameter is an arbitrary linear order by letting $\psi(n, r) = \omega$ for any infinite linear order r . For any $n < \omega$ and any linear order r , let

$$\text{Bin}(n, r) = \{Id\} \cup \{a^k(i, j) : i < n - 1, j \in r, k < \psi(n, r)\}$$

where $Id, a^k(i, j)$ are distinct objects indexed by k, i, j . For $i < n - 1, j \in r, k < \psi(n, r)$ let

$$\begin{aligned} a(i, -) &= \{a^k(i, j) : j \in r, k < \psi(n, r)\}, \\ a(-, j) &= \{a^k(i, j) : i < n - 1, k < \psi(n, r)\}, \\ a^k &= \{a^k(i, j) : i < n - 1, j \in r\}, \end{aligned}$$

$$\begin{aligned}
 a(i, j) &= \{a^k(i, j) : k < \psi(n, r)\}, \\
 a(-, > j) &= \bigcup_{j < j' \in r} a(-, j'), \\
 a(-, \leq j) &= \bigcup_{j' \leq j \in r} a(-, j'), \text{ and} \\
 a &= \bigcup_{i < n-1} a(i, -).
 \end{aligned}$$

Let $3 \leq m \leq n < \omega$ and let r be any linear order. Let $F(m, n, r)$ be the set of all functions $f : m \times m \rightarrow \text{Bin}(n, r)$ such that for all $x, y, z < m$ we have $f(x, x) = \text{Id}$, $f(x, y) = f(y, x)$, and $(f(x, y), f(y, z), f(x, z)) \notin \text{Forb}$, where Forb (the forbidden triples) is the following set of triples

$$\begin{aligned}
 &\{(Id, b, c) : b \neq c \in \text{Bin}(n, r)\} \\
 &\cup \\
 &\{(a^k(i, j), a^{k'}(i, j), a^{k^*}(i, j')) : k, k', k^* < \psi(n, r), i < n-1, j' \leq j \in r\}.
 \end{aligned}$$

Since the variables x, y, z in the definition of $F(m, n, r)$ are universally quantified, it actually follows that $(f(x, y), f(y, z), f(x, z))$ avoids all Peirceans of forbidden triples, for $f \in F(m, n, r)$, e.g., we cannot have $(f(x, y), f(y, z), f(x, z)) = (b, \text{Id}, c)$ for $b \neq c$ since this would entail $(f(y, z), f(z, x), f(y, x)) = (f(y, z), f(x, z), f(x, y)) = (\text{Id}, c, b) \notin \text{Forb}$, contrary to the definition of Forb . For any $f, g \in F(m, n, r)$ and $x, y < m$ we write $f \equiv_{xy} g$ if for all $w, z \in m \setminus \{x, y\}$ we have $f(w, z) = g(w, z)$. We may write $f \equiv_x g$ instead of $f \equiv_{xx} g$. For $\tau : m \rightarrow m$ we write $(f\tau)$ for the function defined by

$$(f\tau)(x, y) = f(\tau(x), \tau(y)). \quad (1)$$

Clearly, if $f \in F(m, n, r)$ then $(f\tau) \in F(m, n, r)$.

For the next couple of sections we will consider cases where $r < \omega$ is a finite linear order. The idea behind these algebras $\mathfrak{C}(m, n, r)$ (formalized below) may be sketched as follows. To prove Theorem 1.1(II) we will assume for contradiction that $\mathfrak{Rd}_{\text{Sc}}\mathfrak{C}(m, n, r) \subseteq \mathfrak{Nrc}\mathfrak{C}$ for some $\mathfrak{C} \in \mathbf{Sc}_{n+1}$, some finite m, n, r . We will show, by an inductive proof, that there must be a large set S of distinct elements of \mathfrak{C} , satisfying certain inductive assumptions, which we outline next. For each $s \in S$ and $i, j < n+1$ there is an element $\alpha(s, i, j) \in \text{Bin}(n, r)$ obtained from s by cylindrifying all dimensions in $(n+1) \setminus \{i, j\}$, then using substitutions to replace i, j by $0, 1$. We show that $(\alpha(s, i, j), \alpha(s, j, k), \alpha(s, i, k)) \notin \text{Forb}$, for all $s \in S$ and $i, j, k < n+1$. Our inductive assumptions state, among other things, that $c_n(s)$ is constant, for $s \in S$, and for $l < n$ there are fixed $i < n-1, j < r$ such that for all $s \in S$ we have $\alpha(s, l, n) \leq a(i, j)$. This defines two functions $I : n \rightarrow (n-1), J : n \rightarrow r$ such that $\alpha(s, l, n) \leq a(I(l), J(l))$ for all $s \in S$. The rank $\rho(I, J)$ of (I, J) is the sum (over $i < n-1$) of the maximum j with $I(l) = i, J(l) = j$ (some $l < n$) or -1 if there is no such l . We will prove that there is a set S' with index functions (I', J') , still relatively large (large in terms of the number of times we need to repeat the induction step) where the same induction hypotheses hold but where $\rho(I', J') > \rho(I, J)$. By repeating this enough times (more than nr times) we obtain a nonempty set T with index functions of rank strictly greater than $(n-1) \times (r-1)$, an impossibility.

We sketch the induction step. Since I cannot be injective there must be distinct $l_1, l_2 < n$ such that $I(l_1) = I(l_2)$ and $J(l_1) \leq J(l_2)$. We may use l_1 as a “spare dimension” (changing the index functions on l will not reduce the rank). Since $c_n(s)$ is constant, we may fix $s_0 \in S$ so that for each $s \in S \setminus \{s_0\}$ we can pick $b \in \text{Bin}(n, r)$ such that $s' = c_{l_1} s_0 \cdot s_{[n/l_1]} c_{l_1} s \cdot \alpha(b, l, n)$ is nonzero, using the complete additivity of the operators. Let $S^* = \{s' : s \in S \setminus \{s_0\}\}$, we wish to re-establish the induction hypotheses for S^* , and many of these are simple to check. But suitable functions I', J' might not exist because $\alpha(s, l, n) \in \text{Bin}(n, r) \setminus \{Id\}$ might vary as s ranges over $S \setminus \{s_0\}$ (for $l' \neq l < n$ we can let $I'(l') = I(l')$ and $J'(l') = J(l')$). Still, because there are just $(n - 1)r$ possible values for the i, j indices of $\alpha(s, l, n)$ as s ranges over $S \setminus s_0$ there must be a subset $S' \subseteq S^*$ with $|S'| \geq \frac{|S|-1}{nr}$ and where there exist $i < n - 1, j < r$ such that for all $s \in S' \setminus \{s_0\}$ we have $\alpha(s, l, n) \leq a(i, j)$. Now we let I', J' be identical to I, J respectively, except $I'(l) = i, J'(l) = j$. With these index functions, the required set is S' and we check all the induction hypotheses. The size of S' is at least $\frac{|S|-1}{(n-1)r}$, still big enough to continue. It remains to show that the rank of (I', J') is strictly greater than that of (I, J) . For this, we show that $J'(l) \geq J(l)$ for all $l < n$. Since $(\alpha(s, i, j), \alpha(s, j, k), \alpha(i, k)) \notin \text{Forb}$ and by the definition of Forb either $\text{rng}(I')$ properly extends $\text{rng}(I)$ or there is $l < n$ such that $J'(l) > J(l)$, hence $\rho(I', J') > \rho(I, J)$.

