

## RESEARCH PAPER

# Gain-intensified slot antennas backed by SIW cavity using high-order cavity resonance

REZA BAYDERKHANI, KEYVAN FOROORAGHI AND BIJAN ABBASI-ARAND

*In this paper, a gain-increased method of cavity-backed slot antennas based on excitation of high-order substrate-integrated waveguide cavity resonance has been proposed. To this end, the metallic posts are introduced in a main cavity to excite the cavity's  $TM_{220}$  mode. Then the properties of the modified cavity's  $TM_{220}$  mode are used to feed an array of  $2 \times 2$  slot antenna. Moreover, to acquire insight of modified cavity's field distribution, a comprehensive modal study was performed on the modified cavity to fully understand the effects of the dividing walls on the cavity's field distribution. Also, compared with HFSS, the modal solution that is proposed in this paper provide a considerable time and storage saving. To validate the simulated results, two types of the proposed antenna forming two different polarizations (horizontal and vertical) are analyzed, simulated, and fabricated. The proposed antennas exhibit relatively gain of 8.2 dBi at resonant frequency and high front-to-back ratio. In addition, the gain-enhanced method proposed in the present paper can be extended for using even higher-order cavity resonances, such as  $TM_{440}$ ,  $TM_{660}$  etc., if higher gain is desirable. The proposed antennas are suitable for using in many wireless communication systems and some radar systems.*

**Keywords:** Antenna design, Modelling and measurements, EM field theory and numerical techniques

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## I. INTRODUCTION

Nowadays, the development of communication systems has led engineers to require low-profile, light weight and monolithic antennas, especially in many wireless communication systems such as satellite, aircraft, and some radar systems.

Recently, slot antennas based on substrate-integrated waveguide (SIW) technology have been attracted increasing attention due to many advantages of both waveguide and printed circuit board, such as low-profile, low-cost, high-quality factor and high-power-handling capability with self-consistent electrical shielding and easy integration with planar microwave circuits [1–4].

There are several papers subjected to cavity-backed slot antennas [5–9]. However, most of the previous researches have been relied on designing cavity-backed slot antennas by conventional metallic cavities [5–7]. This feature is undesirable as it makes the antenna a three-dimensional (3D) structure.

A wideband double-layer slot array antenna in the 12-GHz band was proposed in [10, 11], in which a parallel feeding waveguide is used to excite the several  $2 \times 4$ -element cavity-backed subarrays. In the millimeter wave band, multilayer structure cannot be fabricated easily by conventional techniques such as machining or die-casting. Therefore in [12] another similar double-layer cavity-backed slot array antenna in 60 GHz band was proposed, in which the diffusion

bonding of laminated thin metal etching plates is adopted for the fabrication of a double-layer full-corporate-feed waveguide slot array antenna. In contrast with multiple layer structure antennas in [10, 11, 12], the SIW structures can be used widely from microwave band up to millimeter wave band without loss of significant accuracy.

A low-profile, linearly polarized, cavity-backed slot antenna based on SIW has been firstly proposed in [9], in which a grounded coplanar waveguide (GCPW), subminiature version A (SMA), printed circuit board (PCB) feeding network is used to excite the  $TE_{120}$  mode of a cavity. In [13], another similar SIW cavity-backed slot antenna is proposed in which a rectangular slot radiator has been replaced by a meandered slot line to exploit the properties of the cavity  $TE_{101}$  mode to achieve the smallest possible cavity and lower power loss. However, the overall antenna performances such as resonant frequency, impedance bandwidth, radiation properties, etc. are greatly relied on meandered line's parameters leading to a complex design procedure, which is based on exact optimization. Also in [14] another low-profile SIW cavity-backed, crossed slot antenna is presented, which is operated in dual frequencies and produced dual linear and circular polarizations.

It seems that in all above references the radiating slot's and/or feeding's parameters have been well selected to achieve a proper mode excitation without any changing in cavity structure. Thus, for this type of SIW cavities the modal solution that was provided for traditional metallic cavities can be adopted and used without significant loss of accuracy as was done in [15].

Also, in [16] another cavity-backed slot antenna was proposed, in which a diagonal GCPW line is used to excite

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high-order cavity resonance in the large SIW cavity to generate radiations. However, the planar transmission lines such as microstrip lines or CPW lines are not suitable for using in the millimeter wave band because they possess higher ohmic losses in high frequencies. This limitation can be more bolded when this type of antenna is used as an antenna element in array configuration where a GCPW-feeding network is used to excite the array especially in high frequencies (mm wave band).

In this paper, a new type of cavity-backed slot antennas based on modified SIW cavity is proposed. This modification was done by introducing metallic via-holes in a main cavity. In addition, to acquire insight of antenna’s performance, the modified cavity’s field distribution is studied. To do so, the mode-matching technique is exploited to represent the equations for the field distribution of the TE and TM modes in the modified cavity. The validity of this analysis is verified by comparing our results with simulated results that is obtained by Ansoft’s HFSS as well as experimental results. Two types of the proposed SIW cavity-backed slot antenna forming two different polarizations (horizontal and vertical) are analyzed, simulated, and fabricated. A theoretical model combined with a numerical analysis of the antenna, using Ansoft’s HFSS, along with the parametric analysis of the effects of each parameter on the antenna’s performance, is introduced. The simulated and measured results are presented and discussed.

## II. FIELD DESCRIPTION IN MODIFIED CAVITY

Figure 1 illustrates a schematic view of the proposed modified SIW cavity resonator. The proposed cavity is placed on the RO4003™ (Rogers) substrate, with a relative permittivity of 3.55, a loss tangent of 0.0027, and a thickness of 32 mil. As is evident from Fig. 1, the cavity is divided into four subsections by embedding the metallic posts in the middle of each edge of main cavity. As a consequence, the field distribution as well as excitation mode of cavity are altered from that in a parent cavity due to perturbations made by the dividing

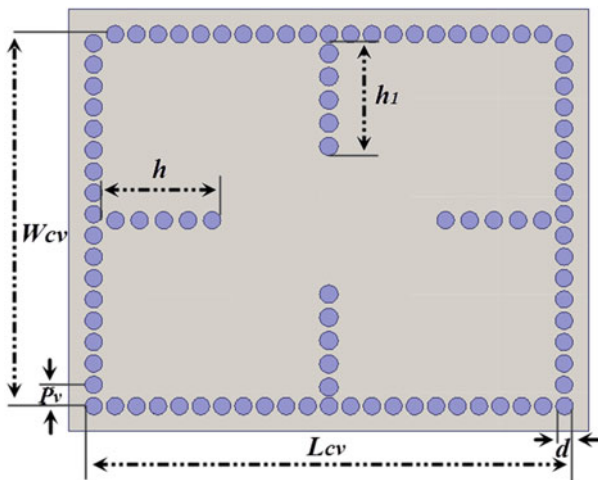


Fig. 1. Top view of the proposed modified SIW cavity. The optimum physically dimensions are:  $L_{cv} = 28.18$  mm;  $W_{cv} = 22.5$  mm;  $h = 6.94$  mm;  $h_1 = 6.4$  mm;  $p_v = 1.3$  mm; and  $d = 1$  mm.

vias/walls. Therefore, in this section the field distribution of modified cavity using mode-matching technique is introduced.

