

## Original Article

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# Evaluation of set-up errors and determination of set-up margin in pelvic radiotherapy by electronic portal imaging device (EPID)

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## Abstract

**Introduction and purpose:** The error in set-up of patients is an inherent part of treatment processes. The positioning errors can be used to determine the margins of the planning target volume (PTV) to cover the target volume, while minimising the radiation dose delivered to normal tissues. This study aimed to evaluate random and systematic errors occurring in inter-fraction set-ups of pelvic radiotherapy measured by electronic portal imaging device (EPID) and then to propose the optimum clinical target volume (CTV) to PTV margin in pelvic cancer patients.

**Materials and methods:** This study examined 22 patients treated with pelvic radiotherapy. A total of 182 portal images were evaluated. Population random ( $\sigma$ ) and systematic ( $\Sigma$ ) errors were determined based on the portal images in three directions (X, Y and Z). The set-up margin for CTV to PTV was calculated by published margin formulae of International Commission on Radiation Units and measurements (ICRU) report No. 62 recommendation and formulas presented by Stroom and Heijmen and Van Herk et al.

**Results:** Systematic set-up errors for radiotherapy to patients ranged between 2.36 and 4.99 mm, and random errors ranged between 1.51 and 2.74 mm. The margin required to cover the target volume retrospectively was calculated based on ICRU 62 and formulas presented by Stroom and Heijmen and Van Herk et al. were used to calculate the range 2.8–5.7 mm, 5.7–11.9 mm and 6.9–14.4 mm, respectively.

**Conclusion:** According to our findings, it can be concluded that by extending the CTV margin by 6.9–14.4 mm, we can ensure that 90% of the pelvic cancer patients will receive  $\geq 95\%$  of the prescribed dose in the CTV area.

## Introduction

Radiotherapy is often a local therapy in which the main objective is to deliver the maximum recommended dose to the tumour while preserving the surrounding healthy organs.<sup>1</sup> Usually the radiotherapy dose received by the patient is given fractionally. Hence, the reproducibility of daily therapy sessions is important.<sup>2</sup> The possible actions that can cause error in the treatment include patient movement, non-compliance of the delivered point of the prescribed dose to tumour centre, opening treatment fields incorrectly by the technician, the incorrect positioning of the multi-leaf collimators in conformal treatments<sup>3</sup> and changes in tumour volume in the last sessions compared with the first sessions.<sup>4</sup>

Each step of the treatment processes has several opportunities for set-up error sources; however, treatment must be delivered with the highest accuracy. Uncertainty in each step can affect the next steps and the total of these errors could affect the treatment results.<sup>5</sup> There are some errors that can occur in the treatment processes including initial errors (such as wrong dose prescription, target contouring, fixation and machine technical errors), treatment field errors (such as fields overlapping, incorrect field size, incompatibility between anterior–posterior (AP) and posterior–anterior (PA) fields and incompatibility between lateral fields), angle errors (such as wrong gantry angle, collimator angle and table angle), beam modification errors (such as wedge errors, bolus errors and shield errors).<sup>6</sup> For these and also other reasons, an effective way to reduce set-up errors is by using portal imaging. Portal imaging is commonly used to check the position and verification of the patient positioning relative to the isocentre by using bony landmarks just before radiation therapy.<sup>7</sup>

In the current study, set-up error in patient treatment was defined as the difference between the intended and actual position of the treatment fields delivered to the patient. The reference or

patient position is imaged and recorded and is known as a reference image. This can be either a digitally reconstructed radiograph (DRR) or a simulator image; and the bony structures, body contour and radio-opaque markers used to verify the position of the treatment fields can be observed on the reference image. Set-up errors are evaluated in all directions separately, using Cartesian coordinates, and are divided into two main groups: (1) systematic or intra-fraction errors that are the same in deviation, which are repeated in each fraction in the same direction in all of the treatment fractions.<sup>1</sup> As these uncertainties can be related to mechanical inaccuracies in medical devices, such as an incorrect setting of laser lights, a problem in the collimator system and changes in machine efficiency. (2) Random or inter-fraction errors include those that can occur day to day and can vary for each patient; as these errors can relate to incorrect patient position, block shields and the beam(s).<sup>1</sup>