DEFINITION 2.2. The universe of $\mathfrak{C}(m, n, r)$ is the power set of $F(m, n, r)$ and the operators are

- the boolean operators $+, -$ are union and set complement,
- the diagonal $d_{xy} = \{f \in F(m, n, r) : f(x, y) = Id\}$,
- the cylindrifier $c_x(X) = \{f \in F(m, n, r) : \exists g \in X f \equiv_x g\}$, and
- the polyadic $s_\tau(X) = \{f \in F(m, n, r) : f\tau \in X\}$,

for $x, y < m, X \subseteq F(m, n, r)$ and $\tau : m \rightarrow m$.

Let $x, y < m$ and let $b \in \text{Bin}(n, r)$. Define

$$b_{x,y} = \{f \in F(m, n, r) : f(x, y) = b\} \in \mathfrak{C}(m, n, r) \tag{2}$$

Observe, for any $x, y, z < m$ and $\lambda, \mu, \rho \in \text{Bin}(n, r)$, that

$$(u, v, w) \in \text{Forb} \iff u_{x,y} \cap v_{y,z} \cap w_{x,z} = \emptyset, \tag{3}$$

in particular we will use the case $(x, y, z) = (0, 1, 2)$, later.

LEMMA 2.3. For $3 \leq m, 2 \leq n$, and $r < \omega$ the algebra $\mathfrak{C}(m, n, r)$ satisfies all of the axioms defining \mathbf{QPEA}_m (see Definition 4.1, noting that for finite m , \mathbf{PEA}_m is the same as \mathbf{QPEA}_m) except, perhaps, the commutativity of cylindrifiers $c_x c_y(X) = c_y c_x(X)$.

PROOF. Routine. ⊥

LEMMA 2.4. If $3 \leq m \leq m'$ then $\mathfrak{C}(m, n, r) \cong \mathfrak{Nt}_m \mathfrak{C}(m', n, r)$.

PROOF. The isomorphism maps $X \subseteq F(m, n, r)$ to $\{f \upharpoonright_{m \times m} \in X\}$. ⊥

LEMMA 2.5. For $3 \leq m \leq n$ and $r < \omega, \mathfrak{C}(m, n, r) \in \mathbf{QPEA}_m$.

PROOF. If $r = 0$ then $\text{Bin}(n, r) = \{Id\}$ so $\mathfrak{C}(m, n, 0)$ is the trivial algebra hence $\mathfrak{C}(m, n, 0) \in \mathbf{QPEA}_m$. Now assume $r > 0$. In view of Lemma 2.3 we only have to check the commutativity of cylindrifiers: $c_x c_y X = c_y c_x X$, for $x, y < m$. This

equation is trivial if $x = y$ so assume not. By complete additivity, it suffices to check the case where X is an atom, $X = \{f\}$ for some $f \in F(m, n, r)$, that is we must show that $g \in c_x c_y \{f\} \iff g \in c_y c_x \{f\}$. Thus given $g \equiv_{xy} f$, it suffices to find $h \in F(m, n, r)$ such that $f \equiv_x h \equiv_y g$. If there is $z < m$, $z \neq x, y$ and $f(y, z) = Id$ then the required h is $g[y/z]$, or if $g(z, x) = Id$ the required h is $f[x/z]$. Suppose there is no such z , so for each $z < m$, $z \neq x, y$ we have $f(y, z), g(x, z) \in a$. Let $h : m \times m \rightarrow Bin(n, r)$ be identical to f on pairs not involving x , be identical to g on pairs not involving y (this is well-defined, since $f \equiv_{xy} g$) and let $h(x, y) = h(y, x) = a^0(i, 0)$, where i is the least number below $n - 1$ such that it is not the case that there is $z \neq x, y < m$ and $f(y, z), g(x, z) \in a(i, -)$. Since $m \leq n$ and there are only $m - 2$ possible values of z in $m \setminus \{x, y\}$ and $n - 1$ possible values of i , such an i must exist. This defines h . It is now easy to check that $h \in F(m, n, r)$. –

We can now prove Theorem 1.1 (I): if $3 \leq m \leq n$ and $r < \omega$ then $\mathfrak{C}(m, n, r) \cong \mathfrak{Nt}_m(\mathfrak{C}(n, n, r))$ by Lemma 2.4 and $\mathfrak{C}(n, n, r) \in \mathbf{QPEA}_n$ by Lemma 2.5, so $\mathfrak{C}(m, n, r) \in \mathbf{Nr}_m \mathbf{QPEA}_n$. Next, we prove Theorem 1.1 (II).

LEMMA 2.6. *Let $3 \leq m < \omega$, $2 \leq n < \omega$, $r < \omega$. $\mathfrak{Ad}_{\mathbf{Sc}} \mathfrak{C}(m, n, r) \notin \mathbf{SNr}_m \mathbf{Sc}_{n+1}$.*

PROOF. Suppose, for contradiction, that $\mathcal{X} \in \mathbf{Sc}_{n+1}$ and $\iota : \mathfrak{Ad}_{\mathbf{Sc}} \mathfrak{C}(m, n, r) \rightarrow \mathfrak{Nt}_m \mathcal{X}$ is an isomorphism. Let $B \subseteq Bin(n, r)$ and let $i < j < n + 1$. Define

$$\alpha(B, i, j) = \sum \{s_{[0/i]} s_{[1/j]} f' : f \in F(m, n, r), f(0, 1) \in B\} \in \mathcal{X}$$

For $b \in Bin(n, r)$ we may write $\alpha(b, i, j)$ instead of $\alpha(\{b\}, i, j)$. By additivity of the substitutions

$$\sum_{b \in Bin(n, r)} \alpha(b, i, j) = 1. \tag{4}$$

Further, for $i < j < k < n + 1$ and $b, c, d \in Bin(n, r)$, we have

$$\begin{aligned} \alpha(b, i, j) \cdot \alpha(c, j, k) \cdot \alpha(d, i, k) &= 0 \\ \iff \sum_{f \in F(m, n, r), f(0,1)=b} s_{[0/i]} s_{[1/j]} f' \cdot \sum_{g(0,1)=c} s_{[0/j]} s_{[1/k]} g' \\ &\quad \cdot \sum_{h(0,1)=d} s_{[0/i]} s_{[1/k]} h' = 0 \\ \iff \neg \exists p \in F(m, n, r) (p(i, j) = b, p(j, k) = c, p(i, k) = d) \\ \iff (b, c, d) \in \mathbf{Forb} \end{aligned}$$

Let

$$\beta^k = \alpha(a^k(0, 0), 0, n) \cdot \prod_{i < n} \alpha(Id, 0, i) \in \mathcal{X}.$$

Since there is $f \in F(m, n, r)$ with $f(0, 1) = a^k(0, 0)$, letting $\sigma : n + 1 \rightarrow n + 1$ be the function $\sigma(1) = n$, $\sigma(i) = 0$ ($i < n + 1, i \neq 1$), we have $0 \neq s_\sigma f' \leq \beta^k$, and clearly for $k \neq k' < \psi(n, r)$ we have $\beta^k \cdot \beta^{k'} \leq \alpha(a^k(0, 0), 0, n) \cdot \alpha(a^{k'}(0, 0), 0, n) = 0$.