In SIW structures, the leakage losses are determined by the vias diameter  $d$  and spacing  $p_v$ . Thus, these parameters can be well chosen to minimize the leakage losses. In this manner, the rows of metallic posts behave as solid metallic walls. Thus, in this paper to reduce the complexity, the metallic rows are replaced by equivalent metallic walls without significant loss of accuracy [15]. However, the dimensions of the cavity are changed after using metallic walls according to Yan et al. [17]. Despite that the equivalence was previously developed in [17] is for propagating waveguide structures, it can be used for cavities but by performing some tuning in equivalent dimensions. However, here we extend this equivalence to cavities as well, upon taking the resonance nature of the structure in hand into account. To do so, the cavity was modeled in a transmission setup by HFSS, in which the coaxial probes are used to excite the cavity at the input and output sides of it (with minimal cavity perturbation due to coaxial probes penetration), as shown in Fig. 2.

To estimate the leakage loss at resonance an extensive parametric study varying both the diameter and spacing have been run in steps and the S-parameters have been calculated. The leakage loss is given by:

$$L_{Leakage} = -10 \log((S_{11})^2 + (S_{22})^2). \tag{1}$$

Note that in our model perfect electric conductors and zero loss dielectric substrate have been assumed to isolate the leakage loss from the conductor and dielectric losses.

Meanwhile, an equivalence parameter “ $R_{eq}$ ” have been defined as:

$$R_{eq} = \frac{R_{SIW}}{R_{cavity}}, \tag{2}$$

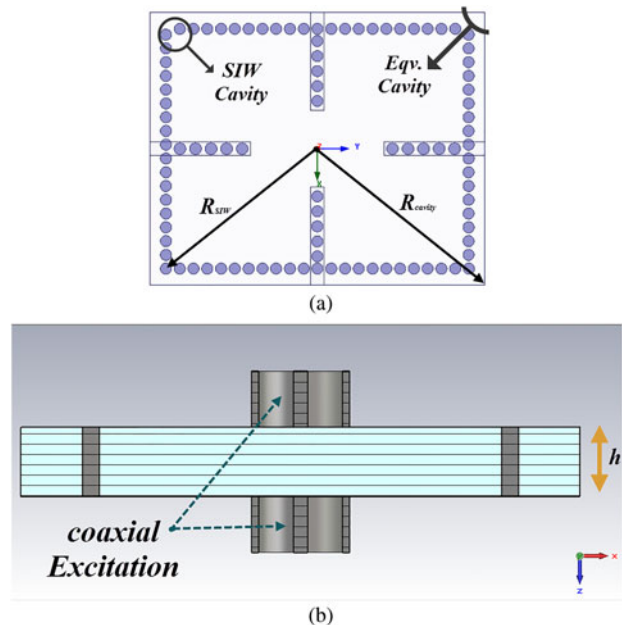


Fig. 2. (a) SIW cavity and equivalent cavity with solid walls and relevant parameters. (b) A schematic view of cavity in a transmission setup with coaxial input and output excitations.

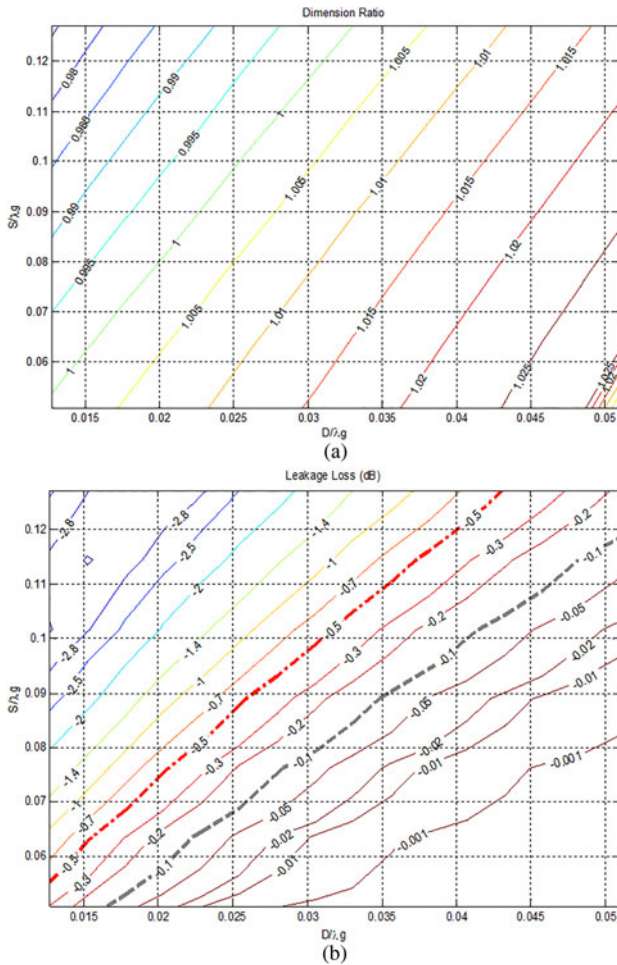


Fig. 3. Characteristics of the rectangular SIW cavity in contour plots against the via-holes diameter ( $D/\lambda_g$ ) and spacing ( $S/\lambda_g$ ) normalized to the resonance wavelength of the cavity, assuming  $\epsilon_r = 3.55$  and  $h = 32$  mil. (a) Equivalence parameter to the solid wall cavity “ $R_{eq}$ ”. (b) Leakage loss in dB.

where  $R_{SIW}$  and  $R_{cavity}$  are the radii of the SIW and the equivalent solid wall cavities resonating at the same frequency. Figure 3(a) demonstrates the calculated equivalence parameter “ $R_{eq}$ ” versus the via holes’ spacing and diameter normalized to the resonant wavelength of the cavity that are presented by the contour plot. As is expected  $R_{eq}$  ranges from 0.98 to 1.02 when the vias are changed from sparsely spaced relatively small via-holes to densely spaced relatively large via holes. Meanwhile, Fig. 3(b) shows a contour plot for the leakage loss in dB. It should be noted that it is essential to select via holes’ diameter and spacing that minimize the leakage loss. Subsequently, the equivalent cavity with solid walls is defined according to Fig. 3(a).

Based on the aforementioned analysis, the SIW cavities to be used in the cavity-backed antennas have been implemented with via-holes of 0.051  $\lambda_g$  in diameter with a linear spacing of 0.066  $\lambda_g$ .

The model to be analyzed, a half section of a modified cavity, is shown in Fig. 4. An approximate solution at the resonant frequency will be found by assuming a single term in the gap region (region I) and  $N$  expansion terms in through regions (regions II, III, and IV) and with the application of the boundary conditions on the tangential fields at  $x = h_1$  and  $l$ . The field distribution of the TE and TM modes

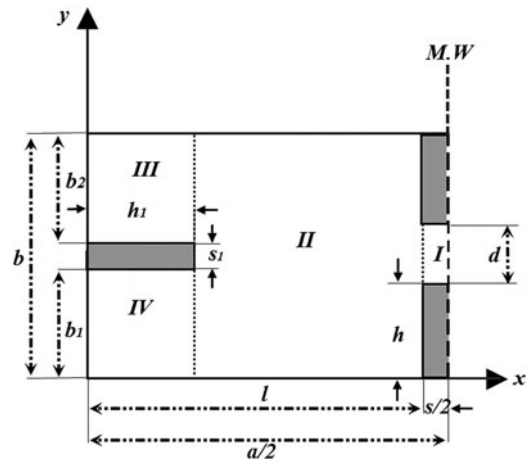


Fig. 4. Equivalent modified cavity with magnetic wall’s symmetry.