Gross tumour volume (GTV), clinical target volume (CTV) and planning target volume (PTV) are defined as the main types of volume described in radiotherapy planning. The GTV is defined as the extent of the gross tumour that can be seen and imaged and this volume can be shown on computed tomography (CT) images. The CTV includes the GTV and the area of sub-clinical disease and it contains the GTV plus a margin,<sup>8</sup> and this volume can be observed in molecular images such as positron emission tomography; treating this volume, the CTV, can prevent the recurrence of disease. The PTV is the third volume applied that considers the uncertainties in treatment planning and this margin has a geometric concept designed to ensure that the radiotherapy dose is actually delivered to the CTV. It is important to get the best PTV margin because of the potential to underdose the tumour and overdose the nearby critical organs.<sup>9</sup>

In this study, the main aim was to evaluate systematic and random errors in pelvic radiation therapy using an electronic portal imaging device (EPID) and propose the optimum CTV to PTV margin in pelvic cancer patients. Furthermore, the performances of the linear accelerator (linac), EPID and MOSAIQ software were assessed to verify the validity of these margins. By this software, images can record electronically that which provides clinical and administrative oncology management solution. This software can communicate between Linac and treatment planning system (TPS) automatically.

## Materials and Methods

### Patient selection and definition of the target volume

The present study was retrospectively carried out on randomly selected 22 patients with pelvic cancer treated using three-dimensional conformal radiotherapy (3DCRT) at Imam Reza Radiation Oncology Center (Mashhad, Iran). There was no particular change in the routine treatment steps of the patients, so no ethical approval was sought. All patients were scanned using a CT scanner (16 slices; Neusoft Medical System Co., Shenyang, China) with 5 mm slice thickness in supine position and using three radio-opaque labels under laser beams guidance in CT planning step. It is notable that these markers were tattooed on the patient's body or patient's thermoplastic just to be stable until the last session. Then the CT images were imported into the Isogray (Dosisoft, Cachan, France) TPS and the DRRs were computed. These DRRs were considered to be the reference images. The target values and the surrounding organs were contoured by the oncologist physician; the CTV to PTV margins of 10 mm were added to the defined CTV.

All the patients were irradiated by 6, 10 and 15 MV photon beams from an Elekta Compact linear accelerator (Elekta AB,

Stockholm, Sweden). This machine was equipped with amorphous silicon EPID that was mounted on it at the same isocentre with a detector size of 40 × 40 cm<sup>2</sup> and multi-leaf collimators having 40 leaves on each side. The prescription dose to PTV was 70 Gy with 2 Gy per fraction.

### Treatment process

There was no particular change in routine treatment steps of the patients, except that the port image was taken on certain days of treatment. Before starting the treatment, the patients were positioned with their own immobilisation device. They were set-up with the treatment room lasers using the tattoo markers as a guide. By adjusting the gantry angles at 0° (anterior–posterior [AP]) and 90° (lateral [LAT]), the orthogonal portal images were obtained using 6 MV photon beams and a typical exposure time of 3 monitor units (MU) per field at a dose rate of 400 MU/minutes.

For the first three fractions, the portal images were obtained as pre-treatment images per patient. The portal images were compared with DRRs as the reference images. Afterward if the displacements were acceptable (correction standard was set at >5 mm), the next image would be taken every week. Displacements between the DRRs and the images obtained by EPID in each anterior and lateral projections were estimated along three major axes by matching rigid bony landmarks. The reference landmarks used in electronic portal images were the coccyx bone for lateral image and pubic symphysis for AP projection (Figure 1).

Total port images taken from 26 pelvic cancer patients consisted of 204 images of which 182 images were acceptable. Four patients (22 images) were removed from this study because they continued their treatment until the middle of treatment sessions and decided not to complete their treatment. For the analysis process, posterior, inferior and left-sided shifts are implied as negative shifts and anterior, superior and right-sided shifts as positive. Rotational errors are not evaluated in the current study.