Let $S_0 = \{\beta^k : k < \psi(n, r)\}$. We will prove by induction over t that if $t \leq (n - 1)r$ there is a set S_t with $|S_t| > \kappa((n - 1)r, (n - 1)r - t)$ and functions $I_t : \{0, \dots, n - 1\} \rightarrow \{0, \dots, n - 2\}$, $J_t : \{0, \dots, n - 1\} \rightarrow \{0, \dots, r - 1\}$, such that for all $\beta, \beta' \in S_t$

1. if $l < n$ then $\beta \leq \alpha(a(I_t(l), J_t(l)), l, n)$,
2. there is $k < \psi(n, r)$ unique to $\beta \in S_t$ such that $\beta \leq \alpha(a^k, 0, n)$,
3. $c_n \beta = c_n \beta'$,

To see that the case $t = 0$ holds: let $I_0(i) = 0, J_0(i) = 0$ (all $i < n$).

Given functions I_t, J_t as above and $i < n - 1$ let the *index of i with respect to I_t, J_t* be

$$ind(i, I_t, J_t) = \max(\{J_t(l) : l < n - 1, I_t(l) = i\} \cup \{-1\}).$$

Define the *rank* $\rho(I_t, J_t) = \sum_{i < n-1} ind(i, I_t, J_t)$. Observe that $ind(0, I_0, J_0) = 0$ and $ind(i, I_0, J_0) = -1$ for $0 < i < n - 1$, so $\rho(I_0, J_0) = 0 + (n - 2) \times (-1) = 2 - n$. We also assume, inductively,

$$4. \rho(I_t, J_t) \geq 2 - n + t.$$

We have seen that this last inductive condition also holds for $t = 0$.

Let $0 \leq t < (n - 1)r$ and assume these properties hold. Since $|\text{dom}(I_t)| = n$ and $|\text{rng}(I_t)| \leq n - 1$ there must be $u < v < n$ with $I_t(u) = I_t(v)$. Pick such a pair (u, v) and let $l = u$ if $J_t(u) \leq J_t(v)$, else let $l = v$. Note, by choice of l , that if I', J' are functions identical to I, J , respectively, except perhaps on l , then $\rho(I', J') \geq \rho(I, J)$.

Since $t < (n - 1)r$ we have $|S_t| > \kappa((n - 1)r, (n - 1)r - t) \geq \kappa((n - 1)r, 1) = 1$. Fix some $\beta_0 \in S_t$. For each $\beta \in S_t \setminus \{\beta_0\}$, since $c_n\beta = c_n\beta_0$, we have $c_n c_l s_{[n/l]} c_l(\beta) = c_n c_l(\beta_0)$, hence $c_l\beta_0 \cdot s_{[n/l]} c_l\beta \neq 0$. By (4), there is $b \in \text{Bin}(n, r)$ such that

$$\delta = c_l\beta_0 \cdot s_{[n/l]} c_l\beta \cdot \alpha(b, l, n) \neq 0.$$

We know that $\beta_0 \leq \alpha(a^{k_0}(0, 0), 0, n), \beta \leq \alpha(a^k(0, 0), 0, n)$ for some $k_0 \neq k < \psi(n, r)$, so $\delta \leq \alpha(a^{k_0}(0, 0), 0, l) \cdot \alpha(a^k(0, 0), 0, n) \cdot \alpha(b, l, n)$. By (5), $(a^{k_0}(0, 0), a^k(0, 0), b) \notin \text{Forb}$ and we cannot have $b = Id$. Hence $b = a^{k'}(i, j)$ for some $i < n - 1, j < r, k' < \psi(n, r)$. For $i < n, j < r$, let

$$S(i, j) = \{c_l\beta_0 \cdot s_{[n/l]} c_l\beta \cdot \alpha(a^k(i, j), l, n) : k < \psi(n, r), \beta \in S_t \setminus \{\beta_0\}\} \setminus \{0\}.$$

By cardinalities, there are fixed $i_0 < n - 1$ and $j_0 < r$ such that $|S(i_0, j_0)| \geq \frac{|S_t|-1}{(n-1)r} > \frac{\kappa((n-1)r, (n-1)r-t)-1}{(n-1)r} = \kappa((n-1)r, (n-1)r - (t+1))$. Let $S_{t+1} = S(i_0, j_0)$, let I_{t+1} be identical to I_t except that $l \mapsto i_0$ and let J_{t+1} be identical to J_t except that $l \mapsto j_0$. If $i_0 \notin \text{rng}(I_t)$ then $Ind(i_0, I_{t+1}, J_{t+1}) = j_0 \geq 0 > -1 = Ind(i_0, I_t, J_t)$, otherwise for any $p < n + 1$ if $I_t(p) = i_0$ then $j_0 > J_t(p)$, by (5) applied to (p, l, n) , so $j_0 = Ind(i_0, I_{t+1}, J_{t+1}) > Ind(i_0, I_t, J_t)$. Either way, $\rho(I_{t+1}, J_{t+1}) > \rho(I_t, J_t)$. Hence $S_{t+1}, I_{t+1}, J_{t+1}$ satisfies induction hypothesis 3. The other induction hypotheses are straightforward.

By induction, the properties hold for all $t \leq (n - 1)r$. Letting $t = (n - 1)r$, we have a set $S_{(n-1)r}$ of size strictly greater than $\kappa((n - 1)r, (n - 1)r - (n - 1)r) = \kappa((n - 1)r, 0) = 0$, i.e., nonempty, and there are functions I_t, J_t of rank at least $(2 - n) + ((n - 1)r) = (n - 1)(r - 1) + 1$, an impossibility since for each $i < n - 1$ the maximum index i can have is $r - 1$, hence the maximum possible rank is $(n - 1)(r - 1)$. We conclude that $\mathfrak{Rd}_{\text{Sc}} \mathfrak{C}(m, n, r) \notin \text{SNr}_m \text{Sc}_{n+1}$. \dashv

We now concentrate on proving (III), that $\Pi_{r/U} \mathfrak{C}(m, n, r) \in \text{SNr}_m \text{QPEA}_{n+1}$, for any nonprincipal ultrafilter U . A standard ultraproduct argument shows that $\Pi_{r/U} \mathfrak{C}(m, n, r) \cong \mathfrak{C}(m, n, \Pi_{r/U} r)$ so we have to prove that $\mathfrak{C}(m, n, \rho) \in \text{SNr}_m \text{QPEA}_{n+1}$, where $\rho = \Pi_{r/U} r$. Note that ρ is a linear order containing an infinite ascending chain. First we define a game.