in a modified cavity of Fig. 2 is written in Appendix A. Enforcing the boundary conditions on the tangential fields at  $x = h_1$  and  $l$ , after some mathematical manipulation, leads to the following equations:

For TE modes:

$$A_m e^{-j\alpha_m l} - B_m e^{j\alpha_m l} = \frac{-2b(k_c^2 - \alpha_m^2)}{(m\pi)^2 j\alpha_m} \cos\left(k_c \frac{s}{2}\right) \times \left( \sin\left(\frac{m\pi(h+d)}{b}\right) - \sin\left(\frac{m\pi h}{b}\right) \right), \tag{3}$$

$$\frac{j m \pi \alpha_m}{2(k_c^2 - \alpha_m^2)} (A_m e^{-j\alpha_m h_1} - B_m e^{j\alpha_m h_1}) = \sum_{k=1}^N \left( \frac{2D_k \beta_k k \pi}{k_c^2 - \beta_k^2} \frac{k \pi}{b_2} \sin(\beta_k h_1) I_{mk}^{ii} + \frac{2F_k \gamma_k k \pi}{k_c^2 - \gamma_k^2} \frac{k \pi}{b_1} \sin(\gamma_k h_1) I_{mk}^{ii} \right), \tag{4}$$

$$D_k \frac{k_c^2 (k\pi)}{k_c^2 - \beta_k^2} \cos(\beta_k h_1) = \sum_{n=1}^N \frac{k_c^2}{k_c^2 - \alpha_n^2} \frac{n\pi}{b} [A_n e^{-j\alpha_n h_1} + B_n e^{j\alpha_n h_1}] I_{nk}^{ii}, \tag{5}$$

$$F_k \frac{k_c^2 (k\pi)}{k_c^2 - \gamma_k^2} \cos(\gamma_k h_1) = \sum_{n=1}^N \frac{k_c^2}{k_c^2 - \alpha_n^2} \frac{n\pi}{b} [A_n e^{-j\alpha_n h_1} + B_n e^{j\alpha_n h_1}] I_{nk}^{ii}. \tag{6}$$

For TM modes:

$$A_m e^{-j\alpha_m l} + B_m e^{j\alpha_m l} = j\omega\epsilon \frac{k_c^2 - \alpha_m^2}{k_c^2} \frac{2}{m\pi} \cos\left(k_x \frac{s}{2}\right) \times \frac{(\pi/d)}{(\pi/d)^2 - (m\pi/b)^2} \left( \sin\left(\frac{m\pi(h+d)}{b}\right) + \sin\left(\frac{m\pi h}{b}\right) \right), \tag{7}$$

$$\frac{m\pi}{2(k_c^2 - \alpha_m^2)}(A_m e^{-j\alpha_m h_1} + B_m e^{j\alpha_m h_1}) = \sum_{k=1}^N \left( \frac{j2D_k}{k_c^2 - \beta_k^2} \frac{k\pi}{b_2} \sin(\beta_k h_1) I_{mk}^i + \frac{j2F_k}{k_c^2 - \gamma_k^2} \frac{k\pi}{b_1} \sin(\gamma_k h_1) I_{mk}^{ii} \right), \tag{8}$$

$$D_k \frac{j\beta_k(k\pi)}{k_c^2 - \beta_k^2} \cos(\beta_k h_1) = \sum_{n=1}^N \frac{-j\alpha_n}{k_c^2 - \alpha_n^2} \frac{n\pi}{b} [A_n e^{-j\alpha_n h_1} - B_n e^{j\alpha_n h_1}] I_{nk}^i, \tag{9}$$

$$F_k \frac{j\gamma_k(k\pi)}{k_c^2 - \gamma_k^2} \cos(\gamma_k h_1) = \sum_{n=1}^N \frac{-j\alpha_n}{k_c^2 - \alpha_n^2} \frac{n\pi}{b} [A_n e^{-j\alpha_n h_1} - B_n e^{j\alpha_n h_1}] I_{nk}^{ii}, \tag{10}$$

where

$$I_{mn}^i = \int_0^{b_2} \sin\left(\frac{m\pi y}{b}\right) \sin\left(\frac{n\pi y^*}{b_2}\right) dy^*,$$

$$I_{mn}^{ii} = \int_0^{b_1} \sin\left(\frac{m\pi y}{b}\right) \sin\left(\frac{n\pi y}{b_1}\right) dy$$

with  $m = 1, 2, \dots, N$ .

Substituting  $D_k$  and  $F_k$  from (5)/(9) and (6)/(10) into (4)/(8) and with the aid of (3)/(7) gives an  $N$  set of linear equations for the  $A_n$  and  $B_n$  that can be written in the matrix form as:

$$[Q_{mn}^A]_{N \times N} [A_m]_{N \times 1} = [Q_{mn}^B]_{N \times N} [B_m]_{N \times 1}, \tag{11}$$

$$[B_m]_{N \times 1} = \xi \times e^{-2j\alpha_n l} [A_m]_{N \times 1} + [P_m]_{N \times 1}, \tag{12}$$

where

$$\xi = \begin{cases} 1 & \text{for TE modes} \\ -1 & \text{for TM modes} \end{cases}$$

Equations (11)–(12) lend themselves well to computer implementation. In numerical solution, only the first few TM/TE modes will be used. Using larger number of modes the accuracy of the fields is improved. Figure 5 shows the percentage error for the  $TM_{2,20}$  mode fields on the boundaries  $x = l$  and  $h$ , as a function of the number of modes.

Also, the field distributions of the proposed modified cavity resonator at three frequencies are shown in Fig. 6. In addition, the results obtained with HFSS are presented for comparison and are in good agreement. Using mode-matching technique to calculate the fields in modified cavity is not only faster than that when simulated by such commercial software, e.g. HFSS,

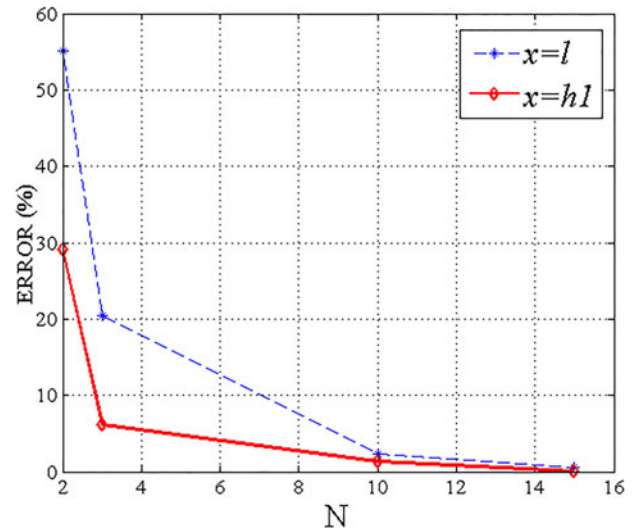


Fig. 5. Field's error versus number of modes.

but also provided an insight about antenna's fields behavior that enable optimization of the antenna's performance.

Table 1 illustrates a comparison between CPU time and storage used to simulate the two proposed antennas using finite-element-based commercially available software, HFSS, and modal solution. As is evident from the results, a considerable time and storage saving is achieved using the mode-matching technique introduced in this paper.

It should, however, be mentioned that due to use of metallic walls in place of metallic rows of vias and assuming one mode in the gap region, this procedure may be inaccurate for the fields very close to the edge of dividing vias/walls, but it gives reasonable results elsewhere.