### Statistical analysis

Random and systematic errors combine in  $\mu$  deviation. So  $\mu$  is defined as a patient set-up deviation recorded for all 22 patients for the three directions separately.  $\sigma$  represents random errors that occurred day to day in each set-up position and  $\Sigma$  is the systematic errors defined as average set-up deviation per patient. To obtain these errors, the total number of patients  $P$  and total images used in this study  $N$  are needed. In the following equation,  $m_p$  is the mean deviation of  $n_p$  images which is defined as systematic set-up deviation for a patient  $P$ <sup>1</sup>:

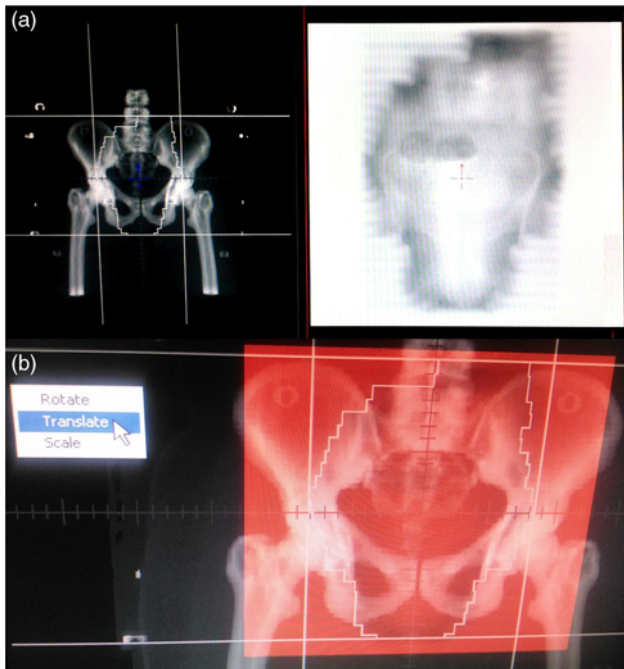
$$m_p = \frac{1}{n_p} \sum_{i=1}^{n_p} \mu_{(PI-DRR)_i} \quad (1)$$

Random set-up deviation for a patient  $P$  in a given direction is obtained by Equation (2)<sup>1</sup>:

$$\sigma_{\text{rand},p} = \sqrt{\frac{1}{n_p} \sum_{i=1}^{n_p} (\mu_{(PI-DRR)_i} - m_p)^2} \quad (2)$$

Overall, the mean systematic errors in a given direction for all the patients  $P$  are as follows<sup>1</sup>:

$$m_{\text{overall}} = \frac{1}{N} \sum_{p=1}^P n_p m_p \quad (3)$$



**Figure 1.** (a) MOSAIQ offline review, anterior–posterior (AP) images (portal and digital reconstructed radiograph [DRR]), bony landmarks using megavoltage X-rays and electronic portal imaging device (EPID). The portal image obtained immediately before the radiotherapy fraction using the EPID. (b) Fused images and calculated deviation.

The random set-up errors of the  $\sigma_r$  and  $\sigma_p$  distribution for all the patients  $P$  in a given direction can be obtained from Equation (4)<sup>1</sup>:

$$\sigma_{\text{set-up}} = \frac{1}{P} \sum_{p=1}^P \sigma_{\text{rand},p} \quad (4)$$

And the final equation is the systematic set-up errors for all the patients  $P$  in a given direction<sup>1</sup>:

$$\sum_{\text{set-up}} = \frac{1}{P} \sum_{p=1}^P m_p \quad (5)$$

### CTV to PTV margin

To achieve the CTV-PTV margins, there are numerous mathematical formulas given by the International Commission on Radiation Units and measurements (ICRU) report No. 62 ( $\sqrt{\sigma^2 + \sigma^2}$ ),<sup>10</sup> Stroom and Heijmen ( $2\sigma + 0.7\sigma$ )<sup>11</sup> and Van Herk et al. ( $2.5\sigma + 0.7\sigma$ )<sup>12</sup>.  $\Sigma_{\text{systematic}}$  and  $\sigma_{\text{random}}$  are the symbols to show the standard deviations of the systematic and random population errors, respectively. According to the ICRU 62 formula, the systematic and random uncertainties have the same contribution to the dose distribution; hence, to product the CTV-PTV margins, they should be added in quadrature. It should be noted that random errors cause blurring in dose distribution, while the systematic errors shift the cumulative dose distribution.<sup>13</sup> Stroom and Heijmen<sup>11</sup> and Van Herk et al.<sup>12</sup> suggested the formula incorporating these differential effects by using probability matrices and dose population histograms. The formula of Stroom and Heijmen ( $2\sigma + 0\sigma$ ) guarantees that 99% of the CTV receives  $\geq 95\%$  of the prescribed dose. Van Herk et al. reported that by the margin recipe

