

ON HOLOMORPHIC FAMILIES OF HOLOMORPHIC MAPS

DONALD ORTH¹⁾

Let D be the unit disk $\{z : |z| < 1\}$ in the complex plane \mathbf{C} with boundary ∂D and closure \bar{D} , and denote by \mathbf{R} the image of the canonical embedding $r \rightarrow r + i0$ of the real line into \mathbf{C} . The symbol ε will be used throughout to denote a complex parameter; the unit disk in the complex ε -plane will be denoted by D_p . A C^{1+a} map $\mathcal{E} : \partial D \times D_p \rightarrow \mathbf{C}$ ($0 < a < 1$) is called a *holomorphic family of C^{1+a} curves* if

- 1° $\mathcal{E}_\varepsilon = \mathcal{E}|\partial D \times \{\varepsilon\}$ is a C^{1+a} Jordan curve in \mathbf{C} for every $\varepsilon \in D_p$;
- 2° $\mathcal{E}_t = \mathcal{E}|\{t\} \times D_p$ is a holomorphic function for every $t \in \partial D$;
- 3° $\frac{\partial \mathcal{E}(t, \varepsilon)}{\partial t}$ is continuous in t and ε .

Denote by Ω_ε the simply-connected region in \mathbf{C} bounded by $\mathcal{E}(\partial D \times \{\varepsilon\})$.

We are interested in the existence of holomorphic maps $f : D \times D_p \rightarrow \mathbf{C}$ which map $D \times \{\varepsilon\}$ conformally onto Ω_ε for every $\varepsilon \in D_p$ (f is then said to be *associated with \mathcal{E}*). The following theorem will be proved.

THEOREM 1. *Let $\mathcal{E} : \partial D \times D_p \rightarrow \mathbf{C}$ be a holomorphic family of C^{1+a} curves. If f is a holomorphic map associated with \mathcal{E} , then there exists a C^{1+a} homeomorphism $g : \partial D \rightarrow \partial D$ for which*

$$(*) \quad \mathcal{E}(t, \varepsilon) = f(g(t), \varepsilon)$$

for all $(t, \varepsilon) \in \partial D \times D_p$, where f on the right hand side denotes the continuous extension of f to $\bar{D} \times D_p$.

Now \mathcal{E} can always be normalized by the condition that for some $\varepsilon_0 \in D_p$, $\mathcal{E}_{\varepsilon_0}$ is the boundary value of a conformal map of $D \times \{\varepsilon_0\}$ onto Ω_{ε_0} (for let g_{ε_0} be such a conformal map, the existence of which is ensured by

Received January 8, 1969.

¹⁾ This research was partially supported by Contract Nonr 2216 (28) (NR-043-332). The author is currently an NSF postdoctoral fellow.

the Riemann mapping theorem, and replace $\mathcal{E}(t, \varepsilon)$ with $\mathcal{E}(\pi \circ (\mathcal{E}_{\varepsilon_0})^{-1} \circ g_{\varepsilon_0}(t), \varepsilon)$ with projection $\pi : \partial D \times D_p \rightarrow \partial D$. If \mathcal{E} is normalized in this sense, setting $\varepsilon = \varepsilon_0$ in (*) shows that $g : \partial D \rightarrow \partial D$ is the boundary value of a conformal map of D onto itself. Consequently, we have

COROLLARY 1. *Let $\mathcal{E} : \partial D \times D_p \rightarrow \mathbf{C}$ be a normalized holomorphic family of $C^{1+\alpha}$ curves. Then there is a holomorphic map $f : D \times D_p \rightarrow \mathbf{C}$ associated with \mathcal{E} if and only if \mathcal{E} itself is the boundary value of a holomorphic map associated with \mathcal{E} .*

We may write

$$\mathcal{E}(t, \varepsilon) = \sum_{k=0}^{\infty} c_k(t) \varepsilon^k,$$

where if \mathcal{E} is normalized at $\varepsilon = 0$, $c_0(t)$ is the boundary value of a conformal map of D onto Ω_0 .