### III. ANTENNA DESIGN AND STRUCTURE

Figure 7 illustrates the proposed cavity-backed slot antenna. The aforementioned modified cavity is used to feed an array of  $2 \times 2$  slot antenna. The slots are etched on the top wall of each sub-section of the cavity. The cavity is excited in  $TM_{2,20}$  mode. This mode as is shown in Fig. 6 has a maximum  $E$ -field in each subsection. This feature makes it suitable to etch a slot radiator at each subsection. A feeding short-circuited waveguide on the rear side of the cavity excites the cavity by a coupling longitudinal slot. Also, the SIW waveguide width  $a_{SIW}$  is chosen for single fundamental mode operation.

The coupling aperture parameters,  $L_{cs}$ ,  $W_{cs}$ , and  $X_{off}$  and the short-circuit position,  $d_{sc}$ , should be optimized to efficiently couple the energy from the SIW-feeding waveguide to radiating element. As an initial value,  $d_{sc}$  is chosen to be  $\lambda_g/4$  to ensure the maximum standing wave field intensity. However, a little tuning is needed to achieve a better input matching. Figure 8 shows the effect on the reflection coefficient of the proposed  $H$ -polarized antenna (Fig. 7) by the coupling slot length defined by the parameter  $L_{cs}$ . The results indicate that by increasing the coupling slot length,  $L_{cs}$ , from 8.82 to 12 mm, the resonant frequency of the proposed antenna is shifted down from 10.38 to 10.08 GHz, i.e.



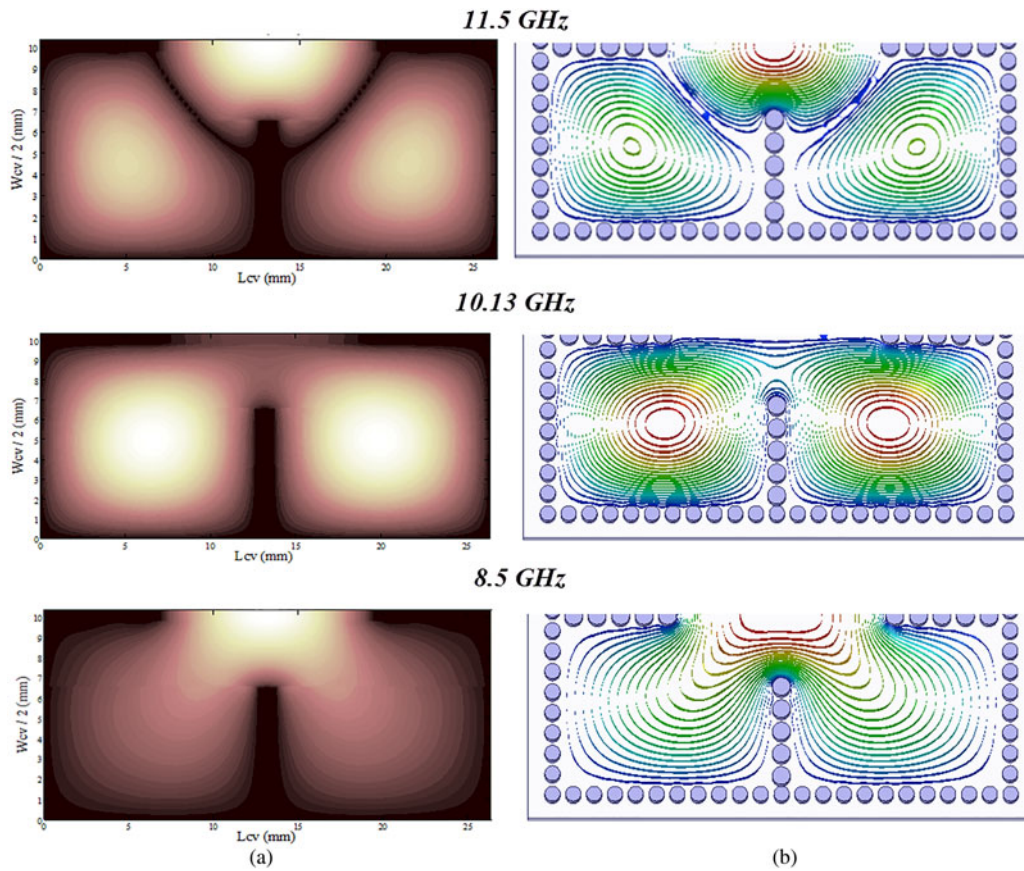


Fig. 6. Field’s distributions at three frequencies. (a) Presented method and (b) HFSS results

Table 1. CPU time and storage (Intel Core-i7 at 3.7 GHz 16 GB RAM).

HFSS	Mesh setup	Freq. point (time)	Storage
H-polarized antenna’s cavity	21 s	98 s	1.82 GB
V-polarized antenna’s cavity	21 s	99 s	1.82 GB
Mode-matching method	–	Freq. point (time)	Storage
H-polarized antenna’s cavity	–	15 s	0.1 GB
V-polarized antenna’s cavity	–	15 s	0.1 GB

2.96%. Also Fig. 9 gives the reflection coefficient of the antenna with various coupling slot relative position,  $X_{off}$ . The results show that an optimum input impedance matching is achievable when the  $X_{off}$  is increased to a certain value. However, if  $X_{off}$  is increased further more than this value, the impedance matching will deteriorate. It should be noted that the behavior of the horizontally and vertically polarized slot antennas versus variation of such parameters are the same. Thus, the above results only for prototype antenna in Fig. 7 have been provided.

To achieve a good directional radiation pattern, the radiating slots should be excited in uniform distribution, i.e. they should have the specification that the slot voltage distribution be equi-phase and equi-magnitude. Based on the feeding scheme which is used in the proposed antenna, as is depicted in Fig. 7, and the filed distribution of the cavity’s  $TM_{220}$  mode, all the sub-cavities are excited in almost equi-magnitude but

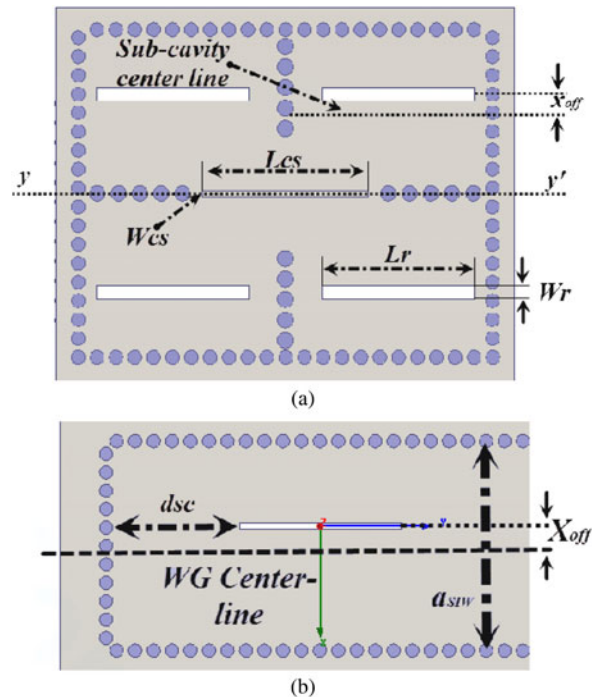


Fig. 7. A schematic view of the horizontally polarized cavity-backed slot antenna: (a) upper substrate including cavity and radiating slots; (b) lower substrate including feeding waveguide and coupling aperture. The optimum physically dimensions are:  $W_{sub} = 10.85$  mm;  $X_{off} = 1.1$  mm;  $L_r = 10.024$  mm;  $L_{cs} = 9.99$  mm;  $W_{cs} = 0.42$  mm;  $d_{sc} = 9.62$  mm;  $a_{sw} = 14.41$  mm; and  $X_{off} = 1.34$  mm.