( $2.5\sigma + 0.7\sigma$ ) it can ensure that a minimum cumulative dose received in the CTV will be at least 95% of the prescribed dose in 90% of patient population.<sup>12</sup> In another study, Van Herk introduced the random errors as the motion in organs and systematic errors as the set-up uncertainties. He mentioned that an increase in the margin by three to four times is required to cover the systematic errors compared to random errors; so by using the correct CT scan procedures, multimodality imaging and electronic portal imaging as image-guided tools, these margins could be reduced.<sup>14</sup>

### Validation of linac and EPID

A phantom study was performed to investigate the uncertainty in the devices (linac and EPID). In this part of the research, a Rando phantom (Phantom Laboratory, Salem, NY, USA) was used, and the phantom CT images were taken in supine position. Then the phantom was placed on the room couch in the position that its CT images had been taken and it was set on the coordinate's origin of the patient (source to axis distance (SAD) = 100) and its port image was taken in the AP field. Afterward the linac gantry was rotated 90° and another port image was taken under the same conditions to produce a lateral phantom image. These two port images of the phantom were registered with DRRs and displacement of the two images (reference and port) was investigated. In this part of the study, the movements of the patient are omitted, so it is like to consider a patient without moving. It is expected that performing this work can show parts of systematic errors such as the deviations of EPID and what is displayed in MOSAIQ software.

### Validation of MOSAIQ software

Six reference images from three patients were used to validate MOSAIQ software. The DRR images of patient in AP position were shifted as much as 1 cm in two orthogonal directions  $X$  and  $Y$ . This process was also performed for LAT position in which the  $Z$  direction can be displayed. The two obtained images (reference DRR and changed DRR) were sent to the MOSAIQ software and registered with MOSAIQ, and displacements in three directions were determined. These registrations have a problem, that is, for MOSAIQ software, the association of two DRR images was not defined. To solve this problem, the shifted image was first saved in the name of "EPI Image" in the MOSAIQ software so that it is recognised as a port image and then it was registered. This procedure was done for three patients to reduce the probability of errors.