COROLLARY 2. *Let $\mathcal{E} : \partial D \times D_p \rightarrow \mathbf{C}$ be a holomorphic family of $C^{1+\alpha}$ curves normalized at $\varepsilon = 0$. If there is a holomorphic map f associated with \mathcal{E} , then necessarily each coefficient $c_k(t)$, $k \geq 0$, in the above expansion of \mathcal{E} is the boundary value of a holomorphic function on D .*

EXAMPLE 1. For $|\varepsilon|$ sufficiently small, $\mathcal{E}(t, \varepsilon) = t + \varepsilon \bar{t}$ is a holomorphic family of $C^{1+\alpha}$ curves normalized at $\varepsilon = 0$, where \bar{t} is the complex conjugate of t . By corollary 2. there is no holomorphic map associated with \mathcal{E} .

S.E. Warschawski [6] has proved a general perturbation theorem which yields the following related result. If we restrict our attention to $\varepsilon \in \mathbf{R}$ and replace condition 2° on \mathcal{E} with

2'° both $\mathcal{E}(t, \varepsilon)$ and $\frac{\partial \mathcal{E}(t, \varepsilon)}{\partial t}$ have ‘‘Taylor’’ expansions at $\varepsilon = 0$ of order m ,

then there always exists a continuous map $f : D \times (D_p \cap \mathbf{R}) \rightarrow \mathbf{C}$ which maps $D \times \{\varepsilon\}$ conformally onto Ω_ε for every ε and which has a ‘‘Taylor’’ expansion at $\varepsilon = 0$ of order m . In particular, if \mathcal{E} depends real analytically on the parameter ε then there exists real analytic f associated with \mathcal{E} . This real analytic case was also proved by D. Zeitlin [7] (there are minor differences between these two results of a technical nature). His method involves proving that the solution $F(t, \varepsilon)$ of a certain extension of the well-known

Gershgorin integral equation into the complex domain is a holomorphic function in ε for $\varepsilon \in U$, U being a certain open neighborhood of 0 in D_p . For every $\varepsilon \in U \cap \mathbf{R}$, $F(t, \varepsilon)$ gives the mapping function of Ω_ε onto D in the usual manner. An open question is the relationship of F on all of U to, when it exists, a holomorphic map f associated with the holomorphic family of curves whose restriction to $\partial D \times (D_p \cap \mathbf{R})$ is the given real analytic family of curves.

The proof of theorem 1 goes as follows. Let $\mathcal{C} : \partial D \times D_p \rightarrow \mathbf{C}$ be a holomorphic family of C^{1+a} curves and $f : D \times D_p \rightarrow \mathbf{C}$ a holomorphic map associated with \mathcal{C} . Define $\tilde{\mathcal{C}} : \partial D \times D_p \rightarrow \mathbf{C}^2$ and $\tilde{f} : D \times D_p \rightarrow \mathbf{C}^2$ by the rules $\tilde{\mathcal{C}}(t, \varepsilon) = (\mathcal{C}(t, \varepsilon), \varepsilon)$ and $\tilde{f}(t, \varepsilon) = (f(t, \varepsilon), \varepsilon)$. Let $\Omega = \{(z, \varepsilon) : z \in \Omega_\varepsilon, \varepsilon \in D_p\}$. Then $\tilde{f} : D \times D_p \rightarrow \Omega$ is a biholomorphic map and $(\tilde{f}^{-1}|_{\Omega_\varepsilon \times \{\varepsilon\}})(z, \varepsilon) = (f_\varepsilon^{-1}(z), \varepsilon)$, where $f_\varepsilon = f|_{D \times \{\varepsilon\}}$. As is wellknown, $\tilde{f}_\varepsilon = \tilde{f}|_{D \times \{\varepsilon\}}$ has a homeomorphic extension to $\bar{D} \times \{\varepsilon\}$ for every $\varepsilon \in D_p$. It will be shown (lemma 2.) that $\tilde{f}^{-1} \circ \tilde{\mathcal{C}}_t : \{t\} \times D_p \rightarrow \partial D \times D_p$ is a holomorphic map for every $t \in \partial D$. This is the central point in the proof of the theorem, for now write