DEFINITION 2.7. Let $m, n < \omega$, let ρ be a linear order and let $\Lambda = (n + 1)^3$. An m -hypernetwork $h = (f, g)$ consists of some $f \in F(m, n, \rho)$ and a ternary function $g : {}^3m \rightarrow \Lambda$ such that for all $x, y, z, x', y', z' < m$, if $f(x, x') = f(y, y') = f(z, z') = Id$ then $g(x, y, z) = g(x', y', z')$. For $X \subseteq m$ we say that $h = (f, g)$ is strict over X if $x \neq y \in X \Rightarrow f(x, y) \neq Id$. If $m' \leq m$ and $h = (f, g)$ is an m -hypernetwork then $h \upharpoonright_{m'}$ denotes the m' -hypernetwork obtained from h by restriction to m' . As before, for $x, y < m$ we write $(f, g) \equiv_{xy} (f', g')$ if for all $v, w, z \in m \setminus x, y$ we have $f(v, w) = f'(v, w)$ and $g(v, w, z) = g'(v, w, z)$, also we write \equiv_x instead of \equiv_{xx} .

We define a game $G = G(m, n, \rho)$ as follows. A play of G is a sequence $h_0, h_1, \dots, h_t, (t < \omega)$ of $(n + 1)$ -hypernetworks. In round $t < \omega$ of the game, \forall plays either an m -dimensional move θ by choosing any m -hypernetwork θ or an amalgamation move $(u, v, \sigma, \tau, x, y)$ where $u, v < t, \sigma, \tau : n + 1 \rightarrow n + 1, x, y < n + 1$ and $h_u \sigma \equiv_{xy} h_v \tau$. In response to an m -dimensional move θ, \exists must play a $(n + 1)$ -hypernetwork h_t such that $h_t \upharpoonright_m = \theta$. In response to an amalgamation move $(u, v, \sigma, \tau, x, y), \exists$ must play a $(n + 1)$ -hypernetwork h_t such that $h_u \sigma \equiv_x h_t \equiv_y h_v \tau$. If she fails to provide such a response to either kind of move then she loses the play in that round. If \exists does not lose in any of the ω rounds of G then she wins the play.

LEMMA 2.8. Let $3 \leq m < n < \omega$ and let ρ be a linear order containing an infinite ascending sequence. \exists has a winning strategy in $G(m, n, \rho)$.

PROOF. Let $j_0 < j_1 < j_2 \dots \in \rho$ be an infinite ascending sequence, let $J = \{j_0, j_1, \dots\} \subseteq \rho$. We describe \exists 's strategy. Consider round t of a play of the game. Suppose, inductively, that \exists has successfully implemented her strategy in all previous rounds $s < t$, the play so far is h_0, h_1, \dots, h_{t-1} . Suppose \forall plays an m -dimensional move θ . Let $\sigma : (n + 1) \rightarrow m$ be the function defined by

$$\sigma(i) = \begin{cases} i & (i < m) \\ 0 & (m \leq i < n + 1) \end{cases}$$

\exists plays the hypernetwork $\theta \sigma$. Observe that if $X \subseteq (n + 1)$ and $|X| > m$ then $\theta \sigma$ is not strict over X .

Now suppose \forall plays an amalgamation move $(u, v, \sigma, \tau, x, y)$ where $h_u \sigma \equiv_{xy} h_v \tau$. To avoid trivialities assume $x \neq y$. \exists is required to find $h_t = (f_t, g_t)$ such that $h_u \sigma \equiv_x h_t \equiv_y h_v \tau$. These equivalences uniquely determine the value of f_t on any pair from $n + 1$ except (x, y) and (y, x) and they determine the value of g_t on any triple from $n + 1$ except those involving both x and y . If there is $w < t$ and $\rho : n + 1 \rightarrow n + 1$ such that $h_u \sigma \equiv_x h_w \rho \equiv_y h_v \tau$ then \exists lets $h_t = h_w \rho$ (if there is more than one possible solution, then any will do). Since such a move by \forall is clearly superfluous we will assume henceforth that \forall never makes such a move. Furthermore, we will assume that if \forall plays the amalgamation move $(u, v, \sigma, \tau, x, y)$ then there is no $u' < u$ and $\sigma' : n + 1 \rightarrow n + 1$ such that $h_u \sigma \equiv_x h_{u'} \sigma'$ (if such a $u' < u$ and σ' existed then \forall could instead play $(u', v, \sigma', \tau, x, y)$) and there is no $v' < v$ and $\tau' : n + 1 \rightarrow n + 1$ such that $h_v \tau \equiv_y h_{v'} \tau'$.

Now, although we have not yet entirely defined f_t , for each $x', y' < n + 1$ we already know whether $f_t(x', y') = Id$ or not (we cannot have $f_t(x, y) = Id$, by our assumption about \forall -moves). For any $x_1, y_1, z_1, x_2, y_2, z_2 < n + 1$ we write $(x_1, y_1, z_1) \sim (x_2, y_2, z_2)$ iff $f_t(x_1, x_2) = f_t(y_1, y_2) = f_t(z_1, z_2) = Id$.

First \exists defines $g_t : {}^3(n + 1) \rightarrow \Lambda$ by defining g_t on all triples involving both x and y in such a way that if \bar{x} is any triple involving x and y and if \bar{y} is any triple of elements of $(n + 1)$ then $g_t(\bar{x}) = g_t(\bar{y}) \iff (\bar{x} \sim \bar{y})$. Since \sim is clearly an equivalence relation and since $\Lambda = (n + 1)^3$, the range of g_t is large enough to allow this.

Second, \exists defines $f_t \in F(n + 1, n, \rho)$ by letting $f_t(x, y) = a^0(i, j)$ where

- $j \in J$ is greater than each element of the finite set $\{j' \in J : \exists s < t, x', y' < n + 1, f_u(x', y') \in a(-, j')\}$, least possible subject to that.
- $i < n - 1$ is least such that there is no $w < n + 1$ and $j \in \rho \setminus J$ with $f_s\sigma(y, w), f_v\tau(w, x) \in a(i, j)$.