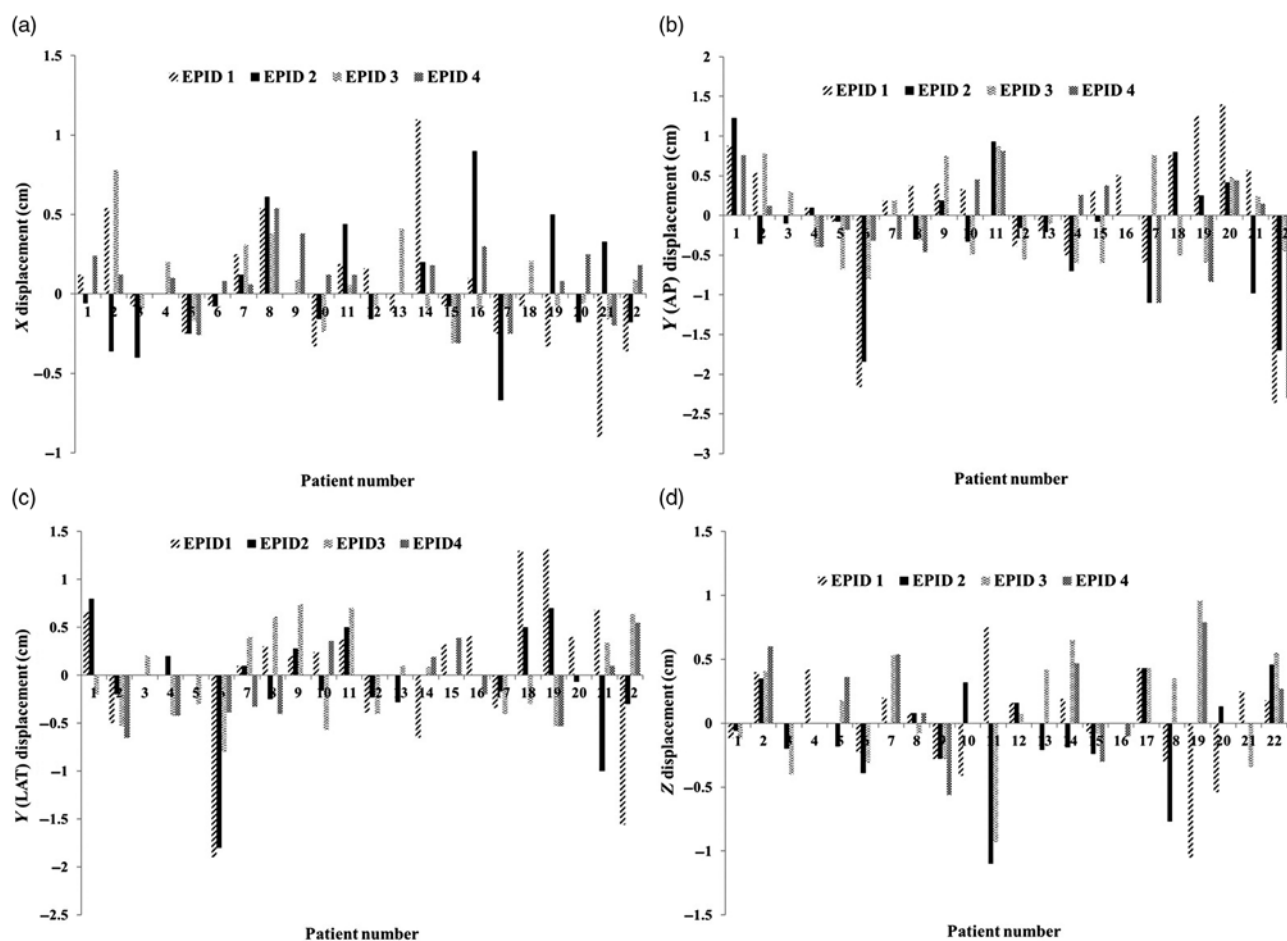
### Results

All the results of this study are related to the Imam Reza Therapeutic Center and are presented to compare with the results obtained from other centres. The number of initial days of portal imaging measurement depends on the magnitude of the random set-up error. To obtain a 95% confidence level in prediction, an experiential formula  $n = \min \{9, 4 + 2(\sigma - 1)\}$  was used, where  $\sigma$  predicts random error and  $n$  is the number of daily portal image needed. For any  $\sigma \geq 1$  mm, we should take portal imaging for 4–9 days to achieve a confident prediction.<sup>15</sup> In this study, all the displacements in the 182 portal images were measured, including those at 96 AP and 86 LAT positions.

Equations (1–5) were used to calculate the systematic set-up deviation for a patient  $P$ , the random set-up deviation for a patient  $P$ , the overall mean systematic errors, the random set-up errors for all the patients and the systematic set-up errors for all the patients,

**Table 1.** The brief results of the population systematic  $\Sigma$  and random  $\sigma$  errors in all patients with pelvic cancer were based on the portal images in the caudocranial longitudinal and left-right lateral direction measured by anterior–posterior (AP) field and dorsoventral and caudocranial field measured by lateral (LAT) field

Field	AP		LAT	
	Caudocranial longitudinal	Left-right lateral	Dorsoventral vertical	Caudocranial longitudinal
Min deviation (cm)	0	0	0	0
Max deviation (cm)	2.36	1.1	1.1	1.9
$M_{\text{overall}}$ (cm)	0.0609	0.0373	0.0030	0.0250
$\Sigma_{\text{set-up}}$ (cm)	0.4993	0.2364	0.2742	0.3859
$\sigma_{\text{set-up}}$ (cm)	0.2747	0.1511	0.1593	0.2321