$$\tilde{f}^{-1} \circ \tilde{\mathcal{C}}(t, \varepsilon) = (f'(t, \varepsilon), \varepsilon),$$

where $f' : \partial D \times D_p \rightarrow \partial D$ is a continuous map; such an f' clearly exists. According to lemma 2., $(f'|_{\{t\} \times D_p}) : \{t\} \times D_p \rightarrow \partial D$ is a holomorphic map for every $t \in \partial D$ and is consequently constant in ε for every $t \in \partial D$. Therefore there is a homeomorphism $g : \partial D \rightarrow \partial D$ for which $f'(t, \varepsilon) = g(t)$, which implies that $\tilde{\mathcal{C}}(t, \varepsilon) = \tilde{f}(g(t), \varepsilon)$, and so $\mathcal{C}(t, \varepsilon) = f(g(t), \varepsilon)$. That g is a C^{1+a} map follows from Kellogg's theorem by normalizing \mathcal{C} at some $\varepsilon_0 \in D_p$, and the proof of theorem 1. is complete.

It should be clear from the local nature of lemma 2. that theorem 1. admits readily to generalizations. A few of these are presented after the proofs of lemmas 1. and 2.

§1. Choose any point $\tilde{\mathcal{C}}(t_0, \varepsilon_0) \in \text{bdy } \Omega$ and let $n = n(t_0, \varepsilon_0)$ be the inward normal to $\mathcal{C}_{\varepsilon_0}$ at $\mathcal{C}(t_0, \varepsilon_0)$, i.e. $n \subseteq \Omega_{\varepsilon_0}$. Denote by $W(\alpha, r) = W_{t_0, \varepsilon_0}(\alpha, r)$ the wedge in Ω_{ε_0} with radius r and interior angle α at the vertex $\mathcal{C}(t_0, \varepsilon)$, and which is symmetric about the normal n , i.e. $W(\alpha, r) = \{z \in \Omega_{\varepsilon_0} : \text{dist}(z, n) \leq |z - \mathcal{C}(t_0, \varepsilon_0)| \sin(\alpha/2) \text{ and } 0 < |z - \mathcal{C}(t_0, \varepsilon_0)| < r\}$. Also, for $z \in \Omega_{\varepsilon_0}$ denote by $\tilde{\mathcal{C}}_z = \tilde{\mathcal{C}}_{z, t_0, \varepsilon_0} : D_p \rightarrow \mathbf{C}^2$ the holomorphic map $(\mathcal{C}_{t_0}(\varepsilon) - \mathcal{C}(t_0, \varepsilon_0) + z, \varepsilon)$. Clearly, for each $z \in \Omega_{\varepsilon_0}$ there is an open neighborhood $U = U_z$ of ε_0 in D_p

such that $\tilde{\mathcal{E}}_z(U) \subseteq \Omega$. Lemma 1. will show that there are wedges $W(\alpha, r)$ for which U_z may be chosen independent of $z \in W(\alpha, r)$.

LEMMA 1. *For every $\alpha (0 < \alpha < \pi)$ there is an $r > 0$ and an open neighborhood U of ε_0 in D_p such that $\tilde{\mathcal{E}}_z(U) \subseteq \Omega$ for all $z \in W(\alpha, r)$.*

Proof. It is well-known [4] that since $\mathcal{E}_{\varepsilon_0}$ is a $C^{1+\alpha}$ curve, for every β ($0 < \beta < \pi/2$) there is a connected subarc $\Gamma = \Gamma_\beta$ of ∂D containing t_0 in its interior such that the chord joining $\mathcal{E}(t_0, \varepsilon_0)$ and $\mathcal{E}(t, \varepsilon_0)$ makes an angle smaller than β with the tangent line to $\mathcal{E}_{\varepsilon_0}(\partial D)$ at $\mathcal{E}(t_0, \varepsilon_0)$ for every $t \in \Gamma$. It follows from the conditions on the map \mathcal{E} that there is an open neighborhood U_1 of ε_0 such that the same is true for every \mathcal{E}_ε with $\varepsilon \in U_1$ when β is replaced by 2β . Choose β so that $\pi - 4\beta > \alpha$.