We will prove that the strategy may be implemented, in particular the $i < n - 1$ required in the second part may always be found. To prove our claim, suppose for contradiction that there are $w_0, w_1, \dots, w_{n-2} < n + 1$ such that for each $i < n - 1$ there is $j \in \rho \setminus J$ and $f_u\sigma(y, w_i), (f_v\tau)(w_i, x) \in a(i, j)$. Observe that f_u is strict over $\{\sigma(y), \sigma(w_i) : i < n - 1\}$, so f_u was itself played in response to an amalgamation move, say $(u', v', \sigma', \tau', x', y')$. By our assumption that there is no $u^* < u$ and σ^* such that $f_u\sigma \equiv_x f_{u^*}\sigma^*$, we see that $\{\sigma(y'), \sigma(x')\} \subseteq \{y, w_0, w_1, \dots, w_{n-2}\}$. Inductively, \exists chose $f_u(\sigma(y'), \sigma(x')) \in a(-, k')$ for some $k' \in K$, hence $\{\sigma(y'), \sigma(x')\} \subseteq \{w_0, \dots, w_{n-2}\}$. Similarly, f_v was played in response to an amalgamation move $(u^*, v^*, \sigma^*, \tau^*, x^*, y^*)$, $f_v(\tau(y^*), \tau(x^*)) \in a(-, k^*)$ (some $k^* \in K$) and $\{\tau(y^*), \tau(x^*)\} \subseteq \{w_0, \dots, w_{n-2}\}$. By uniqueness of k' and k^* we deduce that $k' = k^*$, $u = v$, and $\{\sigma(y'), \sigma(x')\} = \{\tau(y^*), \tau(x^*)\}$. When \exists played f_u she ensured that for each w_h ($h < n - 1$) the label $g_u(\sigma(y'), \sigma(x'), \sigma(w_h))$ is unique but it is equal to $g_v(\tau(y'), \tau(x'), \tau(w_h))$ (since $g_u\sigma \equiv_{xy} g_v\tau$), hence $\sigma(w_h) = \tau(w_h)$. But then, define $\rho : (n + 1) \rightarrow (n + 1)$ by $\rho(v) = \sigma(v)$, for $v \in (n + 1) \setminus \{x\}$, and $\rho(x) = \tau(x)$. Then $h_u\sigma \equiv_x h_u\rho \equiv_y h_v\sigma$, contrary to our assumption. This proves the claim and proves that \exists 's strategy can always be implemented.

By choice of i, j it is clear that f_t avoids all forbidden triples so indeed $f_t \in F(n + 1, n, \rho)$. ⊣

LEMMA 2.9. *Let $3 \leq m < n < \omega$ and let ρ be a countable linear order containing an infinite ascending sequence. Then $\mathfrak{C}(m, n, \rho) \in \text{Nr}_m \mathbf{QPEA}_{n+1}$.*

PROOF. Consider a play of $G(m, n, \rho)$ in which \exists plays her winning strategy and \forall plays all possible m -dimensional moves and all possible amalgamation moves. Since ρ is countable, this can be scheduled. Let H be the set of all hypernetworks occurring in the play. As in Definition 2.2, the power set $\wp(H)$ is the universe of a \mathbf{QPEA}_{n+1} -type algebra \mathfrak{C} , where $d_{ij} = \{(f, g) \in H : f(i, j) = Id\}$, $c_i(X) = \{h \in H : \exists h' \in X, h' \equiv_i h\}$ and $s_i(X) = \{h \in H : h\tau \in X\}$, for $i, j < n + 1$, $\tau : (n + 1) \rightarrow (n + 1)$. As with Lemma 2.3 it is easy to see that \mathfrak{C} satisfies all the \mathbf{QPEA}_{n+1} axioms other than commutativity of cylindrifiers, and since H is closed under amalgamation, commutativity holds too, so $\mathfrak{C} \in \mathbf{QPEA}_{n+1}$. The map $\lambda : \mathfrak{C}(m, n, \rho) \rightarrow \mathfrak{Nr}_m \mathfrak{C}$ defined by $\iota(f) = \{(f', g') \in H : f' \upharpoonright_m = f\}$ is easily shown to be an isomorphism. ⊣

Since $\Pi_{r/U} \mathfrak{C}(m, n, r) \cong \mathfrak{C}(m, n, \Pi_{r/U} r)$ and $\Pi_{r/U} r$ contains an infinite ascending sequence, this proves Theorem 1.1(III) and completes the proof of Theorem 1.1.

§3. Infinite dimensional case. Now we prove the infinite dimensional case, by lifting the dimensions for the finite case to the transfinite; a trick due to Monk; witness [5, Theorem 3.2.87] where Monk lifts his classical nonfinite axiomatizability result for \mathbf{RCA}_n ($n > 2$) to the transfinite. Our proof has the same structure as the finite dimensional case, but naturally we need an infinite dimensional quasipolyadic equality algebra. Let n be an infinite ordinal. For each finite subset $\Gamma \subseteq n$, let

$$\rho_\Gamma \text{ be the unique order preserving bijection from } |\Gamma| \text{ onto } \Gamma.$$

Let $I = \{\Gamma : \Gamma \subseteq n, |\Gamma| < \omega\}$. For each $\Gamma \in I$, let $M_\Gamma = \{\Delta \in I : \Gamma \subseteq \Delta\}$, and let F be an ultrafilter on I such that $\forall \Gamma \in I, M_\Gamma \in F$ (such an ultrafilter exists because $M_{\Gamma_1} \cap M_{\Gamma_2} = M_{\Gamma_1 \cup \Gamma_2}$). Let $r < \omega, 1 \leq k < \omega, \Gamma \in I$, and let \mathfrak{C}_Γ^r be an algebra similar to \mathbf{QPEA}_n such that

$$\mathfrak{Rd}^{\rho_\Gamma} \mathfrak{C}_\Gamma^r = \mathfrak{C}(|\Gamma|, |\Gamma| + k, r).$$

Let

$$\mathfrak{B}^r = \prod_{\Gamma/F} \mathfrak{C}_\Gamma^r.$$

THEOREM 3.1. *Let U be any nonprincipal ultraproduct over ω .*

1. $\mathfrak{B}^r \in \mathbf{SNr}_n \mathbf{QPEA}_{n+k}$,
2. $\mathfrak{Rd}_{\mathbf{Sc}} \mathfrak{B}^r \notin \mathbf{SNr}_n \mathbf{Sc}_{n+k+1}$, and
3. $\Pi_{r/U} \mathfrak{B}^r \in \mathbf{SNr}_n \mathbf{QPEA}_{n+k+1}$.

But first a lemma.

LEMMA 3.2. *Let n be an infinite ordinal, let X be any finite subset of n , let $I = \{\Gamma : X \subseteq \Gamma \subseteq n, |\Gamma| < \omega\}$. For each $\Gamma \in I$, let $M_\Gamma = \{\Delta \in I : \Delta \supseteq \Gamma\}$ and let F be any ultrafilter over I such that for all $\Gamma \in I$ we have $M_\Gamma \in F$. Let $\mathcal{A}_\Gamma, \mathcal{B}_\Gamma$ be \mathbf{QPEA}_n -type algebras. If for each $\Gamma \in I$ we have $\mathfrak{Rd}^{\rho_\Gamma} \mathcal{A}_\Gamma = \mathfrak{Rd}^{\rho_\Gamma} \mathcal{B}_\Gamma$ then $\Pi_{\Gamma/F} \mathcal{A}_\Gamma = \Pi_{\Gamma/F} \mathcal{B}_\Gamma$.*

Furthermore, if $\mathfrak{Rd}^{\rho_\Gamma} \mathcal{A}_\Gamma \in \mathbf{QPEA}_{|\Gamma|}$ for each $\Gamma \in I$, then $\Pi_{\Gamma/F} \mathcal{A}_\Gamma \in \mathbf{QPEA}_n$.