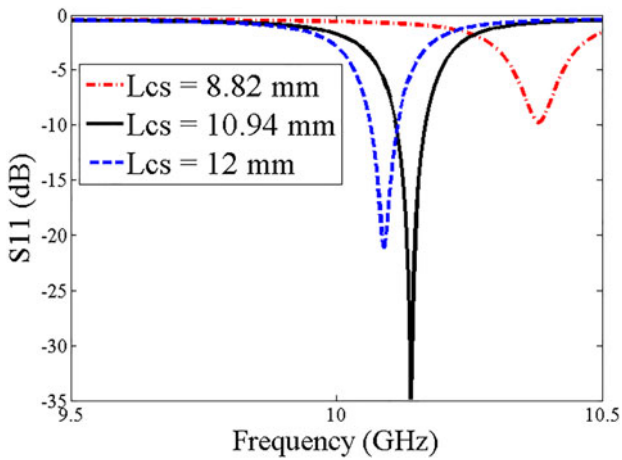


Fig. 8. The impact of coupling slot length,  $L_{cs}$ , on reflection coefficient of the antenna.

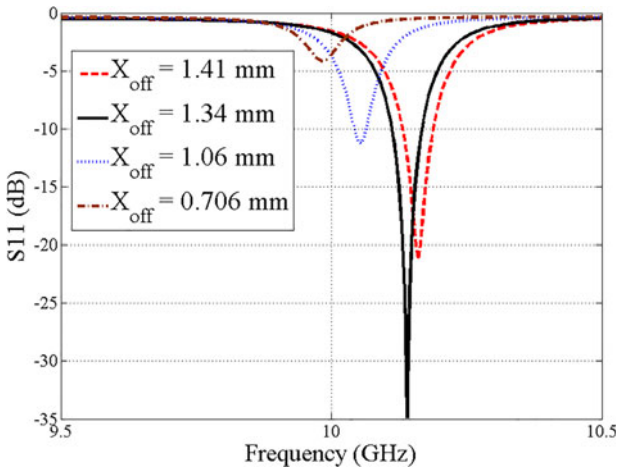


Fig. 9. The impact of coupling slot relative position,  $X_{off}$ , on reflection coefficient of the antenna.

the sub-cavities, which are placed in the opposite side of reference plane of  $yy'$  be excited in the anti-phase, leading to an antenna with a deep null in the broadside direction, like as a difference pattern. To overcome this situation and achieve a uniform distribution, the radiating slot's offsets, which are placed in the opposite side of reference plane of  $yy'$ , should be opposite with respect to centerline of each sub-cavity. This is the reason which is led the radiating slot's position to be changed in two types of proposed antennas as are shown in Figs 12(a) and 12(b).

#### IV. ANTENNA MECHANISM DESCRIPTION

The proposed cavity-backed slot antenna consists of four similar radiating sub-sections; each of them includes a radiating slot etched on a sub-cavity in which the energy is coupled through the inductive window from the feeding SIW to the radiating element. The inductive window can be modeled as a shunt inductance. Using mode matching technique at the boundary  $x = l$ , the inductive impedance of one side of modified cavity can be calculated. However, the exact model of

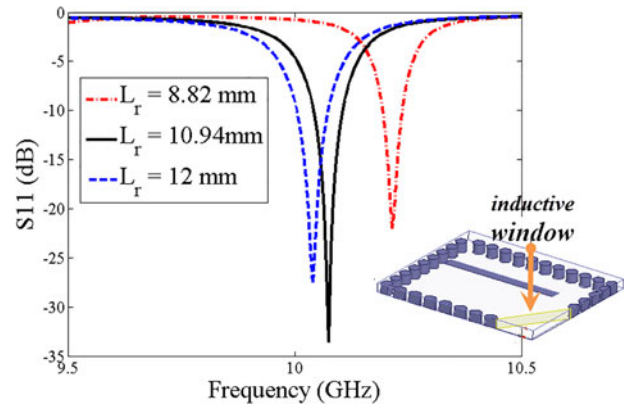


Fig. 10. The impact of radiating slot length,  $L_r$ , on reflection coefficient of the horizontally polarized slot antenna and a schematic view of inductive window in a sub-cavity.

inductive window that is shown in Fig. 10, cannot be evaluated by this method. The simulation results show that the inductive window has two fundamental effects on the performance of the antenna, first is controlling the amount of energy coupled from the feeding SIW to the radiating element and second is providing a first-order matching network, which alleviates the sensitivity of the overall antenna matching to the radiating slot's parameters. It can provide a more convenience in design of antenna, especially in array configuration where mutual coupling between antenna radiating elements are degraded antenna performances and added more complexity to design.

Figure 10 gives the reflection coefficient of the  $H$ -polarized antenna (Fig. 7) with various radiating slot length,  $L_r$ . The results show that by increasing the radiating slot length,  $L_r$ , from 8.82 to 12 mm, the resonant frequency of the proposed antenna is shifted down from 10.22 to 10.06 GHz, i.e. 1.57%. Comparing to the results provided in Fig. 8, it is evident that the proposed antenna, due to the effect of inductive window, is not very sensitive to change in the radiating slot's length.

#### V. SIW-TO-MICROSTRIP LINE TRANSITION

The transitions between planar transmission lines and SIW structures, which can be integrated on the same substrate, play a crucial role in design and implementation of the SIW components and antennas. The microstrip line and coplanar waveguide (CPW) are two main candidates to integrate with SIW structures. Thus, in this paper, to couple energy from the SMA edge connector to the cavity-backed SIW slot antenna for measurements, a planar transition from microstrip to SIW, based on a simple second-order taper (Fig. 11), has been designed and optimized using the HFSS software to in-band insertion loss of better than 0.5 dB. The SIW-microstrip-transition (SIW-MT) parameters were optimized to match the microstrip line fundamental mode to the SIW waveguide  $TE_{10}$  fundamental mode. A second-order taper is used to achieve a better reflection coefficient in a wide-operating frequency band. Figure 11 illustrates the simulated reflection coefficient as well as insertion loss of the optimized back-to-back SIW-MT, showing that a wide impedance

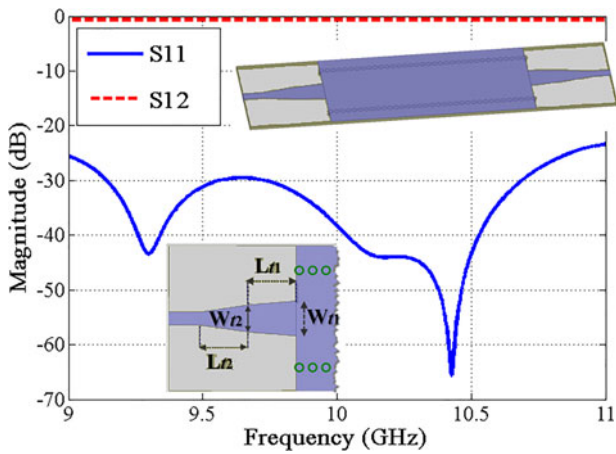


Fig. 11. The simulated  $S_{11}$  and  $S_{12}$  of the optimized back to back SIW-MIC transition. The optimum dimensions are:  $L_{t1} = 6.55$  mm;  $W_{t1} = 4.8$  mm;  $L_{t2} = 6.75$  mm; and  $W_{t2} = 3.75$  mm.

bandwidth, ranging from 9 to 11 GHz, for  $S_{11} < -25$  dB is achievable.