Now it is also known that $r > 0$ may be chosen so that $|\mathcal{E}(t, \varepsilon_0) - \mathcal{E}(t_0, \varepsilon_0)| > 2r$ for every $t \in \partial D \setminus \Gamma$, and it follows again from the conditions on \mathcal{E} that there is an open neighborhood U_2 of ε_0 such that $|\mathcal{E}(t, \varepsilon) - \mathcal{E}(t_0, \varepsilon)| > r$ for every $t \in \partial D \setminus \Gamma$ and every $\varepsilon \in U_2$.

Let $U = U_1 \cap U_2$. If $\tilde{\mathcal{E}}_z(\varepsilon) \in \text{bdy} \Omega$ for some $\varepsilon \in U$ there must be a $t \in \partial D$ such that $\tilde{\mathcal{E}}_z(\varepsilon) = \tilde{\mathcal{E}}_t(\varepsilon)$, or equivalently $\mathcal{E}_{\varepsilon_0}(\varepsilon) - \mathcal{E}(t, \varepsilon_0) + z = \mathcal{E}_t(\varepsilon)$. Since $\mathcal{E}(t, \varepsilon) = \mathcal{E}_t(\varepsilon) = \mathcal{E}_t(t)$, we have

$$(**) \quad \tilde{\mathcal{E}}_z(\varepsilon) \in \text{bdy} \Omega \iff \mathcal{E}(t_0, \varepsilon) - \mathcal{E}(t_0, \varepsilon_0) + z = \mathcal{E}(t, \varepsilon).$$

Suppose that $t \in \Gamma$. By the choice of Γ , since $\varepsilon \in U$, and since from (**) it follows that $z - \mathcal{E}(t_0, \varepsilon_0) = \mathcal{E}(t, \varepsilon) - \mathcal{E}(t_0, \varepsilon)$, we have $\text{dist}(z, n) > |z - \mathcal{E}(t_0, \varepsilon_0)| \sin(\pi/2 - 2\beta)$. But $\pi/2 - 2\beta > \alpha/2$, and so $\text{dist}(z, n) > |z - \mathcal{E}(t_0, \varepsilon_0)| \sin(\alpha/2)$. Therefore $z \notin W(\alpha, r)$. Now suppose that $t \notin \Gamma$. Then by the choice of r , since $\varepsilon \in U$, and by (**) as above we have $|z - \mathcal{E}(t_0, \varepsilon_0)| > r$, and so $z \notin W(\alpha, r)$. Consequently, $\tilde{\mathcal{E}}_z(\varepsilon) \in \text{bdy} \Omega$ for some $\varepsilon \in U$ implies that $z \notin W(\alpha, r)$, and the lemma is proved.

LEMMA 2. $\tilde{f}^{-1} \circ \tilde{\mathcal{E}}_t : \{t\} \times D_p \rightarrow \partial D \times D_p$ is a holomorphic map for every $t \in \partial D$.

Proof. Choose $(t_0, \varepsilon_0) \in \partial D \times D_p$; by lemma 1. there is a sequence of points $\{z_k : k = 1, 2, \dots\} \subseteq \Omega_{\varepsilon_0}$ and an open neighborhood U of ε_0 for which $\tilde{\mathcal{E}}_{z_k}(U) \subseteq \Omega$ while $z_k \rightarrow \mathcal{E}(t_0, \varepsilon_0)$ as $k \rightarrow \infty$. Therefore $\tilde{f}^{-1} \circ \tilde{\mathcal{E}}_{z_k} : U \rightarrow D \times D_p$ is a

well-defined holomorphic map for every $k = 1, 2, \dots$. Since $\tilde{f}|_{\bar{D} \times \{\varepsilon\}}$ is a homeomorphism for every $\varepsilon \in D_p$, the map $f^{-1} \circ \tilde{\mathcal{E}}_{t_0}$ is also defined; clearly the sequence $\{\tilde{f}^{-1} \circ \tilde{\mathcal{E}}_{t_k} : k = 1, 2, \dots\}$ converges pointwise to $\tilde{f}^{-1} \circ \mathcal{E}_{t_0}$ on U . The lemma now follows from Vitali's theorem.