PROOF. Standard proof, by Łoś' theorem. For the first part, note that the universe of $\Pi_{\Gamma/F} \mathcal{A}_\Gamma$ is identical to that of $\Pi_{\Gamma/F} \mathfrak{Rd}^{\rho_\Gamma} \mathcal{A}_\Gamma$ which is identical to the universe of $\Pi_{\Gamma/F} \mathcal{B}_\Gamma$, by the assumption in the first part of the lemma. Each operator o of \mathbf{QPEA}_n is the same for both ultraproducts because $\{\Gamma \in I : \dim(o) \subseteq \text{rng}(\rho_\Gamma) = \Gamma\} \in F$.

For the second part, it suffices to prove that each of the defining axioms for \mathbf{QPEA}_n holds for $\Pi_{\Gamma/F} \mathcal{A}_\Gamma$. Let $\sigma = \tau$ be one of the defining equations for \mathbf{QPEA}_n , the number of dimension variables involved is certainly finite, indeed it can be at most four (see Definition 15.8). Take any $i, j, k, l \in n$, we must prove that $\Pi_{\Gamma/F} \mathcal{A}_\Gamma \models \sigma(i, j, k, l) = \tau(i, j, k, l)$. If $i, j, k, l \in \text{rng}(\rho_\Gamma)$, say $i = \rho_\Gamma(i_0), j = \rho_\Gamma(j_0), k = \rho_\Gamma(k_0), l = \rho_\Gamma(l_0)$, then $\mathfrak{Rd}^{\rho_\Gamma} \mathcal{A}_\Gamma \models \sigma(i_0, j_0, k_0, l_0) = \tau(i_0, j_0, k_0, l_0)$, since $\mathfrak{Rd}^{\rho_\Gamma} \mathcal{A}_\Gamma \in \mathbf{QPEA}_{|\Gamma|}$, so $\mathcal{A}_\Gamma \models \sigma(i, j, k, l) = \tau(i, j, k, l)$. Hence $\{\Gamma \in I : \mathcal{A}_\Gamma \models \sigma(i, j, k, l) = \tau(i, j, k, l)\} \supseteq \{\Gamma \in I : i, j, k, l \in \text{rng}(\rho_\Gamma)\} \in F$, hence $\Pi_{\Gamma/F} \mathcal{A}_\Gamma \models \sigma(i, j, k, l) = \tau(i, j, k, l)$. Thus $\Pi_{\Gamma/F} \mathcal{A}_\Gamma \in \mathbf{QPEA}_n$. \dashv

PROOF OF THEOREM 3.1. For the first part, for each $\Gamma \in I$ we know that $\mathfrak{C}(|\Gamma| + k, |\Gamma| + k, r) \in \mathbf{QPEA}_{|\Gamma|+k}$ and $\mathfrak{Rt}_{|\Gamma|} \mathfrak{C}(|\Gamma| + k, |\Gamma| + k, r) \cong \mathfrak{C}(|\Gamma|, |\Gamma| + k, r)$ (by (Lemmas 2.4 and 2.5)). Let σ_Γ be the one to one function $(|\Gamma| + k) \rightarrow (n + k)$ where $\rho_\Gamma \subseteq \sigma_\Gamma$ and $\sigma_\Gamma(|\Gamma| + i) = n + i$ for each $i < k$. Let \mathcal{A}_Γ be an algebra similar to a \mathbf{QPEA}_{n+k} such that $\mathfrak{Rd}^{\sigma_\Gamma} \mathcal{A}_\Gamma = \mathfrak{C}(|\Gamma| + k, |\Gamma| + k, r)$. By the second

part of Lemma 3.2, with $n + k$ in place of n , $m \cup \{n + i : i < k\}$ in place of X , $\{\Gamma \subseteq n + k : |\Gamma| < \omega, X \subseteq \Gamma\}$ in place of I , and with σ_Γ in place of ρ_Γ , we know that $\Pi_{\Gamma/F}\mathfrak{A}_\Gamma \in \mathbf{QPEA}_{n+k}$.

We prove that $\mathfrak{B}^r \subseteq \mathfrak{Nr}_n \Pi_{\Gamma/F} \mathfrak{A}_\Gamma$. Recall that $\mathfrak{B}^r = \Pi_{\Gamma/F} \mathfrak{C}_\Gamma^r$. For each $\Gamma \in I$,

$$\begin{aligned} \mathfrak{Rd}^{\rho_r} \mathfrak{C}_\Gamma^r &= \mathfrak{C}(|\Gamma|, |\Gamma| + k, r) \\ &\cong \mathfrak{Nr}_{|\Gamma|} \mathfrak{C}(|\Gamma| + k, |\Gamma| + k, r) \\ &= \mathfrak{Nr}_{|\Gamma|} \mathfrak{Rd}^{\sigma_r} \mathfrak{A}_\Gamma \\ &= \mathfrak{Rd}^{\sigma_r} \mathfrak{Nr}_\Gamma \mathfrak{A}_\Gamma \\ &= \mathfrak{Rd}^{\rho_r} \mathfrak{Nr}_\Gamma \mathfrak{A}_\Gamma. \end{aligned}$$

By the first part of Lemma 3.2 we deduce that $\Pi_{\Gamma/F} \mathfrak{C}_\Gamma^r \cong \Pi_{\Gamma/F} \mathfrak{Nr}_\Gamma \mathfrak{A}_\Gamma \subseteq \mathfrak{Nr}_n \Pi_{\Gamma/F} \mathfrak{A}_\Gamma$, proving (1).

Now we prove (2), $\mathfrak{Rd}_{\mathbf{Sc}} \mathfrak{B}^r \notin \mathbf{SNr}_m \mathbf{Sc}_{n+k+1}$. For this assume, seeking a contradiction, that $\mathfrak{Rd}_{\mathbf{Sc}} \mathfrak{B}^r \in \mathbf{SNr}_m \mathbf{Sc}_{n+k+1}$, i.e., $\mathfrak{Rd}_{\mathbf{Sc}} \mathfrak{B}^r \subseteq \mathfrak{Nr}_m \mathfrak{C}$, where $\mathfrak{C} \in \mathbf{Sc}_{n+k+1}$. Pick any $3 \leq m < \omega$ (e.g., take $m = 3$) and let $\lambda : m + k + 1 \rightarrow n + k + 1$ be the function defined by $\lambda(i) = i$ for $i < m$ and $\lambda(m + i) = n + i$ for $i < k + 1$. Then $\mathfrak{Rd}^\lambda(\mathfrak{C}) \in \mathbf{Sc}_{m+k+1}$ and $\mathfrak{Rd}_m \mathfrak{Rd}_{\mathbf{Sc}} \mathfrak{B}^r \subseteq \mathfrak{Nr}_m \mathfrak{Rd}^\lambda(\mathfrak{C})$. Let $\mathfrak{A} = \mathfrak{Rd}_m \mathfrak{Rd}_{\mathbf{Sc}} \mathfrak{B}^r$. We have just shown that

$$\mathfrak{A} \in \mathbf{SNr}_m \mathbf{Sc}_{m+k+1}. \tag{5}$$

For finite $m^+ > m$, let

$$x_{m^+} = \{f \in F(m^+, m^+ + k, r) : m \leq j < m^+ \rightarrow \exists i < m f(i, j) = Id\}.$$