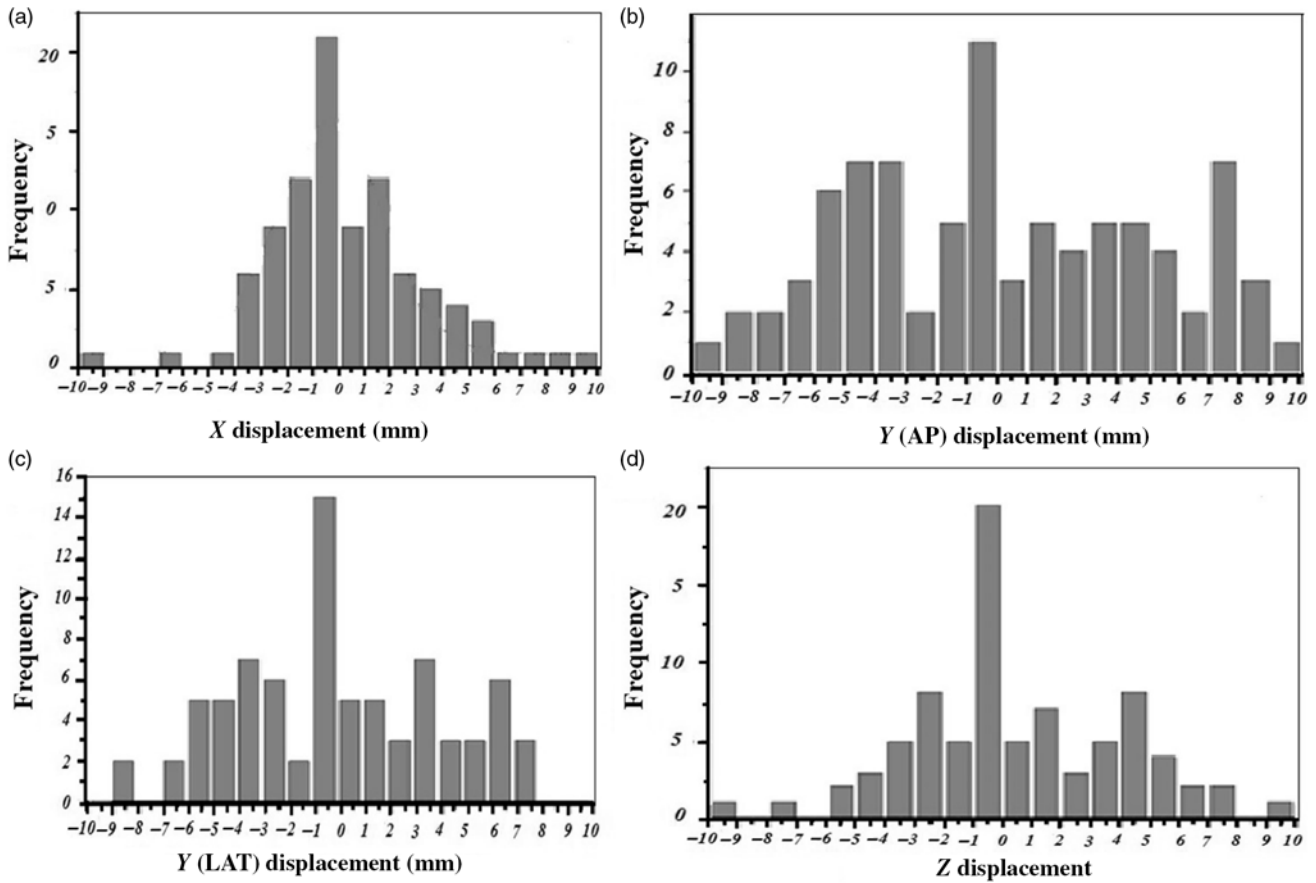
**Figure 2.** The distribution of the pelvic set-up deviations at (a) mediolateral, (b) superoinferior from the anterior–posterior field, (c) superoinferior from the lateral field and (d) anteroposterior directions.

respectively. These data are presented in Table 1. There were systematic errors during the whole course of treatment. The population systematic errors ( $\Sigma$ ) in lateral, longitudinal and vertical axes were 0.2364, 0.4993 and 0.2742 cm, respectively. The random errors ( $\sigma$ ) happened in set-up of day-to-day patient. The population random errors ( $\sigma$ ) in the corresponding axes were 0.1511, 0.2747 and 0.1593 cm, respectively.

The distribution of the pelvic set-up deviations at mediolateral, superoinferior (AP and LAT) and AP directions was demonstrated in Figure 2. As seen from this figure, the largest amount of movement for each patient is related to the port images of the first sessions (brown and black column).

Figure 3 shows the total deviations in three directions of caudocranial longitudinal, left-right lateral direction from the AP field and dorsoventral vertical direction from the LAT field. As shown from this figure, the redundancy of displacements in X and Z directions is around  $-1$  to  $1$  mm in the form of Gaussian curve.