§ 2. Generalizations. (Ahlfors [1] has shown the existence of a holomorphic map f from a bordered Riemann surface with finite genus and a finite number of boundary components onto a full covering surface $S \xrightarrow{\pi} D$ of the unit disk. N. Alling [2] has shown that $\pi \circ f|_U$ is a covering map of D near ∂D for some open neighborhood U of ∂X . Theorems 2.-4. can be thought of as concerning holomorphic families of such maps.)

Let X and Y be open Riemann surfaces such that X has a $C^{1+\alpha}$ boundary ∂X , and let V be a connected analytic set in some open set in C^n . Let $\mathcal{E} : \partial X \times V \rightarrow Y$ be a $C^{1+\alpha}$ map satisfying

1° for every local coordinate t on ∂X for which t^{-1} describes ∂X locally as a $C^{1+\alpha}$ curve, $\mathcal{E} \circ t^{-1}$ is a holomorphic family of $C^{1+\alpha}$ curves on Y (\mathcal{E} is then said to be locally a holomorphic family of $C^{1+\alpha}$ curves on Y);

2° for every $\mathcal{E}_\varepsilon = \mathcal{E}|_{\partial X \times \{\varepsilon\}}$, $\mathcal{E}_\varepsilon(\partial X \times \{\varepsilon\})$ is the boundary of an open Riemann surface Ω_ε .

Theorem 2. is the most straightforward generalization of theorem 1. which can be proved.

THEOREM 2. Denote the set $\{(y, \varepsilon) : y \in \Omega_\varepsilon, \varepsilon \in V\}$ by Ω . There exists a holomorphic map $f : \Omega \rightarrow X$ which maps $\partial\Omega_\varepsilon \times \{\varepsilon\}$ into ∂X for every $\varepsilon \in V$ if and only if there is a $C^{1+\alpha}$ map $g : \partial X \rightarrow \partial X$ for which

$$f \circ \tilde{\mathcal{E}}(x, \varepsilon) = g(x)$$

for all $x \in \partial X$ and all $\varepsilon \in V$.

More generally, one has

THEOREM 3. Let C be an arc on ∂X , $\mathcal{E} : C \times V \rightarrow Y$ locally a holomorphic family of $C^{1+\alpha}$ curves on Y , and $\Omega_\varepsilon \subseteq Y$ a bordered Riemann surface with $\mathcal{E}(C \times \{\varepsilon\}) \subseteq \partial\Omega_\varepsilon$ for every $\varepsilon \in V$. Define Ω as in theorem 2. There is a holomorphic map $f : \Omega \rightarrow X$ which maps $\mathcal{E}(C \times \{\varepsilon\}) \times \{\varepsilon\}$ into ∂X for every $\varepsilon \in V$ if and only if there is a $C^{1+\alpha}$ map $g : C \rightarrow \partial X$ for which

$$f \circ \tilde{\mathcal{E}}(x, \varepsilon) = g(x)$$

for all $x \in C$ and all $\varepsilon \in V$.

Proof. All that must be shown is that theorem 1. remains true when D_p is replaced with the connected analytic set V . First of all, lemmas 1. and 2. carry over just as they were presented when D_p is replaced by a polydisk in C^k . This means that theorem 1. is true when D_p is replaced by a connected component V_i of the set of regular points of V ; let g_i be the map of theorem 1. for V_i . In fact (*) holds on $Cl_V V_i$ and the usual continuity argument shows that $g_i = g_j$ when $Cl_V V_i \cap Cl_V V_j \neq \emptyset$. The theorem is therefore proved since V is connected and $V = U\{Cl_V V_i : i \in I\}$.