Then $x_{m^+} \in \mathfrak{C}(m^+, m^+ + k, r)$ and $c_i x_{m^+} \cdot c_j x_{m^+} = s_{[i/j]} x_{m^+} \cdot s_{[j/i]} x_{m^+} = x_{m^+}$ for distinct $i, j < m$. Furthermore

$$I_{m^+} : \mathfrak{C}(m, m + k, r) \cong \mathfrak{Rl}_{x_{m^+}} \mathfrak{Rd}_m \mathfrak{C}(m^+, m^+ + k, r)$$

via

$$I_n(S) = \{f \in F(m^+, m^+ + k, r) : f \upharpoonright m \times m \in S, \forall j (m \leq j < m^+ \rightarrow \exists i < m f(i, j) = Id)\}.$$

So for each $\Gamma \in I$, $I_{|\Gamma|}$ is an isomorphism

$$\mathfrak{C}(m, m + k, r) \cong \mathfrak{Rl}_{x_{|\Gamma|}} \mathfrak{Rd}_m \mathfrak{C}(|\Gamma|, |\Gamma| + k, r).$$

Let $x = (x_{|\Gamma|} : \Gamma \in I)/F$ ($\in \mathfrak{B}^r$) and let $\iota(b) = (I_{|\Gamma|} b : \Gamma \in I)/F$ for $b \in \mathfrak{C}(m, m + k, r)$. Then ι is an isomorphism from $\mathfrak{Rd}_{\mathbf{Sc}} \mathfrak{C}(m, m + k, r)$ into $\mathfrak{Rd}_{\mathbf{Sc}} \mathfrak{Rl}_x \mathfrak{Rd}_m \mathfrak{B}^r = \mathfrak{Rl}_x \mathfrak{Rd}_m \mathfrak{Rd}_{\mathbf{Sc}} \mathfrak{B}^r = \mathfrak{Rl}_x \mathfrak{A}$. Now $\mathfrak{A} \in \mathbf{SNr}_m \mathbf{Sc}_{m+k+1}$, by (5), and $\mathfrak{A} \models s_{[i/j]} x \cdot s_{[j/i]} x = x$ for any distinct $i, j < m$ by Łoś' theorem. It follows, by [4, Theorem 2.6.38], that

$$\mathfrak{Rl}_x \mathfrak{A} \in \mathbf{SNr}_m \mathbf{Sc}_{m+k+1}. \tag{6}$$

(Note that proof of the cited theorem makes no use of diagonal elements.) But then $\mathfrak{Rd}_{\mathbf{Sc}} \mathfrak{C}(m, m + k, r) \subseteq \mathfrak{Rl}_x \mathfrak{A} \in \mathbf{SNr}_m \mathbf{Sc}_{m+k+1}$, contrary to Theorem 1.1(II). This proves (2).

Now we prove Theorem 3.1(3), putting the superscript r to use. Recall that $\mathfrak{B}^r = \Pi_{\Gamma/F} \mathfrak{C}_\Gamma^r$, where \mathfrak{C}_Γ^r has the type of \mathbf{QPEA}_n and $\mathfrak{Rd}^{\rho_r} \mathfrak{C}_\Gamma^r = \mathfrak{C}(|\Gamma|, |\Gamma| + k, r)$. We know that $\Pi_{r/U} \mathfrak{Rd}^{\rho_r} \mathfrak{C}_\Gamma^r = \Pi_{r/U} \mathfrak{C}(|\Gamma|, |\Gamma| + k, r) \subseteq \mathfrak{Nr}_{|\Gamma|} \mathfrak{A}_\Gamma$, for some $\mathfrak{A}_\Gamma \in \mathbf{QPEA}_{|\Gamma|+k+1}$.

Let $\lambda_\Gamma : |\Gamma| + k + 1 \rightarrow n + k + 1$ extend $\rho_\Gamma : |\Gamma| \rightarrow \Gamma (\subseteq n)$ and satisfy

$$\lambda_\Gamma(|\Gamma| + i) = n + i$$

for $i < k + 1$. Let \mathfrak{F}_Γ be a \mathbf{QPEA}_{n+k+1} type algebra such that $\mathfrak{A}d^{\lambda_\Gamma} \mathfrak{F}_\Gamma = \mathcal{A}_\Gamma$. As before, by the second part of Lemma 3.2, $\Pi_{\Gamma/F} \mathfrak{F}_\Gamma \in \mathbf{QPEA}_{n+k+1}$. And

$$\begin{aligned} \Pi_{r/U} \mathfrak{B}^r &= \Pi_{r/U} \Pi_{\Gamma/F} \mathcal{C}_\Gamma^r \\ &\cong \Pi_{\Gamma/F} \Pi_{r/U} \mathcal{C}_\Gamma^r \\ &\subseteq \Pi_{\Gamma/F} \mathfrak{N}x_{|\Gamma|} \mathcal{A}_\Gamma \\ &= \Pi_{\Gamma/F} \mathfrak{N}x_{|\Gamma|} \mathfrak{A}d^{\lambda_\Gamma} \mathfrak{F}_\Gamma \\ &\subseteq \mathfrak{N}x_n \Pi_{\Gamma/F} \mathfrak{F}_\Gamma, \end{aligned}$$

proving the last part of the theorem. □

COROLLARY 3.3. *Let n be an infinite ordinal, let $k \in \omega$. Let \mathbf{K} be any class between \mathbf{Sc} and \mathbf{QPEA} . Then $\text{SNr}_n \mathbf{K}_{n+k+1} \subset \text{SNr}_n \mathbf{K}_{n+k}$. Furthermore, $\text{SNr}_n \mathbf{K}_{n+k+1}$ is not finite schema axiomatizable over $\text{SNr}_n \mathbf{K}_{n+k}$.*

The first part of the corollary is credited to Pigozzi in [4, p. 464], for cylindric algebras; however it seems that Pigozzi did not publish his proof, and we have not found a published proof elsewhere. See [5, Definition 4.1.4] for the precise definition of finitely schema axiomatizability and see [5, Theorem 4.1.7] to see how nonfinite schema axiomatizability follows from Theorem 3.1.