## VI. SIMULATED AND EMPIRICAL RESULTS

The aim of this work is to introduce a gain-enhanced method of cavity-backed SIW slot antenna using of a high-order cavity resonance. In addition, these new type of cavity-backed SIW slot antennas can provide a simple and robust design along with a low-profile structure. In addition, the gain-enhanced method proposed in the present paper can be extended for using even higher-order cavity resonances such as the  $TM_{440}$  with eight radiating slots, the  $TM_{660}$  with 12 radiating slots, etc. To validate the theoretical analysis, two types of proposed antenna forming two different polarizations (horizontal and vertical) are analyzed, simulated, and fabricated. The simulated as well as measured results have been provided, indicating a good agreement with simulated results.

Figure 12 gives the simulated as well as empirical reflection coefficients for two-antenna prototypes, showing that a bandwidth of 0.6% (prototype antenna of Fig. 12(a)) and 0.9% (prototype antenna of Fig. 12(b)) is achievable. The results show that the radiation is only generated at the certain frequency within a wide-frequency range, making them suitable for some applications in which highly isolated antenna is required for rejecting out-of-band interference signals [9]. Also, the simulated and measured radiation patterns of two antenna prototypes (horizontally polarized and vertically polarized antennas) at resonant frequency in two orthogonal planes (the  $x$ - $z$  and  $y$ - $z$  planes), are shown in Figs 13 and 14, respectively. The results show that the cross-polarization level is quite low, i.e.  $< -25$  dB (antenna prototype in Fig. 12(a)) and is  $< -20$  dB (antenna prototype in Fig. 12(b)), indicating that the proposed antennas exhibit high polarization purity. However, by comparing the simulated radiation patterns with measured ones, a little bit deformation is observed. The disagreements in cross-polarization patterns are more obvious. Measurement errors (especially in dynamic range limitation) and manufacturing errors (PCB manufacturing tolerances and assembly errors)

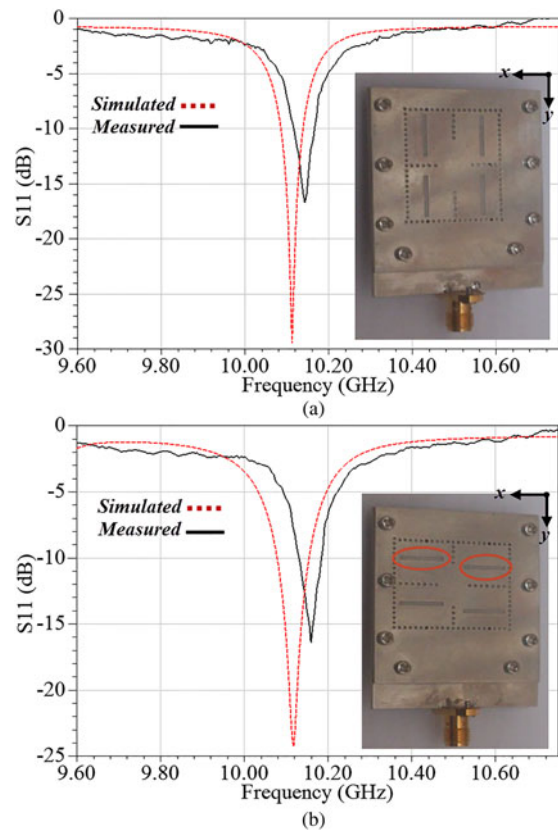


Fig. 12. Measured and simulated reflection coefficient of the (a)  $H$ -polarized and (b)  $V$ -polarized slot antennas. For  $V$ -polarized slot antenna  $L_{cs} = 9.1$  mm and  $X_{off} = 1.45$  mm; All other physically dimensions are the same as  $H$ -polarized one.

cause the measured cross-polarization radiation patterns to be different from simulated ones. In spite of that, as mentioned earlier, the results indicate that the proposed antennas exhibit high polarization purity.

The main beam of the antenna has a maximum co-polarization gain of 8.4 dBi for horizontally polarized antenna and 7.9 dBi for vertically polarized antenna. Furthermore, the proposed antennas exhibit the advantages of simple feed network and more compact size in comparison with an antenna array, which has the same gain. The simulated results show that the proposed antennas have almost more than 35 dB front-to-back (F/B) level, which is 22 and 19 dB higher than that of the antennas introduced in [9, 13], respectively. However, the measured results show that the proposed antennas have almost 15 dB F/B, indicating that the proposed antennas exhibit a low back lobe.

## VII. CONCLUSION

By placing the metallic via-holes in a main cavity of a slot antenna, a new type of gain-enhanced SIW cavity-backed slot antennas is introduced. Moreover, to acquire the insight of modified cavity's field distribution, a comprehensive modal study was performed on the modified cavity to fully understand the effects of the dividing walls on the cavity's field distribution. Also, compared with HFSS, the modal solution that is proposed in the present paper provide a considerable time and storage saving. In addition, the gain-enhanced



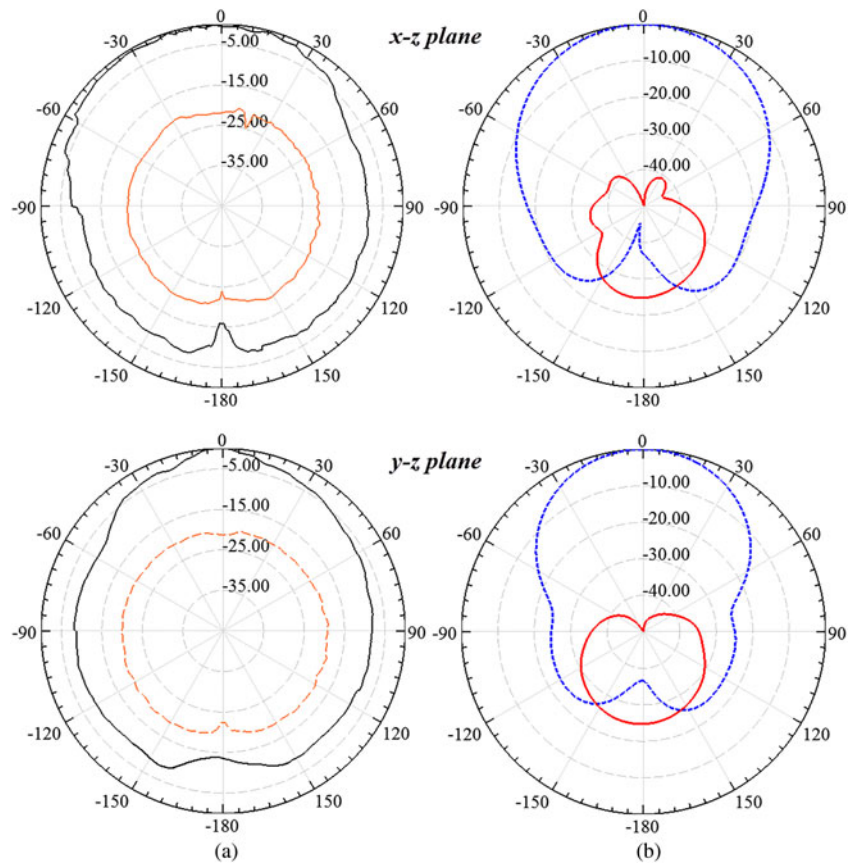


Fig. 13. (a) Measured and (b) simulated radiation patterns of the  $H$ -polarized antenna in two orthogonal planes.