THEOREM 4. Let $X, Y, \mathcal{E} : C \times V \rightarrow Y$ and $\{\Omega_\varepsilon : \varepsilon \in V\}$ be given as in theorem 3. If $f : X \times V \rightarrow Y$ is a holomorphic map satisfying

- a) $f(\partial X \times \{\varepsilon\}) \subseteq \partial \Omega_\varepsilon$ for every $\varepsilon \in V$;
- b) $f_\varepsilon = f|_{X \times \{\varepsilon\}}$ is a covering map of Ω_ε near $\partial \Omega_\varepsilon$ for some open neighborhood of $\partial X \times \{\varepsilon\}$ in $X \times \{\varepsilon\}$, again for every $\varepsilon \in V$, then there exists a C^{1+a} map $g : C \rightarrow \partial X$ for which

$$\mathcal{E}(x, \varepsilon) = f(g(x), \varepsilon)$$

for all $x \in C$ and all $\varepsilon \in V$.

By viewing theorems 1.-4. from another point of view one gets mapping theorems for complex manifolds. Theorem 5. below is one such result, although clearly not the most general one.

Let P be a polydisk in C^{n-1} ($n > 1$) and let C be a subarc of ∂D . Given a holomorphic family of C^{1+a} curves $\mathcal{E}' : C \times P \rightarrow C$ and holomorphic maps $\mathcal{E}_\nu : P \rightarrow C$ for each $\nu = 2, \dots, m$ ($m > n$), define $\mathcal{E} : C \times P \rightarrow C^m$ by the rule

$$\mathcal{E}(t, \varepsilon) = (\mathcal{E}'(t, \varepsilon), \mathcal{E}_2(\varepsilon), \dots, \mathcal{E}_m(\varepsilon))$$

for all $t \in C$ and all $\varepsilon \in P$. We may assume without loss in generality that $\mathcal{E}|_{C \times \{0\}}$ is the boundary value of a holomorphic function on $U \cap D$ for some open set $U \subseteq C$. Let Ω be a domain in C^m for which $\mathcal{E}(C \times P) \subseteq \partial \Omega$.

THEOREM 5. If there is a holomorphic map $f : \Omega \rightarrow D \times P$ for which $f(\mathcal{E}(C \times P)) \subseteq \partial D \times P$, then necessarily \mathcal{E} is the boundary value of a holomorphic map $\mathcal{E} : U \cap D \times P \rightarrow \Omega$ for some open set $U \subseteq C^n$.

Proof. This theorem is a straightforward generalization of corollary 1.

In view of this theorem one may ask for conditions on $\partial\Omega$ of a given domain Ω under which there exists a subarc C of ∂D and a map $\mathcal{E} : C \times \mathbf{P} \rightarrow \partial\Omega$ like the one described above. In this direction we have a Levi-type condition.

PROPOSITION 1. *Let Ω be an open domain in $\mathbf{C}^n (n > 1)$ and suppose that $(z_0, \varepsilon^0) \in \partial\Omega$, where $\varepsilon^0 = (\varepsilon_2^0, \dots, \varepsilon_m^0) \in \mathbf{C}^{n-1}$. In order that there exist an open neighborhood U of (z_0, ε^0) , a polydisc $\mathbf{P} \subseteq \mathbf{C}^{n-1}$, a subarc C of ∂D and an injective $C^{2+\alpha}$ map $\mathcal{E} : C \times \mathbf{P} \rightarrow \partial\Omega \cap U$ satisfying the conditions in theorem 5. it is necessary that there exist an open neighborhood U' of (z_0, ε^0) and a C^2 map $\varphi : U' \rightarrow \mathbf{R}$ such that*

- 1° $\{(z, \varepsilon) : \varphi(z, \varepsilon) = 0\} = U' \cap \partial\Omega$;
- 2° $\text{grad } \varphi \neq 0$ on $U' \cap \partial\Omega$;
- 3° denoting $(z, \varepsilon) = (z, \varepsilon_2, \dots, \varepsilon_n)$ by $(\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n)$, then $\sum_{i=1}^n \frac{\partial \varphi}{\partial \varepsilon_i} w_i = 0$ at $(\varepsilon_1, \dots, \varepsilon_n) \in U' \cap \partial\Omega$ implies that

$$\sum_{i,j=1}^n \frac{\partial^2 \varphi}{\partial \varepsilon_i \partial \bar{\varepsilon}_j} w_i w_j = 0.$$