We summarize the situation in Figure 2. The first table addresses the case when $3 \leq n < \omega$ and the second table addresses the case when $n \geq \omega$. For $n = 0, 1$, the problem is trivial ($\text{SNr}_n \mathbf{K}_{n+k} = \mathbf{K}_n$). For $n = 2$, we have for $\mathbf{K} \in \{\mathbf{Df}, \mathbf{SC}, \mathbf{QA}\}$ and $k > 0$, $\text{SNr}_2 \mathbf{K}_{2+k} = \mathbf{K}_2$. The \mathbf{Df} case is trivial, the \mathbf{SC} and \mathbf{QA} cases follow from

Algebras	Status of the Neat Embedding Problem for $3 \leq n < \omega, k < \omega$	Citation
\mathbf{Df}_n	$\text{SNr}_n \mathbf{Df}_{n+k} = \mathbf{Df}_n$	[5, Theorem 5.1.31]
\mathbf{Sc}_n	$\text{SNr}_n \mathbf{Sc}_{n+k+1}$ is n.f.a. over $\text{SNr}_n \mathbf{Sc}_{n+k}$	Corollary 1.2
\mathbf{CA}_n	$\text{SNr}_n \mathbf{CA}_{n+k+1}$ is n.f.a. over $\text{SNr}_n \mathbf{CA}_{n+k}$	[8, Theorem 15.1(4)]
\mathbf{QPA}_n	$\text{SNr}_n \mathbf{QPA}_{n+k+1}$ is n.f.a. over $\text{SNr}_n \mathbf{QPA}_{n+k}$	Corollary 1.2
\mathbf{QPEA}_n	$\text{SNr}_n \mathbf{QPEA}_{n+k+1}$ is n.f.a. over $\text{SNr}_n \mathbf{QPEA}_{n+k}$	Corollary 1.2

Algebras	Status of the Neat Embedding Problem for $n \geq \omega, k < \omega$	Citation
\mathbf{Df}_n	$\text{SNr}_n \mathbf{Df}_{n+k} = \mathbf{Df}_n$	[5, Theorem 5.1.31]
\mathbf{Sc}_n	$\text{SNr}_n \mathbf{Sc}_{n+k+1}$ is n.f.a. over $\text{SNr}_n \mathbf{Sc}_{n+k}$	Corollary 3.3
\mathbf{CA}_n	$\text{SNr}_n \mathbf{CA}_{n+k+1}$ is n.f.a. over $\text{SNr}_m \mathbf{CA}_{n+k}$	Corollary 3.3
\mathbf{QPA}_n	$\text{SNr}_n \mathbf{QPA}_{n+k+1}$ is n.f.a. over $\text{SNr}_n \mathbf{QPA}_{n+k}$	Corollary 3.3
\mathbf{QPEA}_n	$\text{SNr}_n \mathbf{QPEA}_{n+k+1}$ is n.f.a. over $\text{SNr}_n \mathbf{QPEA}_{n+k}$	Corollary 3.3
\mathbf{PA}_n	$\text{SNr}_n \mathbf{PA}_{n+k} = \mathbf{PA}_n$	[3, Theorem 3.3]
\mathbf{PEA}_n	$\text{SNr}_n \mathbf{PEA}_{n+k} = \mathbf{PEA}_n$	[5, Theorem 5.4.17]

FIGURE 2. Summary of Neat Embedding Problem.

[5, Theorem 5.4.33] without much ado. On the other hand, for $\mathbf{K} \in \{\mathbf{CA}, \mathbf{PEA}\}$ (where diagonal elements are present) and $m > 0$, $\mathfrak{S}\mathfrak{N}\mathfrak{t}_2\mathbf{K}_{2+k} = \mathbf{RK}_2$ with \mathbf{RK}_2 denoting representable algebras in \mathbf{K}_2 . This follows from [5, Theorems 3.2.65 and 5.4.34].

§4. Appendix.

DEFINITION 4.1.

Substitution Algebra, \mathbf{Sc} [13].

Let n be an ordinal. By a substitution algebra of dimension n , briefly an \mathbf{Sc}_n , we mean an algebra

$$\mathfrak{A} = (A, +, -, c_i, s_{[i/j]} : i, j < n)$$

where $(A, +, -)$ is a boolean algebra, $c_i, s_{[i/j]}$ are unary operations on \mathfrak{A} (for $i, j < n$) satisfying the following equations for all $i, j, k, l < n$:

1. $c_i 0 = 0, x \leq c_i x, c_i(x \cdot c_i y) = c_i x \cdot c_i y$, and $c_i c_j x = c_j c_i x$,
2. $s_{[i/i]} x = x$,
3. $s_{[i/j]}$ is a boolean endomorphisms,
4. $s_{[i/j]} c_i x = c_i x$,
5. $c_i s_{[i/j]} x = s_{[i/j]} x$ whenever $i \neq j$,
6. $s_{[i/j]} c_k x = c_k s_{[i/j]} x$, whenever $k \notin \{i, j\}$,
7. $c_i s_{[j/i]} x = c_j s_{[i/j]} x$,
8. $s_{[j/i]} s_{[l/k]} x = s_{[l/k]} s_{[j/i]} x$, whenever $|\{i, j, k, l\}| = 4$,
9. $s_{[i/j]} s_{[i/k]} x = s_{[i/k]} x$ if $i \neq k$,
10. $s_{[l/i]} s_{[j/l]} x = s_{[l/i]} s_{[j/i]} x$.

Quasipolyadic algebra, \mathbf{QPA} [14].

A quasipolyadic algebra of dimension n , briefly a \mathbf{QPA}_n , is an algebra

$$\mathfrak{A} = (A, +, -, c_i, s_{[i/j]}, s_{[i,j]} : i, j < n)$$

where the reduct to \mathbf{Sc}_n is a substitution algebra (it satisfies (1)–(10) above) and additionally it satisfies the following equations for all $i, j, k < n$:

- 2' $s_{[i/i]}(x) = s_{[i,i]}(x) = x$, and $s_{[i,j]} = s_{[j,i]}$,
- 3' $s_{[i/j]}$ and $s_{[i,j]}$ are boolean endomorphisms
11. $s_{[i,j]} s_{[i,j]} x = x$,
12. $s_{[i,j]} s_{[i,k]} = s_{[j,k]} s_{[i,j]}$ if $|\{i, j, k\}| = 3$,
13. $s_{[i,j]} s_{[j/i]} x = s_{[i/j]} x$.

Quasipolyadic equality algebra, \mathbf{QPEA} [14].

A quasipolyadic equality algebra of dimension n , briefly a \mathbf{QPEA}_n is an algebra

$$\mathfrak{B} = (\mathfrak{A}, d_{ij})_{i,j < n}$$

where \mathfrak{A} is a \mathbf{QPA}_n (i.e., it satisfies all the equations above), d_{ij} is a constant and the following equations hold, for all $i, j, k < n$:

14. $s_{[i/j]} d_{ij} = 1$,
15. $x \cdot d_{ij} \leq s_{[i/j]} x$.

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