The findings (Table 2) demonstrate that the obtained CTV-PTV margins based on the ICRU 62 recommendation (Wambersie and Landberg, 1999) at the lateral, longitudinal and vertical directions were 0.2805, 0.5699, and 0.3171 cm, respectively. Using the formula of Stroom and Heijmen (2002), the corresponding values were 0.5785, 1.1909, and 0.6599 cm; and using



**Figure 3.** Distribution of total deviations at (a) left-right lateral direction, (b) caudocranial longitudinal direction from the anterior-posterior field and (c) dorsoventral vertical direction from lateral field.

**Table 2.** Population systematic  $\Sigma$ , random  $\sigma$  errors, and CTV to PTV margins (cm)

	Systematic ( $\Sigma$ )	Random ( $\sigma$ )	ICRU 62	Stroom and Heijmen	Van Herk et al.
<b>X</b>	0.2364	0.1511	0.2805	0.5785	0.6967
<b>Y(AP)</b>	0.4993	0.2747	0.5699	1.1909	1.4406
<b>Y(RLAT)</b>	0.3859	0.2321	0.4503	0.9342	1.1271
<b>Z</b>	0.2742	0.1593	0.3171	0.6599	0.7969

**Table 3.** The uncertainties in linear accelerator and EPID

Position	Displacement (cm)		
	X	Y	Z
AP	0.08	0.2	-
LAT	-	0.2	0.2

the formula presented by van Herk et al. (2000), the values were 0.6967, 1.4406 and 0.7969 cm.

Furthermore, the results related to the performance accuracy of linac and EPID and MOSAIQ are presented in Tables 3 and 4, respectively.

**Table 4.** The uncertainties in MOSAIQ software

Patient no.	$\Delta_{LAT}$ (cm)	$\Delta_{LONG}$ (cm)	$\Delta_{VRT}$ (cm)
Patient 1	0.99	0.93	0.90
Patient 2	1.06	1	1.01
Patient 3	0.92	1	1.05

**Discussion**

In the current study, the systematic and the random set-up errors in the patients treated with pelvic 3DCRT were investigated by EPID. Furthermore, the CTV-PTV margin was obtained in these patients. By considering this margin, the target volume will be covered by radiation. Moreover, the performance accuracy of linac, EPID and MOSAIQ software were evaluated.

In our institution, the action level for translational direction for the pelvic cases is 5 mm. The findings demonstrated that 88, 55, and 81% of set-up deviation in lateral, longitudinal and vertical axes was less than 5 mm. Table 5 represents a comparison between the results obtained in present study with similar works.<sup>16,17,19-21</sup> As seen in this table, there is a good agreement between our data and other similar studies. As a recommendation, it should be noted that using appropriate immobilisation methods, improving laser alignment and table and gantry stability is necessary to reduce errors and

**Table 5.** Population systematic ( $\Sigma$ ) and random errors ( $\sigma$ ) in some other relative studies with the recommendation of target volume coverage<sup>13</sup>

Series	$\Sigma$ (mm)	$\sigma$ (mm)	Displacements or errors
Hess et al. <sup>16</sup>	Not reported	Not reported	3 mm for 50% coverage and 9 mm for 95% coverage
Bentel et al. <sup>17</sup>	Not reported	Not reported	5–10 mm (87–90% with 5 mm margin)
Gibeau et al. <sup>18</sup>	1–2.2	0.7–2.3	4.5–5.5 mm for 90% probability of target coverage
De Boer et al. <sup>19</sup>	1.5–2.0	1.5–2.0	Probability values not specified
Humphrey et al. <sup>20</sup>	0.02–0.9	0.4–0.7	3 mm for 95% of the errors and 5 mm for 99% of errors
Zhang et al. <sup>21</sup>	1.5–3.2	1.1–2.9	5.5 mm for 90% probability of target coverage
Suzuki et al. <sup>22</sup>	0.7–1.3	0.7–1.6	5 mm margin for PTV and 3 mm for PRV and Probability values not specified
Gupta et al. <sup>13</sup>	0.96–1.2	1.94–2.48	<5 mm CTV-PTV margin in all directions and 93% displacements within 5 mm
Strbac and Jokic <sup>1</sup>	1.42–1.93	1.77–1.86	<6.1 mm CTV-PTV LR direction, <5.1 mm CTV-PTV CC direction, <4.8 mm CTV-PTV DV direction.
Present study	2.36–4.99	1.51–2.74	<5.78 mm CTV-PTV LR direction, <9.34 mm CTV-PTV CC direction, <6.59 mm CTV-PTV DV direction. <1 cm for 90% probability of target coverage