Proof. Given injective \mathcal{E} , let ρ denote the coordinate function of \mathcal{E}^{-1} ; it is known [5] that there is an open neighborhood V of (z_0, ε^0) and holomorphic functions $f_1 : V \cap \Omega \rightarrow \mathbf{C}$, $f_2 : V \cap (\mathbf{C}^n \setminus \bar{\Omega}) \rightarrow \mathbf{C}$ with C^2 extensions to $\partial\Omega \cap V$ such that $\rho(z, \varepsilon) = f_1(z, \varepsilon) f_2(z, \varepsilon)$ for all $(z, \varepsilon) \in \partial\Omega \cap V$. The differentiability properties of f_1 and f_2 on $\partial\Omega \cap V$ allow us to choose C^2 functions \tilde{f}_1 and \tilde{f}_2 on V for which $\tilde{f}_1|_{V \cap \Omega} = f_1$ and $\tilde{f}_2|_{V \cap (\mathbf{C}^n \setminus \bar{\Omega})} = f_2$. Define the extension of ρ into V to be $f_1(z, \varepsilon) f_2(z, \varepsilon)$ and $\varphi : V \rightarrow \mathbf{R}$ by the rule $\varphi(z, \varepsilon) = |\rho(z, \varepsilon)|^2 - 1$. \tilde{f}_1 and \tilde{f}_2 can clearly be chosen so that 1° is satisfied, while 2° clearly holds for any choice of \tilde{f}_1 and \tilde{f}_2 ; 3° is the result of a straightforward computation.

The next result has to do with “extending” differentiable families of complex manifolds to holomorphic families. It will follow from theorem 1. in a manner similar to that for theorems 1.-4. except that X instead of V is to be viewed as the parameter space.

Let $\mathcal{Y} \xrightarrow{\omega} X$ be a differentiable (i.e. C^∞) family of complex structures on the complex manifold V in the sense of Kodaira and Spencer [3], where X is an open Riemann surface. This means that for every point $v \in \mathcal{Y}$ there is an open neighborhood U of v and a diffeomorphism $\Psi_U : U \rightarrow W \times \omega(U)$ for some open set W in \mathbf{C}^n such that

- 1° $\omega = p \circ \Psi_U$ (p is the canonical projection $W \times \omega(U) \rightarrow \omega(U)$);
- 2° $\Psi_U|_{\Psi_U^{-1}(W \times \{x\})}$ is biholomorphic for every $x \in \omega(U)$. If ω is a holomorphic map $\mathcal{V} \xrightarrow{\omega} X$ is called a holomorphic family of complex structures on V .

Two differentiable families of complex structures on V , say $\omega_1 : \mathcal{V} \rightarrow X$ and $\omega_2 : \mathcal{V}_2 \rightarrow X$, are said to be *equivalent* if there is a diffeomorphism $\varphi : \mathcal{V}_1 \rightarrow \mathcal{V}_2$ satisfying

a) $\omega_1 = \omega_2 \circ \varphi$;

b) $\varphi \circ \Psi_U|_{\Psi_U^{-1}(W \times \{x\})}$ is biholomorphic for every $x \in \omega_1(U)$ and every pair U, Ψ_U of open neighborhoods and diffeomorphisms respectively for $\omega_1 : \mathcal{V}_1 \rightarrow X$ as described above.

Let $X_0 \subseteq \bar{X}_0 \subseteq X$ be an open Riemann surface with differentiable boundary ∂X_0 . $\mathcal{V} \xrightarrow{\omega} X$ induces by way of the canonical injections $X_0 \rightarrow X$, $\bar{X}_0 \rightarrow X$, and $\partial X_0 \rightarrow X$ differentiable families $\omega_0 : \mathcal{V}_0 \rightarrow X_0$, $\bar{\omega}_0 : \mathcal{V}_0 \rightarrow \bar{X}_0$ and $\omega_0^\delta : \mathcal{V}_0^\delta \rightarrow \partial X_0$ of complex structures on V which are called the *restrictions* of the family $\omega : \mathcal{V} \rightarrow X$ to X_0 , \bar{X}_0 , and ∂X_0 respectively.