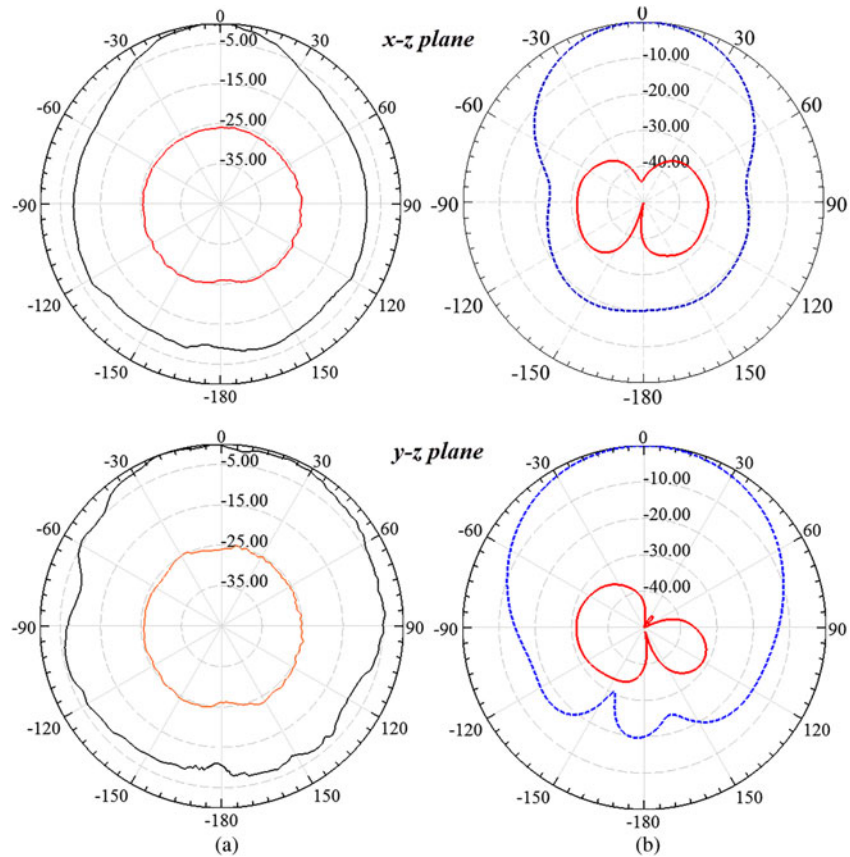


Fig. 14. (a) Measured and (b) simulated radiation patterns of the  $V$ -polarized antenna in two orthogonal planes.



method proposed in this paper can be extended for using even higher-order cavity resonances such as TM<sub>440</sub> and TM<sub>660</sub>, etc. if higher gain is desirable. Two types of the proposed SIW cavity-backed slot antenna forming two different polarizations (horizontal and vertical) are analyzed, simulated, and fabricated. The measured results show that antenna has a good directional radiation pattern with almost gain of 8.2 dBi, and high F/B of almost >15 dB (for measured data) and almost >35 dB (for simulated patterns) and a very low cross-polarization of almost <-20 dB. The antennas proposed in this paper have many advantages in terms of: simple design, low-power loss, low-profile, high polarization purity, high F/B, and ease of use as an antenna element, in array configuration. Furthermore, the proposed antennas exhibit the advantages of simple feed network and more compact size in comparison with an antenna array, which has the same gain. These interesting features make the proposed antennas suitable to use in many wireless applications.

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## APPENDIX A

The field distribution of the TE and TM modes in a modified cavity of Fig. 2 can be written as:

TE modes:

$$\bar{E}_I^y = \cos\left(k_c\left(\frac{a}{2} - x\right)\right) \sin\left(\frac{p\pi}{c}z\right) \bar{a}_y, \quad (\text{A.1})$$

$$\bar{H}_I = \left[ -\frac{k_z}{\omega\mu} \cos\left(k_c\left(\frac{a}{2} - x\right)\right) \cos\left(\frac{p\pi}{c}z\right) \bar{a}_x - \frac{k_c}{j\omega\mu} \sin\left(k_c\left(\frac{a}{2} - x\right)\right) \sin\left(\frac{p\pi}{c}z\right) \bar{a} \right], \quad (\text{A.2})$$

$$\begin{aligned} \bar{E}_{II} = & \left[ \sum_{n=1}^N \left( (A_n e^{-j\alpha_n x} + B_n e^{j\alpha_n x}) \sin\left(\frac{n\pi}{b}y\right) \right) \sin\left(\frac{p\pi}{c}z\right) \bar{a}_x \right. \\ & + \left. \left\{ A_0 \sin(k_c x) + \sum_{n=1}^N \left( \frac{-j\alpha_n}{k_c^2 - \alpha_n^2} \left(\frac{n\pi}{b}\right) (A_n e^{-j\alpha_n x} - B_n e^{j\alpha_n x}) \right. \right. \right. \\ & \left. \left. \left. \times \cos\left(\frac{n\pi}{b}y\right) \right) \sin\left(\frac{p\pi}{c}z\right) \right\} \bar{a}_y \right], \quad (\text{A.3}) \end{aligned}$$

$$\begin{aligned} \bar{H}_{II}^z = & \frac{j}{\omega\mu} \left\{ A_0 k_c \cos(k_c x) + \sum_{n=1}^N \left( \frac{k_c^2}{k_c^2 - \alpha_n^2} \frac{n\pi}{b} (A_n e^{-j\alpha_n x} \right. \right. \\ & \left. \left. + B_n e^{j\alpha_n x}) \cos\left(\frac{n\pi}{b}y\right) \right) \sin\left(\frac{p\pi}{c}z\right) \right\} \bar{a}_z, \quad (\text{A.4}) \end{aligned}$$

$$\begin{aligned} \bar{E}_{III} = & \left[ \sum_{n=1}^N 2D_n \cos(\beta_n x) \sin\left(\frac{n\pi}{b_2}y^*\right) \sin\left(\frac{p\pi}{c}z\right) \bar{a}_x \right. \\ & - \sum_{n=1}^N \left( 2D_n \frac{\beta_n}{k_c^2 - \beta_n^2} \left(\frac{n\pi}{b_2}\right) \sin(\beta_n x) \cos\left(\frac{n\pi}{b_2}y^*\right) \right) \\ & \left. \times \sin\left(\frac{p\pi}{c}z\right) \bar{a}_y \right], \quad (\text{A.5}) \end{aligned}$$

$$\begin{aligned} \bar{H}_{III}^z &= -\frac{2j}{\omega\mu} \sum_{n=1}^N \left( D_n \frac{k_c^2}{k_c^2 - \beta_n^2} \frac{n\pi}{b_2} \right. \\ &\quad \left. \times \cos(\beta_n x) \cos\left(\frac{n\pi}{b_2} y^*\right) \right) \sin\left(\frac{p\pi}{c} z\right) \bar{a}_z \end{aligned} \tag{A.6}$$