achieve more reliable results. In a study by Ippolito *et al.*,<sup>23</sup> the set-up accuracy in radio therapeutic treatment was assessed, and they mentioned that it depends on the treatment site, the device of immobilisation and the institution. Furthermore, they reported the importance of systematic errors ranges from 1.1 to 4.7 mm for pelvis cases, 1.6 to 4.6 mm for head and neck cases and 1.0 to 4.7 mm for breast cases. Also, the positioning errors in pelvic cancer treatment were due to the filled bladder and rectum.

The findings (Table 2) show that the most differences in positioning errors belonged to the Y axis. Khosa *et al.*<sup>24</sup> stated that if the reference images were based on an implanted marker or bone marker, the types of markers have a role in the displacement of the Y axis. Osei *et al.*<sup>25</sup> mentioned that the implanted marker was the most significant variation in the Z axis, followed by the Y axis. In present study, the bone markers were used for registration and it can be concluded that if the bone markers are used as reference points, displacement is most significant in the Y axis, followed by the Z axis.

According to the ICRU 62<sup>10</sup>, Stroom and Heijmen<sup>11</sup> and Van Herk *et al.*<sup>12</sup> formulas, the margins in all axes were equal to 4.04, 8.40 and 10.01 mm, respectively, as these margins should be considered for the pelvic cancer patients for full coverage of the target. It is notable that these values were obtained by averaging the margins in three directions. Furthermore, the set-up margins were <6, <12 and <15 mm at all three directions, according to ICRU 62,<sup>10</sup> Stroom and Heijmen<sup>11</sup> and Van Herk *et al.*<sup>12</sup> formulas, respectively. Hence, by 15-mm extension of CTV to achieve PTV, it can be ensured that 90% of the pelvic cancer patients will receive  $\geq 95\%$  of the prescribed dose. By 12-mm extension in CTV to PTV margin, it can be ensured that 99% of the clinical target area receives  $\geq 95\%$  of the prescribed dose. An adequate correction strategy is needed to reduce the margins. Furthermore, it is suggested that before considering the margin size, all errors that can potentially affect the margins should be considered. However, random errors have several uncertainty sources. The decrease in the PTV margins can reduce the normal tissue complication probability.<sup>18</sup>

As previously mentioned, a phantom study was implemented to validate the performance accuracy of linac and EPID. The results (Table 3) revealed a 0.8-mm shift in X direction and 2 mm shift in Y and Z directions; as these values were well confirmed within the acceptable range. The results of validation the MOSAIQ software (Table 4) showed that the average displacements of X, Y and Z axes were 0.99, 0.93, and 0.98 cm for 1 cm shift, respectively; as the differences were 0.1, 0.7 and 0.2 mm, respectively, and these values were within the acceptable range. Therefore, these results demonstrate that the measurements of the MOSAIQ software are acceptable and within the tolerance uncertainties.

There were several limitations in the current study. First, rotational errors were not considered. Second, the portal images were just taken in two projections (AP and LAT). The next one is about organ motion that could not be displayed by the portal images. Therefore, these kinds of errors were not accounted for in calculating the PTV margins. Suzuki *et al.* stated that the effects of organ motion in random and systematic set-up errors ranged from 0.3 to 0.6 mm and 0.2 to 0.8 mm, respectively.<sup>22</sup>

## Conclusion

In this retrospective study, the range of random and systematic errors in inter-fraction set-ups of pelvic radiation therapy was investigated. The findings demonstrated that the set-up accuracy of patients treated with 3DCRT pelvic radiotherapy is somewhat good in comparison with the errors reported in other studies. Furthermore, it was found that extension of about 6.9–14.4 mm in CTV margin can ensure that 90% of the pelvic cancer patients will receive  $\geq 95\%$  of the prescribed dose in the CTV area. The measurements to validate the performance accuracy of linac, EPID and MOSAIQ software were acceptable and within the tolerance uncertainties.

Finally, EPID is suggested as a reliable device for the correction of geometrical inter-fraction errors in radiotherapy departments where the common treatment is 3DCRT. Moreover, it is proposed

that to overcome systematic and random errors, the portal images must be taken every week.

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**Conflicts of interest.** None.

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