THEOREM 6. *Let X_0 and X be open Riemann surfaces with $\bar{X}_0 \subseteq X$ and $\omega : \mathcal{V} \rightarrow X$, $\bar{\omega} : \tilde{\mathcal{V}} \rightarrow X$ differentiable families of complex structures on a complex manifold V for which*

- 1) *the restriction $\bar{\omega}_0 : \tilde{\mathcal{V}}_0 \rightarrow X_0$ is a holomorphic family of complex structures on V ;*
- 2) *the restrictions $\omega_0^\delta : \mathcal{V}_0^\delta \rightarrow \partial X_0$ and $\bar{\omega}_0^\delta : \tilde{\mathcal{V}}_0^\delta \rightarrow \partial X_0$ are equivalent.*

Then there is a differentiable map $g : \partial X_0 \rightarrow \partial X_0$ such that

$$\bar{\omega}_0^\delta = g \circ \omega_0^\delta.$$

Lemmas 1. and 2. yield two other kinds of results. The first concerns boundary values of holomorphic functions of one variable. For example, every injective $C^{1+\alpha}$ map $h : \partial D \rightarrow \mathbf{C}$ can be embedded in a normalized holomorphic family of $C^{1+\alpha}$ curves $\mathcal{C} : \partial D \times D_p \rightarrow \mathbf{C}$; then the property that h^{-1} is the boundary value of a holomorphic function on the bounded domain with boundary $h(\partial D)$ is equivalent to the existence of a holomorphic map of Ω onto D , where Ω is defined for \mathcal{C} as before. The second result concerns partial differential equations.

THEOREM 7. Let $\mathcal{E} : \partial D \times D_p \rightarrow \mathbf{C}$ be a holomorphic family of $C^{1+\alpha}$ curves and $\Omega \subseteq \mathbf{C}^2$ the domain described by \mathcal{E} as before. Let f_1, f_2 be complexvalued, C^∞ functions on Ω with compact support in $\bar{\Omega} \setminus \mathcal{E}(\partial D \times D_p)$. If u is a C^∞ solution of the system

$$\frac{\partial u}{\partial z} = f_1, \quad \frac{\partial u}{\partial \bar{z}} = f_2$$

(in which case u will have a continuous extension to $\Omega \cup \mathcal{E}(\partial D \times D_p)$) satisfying the boundary condition that the topological dimension of $u \circ \mathcal{E}(\{t\} \times D_p)$ is smaller than or equal to 1 for every $t \in \partial D$, then on $\mathcal{E}(\partial D \times D_p)$ u is necessarily of the form

$$u(w) = g \circ \tilde{\mathcal{E}}^{-1}(w),$$

where $g : \partial D \rightarrow \mathbf{C}$ is a $C^{1+\alpha}$ function.

REFERENCES

- [1] Ahlfors, L., *Open Riemann surfaces and extremal problems on compact suregions*, Comm. Math. Helv. **24**, 100–134 (1950).
- [2] Alling, N., *Extensions of meromorphic function rings over non-compact Riemann surfaces. I*, Math. Z. **89**, 273–299 (1965).
- [3] K. Kodaira and D.C. Spencer, *On deformations of complex analytic structures I, II*, Ann. of Math. **67**, 328–466 (1958).
- [4] Muskhelishvili, N.I., *Singular Integral Equations*, Noordhoff, Groningen (1953).
- [5] Röhrli, H., *Über das Riemann-Privalovsche Randwertproblem*, Math. Ann. **151**, 365–423 (1963).
- [6] Warschawski, S.E., *On a perturbation method in conformal mapping*, (to appear).
- [7] Zeitlin, D., *Behavior of conformal maps under analytic deformation of the domain*, Ph. D. thesis, Univ. of Minnesota (1957).

*University of California at San Diego
Princeton University*