$$\begin{aligned} \bar{E}_{IV} &= \left[ \sum_{n=1}^N 2F_n \cos(\gamma_n x) \sin\left(\frac{n\pi}{b_1} y\right) \sin\left(\frac{p\pi}{c} z\right) \bar{a}_x \right. \\ &\quad \left. - \sum_{n=1}^N \left( 2F_n \frac{\gamma_n}{k_c^2 - \gamma_n^2} \left(\frac{n\pi}{b_1}\right) \sin(\gamma_n x) \cos\left(\frac{n\pi}{b_1} y\right) \right) \right. \\ &\quad \left. \times \sin\left(\frac{p\pi}{c} z\right) \bar{a}_y \right], \end{aligned} \tag{A.7}$$

$$\begin{aligned} \bar{H}_{IV}^z &= \frac{-2j}{\omega\mu} \sum_{n=1}^N \left( F_n \frac{k_c^2}{k_c^2 - \gamma_n^2} \frac{n\pi}{b_1} \cos(\gamma_n x) \cos\left(\frac{n\pi}{b_1} y\right) \right) \\ &\quad \times \sin\left(\frac{p\pi}{c} z\right) \bar{a}_z, \end{aligned} \tag{A.8}$$

where  $A_o = \frac{d \cos(k_c s/2)}{b \sin(k_c l)}$ .  
TM modes.

$$\bar{E}_I^z = \cos\left(k_x \left(\frac{a}{2} - x\right)\right) \sin\left(\frac{\pi}{d}(y - h)\right) \cos\left(\frac{p\pi}{c} z\right) \bar{a}_z, \tag{A.9}$$

$$\begin{aligned} \bar{H}_I &= \left[ \frac{j\omega\varepsilon}{k_c^2} \frac{\pi}{d} \cos\left(k_x \left(\frac{a}{2} - x\right)\right) \cos\left(\frac{\pi}{d}(y - h)\right) \right. \\ &\quad \left. \times \cos\left(\frac{p\pi}{c} z\right) \bar{a}_x - \frac{j\omega\varepsilon k_x}{k_c^2} \sin\left(k_x \left(\frac{a}{2} - x\right)\right) \right. \\ &\quad \left. \times \sin\left(\frac{\pi}{d}(y - h)\right) \cos\left(\frac{p\pi}{c} z\right) \bar{a}_y \right] \end{aligned} \tag{A.10}$$

$$\begin{aligned} \bar{E}_{II}^z &= \frac{1}{j\omega\varepsilon} \sum_{n=1}^N \left( \frac{k_c^2}{k_c^2 - \alpha_n^2} \frac{n\pi}{b} (A_n e^{-j\alpha_n x} \right. \\ &\quad \left. + B_n e^{j\alpha_n x}) \sin\left(\frac{n\pi}{b} y\right) \right) \cos\left(\frac{p\pi}{c} z\right) \bar{a}_z, \end{aligned} \tag{A.11}$$

$$\begin{aligned} \bar{H}_{II} &= \left[ \sum_{n=1}^N \left( (A_n e^{-j\alpha_n x} + B_n e^{j\alpha_n x}) \cos\left(\frac{n\pi}{b} y\right) \right) \cos\left(\frac{p\pi}{c} z\right) \bar{a}_x \right. \\ &\quad \left. + \sum_{n=1}^N \left( \frac{j\alpha_n}{k_c^2 - \alpha_n^2} \left(\frac{n\pi}{b}\right) (A_n e^{-j\alpha_n x} - B_n e^{j\alpha_n x}) \sin\left(\frac{n\pi}{b} y\right) \right) \right. \\ &\quad \left. \times \cos\left(\frac{p\pi}{c} z\right) \bar{a}_y \right], \end{aligned} \tag{A.12}$$

$$\begin{aligned} \bar{E}_{III}^z &= \frac{2}{\omega\varepsilon} \sum_{n=1}^N \left( D_n \frac{k_c^2}{k_c^2 - \beta_n^2} \frac{n\pi}{b_2} \sin(\beta_n x) \sin\left(\frac{n\pi}{b_2} y^*\right) \right) \\ &\quad \times \cos\left(\frac{p\pi}{c} z\right) \bar{a}_z, \end{aligned} \tag{A.13}$$

$$\begin{aligned} \bar{H}_{III} &= \left[ \sum_{n=1}^N 2jD_n \sin(\beta_n x) \cos\left(\frac{n\pi}{b_2} y^*\right) \cos\left(\frac{p\pi}{c} z\right) \bar{a}_x \right. \\ &\quad \left. - \sum_{n=1}^N \left( 2D_n \frac{j\beta_n}{k_c^2 - \beta_n^2} \left(\frac{n\pi}{b_2}\right) \cos(\beta_n x) \sin\left(\frac{n\pi}{b_2} y^*\right) \right) \right. \\ &\quad \left. \times \cos\left(\frac{p\pi}{c} z\right) \bar{a}_y \right], \end{aligned} \tag{A.14}$$

$$\begin{aligned} \bar{E}_{IV}^z &= \frac{2}{\omega\varepsilon} \sum_{n=1}^N \left( F_n \frac{k_c^2}{k_c^2 - \gamma_n^2} \frac{n\pi}{b_1} \sin(\gamma_n x) \sin\left(\frac{n\pi}{b_1} y\right) \right) \\ &\quad \times \cos\left(\frac{p\pi}{c} z\right) \bar{a}_z, \end{aligned} \tag{A.15}$$

$$\begin{aligned} \bar{H}_{IV} &= \left[ \sum_{n=1}^N 2jF_n \sin(\gamma_n x) \cos\left(\frac{n\pi}{b_1} y\right) \cos\left(\frac{p\pi}{c} z\right) \bar{a}_x \right. \\ &\quad \left. - \sum_{n=1}^N \left( 2F_n \frac{j\gamma_n}{k_c^2 - \gamma_n^2} \left(\frac{n\pi}{b_1}\right) \cos(\gamma_n x) \sin\left(\frac{n\pi}{b_1} y\right) \right) \right. \\ &\quad \left. \times \cos\left(\frac{p\pi}{c} z\right) \bar{a}_y \right], \end{aligned} \tag{A.16}$$

where

$$k_x^2 = k_c^2 - \left(\frac{\pi}{d}\right)^2.$$

The quantities  $\alpha$ ,  $\beta$ , and  $\gamma$  are related as

$$\alpha_n^2 = k_c^2 - \left(\frac{n\pi}{b}\right)^2,$$

$$\beta_n^2 = k_c^2 - \left(\frac{n\pi}{b_2}\right)^2,$$

$$\gamma_n^2 = k_c^2 - \left(\frac{n\pi}{b_1}\right)^2,$$

where  $k_c^2 + k_z^2 = k^2$ ,  $k = \omega\sqrt{\mu_0\varepsilon}$ ,  $k_z = (p\pi/c)$ , where  $c$  is the thickness of substrate, and  $A_n$ ,  $B_n$ ,  $D_n$ , and  $F_n$  are the unknown amplitude coefficients of the fields in regions II,

III, and IV to be determined. In above expressions  $p$  is an index spanning over the modes in the  $z$ -direction and for TE and TM modes  $p$  is 1, 2, 3, ... and 0, 1, 2, ..., respectively. Also, in region III, the variable  $y^*$  is defined as  $y^* = y - (b_1 + s_1)$ .

The expressions (1)–(16) represent a limited number of field's components in each region. The other field's components in each section can be readily obtained with the aid of Maxwell's equations.



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